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## Towards clinically translatable *in vivo* nanodiagnostics

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### Abstract

Nanodiagnostics as a field makes use of fundamental advances in nanobiotechnology to diagnose, characterize and manage disease at the molecular scale. As these strategies move closer to routine clinical use, a proper understanding of different imaging modalities, relevant biological systems and physical properties governing nanoscale interactions is necessary to rationally engineer next-generation bionanomaterials. In this Review, we analyse the background physics of several clinically relevant imaging modalities and their associated sensitivity and specificity, provide an overview of the materials currently used for *in vivo* nanodiagnostics, and assess the progress made towards clinical translation. This work provides a framework for understanding both the impressive progress made thus far in the nanodiagnostics field as well as presenting challenges that must be overcome to obtain widespread clinical adoption.

### ETOC BLURB

Nanodiagnostics is a rapidly emerging field that leverages advances in nanobiotechnology to better visualize and diagnose disease. In this Review, we provide an overview of several clinically relevant imaging modalities and discuss how nanodiagnostics are enhancing their use.

### Subject terms

Physical sciences / Nanoscience and technology / Nanomedicine [URI /639/925/352]; Physical sciences / Materials science / Nanoscale materials [URI /639/301/357]; Physical sciences /

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#### DATABASES

National Institutes of Health Molecular Imaging and Contrast Agent Database: <http://www.ncbi.nlm.nih.gov/books/NBK5330/>

XCOM: Photon Cross Section Database: <https://www.nist.gov/pml/xcom-photon-cross-sections-database>

#### FURTHER INFORMATION

The Statistics Portal: <https://www.statista.com/statistics/184566/deaths-by-cancer-in-the-us-since-1950/>

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General George S. Patton's Second World War quote, "A good battle plan that you act on today can be better than a perfect one tomorrow," has become a common theme in medicine, especially in cancer therapy, where it is crucial to detect disease at its earliest possible malignant form or even in a pre-malignant form<sup>1</sup>. The advantages of early detection are not limited to cancer, and many disorders such as Alzheimer disease are presumed to cause disruptive changes well before clinical manifestations become obvious<sup>2</sup>. Recent advances in conventional diagnostic strategies provide reasonable tools to identify certain diseases at earlier stages. However, we still encounter difficulties, as highlighted for cancer by the marginal reduction in cancer death rates from 1950 to the present day.

Ideally, diagnostic tools would be sufficiently sensitive to detect minuscule adverse changes at the molecular level and have high specificity to elucidate disease states. Modern diagnostic modalities must both address the urgency of early detection and have high sensitivity and specificity to enable individually tailored treatments in a cost-effective manner. Although the technical aspects and hardware of various imaging modalities have already been developed, much work remains in developing adequate imaging probes and contrast agents to enhance their clinical use (FIG. 1). In this regard, nanotechnology provides an unprecedented opportunity to build better detection strategies and tools, and places the rapidly evolving field of 'nanodiagnostics' at the front line in the war on diseases.

Nanodiagnostics apply nanoscale tools and materials to processes that can operate on the same scale as biological and physiological systems. These capabilities are advancing disease detection, characterization and management. In nanodiagnostics, the choice of nanomaterial has a key role because nanomaterials provide unparalleled accessibility to their biological counterparts<sup>3</sup>, have multi modal capabilities through functionalization<sup>4</sup>, can be used as ultrasensitive sensing substrates<sup>5</sup> and can combine treatment with diagnostic capabilities (that is, 'thera nostics', a portmanteau of 'therapy' and 'diagnostics')<sup>6</sup>.

Nanobiotechnology is now used routinely for *in vitro* diagnostics in the clinic<sup>7</sup>. For example, gold nano particles (GNPs) are used for pregnancy tests through a colorimetric assay of human chorionic gonadotropin (hCG) in urine by hCG antibody-conjugated GNPs. Numerous preclinical studies suggest that diagnostics using nanomaterials will usher in a new level of ultrasensitive disease detection, allowing molecular changes to be detected even when only a small percentage of the biological system changes. At present, the use of nanomaterials for *in vivo* disease diagnosis and treatment is still in its infancy because the toxicities, disease-targeting capabilities and clearance mechanisms remain unknown. However, these questions are expected to be resolved in the near future, given the rate of nanotechnology development<sup>8</sup>.

In this Review, we describe how nanomaterials can be exploited with existing imaging modalities. Because *in vitro* strategies are extensively covered elsewhere<sup>7,9,10</sup>, we focus on nanotechnologies that enhance diagnostic capabilities *in vivo*. We cover the background

physics of several widely accepted imaging modalities and the materials used for *in vivo* nanodiagnostics, and provide examples of clinical translation.

## Imaging modalities

The adage ‘seeing is believing’ is now a cliché in the clinical diagnostic field, emphasizing imaging as the primary method of diagnosing certain diseases. Clinicians and researchers prefer direct observation of disease rather than indirect study (for example, history-taking and blood tests) because seeing disease, either *in vivo* (conventional imaging) or *ex vivo* (for example, biopsy), greatly enhances assessment of disease stage, localization and treatment management. Currently, the most direct method of seeing disease is biopsy. However, despite their advantages, biopsies have several limitations: choosing where to biopsy is non-trivial; tissue procurement can be anatomically or longitudinally restricted in certain diseases (for example, in lung cancer); false positives may occur because of the heterogeneity of the tissue sampled; and biopsies are invasive and do not study intact biology. As a result, medical imaging has become a useful complementary tool for non-invasive disease visualization *in vivo*.

Imaging modalities typically consist of discrete steps, including excitation, signal acquisition and signal processing. Collectively, these basic steps are designed to use diagnostic medical imaging systems effectively and differentiate genuine diagnostic information from background noise. Within this framework, several imaging modalities are explored below.

### Radiography

Radiography, which includes medical X-ray imaging, computed tomography (CT) and mammography, exploits the contrast generated by attenuation of an incident-ionizing beam as it penetrates different parts of the body. X-ray photons are directed towards the body, where they interact through two primary processes: photo electric absorption and scattering (the extents of which are tissue-specific). Governed by the Beer–Lambert Law, extinction (that is, absorption and scattering) is proportional to the concentrations of the attenuating species in a sample. As most tissues are carbon-based, the main molecular contributions to X-ray tissue imaging are from hydrogen, carbon and oxygen. Thus, the density differences between tissues predominantly determine contrast in X-ray imaging (FIG. 2a). A tissue with low density, such as fat, can be distinguished from denser tissues, such as muscle. Meanwhile, bones and skeletal structures show good contrast, owing to the electronically dense inorganic component (calcium hydroxyapatite,  $\text{Ca}_5(\text{PO}_4)_3(\text{OH})$ ) of the bone matrix (see the [XCOM: Photon Cross Sections Database](#)), which enhances photoelectric absorption. An early example of distinguishing diseased from non-diseased states is mammography using X-rays. This was first used in 1913 to detect stigmata of cancer by observing microscopic sprinkles of calcium deposited in cancerous breast tissue<sup>11</sup>.

Although X-rays can generate both anterior–posterior and lateral projections, their resolution and use in 3D anatomic reconstruction is limited. The 3D anatomy is projected on a 2D film, leading to incomplete spatial resolution. CT is a 3D method that overcomes these limitations by exposing the body to a 360° rotating source of radiating X-rays (FIG. 2a). Typical 3D

resolutions of CT are  $1 \text{ mm}^3$ . In special cases, such as clinical imaging of bone microarchitecture *ex vivo*, a bone specimen can be imaged by micro-CT (high-resolution X-ray tomography) down to  $1 \mu\text{m}$ . Human bone imaging *in vivo* is possible with a spatial resolution of only around  $130 \mu\text{m}$  because of dose considerations and technical limitations<sup>12</sup>. The primary drawback of radiography is the carcinogenic risk of radiation exposure, because X-ray photons can induce cellular damage. However, in light of the clinical utility of radiography, these radiation risks are deemed clinically acceptable.

### Magnetic resonance imaging

Magnetic resonance imaging (MRI) is based on the quantum behaviour of protons (that is, the hydrogen atoms predominantly found in water throughout the body) in a strong magnetic field (typically,  $\sim 1.5 \text{ T}$  in a clinical MRI scanner) to render 3D structures based on spin–lattice ( $T_1$  relaxation time) and spin–spin ( $T_2$ ) interactions of protons (FIG. 2b). ‘Soft tissue contrast’ is a hallmark of MRI that cannot be achieved by X-ray-based radiography. Therefore, MRI is widely used in clinics to help diagnose anatomical anomalies such as torn or damaged muscles, ligaments and cartilage, or herniated lumbar discs.

MRI technologies have advanced towards stronger magnetic fields that polarize and excite more protons in the subject to overcome inherently poor nuclear polarization. For example, although the typical resolution of clinical magnetic resonance is  $1 \text{ mm}^3$ , preclinical MRI systems, such as the BioSpec Bruker magnetic resonance system, can perform murine brain imaging at  $15.2 \text{ T}$ , achieving an unrivalled resolution of  $19 \mu\text{m}^2$  *in vivo*<sup>13</sup>. Another method of increasing the sensitivity of MRI is to use the material’s hyperpolarization (that is, when the nuclear spin polarization exceeds that under thermal equilibrium). In normal imaging conditions, thermal fluctuations overpower proton alignment despite the large magnetic field. However, hyperpolarization can boost magnetic resonance signals because it increases the polarization by a factor of  $10^4$ – $10^5$  compared with thermal equilibrium. For example, a 170,000-fold enhancement compared with thermal equilibrium was recently reported for bulk nuclear spin polarization of  $^{13}\text{C}$  from optically pumped nitrogen-vacancy centres in diamond<sup>14</sup>. Other hyperpolarization methods include spin-exchange optical pumping (by  $^3\text{He}$  and  $^{129}\text{Xe}$ )<sup>15,16</sup>, metastability-exchange optical pumping<sup>17</sup>, dynamic nuclear polarization<sup>18</sup> and parahydrogen-induced polarization<sup>19</sup>.

### Ultrasound

Ultrasound is non-invasive, non-ionizing and inexpensive, and has been widely used for clinical applications, such as gynaecological imaging. In ultrasound imaging, the mechanical wave propagates at a speed dependent on the acoustic impedance of the medium. This determines the echo signal, which is used to construct an image (FIG. 2c). The Doppler effect, which can be created by any moving object (usually blood or muscle movement), is an important factor in determining differential contrast within body parts imaged by ultrasound<sup>20</sup>.

### Optical imaging

Optical imaging uses visible to near-infrared (NIR) wavelengths of light and exploits photon–electron interactions and their resulting electron energy states. For example, one of

the simplest forms of optical imaging is endoscopy — the direct imaging of internal organs with visible light. Fluorescence imaging is another example, which, as depicted in FIG, 2d, harnesses differential electron states stimulated by incoming excitation light, resulting in emission of a longer-wavelength photon. As a clinical example, fluorescence-guided surgery uses fluorescently labelled tissues during surgery: indocyanine green non-specifically detects sentinel lymph nodes, and methylene blue provides unaided eye detection of sentinel lymph nodes intraoperatively. In addition to methods based on fluorescence intensity measurements, methods based on the fluorescence lifetime are also available<sup>21</sup>.

Other optical imaging modalities include Raman and photoacoustic imaging, which have primarily been used for preclinical applications. Raman imaging uses inelastic scattering of photons interacting with molecular vibrations. Notably, because Raman imaging relies on the detection of ‘fingerprint’ Raman spectra, it provides high multiplexing capability<sup>22</sup> and molecular sensitivity. Raman endoscopy aided by multiplexing surface-enhanced Raman GNPs as molecular imaging contrast agents was used to image an internal organ (the colon), demonstrating the potential clinical applicability of *in vivo* multiplexed Raman imaging<sup>23,24</sup>. In contrast to Raman imaging, photoacoustic imaging uses the photon-induced thermal response for signal transduction, thereby overcoming the depth limitations of typical optical imaging modalities. In photoacoustic imaging, light pulses excite targeted imaging agents, causing thermal expansion, which generates an acoustic wave that can be recorded by an ultrasound transducer<sup>25,26</sup>.

Although optical imaging modalities provide high spatial resolution, they suffer from limited penetration depth, because the incident light is scattered and absorbed while travelling through a biological specimen. To overcome this limitation, many researchers have exploited the NIR window (NIR-I: 750–900 nm; NIR-II: 1,000–1,700 nm), in which the light absorption by biological tissues is minimized. For example, a rapidly excreted NIR-II fluorophore was recently used to probe tissues at centimetre depths and achieve micrometre-scale resolution at depths of millimetres<sup>27</sup>.

### Molecular imaging

Although anatomic imaging can accurately depict the location, size and spread of some diseases, it is limited to abnormalities larger than 1 mm<sup>3</sup> (REF. 28). Moreover, it is sometimes delayed in detecting macroscopic anatomical changes as a physiological response to progressed disease because of its limited sensitivity. In addition, anatomical imaging is frequently unable to distinguish between inflammatory, benign and cancerous diseases (for example, lymph node enlargement or benign lung nodule from lung cancer), thus not clearly representing underlying molecular and bio chemical processes. By contrast, molecular imaging generates biologically meaningful contrast by detecting molecular-level changes *in vivo*, thereby helping to detect alterations in subcellular processes, and also has the potential to be tailored for personalized medicine.

### Positron emission tomography

Understanding the underlying biochemical processes of disease is crucial for successful molecular imaging. For example, the Warburg effect (that is, cancer cells undergo higher

rates of glycolysis than non-cancerous cells) is used in positron emission tomography (PET) imaging of tumours. Specifically, 2-fluoro-2-deoxyglucose ( $[^{18}\text{F}]\text{FDG}$ ), a glucose analogue with the 2'OH replaced by a positron-emitting radionuclide ( $^{18}\text{F}$ ), is preferentially taken up by cancerous tissues but not metabolized like normal glucose. Thus, FDG uptake and trapping is used to trace regions in the body with higher glucose metabolic rates.

Positrons emitted by radionuclides in  $[^{18}\text{F}]$  and other positron-emitting isotopes travel until they collide with their counterpart — an electron. Through matter–antimatter interaction and energy–mass conversion, they experience pair annihilation and transform into a pure energy form — a pair of gamma rays with a characteristic energy (511 keV) detectable by a scintillation detector. Theoretically, a pair of gamma rays propagates simultaneously while maintaining anti-parallel trajectories  $180^\circ$  relative to each other; however, the tiny momenta of the electron and the positron before annihilation contribute to a slight divergence in the gamma ray, causing a digression from the exact  $180^\circ$  trajectories (FIG. 2e). PET uses this feature of energy–mass equivalence to identify the spatial origins of positrons. This enables the extrapolation of the tumour location by tracking higher metabolic regions within the body. PET scans are usually performed alongside CT or magnetic resonance scans to simultaneously gain anatomical information for locating disease origins.

Clinical applications of PET with FDG are not limited to the area of oncology, because FDG can accumulate at the sites of infection and inflammation owing to increased glycolytic activity in these states<sup>29</sup>. Examples include mononuclear cells such as lymphocytes and macrophages, which consume large amounts of glucose when targeting a source of an infection. Therefore, PET-CT using FDG, or analogues such as 6- $[^{18}\text{F}]$ -fluoromaltose<sup>30</sup>, can image a wide range of inflammatory and infectious conditions<sup>30,31</sup> such as granulomatous diseases and fungal infections. Other PET applications include tracking pharmacokinetics of drugs<sup>32,33</sup> and musculoskeletal studies<sup>34</sup> with various PET tracers<sup>35</sup>. For further details, please see previous reviews<sup>36,37</sup>.

### Single-photon emission computed tomography

In contrast to the fixed energy-level detection of PET, single-photon emission computed tomography (SPECT) can detect a broad range of energies, including the characteristic gamma-decay energy profiles of metastable technetium-99m (140 keV) and iodine-123/131 (159 keV). SPECT detects gamma rays emitted from excited radionuclides, which rearrange into less energetic, more stable configurations (an isomeric transition). The flexibility in radioactive agents is amenable to multiplexed imaging but is limited in sensitivity by the generally lower energy profiles of the agents and the need for physical collimators<sup>38</sup> (FIG. 2e). Recently, stationary clustered pinhole SPECT was shown to image nuclear tracers at energies up to 600 keV (REFS <sup>39,40</sup>). Imaging  $^{213}\text{Bi}$  down to 0.75 mm resolution was achieved using a SPECT system equipped with a dedicated collimator. This enhanced resolution enables direct imaging of high-energy therapeutic tracers for studying the *in vivo* behaviour of radio-therapeutics. Further details can be found in other review articles<sup>38,41</sup>.



## Conventional contrast agents

Contrast agents were introduced to improve the sensitivity of anatomic imaging modalities. This includes any material that generates a sufficiently different signal (for example, attenuation length in X-ray-based imaging or relaxation time in MRI) in different tissues. Molecular imaging agents typically refer to a contrast agent that has some molecular specificity (for example, for a cell surface receptor), whereas the term contrast agent typically refers to a non-specific agent (for example, an agent that enables signal enhancement in the vasculature).

Most contrast agents for clinical applications are used in X-ray-based imaging and MRI. In X-ray imaging, iodine-based and barium sulfate contrast agents (that is, small molecules) have high attenuation coefficients because of their propensity to scatter incoming waves. These contrast agents can therefore be intravenously administered and used to visualize blood vessels. In MRI, gadolinium (Gd) reduces the spin relaxation time of protons within nearby water molecules, thus enhancing the signal at sites of contrast-agent accumulation. This effect is attributed mainly to the paramagnetic properties of Gd, which has seven unpaired electrons in the 3+ oxidation state ( $Gd^{3+}$ ). Gadolinium-based contrast agents are widely used in clinics for magnetic resonance angiography. In particular, they are used for imaging infection-related breakdown of the blood–brain barrier or the sites of neoplasm, because Gd chelates are normally unable to cross the intact blood–brain barrier<sup>42</sup>.

The first ultrasound contrast agent was an agitated saline that was injected in the aortic root; this enabled the undulating margins of the aortic root to be imaged with a cloud of echoes<sup>43</sup>. The use of agitated saline, which contains bubbles (created, for example, by rapidly and repetitively transferring the saline between two connected syringes), has led to the development of substantially higher-sensitivity microbubbles and microspheres, which are used in ultrasound imaging exams<sup>20</sup>, particularly exams of the heart. Microbubbles can be further modified to target overexpressed cellular markers with targeting ligands, such as antibodies<sup>44–46</sup>. This approach enabled the highly accurate and reliable detection of breast cancer and ductal carcinoma *in situ*<sup>44</sup>, using clinically translatable microbubbles that targeted vascular endothelial growth factor receptor type 2.

The examples detailed above highlight how contrast agents increase the sensitivity of various imaging modalities. To increase the specificity of disease diagnosis, imaging can incorporate aspects of the molecular biology, biochemistry and physiology of a given disease. Addressing the issue of specificity is a main aim of the field of molecular imaging, which has led to the translation of many common *in vitro* bioassay strategies for *in vivo* study.

## Nanomaterial-based diagnostic imaging

A key advantage of nanomaterials is their ability to interact with biologically relevant structures (for example, proteins) of nanoscale dimensions<sup>47</sup>. Additionally, the high surface area to volume ratio at the nanoscale allows for versatile functionalization of nanomaterials for either targeted diagnostic imaging or encapsulated and triggered release of therapeutics.

A detailed discussion of nanoparticles used in each imaging modality can be found elsewhere<sup>48</sup>. In this section, we focus on intrinsic nanomaterial properties and their potential for diagnostic *in vivo* imaging.

### Inorganic nanomaterials

Most inorganic nanoparticles are metallic, with a high density of free electrons in the valence band. The interaction between these free electrons and the excitation phase is the basis of their use as contrast agents in various imaging modalities: for example, this attenuates incident X-rays, increases the Raman optical cross-section and influences the relaxation time of protons in MRI.

Paramagnetic nanoparticles are highly effective in MRI owing to their high magnetic moments. A clinically accepted nanomaterial, superparamagnetic iron oxide nanoparticles (SPIONs, a mixture of Fe<sub>2</sub>O<sub>3</sub> and FeO or of Fe<sub>2</sub>O<sub>3</sub> and Fe<sub>3</sub>O<sub>4</sub>), has been widely used as an MRI contrast agent. In SPIONs, the Fe<sup>2+</sup> ions have four unpaired electrons in the 3*d* shell, resulting in strong magnetic moments. Although cobalt and nickel nanoparticles have comparable magnetic properties<sup>49</sup>, they have demonstrated considerable toxicity in preclinical studies<sup>50</sup>. SPIONs achieve negative signal enhancement in MRI by markedly reducing the *T*<sub>2</sub> relaxation time. The mechanism of enhancement is based on susceptibility effects of the iron oxide core, which result in heterogeneity of the magnetic field.

Superparamagnetism is a unique property of nanomaterials, whereby ambient thermal fluctuations are sufficiently large to flip the direction of the magnetic moment of a magnetic particle. Superparamagnetism is derived from ferromagnetism, in which spins of unfilled *d* bands spontaneously align in parallel inside a domain below a critical temperature. These properties result in a large magnetic moment for each nanoparticle (similar to ferromagnetism), and the effect responds to external magnetic fields as a paramagnetic response (that is, there is no open hysteresis loop). Consequently, protons close to superparamagnetic nanoparticles are more sensitive to applied magnetic fields. Iron oxide nanoparticle size is the main determinant of magnetic character (paramagnetic, superparamagnetic and ferromagnetic) and can be roughly classified into extremely small-sized iron oxide nanoparticles (ESIONs, less than 5 nm)<sup>51</sup>, SPIONs (sizes roughly between 10 and 20 nm) and ferrimagnetic iron oxide nanoparticles (FIONs, larger than 20 nm)<sup>52</sup> as demonstrated in TABLE 1 and FIG. 3a-c.

GNPs have great potential in *in vivo* imaging modalities owing to their exceptional chemical and biological inertness. Because of their higher absorption coefficient<sup>53</sup>, resulting from their high electron density, GNPs yield greater contrast in X-ray-based imaging per unit weight than iodine, a common X-ray contrast agent<sup>54</sup>. However, despite their high X-ray absorption, relatively high tube potentials (>90 kVp) are necessary to generate clearer contrast<sup>55</sup>. In contrast to X-ray-based imaging, other imaging modalities require the functionalization of GNPs with small molecules before *in vivo* injection. Because their surface can be functionalized with small-molecule imaging agents through gold–thiolate bonds, GNPs can serve as nanocarriers for other imaging modalities such as MRI, PET or SPECT imaging. For example, GNPs can be modified with Gd chelates for MRI imaging<sup>56,57</sup>, with radionuclides for PET or SPECT imaging<sup>58,59</sup>, or with Gd coating and a



silica shell for multimodal imaging<sup>60</sup>. Various research groups have reported<sup>56,61</sup> GNPs functionalized with Gd, while maintaining high magnetic resonance relaxivity ( $29 \text{ mM}^{-1} \text{ s}^{-1}$ , 30 MHz, 25 °C). GNPs can also be covalently linked with 1,4,7,10-tetraazacyclododecane-1,4,7,10-tetraacetic acid (DOTA) for immobilizing a radionuclide ( $^{64}\text{Cu}$ )<sup>32</sup> for both PET imaging and therapeutic intervention. Further, researchers could directly load radionuclide into the crystal lattice of GNP to create a copper–gold alloy ( $^{64}\text{CuAu}$ )<sup>58,59</sup>. GNPs are also widely used in preclinical imaging modalities such as Cerenkov luminescence imaging<sup>62</sup> and optical, Raman<sup>60,63</sup> and hyperspectral imaging<sup>64</sup>, and are used as a sensing substrate for surface-enhanced Raman spectroscopy (SERS)<sup>65</sup> with their various shapes and morphology (FIG. 3d). A detailed review on the use of GNPs in clinical imaging can be found elsewhere<sup>55</sup>.

Semiconductor nanoparticles — single crystals of a few nanometres in diameter, which are also known as colloidal semiconductor quantum dots — are broadly used in live cell imaging and *in vivo* diagnostics<sup>66–69</sup>. Unlike metallic nanoparticles, the Fermi levels — the total (electro) chemical potential of electrons — of semiconductor nanoparticles lie between the conduction and valence bands, leading the edges of the bands to govern the low-energy optical and electrical behaviour of the nanoparticle. As shown in TABLE 1, this property yields strong size-dependent optical excitations for clusters of as many as 10,000 atoms<sup>70</sup>. Many advanced synthesis methods have been investigated to improve photophysical and biochemical properties of quantum dots, such as photoluminescence quantum yield<sup>71</sup>, antibody conjugation<sup>72,73</sup> and *in vivo* synthesis<sup>74</sup>. Owing to the excellent optical and physical properties of quantum dots, they have been widely adopted in preclinical imaging.

However, one of the main atomic components of the best-known quantum dot (CdS, cadmium sulfide) — cadmium — is highly toxic, and is believed to cause itai-itai disease. On that account, researchers used cadmium-free  $\text{CuInS}_2/\text{ZnS}$  quantum dots for less toxic NIR imaging of sentinel lymph nodes<sup>75</sup>. Quantum dots made of  $\text{Ag}_2\text{Se}$ , obtained by  $\text{Na}_2\text{SeO}_3$  reduction with the binding of silver ions and alanine in a quasi-biosystem, exhibit notable NIR fluorescence, penetrating through the abdominal cavity of a living mouse<sup>76</sup>. Nanocrystals of ZnS for three-photon excitation combined with  $\text{Mn}^{2+}$  dopant for visible emission demonstrated targeted cellular imaging with high spatial resolution<sup>77</sup> (FIG. 3e). The biocompatibility of ZnS confers great potential in clinical application compared with Cd-based quantum dots. Finally, luminescent porous silicon nanoparticles were used for *in vivo* imaging and to engineer the self-destruction of delinquent nanoparticles into non-toxic products that can be harmlessly eliminated from the body<sup>78</sup>.

### Organic nanomaterials

Organic nanoparticles have also been used for multimodal theranostic applications. Dendrimers, micelles, fullerenes, nanoshells and liposomes are examples of organic nanomaterials that can carry both therapeutic agents and imaging agents, such as radionuclides and NIR fluorescent dyes.

Dendrimers have a tree-like branching structure and form a spherical shape. The loading efficiency of dendrimers is high because both their internal cavity and surface can be used

for theranostic purposes. In addition, proper polymerization and selection of monomers determines the size, relative molecular mass and chemical composition of the dendrimer<sup>4</sup>.

Micelles, which are typically formed from soap molecules, can solubilize insoluble or poorly soluble drugs and increase their bioavailability. Consisting of closed lipid monolayers with a fatty acid core and a polar surface, micelles adopt a spherical form in response to the amphipathic nature of fatty acids. Their typical size ranges from 2 to 20 nm, depending on molecular composition and concentration, and their size allows them to accumulate in the leaky vasculature. In addition, chemical modifications on the lipid surfaces enable micelles to be used in many different imaging and therapeutic modalities<sup>79</sup>.

Similar to micelles, liposomes are artificially engineered vesicles with a phospholipid bilayer, separating an aqueous internal compartment from the bulk aqueous phase. They can be selectively localized to tumour sites and are capable of transporting both drugs and imaging agents. Liposomes have been radiolabelled to evaluate their *in vivo* performance as drug carriers<sup>80</sup>.

Carbon nanotubes<sup>81</sup> — especially single-walled carbon nanotubes (SWNTs) — have an intrinsic semiconducting bandgap suitable for NIR-II fluorescence imaging. In addition, extreme confinement of electrons and phonons in the 1D structure, resulting in van Hove singularities in its density of states, leads to various optical phenomena<sup>82</sup>. For example, efficient photon–phonon conversion in SWNTs enables effective photoacoustic imaging<sup>25</sup>. Interestingly, almost 100% of SWNTs are taken up by a single immune cell subset, Ly-6C<sup>hi</sup> monocytes, whereas 3% or less are taken up in all other circulating cells, implying a potential for use in an immune-based delivery strategy<sup>83</sup> (FIG. 3f). Real-time high-resolution intraoperative photoacoustic imaging is also available in conjunction with carbon nanoparticles derived from honey for sentinel lymph-node imaging<sup>84</sup>.

Inorganic nanoparticles often have intrinsic molecular properties that can generate contrast on imaging. For example, the unique magnetic properties of iron oxide nanoparticles enable contrast on MRI, and the high electron density of gold nanoparticles generates optical phenomena that can be exploited for X-ray or optical imaging. In contrast to inorganic nanoparticles, organic ones may not be able to generate sufficient contrast, as the molecular structures of many types of organic nanoparticles are similar to those of the imaging subject. Although there are methods of circumventing this issue, including surface functionalization, this lack of intrinsic contrast renders them more suitable for use as therapeutic vehicles rather than strict imaging agents. Indeed, as listed in TABLE 1, most organic nanoparticles are presented as theranostics, capable of both therapeutic payload delivery and imaging.

Current clinically available contrast agents and nanoparticles are heavily reliant on perfusion for passive targeting of disease sites. For active targeting (ligand-mediated targeting), which enables highly specific diagnosis and may provide possible treatment options, targeting and signalling components must be incorporated. The ability of nanoparticles to actively target diseased tissues is an important feature because it has the potential to reduce off-target effects and enhance accumulation at the diseased site<sup>85</sup>. Two main modifications of

nanoparticles — targeting ligands and surface modifications — are available to construct nanoparticles as effective imaging tools. The dominant targeting ligands are antibodies and their fragments, and nanoparticles are able to accommodate numerous antibodies, thereby increasing their association constant significantly<sup>86,87</sup>. Other proteins and peptides can also be used to target specific substrates, with peptides having better stability because of their simpler 3D structures. Aptamers that bind to target molecules with high specificity and affinity are also available as targeting ligands on nanoparticles. They are smaller, more stable than antibodies and can be chemically synthesized. However, their laborious selection methods<sup>88</sup> and their inherent positive charge are the limiting factors for clinical use.

The surface modifications of nanoparticles, including surface and ligand charges, and surface hydrophobicity or hydrophilicity, are closely related to their survival in the harsh *in vivo* environment. Although active targeting is generally believed to increase the chance of nano particle delivery to a specific disease site, the ligand–receptor interactions of nanoparticles are limited to less than 0.5 nm. Thus, current drug delivery methods do not have the ability to guide nanoparticles to a target<sup>89</sup>, thereby leading to insignificant differences in accumulation of nanoparticles in tumours. As a result, they are still reliant on blood circulation and extravasation followed by retention within the tumour. Therefore, designing nanoparticles with longer circulation times is crucial for better targeting.

## Using nanomaterials in living subjects

For effective disease targeting, it is desirable to engineer nanoparticles with clearance times that are much longer than their distribution time, to maximize the local concentration of nanoparticles at the disease site for optimal contrast. Several parameters are important in the pharmacokinetics and biodistribution of nanoparticles, including size, shape, surface charge and surface chemistry<sup>90,91</sup>.

### Design considerations

The circulation time of nanoparticles in the blood depends strongly on the particle size, with smaller particles typically having a longer circulation half-life than larger particles<sup>47</sup>. Nanoparticles smaller than 5–10 nm can pass through the glomerular basement membrane of the kidney and are quickly cleared from the blood<sup>92,93</sup> (FIG. 4a). By contrast, micrometre-sized particles are filtered by the capillary bed of the lungs<sup>47,94</sup> (FIG. 4b). Between these sizes, nanoparticles are predominantly taken up by the reticuloendothelial system. For example, nanoparticles larger than 100 nm are endocytosed by Kupffer cells of the liver, while the fenestrations in the spleen filter out particles larger than 200 nm (REFS<sup>94,95</sup>) as schematic and scanning electron microscope images. However, particle deformability can allow large particles to squeeze through these fenestrations, increasing their circulation time<sup>95,96</sup>.

Surface charge is also an important factor in determining the circulation time, because charged particles (particularly positively charged) tend to be cleared by the reticuloendothelial system more quickly and have a higher non-specific cell internalization rate than neutral particles<sup>90</sup>. For example, particles with primary amine groups are more readily phagocytosed than particles coated with carboxyl, sulfate and hydroxyl groups<sup>91</sup>.

Particles with a surface charge below 15 mV showed decreased phagocytic uptake, longer circulation time, and higher accumulation in a murine tumour model<sup>97</sup>. However, the surface charge of a nanoparticle can quickly change upon contact with plasma owing to adsorption and opsonization of plasma proteins<sup>98,99</sup>, forming a 'protein corona', which can expedite particle phagocytosis and clearance<sup>100–102</sup>.

A common strategy for enhancing particle circulation is to coat nanoparticles with hydrophilic, neutral polymers, such as polyethylene glycol (PEG)<sup>103</sup>. PEG imparts stealth-like properties to nanoparticles by conferring enhanced resistance to protein adsorption and opsonization<sup>104</sup>, thereby allowing nanoparticles to evade phagocytosis by macrophages, and extending their circulation time from minutes to hours. Higher-molecular-weight PEG and greater coating density improve the circulation half-life<sup>103,105,106</sup>. For example, a study looking at the half-lives of PEG-functionalized crosslinked dextran-coated magnetic nanoparticles >100 nm in size demonstrated half-lives of 7.29 and 11.75 hours for 5 and 20 kDa PEG, respectively<sup>107</sup>.

Particles up to a few tens of nanometres in size can extravasate out of blood vessels into the interstitial space of organs and tissues. However, in tumours, the vasculature is leakier, and larger particles — up to a few hundred nanometres in size — can extravasate into the tumour site, where they can be retained for longer periods of time than smaller particles or small molecules<sup>108,109</sup>. This phenomenon, known as the enhanced permeability and retention effect (EPR, FIG. 4d), is the main mechanism responsible for passive uptake of nanoparticles into tumours<sup>110</sup>. By coating nanoparticles with antibodies or other targeting ligands, retention in the tumour can be further enhanced.

The shape of nanoparticles also determines their circulation time and uptake into tumours, because nano particles with a higher aspect ratio tend to be phagocytosed by macrophages more readily than spherical particles<sup>111–113</sup>. One study comparing the biodistribution of 50 nm gold nanospheres and similarly sized gold nanorods (45 nm × 10 nm) showed that at 6 hours, the nanospheres were present at only 1% injected dose per gram, whereas the nanorods were present at 11% injected dose per gram (REF. <sup>114</sup>). This result is corroborated by other studies that have shown a substantially longer circulation time for long filamentous micelles compared with spherical micelles<sup>115</sup>.

In general, larger nanoparticles or submicrometre-sized particles provide better sensitivity as contrast agents. For example, larger GNPs can provide a greater scattering or absorption cross-section for SERS and photoacoustic detection<sup>116,117</sup>, and larger iron oxide particles can provide increased  $T_2$  relaxivities for improved MRI contrast<sup>118</sup>. However, metal nanoparticles above the kidney filtration size (even PEGylated long-circulating particles), once cleared by the reticuloendothelial system, can remain indefinitely in the liver and spleen. The exceedingly slow clearance from the body can take months or longer, with unknown long-term toxicities for many nanoparticles. Therefore, there is currently strong interest in engineering nanoparticles that are sufficiently large for strong EPR effect and contrast, while being able to biodegrade into small particles that can be cleared by the kidneys<sup>119</sup>.

## Strategies to overcome nanomaterial toxicity

*In vivo* toxicity of nanoparticles can vary depending on the chemical composition, size and biodegradability. Measures of toxicity can include changes in animal behaviour, weight, cardiovascular status, liver function, biochemical parameters and histological changes (for example, inflammation, necrosis or apoptosis)<sup>120,121</sup>. Such extensive toxicity studies have been demonstrated on PEGylated silica-coated SERS gold nanoparticles<sup>120</sup>. Nanoparticles that have undergone rigorous clinical testing and been approved by the US Food and Drug Administration (FDA) include Feridex and ferumoxytol, for MRI liver contrast and iron supplementation in anaemic patients<sup>122</sup>, respectively. FDA guidelines strongly suggest that pharmaceutical drugs be cleared through metabolism or excretion processes after entering the host body, because timely and proper drug elimination lessens toxicity and prevents improper drug accumulation<sup>123</sup>.

SPIONs taken up by the reticuloendothelial system are readily metabolized to free iron, and end up in the body's iron stores within the liver and bone marrow, where they are available for haemoglobin production by red blood cells<sup>124</sup>. In one study, it was reported that there were no acute or subacute toxic effects (according to histology or serology) in rats or beagle dogs injected with 3,000  $\mu\text{mol Fe kg}^{-1}$  ( $\sim 168 \text{ mg kg}^{-1}$ )<sup>124</sup>. Intravenous doses as high as 250  $\text{mg Fe kg}^{-1}$  have been reported in rats without detectable hepatic mitochondrial dysfunction or microsomal lipid peroxidation, which are sensitive indicators of iron-induced toxicity<sup>125</sup>.

In contrast to SPIONs, GNPs, which were long thought to be biocompatible and inert<sup>126,127</sup>, in fact have demonstrated size-dependent toxicity. For example, at comparable doses in mice (8  $\text{mg kg}^{-1}$  per week), GNPs with sizes from 3 to 5 nm and those from 50 to 100 nm were found not to be toxic; by contrast, those in the intermediate size range of 8–37 nm significantly affected the morbidity and mortality, and caused severe sickness, loss of appetite, weight loss, change in fur colour and shorter average lifespan<sup>128</sup>.

In this regard, surface coating plays an important part in nanoparticle toxicity. For example, gold nanorods synthesized with cetrimonium bromide (CTAB) were shown to be toxic to mice, but the toxicity greatly diminished when the nanoparticles were washed several times to remove CTAB<sup>129</sup>. The fundamental concept of encapsulation is to physically separate a potentially hazardous core from the biological system. Further reduced toxicity can be achieved through encapsulation with the above-mentioned PEGylation, polymers, silica or other biologically inert molecules<sup>66</sup>. A decrease in quantum dot toxicity has also been observed with an increasing number of surface layers<sup>130</sup>.

Although toxicity issues have hampered clinical translation, progress has also been made in the development of colloidal fluorescent quantum dots for *in vivo* applications. However, the main barrier to these particles is that most visible–NIR-fluorescent quantum dots are composed of toxic elements, such as arsenic, with highly covalent-bonding character, which causes them to be retained within the reticuloendothelial system<sup>131</sup>. The toxic elements are also difficult to metabolize and thus may remain intact in the body for many years<sup>132</sup>. For example, in one study, semiconductor quantum dots injected into mice remained intact for more than 2 years in the mouse tissues<sup>123</sup>. One potential method of overcoming these

toxicity issues is to shorten the hydrodynamic diameter of the particle such that it can be filtered by the porous glomerular basement membrane of the kidneys<sup>133</sup>.

PEG has provided a reasonable stealth effect in bio-fluids<sup>134</sup>; however, PEG-functionalized nanoparticles are known to interact with certain types of proteins<sup>135</sup>, possibly resulting in undesirable immune responses because of *in situ* formation of a protein corona<sup>136</sup>. An alternative way of using the stealth effect includes the incorporation of zwitterion functionalities onto the surface of the nanoparticles. For example, corona-free nanoparticles were developed with tunable hydrophobicity that serve as platforms to enhance desirable nanobiological behaviour, such as cellular uptake and haemolysis<sup>137</sup>. In addition, silica nanoparticles conjugated with biotin and cysteine were introduced to suppress corona-associated mistargeting, wherein cysteine was used as a zwitterionic ligand<sup>138</sup>. In this study, it was reported that a zwitterionic surface aids targeting. Moreover, some researchers found that the fate of nanoparticles and their associated detection efficacy can be substantially affected by a patient-specific corona<sup>139,140</sup>.

### Self-assembled and biodegradable nanomaterials

Major advances for the *in vivo* use of inorganic particles have also been made by optimizing synthetic schemes. Most notable among these strategies are self-assembly and disassembly as a means to overcome size-limitations of nanoparticles. One example is an attempt to build nanoparticles directly inside living cells from cell-permeable, small-molecular-weight building blocks. The researchers established a method to self-assemble nanoparticles *in vivo* using a biocompatible condensation reaction<sup>141,142</sup>. Upon systemic injection into a small animal, designed small molecules can undergo self-condensation and subsequently self-assemble into nano particles on meeting the molecular target<sup>143</sup>, for example, the apoptosis effector enzyme caspase-3<sup>144–146</sup>.

In addition to the self-assembled nanoparticles introduced above, biodegradable nanoparticles have garnered attention owing to their biocompatibility and low toxicity *in vivo*. Numerous organic polymers, such as poly(lactic-co-glycolic acid), poly(butyl cyanoacrylate), poly(alkyl cyanoacrylate) and poly(ethyl cyanoacrylate), are good candidate materials for such nanoparticles because they are biodegradable, biocompatible and compatible with a broad range of therapeutic drugs<sup>147</sup>. In addition to biodegradable organic polymeric nanoparticles, there are a few classes of inorganic nanoparticles that can be metabolized through non-toxic elements and removed safely from the body after serving as imaging contrast agents. Glass nanospheres<sup>148</sup> and mesoporous silica nanoparticles<sup>149</sup> have been used for ultrasound imaging and/or MRI of stem cells, and their degradation products can be easily metabolized in the body.

### Clinical applications

Clinically, nanomaterial-based imaging agents are used on the basis of either passive targeting by means of leaky vasculature, non-specific uptake by macrophages in lymphoid tissues and sites of inflammation, or molecular imaging by active targeting with surface antibodies or small peptides<sup>150</sup>. These guiding principles frame much of the clinical advancements in cancer, cardiovascular and inflammation imaging. In addition, the vast



majority of clinical translation so far has involved magnetic nanomaterials as contrast agents for MRI. This is probably a result of the pervasiveness of MRI in clinical management compared with other imaging modalities (for example, photoacoustics), as well as the ease of repurposing existing FDA-approved magnetic iron oxide particles, such as ferumoxytol (TABLE 2), that were originally approved as iron-replacement therapies<sup>151</sup>. Accordingly, much of the discussion in this section focuses on magnetic nanomaterials for MRI to reflect the existing literature and clinical landscape.

## Cancer

One of the earliest applications of nanotechnology in medical imaging was the use of SPIONs for the highly sensitive detection of liver tumours<sup>152,153</sup>. Benign lesions and normal hepatic tissue have a greater tendency to accumulate SPIONs because of their increased levels of phagocytic Kupffer cells and blood pools compared with cancerous lesions<sup>154</sup>. Thus, regions with decreased signal on  $T_2$ -weighted accumulation phase images denote likely cancerous nodules<sup>155</sup>. In one example, hepatocellular carcinoma in a patient population with cirrhosis was readily detectable using SPIO-enhanced MRI with a sensitivity comparable to that of (FIG. 5a). Although the passive delineation of phagocytic and non-phagocytic tissue has been of little clinical relevance outside of the liver, it has also been used to effectively image lymph nodes for cancer staging<sup>156–160</sup>. Lymph node metastases are notoriously difficult to discern by ultrasound, CT and MRI, especially without use of contrast<sup>161–163</sup>. Iron oxide particles have been shown to accumulate uniformly in macrophages of non-metastatic lymph nodes, causing them to appear homogenous and hypointense on  $T_2$ -weighted images compared with metastatic nodes, which exhibit abnormal patterns of accumulation and regions of hyperintensity<sup>164</sup>.

Less clinically advanced than the examples described above, targeted nanomaterials have shown potential for the molecular imaging of cancer. In particular, C dots (Cornell dots) are a promising imaging strategy in metastatic melanoma<sup>165–167</sup>. C dots are 6–7 nm core–shell hybrid silica particles capable of simultaneous PET–optical imaging with a Cy5 dye core and surface-attached <sup>124</sup>I radiolabels. The particles are also surface functionalized with cRGDY peptides for targeting of  $\alpha v \beta 3$  integrin- expressing tumours. In a first-in-human clinical trial of five patients, these particles exhibited high *in vivo* stability, were well tolerated and demonstrated evidence of accumulation at lesions in several subjects<sup>167</sup>. In one patient, particle uptake along the peripheral tumour area of a hepatic metastasis was seen as early as 4 hours after injection (FIG. 5b). A larger pilot study of 30 patients at Memorial Sloan Kettering Cancer Center, evaluating these particles for intraoperative mapping of sentinel lymph nodes in melanoma, breast, cervical and uterine cancer, is now recruiting patients (TABLE 2, trial identifier NCT02106598).

## Cardiovascular imaging

Imaging of both cardiac and vascular pathology has largely depended on the increased inflammation at sites of disease. In atherosclerosis, abnormalities in cholesterol metabolism ultimately lead to vascular deposition of lipid-rich plaques, which can cause local ischaemia<sup>168</sup>. Macrophage infiltration within plaques contributes to the local inflammatory process and renders them unstable: that is, at risk of rupture and subsequent vascular

occlusion leading to acute myocardial infarction or stroke<sup>168</sup>. Although the extent of vessel narrowing can be visualized with anatomic techniques including X-ray, MRI and CT angiography, lumen size is a poor predictor of rupture risk<sup>169–171</sup>. Thus, functional interrogation of atherosclerotic plaque immunology is a promising strategy for identifying patients with high risk of rupture and monitoring therapies. Nanomaterial-mediated imaging of atherosclerosis has spanned MRI, CT and PET<sup>171</sup>, including multimodal approaches with added optical capabilities for fluorescence-based agent validation in preclinical studies<sup>172</sup>. For example, a crystalline iodinated nanoparticle (N1177, TABLE 2), with high affinity for macrophages, is capable of detecting intraplaque macrophages and has been used for CT imaging in a rabbit model, based on its energy-dependent photon attenuation<sup>173</sup>. Magnetic iron oxide nanoparticles that are taken up by plaque macrophages represent the clinically most advanced approach<sup>174–176</sup>, but challenges remain associated with the small blood volumes in many vessels of interest — namely, the coronary and carotid arteries<sup>177</sup>.

Similar to atherosclerosis, myocardium remodelling after infarction is another immune-mediated process that can be imaged with macrophage tracking. Immediately after infarction, macrophages have a key role in the removal of necrotic tissue, angiogenesis and collagen synthesis, but their prolonged persistence at the site of injury can generate damaging free radicals and contribute to scar tissue formation<sup>178</sup>. Thus, tracking macrophage infiltration over time can potentially be used as a diagnostic for post-infarction recovery. Both iron oxides<sup>179</sup> and Gd-based<sup>180</sup> strategies have been used for this purpose, and key clinical studies performed with ferumoxytol<sup>181</sup> demonstrated particle uptake in both the infarct and peri-infarct regions of the left ventricle as visualized by  $T_2$ -weighted hypoenhancement in these areas. In one study using ferumoxytol in patients with acute myocardial infarction, there was clear particle uptake in both infarcted and remote myocardium 24 hours after infusion (FIG. 5c). Interestingly, use of a different SPIO particle, ferucarbotran, in a small clinical study did not show an improvement of infarct zone visualization compared with standard Gd-based methods<sup>182</sup>. Related strategies are undergoing preclinical development for detection of myocarditis<sup>183</sup> and for monitoring heart transplant rejection<sup>184,185</sup>.

### Emerging applications

The clinical translation of imaging nanodiagnostics has been largely restricted to cancer and cardiovascular disease; however, emerging applications in type I diabetes and Alzheimer disease have garnered recent clinical interest and are briefly discussed here. Type I diabetes is distinct from the insulin-resistance-mediated type II diabetes in that it is a chronic autoimmune process resulting in destruction of the insulin-producing  $\beta$  cells of the pancreas<sup>185</sup>. Given the lack of existing methods to assess disease progression and monitor response to therapy, there is a great clinical need and push to develop non-invasive methods of visualizing pancreatic inflammation<sup>186–188</sup>. In a clinical study using ferumoxytol to target infiltrating macrophages in the pancreas, researchers noted not only increased nanoparticle accumulation in the recently diagnosed patients, but also increased heterogeneity in signal intensity compared with healthy controls using 3T MRI<sup>189</sup> (FIG. 5b).

Although still in preclinical research, the novelty and potential impact of targeted imaging in Alzheimer disease is also worthy of discussion. An important advance in imaging Alzheimer disease was the development of PET tracers specific for amyloid plaques, but the clinical progression of the disease may not correlate with the presence of plaques, and more cost-effective alternatives to PET are being actively developed<sup>190</sup>. In one study, *ex vivo*  $T_2$ -weighted MRI performed on Tg2576 mice injected with sub-100 nm SPIONs coated with amyloid-targeting curcumin showed clear visualization of plaques consistent with histological patterns<sup>191</sup>. Another promising approach targeted A $\beta$  oligomers, an early-stage biomarker of Alzheimer disease, with antibody-laden 12–16 nm iron oxide magnetic nanostructures stabilized with nitro- dopamine and PEG<sup>192</sup>. In cellular labelling, murine studies and isolated samples of human brain tissue, the particle was capable of distinguishing A $\beta$ O-containing samples, setting the stage for earlier diagnostics in Alzheimer disease (FIG. 5e).

### Ex vivo nanodiagnostic applications

Numerous clinical and preclinical *ex vivo* and/or *in vitro* nanodiagnostic technologies are now available in the field of clinical diagnostics. As mentioned earlier, pregnancy test kits, which often consist of GNPs that undergo a plasmonic colorimetric change on sensing a hormone level change in the urine, are an exemplary application of *in vitro* nanodiagnostics. Likewise, various *in vitro* diagnostics including lateral flow immunoassays have benefited from the development of nanomaterials<sup>193,194</sup>. In contrast to *in vivo* nanodiagnostics, *ex vivo/in vitro* nanodiagnostics represents an indirect or remote way to investigate disease in minimally invasive manners (for example, detecting biomarkers in blood, urine and saliva). However, because endogenous biomarker detection is sometimes hindered by highly variable background noise, nanomaterials have been used to increase the signal-to-noise ratio of the biomarkers in biological samples. As an alternative, exogenous bio-marker detection *ex vivo* has garnered much attention recently, owing to unique biomarker presence under a triggered condition. So-called nanoworms<sup>195</sup> were developed for diagnosing non-communicable diseases (for example, colorectal cancer) with paper microfluidics<sup>196</sup>. Segmented nanoworms, composed of magnetic iron oxide and coated with a polymer, are able to find and attach to tumours. These nano worms are reformulated to release ligand-encoded reporters designed for detection by a companion point-of-care diagnostic. Unfortunately, because nanoworms passively target and attach to tumours, their delivery may suffer from low yield. Alternatively, we introduced tumour-activatable minicircles<sup>197</sup> that greatly improve tumour detectability by detecting unique blood biomarkers for cancers<sup>198</sup>. Tumour-activatable minicircles encoding a secretable biomarker downstream of the tumour-specific survivin promoter are packaged in nanocomplexes and delivered systemically. Although the minicircles are delivered to many cell types, the promoter is only active (and thus reporter only generated) in tumour cells. Detecting such reporter proteins from a blood sample aids *ex vivo/in vitro* detection of the given disease.

### Perspective

Clinically available imaging modalities have provided a non-invasive way to look within the human body. As is the case with mammography, which can detect lesions in breast tissue

even when they are too small to be felt by palpation, non-invasive imaging modalities have greatly decreased the detection threshold for earlier disease diagnosis. Many traditional imaging modalities are, however, unable to provide single-cell or molecular-level information (at any depth) and are therefore still limited in their sensitivity and specificity. Accordingly, the development of nanomaterials that enhance the sensitivity and specificity of imaging modalities has been a dynamic research area for the past two decades. In particular, many nanomaterials have been developed with the aim of reaching the level of single-cell sensitivity and specificity (at least at limited imaging depths), which may reveal the true origin of diseases. Achieving these aims requires an appreciation for both the underlying physics of clinical imaging modalities and the biological processes that underlie disease. Nanomaterials present one unique strategy towards achieving these goals.

With the exception of iron oxide particles, nanomaterials have seldom been used in clinical diagnostics. We believe that this is primarily because of difficulties in attaining acceptable pharmacokinetic properties and proper quality control, as well as issues of toxicity, biodegradation and clearance of developed nanomaterials. Additionally, materials may exhibit new or changed physico chemical properties at the nanoscale, which may serve as a double-edged sword for the development of new products, especially for *in vivo* use<sup>199</sup>. In this regard, the FDA approaches nanomaterial consideration through two lenses: product quality assessment for standardized nanomaterial characterization, quality control and manufacturing; and product safety assessment for biodistribution, clearance, metabolism and toxicology. Therefore, it is imperative for researchers to reflect these considerations when developing nano scale materials for *in vivo* use.

Readers can now appreciate that all aspects of a nano material — from the choice of material to surface modifications — must be thoroughly considered and tested before it can be readily used in patients. However, the widespread clinical use of nanomaterials has been impeded by several factors, including nanomaterial biodistribution, unknown toxicity profiles and short clearance times. Therefore, to use nanodiagnostics effectively in a clinical setting, disease heterogeneity and human variability in response to disease should be carefully considered. Despite these hurdles, we envisage that nanodiagnostics accompanied with imaging modalities will serve as an effective diagnostic tool in the near future.

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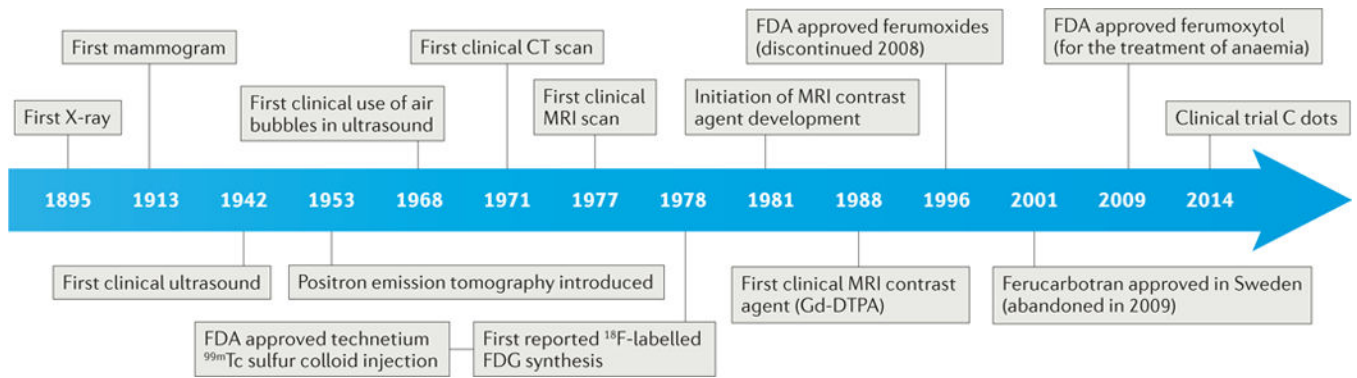
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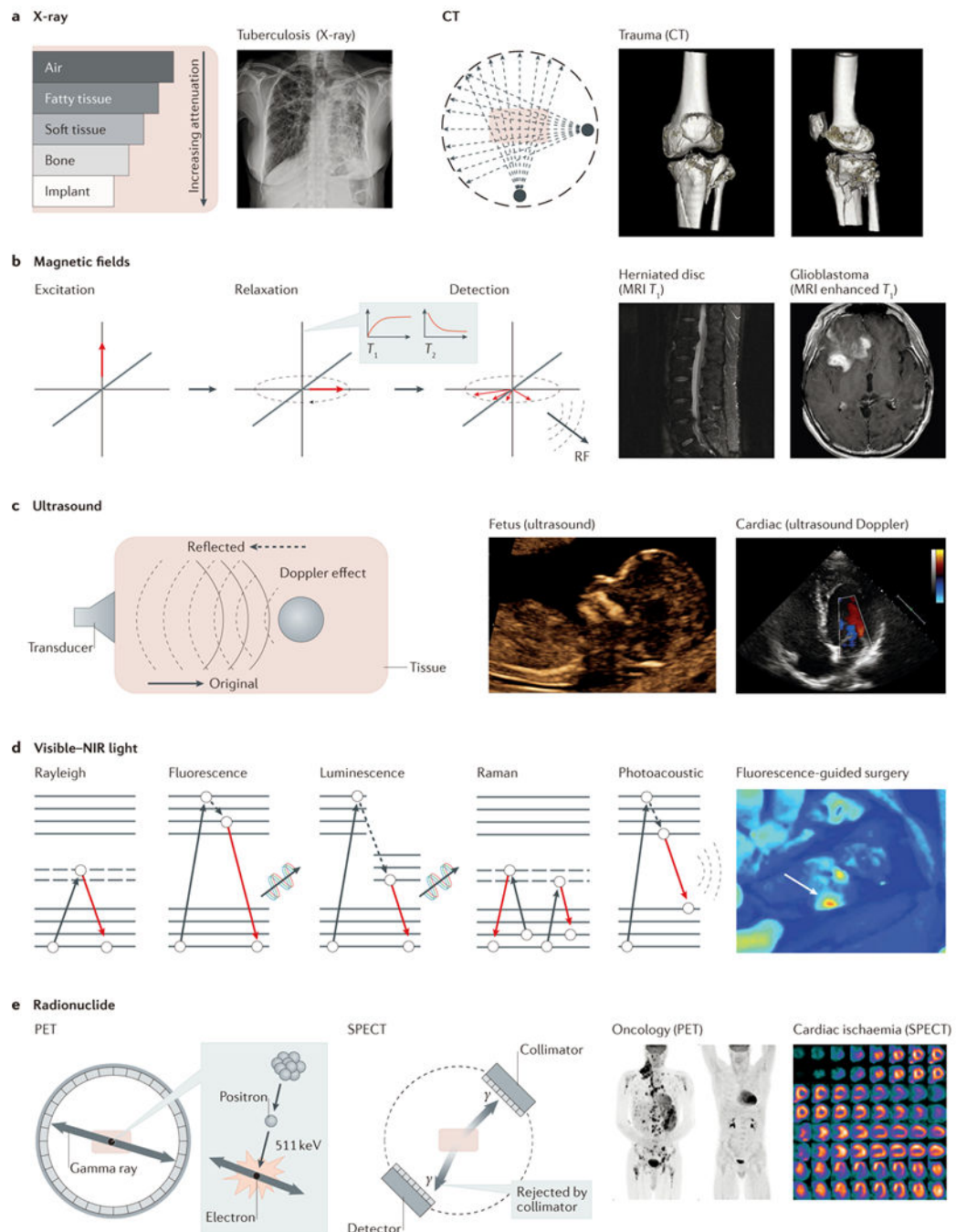
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**Figure 1. Timeline of the development of bioimaging modalities and imaging agents towards nanodiagnosics**

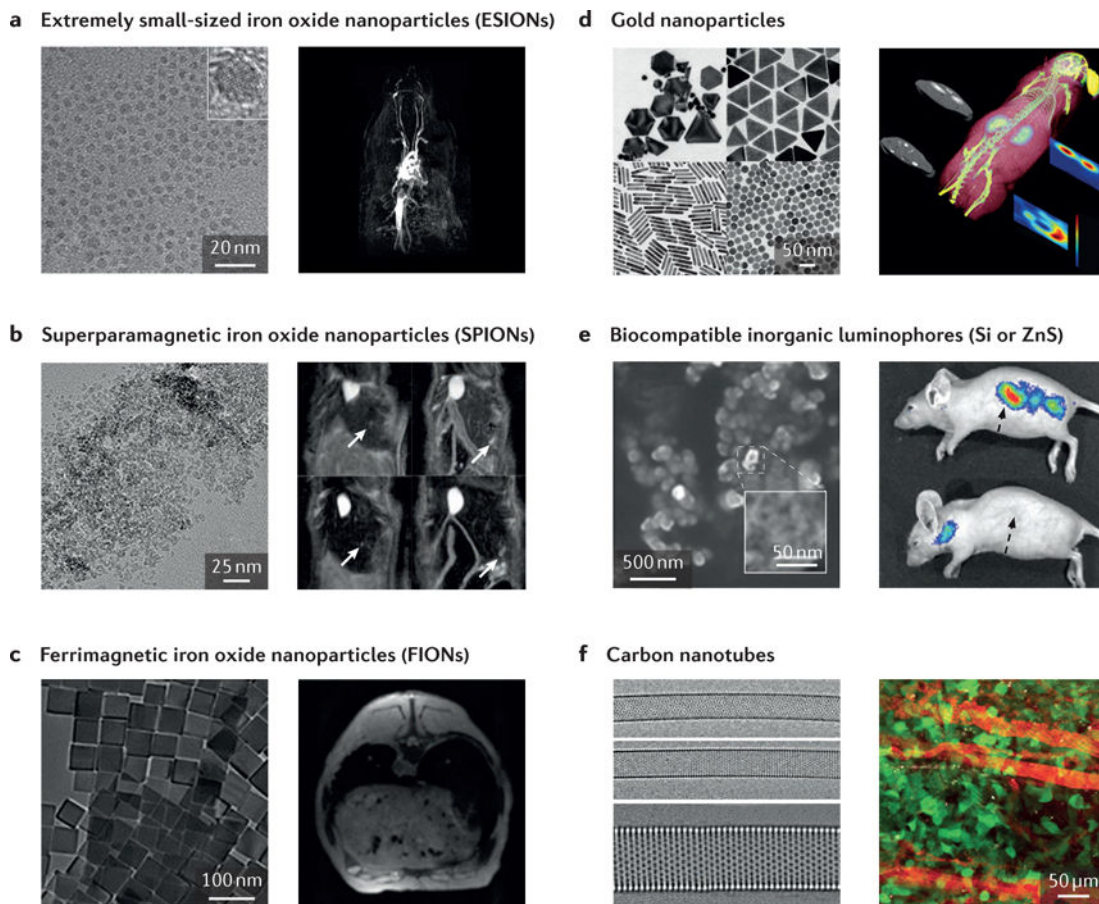
The development of imaging instrumentation was mainly accomplished by the middle of the 1980s, and nanoagents have been continuously developed since then. C dots, Cornell dots; CT, computed tomography; FDG, fluorodeoxyglucose; Gd-DTPA, gadopentetic acid; MRI, magnetic resonance imaging; PET, positron emission tomography;  $^{99\text{m}}\text{Tc}$ , metastable technetium.



**Figure 2. Clinically used primary imaging modalities and their corresponding basic physical principles**

**a** | Clinical X-ray imaging with computed tomography (CT) primarily relies on tissue-specific attenuation of photons. Tuberculosis can be typically determined by posterior–anterior chest X-ray to investigate the existence of consolidations and/or cavities in the lung. Tibial plateau fractures can be visually represented in 3D by CT. CT scans are occasionally critical for assessing degree of fractures and guiding treatment. **b** | MRI uses magnetization of protons in tissues, such that the density of protons determines the contrast of tissues.

Therefore, MRI is commonly used to diagnose anatomical anomalies, such as torn or damaged ligaments or herniated lumbar discs, as well as head- and neck-related diseases, such as brain tumours. **c** | Ultrasound uses a mechanical sound wave to characterize tissue-specific echoing properties, and is commonly used in obstetrics and gynaecology owing to its non-invasiveness. In addition, the Doppler effect can be used alongside ultrasound imaging to interrogate moving objects in the human body. **d** | Various optical imaging modalities are heavily dependent on photon–electron interactions, as shown in the Jablonski diagrams. Colonoscopy and fluorescence-guided surgery are examples of clinically used optical modalities using photon–electron interactions. **e** | PET and SPECT use injected radionuclides to generate signals from inside the body. Applications of these radio-modalities include the assessment of oncological activities or cardiac ischaemia. MRI, magnetic resonance imaging; NIR, near-infrared; PET, positron emission tomography; RF, radiofrequency; SPECT, single-photon emission computed tomography. Panel **a** courtesy of H. Guo and G. Gold, Stanford University. Panel **b** courtesy of D.-h. Ha, Stanford University. Panel **c** courtesy of R. Barth and J. Wu, Stanford University. Panel **d** courtesy of E. Rosenthal, Stanford University. Panel **e** courtesy of A. Iagaru, Stanford University, USA.

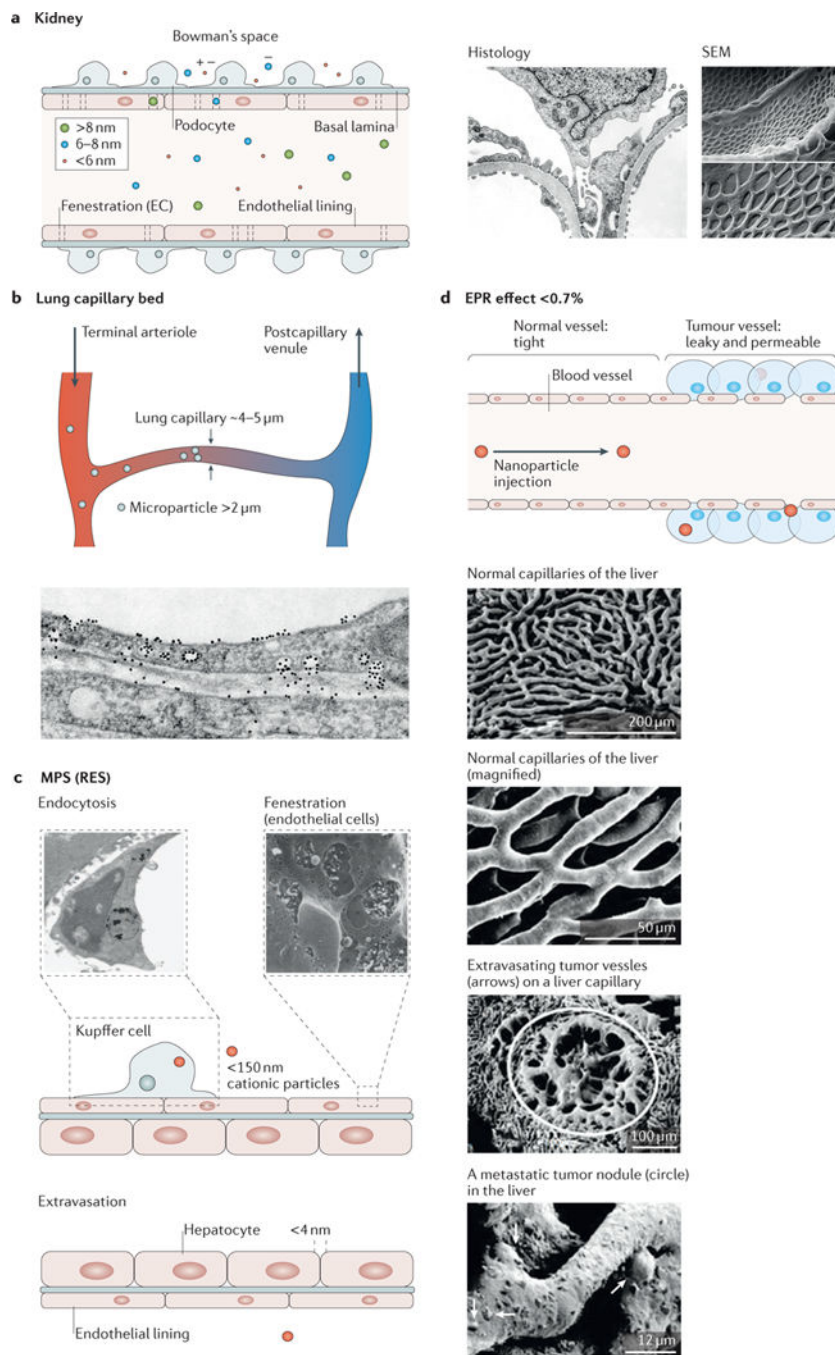


**Figure 3. Examples of nanoparticles used in preclinical imaging**

**a** | Extremely small-sized iron oxide nanoparticles (ESIONs) only a few nanometres in diameter exhibit relatively weak magnetic moments, suitable for  $T_1$  MRI contrast enhancement. A TEM image of iron oxide nanoparticles and an ESION-enhanced blood pool MRI of a rat obtained by 3D-FLASH sequence are displayed. **b** | A TEM image of Resovist, with magnetic resonance images of Huh7 orthotropic liver cancer model, are shown. Arrows indicate the location of tumours. **c** | In contrast to smaller iron oxide nanoparticles, larger iron oxide nanoparticles are suitable for the contrast enhancement in  $T_2^*$  MR images. Ferrimagnetic iron oxide nanoparticles are known to have the highest relaxivity among single iron oxide nanoparticles. **d** | Gold nanoparticles with various shapes for various purposes are displayed in SEM and TEM images. Owing to the high atomic number and free electrons of gold, GNPs have been widely used in the fields of plasmonics, X-ray, X-ray fluorescence computed tomography (XFCT), and so on. As an example, a tumour-bearing mouse injected with GNPs is accurately imaged with a benchtop XFCT. **e** | Biocompatible inorganic luminophores (Si or ZnS) that overcome the conventional limitations of quantum dots are shown. However, limitations exist, including excitation wavelengths. They have great applicability in multiphoton intravital imaging. **f** | Carbon nanotubes have unique optical and electrical properties that are widely used in NIR imaging. GNP, graphene nanoparticle; MRI, magnetic resonance imaging; NIR, near-infrared; SEM, scanning electron microscopy; TEM, transmission electron microscopy. Panel **a** is



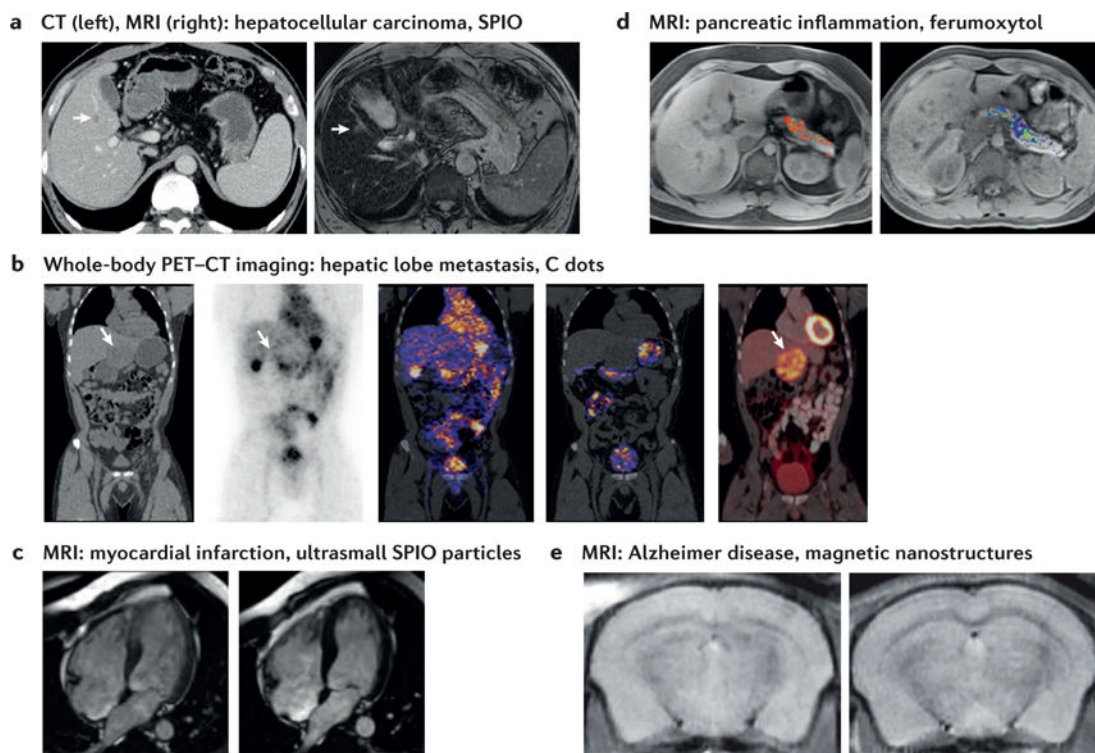
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**Figure 4. *In vivo* nanoparticle accumulation, clearance and filtration**

The figure shows the fate of nanoparticles and describes their accumulation and clearance sites. After injection, 99% of injected nanoparticles will face sequestration or clearance either by the reticuloendothelial system, including the liver and spleen, or by filtration of the kidney<sup>209</sup>. **a** | Kidney filtration or renal clearance is responsible for the filtration of nanoparticles, typically smaller than 6 nm in their hydrodynamic diameter. As shown in the scanning electron microscopy (SEM) images<sup>210</sup> and histology, the numerous, round fenestrations over the entire glomerular cell surface (endothelial lining) serve as a filter for

nanoparticle clearance. Filtered nanoparticles are then drained to the bladder and finally cleared through urination. **b** | Micrometre-sized particles are physically filtered by capillary beds in the lungs, which are known to be the smallest capillaries. **c** | The liver is a representative reticuloendothelial system and a major entrapment site for injected nanoparticles. Phagocytic cells, including Kupffer cells in the liver, preferentially entrap negatively charged nanoparticles smaller than 150 nm. Entrapped nanoparticles will eventually be cleared from the body via the bile duct. In addition, fenestration of endothelial cells (SEM inset) and intracellular gaps allow nanoparticles to extravasate as a part of clearance. **d** | The enhanced permeation and retention (EPR) effect enables nanoparticles with sizes of 100–200 nm to accumulate and retain in the tumour interstitium owing to its leaky vasculature structures. This mechanism is further enhanced in tumours because of the lack of a draining lymphatic system. Electron micrographs show the contrast between normal and cancerous tissues. On average, 0.7% of intravenously injected nanoparticles arrive at tumour sites<sup>209</sup>. MPS, mononuclear phagocytic system; RES, reticuloendothelial system. Histological image in panel **a** is reproduced with permission from REF. <sup>211</sup>, Elsevier. Scanning electron microscopy image in panel **a** is reproduced with permission from REF. <sup>210</sup>, Public Library of Science. The micrograph of a phagocytic Kupffer cell in panel **c** is reproduced with permission from REF. <sup>212</sup>, BioMed Central. The micrograph of the hepatic endothelial fenestrae in panel **c** is reproduced with permission from REF. <sup>213</sup>, Wiley-VCH. Electron micrographs in panel **d** are reproduced with permission from REF. <sup>214</sup>, Springer.



**Figure 5. Clinical translation of nanomaterial imaging agents**

**a** | A 7 mm hepatocellular carcinoma nodule in a 61-year-old man shows low attenuation in the CT scan (arrow, left) and nodular hyperintensity on an SPIO-enhanced  $T_2$ -weighted magnetic resonance image (arrow, right). **b** | Whole-body PET-CT imaging of particle biodistribution of Cornell dots (C dots) in a single patient showing a hypodense left hepatic lobe metastasis on a reformatted coronal CT scan (first image). Particle uptake is shown in the peripheral tumour area 4 hours after injection through coronal PET (second image), and in the bladder, stomach, intestines, gallbladder and heart (third and fourth images), and the hepatic metastasis with standard [ $^{18}\text{F}$ ]FDG PET-CT (fifth image). **c** | Long-axis view of a patient undergoing cardiovascular magnetic resonance imaging (MRI). Comparison of pre- and post-Feraheme scans in the setting of septal myocardial infarction shows hyperenhancement in the septal wall after 0 and 24 hours post-Feraheme injection (left and right images, respectively). **d** | Increased pancreatic ferumoxytol uptake in a patient with recently diagnosed type I diabetes (left) compared with a healthy control subject (right) seen with MRI. **e** | *In vivo* MRI of A $\beta$ O-targeted probe mice showing hippocampal localization in an Alzheimer disease model (left) compared with a wild-type control brain (right). FDG, fluorodeoxyglucose; PET-CT, positron emission tomography-computed tomography; SPIO, superparamagnetic iron oxide. Panel **a** is reproduced with permission from REF. <sup>157</sup>, Radiological Society of North America. Panel **b** is reproduced with permission from REF. <sup>167</sup>, AAAS. Panel **c** is reproduced with permission from REF. <sup>181</sup>, American Heart Association. Panel **d** is reproduced with permission from REF. <sup>189</sup>, National Academy of Sciences. Panel **e** is reproduced from REF. <sup>192</sup>, Macmillan Publishers Limited.

**Table 1**

Representative nanomaterials designated for nanodiagnostics

Types	Dimension	Imaging modalities	Comment
<i>Inorganic</i>			
ESION <sup>51</sup>	<5 nm	Magnetic resonance	Paramagnetic, $T_1$ contrast agent
SPION <sup>52</sup>	10–20 nm	Magnetic resonance	Superparamagnetic, $T_2$ contrast agent
FION <sup>52</sup>	>20 nm	Magnetic resonance	Ferrimagnetic, $T_2$ contrast agent
Si	• ~200 nm with 4 nm pores* • 6–7 nm (REF. 167)	Ultrasound, magnetic resonance and fluorescence PET <sup>167</sup>	<ul style="list-style-type: none"> <li>• Mostly mesoporous silica nanoparticles for drug delivery</li> <li>• Contain a class of biodegradable nanoparticles</li> <li>• C dots (functional core–shell silica particles)<sup>167</sup></li> </ul>
ZnS (REF 77)	~5.5 nm	Phosphorescence	Semiconductor, manganese(ii)-doped
GNP	Varies	X-ray, optical coherence tomography, photoacoustic and Raman	Known to be biologically inert but has size-dependent toxicity.
Ag <sub>2</sub> Se (REF 76)	Sub-3 nm	Fluorescence	<ul style="list-style-type: none"> <li>• Semiconductor quantum dot</li> <li>• NIR fluorescence</li> <li>• Low toxicity</li> </ul>
<i>Organic</i>			
Carbon nanotube	1.2 nm (diameter, SWNT)	Fluorescence and photoacoustic	<ul style="list-style-type: none"> <li>• Strong absorbance in NIR light</li> <li>• Toxic, van Hove singularities</li> </ul>
Liposome	20–3,000 nm	Ultrasound, widely applicable	<ul style="list-style-type: none"> <li>• Theranostic, nanobubbles</li> <li>• Phospholipid bilayer with cholesterol, mostly used for drug delivery</li> </ul>
Micelle	5–100 nm	Widely applicable	<ul style="list-style-type: none"> <li>• Theranostic, ionized fatty acids</li> <li>• Mostly used for drug delivery</li> </ul>
Dendrimer	10–500 nm	Widely applicable	<ul style="list-style-type: none"> <li>• Theranostic</li> <li>• Conjugated with paramagnetic iron oxide particles or gadolinium chelate for magnetic resonance</li> <li>• Gene delivery</li> <li>• Limited structural diversity</li> </ul>
Self-assembled small molecules <sup>145</sup>	20–300 nm	Fluorescence	Triggered condensation through condensation chemistry

C dots, Cornell dots; ESION, extremely small-sized iron oxide nanoparticles; FION, ferrimagnetic iron oxide nanoparticles; GNP, gold nanoparticles; NIR, near-infrared; PET, positron emission tomography; SPION, superparamagnetic iron oxide nanoparticles; SWNT, single-walled nanotubes.

\* Available commercially.

Table 2

Summary of clinically available or clinically translatable nanomaterials for *in vivo* nanodiagnostics

Name	Material	Modality	Organ or disease	Half-life	Size	Status	Comment
C dots <sup>*</sup>	Silica-organic hybrid particles	PET or optical	Melanoma and brain cancer	4 days	6–7 nm	FDA IND	NCT01266096 NCT02106598
Clariscan (feruglose) <sup>*</sup>	Ultra-small superparamagnetic iron oxide	MR	Liver and spleen	3–4 hours	11–20 nm	–	Development discontinued
Combidex (ferumoxtran) <sup>*</sup>	Fe <sub>3</sub> O <sub>4</sub> coated with dextran	MR	Lymph node	24–30 hours	30 nm	IND, NDA withdrawal	Development discontinued (2010) NCT02751606
<sup>64</sup> Cu-25%-CANF-Comb	Nanoparticle radio-pharmaceutical <sup>64</sup> Cu-25%-CANF-Comb	PET or MR	Atherosclerosis	12.7 hours (radioactivity)	16 nm	FDA IND	NCT02417688 NCT02498379
Definity <sup>‡</sup>	Perfluren lipid microspheres	US	Pulmonary and heart	1.3 minutes (gas)	1.1–3.3 μm	FDA (2001)	Micrometre-size
Feridex (ferumoxides) <sup>*</sup>	Fe <sub>3</sub> O <sub>4</sub> -γ-Fe <sub>2</sub> O <sub>3</sub> coated with dextran	MR	Liver	2 hours	100 nm	FDA (1996)	Discontinued (2008)
Feraheme (ferumoxytol) <sup>‡</sup>	Carboxymethyl-dextran with iron oxide core	Possible MR	Anaemia and pancreatic cancer	15 hours	17–31 nm	FDA (2009)	Approved for anaemia and NCT00920023
Lumivity <sup>‡</sup>	Lipid-encapsulated perfluren microspheres	US	Echocardiography	1.3 minutes (gas)	1–10 μm	EMA (2009)	Micrometre-size
Lumirem (ferumoxsil) <sup>‡</sup>	Siloxane-coated non-stoichiometric magnetite, [FeO <sub>x</sub> (C <sub>5</sub> H <sub>13</sub> N <sub>2</sub> S <sub>2</sub> O <sub>2</sub> ) <sub>y</sub> ]	MR	Gastrointestinal	2.4 ± 0.2 hours	400 nm	FDA (1996)	Oral, currently the only available iron oxide
N1177 <sup>*</sup>	Crystalline iodinated nanoparticles	CT	Atherosclerosis	>2 hours	259 nm, 1–5 μm (aerosol)	–	In clinical trial
Opison (perfluren) <sup>‡</sup>	Albumin-coated perfluren lipid microspheres	US	Lymph node and myocardial	1.3 minutes (gas)	3–4.5 μm	FDA (1997) EMA (1998)	Micrometre-size
Resovist (ferucarbotran) <sup>*</sup>	Fe <sub>3</sub> O <sub>4</sub> with carboxydextran	MR	Liver	2.4–3.6 hours	60 nm	Sweden (2001)	Discontinued (2009)
Sonazoid <sup>*</sup>	Lipid-stabilized perfluorobutane microspheres	US	Heart and liver	30–45 minutes (elimination)	2.6 μm	–	Development suspended
SonoVue <sup>‡</sup>	Microbubbles stabilized by phospholipids with SF <sub>6</sub>	US	Echocardiography	6 minutes	2.5 μm	EMA (2001)	Micrometre-size
<sup>99m</sup> Tc sulfur colloid <sup>*</sup>	<sup>99m</sup> Tc sulfur colloid nanoparticles	SPECT	Breast cancer and lymph node	6.02 hours (radioactivity)	7.5–53.9 nm	FDA (1978)	Discontinued

Additional information regarding the listed compounds may be found in the [National Institute of Health Molecular Imaging and Contrast Agent Database](#). CANF, C-type atrial natriuretic factor; C dots, Cornell dots; CT, computed tomography; EMA, European Medicines Agency; FDA, Food and Drug Administration; IND, investigational new drug; MR, magnetic resonance; NDA, new drug application; PET, positron emission tomography; US, ultrasound; SPECT, single photon emission computed tomography.

<sup>\*</sup> In developmental phase or development discontinued.

<sup>‡</sup> Available in clinic.