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ORIGINAL ARTICLE

Retrospective Study

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Stage III should be subclassified into Stage III A and III B in the American Joint Committee on Cancer (8th Edition) staging system for pancreatic cancer

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Abstract

To ascertain the prognostic role of the T4 and N2 category in stage III pancreatic cancer according to the 8th edition of the American Joint Committee on Cancer (AJCC) classification.

METHODS

Patients were collected from the Surveillance Epidemiology and End Results (SEER) database (2004-2013) and were divided into three groups: T(1-3)N2, T4N(0-1), and T4N2. Overall survival (OS) and disease-specific survival (DSS) of patients were evaluated by the Kaplan-Meier method.

RESULTS

For the first time, we found a significant difference in OS and DSS between T(1-3)N2/T4N(0-1) and T4N2 but



not between T(1-3)N2 and T4N(0-1). A higher grading correlated with a worse prognosis in the T(1-3)N2 and T4N2 groups.

CONCLUSION

Patients with stage T4N2 had a worse prognosis than those with stage T(1-3)N2/T4N(0-1) in the 8^{th} edition AJCC staging system for pancreatic cancer. We recommend that stage \mathbb{II} should be subclassified into stage $\mathbb{II}A$ [T(1-3)N2/T4N(0-1)] and stage $\mathbb{II}B$ (T4N2).

Key words: Pancreatic cancer; Stage Ⅲ; T4 category; N2 category

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Core tip: The 8th edition American Joint Committee on Cancer TNM criteria for pancreatic cancer incorporated several significant changes of Stage III. T4 and N2 categories were defined as two key parameters of Stage III. Thus, we used the Surveillance Epidemiology and End Results database, a population-based database, to evaluate the new changes in pancreatic cancer staging and the prognostic factors.

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INTRODUCTION

Pancreatic cancer is a challenging disease with significant morbidity and mortality^[1,2]. In recent years, the incidence worldwide has increased up to 13/100000 per year due to developments in the detection and management of pancreatic cancer^[3]. However, only approximately 4% of patients will live 5 years after diagnosis, and the incidence almost approaches mortality^[4,5]. Curative resection is considered the only potential for cure in pancreatic cancer, which can provide prolonged survival; however, even after surgery, the 5-year overall survival rate remains low. Therefore, an accurate staging of the tumor and appropriate treatment strategy is necessary.

The tumor (T), lymph nodes (N), and metastases (M) categories make up the cornerstone of various cancer staging systems of the American Joint Committee on Cancer (AJCC)^[6]. In the 6th and 7th edition of the AJCC system, stage III pancreatic cancer is only defined as T4/ any N/M0, *i.e.*, the tumor involves the celiac axis or the superior mesenteric artery (unresectable primary tumor) no matter the N stage^[7]. In contrast, the 8th edition defines T4 and N2 categories as two key parameters of

Stage III, which especially highlights the importance of regional lymph nodes. When there is no distant metastasis, tumors with metastasis in \geqslant 4 regional lymph nodes, whatever the T category, is also defined as stage III^[8]. Clinically, the accurate stage of the pancreatic tumor determines the type of surgical resection, which seriously impacts the patient outcome. Therefore, the aim of this study was to evaluate the changes in the AJCC system for defining stage III pancreatic cancer and to identify their prognostic factors.

MATERIALS AND METHODS

Patients

The Surveillance Epidemiology and End Results (SEER) database (2004-2013) was used for the study. The National Cancer Institute's SEER*Stat software (Version 8.2.0) was used to identify patients. Patients meeting the following criteria were included: (1) Pathologically confirmed diagnosis; (2) surgical treatment; (3) definite cancer stage III according to the 8th edition of the AJCC criteria; (4) regional lymph node evaluation based on pathologic evidence; (5) first primary tumor; and (6) active type of follow-up. Further exclusion criteria included (1) age < 18 years old; (2) unavailable followup data or 0 d of follow-up; and (3) unknown cause of death. Demographics, including age, gender, race, and marital status, were retrieved. Tumor variables included the location of the primary tumor, histological type, and grade. Survival data were extracted at 1-mo intervals for a follow-up period between 1 mo and 110 mo.

Statistical analysis

The enrolled patients were divided into three groups based on parameters according to the 8^{th} edition AJCC criteria [T(1-3)N2; T4N(0-1); T4N2]. Survival curves for overall survival (OS) and disease-specific survival (DSS) were plotted by Kaplan-Meier analysis according to cancer stages. Univariate analysis with the Cox proportional hazards regression model was performed to explore the difference in prognostic factors between the three groups. All statistical analyses were performed using SPSS, version 20 (Armonk, NY, United States). A two-sided P-value of < 0.05 was considered statistically significant.

RESULTS

A total of 1744 pancreatic cancer patients were selected from the SEER database. The age of the patients ranged from 19 to 93 years, with a median age of 63.9 years. The patients' clinical characteristics are presented in Table 1. The majority of the patients (1526, 87.5%) were in Stage T(1-3)N2, followed by Stage T4N(0-1) (138, 7.9%) and Stage T4N2 (80, 4.6%). For the three groups, most of the patients were white and between 60-79 years old. The tumors were mainly located in the head of the pancreas and in Grade II and III.



Table 1 Demographic and tumor characteristics for stage III pancreatic cancer patients n (%)

Characteristics	Number of patients						
-	T(1-3)N2 (n = 1526)	T4N(0-1) (n = 138)	T4N2 (n = 80)				
Age							
18-59	496 (32.5)	44 (31.9)	32 (40)				
60-79	932 (61.1)	84 (60.9)	41 (51.2)				
> 80	98 (6.4)	10 (7.2)	7 (8.8)				
Race							
White	1295 (84.8)	110 (79.7)	64 (80)				
Black	131 (8.6)	16 (11.6)	7 (8.8)				
Others	100 (6.6)	12 (8.7)	9 (11.2)				
Sex							
Male	809 (53.0)	72 (52.2)	39 (48.8)				
Female	717 (47.0)	66 (47.8)	41 (51.2)				
Grade							
I	125 (8.2)	17 (12.3)	10 (12.5)				
II	691 (45.2)	63 (45.7)	32 (40)				
III	627 (41.1)	42 (30.4)	29 (36.3)				
IV	13 (0.9)	0 (0)	2 (2.5)				
Unknown	70 (4.6)	16 (11.6)	7 (8.7)				
Marital status							
Single	504 (33.0)	43 (31.1)	29 (36.3)				
Married	987 (64.7)	91 (66.0)	49 (61.2)				
Unknown	35 (2.3)	4 (2.9)	2 (2.5)				
Primary site	, <i>,</i>	, ,	, ,				
Head of pancreas	1266 (83.0)	87 (63.0)	62 (77.5)				
Other parts of pancreas	260 (17.0)	51 (37.0)	18 (22.5)				
Histology	,	` '	,				
Adenomas and adenocarcinomas	809 (53.0)	74 (53.6)	39 (48.8)				
Ductal and lobular neoplasms	625 (41.0)	48 (34.8)	30 (37.5)				
Others	92 (6.0)	16 (11.6)	11 (13.7)				

Table 2 Univariable analyses for overall survival in stage ${\rm I\hspace{-.1em}I}$ pancreatic cancer patients

Characteristics	T(1-3)N2 (n = 1526)			T4N(0-1) (n = 138)			T4N2 (n = 80)		
	HR	95%CI	³Р	HR	95%CI	ЪР	HR	95%CI	P
Age									
18-59		Reference			Reference			Reference	
60-79	1.083	0.947-1.239	0.244	1.357	0.801-2.298	0.256	1.924	1.005-3.682	0.048
> 80	1.343	1.040-1.734	0.024	2.223	0.847-5.838	0.105	1.307	0.285-5.998	0.731
Race									
White		Reference			Reference			Reference	
Black	1.068	0.858-1.328	0.558	1.155	0.573-2.328	0.688	0.885	0.316-2.476	0.816
Others	1.058	0.825-1.357	0.656	0.835	0.354-1.970	0.681	1.678	0.575-4.895	0.344
Sex									
Male		Reference			Reference			Reference	
Female	1.016	0.896-1.152	0.806	1.003	0.645-1.561	0.989	0.437	0.222-0.859	0.016
Grade									
I		Reference			Reference			Reference	
П	2.045	1.549-2.701	0.000	1.240	0.594-2.586	0.567	4.708	1.375-16.119	0.014
Ш	2.578	1.952-3.407	0.000	1.379	0.646-2.944	0.406	9.385	2.593-33.972	0.001
IV	3.788	1.973-7.272	0.000	-	-	-	14.118	1.734-114.943	0.013
Unknown	2.005	1.381-2.911	0.000	0.484	0.146-1.597	0.233	3.357	0.698-16.153	0.131
Marital status									
Single		Reference			Reference			Reference	
Married	0.882	0.772-1.007	0.064	0.560	0.336-0.935	0.027	1.566	0.779-3.150	0.208
Unknown	1.001	0.649-1.544	0.995	3.268	0.710-15.034	0.128	0.196	0.019-2.007	0.170
Primary site									
Head of pancreas		Reference			Reference			Reference	
Other parts of pancreas	1.222	1.039-1.437	0.015	0.872	0.537-1.416	0.579	1.219	0.553-2.688	0.624
Histology									
Adenomas and adenocarcinomas		Reference			Reference			Reference	
Ductal and lobular neoplasms	1.089	0.960-1.236	0.184	1.166	0.730-1.864	0.52	1.617	0.746-3.506	0.224
Others	1.017	0.782-1.322	0.902	0.964	0.504-1.844	0.913	1.712	0.729-4.020	0.217

Stratified analyses were performed to demonstrate the prognostic impact on OS by the AJCC 8^{th} edition stage III system (Table 2). In Stage T(1-3)N2, the risk of death

was significantly higher for patients aged > 80 years old [hazard ratio (HR), 1.343; 95%CI: 1.040-1.734; P = 0.024]. In Stage T4N2, the risk of death was sig-



Table 3	Univariable anal	yses for disease-s	pecific survival	in stage 🏻 ı	pancreatic cancer	patients

Characteristics	T(1-3)N2 (n = 1526)			T4N(0-1) (n = 138)			T4N2 (n = 80)		
	HR	95%CI	P	HR	95%CI	P	HR	95%CI	P
Age									
18-59		Reference			Reference			Reference	
60-79	1.073	0.935-1.232	0.314	1.357	0.776-2.371	0.285	2.078	1.057-4.086	0.034
> 80	1.319	1.013-1.718	0.040	2.463	0.909-6.669	0.076	1.484	0.317-6.949	0.616
Race									
White		Reference			Reference			Reference	
Black	1.028	0.818-1.291	0.812	1.099	0.510-2.371	0.809	0.898	0.318-2.536	0.839
Others	1.062	0.8123-1.369	0.644	0.875	0.364-2.105	0.766	1.653	0.552-4.949	0.369
Sex									
Male		Reference			Reference			Reference	
Female	1.006	0.884-1.144	0.933	1.067	0.672-1.694	0.784	0.469	0.236-0.933	0.031
Grade									
I		Reference			Reference			Reference	
П	2.145	1.604-2.867	0.000	1.341	0.599-3.005	0.476	4.537	1.314-15.664	0.017
III	2.709	2.026-3.623	0.000	1.496	0.653-3.430	0.341	9.551	2.620-34.815	0.001
IV	4.151	2.151-8.010	0.000	/	/	/	12.706	1.541-104.769	0.018
Unknown	2.038	1.382-3.006	0.000	0.562	0.163-1.937	0.361	2.463	0.461-13.177	0.292
Marital status									
Single		Reference			Reference			Reference	
Married	0.902	0.786-1.034	0.138	0.613	0.357-1.054	0.077	1.820	0.888-3.727	0.102
Unknown	1.021	0.655-1.590	0.928	4.531	0.961-21.369	0.056	0.190	0.018-1.981	0.165
Primary site									
Head of pancreas		Reference			Reference			Reference	
Other parts of pancreas	1.228	1.040-1.450	0.015	0.761	0.456-1.271	0.297	1.365	0.607-3.070	0.451
Histology									
Adenomas and adenocarcinomas		Reference			Reference			Reference	
Ductal and lobular neoplasms	1.066	0.937-1.214	0.333	1.126	0.686-1.849	0.639	1.946	0.874-4.335	0.103
Others	1.029	0.787-1.344	0.837	0.953	0.485-1.872	0.888	2.134	0.884-5.151	0.092

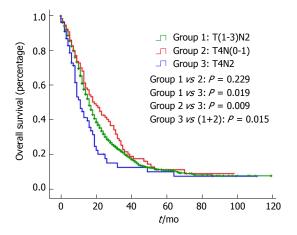
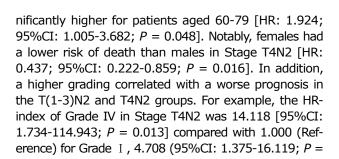


Figure 1 Kaplan-Meier analysis of overall survival in stage III pancreatic cancer patients. There was no significant difference between the T(1-3)N2 and T4N(0-1) groups (P = 0.229). However, compared with stage T4N2, the OS curve of T(1-3)N2/T4N(0-1) was significantly better [stage T(1-3)N2 vs T4N2: P = 0.019; stage T4N(0-1) vs T4N2: P = 0.009; stage T(1-3)N2 + T4N(0-1) vs T4N2: P = 0.015]. OS: Overall survival.



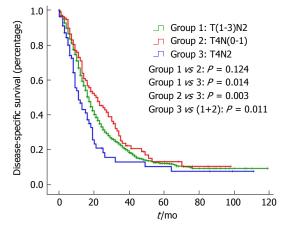


Figure 2 Kaplan-Meier analyses of disease-specific survival N stage III pancreatic cancer patients. There was no significant difference between the T(1-3)N2 and T4N(0-1) groups (P = 0.124). However, compared with stage T4N2, the DSS curve of T(1-3)N2/T4N(0-1) was significantly better [stage T(1-3)N2 vs T4N2: P = 0.014; stage T4N(0-1) vs T4N2: P = 0.003; stage T(1-3)N2 + T4N(0-1) vs T4N2: P = 0.011]. DSS: Disease-specific survival.

0.014) for Grade II, and 9.385 (95%CI: 2.593-33.972; P=0.001) for Grade III. Relatively few differences were observed in Stage T(1-3)N2. For example, HR was 2.045 for Grade II (P<0.001), 2.578 for Grade III (P<0.001) and 3.788 for Grade IV (P<0.001) when Grade I was referred to 1.000. However, grade was not a significant prognostic factor for Stage T4N(0-1). Furthermore, marital status and the primary site of the tumor had an influence on OS. For example, the risk of death was

significantly higher for Stage T(1-3)N2 patients whose tumor was not located in the head of the pancreas (HR: 1.222; 95%CI: 1.039-1.437; P = 0.015), while it was lower for Stage T4N(0-1) patients who were married (HR: 0.560; 95%CI: 0.336-0.935; P = 0.027).

In contrast, little difference was observed in the prognostic impact on DSS for the AJCC 8^{th} edition stage III system. As shown in Table 3, there was no significant difference in DSS for Stage T4N(0-1) patients with different marital statuses.

In addition, OS and DSS analysis for stage III disease stratified by three groups are presented in Figure 1 and Figure 2. There was no significant difference for OS or DSS between the T(1-3)N2 and T4N(0-1) groups. However, compared with Stage T4N2, both the OS and DSS curves of T(1-3)N2/T4N(0-1) were significantly better [OS: Stage T(1-3)N2 vs T4N2: P = 0.019, Stage T4N(0-1) vs T4N2: P = 0.004, Stage T4N(0-1) vs T4N2: P = 0.003).

DISCUSSION

Pancreatic cancer is one of the most lethal human cancers, making its staging clinically significant. By analyzing a nationally representative data set, this study further underlines the prognostic relevance of the number of metastatic lymph nodes (N2 vs N1), which allows for a finer stratification of patients in the case of resectable (T1-3) and unresectable (T4) pancreatic cancer. This finding could possibly have relevant repercussions on treatment strategies.

As an aggressive and devastating disease, less than 20% of patients present with localized, potentially curable tumors^[2]. In other words, the majority of pancreatic cancers are highly invasive. The most noteworthy finding in the study is that there was no significant difference for OS or DSS between stage T(1-3)N2 and stage T4N(0-1), while they were significantly better than stage T4N2. This indicates that stage T4N2 is a heterogeneous group and should be subclassified into stage T4N2. T4N(0-1) and stage T4N2.

The AJCC TNM classification is based on the assessment of resectability^[2]. Accordingly, pancreatic cancer is staged. As the basis of cancer staging, tumor size is one of the strongest prognostic factors in pancreatic cancer. T1, T2, and T3 tumors are potentially resectable, whereas T4 tumors are unresectable because they involve the celiac axis or the superior mesenteric artery. In this study, patients undergoing surgical treatment were analyzed to ascertain the prognostic role of the T4 category. In addition, tumor metastasis to regional lymph nodes is a vital step in the progression of cancer^[9]. The detection of tumor cells in the lymph nodes is an indication of the spread of the tumor, where some molecules, such as VEGF-C/D and VEGF-R3, play crucial roles as novel key regulators in lymphangiogenesis^[10]. There is no doubt that tumor size and lymph node metastases are the two most significant prognostic factors for pancreatic

cancer. Apart from these two factors, poor prognostic factors include a high tumor grade and positive margins of resection^[11]. This may be why a higher grading was correlated with a worse prognosis in the T(1-3)N2 and T4N2 groups.

On the other hand, the multistep invasion-metastasis cascade for cancer cells is very complex. First, a cancer cell locally invades the surrounding tissue. During metastatic dissemination, it enters the microvasculature of the blood or lymph systems and then survives and translocates to microvessels of distant tissues. Finally, the cancer cell survives and adapts to the foreign microenvironment of distant tissues and forms a secondary tumor^[12-14]. Therefore, the different OS and DSS curves suggest that tumors are in the different processes of invasion; that is to say, a tumor at stage T4N2 is further along than stage T(1-3)N2/T4N(0-1). More thorough research is needed for the different pancreatic cancer cell populations.

Regrettably, this study is a mere statistical analysis of patients with pancreatic cancer based on the SEER data, and a more intensive study is required. More detailed information is necessary for us to confirm the relationship between stage III classification and survival.

ARTICLE HIGHLIGHTS

Research background

The 8th edition American Joint Committee on Cancer (AJCC) TNM staging system for pancreatic cancer has been applied in clinical practice since Jan 1 2018. The definition of stage III consists of TanyN2M0 and T4NanyM0. Our study aimed to evaluate the changes in the AJCC TNM system for defining stage III pancreatic cancer.

Research motivation

The 8^{th} edition AJCC TNM staging system for pancreatic cancer has just been applied in clinical practice for a short while and has not been validated yet. Hence, we used a population-based database to evaluate the rationality of the new staging system.

Research objectives

The primary purpose of this study was to examine the accuracy of classification of stage III. If there was some inadequacy, we would make necessary modifications in order to assure the precise staging.

Research methods

Patients were selected from the Surveillance Epidemiology and End Results database (2004-2013) and were divided into three groups: T(1-3)N2, T4N(0-1), and T4N2. Overall survival (OS) and disease-specific survival (DSS) of each group were evaluated by the Kaplan-Meier method.

Research results

A significant difference was observed in OS and DSS between T(1-3)N2/T4N(0-1) and T4N2 but not between T(1-3)N2 and T4N(0-1), which indicated stage III was a heterogeneous group. Additionally, a higher grading correlated with a worse prognosis in the T(1-3)N2 and T4N2 groups.

Research conclusions

Patients with stage T4N2 had a worse prognosis than those with stage T(1-3)N2/T4N(0-1) in the 8^{th} edition AJCC staging system for pancreatic cancer. Stage III should be subclassified into stage III A [T(1-3)N2/T4N(0-1)] and stage



III B (T4N2), which will improve the staging system greatly.

Research perspectives

Larger sample sizes with prospective data should be provided to validate the modification in further research.

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