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Anticipatory Planning of Functional Reach-to-Grasp: A Pilot Study

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Abstract

Background—Intensive task-oriented training such as constraint-induced movement therapy (CIMT) is thought to engage motor learning and decision-making processes, including anticipatory action planning.

Objective—To identify the effects of CIMT on anticipatory hand posture selection and movement time for task-specific reach-to-grasp performance.

Methods—Subacute and chronic poststroke participants were recruited into CIMT (n = 10) or non-CIMT (n = 10) groups. Arm and hand functions were assessed before and after 2 weeks with the Wolf Motor Function Test (WMFT), Motor Activity Log (MAL), and a unique skilled reach-to-grasp task designed to test anticipatory hand posture selection. The reach-to-grasp tasks included power and precision grasping in 2 conditions achieved optimally with either a pronated (low difficulty) or supinated (high difficulty) hand posture. Outcome measures included success rate, frequency of optimal strategy selection, and movement time.

Results—Between-group comparisons revealed a significant treatment effect for WMFT and MAL scores. The CIMT group showed larger gains in success rate, optimal posture selection (precision grasp only), and faster movement times for the supinated conditions.

Conclusion—Together, a faster movement time and greater frequency of optimal hand posture selection in the more difficult task condition highlights a set of novel findings. These results provide evidence for training-induced improvements in upper-extremity function that support neurobehavioral recovery more than compensation. Although these findings are preliminary in view of the small sample size, the authors suggest that they may be useful to design and power larger-scale studies to further the understanding of the fundamental mechanisms induced by task-oriented training interventions in neurorehabilitation.

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Keywords

motor learning; motor planning; posture selection; manual dexterity

Introduction

During the past decade, there has been mounting evidence for the efficacy of forced-use and constraint-induced movement therapy (CIMT) protocols to address the suboptimal recovery of upper-extremity function after stroke.¹⁻¹¹ CIMT was designed to lift “learned nonuse,” incurred early after the stroke when attempted use of the paretic limb is met with failure and negative reinforcement.¹² The reversal of this learned nonuse and increased paretic limb use in everyday activities has been shown to result from a 2-week protocol of training in the laboratory for 6 h/d, 5 d/wk combined with restraint of the nonparetic limb during 90% of waking hours.^{11,13}

CIMT is also considered a subgroup of a larger category of interventions termed *task-oriented training* (TOT), which has emerged as the dominant approach for the restoration of motor function after stroke.¹⁴ Though individual protocols vary, TOT interventions are designed to enhance functional, goal-directed behavior through the application of principles derived from behavioral neuroscience, motor control, and motor learning.^{15,16} An important guiding principle for TOT is that motor learning is an integral mechanism for motor recovery. Shadmehr and Wise¹⁷ have argued convincingly that motor learning involves skill acquisition, motor adaptation, and decision-making processes, including the ability to select the correct movement in the proper context. With this logic, one might expect that an intense, focused, and progressive CIMT task practice protocol would lead to the development of specific motor skills, including associated anticipatory planning.

Anticipatory planning of reach-to-grasp actions has been studied using a novel approach^{18,19} that allows the performer to choose a movement strategy to solve the movement problem and thereby provides the investigator with insight about anticipatory planning and action selection. For example, to pick up and fill an inverted wine glass, a common observation reveals a seemingly awkward initial grasp posture, but when the glass is righted, the forearm unwinds and assumes a neutral and comfortable end posture.¹⁹ Rosenbaum and colleagues¹⁹ describe this phenomenon as the “end-stage comfort” effect. Through a series of experiments using various reach-to-grasp tasks, they provided strong evidence that movements are planned to account for these end-posture effects. We chose the same paradigm to compare anticipatory planning of reach-to-grasp actions in participants post-stroke with those who are nondisabled and with those poststroke who participated in 2 weeks of CIMT.

Though there is a paucity of studies investigating the effects of CIMT and, in general, other TOT protocols on decision making and anticipatory planning, quantitative measures of the kinetic²⁰ and kinematic changes²¹⁻²⁶ induced through a focused and intense bout of CIMT are beginning to appear in the literature. This development is consistent with the recommendations in a recent editorial, which argues strongly for the inclusion of measures of impairment and function and especially EMG and kinematics necessary to understand

compensatory and/or recovery movement strategies.²⁷ In fact, a recent article follows this recommendation and shows gains in upper-extremity function, kinematics, and EMG using a reach-to-grasp task similar to the one we use here.²⁸ In addition, there is evidence for a significant change in the kinematics of reaching control^{22–25} and gross reach-to-grasp.²³ Improvement in the speed of movement and measures related to the capacity for coordination²¹ as well as the kinetic behaviors, including force and torque generation of a key turning task,²⁰ have also been reported. These findings are not particularly surprising, especially if viewed in the context of task-specific practice for functional upper-limb behaviors.

The purpose of this study, therefore, is to identify changes in anticipatory hand posture selection and task-specific movement time of reach-to-grasp actions induced by the signature CIMT protocol and in conjunction with the standard CIMT outcome measures. Our hypothesis is that in addition to increased paretic limb use, another benefit of CIMT will be improved anticipatory planning and task-specific movement time in the performance of reach-to-grasp tasks.

Methods

Participants

A total of 20 (5–120 months duration from stroke) participants poststroke were enrolled in the study. Patient inclusion criteria were as follows: (1) at least 3 months poststroke, (2) ability to perform at least 1 trial of the reach-to-grasp tasks, and (3) ability to achieve a minimum of 10° of voluntary wrist and finger extension. In addition, 6 nondisabled adults were recruited from the local community for a 1-time assessment of the preferred hand posture selection for each reach-to-grasp task to serve as a comparison group. Each prospective participant was provided with a written informed consent form and a HIPAA Authorization approved by the institutional review board of the University of Southern California. All participants read and gave written consent prior to study enrollment.

Experimental Design and Assessments

This pilot study was a between-group repeated-measures design with 4 independent variables: group (CIMT, non-CIMT) and reach-to-grasp task variables object type (card, dowel), task condition (same, different), and time (baseline, post). Participants were pseudorandomly assigned to receive CIMT (n = 10) or not (non-CIMT, n = 10). Participants in the CIMT group underwent 2 weeks of CIMT as defined for the EXCITE trial,¹¹ and the non-CIMT group received 2 weeks of usual and customary care. Functional tests and task-specific measures using the reach-to-grasp task were acquired from all participants at baseline and after the 2-week intervention period.

Reach-to-Grasp Tasks

The reach-to-grasp tasks and apparatus were designed to systematically examine the anticipatory planning behavior and kinematic characteristics of reach-to-grasp actions and to characterize treatment-related changes. The goal of the reach-to-grasp task was to reach for an object, place it in a target hole, and return the hand to the home position.

Apparatus—A custom-made, task-specific work board ($73 \times 14 \times 20 \text{ cm}^3$) provided a standard support for 2 objects (dowel or card) with corresponding goal target holes (Figure 1A). Both objects were of equal length (25 cm); the dowel was 6 cm in diameter, and the card was 0.8 cm thick and 3 cm wide. Both the objects and the target holes were painted red on one half and black on the other. Each object was horizontally positioned in the cradle stand of the work board, with the ends pointed to the target holes of the same color. This orientation afforded 2 task conditions: (1) same, putting the same colored end of the object into the hole, and (2) different, putting the different colored end of the object into the hole. The dowel and card afforded 2 different grasp types: power for dowel and 3-finger precision for card. To change object type, the work board was simply rotated, so that the desired object was directly in front of the participant, 15 cm from the front edge of the table. To trigger movement initiation, a 2-color LED signal mounted 25 cm above the table surface was positioned within view of the participant and was controlled externally by the experimenter.

Testing procedure—There were 7 trials in each testing situation (4 situations: 2 object types \times 2 task conditions) for a total of 28 testing trials for each participant. Trials were blocked by object type. The presentation order of object blocks was randomized across participants.

Participants sat in a straight-backed chair with the torso secured to the chair by a belt. For each trial, participants used the affected hand and assumed a neutral start position, with thumb and index finger closed and the hand resting on the hand switch (Figure 1A). At the signal, participants grasped the object, placed it in the target hole on the same side as the arm used, released the object, and returned to the start position (Figure 1B). All participants were given instructions to move as quickly as possible once they saw the LED signal. The task condition was randomly indicated by the LED color: green for same and red for different.

Reaction time, which refers to the time from LED onset to hand switch onset, was used to confirm the reliability of each trial. If the reaction time was greater than 1000 ms, the trial was deemed invalid, and another trial was collected. If the object was dropped during the trial, that trial was repeated to ensure a full complement of 7 trials for each condition. If participants were unable to complete 7 trials for each condition because of fatigue or inadequate motor control, the number of completed trials was recorded.

Dependent Measures

Functional Measures—The Wolf Motor Function test (WMFT-15) and Motor Activity Log (MAL), were used as standard functional outcome measures.^{29,30} The WMFT-15 consists of 15 function-based upper-extremity items, using time to completion as the primary outcome measure. In addition, a subset of 6 items from the original 15, the WMFT-6, was derived to capture fine-motor hand function (ie, lift a soda can, turn a key in the lock, pick up a pencil, stack checkers, turn over 3 cards, pick up a paperclip). The MAL is a semistructured interview in which participants are asked to rate the amount of use (AOU) and quality of movement of their more affected arm for 30 activities of daily living over a specified period (eg, in the past 3 days).

Reach-to-Grasp Task–Specific Measures

Behavioral measures—Behavioral measures in the reach-to-grasp tasks included success rate and optimal posture selection. Success rate was defined as the number of completed trials in each testing situation divided by 7. Overall success rate was defined as the total number of completed trials in all situations (4 situations = 28 trials) divided by 28. Overall optimal posture selection was defined by the total number of optimal hand postures chosen within the completed trials in each of the 4 situations. Frequency of optimal posture selection was defined as the number of participants whose optimal posture selections either increased, decreased, or did not change between baseline and postassessment. Here, an *optimal* hand posture was defined as a pronated grasp hand position in the same condition (Figure 1C) and a supinated grasp hand position in the different condition (Figure 1D).

Kinematic measures—Total movement time (TMT) was used as the kinematic measure for the reach-to-grasp tasks. Missing data for TMT from incomplete trials were imputed with 120 s as a maximum value.

Data Analysis

Demographic data and group mean WMFT and mean MAL scores were examined using an unpaired *t* test to compare characteristics between the 2 stroke groups at baseline. Baseline and postassessment mean WMFT and mean MAL scores were compared within each group using a paired *t* test to determine the effect of treatment. For all analyses, the significance level was set at $P < .05$. The novel and preliminary nature of the reach-to-grasp task–specific measures in addition to the small sample size precluded formal between-stroke-group statistical comparisons. Thus, only descriptive statistics (means and standard errors [SE]) were computed for success rate and TMT. Success rate and TMT change scores were derived by subtracting the baseline score from the postassessment score for success rate— $[(\text{Post} - \text{Baseline})/\text{Baseline}] \times 100$ —and as baseline score minus postassessment score for TMT— $[(\text{Baseline} - \text{Post})/\text{Baseline}] \times 100$ —so that a positive change score is consistent with improved performance. Missing data for success rate were imputed with a zero score. The number of participants who chose an optimal posture at postassessment compared with baseline is reflected by a frequency count for each of the 4 object/condition situations. Finally, an unpaired *t* test was used to compare baseline success rate and optimal posture selection between the stroke and nondisabled groups to determine the effect of stroke on reach-to-grasp task performance. For this analysis, optimal posture selection was calculated as a stroke group mean ($n = 20$) of the percentage optimal posture selection divided by 28 (7 trials \times 4 object-condition situations). We computed this for the nondisabled group ($n = 6$) as well.

Results

Stroke Group Demographics

A total of 20 participants were enrolled in the study, and 10 participants (3 women) whose poststroke duration ranged from 5.2 to 10.5 months were assigned to the CIMT group. The other 10 participants (5 women) whose poststroke duration ranged from 8.0 to 119.9 months were assigned to the non-CIMT group. Table 1 summarizes demographic information for

each group. Both stroke groups were equivalent in age (59.7 [CIMT] vs 58.2 years [non-CIMT]) and initial impairment severity (Fugl-Meyer score: 48.1 [CIMT] vs 47.9 [non-CIMT]). The non-CIMT group had a significantly longer mean poststroke duration (39.7 months) than the CIMT group (7.6 months). Figures 2 (CIMT) and 3 (non-CIMT) present lesion data for each participant in the 2 groups derived from MRI image analysis. 3D image sections display the section with the largest lesion size. Lesion size and location varied considerably within each group. There were no pronounced differences between groups, except that 4 out of 10 lesions in the CIMT group were located in the brainstem, and there were no brainstem lesions in the non-CIMT group. In contrast, in the non-CIMT group, 5 out of 10 lesions were located in the internal capsule, whereas there were only 3 out of 10 internal capsule lesions in the CIMT group.

Treatment Effects on Functional Outcomes

There were no between-group differences at baseline for the WMFT-15, WMFT-6, MAL AOU, and MAL quality of movement (Table 2). The within-group changes (baseline - postassessment) revealed a pronounced group effect, with the CIMT group showing improvement in 3 of the 4 functional outcome measures. The CIMT group had significantly improved WMFT scores (15-item: $P = .04$; 6-item: $P = .04$), but this was not the case for the non-CIMT group. The MAL AOU significantly improved for the CIMT group (CIMT: $P = .01$) but not for the non-CIMT group (Table 2).

Reach-to-Grasp Task Performance

Effects of stroke-specific impairment—In all, 6 nondisabled participants (25 to 59 years; 43.8 ± 5 years) performed the reach-to-grasp tasks in each of the testing situations for comparison with baseline performance of the stroke group. Participants in the stroke groups ($n = 20$, combined CIMT and non-CIMT groups) were able to complete an average of 23 trials out of the 28 trials (success rate = 81.48%, $\pm 6.7\%$), whereas participants in the nondisabled group achieved a 100% success rate. There was a statistically significant difference between the stroke and nondisabled groups in overall success rate at baseline ($P < .000$).

For optimal posture selection at baseline, the stroke group ($n = 20$, combined CIMT and non-CIMT group) chose an optimal hand posture for most trials (group mean and SE = 67.9%, $\pm 6.2\%$) but less frequently than the nondisabled group (group mean and SE = 91.07%, $\pm 5.1\%$; $P < .05$).

Effects of CIMT on task-specific reach-to-grasp measures—Figure 4 shows the total number of successful and optimal posture trials for each of the 2 stroke groups ($n = 10$ /group) by situation at baseline and postassessment. If all 7 trials in each situation are performed successfully and all trials showed selection of an optimal posture, then the maximum number for all 10 participants per group in each situation would be 70 (10×7). For the CIMT group (Figure 4A), the number of successful trials increased in all situations but especially in the card-different situation (49/70 at baseline; 64/70 at postassessment). For the non-CIMT group (Figure 4B), the number of successful trials also improved in each

situation, except in the dowel-different situation, where it decreased from 65 out of 70 at baseline to 58 out of 70 at postassessment.

Figure 4C shows the percentage change in success rate—that is, $[(\text{Post} - \text{Baseline})/\text{Baseline}] \times 100$ —for each stroke group by condition collapsed across object type. There was no difference between groups in the same condition (CIMT: $14.7\% \pm 5.4\%$; non-CIMT: $10.9\% \pm 6.4\%$), but for the different condition, only the CIMT group improved (CIMT: $22.2\% \pm 5.6\%$; non-CIMT: $-2.5\% \pm 6.9\%$).

The number of optimal posture trials increased in each situation, for each stroke group, at postassessment. However, the number of optimal posture selections shows the largest gain in the card-different situation (36/70 baseline; 58/70 postassessment) for the CIMT group compared with a much smaller gain for the non-CIMT group (44/70 baseline; 50/70 postassessment).

Figure 5 displays frequency plots for participants in the CIMT (Figure 5A) and non-CIMT (Figure 5B) groups whose number of optimal posture selections either increased, decreased, or did not change between baseline and postassessment in each situation. Overall, for both groups, the number of individuals who either improved or made no change was greater than the number who showed a decrease in the frequency of optimal posture selections. There were no notable differences between groups in the “improve” category for optimal posture selection except in the card-different and dowel-same situations. Specifically, there were 6 participants in the CIMT group in the card-different situation who improved, in comparison to only 4 participants in the non-CIMT group who improved. For the dowel-same situation, there were 4 participants in the CIMT group who improved, in comparison to only 2 participants in the non-CIMT group who improved.

Effects of CIMT on reach-to-grasp task TMT—For the same conditions, percentage TMT change was not different between groups (CIMT: $52.8\% \pm 5.9\%$; non-CIMT: $49.4\% \pm 6.3\%$); however, for the different conditions, only the CIMT group showed an improvement (CIMT: $58.9\% \pm 6.3\%$; non-CIMT: $-14.7\% \pm 6.8\%$).

Discussion

To our knowledge, this is the first study to demonstrate the effects of CIMT on anticipatory motor behaviors engaged for task-specific reach-to-grasp actions. Our findings suggest that CIMT results in improved anticipatory planning of hand posture selection, particularly in situations that require precision grasping actions with forearm supination. Furthermore, compared with the non-CIMT group, the CIMT group not only demonstrated increased limb use but also improved success rate and movement speed of the reach-to-grasp tasks, especially in the more complex (different) task conditions.

Changes in Motor Skill After CIMT

Previous studies have demonstrated the effectiveness of CIMT for improving paretic upper-limb function and use in individuals with subacute and chronic stroke.^{4,13,30–35} The results from the WMFT and MAL are similar to those of the larger EXCITE trial and, for the most

part, are consistent with previous findings,¹³ particularly considering the limitations of the MAL.³⁶

Within the broader class of TOT protocols, some investigators have suggested that in addition to the reversal of learned nonuse, CIMT promotes the development of skill in functional motor behaviors, particularly those concerned with reach and grasp.³⁷ This hypothesis was supported by the significant improvement of functional movements (WMFT-15) observed only for the CIMT group. Furthermore, the fine-motor tasks included in the WMFT-6 significantly improved in the CIMT group, suggesting a benefit to fine-motor skills that require digit individuation and manual dexterity. However, the WFMT does not directly capture anticipatory planning of voluntary actions. Specific to the aims of this research, we developed and used a set of task-specific measures with our instrumented reach-to-grasp task to uncover anticipatory planning strategies for these functional actions.

Effect of CIMT on Reach-to-Grasp and Anticipatory Posture Selection

Success rate—Overall success rate can be used to capture the difficulty level of the task for the stroke group compared with a nondisabled group. Most participants with stroke (82.3%) were able to accomplish the tasks at baseline; however, the overall success rate was significantly lower than for the nondisabled group. This difference between stroke and nondisabled groups showed that the grasping tasks developed for this investigation would be sensitive enough to capture improvement but challenging enough to engage motor learning with practice. In fact, after intervention, the CIMT group demonstrated a higher success rate (94.6%) than the non-CIMT group (88.9%); however, the success rate of both groups was still below that for the nondisabled group (100%), further supporting the notion that the reach-to-grasp tasks continued to present a meaningful and challenging motor problem.

The 4 object–hand–posture tasks can be thought to represent a continuum of task difficulty. The card task required a 3-finger precision grasp—a more difficult grasp compared with the dowel task that required a power grasp. Both the object-grasp (precision vs power) and optimal posture (supinated vs pronated) configurations contribute to task difficulty. This hypothesis gathers empirical support from the baseline data. There is a progressive drop in success rate, from most successful with the dowel conditions (same: 91.4%; different: 88.6%) to least successful with the card conditions (same: 75%; different: 74.2%). For both objects, the different/forearm supination condition had a slightly lower success rate than the same/forearm pronation condition. Thus, success rate provides empirical support for a progression in task difficulty across our 4 object–hand–posture tasks. Furthermore, there is considerable neurophysiological evidence that precision grasp requires greater motor cortical activation than does a power grasp,³⁸ reflecting the unique role of direct corticomotoneuronal connections for individuation of finger movements. Finally, the anticipatory posture selection for the different trials elicits a movement strategy that is counter to the more primitive movement synergy that is biased toward a pronated forearm with shoulder flexion and elbow extension for reaching movements.^{39–41} Therefore, in our design, the different condition generally required more selective motor control and therefore would be considered more challenging for participants poststroke than the same condition trials.

Regardless of the type of grasp, and compared with the non-CIMT group, the CIMT group showed a greater improvement in success rate for those tasks that required forearm supination. This result indicates that the effect of CIMT on reach-to-grasp capability is more robust in situations that require more selective motor control for grasping. The increased success rate is also indicative of the stability and repeatability of the action. A reasonable concern that may have affected this result is the possibility of a ceiling effect. However, success rate for the stroke group was 91.8%, compared with 100% for the nondisabled group, still below the ceiling for the reach-to grasp tasks.

Optimal posture selection and anticipatory planning—In addition to adding a level of task difficulty, hand posture selection across objects served to identify whether CIMT would influence anticipatory planning, an important component of the development of motor skill. The end-stage comfort effect described by Rosenbaum and colleagues⁴² provides strong evidence for the idea that most goal-directed actions are planned in advance. For most grasping tasks in those studies, participants consistently chose a less-comfortable initial grip posture to minimize discomfort in the final position. The frequency of optimal posture selection and optimal posture change captures the degree to which our participants fit the performance predicted by the end-stage comfort hypothesis. At baseline, the stroke groups exhibited fewer optimal hand posture selections compared with the nondisabled group. These findings may represent an impairment in motor planning alone⁴³ but are more likely the combined effects of biomechanical constraints and planning deficits.¹⁹ Previous studies have identified that deficits in active range of motion^{44,45} influence the choice of movement solution in grasping tasks. Because supination in conjunction with elbow extension is a common impairment in adults with stroke,³⁹ we reasoned that the influence of challenging practice (CIMT) on the choice of hand posture would be most evident in movements that required forearm supination (ie, the different conditions). Furthermore, we expected that the practice effect would be greatest with the card task, given that precision has been shown to influence the end-state comfort effect in movement planning.⁴⁶ Our findings supported this reasoning in 2 ways: (1) At baseline, and compared with the nondisabled group, the stroke groups exhibited a lower incidence of optimal hand posture selection, particularly when anticipatory supination was required. In contrast to the nondisabled group, the stroke group had a tendency to plan not only for end-stage comfort but to compensate for biomechanical constraints, which minimized forearm rotation.⁴⁴ (2) After intervention, the CIMT group showed the greatest gain in the number of optimal posture selections and a higher frequency of participants who increased their optimal posture in the most difficult task situation (card-different), suggesting improved anticipatory movement planning that was not evident for those in the non-CIMT group. We speculate further that CIMT may have a task-specific practice effect on reach-to-grasp movements through motor learning, whereby more normal motor planning, that is, end-stage comfort, is elicited for optimal posture selection, especially in the most biomechanically and cognitively demanding situation.

In the card-different situation, there were 2 participants in the non-CIMT group who chose an optimal posture for all 7 trials at baseline but regressed at the postassessment by selecting a nonoptimal posture 3 out of 7 and 1 out of 7 times, respectively. This suggests that the strategy selected at baseline was neither reliable nor stable. To some degree, this supports

our speculation regarding a task-specific practice effect with CIMT. The important point is that the non-CIMT group did make some improvements in reach-to-grasp over the 2-week interval, but the degree and nature of that improvement was smaller than and different from that for the CIMT group.

Reach-to-grasp task TMT—The CIMT group showed a reduction in TMT for the reach-to-grasp tasks, a finding that is consistent with other studies of the effects of CIMT on the kinematics of movement.^{21–26} The CIMT group showed a superior percentage TMT improvement in the different conditions, whereas the group differences were similar in the same conditions. One interpretation of the improved movement time is a more efficient feed-forward controller and less dependence on slower on-line feedback.^{21–23,26} Such efficiency can also be interpreted to reflect better movement planning after CIMT. However, the readers should be cautioned not to overinterpret the TMT findings. The method of imputing unsuccessful reach-to-grasp task trials with 120 s (the upper limit for functional performance borrowed from the WMFT procedure) may have biased the results. In retrospect, we chose this strategy to account for the few cases in which there were few successful trials that alone did not adequately represent an average over 7 trials. We adopted the imputation strategy as a means to effectively equalize the number of trials available for comparison of TMT, and from this, we were able to extract a more meaningful signal than the signal that would have been obtained without imputation.

Conclusion

Consistent with the EXCITE trial findings, our companion study showed that 2 weeks of CIMT resulted in greater task-specific functional improvements of the upper extremity than for the non-CIMT comparison group. More important, and central to our research aim, improvements in anticipatory planning behavior of grasping actions were observed through a greater increase in grasping task success rate, optimal hand posture selection, and a faster movement time for the CIMT group than for the non-CIMT group. The combined results of a faster movement time and higher frequency of optimal posture selection in the most difficult task conditions highlights a set of novel results never before reported in association with CIMT. Furthermore, the reach-to-grasp task paradigm used here shows promise for the assessment of functional improvements that are distinguishable between nonoptimal compensatory strategies and a more optimal movement strategy that reflects true recovery.^{28,47} The small sample size used in this companion study precludes a definitive conclusion. In addition, the poststroke duration was not matched between stroke groups. This, however, is not important for our research question and therefore has little bearing on the interpretation of our results. Therefore, we suggest that the research aim and findings can be used to design and power larger-scale studies in neurorehabilitation focused on understanding the mechanisms for effectiveness induced by the broad class of TOT interventions.

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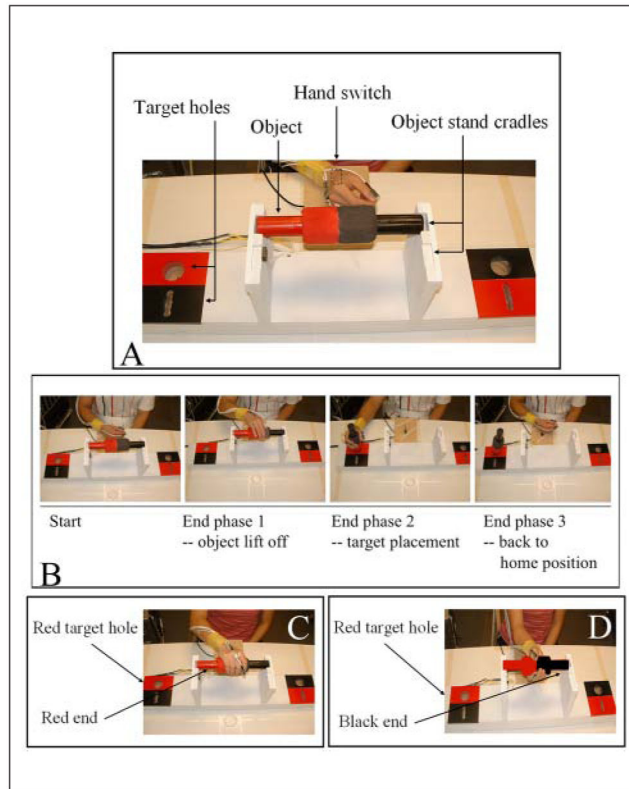


Figure 1.

Reach-to-grasp task: A. Task apparatus: the task-specific work board and close up of start position shown with the dowel (card not shown). B. Procedure: example of a successful trial; movement times were captured by electronic switches at the home position, object cradle, and target hole. C. Optimal posture for same condition—pronated hand posture to place the red end in the red hole. D. Optimal posture for different condition—supinated hand posture to place the black end in the red hole (color figure available online at nrr.sagepub.com).

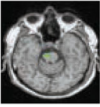
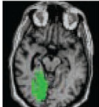
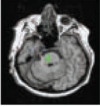
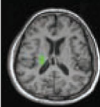
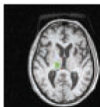
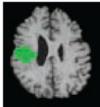
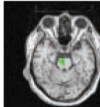
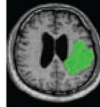
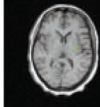
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02		0.4 R Pontine	07	N/A	N/A L B
03		0.6 R IC	08		1.2 R IC
04		14.5 R M1, PM	09		2.2 R Pontine
05		72.6 L P, T	10		0.8 L IC

Figure 2.

Lesion Information for CIMT Group^a

Abbreviations: CIMT, constraint-induced movement therapy; R, Right; L, Left; B, brainstem; F, Frontal area; T, Temporal area; P, Parietal area; O, Occipital area; M1, primary motor area; SM, Sensorimotor area; CR, Corona radiate; CN, Caudate nucleus; IC, Internal capsule; N/A, not available.

^aThe green area in the 3D image shows the section with the largest lesion size.

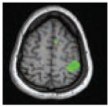
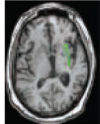
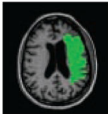

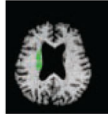
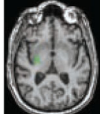
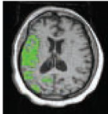

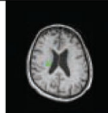
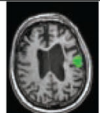
Non-CIMT ID	3D Image	3D Volume (cc) location	Non-CIMT ID	3D Image	3D Volume (cc) location
11		3.1 L M1	16		1.5 L CR, IC
12		110.7 L F, T, P	17		0.7 L IC
13		2.3 R CN, CR	18		0.5 R IC
14		27.7 R SM, T (more than one stroke)	19		0.4 L IC
15		0.8 R CR, CN	20		1.9 L IC, SM

Figure 3.

Lesion Information for Non-CIMT Group^a

Abbreviations: CIMT, constraint-induced movement therapy; R, right; L, left; B, brainstem; F, frontal area; T, temporal area; P, parietal area; O, occipital area; M1, primary motor area; SM, sensorimotor area; CR, corona radiata; CN, caudate nucleus; IC, internal capsule; N/A, not available.

^aThe green area in the 3D image shows the section with the largest lesion size.

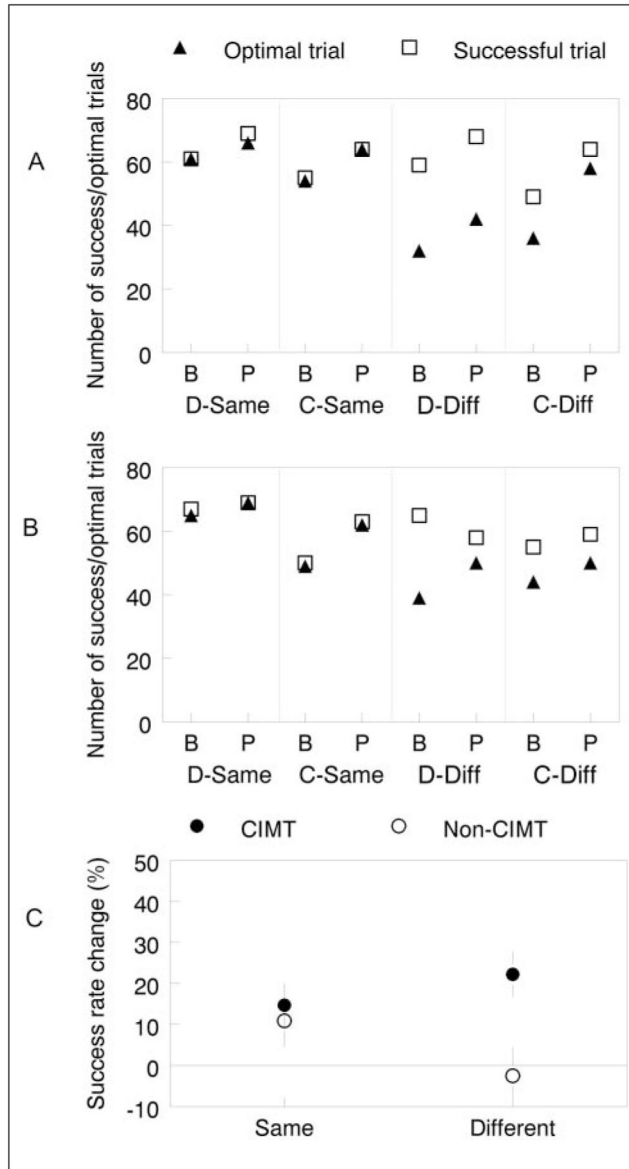


Figure 4. Optimal posture selection and success rate change: A. CIMT group. B. Non-CIMT group showing the total number of successful (open square) and optimal (closed triangle) trials. The 4 object/posture situations are arranged on the X axis in pairs, beginning with baseline (label B) followed by postassessment (label P). C. Percentage success rate change is collapsed across object type for the CIMT (closed circle) and non-CIMT (open circle) groups. The zero line represents no change between baseline and postassessment. Abbreviations: CIMT, constraint-induced movement therapy; D-Same, dowel/pronated; C-Same, card/pronated; D-Diff, dowel/supinated; C-Diff, card/supinated.

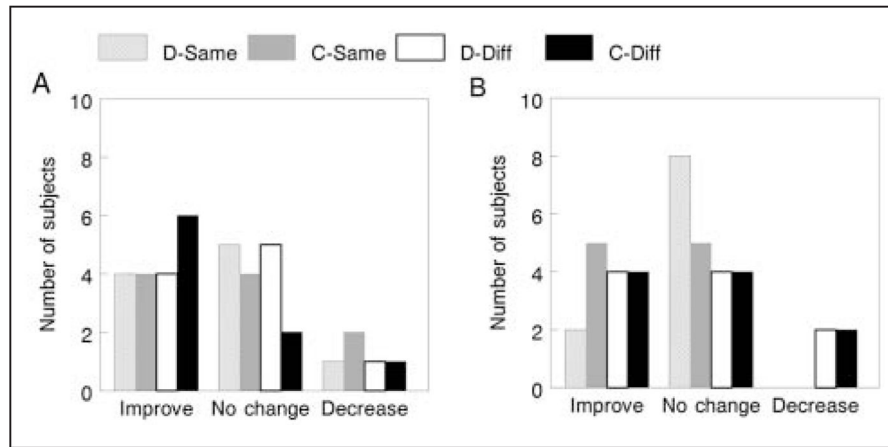


Figure 5. Optimal posture change: frequency plots for participants in the CIMT (A) and non-CIMT (B) groups (n = 10/group) whose number of optimal posture selections either increased, decreased, or did not change between baseline and postassessment in each object/posture situation. Abbreviations: CIMT, constraint-induced movement therapy; D-Same, dowel/pronated; C-Same, card/pronated; D-Diff, dowel/supinated; C-Diff, card/supinated.

Stroke Group Demographics

Table 1

Groups	ID	Age, (y)	Sex	Dominant Hand	Affected Hand	Time From Onset (months)	Initial Fugl-Meyer Motor Score (maximum = 66)
CIMT	01	57	M	R	L	10.5	47
	02	61	F	R	L	9.4	33
	03	58	F	L	L	5.2	45
	04	38	M	R	L	6.1	51
	05	57	M	R	R	8.1	50
	06	63	M	R	L	5.8	57
	07	55	M	R	R	9.0	41
	08	80	M	R	L	5.7	51
	09	73	M	R	L	7.0	53
	10	55	F	R	R	9.2	53
Average/Count (SEM)	n = 10	59.7 (3.54)	7M/3F	9R/1L	3R/7L	7.6 (0.60)	48.1 (2.21)
Non-CIMT	11	26	F	R	R	11.8	63
	12	60	F	L	R	8.0	45
	13	69	F	R	L	8.6	49
	14	51	F	R	L	10.8	44
	15	68	M	R	L	11.4	51
	16	51	M	R	R	11.4	40
	17	62	M	R	L	59.1	41
	18	66	F	R	R	84.3	47
	19	57	M	R	R	72.0	50
	20	72	M	R	R	119.9	49
Average/Count (SEM)	n = 10	58.2 (4.25)	5M/5F	9R/1L	6R/4L	39.7 ^a (12.92)	47.9 (2.05)

Abbreviations: CIMT, constraint-induced movement therapy; SEM, standard error of the mean.

^a $P < .05$, between-group comparison using an unpaired *t* test.

Table 2

Effect of Treatment on Functional Measures^a

Participant ID	WMFT-15 (s)		WMFT-6 (s)		MAL		
	Pre	Post	Pre	Post	Pre-AOU	Post-AOU	Post-QOM
CIMT (n = 10)							
01	3.96	3.15	6.21	4.53	2.20	2.11	3.92
02	7.77	6.07	11.96	11.06	0.11	1.59	1.00
03	33.052	8.85	71.802	17.09	1.38	3.68	2.29
04	30.693	22.542	70.433	52.712	0.67	1.25	2.33
05	23.221	7.18	49.661	13.10	3.00	4.38	3.31
06	16.42	12.77	22.50	11.18	2.55	3.35	3.55
07	7.92	4.04	13.25	5.42	2.70	2.42	3.52
08	7.28	4.91	11.10	7.99	4.17	4.37	3.10
09	3.83	2.55	6.03	4.36	3.58	4.05	3.44
10	1.75	2.42	8.87	4.44	2.47	4.20	3.52
Mean (SEM)	13.59 (4.30)	7.45 ^b (1.96)	27.18 (8.36)	13.19 ^b (4.60)	2.28 (0.40)	3.14 ^b (0.38)	3.00 (0.28)
Non-CIMT (n = 10)							
11	3.71	2.94	7.54	5.34	1.71	2.90	3.23
12	25.021	16.391	51.401	31.201	0.98	1.62	3.83
13	10.22	4.65	4.55	4.06	2.22	2.24	2.95
14	46.735	50.895	101.975	118.505	1.04	2.86	0.67
15	24.541	7.73	36.01	14.60	0.74	0.79	1.17
16	11.66	7.37	24.09	14.43	2.25	1.95	2.71
17	18.031	18.661	36.111	16.89	1.44	1.60	1.56
18	3.97	3.59	5.38	4.91	2.50	2.23	2.80
19	3.87	2.74	6.68	4.11	1.53	1.53	1.27
20	12.671	7.30	28.291	15.82	2.29	2.27	2.16
Mean (SEM)	16.04 (4.23)	12.23 (4.63)	30.20 (9.46)	22.99 (10.95)	1.67 (0.20)	1.99 (0.20)	2.24 (0.33)
							2.44 (0.33)

Abbreviations: CIMT, constraint-induced movement therapy; WMFT, Wolf Motor Function test mean score; MAL, Motor Activity Log mean score; AOU, amount of use; QOM, quality of movement; SEM, standard error.

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^aNumber of incomplete items (ie, those that could not be completed in 120 s) in the WMFT are indicated by an italic superscript above the WMFT mean time score. For the MAL AOU and QOM, a score of 5 (ie, same as before the stroke) is the maximum score. An improvement in upper-extremity performance is indicated by an increase in the average score for the MAL and a decrease in the average time for the WMFT. The CIMT group showed a significantly improved WMFT-15 and WMFT-6 (faster mean WMFT time) and MAL AOU score postassessment. No significant changes (improvements or decrements) were observed for the non-CIMT group.

^bIndicates that the within-group comparisons between baseline and postassessment were significant ($P < .05$).