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## Fibrinogen, red blood cells, and factor XIII in venous thrombosis

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## Summary

Cardiovascular disease is the leading cause of death and disability worldwide. Among cardiovascular causes of death, venous thrombosis (VT) is ranked third most common in the world. Venous thrombi have high red blood cell and fibrin content; however, the pathophysiologic mechanisms that contribute to venous thrombus composition and stability are still poorly understood. This article reviews biological, biochemical, and biophysical contributions of fibrinogen, factor XIII, and red blood cells to VT, and new evidence suggesting interactions between these components mediate venous thrombus composition and size.

## Keywords

clot retraction; factor XIII; fibrinogen; platelet; red blood cell; venous thrombosis

## Introduction

Venous thrombosis (VT) is initiated by endothelial dysfunction and inappropriate expression of plasma and cellular procoagulant activity under low blood flow/stasis (so-called Virchow's Triad). The epidemiology, risk factors, and treatment of VT have been recently reviewed [1]. However, the pathophysiologic mechanisms that contribute to thrombus formation, composition, and stability are still poorly understood. Clues may be found in the distinctive appearance of venous thrombi, which demonstrate regions of high red blood cell (RBC) and fibrin content (so-called "red thrombi"). Notably, RBCs can be found between layers of fibrin in a 'brick-and-mortar' construction (Fig. 1), where they lose their typical discoid shape and acquire a compressed morphology recently named polyhedrocytes [2]. These observations suggest RBCs and fibrin(ogen) interact during VT and that thrombi undergo substantial consolidation during their maturation.

We recently investigated the contribution of factor XIII (FXIII) to clot formation in whole blood [3]. Compared to controls, FXIII-deficient whole blood clots from humans and mice exhibit significantly reduced retention of RBCs during clot retraction. Furthermore, when subjected to an *in vivo* VT model, *FXIII-A<sup>-/-</sup>* mice produce thrombi that have lower RBC

Disclosure of Conflict of Interests

The authors state that they have no conflict of interest.

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content and are smaller than thrombi from wild-type mice [3]. These findings challenge the paradigm that RBCs are simply trapped during VT and suggest RBC retention in thrombi is an active process mediated, in part, by FXIII(a) (Fig. 2). However, the molecular origins of this mechanism remain poorly defined. Herein, we briefly review the established contributions of fibrinogen, FXIII, and RBCs to coagulation, and evidence supporting their potential roles in VT.

## Fibrinogen

#### Fibrinogen structure, fibrin formation, and fibrin mechanical properties

Fibrinogen circulates at high concentrations (2–4 mg mL<sup>-1</sup>), and levels may increase further during inflammation. The fibrinogen molecule consists of two sets each of three polypeptide chains ( $A\alpha B\beta\gamma$ )<sub>2</sub>. The  $\gamma$ -chain can undergo alternative splicing, leading to replacement of 4 C-terminal amino acids with a unique 20 amino acid sequence ( $\gamma'$ ), the  $\gamma'$ -chain is present in 8–15% of fibrinogen molecules (as  $\gamma A/\gamma'$ ) in healthy individuals. During coagulation, thrombin cleaves N-terminal peptides from the A $\alpha$ - and B $\beta$ -chains promoting the formation of protofibrils and subsequently fibrin fibers. Branching results in the characteristic fibrin network seen in micrographs of purified and plasma clots. The thrombin and fibrinogen concentrations present during clot formation influence fibrin network structure and stability [4,5].

Crosslinked fibrin may be best known for its ability to stabilize clots. This property is determined at both micro- and macroscales. Individual fibrin fibers have astounding viscoelasticity; crosslinked fibrin fibers can be stretched to 2.5 times their original length before rupturing, making fibrin as extensible as spider silk [6–8]. Moreover, elastic recovery of fibers from elongations up to 100% can occur within milliseconds [9]. Branchpoints within the fibrin network are surprisingly strong; when strained, individual fibers fail before branchpoints fail [10]. Thus, it is not surprising that fully formed fibrin clots have similar extensibility and elasticity as individual fibers. Fibrin viscoelasticity is strongly influenced by FXIII(a)-dependent crosslinking (discussed below).

#### Fibrinogen and VT

The complex relationships between fibrinogen and fibrin (collectively fibrin[ogen]) concentration, fibrin structure, fibrin viscoelastic properties, and VT risk have been the subject of numerous investigations. These studies suggest fibrin(ogen) contributes to VT via multiple mechanisms.

**Hyperfibrinogenemia and VT**—Elevated total fibrinogen is correlated with increased VT risk [11–15], and risk is concentration dependent and present in both men and women. Studies using transgenic mice and murine infusion models have associated elevated fibrinogen with increased prothrombotic biomarkers (e.g., <sub>D</sub>-dimer) [16], shorter time to vessel occlusion, and increased thrombus fibrin content [17]. Compared to controls, thrombi in fibrinogen-infused mice also show increased resistance to fibrinolysis [18]. These findings indicate hyperfibrinogenemia is not merely a biomarker of VT risk, but is causative in VT etiology.

Abnormal fibrin structure and stability in VT—Several studies have reported abnormal plasma clot structure and/or stability in VT, even when circulating fibrinogen levels are normal. Compared to controls, plasma clots from patients with a history of cryptogenic stroke or idiopathic VT show increased fibrin network density, reduced permeability, and increased lysis times [19,20]. Interestingly, compared to plasma clots from patients with deep vein thrombosis, plasma clots from patients that experienced pulmonary embolism are less compact and more susceptible to fibrinolysis [20,21]. In addition, although congenital dysfibrinogenemia is rare among VT patients [12], ~20% of dysfibrinogenemias are associated with thrombophilia and demonstrate abnormal fibrin network structure, reduced interactions between fibrin(ogen) and tissue plasminogen activator (tPA) [22] or plasminogen [23], and increased resistance to lysis. In total, these *in vitro* data suggest abnormal fibrin network structure and stability contribute to VT.

**Fibrinogen**  $\gamma'$ -chain and VT—The genes encoding the fibrinogen chains are coregulated to maintain the level of fibrinogen in circulation [reviewed in Ref. 24]. However, the levels of the  $\gamma$ A- and  $\gamma'$ -chains are mediated by independent mechanisms that differentially regulate their expression. Expression of  $\gamma'$ -containing fibrinogen is disproportionally increased by interleukin-6-dependent inflammatory responses [25], suggesting an independent relationship between the  $\gamma'$ -chain, inflammation, and thrombosis. Accordingly, although total fibrinogen levels are positively correlated with thrombosis risk, the fraction of circulating  $\gamma'$ -fibrinogen ( $\gamma'$ /total fibrinogen ratio) modulates risk independently of the total fibrinogen level. Notably, a reduced  $\gamma'$ -to-total fibrinogen ratio is associated with increased risk of VT [26,27], suggesting  $\gamma'$  fibrinogen is protective in VT.

Determining the operant mechanisms has been difficult because  $\gamma A/\gamma'$  fibrinogen exhibits both procoagulant and antithrombotic properties [reviewed in Ref. 28]. Briefly, compared to  $\gamma A/\gamma A$  clots, clots that contain  $\gamma'$  fibrinogen have a denser network of thin fibrin fibers, reduced permeability, reduced plasminogen binding, and increased resistance to fibrinolysis. Compared to  $\gamma A/\gamma A$  fibrinogen,  $\gamma A/\gamma'$  fibrinogen can also bind and sequester thrombin with higher affinity, protecting thrombin from inactivation by antithrombin. These properties are consistent with prothrombotic functions. However,  $\gamma'$  fibrinogen also exhibits impaired polymerization. Recent studies have shown that a  $\gamma'$  carboxyl-terminal peptide reduces plasma thrombin generation even in the presence of anti-factor VIII antibody, suggesting  $\gamma'/$ thrombin interactions reduce factor V activation [29]. By reducing thrombin generation, this peptide also increases the sensitivity of coagulation to activated protein C, thus augmenting endogenous anticoagulant mechanisms [30].

Studies to determine the contribution of the  $\gamma'$ -chain to thrombosis *in vivo* have consistently demonstrated anti-thrombotic effects [31–33]. Transgenic expression of the human  $\gamma'$ -chain reduces thrombus volume in mice that are heterozygous for the factor V Leiden mutation [31]. A peptide mimicking the  $\gamma'$ -chain C-terminus inhibits fibrin-rich thrombus formation in a baboon model [32]. We recently infused mice with  $\gamma A/\gamma A$  or  $\gamma A/\gamma'$  fibrinogen isolated from human plasma [33]. Compared to controls,  $\gamma A/\gamma A$  infusion shortens the time to carotid artery occlusion, whereas  $\gamma A/\gamma'$  infusion does not. Additionally,  $\gamma A/\gamma'$  infusion reduces levels of circulating thrombin–anti-thrombin complexes [33]. These data are consistent with the premise that the  $\gamma'$ -chain reduces thrombin activity. By extension, these

data implicate the  $\gamma$ A-chain as the prothrombotic mediator in hyperfibrinogenemia-related thrombosis. Together, these findings illustrate pleiotropic contributions of fibrinogen to VT.

#### Fibrin(ogen) interactions with blood proteins and cells

Most studies of fibrin(ogen) function have used purified systems or plasmas. These studies have identified binding sites on fibrin(ogen) for soluble proteins involved in clot formation, stabilization, and fibrinolysis, including thrombin, FXIII, fibronectin, tPA, plasminogen, and plasmin. Fibrin(ogen) also interacts with cells and these interactions may contribute to the incorporation of cells into venous thrombi. For example, fibrin(ogen) contains recognition sequences for integrins including  $\alpha_M\beta_2$ ,  $\alpha_{IIb}\beta_3$ , and  $\alpha_v\beta_3$ , which mediate fibrin(ogen) interactions with leukocytes, platelets, and endothelial cells, respectively. These interactions modulate leukocyte function, platelet aggregation, and clot retraction and may anchor thrombi to the endothelium. Fibrin(ogen) also binds to RBCs via a motif involving fibrinogen A $\alpha$ -chain residues 207–303 [34]. This interaction influences both the erythrocyte sedimentation rate and blood viscosity (discussed below) [34–36].

## FXIII

#### FXIII structure and activation

FXIII is a member of the transglutaminase superfamily and circulates at 14–28  $\mu$ g mL<sup>-1</sup> [reviewed in Ref. 37]. Briefly, plasma FXIII consists of two catalytic subunits (FXIII-A) and two non-catalytic subunits (FXIII-B) that are tightly associated (Kd ~10<sup>-1</sup>0 M) [38] in a non-covalent, heterotetramer (FXIII-A<sub>2</sub>B<sub>2</sub>). Essentially all FXIII-A<sub>2</sub>B<sub>2</sub> circulates bound to fibrinogen. Homodimeric FXIII-A (FXIII-A<sub>2</sub>) is also present in cells, including megakaryocytes and platelets.

FXIII-A<sub>2</sub>B<sub>2</sub> is activated by thrombin-catalyzed release of N-terminal peptides from the FXIII-A subunits and calcium-mediated dissociation of the FXIII-B subunits, yielding activated FXIII-A2 (FXIIIa). FXIII-A2B2 activation is accelerated when it is bound to fibrinogen [39,40]. Interestingly, as FXIII-A2B2 does not compete with FXIIIa for binding to fibrin, FXIII-A<sub>2</sub>B<sub>2</sub> and FXIIIa appear to bind to somewhat distinct sites [40]. Older studies suggested FXIII-A<sub>2</sub>B<sub>2</sub> binds preferentially to the alternatively spliced fibrinogen  $\gamma'$ -chain; however, FXIII-A<sub>2</sub>B<sub>2</sub> binds to recombinant  $\gamma$ - and  $\gamma'$ -containing fibrinogen molecules with similar affinity (Kd ~40 n<sub>M</sub>) [41], suggesting residues outside the  $\gamma'$  region mediate this interaction. Smith et al. [42] detected high affinity binding of FXIII-A2B2 to a peptide containing amino acid residues 371–425 of the fibrinogen a C domain (Kd 5–30 n<sub>M</sub>); however, those experiments suggested the interaction between FXIII-A<sub>2</sub>B<sub>2</sub> and the  $\alpha$ C region may arise during FXIII activation and that other fibrinogen residues fulfill the carrier function for FXIII-A<sub>2</sub>B<sub>2</sub>. We recently showed that fibrin(ogen) with mutations within residues  $\gamma$ 390–396 exhibits decreased coprecipitation with FXIII-A<sub>2</sub>B<sub>2</sub>, suggesting these  $\gamma$ chain residues mediate this carrier function in blood [3]. Localization of FXIII-A<sub>2</sub>B<sub>2</sub> at residues  $\gamma$ 390–396 would conveniently position FXIIIa for rapid translocation to its nearby substrate residues on the  $\gamma$ -chain (Q398, Q399, and K406) following its activation. Interestingly, mice with alanine mutations in fibrinogen residues  $\gamma$ 390–396 (Fib $\gamma$ <sup>390–396A</sup>) exhibit delayed FXIIIa activation and delayed fibrin crosslinking [3]. Similar to the FXIII-A

 $^{-/-}$  mice, venous thrombi from Fib $\gamma^{390-396A}$  mice show reduced RBC content and smaller venous thrombi, suggesting the timing of FXIII activation and activity are critical determinants of venous thrombus composition and size [3].

#### FXIIIa transglutaminase activity and fibrin crosslinking

Both plasma- and platelet-derived FXIIIa catalyze the formation of  $\varepsilon$ -*N*-( $\gamma$ -glutamyl)-lysyl crosslinks within fibrin and between fibrin and antifibrinolytic proteins (e.g.,  $\alpha_2$ - antiplasmin) [43–45]. Within fibrin, FXIIIa establishes crosslinks between glutamine residues 398/399 and lysine 406 in the fibrin  $\gamma$ -chain and subsequently between glutamine and lysine residues in the  $\alpha$ -chain.

Crosslinking alters fibrin network morphology, including reduced pore size, higher fiber density, and thinner fibers, although these changes are rather small [46,47]. Perhaps more importantly, FXIIIa has profound effects on fibrin integrity. Fibrin crosslinking decreases fiber extensibility and elasticity and increases the elastic modulus (stiffness) of both individual fibers [6–8] and whole clot networks [48]. FXIIIa-induced crosslinking of  $\gamma$ -chains, alone, is insufficient to stiffen fibrin networks, suggesting clot rigidity is associated with the formation of  $\gamma$ -multimers,  $\alpha$ -polymers, and  $\alpha\gamma$ -hybrid species [49]. Notably, studies using recombinant fibrinogen that cannot undergo  $\gamma$ -chain crosslinking ( $\gamma$ Q398N/Q399N/K406R) reveal minor contributions of  $\gamma$ -chain crosslinking to fibrin elasticity, but larger contributions of  $\alpha$ -chain crosslinking [50–52]; clot stiffness increases 2.5-fold even with only  $\alpha$ -chain crosslinking, and only a smaller increase is observed with full ( $\gamma$ - and  $\alpha$ -chain) crosslinking [50]. Collectively, these studies suggest  $\gamma$ - and  $\alpha$ -chain crosslinking make distinct contributions to clot function.

#### FXIII polymorphisms in VT

Genetic studies have associated FXIII polymorphisms with variable risk for VT, suggesting that FXIII activation kinetics and/or function alter clot quality [reviewed in Ref. 53]. The FXIII Val34Leu polymorphism has received the most attention. This polymorphism is present in ~25% of European Caucasians and causes 2.5-fold faster FXIII activation and faster fibrin crosslinking *in vitro* [54–56]. The presence of this polymorphism may convey slight protection against VT in certain populations [55]. In plasmas with normal fibrinogen levels, this variant produces clots with thinner fibers and decreased permeability, whereas in plasmas with high fibrinogen, it produces thicker fibers and increased permeability and susceptibility to fibrinolysis [57]. Importantly, however, as most prior studies have used purified systems and cell-free plasmas, the contributions of FXIII activation/activity and the FXIII Val34Leu polymorphism in whole blood-based models of VT have not been fully defined.

#### Red blood cells

Findings that RBCs are a major determinant of venous thrombus size and that thrombus RBC content can be reduced by FXIII(a) inhibition [3] lead to important questions about the contributions of RBCs to venous thrombus formation and stability, and consequences of decreasing thrombus RBC content.

#### **RBCs in circulation**

RBCs have a characteristic biconcave but deformable structure that allows them to traverse capillary networks and deliver oxygen via their hemoglobin-rich cytoplasm. RBCs circulate at ~4.2–6.1 × 10<sup>9</sup> mL<sup>-1</sup>, although levels are slightly higher in men than in women. The RBC level can be elevated at high altitudes, in conditions associated with hypoxia (e.g., smoking, lung disease, and heart disease), and with hematologic disorders including polycythemia vera.

#### **RBCs in coagulation and VT**

Growing evidence suggests RBCs contribute to blood coagulation. For example, bleeding times shorten as hematocrit rises in anemic, normal, and polycythemic individuals [58]. In addition, elevated levels of RBCs are associated with increased VT risk in both men and women [59–62]. Abnormal RBC morphology and function, as exhibited in sickle cell disease (SCD), is also associated with VT [63]. However, the mechanism by which RBCs alter coagulation remains unclear. The effects of RBCs on coagulation are usually attributed to their rheological effects on blood. However, RBCs also interact with other blood cells, can support thrombin generation, and have antifibrinolytic activity.

**RBCs mediate blood rheology**—RBCs are the major determinant of blood rheology because of their prevalence, size, deformability, and ability to undergo reversible aggregation. In venous circulation (low shear), RBCs tend to aggregate (rouleaux formation) and increase blood viscosity. Increased blood viscosity is a risk factor for VT [64]. RBC aggregation and blood viscosity are mediated by interactions between the RBC membrane and plasma proteins, including fibrinogen, immunoglobulins, and albumin [65]. Consequently, inflammatory processes that increase fibrinogen levels also increase blood viscosity. These effects have been implicated in the association between elevated hematocrit, hyperfibrinogenemia, and VT. However, it remains unclear whether this relationship is merely correlative or is also causative in VT etiology.

**RBCs interact with fibrin(ogen)**—Two potential RBC receptors have been implicated in fibrinogen binding. Fibrinogen–RBC interactions can be inhibited by the integrin-blocking molecule eptifibatide and are not supported by RBCs lacking  $\beta_3$  isolated from patients with Glanzmann thrombasthenia [66], implicating  $\beta_3$  or a  $\beta_3$ -like molecule on the RBC surface. However, that study [66] did not rule out the possibility that RBC-bound platelets mediate this interaction. Fibrinogen–RBC interactions can also be blocked with an antibody against the integrin-associated protein CD47 [67]. As CD47 was originally identified for its interaction with  $\alpha_v\beta_3$ ,  $\alpha_{IIb}\beta_3$ , and  $\alpha_2\beta_1$  integrins, it is possible that the RBC binding site comprises a complex with both of these molecules. It is interesting to speculate that interactions between one or both of these receptors and fibrin(ogen) contribute to RBC incorporation in venous thrombi or that blocking these interactions may reduce blood viscosity, and consequently VT risk.

**RBCs interact with cells**—RBCs can interact with other cells, including leukocytes, platelets, and endothelial cells. For example, RBC ICAM-4 can bind leukocyte  $\beta_1$  and  $\beta_2$  integrins [68,69] and platelet  $\alpha_{IIb}\beta_3$  [70]. RBC ICAM-4 also interacts with integrin  $\alpha_v$  [71].

RBCs are the first cells to adhere to ferric chloride-treated, intact arterial endothelium, *prior to* the arrival of platelets [72]. This interaction is not dependent on von Willebrand factor or GP1ba; however, the molecular receptors on RBCs and the endothelium that mediate this interaction have not been identified [72]. Interestingly, RBCs exhibit temporal changes in gene expression during erythropoiesis [73], suggesting stage-specific receptors may decorate RBCs during differentiation and further refine these interactions.

**RBCs support thrombin generation**—A small percentage (~0.5%) of RBCs circulate with exposed phosphatidylserine (PS) on their outer membranes [74], suggesting RBCs can assemble prothrombinase complexes and support thrombin generation. Interestingly, although levels of both PS-positive RBCs and platelets are elevated in patients with SCD genotypes, only PS-positive RBCs correlate with circulating biomarkers of coagulation activation, including F1.2 and <sub>D</sub>-dimer [74]. This finding suggests PS-positive RBCs are the primary cell responsible for thrombophilia in SCD. *In vitro* studies support this premise; when added to platelet-poor plasma, RBCs shorten the lag time and increase the peak of thrombin generation similar to that seen with platelets [75,76], although in contrast to platelets, thrombin generation on RBCs occurs through the meizothrombin pathway [77]. RBCs can also produce microvesicles that activate proco-agulant and complement pathways *in vitro* [78–80]. These findings suggest RBCs promote VT and that blocking RBC incorporation in venous thrombi would decrease fibrin formation.

**RBCs alter fibrin structure and stability**—RBCs alter fibrin network structure [81,82] and reduce fibrin network permeability [83]. RBCs also suppress plasmin generation and reduce clot dissolution [82]. In the presence of the substantial contractile forces induced by platelets during clot retraction [84,85], RBCs are dramatically compressed, which further reduces clot permeability and restricts access of fibrinolytic enzymes to the clot [2,86]. Although this phenomenon was noted in thrombi harvested from the arterial vasculature [2], intravascular clot contraction and polyhedrocyte formation is likely to have a significant impact on VT as well, because these thrombi contain platelets and large numbers of RBCs. These data suggest reducing RBC content in thrombi may be a novel therapeutic approach for reducing VT.

## Conclusions

Continued studies are needed to delineate the pathophysiologic mechanisms that mediate fibrinogen, FXIII, and RBC interactions during VT. Identification of the RBC receptor that binds fibrin(ogen), and characterization of the FXIIIa substrate that mediates RBC retention in retracted clots may provide new therapeutic targets for reducing blood viscosity and decreasing VT. Importantly, future investigations of pathologic mechanisms mediating VT should utilize holistic systems that include plasma and cells and permit the dynamic interplay between fibrinogen, FXIII, and RBCs that occurs during VT *in vivo*.

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## Fig. 1.

Venous thrombi contain regions of high red blood cell (RBC) and fibrin content. Left) Gross image of a segment of human venous thrombus (pulmonary embolus) collected at autopsy at UNC Hospitals. Note the presence of darker (RBC rich) regions. Image courtesy of Vincent J. Moylan, Jr, MS, PA(ASCP), UNC at Chapel Hill, School of Medicine. Right) Transmission electron micrograph of a pulmonary embolus showing the 'brick-and-mortar' organization of RBCs and fibrin within the thrombus.



## Fig. 2.

Factor XIII(a) (FXIII[a]) mediates red blood cell (RBC) retention in thrombi. During venous thrombosis (VT), platelets mediate thrombus contraction. FXIII activity increases RBC retention in retracted thrombi (left arrow). If FXIII activity is deficient or activation is delayed, fewer RBCs are retained, resulting in a smaller thrombus (right arrow).