

Deep Learning Meets Biomedical Ontologies: Knowledge Embeddings for Epilepsy

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Abstract

While biomedical ontologies have traditionally been used to guide the identification of concepts or relations in biomedical data, recent advances in deep learning are able to capture high-quality knowledge from textual data and represent it in graphical structures. As opposed to the top-down methodology used in the generation of ontologies, which starts with the principled design of the upper ontology, the bottom-up methodology enabled by deep learning encodes the likelihood that concepts share certain relations, as evidenced by data. In this paper, we present a knowledge representation produced by deep learning methods, called Medical Knowledge Embeddings (MKE), that encode medical concepts related to the study of epilepsy and the relations between them. Many of the epilepsy-relevant medical concepts from MKE are not yet available in existing biomedical ontologies, but are mentioned in vast collections of epilepsy-related medical records which also imply their relationships. The evaluation of the MKE indicates high accuracy of the medical concepts automatically identified from clinical text as well as promising results in terms of correctness and completeness of relations produced by deep learning.

Introduction

Over the past two decades, the biomedical research community has increased its efforts to produce ontologies encoding biomedical knowledge, justified by the steady increase in biological and biomedical research and the growth of data that is being collected in all areas of biology and medicine. Not only is the number of ontologies increasing and their size growing, but their relevance in biomedical research is also rising as they contribute to the interpretation of the biomedical data and enable complex inference from their encoding. The BioPortal* of the National Center for Biomedical Ontology (NCBO) is the most comprehensive repository of biomedical ontologies in the world (as of this writing it includes 541 ontologies, with almost 8 million classes and almost 40 million indexed records). Many of the ontologies available from the BioPortal became widely used resources, e.g. the Gene Ontology¹ (GO), one of the most important resources available in genomics research. The survey published in Huang et al. (2009)² discusses 68 bioinformatics enrichments tools informed by GO, that have played a very important and successful role contributing to the gene functional analysis of large gene lists for various high-throughput biological studies, evidenced by thousands of publications citing these tools. Moreover, Lependu et al. (2011)³ showed that it is possible to create reference annotation sets for enrichment analysis[†] using other ontologies than the GO, still available from BioPortal, for example, the Human Disease Ontology (DO). As reported in Noy et al. (2009)⁴, the ontologies in the BioPortal are publicly available in several formats, including OWL, RDF, OBO format or the Protege frame language. As such, they follow the principles of the OBO Foundry⁵, forming graph-theoretic structures, with concepts connected by edges representing relations such as 'Is-A' or 'Part-Of' or others from the OBO Relation Ontology (RO), generating well-principled ontologies for many biomedical domains.

Recently, a new ontology was added in the BioPortal, namely the Epilepsy Syndrome and Seizure Ontology[‡] (ESSO), encoding 2,705 classes with an upper ontology targeting epilepsy as a disease and designed to be machine readable and to allow for federated queries across distributed databases and patient data capturing systems. The availability and development of the ESSO ontology answers the recommendations of the Institute of Medicine report⁶ for promoting the understanding of epilepsy by increasing the power of data in comprehensive, timely, and accurate epilepsy surveillance. Because epilepsy affects an estimated 2.2 million people in the United States, it is one of the most common neurological disorders. However ontological resources for this disorder are only now starting to become available to biomedical researchers. Nevertheless, large clinical datasets relevant to epilepsy are also becoming available. For example, the Temple University Hospital (TUH) EEG Corpus⁷ assembles over 25,000 sessions of electroencephalography (EEG) of 15,000 patients collected over 12 years. Clinical electroencephalography is an electrophysiological monitoring method used to record electrical activity of the brain,

*<http://bioportal.bioontology.org>

[†] Gene set enrichment (also functional enrichment analysis) is a method to identify classes of genes or proteins that are over-represented in a large set of genes or proteins, and may have an association with disease phenotypes.

[‡]<http://bioportal.bioontology.org/ontologies/ESSO>

representing the most important investigation in the diagnosis and management of epilepsies. As expected, EEG reports contain a wealth of epilepsy-related knowledge, derived from clinical practice. This knowledge is expressed by clinical language used in the reports and it explicitly mentions many of the concepts that can be linked to the ESSO ontology. Moreover, many implicit relations between these concepts can be inferred from the EEG reports. While biomedical ontologies have traditionally been used to guide the identification of concepts or relations in biomedical data, recent advances in deep learning were able to capture knowledge from textual data enabling an alternative knowledge representation.

This alternate knowledge representation, known as *knowledge embeddings* (KE), incorporates deep learning to model the interactions between concepts and relations and generate graphical knowledge structures. Knowledge embeddings are defined as multi-dimensional continuous vector representations of concepts and their relations. The KE methods were inspired, as reported in Weston et al. (2013)⁸, by the work of Craven et al. (1999)⁹, which matched the Yeast Protein Database with PubMed abstracts.

In this paper, we aim to investigate the *medical knowledge embeddings* (MKE) automatically learned from the TUH EEG corpus, encoding multiple EEG findings (e.g. EEG events and activities), associated medical problems, and treatments. Unlike the top-down methodology used in the generation of ontologies, which starts with the principled design of the upper ontology, the bottom-up methodology enabled by deep learning observes the likelihood that concepts share certain relations, as evidenced by data. Specifically, whereas the edges in an ontology graph represent hand-coded “hard” relations between entities, the edges in the knowledge graph are “softer”, i.e. probabilistic in nature. Unlike concepts and relations encoded in the BioPortal ontologies, the MKE associate relations between medical concepts with a probability or likelihood, enabling a probabilistic representation of biomedical knowledge. Thus, the MKE are able to account for the variability and inconsistencies in the way this knowledge is expressed in natural language by assigning more *plausible* relations a higher likelihood. While previous KE were generated from human curated knowledge bases, our work is unique in that we automatically extract entities and relations from free text in a data-driven approach. To the best of our knowledge, this is the first report of an the development of an embedded medical knowledge graph using free text clinical records.

We learned MKE representing 1,195,927 instances of binary relations between epilepsy-related concepts. These relations involved 2,442 instances of medical concepts. We evaluated the MKE by (1) the quality of the medical concepts identified in EEG reports; (2) assessing the plausibility of the potential relations discovered in EEG reports as well as (3) measuring the knowledge completeness as a form of link prediction¹⁰. We believe that the MKE encode medical knowledge that is complimentary to the knowledge available in traditional ontologies and can be used (1) to provide data-driven knowledge that can be linked to ontologies from BioPortal, and (2) as a potential mechanism for enriching existing ontologies using the learned concepts, relations, and probabilities.

Background

Recently, qualified medical knowledge graphs (QMKGs) automatically discerned from medical records have been used successfully in a system designed for patient cohort identification^{11,12}. As reported in Goodwin & Harabagiu (2013)¹³ the QMKG was generated using big-data techniques applied to a large set of clinical records available to the participants in the TREC Medical Records track (TREC Med), a task developed in 2011 and 2012 as an Information Retrieval challenge pertinent to real-world clinical medicine and evaluated in the annual TExt Retrieval Conference (TREC) hosted by the National Institute for Standards and Technology (NIST). In another TREC special track on Clinical Decision Support (TREC-CDS), the system reported in Goodwin & Harabagiu (2016)¹⁴ used a knowledge representation as a Clinical Picture and Therapy Graph (CPTG) which was automatically acquired from the MIMIC-III¹⁵ clinical database. The TREC-CDS has addressed the challenge of retrieving bio-medical articles relevant to a medical case when answering one of three generic medical questions: (a) “what is the diagnosis?”; (b) “what test(s) should be ordered?”; and (c) “which treatment(s) should be administered?”. The system described in Goodwin & Harabagiu (2016)¹⁴ answered these types of questions by relying on a medical knowledge representation as a factorized Markov network¹⁶, suited ideally for answer inference.

Medical knowledge embeddings (MKE) enable a new probabilistic knowledge representation which differs from the QMKG and the CPTG because (1) the relationships are not informed only by cohesive properties of texts, but by patterns of interactions between medical concepts, as captured by deep learning methods; and (2) similar medical concepts and relations share the same neighborhoods in the multi-dimensional space enabled by the knowledge embeddings. The latter property resolves semantic heterogeneity which arises when disparate terminology is used to refer to the same concepts or relations while identical terms may refer to distinct concepts. As noted in Sahoo et al. (2014)¹⁷ a seizure with alteration of consciousness

may be referred to as *complex partial seizure*, *dialeptic seizure* or *focal dyscognitive seizure* by different epilepsy experts. An MKE representation should place all these expressions in a similar location of the multi-dimensional space, as it learns that they are involved in the similar relations with other epilepsy-relevant concepts. Thus, unlike the Epilepsy and Seizure Ontology¹⁷ (EpSO), the MKE representation does not require reconciliation of semantic heterogeneity, while being used for retrieving patient cohorts from medical records¹⁸.

Data

In this work, we used the EEG reports publicly available from the Temple University Hospital (TUH), comprising over 25,000 EEG reports from over 15,000 patients collected over 12 years. Following the American Clinical Neurophysiology Society Guidelines for writing EEG reports, the reports from the TUH EEG Corpus start with a *clinical history* of the patient, including information about the patient's age, gender, and conditions prevalent at the time of the recording followed by a *list of the medications* the patient is currently taking that might modify the EEG (e.g. "Keppra", "Lamictal"). Both initial sections depict the clinical picture of the patient, containing a wealth of medical concepts, including the medical problems (e.g. "seizures"), signs, and symptoms (e.g. "loss of consciousness") as well as significant medical events which may be temporally grounded (e.g. "2 years ago"). The following sections of the EEG report target mostly information related to the EEG techniques, findings and interpretation. The *introduction section* describes the techniques used for the EEG (e.g. "digital video routine EEG", "standard 10-20 electrode placement system with additional anterior temporal and single lead EKG"), as well as the patients conditions prevalent at the time of the recording (e.g., fasting, sleep deprivation), level of consciousness (e.g. "during wakefulness"), and possible activating procedures that were performed (e.g. "hyperventilation"). The *description section* is the mandatory part of the EEG report, and it provides a complete and objective description of the EEG signal, noting all observed activity (e.g. "frontocentral beta activity"), patterns (e.g. "K-complexes") and events (e.g. "eye opening"). The *impression section* states whether the EEG test is normal or abnormal (i.e. indicating some form of cerebral dysfunction). If the impression is abnormal, then the abnormalities are listed in order of importance. The *clinical correlation section* explains what the EEG findings mean in terms of clinical interpretation (e.g. "findings are consistent with idiopathic generalized epilepsy").

Methods

Bottom-up knowledge acquisition methods rely on the automatic identification of concepts and relations from data to enable (i) the population of the knowledge representation and (ii) linking the acquired knowledge to existing ontologies. In learning medical knowledge embeddings (MKE) from EEG reports we do not only perform bottom-up acquisition of medical knowledge from EEG reports, but we also represent the knowledge probabilistically in a multi-dimensional space and perform inference on it. To do so, we followed a methodology which involves the following four steps:

STEP 1: Decide which medical concepts and which relations between them are expressed in the EEG reports;

STEP 2: Automatically generate the Knowledge Graph by extracting medical concepts and relations from the EEG reports;

STEP 3: Learn Medical Knowledge Embeddings (MKE) from the associated Knowledge Graph;

STEP 4: Perform inference with MKE.

It is to be noted that the the MKE represent only knowledge available from the EEG reports, which do not discuss the taxonomic organization of medical concepts or their paronymy relations. These forms of relations are encoded in medical ontologies, thus the MKE provide complementary knowledge to medical ontologies. However, many of the concepts represented in the MKE are also encoded in existing medical ontologies, providing a simple mechanism of linking the MKE to various ontologies available in BioPortal. For example, the clinical history and the medication list of EEG reports mention multiple medical concepts already encoded in the Unified Medical Language System (UMLS)¹⁹ ontology:

Example 1: CLINICAL HISTORY: This is a 20-year-old female with history of seizures described as generalized tonic-clonic with loss of consciousness for a few minutes. Last seizures occurred 2 years ago.

MEDICATIONS: Keppra and Lamictal.

Medical problems such as *seizures*, and treatments such as "Keppra", "Lamictal" are encoded in UMLS while concepts such as *idiopathic generalized epilepsy* will be linked both to UMLS and the ESSO ontology. However, these ontologies do not capture relations between such concepts that are implied in the EEG reports, e.g. which brain activities evidence some epilepsy-specific medical problems. Our four-step methodology aims to capture and represent such relationships, while also providing their probabilistic likelihood, learned automatically from the medical practice evidenced in the large corpus of EEG reports.

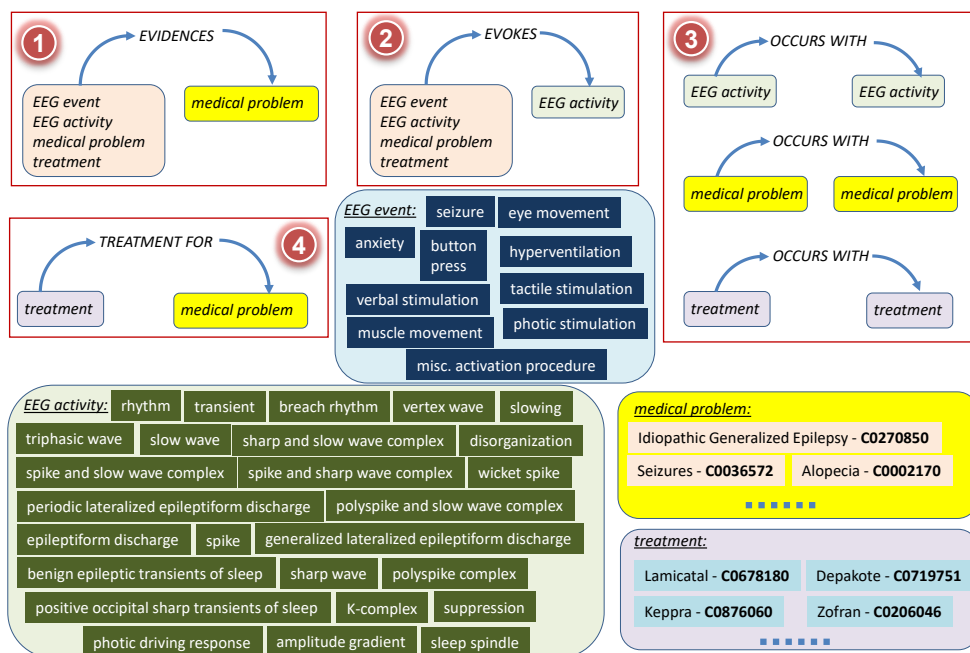


Figure 1: Medical concepts and relations considered for Medical Knowledge Embeddings (MKE)

STEP 1: Decide which medical concepts and relations between them are expressed in EEG reports

In addition to medical problems and treatments that describe the clinical picture and therapy of a patient, EEG reports mention EEG events, which represent stimuli that activates the EEG (e.g. *hyperventilation*) and EEG activities, representing brain waves or sequences of waves²⁰. The section of the EEG reports describing the EEG record mention a multitude of EEG activities and events recognized by the neurologist from the analysis of the EEG signal. The following example illustrates mentions of EEG events such as *photic stimulation* and *eye opening*, while mentions of EEG activities are *beta activity* and *polyspike discharges*:

Example 2: DESCRIPTION OF THE RECORD: ... the alpha rhythm was 9-10 Hz in frequency seen in the occipital region, which attenuates with eye opening. ... Photic stimulation was performed at multiple flash frequencies and results in a symmetric driving response without any photoparoxysmal response.

EEG activities are also mentioned in the impression section and in the clinical correlation section. Thus we decided to encode in the MKE four types of medical concepts: (1) EEG events; (2) EEG activities; (3) medical problems and (4) treatments. Whenever these concepts are also encoded in other ontologies, we linked to them. For example, medical problems such as *idiopathic generalized epilepsy*, when identified in an EEG report, with methods developed in the STEP 2 of our methodology, shall be linked to UMLS through its concept unique identifier (CUI). In addition to these four types of concepts, we decided to discern four types of binary relations that are implicit in the EEG reports. Each of these relations operates between a *source argument* and a *destination argument*. The relations along with examples of the four types of medical concepts are illustrated in Figure 1. The four binary relation types that we considered were motivated by discussions with several practicing neurologists and surgeons, corresponding to the implicit knowledge they discern from EEG reports. As shown in Figure 1, the EVIDENCES binary relation always has a medical problem as its destination concept, which is always mentioned in the clinical correlation section of the EEG report. The following example shows how the medical problem *idiopathic generalized epilepsy*, is evidenced by findings such as *polyspike discharges*, which is a mention of an EEG activity, in the impression section:

Example 3: IMPRESSION: This is an abnormal EEG recording capturing wakefulness through stage II sleep due to generalized spike and wave and polyspike discharges seen during wakefulness. CLINICAL CORRELATION: The above findings are consistent with idiopathic generalized epilepsy.

Table 1: Examples of the Relations and Concepts expressed in EEG reports.

Evidences	Evokes
<p>⟨seizures, EVIDENCES, idiopathic generalized epilepsy⟩</p> <p>⟨polyspike discharges, EVIDENCES, idiopathic generalized epilepsy⟩</p> <p>⟨facial grimacing, EVIDENCES, psychogenic seizure⟩</p> <p>⟨toxoplasmosis, EVIDENCES, degenerative brain disorder⟩</p>	<p>⟨photic stimulation, EVOKES, photic driving response⟩</p> <p>⟨hyperventilation, EVOKES, slowing⟩</p> <p>⟨seizures, EVOKES, periodic lateralized epileptiform discharge⟩</p> <p>⟨shaking, EVOKES, rhythm⟩</p>
Treatment For	Occurs With
<p>⟨lamictal, TREATMENT-FOR, idiopathic generalized epilepsy⟩</p> <p>⟨depakote, TREATMENT-FOR, generalized anxiety disorder⟩</p> <p>⟨dilatant, TREATMENT-FOR, hematoma, subdural, chronic⟩</p> <p>⟨ampicillin, TREATMENT-FOR, infection of foot⟩</p>	<p>⟨keppra, OCCURS-WITH, lamictal⟩</p> <p>⟨encephalopathies, OCCURS-WITH, occipital lobe epilepsy⟩</p> <p>⟨cerebral dysgenesis, OCCURS-WITH, recurrent convulsions⟩</p> <p>⟨spike and slow wave complex, OCCURS-WITH, polyspike complex⟩</p>

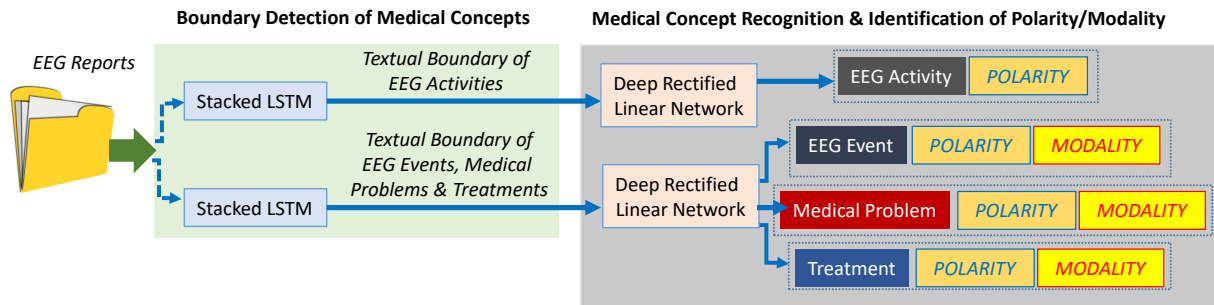


Figure 2: Deep Learning Architectures used for Recognizing Qualified Medical Concepts from EEG Reports.

As shown in Figure 1, the EVIDENCES relation considers EEG events, EEG activities, treatments, and medical problems as providing *evidence* for the medical problem from the clinical correlation section of the EEG report. The EVOKES binary relation always has an EEG activity as a destination concept, as it attempts to capture the medical concepts that *evoke* the respective EEG activity. Those medical concepts can be either EEG events, or other EEG activities, medical problems or treatments followed by the patient. The third relation, namely OCCURS-WITH constraints both its arguments to be of the same type, e.g. either EEG activities, medical problems or treatments. The TREATMENT-FOR relation captures the treatments prescribed for certain medical problems. Table 1 illustrates examples of each of the four relations we considered, involving medical concepts illustrated in Figure 1, which lists all the EEG events and EEG activities that we decided to encode in the MKE, while providing several examples of medical problems and treatments, along with their UMLS CUIs. We used the vocabularies of EEG Activities and EEG Events from Maldonado et al. (2017)²¹ based on the International Federation of Clinical Neurophysiology’s glossary of terms²⁰.

STEP 2: Automatically generate the Knowledge Graph by extracting medical concepts and relations from the EEG reports

The extraction of medical knowledge from EEG reports consists of (1) automatic identification of medical concepts and (2) binary relation detection. Medical concept identification aims to recognize all the four types of concepts mentioned in EEG reports, along with their inferred *polarity* and *modality*. For identifying polarity of medical concepts in EEG reports, we considered that each concept can have either a negative or a positive polarity, depending on whether the medical concept was negated or not in the text. The recognition of the modality, as in Maldonado et al. (2017)²¹ uses the modality values of factual, possible, and proposed to indicate that medical concepts mentioned in the EEG reports are actual findings, possible findings and findings that may be true at some point in the future, respectively. Through the identification of modality and polarity of the clinical concepts, we aimed to capture the neurologists beliefs about the clinical concepts mentioned in the EEG report. Thus our medical concept identification method needed also to qualify the concepts by their polarity and modality.

Medical Concept Identification was performed by taking advantage of our existing active deep learning methodology²¹, which is illustrated in Figure 2. This methodology first uses two stacked Long Short-Term Memory (LSTM) networks for detecting the boundaries of medical concepts in the text of the EEG report. The task of identifying spans of text that correspond to mentions of medical concepts is called *boundary detection*. We relied on one stacked LSTM to identify the boundaries of EEG activities and another stacked LSTM to identify the boundaries of EEG events, medical problems and treatments. The

motivation for using two separate stacked LSTM networks is determined by the fact that different features are used to identify the boundaries of EEG activities than boundaries of the other three types of medical concepts, as detailed in Maldonado et al. (2017)²¹. Once the boundaries of each medical concepts are known, two Deep Rectified Linear Networks (DRLNs) are used to (a) identify the medical concepts and (b) discern their polarity and modality. EEG activities are identified only with their polarity, as their mentions are always factual, as illustrated in Figure 2. Moreover, medical problems and treatments are both normalized into UMLS concepts using MetaMap Lite²².

Detecting Relations between Medical Concepts was possible when pairs of medical concepts identified in the same EEG report were considered. Specifically, we established the four types of relations illustrated in Figure 1 by considering: (1) a potential EVIDENCES relation between any medical concepts from an EEG report and a medical problem identified in its clinical correlation section; (2) a potential EVOKES relation between any medical concept and an EEG activity, provided that the treatments were not identified in the clinical correlation section, as they may indicate possible or recommended treatments; (3) a potential OCCURS-WITH relation between pairs of EEG activities, medical problems and treatments that are identified in the same section of the EEG report; and (4) a potential TREATMENT-FOR relation between any treatment and a medical problem identified in the history section of the EEG report. We discard potential relations involving medical concepts with “negative” polarities and “possible” modalities since these medical concepts, while mentioned, were not actually observed. All these potential relations are indicative of implied relations, that are not always directly stated in the text of the EEG report.

Taken together, the set of medical concepts extracted from the entire TUH EEG corpus along with the collection potential relations between them constitute a *Knowledge Graph*, $G = \{V, E\}$ where V is the set of graph vertices and E is the set of graph edges. In our knowledge graph, V is the set of medical concepts and E is the set of relations between them. The medical knowledge embeddings learned in the following step will provide the likelihood of any example of one of these relations.

STEP 3: Learning medical knowledge embeddings (MKE) from the associated knowledge graph

Learning MKE is made possible by relying on the TransE¹⁰ method, widely used^{23–25} for representing multi-relational data corresponding to concepts and relations by modeling concepts as points in a continuous vector space, \mathbb{R}^N , called the *embedding space*, where N is a parameter indicating the dimensionality of the embedding space. In our use of the TransE framework, relations between medical concepts are represented as *translation vectors*, also in \mathbb{R}^N , that connect the two points representing the two medical concepts in the embedding space. TransE learns an embedding, \vec{c}_i , for each concept c_i and an embedding, \vec{r} , for each relation type r such that the relation embedding is a *translation vector* between the two concept embeddings representing its arguments. This means that for any medical concept c_i , the concept most likely to be related to c_i by the relation r should be the medical concept whose embedding is closest to $(\vec{c}_i + \vec{r})$ in the embedding space. By modeling the medical concepts as points in the embedded space and the relations between them as translation vectors, we can measure the *plausibility* of any potential relation between any pair of concepts using the geometric structure of the embedding space. The plausibility of a relation between a source medical concept and a destination medical concept, represented as a triple, $\langle c_s, r, c_d \rangle$, is inversely proportional to the *distance* in the embedding space between the point predicted by our model $(\vec{c}_s + \vec{r})$ and the point in the embedding space representing the destination argument of the relation, i.e. (\vec{c}_d) . In this work, we use Manhattan Distance as our distance function:

$$f(c_s, r, c_d) = \|\vec{c}_s + \vec{r} - \vec{c}_d\|_{L1} \quad (1)$$

where $\|\cdot\|_{L1}$ is the $L1$ norm. Using this distance function, *plausible* triples have low value of f (since $\vec{c}_s + \vec{r} \approx \vec{c}_d$ for plausible triples) and *implausible* triples have a high value of f .

Neural Network Architecture for learning MKE. To learn the optimal points and translation vectors, we use a neural network that will in fact produce the MKE. Formally, let C be the set of medical concepts found in the EEG reports and L be the set of relation types. Let $X = \{x^1 = \langle c_s^1, r^1, c_d^1 \rangle, \dots, x^m = \langle c_s^m, r^m, c_d^m \rangle\}$ be the set of m relation triples extracted from the corpus of EEG reports at Step 2; where each $c_s^i, c_d^i \in C$ is a medical concept and each $r^i \in L$ is a relation type. The embedding, \vec{c}_j^1 , for a concept c_j^1 is calculated by first generating a *one-hot* vector representation of c_j^1 given by $v(c_j^1)$ which is a $|C|$ -dimensional vector of zeros with a one in the dimension corresponding to the index of the concept c_j^1 in the set of concepts C . The embedding $\vec{c}_j^1 = v(c_j^1)\mathbf{E}$ is derived by multiplying the one-hot vector $v(c_j^1)$ with the *embedding matrix* $\mathbf{E} \in \mathbb{R}^{|C| \times N}$. Each row of \mathbf{E} corresponds to a medical concept embedding and the operation $v(c_j^1)\mathbf{E}$ corresponds to selecting the $v(c_j^1)^{th}$ row of \mathbf{E} . Likewise, the embedding for a relation type r^i is given by $\vec{r}^i = w(r^i)\mathbf{R}$ where $w(r^i)$ maps r^i to a one-hot vector of size

$|L|$ and \mathbf{R} is the relation embedding matrix. Consequently, Equation 1 can be computed using:

$$f(c_s^i, r^i, c_d^i) = \|v(c_s^i)\mathbf{E} + w(r^i)\mathbf{R} - v(c_d^i)\mathbf{E}\|_{L1} \quad (2)$$

To learn *useful* embeddings we must also define a training objective that encodes *useful* relationships. Inspired by the work of Bordes et al. (2011)²⁶, we use the following training objective: if either the source argument or destination argument from a training triple is removed, the model should be able to correctly predict the correct medical concept. For example, the model should ensure that value of $f(\text{keppra}, \text{TREATMENT-FOR}, \text{idiopathic generalized epilepsy})$ is less than the value of $f(\text{morphine}, \text{TREATMENT-FOR}, \text{idiopathic generalized epilepsy})$ since keppra is a treatment for idiopathic generalized epilepsy, but morphine is not. Formally, we wish to learn the values of \mathbf{E} and \mathbf{R} such that for any training triple $x_i = \langle c_s^i, r^i, c_d^i \rangle$, the following two constraints are met:

$$f(c_s^i, r^i, c_d^i) < f(c_s^j, r^i, c_d^i), \forall j: \langle c_s^j, r^i, c_d^i \rangle \notin X \quad (3)$$

$$f(c_s^i, r^i, c_d^i) < f(c_s^i, r^i, c_d^j), \forall j: \langle c_s^i, r^i, c_d^j \rangle \notin X \quad (4)$$

To learn the optimal embedding matrices \mathbf{E} and \mathbf{R} , we optimize the objective defined by the constraints outlined in Equations 3-4 by iterating the following process:

1. Randomly select a training triple $x^i = \langle c_s^i, r^i, c_d^i \rangle$ from X .
2. Create a *corrupted* version of the triple x_i^{neg} by selecting a medical concept c^{neg} at random from the set of medical concepts C and randomly replacing either c_s^i or c_d^i in x_i such that $x_i^{neg} \notin X$
3. Update \mathbf{E} and \mathbf{R} by backpropagating the ranking margin loss²³, $\max(0, \gamma + f(x_i) - f(x_i^{neg}))$, where γ is the *margin* parameter that determines how much of a margin should exist between triples in the training set and triples not in the training set.
4. Normalize each row e of E (i.e. $e := \frac{e}{\|e\|}$)

This process is repeated for each triple in X a fixed number of iterations (200,000 in this work). Our collection of 1,195,927 relation triples extracted from the TUH EEG corpus consisted of $|X| = 138,369$ unique relation triples. It is important to note that, as reported in Bordes et al. (2013)¹⁰, the normalization in the fourth step prevents the model from trivially minimizing the loss by artificially increasing entity embedding norms.

STEP 4: Performing Inference with the MKE graph

Inference from a knowledge base can be viewed as answering questions using its encoded knowledge. Answering questions like (Q1) “what is the most likely treatment for idiopathic generalized epilepsy?”, (Q2) “what EEG activity is most likely to occur with polyspike discharges?”, and (Q3) “what is the likelihood that a patient with background slowing is diagnosed with cerebral dysfunction?” requires the ability to perform probabilistic inference. The MKE can be used to perform probabilistic inference by (1) representing the question as a relation triple q and (2) measuring the *plausibility* of q using equation 1 with the embeddings matrices E and R automatically learned from the TUH EEG corpus. We estimated the probability of $q = \langle c_s^q, r^q, c_d^q \rangle$ in terms of the geometric structure of the embedding space. Formally:

$$P(c_s^q, r^q, c_d^q) = 1 - \frac{f(c_s^q, r^q, c_d^q)}{\sum_{\langle c_s^i, r^i, c_d^i \rangle \in X} f(c_s^i, r^i, c_d^i)} \quad (5)$$

For example, answering (Q1) is the result of $\hat{c}_s = \text{argmax}_{c_s \in C} P(c_s, \text{TREATMENT-FOR}, \text{idiopathic generalized epilepsy})$; answering (Q2) is the result of $\hat{c}_d = \text{argmax}_{c_d \in C} P(\text{polyspike discharges}, \text{OCCURS-WITH}, c_d)$; and answering (Q3) is the result of $P(\text{background slowing}, \text{EVOKES}, \text{cerebral dysfunction})$.

Experiments

To evaluate the MKE, we measure (a) the quality of the medical concepts that were extracted from the EEG reports as well as (b) the quality of the relations learned between them. When evaluating the medical concepts, we relied on the latest performance of our active deep learning annotation methodology²¹ and found that the quality of boundary detection of EEG activities had an F1-score of 0.9154 while the F1-score for detecting the boundaries of the other three forms of medical concepts was 0.9421. The identification of the medical concept type was performed with an F1-score of .9532 and the polarity was detected with an accuracy of 0.978 while the modality was recognized with an accuracy of 0.973.

Relation Type	PPA	MRR	P@10	H@10	H@100
EVIDENCES	86.04 %	96.44 %	77.22 %	63.37 %	84.43 %
EVOKES	94.22 %	96.10 %	84.62 %	84.91 %	97.17 %
OCCURS-WITH	90.00 %	62.30 %	45.58 %	27.77 %	68.36 %
TREATMENT-FOR	82.89 %	83.78 %	72.28 %	45.18 %	80.70 %
MICRO-AVERAGED	88.95 %	83.33 %	66.73 %	47.35 %	81.30 %

Table 2: Quality of relations encoded in the MKE, measured using Pairwise Plausibility Accuracy (PPA), Mean Reciprocal Rank (MRR), Precision at 10 (P@10), Hits at 10 (H@10) and Hits at 100 (H@100).

The relations represented in the MKE were evaluated in terms of (a) their plausibility; and (b) their completeness. The plausibility of relations encoded in MKE was assessed in three ways, measuring how well MKE *rank* triples from a test set T , of 1,000 relation triples held out from the data used to train the MKE. For each triple t in the test set, we randomly remove either the source or destination argument and produce a set of *candidate* triples by replacing the removed argument with every medical concept $c \in C$. We rank the candidate triples in ascending order according to the distance function f . This allows us to calculate the following metrics using the rankings produced from every triple in the test set:

- **Mean Reciprocal Rank (MRR)** is a standard ranking evaluation that measures how high the first correct triple is ranked according to the model. $MRR = \frac{1}{|T|} \sum_{i=1}^{|T|} \frac{1}{rank_i}$ where $rank_i$ refers to the rank of the first correct triple in the ranking, where a *correct* triple is defined as any triple from any of the training, validation, or tests sets.
- **Precision at 10 (P@10)** is another standard ranking evaluation that measures the percentage of the top K ranked triples are correct. As with MRR, correct triples are defined as any triple from any of the training, validation, or tests sets. The Precision at 10 evaluation shows how well the MKE ranks the triples about which the model is most confident.
- **Hits at K (H@10, H@100)** is a standard evaluation used for knowledge graph embeddings^{10,26} for evaluating link prediction. Hits at K measures how often the *specific* test triple t occurs in the K highest ranked triples, as opposed to precision which measures how often *any* correct triple occurs in the k highest ranked triples. We report both Hits at 10 and Hits at 100 to illustrate how often t is ranked among the most plausible triples, and how often t is ranked in the top 5% of triples.

The evaluation of completeness of the relations from the MKE also used the test set, T . We evaluated how well the MKE can *infer* new knowledge in the form of new relations from the test set. To measure how well the MKE can model relations of the held out triples from the test set, we consider each test triple, $t \in T$, and a *corrupted* version of the test triple, z , created by randomly replacing either the source argument or destination argument with a random medical concept and compute the *Pairwise Plausibility Accuracy* (PPA). The PPA measures the percentage of test triples for which the *plausibility*, $P(c_s^t, r^t, c_d^t)$, of the test triple t is higher than plausibility, $P(c_s^z, r^z, c_d^z)$, of the corrupted triple. PPA demonstrates how well the MKE can differentiate between a correct, t , and an incorrect triple, z , even if the model had never encountered t . For these evaluations, the MKE were learned from 137,369 training triples automatically extracted from the TUH EEG corpus as described in the Methods section. We selected the dimension of the embedding space $N = 50$ from [25,50,100,200] and the margin parameter $\gamma = 1.0$ from [0.1,1.0,5.0,10.0] using grid search on a validation set of 500 relation triples.

Table 2 presents these results. The results for the Pairwise Plausibility Accuracy show that the MKE can correctly distinguish between relations that occur in the data (but that the model has not seen during training) and corrupted relations 88.95% of the time. The micro-averaged Mean Reciprocal Rank of 83.33% indicates that for the majority of triples in the test set, the top ranked candidate triple is correct. While the MRR of the OCCURS-WITH relation is the lowest (62.3%), it should be noted that, on average, there is at least one correct candidate triple ranked in the top two. The Precision at 10 metrics show that 66.73% of the top 10 ranked triples were correct, in general. It is interesting to note that the results for the Hits at 10 metric have the most variability between relation types. For the OCCURS-WITH relation, test triple, t , only occurs within the top 10 ranked triples 27.77% of the time. In contrast, for the EVOKES relation, t occurs within the top 10 ranked triples 84.91% of the time. In general, the Hits at 100 results show that the MKE correctly ranks t in the top 5% of candidate triples 81.3% of the time.

Discussion

To analyze the correctness of medical knowledge distilled from EEG reports in the MKE, we manually inspected the 30 most plausible triples for each relation type. Specifically, for each triple, we determined whether that triple is consistent with established medical knowledge. Many of the triples in the MKE encode general knowledge which is difficult to judge. For example,

consider the triple $\langle \textit{Dilantin}, \text{TREATMENT-FOR}, \textit{disease} \rangle$. Determining whether or not *dilatatinin* is a treatment for *disease*, necessitates considering additional context specifying the disease. In general, we found the EVOKES relation type to have the highest percentage of correct triples, highlighting the ability of the MKE to capture neurological experience from EEG reports. By contrast, the MKE successfully identified a number of unexpected OCCURS-WITH relations, including $\langle \textit{hypothyroidism}, \text{OCCURS-WITH}, \textit{turner syndrome} \rangle$, and $\langle \textit{infantile spasms}, \text{OCCURS-WITH}, \textit{MELAS Syndrome} \rangle$. Whereas the coincidence of hypothyroidism and Turner Syndrome is fairly well known, the relationship between infantile spasms and MELAS syndrome is relatively obscure. Infantile Spasms, also known as West syndrome, is an exceedingly rare condition, with an estimated incidence in the United States of about 0.25-0.4 per 1000 live births²⁷. The MELAS syndrome is an even rarer inherited disorder of mitochondrial function which may be responsible for 8% of cases of infantile spasms²⁸. That the MKE recognized the connection between these two very rare conditions is quite interesting, and suggests that knowledge graph embedding holds promise for the elucidation of unusual concepts and relations from EEG reports in particular, and perhaps in medical reports more generally.

Owing to the data-driven nature of our technique, we generated some incorrect triples, as might be expected when using noisy free text data. For example, we observed two common types of errors when evaluating the EVIDENCES relation: (1) relation *inversion*, inverting the source and destination arguments of the relation; and (2) relation *confusion*, confusing one relation type with another. Consider the following example of a triple exhibiting relation inversion: (E1) $\langle \textit{liver cirrhosis}, \text{EVIDENCES}, \textit{encephalopathies} \rangle$. As defined in Figure 1, the source argument of the EVIDENCES relation is a medical concept suggesting or supporting the diagnosis listed in the destination argument. By contrast, it could be argued that, for triple (E1), the destination argument *encephalopathies* more commonly evidences the source argument *liver cirrhosis*. We believe these types of error could be addressed by incorporating semantic attributes (e.g. temporal information) to contextualize or constrain the arguments allowed for each relation type. Relation confusion is exemplified by the triple (E2) $\langle \textit{rifaximin}, \text{EVIDENCES}, \textit{brain diseases, metabolic} \rangle$. The source argument *rifaximin* is an antibiotic used in the management of the encephalopathy (i.e. the destination argument *brain diseases, metabolic*) related to severe liver failure. Thus, whereas there is a biologically plausible explanation for (E2), the EVIDENCES relation clearly does not accurately describe the relation; instead, the relation OCCURS-WITH may be preferred. This type of error could be mitigated in future work by introducing constraints into the knowledge embedding framework, as reported in Guo et al. (2015)²³. Finally, there were rare cases in which the MKE assigned a high plausibility to triples in which the source argument contradicts the destination argument, i.e. $\langle \textit{insulin}, \text{TREATMENT-FOR}, \textit{Diabetes Mellitus, Non-Insulin-Dependent} \rangle$. We believe that these types of error may be resolved by incorporating knowledge from existing ontologies to enforce consistency.

Conclusion

In this paper, we presented the medical knowledge embeddings (MKE) automatically learned from clinical text in EEG reports. Unlike traditional ontologies which encode curated knowledge, the MKE infers probabilistic knowledge by extracting a large number of potential relation triples. Experimental results demonstrate the promise of this approach and highlight the potential of the MKE for bridging the knowledge gaps of existing neurological ontologies. The MKE presented in this paper showcase the way in which deep learning techniques applied to large collections of medical records can supply medical knowledge derived from clinical practice to complement the knowledge already encoded in existing biomedical ontologies. By encoding the plausibility of medical knowledge, the MKE also enable probabilistic reasoning on its knowledge. Future work will consider techniques for learning plausibility thresholds that will allow MKE to be considered for curation and acceptance in existing, expert and community-validated biomedical ontologies.

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