

Pathogens and Disease, 74, 2016, ftw034

doi: 10.1093/femspd/ftw034 Advance Access Publication Date: 21 April 2016 Research Article

RESEARCH ARTICLE

Characterization of a multicomponent live, attenuated *Shigella flexneri* **vaccine**

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∗**Corresponding author:** Institute for Global Health, Center for Vaccine Development, University of Maryland School of Medicine, 685 West Baltimore Street, HSF-2, Room 480 Baltimore, MD 21201, USA. Tel: 410-706-3702; Fax: 410-706-6502; E-mail: ebarry@medicine.umaryland.edu **One sentence summary:** Two new *Shigella flexneri* vaccine candidates were characterized *in vitro* and *in vivo* as part of a multicomponent vaccine. **Editor:** Jim Kaper

ABSTRACT

Shigella flexneri is a leading cause of diarrheal disease in children under five in developing countries. There is currently no licensed vaccine and broad spectrum protection requires coverage of multiple serotypes. The live attenuated vaccines CVD 1213 and CVD 1215 were derived from two prominent *S. flexneri* serotypes: *S. flexneri* 3a and *S. flexneri* 6. To provide broad-spectrum immunity, they could be combined with CVD 1208S, a *S. flexneri* 2a strain that demonstrated promising results in phase I and II clinical trials. Each strain contains a mutation in the *guaBA* operon. These vaccine candidates were tested *in vitro* and *in vivo* and were found to be auxotrophic for guanine and defective in intracellular replication, but capable of inducing cytokine production from both epithelial cells and macrophages. Both strains were attenuated for virulence in the guinea pig Serény test and induced robust serotype-specific antibody responses following immunization. Each strain induced homologous serotype protection against challenge and a mixed inoculum of the three *S. flexneri* vaccines conferred protection against all three virulent wild-type strains. These data support the use of CVD 1213, CVD 1215 and CVD 1208S in a multivalent vaccine to confer broad protection against disease caused by S*higella flexneri*.

Keywords: *Shigella flexneri*; vaccine; live attenuated; diarrheal disease

INTRODUCTION

The bacterial pathogen *Shigella* causes ∼150 million shigellosis cases per year in residents of endemic regions, travelers and military personnel, leading to hundreds of thousands of deaths worldwide (Centers for Disease & Prevention [2006;](#page-10-0) Shah, DuPont and Ramsey [2009;](#page-11-0) Wikswo and Hall [2012\)](#page-11-1). During the large scale Global Enteric Multicenter Study (GEMS) conducted at seven sites in Africa and Asia, *Shigella* was identified as the most predominant cause of moderate-to-severe diarrhe[a](#page-1-0) (MSD) in children ages 2–5 years old at all sites and among the top four diarrheal pathogens in the younger cohorts (Kotloff *et al.* [2013\)](#page-10-1). In addition to current calculations of disease burden, advanced

molecular detection methods indicate that *Shigella* is the cause of more MSD cases than previously estimated by rapid detection during the large-scale studies (Lindsay *et al.* [2013\)](#page-10-2). An extensive birth cohort analysis project called the Etiology, Risk Factors and Interactions of Enteric Infections and Malnutrition and the Consequences for Child Health and Development Project, or MAL-ED was conducted in Africa, Asia and South America to identify the pathogens causing the largest diarrheal burden in these populations (Platts-Mills *et al.* [2015\)](#page-11-2). In this study, *Shigella* was found to be a significant cause of diarrhea in 2-year-old children. With growing antibiotic resistance reducing therapeutic options and an increased appreciation for long term sequelae associated

Received: 28 January 2016; **Accepted:** 17 April 2016

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Table 1. Bacterial strains and plasmids.

with MSD, there is great need for an effective vaccine (Kotloff *et al.* [1999;](#page-10-4) Bardhan *et al.* [2010;](#page-10-5) Moore *et al.* [2010\)](#page-11-4).

There are four *Shigella* species that cause human disease: *S. dysenteriae*, *S. flexneri, S. sonnei* and *S. boydii,* and each (except for *S. sonnei*) includes multiple serotypes. *Shigella flexneri*, the most common of the *Shigella* species in endemic regions, disproportionately affects young children (Bennish and Wojtyniak [1991;](#page-10-6) WHO [2005\)](#page-11-5). Field studies have demonstrated that natural immunity is serotype-specific (Cohen *et al.* [1991;](#page-10-7) Ferreccio *et al.* [1991;](#page-10-8) Rasolofo-Razanamparany *et al.* [2001\)](#page-11-6). For this reason, vaccine development must address the challenge of the multitude of *Shigella* serotypes. *Shigella* isolates collected during the GEMS were serotyped; 65% of the *Shigella* isolates were comprised of the *S. flexneri* species, and three of the most commonly isolated *flexneri* serotypes were 2a, 3a and 6 (Livio *et al.* [2014\)](#page-10-9). In addition to their prevalence as clinical isolates, together, these three serotypes express each type- and group-specific antigen found in the 15 *S. flexneri* serotypes. Based on this observation, researchers at the Center for Vaccine Development have proposed a strategy to create a broad spectrum, live attenuated *S. flexneri* vaccine including these three serotypes. Antibody cross-reactivity and animal studies support the potential for cross protection using vaccines derived from these specific serotypes (Van De Verg *et al.* [1996;](#page-11-7) Noriega *et al.* [1999;](#page-11-8) Mukhopadhaya *et al.* [2003;](#page-11-9) Levine *et al.* [2007\)](#page-10-10). It has been estimated that a vaccine composed of a combination of *S. flexneri* serotypes 2a, 3a and 6 could provide direct coverage against 41% of *Shigella* strains and cross protection could extend this to 66% overall coverage (Livio *et al.* [2014\)](#page-10-9).

Shigella flexneri 2a strain CVD 1208S, one vaccine component, has advanced to human clinical studies and served as a reference vaccine candidate for the current experiments (Kotloff *et al.* [2007\)](#page-10-11). CVD 1208S is derived from wild-type strain 2457T and contains deletions in the *guaBA* operon as well as *set* and *sen* genes. The *guaBA* operon encodes enzymes essential for *de novo* guanine nucleotide biosynthesis and intracellular survival in the host. The *set* and *sen* genes encode two enterotoxins, found on the bacterial chromosome and the virulence plasmid respectively (Kotloff *et al.* [2004\)](#page-10-12). CVD 1208S was demonstrated to be safe, well tolerated and highly immunogenic in volunteers in a Phase I study (Kotloff *et al.* [2007\)](#page-10-11). Based on these promising results, the *guaBA* mutation was introduced to *S. flexneri* serotypes 3a and 6 (wild-type strains J17B and CCH060) to generate CVD 1213 and CVD 1215. In these studies, the two new candidates were evaluated *in vitro* and *in vivo* for attenuation of virulence, immunogenicity and protection. Epithelial and macrophage cell culture systems were used to assess host cell invasion, cytotoxicity and cytokine secretion as measures of pathogenic potential and host cell engagement (Zychlinsky, Prevost and Sansonetti [1992;](#page-11-10) Noriega *et al.* [1994;](#page-11-11) Fernandez-Prada *et al.* [1997,](#page-10-13) [2000;](#page-10-14) Koterski *et al.* [2005;](#page-10-15) Fiorentino *et al.* [2014\)](#page-10-16). The guinea pig model was used to confirm the safety of the vaccines, the induction of relevant immune responses, and the ability to confer protection against wild-type challenge in the Serény Test. Each vaccine was immunogenic when administered in a monovalent formulation and when a trivalent mixed inoculum was used. Furthermore, the trivalent vaccine composed of CVD 1208S, CVD 1213 and CVD 1215 conferred protection against all three wild-type *S. flexneri* serotypes. These results support the potential for using these three live attenuated strains as a vaccine to confer broad coverage against *S. flexneri*.

MATERIALS AND METHODS

Bacterial strains

The *Shigella* strains used in these studies are listed in Table [1.](#page-1-0) *Shigella* strains (wild type and vaccines) were grown on trypticase soy agar (TSA; Becton Dickinson, Sparks, MD) and containing 0.01% Congo red dye and 0.005% guanine (Sigma-Aldrich, St. Louis, MO).

Molecular genetic techniques

All the primers used for PCR are listed in Table [2](#page-2-0) were created by Integrated DNA Technology. Reagents for mutagenesis and PCR, including restriction enzymes, ligase and polymerases, were purchased from New England Biolabs (Beverly, MA) or Roche (Basel, Switzerland) and used according to the manufacturers' instructions.

Table 2. Primers used in this study.

Construction of vaccine strains

CVD 1208S was created as a derivative of CVD 1204 by introducing deletions in the ShET1 and ShET2 enterotoxin genes *set* and *sen* as previously described (Noriega *et al.* [1996;](#page-11-3) Kotloff *et al.* [2004\)](#page-10-12). CVD 1213 was created from wild-type *S. flexneri* 3a strain J17B and CVD 1215 was generated from *S. flexneri* 6 strain CCH060. The *guaBA* deletion in CVD 1213 and CVD 1215 encompassed the same deleted region described for *S. flexneri* 2a and was introduced using λ-red mutagenesis (Datsenko and Wanner [2000\)](#page-10-3). pKD46, a plasmid expressing λ-red recombinase, was electroporated into *S. flexneri* 3a and 6 wild-type strains to generate *Sf*3a(pKD46) and *Sf*6(pKD46) respectively. The *guaBA* genes are contained in an operon with *guaB* proximal to *guaA*. A single deletion mutates both genes. The mutagenic fragment, *guaB*-Kan-*guaA,* was amplified by PCR (using primers Gua446 and Gua3226) from pFM726A.02, a plasmid derived from pFM726A that contains a kanamycin resistance cassette with FRT flanking sequences between the *guaB* and *guaA* flanks (using Roche Long Template PCR kit). The PCR product was electroporated into *Sf*3a(pKD46) and *Sf*6(pKD46) to allow the deleted *guaBA* operon (*guaB*-Kan-*guaA*) to replace the intact operon in the bacterial chromosome. Bacteria were grown on kanamycin to select for fragment integration at 30◦C. A secondary screen was performed to identify colonies that were auxotrophic for guanine by the ability to grow on minimum medium without supplementation of 0.1% guanine. The *guaBA* deletion was further confirmed by PCR using primers Wu048 and Wu049 to indicate a deleted fragment in the chromosome. The temperature sensitive plasmid pKD46 was cured from the strain by switching growth temperature from 30◦C to 37◦C. To remove the kanamycin cassette, plasmid pCP20 was electroporated into each strain. Plasmid pCP20 was then cured by switching the temperature from 30◦C to 37◦C.

The *sen* deletion was engineered in the *S. flexneri* 3a -*guaBA* mutant strain via λ-red mutagenesis as described above. Primers Red-Sen-F and Red-Sen-R were designed with primer tail sequences homologous to up-stream (nucleotides 74279–74348, Genbank #AF348706) and down-stream (nucleotides 73530– 73599, Genbank #AF348706) flanks of *sen,* encoding enterotoxin ShET2. These primers were used to amplify the chloramphenicol resistance cassette, *cml*, from pKD3. The resulting PCR product was electroporated into Sf3a∆gua(pKD46). Bacteria were grown on soy agar with chloramphenicol (50 μ g/ml) at 30°C and colonies were screened for the loss of *sen* using primers EB1 and EB2. The *sen* deletion encompasses a 678 bp region. The final strain was named CVD 1213.

Complementation studies

Complementation of guanine auxotrophy was performed with pGuaBA containing a minimal fragment encoding *guaBA* controlled by the lactose promoter (P*lacZ*) and a chloramphenicol cassette (Wu *et al.* [2011;](#page-11-12) Vindurampulle *et al.* [2013\)](#page-11-13). The plasmid was electroporated into competent CVD 1208S, CVD 1213 and CVD 1215. The bacteria were plated on TSA with chloramphenicol (25 μ g/ml) and incubated 18 h (hours) at 37°C. Resulting colonies were plated again on TSA with chloramphenicol, and incubated 18 h at 37◦C.

PCR analysis

Diagnostic PCR assays were performed to confirm the genetic mutations introduced into each strain. Primers used for confirmation of each altered gene in CVD 1208S, CVD 1213 and CVD 1215 were as follows. Primers Wu048 and Wu049 bind to sequences up- and down-stream of *guaBA* respectively and amplify a 1.8 kb product from wild-type strains and a 1 kb product from the vaccines due to the deletion of *guaBA*. Primers Wu060 and Wu061 bind sequences up- and downstream of *sen* respectively and amplify a 1.8 kb product in WT and a 1.1 kb product due to the deletion of *sen* in CVD 1213. Template DNA consisted of a single bacterial colony added to the reaction mixture. Reactions were performed with parameters specific for the primer length and composition as well as the length of the product.

Growth curves

To assess replication defects caused by guanine auxotrophy, all vaccines and the parental wild-type strains were grown in minimal media with and without supplemental guanine. An initial inoculum of 10⁷ colony forming units per ml (CFU/ml) was generated by suspending bacteria isolated from TSA plates in minimal media. Growth curve studies were performed using M9 Minimal Salts (Sigma-Aldrich) with the addition of aspartic acid (0.05 g/L), L-serine (0.05 g/L), nicotinic acid (0.05 g/L), casamino acid (0.05/L), cysteine (0.05g/L) and glucose (0.05 g/L). The starting concentration of each culture was adjusted to 107 CFU/ml and the optical density was monitored over 8 h.

Macrophage infections

THP-1 human monocytes (ATCC TIB-202) were cultured in suspension in RPMI (Roswell Park Memorial Institute) medium

(Invitrogen, Carlsbad, CA) containing phenol red and supplemented with 10% fetal bovine serum (FBS) and 0.5% 2 mercaptoethanol. One-ml of THP-1 cells, at a density of 106 cells per ml suspended in RPMI with 10% FBS and 40 ng/ml of phorbol 12-myristate 13-acetate (PMA), was added to each well in 12 well tissue culture plates to allow the cells to differentiate into macrophages and adhere to form a monolayer. The cells were incubated 48 h, washed with Dulbecco's phosphate buffered saline (DPBS) (Corning, NY), and fresh RPMI (without PMA) was added for an additional 24 h incubation. For assays conducted with J774 mouse macrophages (ATCC TIB-67), the cells were expanded in Dulbecco's Minimum Essential Medium (DMEM) (Invitrogen, Carlsbad, CA) supplemented with 10% FBS, seeded in 96-wells plates at a density of 5×10^5 cells per well, and incubated for 24 h to allow the cells to adhere. To perform the assay, bacteria isolated from an overnight TSA plate were suspended in RPMI or DMEM for a multiplicity of infection (MOI) of 100 bacteria per macrophage. Bacterial suspensions were added to each well (1 ml for THP-1 cells and 100 μ l for J774 cells), the plates were centrifuged 5 min at 1000 × *g* at room temperature, and incubated 30 min in 5% $CO₂$ at 37°C to allow for bacterial uptake. The macrophages were washed two times with PBS then RPMI or DMEM (without phenol red) (Corning), supplemented with 5% FBS and 4 μ l/ml of cell proliferation WST-1 reagent (Sigma-Aldrich), was added to each well (2 ml for THP-1 cells and 100 μ l for J774 cells). WST-1 is a tetrazolium salt that is cleaved to a soluble formazan by the glycolytic production of NAD(P)H in viable cells and is thus a measure of cellular proliferation. The cells were incubated at 37° C in 5% CO₂ and the optical density (calculated difference between OD_{450} nm and OD_{600} nm) was measured once an hour for 5 h. In parallel, supernatants were removed to quantify cytokine secretion.

HT-29 cell invasion assays

Human HT-29 (ATCC HTB-38) monolayers were cultured in DMEM supplemented with 10% FBS in 150 cm² flasks (Corning). The cells were incubated in 5% $CO₂$ at 37 $°C$ and passaged once a week. The bacterial inoculum was prepared by resuspending colonies from a TSA plate in DPBS, washed and suspended in cell culture media adjusted for bacterial concentration of 5×10^7 CFU per ml. For the invasion assay, HT-29 cells were seeded at a density of 5 \times 10⁵ cells per well in a 12-well plate and incubated overnight. A 1 ml suspension of 5 \times 10⁷/ml bacteria was added to the HT-29 cells in triplicate wells. The plates were centrifuged 5 min at 1000 \times *q* at room temperature and then incubated at 37 \degree C with 5% CO₂ for 90 min. The cells were washed and incubated with media containing 50 μ g/ml gentamicin. At each time point, two replicates of each sample were washed with DBPS to remove gentamicin and lysed with 1 ml/well of 1% Triton X-100 at each time point. Serial dilutions were plated to determine the number of intracellular bacteria.

Cytokine assays

Prior to lysis, media from each well of the infected HT-29 cell monolayers and THP-1 macrophages was collected for cytokine analysis using DuoSet® enzyme-linked immunosorbent assay (ELISA) kits for human IL-8, CXCL-1, TNF- α and IL-1 β (R&D Systems). Supernatants were collected at 4 h and 8 h post infection to quantify cumulative cytokine induction. To assess interval secretion, cell supernatants were collected from the wells

4 h post invasion; cells were washed once with PBS and bathed in fresh DMEM (with gentamicin) for an additional 4 h (4 to 8 h time point). The supernatant of uninfected cells was used as a control and when cytokines values were below detectable levels, a value of '1' was assigned for analysis.

Immunizations and sample collection

Female, Dunkin-Hartley guinea pigs (six to eight weeks old) were sedated with a subcutaneous injection of ketamine HCl (40 mg/kg of body weight) and xylazine (5 mg/kg). The animals were immunized intranasally with 100 μ l of bacterial suspension containing 10⁹ CFU of CVD 1208S, CVD 1213 or CVD 1215 on day zero. The mixed inoculum contained an equal number of CVD 1208S, CVD 1213 and CVD 1215 for a total of 10^{10} CFU per animal. Approximately two weeks later, an identical booster dose was administered. Prior to immunization and approximately two weeks following each dose, tears and serum were collected from each animal. Tears were collected from guinea pigs as previously described in 50 μl capillary tubes (Noriega *et al.* [1996\)](#page-11-3). Blood was collected from anaesthetized animals via the anterior vena cava and processed to obtain sera.

Serény test

The Serény test was performed (Sereny [1955\)](#page-11-14) to assess the level of attenuation of CVD 1213 and CVD1215 in comparison with wild-type *S. flexneri* serotypes 3a and 6 and protection against wild-type challenge. This method was also used to assess the protection against challenge with wild-type *S. flexneri* serotypes 2a, 3a and 6 following combined immunization with CVD 1208S, CVD 1213 and CVD 1215. A total of 10 μ l aliquots of each test strain were instilled into the guinea pigs' right eye. Animals were monitored daily for five days for signs of infection and inflammation and scored as follows: $0 =$ normal eye indistinguishable from contralateral uninoculated eye; $1 =$ lacrimation or eyelid edema; $2 = 1$ plus mild conjunctival hyperemia; $3 = 2$ plus slight exudates; and $4 =$ full blown purulent keratoconjunctivitis. All animal procedures were approved by the University of Maryland, Baltimore Institutional Animal Care and Use Committee. Efficacy was calculated using the formula: Vaccine Efficacy $= 100$ \times (Unvaccinated attack rate – Vaccinated attack rate) / Unvaccinated attack rate. Animals with a score of 2 or more were considered in the attack rate calculation.

ELISA assays for antibody titers

Antigens used in ELISAs included *S. flexneri* LPS from serotypes 2a, 3a and 6 and purified invasion plasmid antigen B (IpaB) protein (5 μ g/ml). Specific IgG and IgA anti-LPS endpoint titers were determined from guinea pig sera and tears. All samples were run in duplicate. Threefold dilutions of sera or tears in 10% non-fat dry milk in PBS with 0.05% Tween 20 (Sigma-Aldrich) were added to pre-coated plates and incubated for 1 h at 37◦C. Secondary peroxidase-labeled antibodies were followed by TMB substrate (Kirkegaard & Perry Laboratories, Gaithersburg, MD) at room temperature for 15 min. A total of 100 μ l of H₂PO₄ was used to stop the reaction and the OD₄₅₀ was determined in a micro plate reader (Versamax). Linear regression curves were plotted and titers were calculated as the inverse of the dilution that produced an OD450 of 0.2 above the blank.

Figure 1. PCR confirms deletions in vaccine strains. Single colonies of vaccine or wild-type strains were used as templates for amplification of the *guaBA* (**A**) or *sen* (**B**) loci by PCR. (A) Lanes 1, molecular weight marker; 2, CVD 1208S; 3, 2457T; 4, CVD 1213; 5, J17B; 6, CVD 1215; 7, CCH060. The expected size of the *guaBA* band in the vaccine strains is ∼900 and ∼1800 bp in the wild-type strains using the primers Wu048 and Wu049. (B) Lanes 1, molecular weight marker; 2, CVD 1213; 3, J17B. The expected size of the *sen* band for CVD 1213 is ∼1400 and ∼1800 bp for J17B using the primers Wu060 and Wu061.

Complement-mediated serum bactericidal antibody assays

Seventy-five micro-liters of serum from immunized and control guinea pigs was added to a sterile, round bottom 96-well plate and diluted 2-fold (performed in duplicate). Baby rabbit complement (25 μl) and wild-type bacteria (diluted in PBS to ∼5000 CFU per 100 μ l) were added to each well and incubated, shaking at 200 rotations per minute, for 1 h at 37◦C. After the incubation, samples from each well were plated on TSA to count CFU and calculate percent killing. The end point of the assay was the titer at which 50% of the bacteria are killed and was determined with a line of best fit, averaging the bacterial killing of the sera samples at each dilution.

Statistical analysis

Three, independent replicate experiments were conducted for the macrophage infections, epithelial cells invasion assays and cytokine ELISAs. Technical duplicates were performed for guinea pig antibody ELISAs. Differences between groups were analyzed with Student's *t*-test, or two-way ANOVA. Significance was determined by *P*-values <0.05.

RESULTS

Shigella flexneri guaBA **mutants are auxotrophic for guanine**

Following the successful attenuation of *S. flexneri* 2a strain CVD 1208S by *guaBA* mutation, we applied a similar technique to create the new *S. flexneri* vaccine candidates CVD 1213 and CVD 1215 from *S. flexneri* 3a strain J17B and *S. flexneri* 6 strain CCH060. The genes *guaB* and *guaA* are contained within an operon allowing a single deletion to inactivate both genes. CVD 1208S contains three mutations, *guaBA*, *set* and *sen*, that have each been confirmed with PCR. The *guaBA* and *sen* deletions in CVD 1213 and *guaBA* in CVD 1215 were confirmed using PCR with gene specific primers to amplify chromosomal fragments demonstrating the targeted deletions (Fig. [1\)](#page-4-0).

To confirm auxotrophy, the vaccine strains CVD 1213 and CVD 1215, were grown in minimal broth cultures or on minimal agar with and without guanine. On the minimal agar plates and in minimal media, the vaccines strains were unable to grow without additional guanine (Fig. [2](#page-5-0) and Fig. S1, Supporting Information). The parental wild-type strains for each vaccine grew efficiently regardless of the presence or absence of guanine. Although optimal growth conditions for *S. flexneri* 6 also requires pantothenic acid, thymine and vitamin B, the strain was able to grow with guanine, albeit at a slower rate than serotypes 2a and 3a.

The *guaBA* deletion was complemented by the plasmid pATGguaBA containing the fragment of the *guaBA* operon (Wu *et al.* [2011;](#page-11-12) Vindurampulle *et al.* [2013\)](#page-11-13). This plasmid confers chloramphenicol resistance and allows the vaccines to grow on minimal agar without guanine (Fig. S1, Supporting Information).

Vaccine strains have a cytotoxic effect in human macrophages

Based on previous results demonstrating the capacity of wildtype *Shigella* to kill macrophages, we tested the effects of the vaccines on differentiated human THP-1 macrophage-like cells (Zychlinsky, Prevost and Sansonetti [1992;](#page-11-10) Hilbi, Zychlinsky and Sansonetti [1997\)](#page-10-17). Wild-type *S. flexneri* 2a strain 2457T and the vaccine derivative, CVD 1208S, exhibited significantly higher macrophage cytotoxicity than the plasmid cured strain, 4243A 4 to 5 h following uptake (Fig. [3A](#page-5-1)); the viability of macrophages exposed to 4243A was similar to that of uninfected cells. This was not due to differences in initial uptake of bacteria as all strains were present at similar levels within macrophages (Fig. S2, Supporting Information). CVD 1213 and CVD 1215 were equally cytotoxic as their parental wild-type strain on THP-1 cells (Fig. [3B](#page-5-1) and [C\)](#page-5-1). CVD 1215 and CCH060 as well as CVD 1213 and J17B were similar to each other at all time points and significantly reduced macrophage viability compared to uninfected macrophages at 4 and 5 h after uptake.

Cytotoxicity was also assessed in J774 mouse macrophages and revealed that *S. flexneri* wild-type and vaccine strain pairs were equally cytotoxic in this cell type. As has been previously reported, wild-type *S. flexneri* leads to rapid and complete cell death in mouse macrophages (Fig. S3, Supporting Information) (Fernandez-Prada *et al.* [2000\)](#page-10-14). This is unlike the effect in human macrophages in which the vaccine and wild-type strains decrease their viability at a slower rate.

The impact of vaccines on IL-1 β and TNF- α cytokine secretion from infected THP-1 cells was assessed as an *in vitro* marker of the inflammatory response (Sansonetti *et al.* [2000\)](#page-11-15). IL-1β secretion was elevated within 2 h following infection with CVD 1208S and its wild-type strain when compared to uninfected cells and cells infected with a non-virulent *Shigella* derivative strain 4243A (Fig. S4, Supporting Information). Secretion of IL-1β from THP-1 cells infected with CVD 1213 and CVD 1215 was also elevated compared to uninfected cells (*P* < 0.05 compared to uninfected cells), although not as much as the *S. flexneri* 2a infected cells. TNF- α secretion also increased in cells infected with each vaccine strain relative to uninfected cells, but TNF-α produced from 4243A-infected cells was significantly higher than that produced by cells infected with all other vaccine and wildtype strains (*P* < 0.05). TNF-α secretion from serotypes 3a and 6 infected macrophages was similar but higher than serotype 2ainfected cells (*P* < 0.05) (Fig. S4, Supporting Information).

Vaccines display attenuated virulence in human cell culture systems

Invasion and intracellular replication of the vaccine strains was measured in the human intestinal epithelial cell line HT-29. Intracellular bacteria were quantified at numerous time points after invasion by counting CFU in cell lysates. CVD 1208S and CVD 1215 were able to invade cells at equivalent levels to their

Figure 2. Guanine auxotrophy is confirmed in growth curves. Each vaccine strain and its parental wild-type strain were grown in minimal media with and without supplemental guanine. (**A**) *Shigella flexneri* 2a, (**B**) *S. flexneri* 3a and (**C**) *S. flexneri* 6.

Figure 3. Cytotoxicity in THP-1 macrophages. Vaccine strains and the parental wild-type strains have cytotoxic effects on THP-1 macrophages. THP-1 cells were infected with wild-type or vaccine strains and cell viability was measured for 5 h and compared to uninfected cells: (**A**) *S. flexneri 2a,* (**B**) *S. flexneri* 3a and (**C**) *S. flexneri* 6. Error bars depict standard deviation of three biological replicates. Optical density readings represent the colorimetric change associated with WST-1 reagent cleavage which correlates with cellular viability. Optical density was calculated by subtracting the OD₆₀₀ nm value from the OD₄₅₀ nm value.

wild-type parental strain, while CVD 1213 was slightly less invasive than the wild type $(P < 0.05)$ (Fig. [4\)](#page-6-0). Wild-type strains proceeded to replicate over the following 8 h. In contrast, the vaccine strains did not replicate and remained at a constant concentration over the 8 h period (Fig. [4\)](#page-6-0).

Upon entry into intestinal epithelial cells, *Shigella* triggers the production and release of interleukin 8 (IL-8) (Eckmann, Kagnoff and Fierer [1993;](#page-10-18) Philpott *et al.* [2000\)](#page-11-16), which is associated with inflammation by causing an influx of neutrophils to the site of infection. CXCL-1, or Gro- α , is also a neutrophil chemoattractant secreted by intestinal cells (Cuenca, Azizkhan and Haskill [1992\)](#page-10-19). For all strains tested, similar patterns of induction of both cytokines were observed. During the initial 4 h incubation period, significantly greater amounts of IL-8 and CXCL-1 were secreted from infected cells over uninfected cells, but secretion from wild-type and vaccine-infected cells was similar (*P* < 0.05) (Fig. [5\)](#page-7-0). These levels increased over the following 8 h period. The cumulative levels of IL-8 produced by the wild-type *S. flexneri* 3a (J17B) and 6 (CCH060) strains during the first 8 h period were higher than those induced by their respective vaccine strain derivatives. To determine if a different secretion pattern would emerge if time intervals were assessed, supernatants were removed at the 4-h time point, cells were washed and fresh media was added. This provides information about the cell secretion response to intracellular bacteria without the compounding effect of positive feedback on the cells from other secreted factors. When the cells were washed at 4 h and allowed to incubate an additional 4 h, there was a detectable difference in IL-8 secretion between cells infected with all vaccine and wild-type pairs which reached significance for *S. flexneri* 3a and 6 (Fig. [5\)](#page-7-0).

CVD 1213 and CVD 1215 are attenuated and immunogenic *in vivo*

The guinea pig Serény test was used to assess attenuation of the new vaccine strains. The guinea pig eye was inoculated with $~\sim$ 10⁷ CFU of each wild-type or vaccine strain and monitored for inflammation for 5 days (Sereny [1955\)](#page-11-14). Wild-type *S. flexneri* serotypes 2a, 3a and 6 induced inflammation in 100% of the animals by 24 h post inoculation which progressed to full blown keratoconjunctivitis by 48–72 h. Animals that received CVD 1208S, CVD 1213 and CVD 1215 did not show signs of inflammation; all animals maintained scores of '0' throughout the observation period.

Intranasal immunization of guinea pigs is used as a mucosal route and allows measurement of vaccine-induced immune responses. Guinea pigs were immunized with two doses of each vaccine strain spaced two weeks apart. Serum and tears were collected prior to immunization and two weeks following each dose and assayed by ELISA to quantify anti-serotype-specific LPS antibody responses. All of the animals immunized with each individual vaccine developed anti-LPS mucosal IgA and serum IgG in responses following the first vaccine dose and titers were boosted to higher levels following the second dose (Fig. [6\)](#page-7-1). Following two doses, IgG titers in CVD 1208S inoculated animals increased 20-fold compared to pre-immune levels and IgA titers increased 1000-fold (Fig. [6\)](#page-7-1). The IgG titers of CVD 1213 inoculated animals increased at least 65-fold in all animals and IgA titers increased greater than 2-fold in two animals (Fig. [6\)](#page-7-1). Animals administered CVD 1215 responded with titers that were 100 fold over pre-inoculation IgA and IgG titers (Fig. [6\)](#page-7-1). The second dose yielded increased anti-LPS IgG titers in all animals and an

Figure 4. Vaccine strains are unable to replicate intracellularly in HT-29 cells. *Shigella flexneri* vaccine or wild-type strains was used to infect HT-29 cells (MOI of 100) for 90 min. Cells were lysed to enumerate intracellular bacteria at 0, 2, 4 and 8 h post infection. (**A**) *Shigella flexneri* 2a, (**B**) *S. flexneri* 3a and (**C**) *S. flexneri* 6. Error bars represent deviation of biological duplicates.

increase in IgA responses in 1208S and 1215 inoculated animals (CVD 1213 titers remained the same as the second collection). All immunized animals were challenged via intraocular inoculation with the homologous wild-type parent strain following two vaccine doses. When challenged via conjunctival inoculation with the homologous wild-type strain, CVD 1208S, CVD 1213 and CVD 1215 vaccinated animals were fully protected (Table [3\)](#page-8-0).

Serum antibodies from immunized animals have bactericidal activity

Sera collected from the immunized guinea pigs were used to determine the functional characteristics of the *Shigella* specific antibodies. Antibody and complement-mediated killing of wildtype strains 2457T, J17B and CCH060 (serotypes 2a, 3a and 6) was demonstrated in sera collected from individually immunized animals. Bactericidal activity was reported as the serum titer at which 50% of the starting bacterial inoculum is killed. There was no bactericidal activity in pre-immune serum samples. Animals immunized with CVD 1208S, CVD 1213 and CVD 1215 had a 50% killing titers against the homologous serotype of 1917, 1356 and 2700 respectively (Fig. [7](#page-8-1) and Table [3\)](#page-8-0). There was no bactericidal activity against heterologous *S. flexneri* serotypes.

We also investigated bactericidal activity against each parental strain in guinea pigs immunized with CVD 1208S, CVD 1213 and CVD 1215 combined. Sera from these animals also exhibited bactericidal activity against each of the wild-type parental strains. The average 50% bactericidal titers of serum from animals immunized with the mixed inoculum were 4395, 2344 and 742 against *S. flexneri* 2a, 3a and 6 respectively (Fig. [7](#page-8-1) and Table [3\)](#page-8-0).

Combined immunization with CVD 1208S, CVD 1213 and CVD 1215 protects against wild-type challenge with each *S. flexneri* **component**

A multivalent strategy can provide broad-spectrum coverage against *Shigella* species. Ideally, the three vaccine candidates, CVD 1208S, CVD 1213 and CVD 1215, would be components of a multivalent vaccine. To determine if mixed immunization can provide protection against the individual parental wild-type strains, 11 guinea pigs were given two doses of a mixture containing equal parts of each vaccine. IgG and IgA specific for *S. flexneri* serotypes 2a, 3a and 6 specific LPS were measured in serum and tears respectively. All animals developed IgG and IgA to all three components of the mixed inoculum in the following increasing order[:](#page-7-0) serotype 2a, 6 and 3a LPS (Fig. [8\)](#page-9-0). Animal sera were also pooled to determine IgG antibody responses to IpaB, a type 3 secretion system antigen associated with immunity (Wahid *et al.* [2013;](#page-11-17) Heine *et al.* [2014\)](#page-10-20). Animals immunized with the individual vaccines as well as the mixed vaccine inoculum responded with titers greater than 10 000 to IpaB (pooled pre-immunization titers from animals given the mixed vaccine was 33)

The vaccinated animals were split into three groups for the challenge study; three were given 5×10^7 CFU 2457T, four were given 2×10^8 CFU J17B and four given 9×10^7 CFU CCH060. All animals challenged with *S. flexneri* 6 strain CCH060 or *S. flexneri* 3a strain J17B were protected against keratoconjunctivitis, demonstrating 100% vaccine efficacy (all control animals developed keratoconjunctivitis). Two of the four animals challenged with J17B developed mild swelling (scored 1) 48 h after challenge, but were protected against full-blown keratoconjunctivitis (Table [3\)](#page-8-0). The mild inflammation was resolved by 72 h and 96 h following the initial challenge. Two of the three animals challenged with *S. flexneri* 2a strain 2457T were protected agains[t](#page-7-1) wild-type challenge; one animal developed a severe reaction (score 3) and was euthanized three days after challenge (Table [3\)](#page-8-0).

DISCUSSION

Several recent studies have quantified the burden of *Shigella,* a significant diarrheal pathogen in young children in low income regions (Kotloff *et al.* [2012;](#page-10-21) Platts-Mills *et al.* [2015\)](#page-11-2). Specifically, *S. flexneri* including all serotypes, along with *S. sonnei*, make up 89% of *Shigella* isolates identified in children under five years of age in endemic regions (Livio *et al.* [2014\)](#page-10-9). Based on this data, we proposed the use of a multispecies and serotype vaccine, composed of attenuated strains of *S. sonnei*, and *S. flexneri* serotypes 2a, 3a and 6, to confer broad protection against multiple *Shigella* serotypes. Although protection against *Shigella* is based on Oantigen specificity, we believe this is a feasible strategy based on the pre-clinical demonstration of cross protection between serotypes in guinea pigs (Noriega *et al.* [1999\)](#page-11-8). In this study, we

Figure 5. IL-8 and CXCL-1 are secreted from host cells in response to bacterial invasion. *Shigella flexneri* wild-type and vaccine strains were used to infect HT-29 cells for 90 min and washed with media containing gentamicin. Supernatants were collected at the indicated time points and concentrations of IL-8 (**A, C, E**) or CXCL-1 (**B, D, F**) were quantified by ELISA. Each bar shows the mean of four biological replicates and the standard deviation. Significant differences between wild-type and vaccine strains (*P* < 0.05) are indicated by [∗].

Figure 6. Antibody titers in guinea pigs immunized with *S. flexneri* vaccine strains. Guinea pigs were immunized with two doses of CVD 1208S (**A** and **D**), CVD 1213 (**B** and **E**) or CVD 1215 (**C** and **F**). Antibodies to *S. flexneri* serotype specific LPS were measured by ELISA in serum (panels A, B, and C) and tears (panels D, E, and F) prior to immunization (labeled 'Pre') and two weeks following each dose.

Vaccination	Attack rate following challenge with wild-type strain			Efficacy against wild-type challenge			50% serum bactericidal titer		
	2457T	I17B	CCH060	2457T	I17B	CCH060	2457T	I17B	CCH060
CVD 1208S	0/4		$\overline{}$	100%	$\overline{}$	-	1917	$\overline{}$	-
CVD 1213	$\overline{}$	0/4	$\overline{}$	-	100%	-	-	1356	$\overline{}$
CVD 1215	-	$\overline{}$	0/4	-	$\overline{}$	100%		$\overline{}$	2700
Combination	1/3	0/4	0/4	67%	100%	100%	4395	2344	742

Table 3. Wild-type challenge attack rate, vaccine efficacy and complement-mediated bactericidal activity following two immunizations.

Figure 7. Serum from immunized guinea pigs has bactericidal activity. Sera from animals immunized with two doses of (**A**) CVD 1208S, (**C**) CVD 1213, or (**E**) CVD 1215 or with two doses of a mixture of CVD 1208S, CVD 1213 and CVD 1215 (**B, D** and **F**) was assessed for the ability to kill wild-type strains of *S. flexneri*. The mean percent bactericidal activity against parental wild-type strains 2457T (A and B), J17B (C and D) and CCH060 (E and F) is shown for each serum dilution tested from immunized guinea pigs. The horizontal dashed line indicates the 50% bactericidal titer.

report the development of candidate strains for the *S. flexneri* component of a broad-spectrum vaccine.

CVD 1208S is an idea[l](#page-9-0) comparator for any new vaccine candidate against *S. flexneri* because it has been extensively tested *in vitro*, in animals and in human adult volunteers. The *guaBA* metabolic mutation is a proven attenuation method and was therefore applied to *S. flexneri* 3a and *S. flexneri* 6. This mutation prevents vaccine replication and intracellular spread, therefore decreasing the reactogenicity associated with *Shigella*. By leaving the Type-3 Secretion System (T3SS) intact, the vaccines have maximal interaction with macrophages and intestinal cells. We believe this interaction increases the opportunity for *Shigella* antigen uptake by macrophages and dendritic cells, and their subsequent interaction with T cells in lymphoid tissues. Because of their conserved nature, T3SS antigens (i.e. IpaB) may also contribute to the induction of cross protective

Figure 8. Guinea pigs immunized with a mixture of CVD 1208S, CVD 1213 and CVD 1215 produce antibodies to all three serotypes. Guinea pigs were immunized with two doses of a mixture of CVD 1208S, CVD 1213 and CVD 1215. Antibodies to *S. flexneri* 2a (**A** and **D**), 3a (**B** and **E**) and 6 (**C** and **F**) LPS were measured by ELISA in serum (panels A, B, and C) and tears (panels D, E, and F) prior to immunization (labeled 'Pre') and two weeks following each dose.

immunity (Martinez-Becerra *et al.* [2013;](#page-10-22) Heine *et al.* [2014,](#page-10-20) [2015\)](#page-10-23). The data presented herein demonstrates that the new vaccine strains are properly attenuated and still able to elicit cytokine responses *in vitro* and functional antibody responses *in vivo*.

Host cell invasion of both macrophages and intestinal cells is a first and vital step to achieve protective immunity. Several studies have shown that *Shigella* causes death of macrophages following its escape from the phagosome (Zychlinsky, Prevost and Sansonetti [1992\)](#page-11-10). It has been demonstrated more recently that IpaB plays a role in caspase-1 activation and the subsequent relea[s](#page-7-1)e of IL-1 β that leads to an inflammatory response (Sansonetti *et al.* [2000\)](#page-11-15). An additional T3SS protein called MxiI also leads to downstream inflammasome activation (Suzuki *et al.* [2014\)](#page-11-18). In this study, the benchmark strain CVD 1208S had a cytotoxic effect on human macrophages that was comparable to 2457T infected cells. CVD 1208S also induced similar levels of TNF- α and IL-1 β release from THP-1 macrophages when compared to wild-type infected cells. The increased secretion of TNF- α from human monocyte derived macrophages following infection with a non-virulent *Shigella* strain has been previously documented relative to wild-type *Shigella* (Fernandez-Prada *et al.* [2000\)](#page-10-14). Our results in human macrophages infected with the virulence plasmid-cured strain 4243A as well as wild-type *S. flexneri* 2a are consistent with previous report. The observed secretion of cytokines from vaccine infected cells indicates the vaccines induce responses similar to those of wild-type, as opposed to nonvirulent strains. The new vaccine strains, CVD 1213 and CVD 1215, and their parental wild-type strain had the same effects on macrophage viability and cytokine induction patterns. This was expected because the vaccine strains maintain virulence plasmid effectors that play a dominant role in macrophage interaction.

Following escape from macrophages, the vaccines are expected to invade intestinal epithelial cells. Epithelial cell invasion and cytosolic replication are key steps in *Shigella* pathogenesis. For a live, attenuated oral vaccine, invasion or translocation across the intestinal epithelial lining is likely necessary to stimulate mucosal O-antigen specific IgA antibody secreting cells (Barry *et al.* [2013\)](#page-10-24). As shown in Fig. [4,](#page-6-0) each of the three candidates was able to invade intestinal HT-29 cells with similar efficiency to the wild-type strain but were unable to survive and/or replicate at wild-type levels. Previous studies have indicated that although vaccines cannot replicate, they should be able to invade the epithelial cells to induce pro-inflammatory cytokine secretion and specific mucosal immunity (Jennison and Verma [2004\)](#page-10-25). A key cytokine induced in epithelial cells following invasion by *Shigella* is IL-8, a neutrophil chemoattractant and indicator of host cell activation. Although neutrophil infiltration ultimately leads to the clearance of *Shigella*, IL-8 secretion is a useful marker of the host cell response to infection. In fact, IL-8 secretion *in vitro* is used as a correlate of neutrophil recruitment to the colon *in vivo* (Singer and Sansonetti [2004\)](#page-11-19). CXCL-1 (or Gro-α) is a neutrophil recruiting cytokine similar to IL-8. Interestingly, the cumulative amount of IL-8 (and CXCL-1) secretion measured after 4 h of infection was similar between CVD 1208S and wildtype *Shigella*-infected cells (Fig. [5\)](#page-7-0). In contrast, during the 4 to 8 h interval when cells are incubated in fresh media, there was a detectable difference in IL-8 secretion between wild-type and vaccine strains (Fig. [5\)](#page-7-0). The decreased cytokine secretion in vaccine strain infected cells during this interval is most likely due to gradual decreases in intracellular bacterial numbers. Similar results were seen for CVD 1213 and CVD 1215 infected cells, suggesting that the new candidates performed similarly to the benchmark strain CVD 1208S.

The guinea pig model is widely accepted for studying safety and protective immunity of *Shigella* vaccine candidates. Using this model, we demonstrate that the new vaccines are safe and non-inflammatory *in vivo*. CVD 1213 and CVD 1215 were equally immunogenic in guinea pigs; after two doses, 100% of the animals were protected against wild-type challenge with *S. flexneri* 3a or 6 respectively. CVD 1208S has progressed through animal and clinical trials and was shown to be safe and immunogenic in adult human populations.

When guinea pigs were given two doses of an equal mixture of CVD 1208S, CVD 1213 and CVD 1215, all animals seroconverted (>4-fold increase over pre-immune titers) for IgA and IgG specific to serotypes 2a, 3a and 6 LPS. Although the responses

to each serotype-specific LPS varied, they were all robust and the combination of strains did not impair the ability of the vaccine to confer protection against wild-type challenge against any serotype. The demonstration of antibodies that mediate complement bactericidal activity further strengthen the potential of this vaccine to induce functionally active immune components that can mediate bacterial clearance and contribute to protective immunity.

This study advances the field by reporting the creation of two new vaccine strains as part of a broad-spectrum, multistrain vaccine targeting the *Shigella* species. CVD 1208S, CVD 1213, CVD 1215 could be used as vaccine strains individually and combined as a multivalent formula. Future studies will assess the new vaccines for use as carriers of antigens from similar enteric pathogens for the possible creation of a broad-spectrum diarrheal vaccine.

SUPPLEMENTARY DATA

[Supplementary data are available at FEMSPD online.](http://femspd.oxfordjournals.org/lookup/suppl/doi:10.1093/femspd/ftw034/-/DC1)

FUNDING

This work was supported by the National Institute of Allergy and Infectious Diseases at the National Institutes of Health [grant numbers U19AI109776 to E.M.B. and 1R01AI117734 to M.F.P.]; and the United Negro College Fund Merck Science Initiative to [B.C.D].

Conflict of interest. None declared.

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