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# **How diet can impact gut microbiota to promote or endanger health**

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### **Abstract**

**Purpose of review—**Disturbances of the intestinal microbiota have been increasingly implicated in driving various diseases associated with a broad range of chronic inflammatory state. Such diseases have increased in incidence since the mid  $20<sup>th</sup>$  century, and have roughly correlated with societal changes in food production during this period.

**Purpose of review—**Consider how changes in diet may have impacted gut microbiota and exploring whether targeted modulated of diet might be a means of optimizing microbiota composition to promote health.

**Summary—**Recent literature demonstrates that modulation of diet has potential to both beneficially and detrimentally impact microbiota composition and how it interacts with its host. Herein, we discuss recent studies by ourselves and others that demonstrate the potential for changes in diet to have profound impacts on the gut microbiota in ways that can have beneficial or detrimental effects on host health.

#### **Keywords**

Microbiota; Inflammatory bowel disease; low-grade inflammation; metabolic disease; fiber; emulsifiers; cancer

# **Introduction: Characteristics of a "bad" (i.e. disease-promoting) microbiota**

The intestinal tract is inhabited by a large and diverse population of bacteria, collectively termed gut microbiota. The average human gut microbiota contains about 100 trillion bacteria, comprised of 500–1000 distinct species that collectively provide benefits to the host, especially in terms of mediating metabolism, pathogen exclusion, and promoting maturation of the immune system. However, a wide body of research has also associated

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alterations in microbiota composition with a broad range of diseases states including, but by no means limited to, inflammatory bowel disease (IBD), colon cancer, and metabolic syndrome. Hence, the gut microbiota can be considered a "frenemy" (friend/enemy) in that it brings key benefits, has potential to cause problems and, in any case, can't practically be removed.

While there are multiple potential mechanisms by which microbiota can lead to such diseases, we speculate that one central mechanism is by promoting inflammation, wherein IBD can be viewed as a state of "classic" or robust inflammation while metabolic syndrome is now appreciated to be a state of "low-grade" inflammation, both of which might promote cancer. While the high degree of heterogeneity in microbiota composition amongst both healthy and diseased individuals precludes a simple reliable species-based stratification of health-promoting and disease-driving microbiotas, some general guidelines re features of such microbiomes has begun to emerge across multiple studies in mice and humans. Specifically, microbiotas associated with health are diverse temporally stable, have relatively high levels of Bacteroidetes and Bifidobacteria, which are thought to play important roles in metabolism, and relatively low levels of Firmicutes and Proteobacteria [1–5]. Microbiotas with such composition are functionally associated with expressing low levels of proinflammatory molecules such as LPS and flagellin and are located almost exclusively in the lumen and outer regions of the mucus layer [6–9]. Conversely, microbiotas from mice and humans with colitis and metabolic syndrome often have less species diversity, high levels of Proteobacteria, LPS, and flagellin, and penetrate the inner mucus later to encroach upon host epithelial cells [6–9].

The characteristics of disease-associated microbiotas are likely, at least to some extent, both a cause and consequence of inflammation. For example, Proteobacteria species are rapidly induced by inflammation and many Proteobacteria species express high levels of proinflammatory molecules such as flagellin and LPS [10,11]. In any case, a range of inflammatory phenotypes can be transferred upon microbiota transfer, indicating that the altered microbiota community is not purely a consequence of inflammation but rather plays a role in driving inflammation and associated phenotypes. Hence, the disease-associated microbiotas are considered dysbiotic and there is a strong interest in understanding what role diet might play in disturbing the microbiota and developing paradigms to modulate gut microbiota as a means to restore health and prevent disease.

#### **Alteration of diet alters microbiota composition**

Appreciation of the importance of microbiota composition and ability to analyze it by sequencing are relatively recently occurrences. Combined with the lack of archived fecal specimens dating back to the 20<sup>th</sup> century has largely precluded efforts to directly define the extent to which the microbiotas has changed within societies. Nonetheless, with the observations that diet has changed and that diet impacts microbiota, such changes have likely occurred and diet has likely played a role. In humans, long-term dietary habits (e.g. vegans vs. omnivores) correlate with overall differences in microbiota composition [12] and, in carefully controlled feeding studies, switching from a vegan to an omnivorous diet result in rapid modest but significant changes in microbiota composition. Another approach to

investigating how societal changes may have impacted the microbiota is to compare microbiota composition between western and relatively undeveloped societies. Such studies indicate broad differences in composition especially in terms of reduced microbial diversity and reduced levels of bacteria thought to function in digestion of complex plant-derived carbohydrates [13]. Collectively, such studies support the role of diet as an important determinant of microbiota composition. The notion that diet impacts microbiota is also supported by a variety of studies in rodents. For example, switching mice from standard rodent chow to a compositionally-defined diet enriched in fat, results in a rapid change in microbiota composition, including a marked increase in levels of Proteobacteria [14]. Such changes in microbiota composition clearly precede increased adiposity, consistent with the notion that they play a role in driving low-grade inflammation that promotes adiposity in this model, instead of being a pure consequence of the disease. While mechanisms by which such changes in macronutrient content alter microbiota composition are not well defined, it has long been known that various macronutrients favor select bacteria that are most efficient at metabolizing them, which likely plays a central role hence dictating the overall microbiota community structure. Yet, host factors are also key contributors in mediating how diet impacts microbiota. For example, dietary lipid intake has a strong effect on bile acids which mediate lipid digestion and, in turn, bile acids impact, and are impacted, by gut microbiota composition [15,16]. Yet, the details that govern such impacts and the extent to which analogous paradigms apply to other nutrients are not known, and further studies are needed to mechanistically understand the interrelationships between diet content and microbiota composition.

#### **Fiber: needed for health, but type, amount, and context matter**

While increased consumption of fats and simple sugars are plausible factors to have contributed to changes in microbiota that may have contributed to increased incidence in chronic inflammatory diseases since the mid  $20<sup>th</sup>$  century, the macronutrient whose levels have changed most during this period is dietary fiber. Dietary fiber can be broadly categorized as soluble, which is readily fermentable by bacteria in the GI tract of mice and humans or insoluble, e.g. cellulose, which is resistant to breakdown by mice and humans but can be fermented by ruminant animals with more extensive gastrointestinal tracts. Such fermentable fibers are thought to nourish a diverse microbial community, and consumption of a high-fiber diet in persons in rural agrarian societies is thought to underlie the high degree of microbiota diversity relative to residents of developed countries [13,17,18]. While the extent to which such diverse microbes directly impact their hosts are only beginning to be defined, one of their primary metabolic products, namely short chain fatty acids (SCFA), have long been appreciated to play a role in health. SCFA are thought to provide a major nutrient source for intestinal epithelial cells, and are also though to play a key role in promoting regulatory T-cells that serve to keep immune responses in-check, thus preventing inappropriate or excessive inflammation [19,20].

The importance of fermentable fiber can be readily appreciated by examining the consequences of its absence in mice diets. For example, Martens and colleagues observed that lack of fiber resulted in microbiota consuming the mucus layer, which made the intestine more prone to attack by invasive pathogens [21]. We observed that lack of

fermentable fibers results in greatly reduced epithelial cell proliferation that leads to a thin mucosa in which but bacteria readily encroach [22,23]. Such effects on host-microbiota interaction promoted low-grade inflammation that underlined a significant portion of metabolic syndrome induced by HFD, which is generally devoid of fermentable fiber, and were sufficient to promote a significant degree of adiposity even in the context of a low-fat diet [22,23]. Conversely, supplementing HFD with the fermentable fiber inulin but not the insoluble fiber cellulose resulted in a microbiota-dependent fortification of the mucosa that prevented microbiota encroachment and protected against HFD-induced metabolic syndrome [22,23]. Interestingly, such protective effects of fermentable fiber were not largely mediated by SCFA generation but rather were mediated by induction of IL-22, whose levels were depleted by lack of fiber and restored by inulin in a microbiota-dependent manner. Together, these results suggest that diets lacking fermentable fiber may result in malnourishment of the microbiota, which can lead to promotion of chronic inflammation.

While perhaps the simplest approach to remedy lack of dietary fiber is to encourage consumption of plant-based diets rich in fiber, it might also seem quite reasonable to consume fiber supplements and/or enrich foods with fermentable fiber. Indeed, Cani and colleagues have demonstrated that supplements of inulin result in a seemingly healthier microbiota composition and improved metabolic health although the discomfort (e.g. bloating, flatulence) associated with the effective doses makes the strategy somewhat impractical [24]. Moreover, our observations in mouse studies suggest that great caution is needed in considering approaches to supplement diets with purified fibers. Specifically, while enriching HFD with inulin prevented HDD-induced gut atrophy and greatly reduced metabolic syndrome [22,23], it did not restore gut microbiota composition per se but rather resulted in a new community structure altogether. Moreover, mice consuming inulinenriched purified diets, of low or high fat content, developed extremely severe colitis upon exposure to the chemical DSS [23]. Moreover, prolonged maintenance of mice with dysbiosis, resulting from a range of discrete innate immune deficiencies, on inulin enriched purified diets developed a rare and deadly form of liver cancer, namely hepatocellular carcinoma (HCC). Neither severe DSS-induced colitis nor HCC was observed in mice fed inulin-enriched rodent chow, which is a relatively unrefined conglomerate of food scraps. Thus, while strategies to enrich foods with fermentable fiber may hold long-term potential to induce a more beneficial microbiota composition, at present, our relatively poor understanding of how various dietary components impact microbiota and host in a range of contexts may currently preclude safe engineering of foods as a means of promoting gut health.

#### **Role of food additives in alterations of the host/microbiota relationship**

Another change in human diets since the mid 20<sup>th</sup> century is consumption of a range of compounds added to processed foods. Some of these compounds are synthetic molecules, not found in nature, and thus would not have been seen by the microbiota or host before, while others exist in some foods consumed by humans but are added to processed foods in a non-natural context. In general, such food additives are permitted to be used as food additives by regulatory agencies on the grounds that they have been shown, in rodents, not to cause acute toxicity nor tumors, or because they have been deemed to have been in use for

some time and are generally recognized as safe (GRAS). However, the extent of a particular food additive to promote or trigger disease in hosts with a genetic predisposition to a particular disorder has not generally been considered. Nor has the regulatory process considered more subtle effects, such as altering gut microbiota in a way that promote chronic inflammation. For example, the food additive maltodextrin, which is widely used and has long had GRAS status, promotes virulence gene expression in Escherichia coli and promotes microbiota encroachment into the mucus layer in mice [25] [26], leading to speculation that this food additive might play a role in triggering IBD in person who are genetically predisposed to this disorder. Artificial sweeteners, which have been rigorously demonstrated not to cause acute toxicity or cancer at physiological doses, have been shown to have clear effects on the microbiota in both mouse and humans in a manner that promotes dysglycemia, which is one of the primary conditions many consuming these products are seeking to avoid [27]. Thus, the notion that a food additive is considered safe by regulatory agencies does not preclude detrimental effects on the microbiota or host.

One general class of such compounds that are incorporated into many processed foods is emulsifiers. Emulsifiers are detergent-like molecules that stabilize mixtures, especially of immiscible liquids. Most processed foods contain one or more emulsifier that allow such foods to maintain desired textures and avoid separation into distinct parts (e.g. oil and water layers). Two synthetic emulsifiers, namely carboxymethycellulose (CMC) and polysorbate 80 (P80), promote bacterial overgrowth in the murine small intestine and facilitate translocation of bacteria across model gut epithelia [28,29], suggesting the possibility that these agents might have contributed to the increased incidence of IBD and/or promote lowgrade inflammation and metabolic syndrome. In support of this hypothesis, we recently observed that both CMC and P80 promoted microbiota encroachment and increased levels of pro-inflammatory flagellin and LPS, which correlated with a change in microbiota composition, low-grade inflammation, and a metabolic syndrome phenotype [7]. This phenotype was dependent upon the presence of the microbiota (i.e. no emulsifier-induced phenotype was observed in germ-free mice) while transplant of microbiotas from emulsifiertreated mice to germ-free mice transferred the aggressive microbiota and some features of metabolic syndrome [7]. CMC and P80 treatment also increased incidence of chronic colitis in mice genetically predisposed to this disorder [7]. These results support the notion that substances that are non-toxic by classical readouts may alter the gut microbiota and promote inflammation that may take a number of different forms in a range of hosts. While the mechanism remains under investigation, we hypothesize that emulsifiers may act directly on the microbiota to change its composition and gene expression in a manner that promotes inflammation. In support of this possibility, we recently observed that, in an ex vivo model of human microbiota, CMC and P80, respectively, altered microbiota gene expression and composition in a manner that increased expression its ability to encroach upon the mucosa when transplanted into mice [30]. Molecular mechanisms of such emulsifier effects on bacteria are under investigation, and the potential these compounds to directly the microbiota does not exclude the possibility that they also have direct effects on the intestinal mucosa, which is a possibility that remains under investigation. Also underway are studies to determine the extent to which these results in a rodent model translate to humans. Regardless, we submit that CMC and P80 are but 2 examples of a wide range of food

additives that have not been adequately studied for safety especially in terms of their ability to promote low-grade inflammation and its associated diseases.

#### **Conclusion: Perspective**

As outlined herein, diet is a major influencer on microbiota composition and, as such, holds great power to promote health and disease in ways that are only beginning to be appreciated. While it might seem exceedingly logical to harness that power to improve health, at present, lack of understanding of the mechanisms that underlie diet's impact on microbiota make engineering of food to promote gut health a risky endeavor. Rather, we submit more basic studies of how diet influences microbiota and its consequences on host health are needed. Such studies will likely involve rodents but also require validation in humans, whose microbiotas seem inherently more resistant to perturbation by diet than is the case for mice. Importantly, whether achieved by modulation of one's food choices or better engineering of processed foods, approaches to optimize microbiota for health promotion may not be "one size fits all" but rather may require "personal nutrition" wherein foods may be designed for specific individuals to optimize their particular microbiota. Indeed, a recent pioneering study indicates microbiotas composition greatly facilitates design of personalized diets to reduce post-prandial dysglycemia [31]. Nonetheless, at present, a preponderance of studies supports the more generalized recommendation that consumption of foods naturally rich in fermentable fiber promote gut health and suggest that some ubiquitous food additives might be driving societal increases in microbiota-mediated chronic inflammatory diseases.

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#### **Abbreviations**



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#### **Key points**

- **1)** Change in diet alters nutrients available to microbiota favoring growth of some species resulting in a change of microbiota composition.
- **2)** Fiber content, type and amount, are one pivotal determinant in impacting microbiota composition and how it impacts intestine.
- **3)** Food additives, including emulsifiers can result in an "aggressive" microbiota that encroaches upon its host and promotes inflammation.