# A biophysical model of bidirectional synaptic plasticity: Dependence on AMPA and NMDA receptors

Gastone C. Castellani\*, Elizabeth M. Quinlan<sup>†</sup>, Leon N Cooper<sup>‡§1</sup>, and Harel Z. Shouval<sup>‡||</sup>

\*Physics Department, CIG and Dimorfipa Bologna University, Bologna 40121, Italy; <sup>†</sup>Department of Biology, University of Maryland, College Park, MD 20742; and <sup>‡</sup>Institute for Brain and Neural Systems, <sup>§</sup>Department of Neuroscience, and <sup>¶</sup>Department of Physics, Brown University, Providence, RI 02912

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In many regions of the brain, including the mammalian cortex, the magnitude and direction of activity-dependent changes in synaptic strength depend on the frequency of presynaptic stimulation (synaptic plasticity), as well as the history of activity at those synapses (metaplasticity). We present a model of a molecular mechanism of bidirectional synaptic plasticity based on the observation that longterm synaptic potentiation (LTP) and long-term synaptic depression (LTD) correlate with the phosphorylation/dephosphorylation of sites on the  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptor subunit protein GluR1. The primary assumption of the model, for which there is wide experimental support, is that postsynaptic calcium concentration and consequent activation of calcium-dependent protein kinases and phosphatases are the triggers for the induction of LTP/LTD. As calcium influx through the *n*-methyl-D-aspartate (NMDA) receptor plays a fundamental role in the induction of LTP/LTD, changes in the properties of NMDA receptor-mediated calcium influx will dramatically affect activity-dependent synaptic plasticity (metaplasticity). We demonstrate that experimentally observed metaplasticity can be accounted for by activity-dependent regulation of NMDA receptor subunit composition and function. Our model produces a frequency-dependent LTP/LTD curve with a sliding synaptic modification threshold similar to what has been proposed theoretically by Bienenstock, Cooper, and Munro and observed experimentally.

idirectional changes in the strength of synaptic responses are B fundamental to information storage within neuronal networks. In many regions of the brain, long-term synaptic potentiation (LTP) (1), a long-lasting increase in synaptic efficacy, is produced by high-frequency stimulation (HFS) of presynaptic afferents or by pairing presynaptic stimulation with robust postsynaptic depolarization (2). Long-term synaptic depression (LTD) (3), a long-lasting decrease in the strength of synaptic transmission, is produced by low-frequency stimulation (LFS) of presynaptic afferents. HFSinduced LTP results in an increase in the amplitude of miniature excitatory postsynaptic currents (mEPSC) and an increase in the response to application of exogenous glutamate (4). In contrast, LFS-induced LTD results in a decrease in mEPSC amplitude (5, 6) and a decrease in the response to application of exogenous glutamate (7). Not only are LTP and LTD expressed in many brain regions and in many species, but the majority of synapses that express LTP also express LTD. Thus, the regulation of synaptic strength by activity is bidirectional. Such bidirectional regulation of synaptic strength has been hypothesized to depend on changes in the number and/or composition of the  $\alpha$ -amino-3-hydroxy-5methyl-4-isoxazolepropionic acid (AMPA) subtype of glutamate receptors (AMPARs) in the postsynaptic membrane.

The AMPAR is a heteromer, composed of multiple subtypes of subunit proteins (GluR1-GluR4). AMPAR function is regulated by the composition of individual receptors and/or the phosphorylation/dephosphorylation state of individual subunit proteins. Of particular interest are serine 831 (S-831) and serine 845 (S-845) on the GluR1 subunit, which can be phosphorylated by CaMKII/ protein kinase C and protein kinase A, respectively. The induction of LTP specifically increases phosphorylation of S-831 (8), which

increases, by approximately 2-fold, the single channel conductance of homomeric GluR1 AMPARs (9). The induction of LTD is accompanied by a decrease in the phosphorylation of S-845, which appears to be phosphorylated at resting potential (10, 11), and phosphorylation of S-845 increases the "open time" of the AMPAR (12). Therefore, knowledge of the phosphorylation state of GluR1 may be a strong predictor of the direction of change (increase or decrease) induced by conditioning stimulation.

In many regions of the brain, including the mammalian cortex, the magnitude and sign of activity-dependent changes in synaptic strength depend on the presynaptic frequency (13) as well as the history of activity at those synapses. We refer to the curve depicting the frequency dependence of changes in synaptic strength as the LTP/LTD curve, and the crossover point between LTD and LTP, the modification threshold. Kirkwood *et al.* (13) have shown that the shape of the LTP/LTD curve and the value of the modification threshold depend on the history of cortical activity, which can be acutely regulated *in vivo* by experience or *in vitro* by using a stimulation paradigm (14).

Antagonist of N-methyl-D-aspartate (NMDA) receptors (NMDARs) inhibit the induction of high-frequency stimulationinduced LTP and LFS-induced LTD, suggesting that the NMDAR is the critical point of calcium entry into the postsynaptic neuron. As such, changes in NMDAR function will dramatically alter the properties of activity-dependent synaptic plasticity. NMDARs are heteromeric ion channels, composed of NR1 and NR2 subunit proteins (15, 16). Each of the four subtypes of the NR2 subunit (2A-2D) confers distinct functional properties to the receptor. As has been demonstrated both in vivo and in heterologous expression systems, NMDARs composed of NR1 and NR2B mediate long duration currents ( $\approx 250$  ms), whereas inclusion of the NR2A subunit results in NMDARs with faster kinetics ( $\approx$ 50 ms) (17, 18). NMDARs composed of NR1 and NR2B are observed in the neocortex at birth (17-19), and over the course of development there is an increase in the ratio of NR2A/NR2B. The composition and function of synaptic NMDARs can also be acutely and bidirectionally modified by cortical activity (20-23).

Here we present a model that combines and integrates bidirectional plasticity of AMPAR by calcium-dependent phosphorylation and dephosphorylation, (LTP/LTD induction) with plasticity of NMDAR subunit composition. Our model produces a frequencydependent LTP/LTD curve with a sliding synaptic modification threshold similar to what has been proposed on theoretical grounds (24, 25) and observed experimentally (13, 14).

Abbreviations: LTP, long-term synaptic potentiation; LTD, long-term synaptic depression; LFS, low-frequency stimulation; AMPA,  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid; AMPAR, AMPA receptor; NMDA, *N*-methyl-D-aspartate; NMDAR, NMDA receptor; MA, Mass Action; MM, Michaelis–Menten.

To whom reprint requests should be addressed. E-mail: hzs@cns.brown.edu

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**Fig. 1.** An idealized model for the cycle of GluR1 phosphorylation/dephosphorylation at two sites. The model assumes two specific kinases (EK1, EK2) and two opposing specific phosphatases (EP1, EP2). It is assumed that high-frequency stimulation preferentially stimulates the activity of protein kinases, resulting in GluR1 phosphorylation, whereas low-frequency stimulation preferentially stimulates the activity of gluR1 phosphorylation.

### Control of Synaptic Strength by Activity-Dependent Regulation of AMPAR Phosphorylation

The bidirectional regulation of phosphorylation of two sites on the GluR1 subunit of the AMPAR, based on the work of Lee, Huganir, and Bear, is schematized in Fig. 1 (10, 11, 26). The fraction of AMPARs containing GluR1 phosphorylated at S831 is denoted by  $A_{\rm p1}$ , whereas  $A^{\rm p2}$  denotes the fraction of AMPARs containing GluR1s phosphorylated at S845, and A and  $A_{\rm p1}^{\rm p2}$  denote AMPARs phosphorylation at neither and both sites, respectively. EP1 and EP2 are used to denote the protein phosphatases that dephosphorylate these two sites, and EK1 and EK2 are used to denote the protein kinases that phosphorylate these sites.

A fundamental assumption of this model, on the basis of experimental data, is that the activity of the protein kinases and protein phosphatases that target these two sites on the GluR1 protein is directly or indirectly regulated by intracellular  $[Ca^{2+}]$  (27, 28).

Thus  $EP1 = EP1(Ca^{2+})$ ,  $EP2 = EP2(Ca^{2+})$ ,  $EK1 = EK1(Ca^{2+})$ ,  $EK2 = EK2(Ca^{2+})$ , where we have used  $Ca^{2+}$ , instead of  $[Ca^{2+}]$  to simplify the notation.

The cycle shown in Fig. 1 can be quantitatively analyzed by two approaches:

(*i*) The Mass Action (MA) Law, where the enzymes involved are treated as calcium-dependent forward and backward rate constants of a reversible reaction:

$$A \underset{EP}{\stackrel{EK}{\rightleftharpoons}} B$$
[1]

*EK*,  $EP \in \{EK1, EK2, EP1, EP2\}$  is the enzyme kinase or phosphatase;  $A, B \in \{A, A_{p1}, A^{p2}, A_{p1}^{p2}\}$  are the reaction substrates and products.

(*ii*) The Michaelis–Menten (MM) kinetics for each enzyme of the phosphorylation cycle

$$E + S \underset{k_b}{\overset{k_f}{\rightleftharpoons}} ES \xrightarrow{k_{irr}} E + P$$
<sup>[2]</sup>

where  $E \in \{EK1, EK2, EP1, EP2\}$  is the protein kinase or phosphatase;  $S, P \in \{A, A_{p1}, A^{p2}, A^{p2}_{p1}\}$  are, respectively, the reaction substrates and products; *ES* are the enzyme-substrate complexes,  $k_f$ ,

 $k_b$  are the forward and backward constants, and  $k_{irr}$  is the constant for the irreversible step.

We can also consider the  $Ca^{2+}$ -dependent activation of each enzyme by the following reaction:

$$ECa_{n-1} + Ca \underset{K_{D_n}}{\overset{K_{A_n}}{\Longrightarrow}} ECa_n \quad n = 1, \dots, 4,$$
 [3]

where  $K_{A_n}$  and  $K_{D_n}$  are, respectively, the association and the dissociation constant for each reaction,  $ECa_0$  is the bare enzyme, and  $ECa_n$  is the fully activated enzyme (bound with  $4 Ca^{2+}$ ).

These approaches lead to time-evolution equations that can be written in a similar matrix form:

$$\frac{d\mathcal{A}}{dt} = \mathcal{R}_{MA} \cdot \mathcal{A} \qquad \frac{d\mathcal{A}}{dt} = \mathcal{R}_{MM} \cdot \mathcal{A} \qquad \frac{d\mathcal{A}}{dt} = \mathcal{R}_{MM}^{Ca} \cdot \mathcal{A}, \qquad [4]$$

where  $\mathcal{A} = (A \ A_{p1} \ A^{p2} \ A^{p2}_{p1})$ ;  $\mathcal{R}_{MA}$ ,  $\mathcal{R}_{MM}$ , and  $\mathcal{R}^{Ca}_{MM}$  are the matrices containing the velocities of conversion between the different phosphorylation states of the AMPA receptor calculated by the MA, the MM, and the MM with calcium as activator (MM<sup>Ca</sup>) approaches (see Appendix A).

If we assume that the time course of  $Ca^{2+}$ -dependent activation of each enzyme is faster than the time course of AMPA receptor phosphorylation, we can make the approximation that the enzymatic dynamics are instantaneous. Under such conditions, the MA case is exactly solvable (*Appendix A*), and the stable solutions have the form:

$$A = \frac{A_{T} \cdot EP1 \cdot EP2}{(EK2 + EP2) \cdot (EK1 + EP1)}$$

$$A_{p1} = \frac{A_{T} \cdot EK1 \cdot EP2}{(EK2 + EP2) \cdot (EK1 + EP1)}$$

$$A^{p2} = \frac{A_{T} \cdot EP1 \cdot EK2}{(EK2 + EP2) \cdot (EK1 + EP1)}$$

$$A_{p1}^{p2} = \frac{A_{T} \cdot EK1 \cdot EK2}{(EK2 + EP2) \cdot (EK1 + EP1)}.$$
[5]

Although the MM cases are formally similar, the differential equations that describe their dynamics are not linear. Therefore, we do not have an explicit analytical solution for those equations. Nevertheless, they can be solved numerically. In addition, we must make assumptions about the calcium dependence of the enzymatic activity. We find two conditions necessary for obtaining realistic LTP/LTD curves: (*i*) The activity level of the phosphatases rises at lower calcium concentration than the activity level of the kinases. (*ii*) At high calcium concentrations, the activity level of the protein kinases is higher than the activity level of the protein phosphatases. We show that AMPAR conductance depends on the level of intracellular  $Ca^{2+}$ , regardless of the form of  $Ca^{2+}$ -dependent enzymatic activation we apply (sigmoidal, hyperbolic, or Hill function).

In Fig. 2, we show two different sets of assumptions that lead to LTD for a small elevation in calcium levels and LTP for a large elevation. The results in Fig. 2 are based on the MA model. The AMPAR conductance was calculated by *conductance* =  $A + 2 * (A_{p1} + A^{p2}) + 4 * A_{p1}^{p2}$ , which is roughly consistent with experimental results. Using the MM approaches leads to qualitatively similar results (data not shown).

# NMDA Receptor Calcium Influx and Plasticity

Calcium influx through NMDA receptors, denoted by  $I_{\text{NMDA}}$ , can be broken down into two components, one fast and one slow, with time constants  $\tau_f$ ,  $\tau_s$  (20). We assume that calcium decays passively with a time constant of  $\tau_{\text{Ca}}$  and a voltage dependence as described



**Fig. 2.** Robustness of results of the mass-action approach to  $Ca^{2+}$ -dependent enzymatic reactions, which regulate GluR1 phosphorylation and AMPAR conductance. (a) The kinase-phosphatase activity is assumed to be a Hill function of *Ca*, with exponent 2. *EP1(Ca)* = *EP2(Ca)* = 1 + 30(*Ca*)<sup>2</sup>/(1 + (*Ca*)<sup>2</sup>) and *EK1(Ca)* = *EK2(Ca)* = 1 + 100(*Ca*)<sup>2</sup>/(8<sup>2</sup> + (*Ca*)<sup>2</sup>). (b) Phosphorylation of GluR1 as a function of  $Ca^{2+}$ , using the enzymatic activity assumed in *a*. (c) Conductance of AMPAR (in arbitrary units) as a function of calcium. At moderate calcium levels, LTD is attained, whereas at higher calcium levels, LTP is induced. (*d*) The kinase phosphatase activity of each enzyme in which a sigmoidal dependence on *Ca* is assumed: *EP1* = (10-30)/(10 + 20·e<sup>-(0.2-Ca)</sup>), *EP2* = (10-20)/(10 + 10·e<sup>-(0.2-Ga)</sup>), *EK1* = 10 · 100/(10 + 90·e<sup>-(0.2-Ca)</sup>), *EK2* = 10 · 80/(10 + 70·e<sup>-(0.2-Ga)</sup>). (e) Phosphorylation of GluR1 as a function of *Ca*<sup>2+</sup>, using the enzymatic activity assumed in *d*. (*f*) Conductance of AMPAR (in arbitrary units) as a function of *Ca*<sup>2+</sup>.

by Jahr and Stevens (29) (*Appendix B*). We can then obtain the average calcium concentration at steady state as:

$$\overline{Ca} = \mathcal{H}(V) \cdot f \cdot \tau_{Ca}(\tau_f N_f + \tau_s N_s) = \mathcal{H}(V) \cdot f \cdot G_{NMDA}, \qquad [6]$$

where  $G_{\text{NMDA}}$  is the gain of calcium influx through NMDA receptors, V is the postsynaptic potential, f is the presynaptic frequency, and  $\mathcal{H}$  describes the voltage dependence of the calcium influx (*Appendix B*). This implies that the average level of calcium at steady state is linearly related to presynaptic frequency and the magnitude of the fast and slow components ( $N_f$  and  $N_s$ ).

If we assume that synaptic strength depends on the sustained average level of calcium, we can use Eq. 6 to express AMPAR conductance as a function of presynaptic frequency (f) and postsynaptic depolarization (V). In Fig. 3a, we show an example of how AMPAR conductance depends on these two variables. This figure is based on the MA model described above. It assumes that f and V are controlled independently, such as during protocols in which presynaptic stimulation is paired with postsynaptic depolarization (30, 31). However, in most experimental paradigms, postsynaptic



**Fig. 3.** Synaptic strength, measured as AMPAR conductance depicted as a function of presynaptic stimulation frequency (f) and postsynaptic membrane voltage (V). (a) A two-dimensional plot depicting postsynaptic membrane potential as a function of presynaptic stimulation frequency. The grey scale indicates the conductance level of the AMPAR. At low stimulation frequencies and postsynaptic voltages, the conductance is below baseline, defined as f = 0, V = -100. The diagonal line indicates a linear f - V relation, which we assume to extract the results in *b*. (*b*) AMPAR conductance as a function of presynaptic stimulation frequency stimulation induces LTD, whereas high-frequency stimulation induces LTP.

depolarization depends on presynaptic frequency. The diagonal line in Fig. 3*a* represents a possible linear dependence of V on *f*. In Fig. 3*b*, we display the AMPAR conductance along this line. This LTP/LTD curve is qualitatively similar to frequency response curves obtained experimentally (3). This qualitative result is conserved so long as V monotonically increases with *f*.

The composition and function of NMDA receptors change over the course of development and depend on the history of cortical activity. Changing the kinetics of NMDAR-mediated synaptic currents will affect  $G_{\text{NMDA}}$  and will therefore alter the form of the LTP/LTD curve. To account for the activity-dependent changes in NMDAR composition and function, we develop a phenomenological model for the plasticity of NMDA receptor kinetics. We assume that the total number of NMDA receptors in the membrane is fixed, and that the relative concentration of each type reflects the relative intracellular concentration. This can be expressed by the following set of equations:

$$N_f = \alpha \frac{NR2A}{NR2A + NR2B} \quad N_s = \alpha \frac{NR2B}{NR2A + NR2B}, \quad [7]$$

where  $\alpha$  is a proportionality constant. The data indicate that *NR2A* levels are activity dependent, but levels of *NR2B* seem fixed (23). We therefore assume that *NR2B* is constant, and that *NR2A* =  $\langle f(V) \rangle_{\tau_{2A}}$ , where  $\langle \rangle_{\tau_{2A}}$  represents a temporal average, with a temporal window of  $\tau_{2A}$ . For illustration purposes, let us assume that *NR2A* =  $\langle (V/V_0)^2 \rangle_{\tau_{2A}}$ , where  $V_0$  is an arbitrary proportionality constant. We will then have:

$$G_{\rm NMDA} = \alpha \tau_{\rm Ca} \left( \tau_f \frac{\langle (V/V_0)^2 \rangle_{\tau_{2A}}}{\langle (V/V_0)^2 \rangle_{\tau_{2A}} + NR2B} + \tau_s \frac{NR2B}{\langle (V/V_0)^2 \rangle_{\tau_{2A}} + NR2B} \right).$$
[8]

The rate of change depends on  $\tau_{2A}$ ,\*\* which depends on the time scale for synthesis of new NR2A subunits. The change in  $G_{\text{NMDA}}$  is approximately inversely proportional to the modification threshold.

In Fig. 4*a*, we illustrate how altering the value of  $G_{\text{NMDA}}$  changes the form of the LTP/LTD curve. This change is qualitatively

<sup>\*\*</sup>Note that  $\tau_{f}$ , the decay time constant of the NMDA heteromers with the NR2A subunit, and  $\tau_{2A}$  are different variables.



Fig. 4. The effect of changing NMDAR subunit composition on the shape of the LTP/LTD curve. (a) We use here the same approach as in Fig. 3 to produce an LTP/LTD curve as a function of frequency. The two curves reflect two different conductance levels  $G_{NMDA} = 0.01$  and  $G_{NMDA} = 0.03$ . NMDAR conductance level could change as a function of NMDAR subunit composition. We used a semi-log plot to facilitate comparison to experimental results. (b) Results reproduced from Kirkwood et al. (13), in which LTP/LTD curves of light- and dark-reared animals are compared. Notice that these two sets of results are qualitatively consistent.

consistent with experimental results that indicate that the form of the LTP/LTD curve can be altered by changing the level of cortical activity (13, 14). For comparison, we replotted the results of Kirkwood et al. (13) in Fig. 4b. The activity dependence of the LTP/LTD curve as described by Eq. 8 and illustrated in Fig. 4 is consistent with a key postulate of the BCM theory: the sliding modification threshold.

## Discussion

We present a model of the molecular mechanism of synaptic plasticity that implies that knowing the local postsynaptic concentration of intracellular calcium is sufficient to determine the status of AMPAR subunit phosphorylation and, therefore, synaptic efficacy. The fundamental assumption of the model is that the intracontrol conditions, and these minima should be of equivalent magnitude (Fig. 4a). Although there already is experimental evidence for a sliding modification threshold, further experiments that would measure the magnitude of these minima would test our theoretical framework.

Our biophysical model supports the postulates of the Bienenstock, Cooper, and Munro (BCM) theory (24, 25, 32) but, as expected, suggest detailed modifications. For example, the BCM theory is formulated in terms of the temporal derivative of synaptic weight. Here, we extract a rule directly for the fixed point of the synaptic weights for given presynaptic frequency and postsynaptic potential. Under certain conditions, these can be related by the addition of a weight decay term (H.Z.S., unpublished work). In addition, to attain stability, the synaptic modification threshold of the BCM theory was chosen to be a superlinear function of activity. In our model, we obtain a functional form that is more complex, and the dynamic range of the modification threshold is finite. The effect of these differences on the stability of learning, on the formation of receptive fields, and on the ability to account for various experimental results is currently being studied. Further, we are currently examining how this framework could account for more transient induction mechanisms, such a spike time-dependent plasticity (33, 34).

# Appendix

A. AMPAR Phosphorylation Cycle Dynamics. A1 MA approach. By applying the MA Law to the phosphorylation cycle showed in Fig. 1, which assumes two phosphorylation sites, we observe that it is composed of four reversible reactions:

$$A \underset{EP1}{\overset{EK1}{\longleftrightarrow}} A_{p1}, A^{p2} \underset{EP1}{\overset{EK1}{\longleftrightarrow}} A_{p1}^{p2}, A \underset{EP2}{\overset{EK2}{\longleftrightarrow}} A^{p2}, A_{p1} \underset{EP2}{\overset{EK2}{\longleftrightarrow}} A_{p1}^{p2},$$

and it is easy to obtain the following time-evolution system:

$$\begin{array}{c}
\begin{pmatrix}
\dot{A} \\
\dot{A}_{p1} \\
\dot{A}^{p2} \\
\dot{A}^{p2} \\
\dot{A}^{p2} \\
\dot{A}^{p1} \\
p1
\end{pmatrix} = \begin{pmatrix}
-(EK1 + EK2) & EP1 \\
EK1 & -(EP1 + EK2) \\
EK2 & 0 \\
0 & EK2
\end{array}$$

cellular calcium concentration is the principal trigger for the induction of LTD/LTP, an assumption that has wide experimental support. One of the principal features of this model is its relative robustness to the detailed assumptions; the same qualitative form of an LTP/LTD curve is obtained by assuming different functional forms for the calcium dependence of the enzymes and when using different mathematical formalisms.

By using a known model of NMDAR calcium conductance, and by taking a temporal average of the calcium concentration, we can convert the model to a form that depends on postsynaptic voltage and presynaptic frequency (Fig. 3a) or to a model that depends only on presynaptic frequency (Fig. 3b). We have also demonstrated that a phenomenological model for the modification of NMDAR subunit composition can account for the sliding modification threshold (13, 14, 24).

This mathematical model provides a link between synaptic plasticity observed in situ and biochemical/electrophysiological observations of glutamate receptor composition and function. Further, it combines plasticity of AMPA and NMDA receptors. A consequence of the proposed mechanism of the sliding modification threshold is that in low stimulation conditions, the minima of the LTP/LTD curve should occur at lower frequencies than for

$$\begin{array}{cccc}
EP2 & 0 \\
0 & EP2 \\
-(EP2 + EK1) & EP1 \\
EK1 & -(EP1 + EP2) \\
\end{array} \begin{pmatrix}
A \\
A_{p1} \\
A^{p2} \\
A_{p1}^{p2} \\
A_{p1}^{p2} \\
A_{p1}^{p2} \\
\end{array}.$$
[9]

 $\lambda / \lambda$ 

The system (Eq. 9) can be rewritten as  $\mathcal{A} = \mathcal{R}_{MA} \cdot \mathcal{A}$ , where  $\mathcal{A}$ =  $(A, A_{p1}, A^{p2}, A_{p1}^{p2})$ , and  $\mathcal{R}_{MA}$  is the "coefficient matrix." To characterize the equilibrium solution, we observe that the kernel of the matrix  $\Re_{MA}$  is, by construction, not trivial  $[Det(\Re_{MA}) = 0]$ , and one of its bases is:

 $\vec{B}_{Ker(\mathcal{R}_{MA})} = \begin{pmatrix} \mathcal{M}_1 & \mathcal{M}_2 & \mathcal{M}_3 \\ \mathcal{M}_4 & \mathcal{M}_4 & \mathcal{M}_4 & 1 \end{pmatrix},$ 

where

м

.11

$$\begin{split} \mathcal{M}_1 &= P1 \cdot P2 \cdot P2 + K1 \cdot P2 \cdot P1 + P1 \cdot P2 \cdot K1 + K2 \cdot P2 \cdot P1, \\ \mathcal{M}_2 &= K1 \cdot P2 \cdot P2 + K1 \cdot P2 \cdot K1 + K1 \cdot P1 \cdot P1 + P2 \cdot K2 \cdot K1, \\ \mathcal{M}_3 &= K2 \cdot K2 \cdot P1 + P1 \cdot K2 \cdot P1 + P1 \cdot P2 \cdot K2 + K1 \cdot K2 \cdot P1, \end{split}$$

$$M_{4} = K_{1} \cdot K_{2} \cdot K_{1} + K_{2} \cdot K_{2} \cdot K_{1} + K_{1} \cdot K_{2} \cdot P_{2} + P_{1} \cdot K_{2} \cdot K_{1}$$

Now, the conservation of the total amount of AMPAR [A(t) + $A^{p1}(t) + A_{p2}(t) + A^{p1}_{p2}(t) = A_T$  leads to the following equilibrium solutions as fractions of  $A_T$ :

$$\mathcal{A}_{\infty} = \left(\frac{\mathcal{M}_{1} \cdot \mathcal{A}_{T}}{\sum_{i=1}^{4} \mathcal{M}_{i}}, \frac{\mathcal{M}_{2} \cdot \mathcal{A}_{T}}{\sum_{i=1}^{4} \mathcal{M}_{i}}, \frac{\mathcal{M}_{3} \cdot \mathcal{A}_{T}}{\sum_{i=1}^{4} \mathcal{M}_{i}}, \frac{\mathcal{M}_{4} \cdot \mathcal{A}_{T}}{\sum_{i=1}^{4} \mathcal{M}_{i}}\right).$$
[11]

[10]

A2 MM approach. The AMPAR cycle shown in Fig. 1 is composed of four coupled enzymatic reactions, one phosphorylation and one dephosphorylation:

$$EK + S \underset{k_{b}^{2j-1}}{\overset{k_{f}^{2j-1}}{\longrightarrow}} EKS \xrightarrow{k_{irr}^{2j-1}} EK + P \quad EP + P \underset{k_{b}^{2j}}{\overset{k_{b}^{2j}}{\longrightarrow}} EP + S$$
$$j = 1, \dots, 4,$$

where  $EK, EP \in \{EK1, EK2, EP1, EP2\}$ ;  $S, P \in \{A, A_{p1}, A^{p2}, A^{p2}_{p1}\}$ . The constants  $k_{f_5}^i, k_b^i, k_{irr}^i i = 1, \dots 8$  are the rate constants for the forward, backward, and irreversible steps. According to MM analysis, we define the MM constants  $k_m^i = k_b^i + k_{irr}^i / k_f^i i = 1, \dots 8$ . The application of the Pseudo-Steady-State hypothesis as well the MA Law allows us to write the following time-evolution system for the concentrations of the different fractions of AMPAR for each phosphorylation state:

$$\dot{\mathcal{A}} = \mathcal{R}_{MM} \cdot \mathcal{A},$$
 [12]

where  $\mathcal{A} = (A, A_{p1}, A^{p2}, A^{p2}_{p1})$ , and  $\mathcal{R}_{MM}$  is a "coefficient matrix:"

 $EK1 + Ca \rightleftharpoons EK1Ca \quad EK1Ca + Ca \rightleftharpoons EK1Ca_2$  $EK1Ca_2 + Ca \rightleftharpoons EK1Ca_3 \quad EK1Ca_3 + Ca \rightleftharpoons EK1Ca_4,$ 

with the association constant  $K_I$ ,  $K_{II}$ ,  $K_{II}$ ,  $K_{IV}$  for each binding site. With these assumptions, we can write the "fluxes" for the AMPAR cycle with four enzymes:

$$\begin{aligned} \mathscr{F}_{EK1}^{Ca} &= \frac{EK1_{T} \cdot \sigma_{\text{EK1}}(Ca)}{k_m^1 k_m^7 + k_m^1 \cdot \sigma_{\text{EK1}}(Ca) A^{\text{p2}} + k_m^7 \cdot \sigma_{\text{EK1}}^{(Ca)A}}; \\ \mathscr{F}_{EP1}^{Ca} &= \frac{EP1_{T} \sigma_{\text{EP1}}(Ca)}{k_m^2 k_m^8 + k_m^2 \sigma_{\text{EP1}}(Ca) A_{\text{p1}}^{\text{p2}} + k_m^8 \sigma_{\text{EP1}}(Ca) A_{\text{p1}}} \\ \mathscr{F}_{EK2}^{Ca} &= \frac{EK2_{T} \sigma_{EK2}(Ca)}{k_m^3 k_m^5 + k_m^3 \sigma_{EK2}(Ca) A + k_m^5 \sigma_{EK2}(Ca) A_{\text{p1}}}; \\ \mathscr{F}_{EP2}^{Ca} &= \frac{EP2_{T} \sigma_{EP2}(Ca)}{k_m^4 k_m^6 + k_m^4 \sigma_{EP2}(Ca) A^{\text{p2}} + k_m^6 \sigma_{EP2}(Ca) A_{\text{p1}}^{\text{p2}}}, \end{aligned}$$

where the  $\sigma_i i \in (EK1, EK2, EP1, EP2)$  are the functions for the

$$\mathfrak{R}_{MM} = \begin{pmatrix} -(c_1 \mathscr{F}_{EK1} + c_2 \mathscr{F}_{EK2}) & c_3 \mathscr{F}_{EP1} & c_4 \mathscr{F}_{EP2} & 0\\ c_1 \mathscr{F}_{EK1} & -(c_5 \mathscr{F}_{EK2} + c_3 \mathscr{F}_{EP1}) & 0 & c_6 \mathscr{F}_{EP2}\\ c_2 \mathscr{F}_{EK2} & 0 & -(c_7 \mathscr{F}_{EK1} + c_4 \mathscr{F}_{EP2}) & c_8 \mathscr{F}_{EP1}\\ 0 & c_5 \mathscr{F}_{EK2} & c_7 \mathscr{F}_{EK1} & -(c_8 \mathscr{F}_{EP1} + c_6 \mathscr{F}_{EP2}) \end{pmatrix},$$
[13]

where:

$$c_{1} = k_{irr}^{1} \cdot k_{m}^{7}, c_{2} = k_{irr}^{5} \cdot k_{m}^{3}, c_{3} = k_{irr}^{2} \cdot k_{m}^{8}, c_{4} = k_{irr}^{6} \cdot k_{m}^{4}, c_{5} = k_{irr}^{3} \cdot k_{m}^{5}, c_{6} = k_{irr}^{4} \cdot k_{m}^{6}, c_{7} = k_{irr}^{7} \cdot k_{m}^{1}, c_{8} = k_{irr}^{8} \cdot k_{m}^{2},$$

and the four "fluxes" have the form:

$$\begin{split} \mathcal{F}_{EK1} &= \frac{EK1_T}{k_{m1}k_{m7} + k_{m1}A^{\rm p2} + k_{m7}A}; \\ \mathcal{F}_{EP1} &= \frac{EP1_T}{k_{m2}k_{m8} + k_{m2}A^{\rm p2}_{\rm p1} + k_{m8}A_{\rm p1}} \\ \mathcal{F}_{EK2} &= \frac{EK2_T}{k_{m3}k_{m5} + k_{m3}A + k_{m5}A_{\rm p1}}; \\ \mathcal{F}_{EP2} &= \frac{EP2_T}{k_{m4}k_{m6} + k_{m4}A^{\rm p2} + k_{m6}A^{\rm p2}_{\rm p1}}, \end{split}$$

where  $EK1_T$ ,  $EP1_T$ ,  $EK2_T$ ,  $EP2_T$  are the total amounts of each enzymes. Note that Eq. 12 has a form that is similar to the one written down for the reversible reaction [we can characterize  $Ker(\mathcal{R}_{MM})$ ], however, there is a significant difference, because the "fluxes" are functions of the dynamic variables, not constants. Thus this equation is nonlinear, and a full solution of the dynamics has not been analytically obtained. Previous derivations have assumed that the levels of the enzymes' activity depend on calcium levels; we made ad hoc assumptions about this dependence. The calcium dependence of these enzymes can be calculated if we know how calcium interacts with them; for example, EK1 needs to be bound to calcium to switch an active state ( $Ca^{2+}$  is an activator for EK1). More generally, and according to various experimental findings, we can assume that each enzyme needs to be bound to more than one molecule of calcium. Here we will assume that activation depends on calcium binding at four sites; thus we have the following reaction sequence:

calcium bound of each enzyme:

$$\sigma_{i} = \frac{(Ca)^{4}}{K_{I_{i}}K_{II_{j}}K_{III_{j}}K_{II_{j}} + K_{II_{j}}K_{III_{j}}K_{IV_{j}} \cdot Ca + K_{III_{j}}K_{IV_{j}} \cdot (Ca)^{2} + K_{IV_{j}} \cdot (Ca)^{3} + (Ca)^{4}};$$
  
$$i = i \in \{EK1, EK2, EP1, EP2\}$$

With this formalism, we can write the system again as  $\mathcal{A} = \mathcal{R}_{MM}^{Ca} \mathcal{A}$ , where the matrix  $\mathcal{R}_{MM}^{Ca}$  is obtained by substitution of the fluxes  $\mathcal{F}_{j}$  with the calcium-dependent fluxes  $\mathcal{F}_{j}^{Ca}$ . So also in this case, we can characterize  $Ker(\mathcal{R}_{MM}^{Ca})$  and numerically solve the system.

**B. Calcium Dynamics Through NMDA Channels.** A simple differential equation that qualitatively captures the main features of the calcium dynamics in spines is

$$\frac{d[Ca(t)]}{dt} = I_{\text{NMDA}}(t) - \frac{1}{\tau_{\text{Ca}}}[Ca(t)],$$
[14]

where [Ca(t)] is the calcium concentration at the spine at time t, and  $\tau_{Ca}$  is the decay time constant of calcium in the spine. This equation can be solved exactly to yield the solution

$$[Ca(t)] = e^{-t/\tau_{Ca}} \left[ \int_0^t e^{t'/\tau_{Ca}} I_{NMDA}(t') dt' \right].$$
 [15]

Given the NMDA current, we can calculate the instantaneous level of calcium. We now assume  $I_{\text{NMDA}}(t)$  has the following form:

$$I_{\text{NMDA}}(t) = \sum_{\{t_i\}} [N_f \theta(t_i) e^{-(t - t_i)/\tau_f} + N_s \theta(t_i) e^{-(t - t_i)/\tau_s}] \mathcal{H}(V), \quad [16]$$

where  $t_i$  are the times at which presynaptic spikes are delivered and  $\theta(t)$  is zero for t < 0 and one for t > 0. The calcium current through NMDARs is assumed to have a fast and a slow component with time constants  $\tau_f$  and  $\tau_s$ , respectively, and magnitudes  $N_f$  and  $N_s$ . The voltage dependence of the calcium current through the NMDARs

is summarized by  $\mathcal{H}$ , where  $\mathcal{H}(V)$  expresses the voltage dependence of the calcium current through the NMDARs. This depends on the voltage dependence of the magnesium block and on the voltagedependent driving force. Thus we use  $\mathcal{H}(V) = B(V)(V - V_r)$ , where *B* represents the dependence on the magnesium block, and  $(V - V_r)$ is the driving force for calcium molecules. For *B*, we use a model based on experimental results (29), which gives the functional form of effect of the magnesium block as:

$$B(V) = \frac{1}{(1 + e^{-(0.062 \cdot V)}(Mg/3.57))},$$

where Mg is the extracellular magnesium concentration. In all subsequent results, we assume that Mg = 1 mM. The linear model for the voltage dependence of the driving force is not exact for calcium channels (35); however, it is sufficient for our purposes. We also assume a calcium reversal potential of 130 mV.

By substituting Eq. 16 into Eq. 15 and carrying out the integration, we obtain:

$$\begin{bmatrix} Ca(t) \end{bmatrix} = \mathcal{H}(V) \sum_{\{t_i\}} \left\{ N_f \frac{\tau_{\rm f} \tau_{\rm Ca}}{\tau_{\rm f} - \tau_{\rm Ca}} \left[ e^{-(t - t_i)/\tau_{\rm f}} - e^{-(t - t_i)/\tau_{\rm Ca}} \right] + N_s \frac{\tau_{\rm s} \tau_{\rm Ca}}{\tau_{\rm s} - \tau_{\rm Ca}} \left[ e^{-(t - t_i)/\tau_{\rm s}} - e^{-(t - t_i)/\tau_{\rm Ca}} \right] \right\}.$$
 [17]

This result is valid on the basis of the assumption that the postsynaptic potential *V* is constant, which enables us to take this out of the integral. If we stimulate the synapse with a steady frequency *f*, then the period between two consecutive presynaptic spikes is T = 1/f and  $t_i = i/f$ , where  $i = 0 \dots N$  and Nf < t. We can then redefine  $t = N/f + \Delta t$ , where  $\Delta t$  is the time since the last presynaptic spike. Thus  $t - t_i = \Delta t + m/f$ , where  $m = 0, \dots N$ . We then have that

$$\begin{aligned} Ca(t) &= Ca(\Delta t, N) \\ &= \mathcal{H}(V) \sum_{j=0}^{N-1} \bigg\{ N_{f} \frac{\tau_{\mathrm{f}} \tau_{\mathrm{Ca}}}{\tau_{\mathrm{f}} - \tau_{\mathrm{Ca}}} \big[ e^{-\Delta t/\tau_{\mathrm{f}}} e^{-j/f\tau_{\mathrm{f}}} - e^{-\Delta t/\mathrm{Ca}} e^{-j/f\tau_{\mathrm{Ca}}} \big] \\ &+ N_{s} \frac{\tau_{\mathrm{s}} \tau_{\mathrm{Ca}}}{\tau_{\mathrm{s}} - \tau_{\mathrm{Ca}}} \big[ e^{-\Delta t/\tau_{\mathrm{s}}} e^{-j/f\tau_{\mathrm{s}}} - e^{-\Delta t/\mathrm{Ca}} e^{-j/f\tau_{\mathrm{Ca}}} \big] \bigg\}. \end{aligned}$$

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This result could be expected from the single spike result, because it is a solution of a linear differential equation. Now we will concentrate on the steady-state solution on the calcium current. Mathematically, this implies that  $N \to \infty$ . The steady-state solution exists if the infinite series converges. In the infinite series above, we have terms of the form  $\sum_{j=0}^{\infty} e^{-jj/\pi t} = 1/1 - e^{-1/t\pi} = \mu_f$ . This series converges because  $e^{-1/f\pi} < 1$ .

Thus

$$Ca(\Delta t) = N_f \frac{\tau_f \tau_{Ca}}{\tau_f - \tau_{Ca}} \left( \mu_f e^{-\Delta t/f\tau_f} - \mu_{Ca} e^{-\Delta t/f\tau_{Ca}} \right)$$
$$+ N_s \frac{\tau_s \tau_{Ca}}{\tau_s - \tau_{Ca}} \left( \mu_s e^{-\Delta t/f\tau_s} - \mu_{Ca} e^{-\Delta t/f\tau_{Ca}} \right).$$
[18]

Notice that this steady-state solution is periodic, with periodicity T = 1/f. We will now calculate the average calcium concentration at steady state by averaging over a period, thus

$$\overline{Ca} = \frac{1}{T} \int_{0}^{T} Ca(\Delta t) d(\Delta t)$$
$$= \mathcal{H}(V) f \tau_{Ca}(\tau_{f} N_{f} + \tau_{s} N_{s}) = \mathcal{H}(V) f \cdot G_{\text{NMDA}}, \qquad [19]$$

where  $G_{\text{NMDA}} = \tau_{\text{Ca}}(\tau_{\text{f}}N_f + \tau_{\text{s}}N_s)$  is the gain of the NMDAR calcium conductance.

This result implies that the average level of calcium at steady state is linearly dependent on presynaptic frequency, and that its dependence on the magnitude of the fast and slow components ( $N_f$  and  $N_s$ ) is also simply linear. Eq. **19** can now be used to rewrite the equations describing AMPAR plasticity in terms of frequency (f) and postsynaptic depolarization (V), variables that are more readily controlled than intracellular calcium concentration. To do this, we have to know how calcium concentrations depend on both presynaptic activity and postsynaptic depolarization.

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