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## ***In vitro-in vivo* dose response of ursolic acid, sulforaphane, PEITC, and curcumin in cancer prevention**

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### **Abstract**

According to the National Center of Health Statistics, cancer was the culprit of nearly 600,000 deaths in 2016 in the United States. It is by far one of the most heterogeneous diseases to treat. Treatment for metastasized cancers remains a challenge despite modern diagnostics and treatment regimens. For this reason, alternative approaches are needed. Chemoprevention using dietary phytochemicals such as triterpenoids, isothiocyanates, and curcumin in the prevention of initiation and/or progression of cancer poses a promising alternative strategy. However, significant challenges exist in the extrapolation of *in vitro* cell culture data to *in vivo* efficacy to animal models and particularly to human. In this review, the dose at which these phytochemicals elicit a response *in vitro* and *in vivo* of a multitude of cellular signaling pathways will be reviewed highlighting Nrf2-mediated anti-oxidative stress, anti-inflammation, epigenetics, cytoprotection, differentiation, and growth inhibition. The *in vitro-in vivo* dose response of phytochemicals can vary due in part to the cell line/animal model used, the assay system of the biomarker used for the readout, chemical structure of the functional analog of the phytochemical, and the source of compounds used for the treatment study. While the dose response varies across different experimental designs, the chemopreventive efficacy appears to remain and demonstrates the therapeutic potential of triterpenoids, isothiocyanates, and curcumin in cancer prevention and in health in general.

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## Keywords

triterpenoids; isothiocyanates; curcumin; chemoprevention; phytochemicals

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## I. Introduction

Cancer is now the leading cause of death in 21 states in the US (1). Cancer is a chronic disease that could be prevented (2, 3). Cancer development can take about 10–30 years to develop, from initiation, promotion to progression (Figure 1) (4). Thus, the slow development of the disease potentially allows the intervention in the progression of cancer into advanced stages and metastases (Figure 2). Recent evidence suggests epigenetic alterations precede genetic mutations during cancer development. As such, naturally occurring phytochemicals have been shown to have the ability to activate the anti-oxidative stress, Nrf-2 mediated pathway, antiinflammatory networks as well as others (5, 6), resulting in blocking cancer initiation, promotion and/or progression, in many *in vitro* and *in vivo* models (5). These pathways may be directly or indirectly regulated through epigenetic modulation by natural dietary phytochemicals. Among these, some of the most promising chemopreventive agents include ursolic acid (UA), sulforaphane (SFN), phenethylisothiocyanate (PEITC), and curcumin. The dose by which these phytochemicals produce their chemopreventive effects will be explored in this review.

## II. The importance of dose in the *in vitro* and *in vivo* chemopreventive effects of phytochemicals

### A. Triterpenoids

Triterpenoids are a natural class of compounds produced through the cyclization of squalene widely used in Asian medicine. Approximately 20,000 sources of triterpenoids exist in nature (7). This class of compounds has been shown to have anti-inflammatory and anti-cancer properties. Specifically, the triterpenoids, oleanolic acid (OA, 3B-hydroxyolean-12-en-28oic acid) (8) and ursolic acid (UA, 3B-hydroxy-urs-12-en-28-oic-acid), an isomer of OA, have shown great promise in these areas. The anti-cancer, anti-inflammatory, and chemopreventive effects of these compounds vary with dose and will be explored in this review.

UA is found in blackberries, blueberries, holy basil, thyme, lavender, catnip, peppermint leaves, olive oil, rosemary and apple peels (7)). It has been shown to modulate a number of pathways implicated in the progression and the survival of cancer. The same can be said of OA, a triterpenoid found in ginseng root and the olive plant, bearberries, heather, three leaved caper, reishi, Chinese elder, and Sodom's apple (9). UA and OA are often found in combination and share many of their pharmacological properties (10). In addition to its anti-inflammatory and anti-cancer effects, OA possesses a wide range of pharmacological activities such as anti-viral, anti-microbial, anti-parasitic, anti-diabetic, and anti-analgesic (9) effects.

A multitude of experiments have shown UA is able inhibit proliferation and induce apoptosis of a variety of cell lines and in various animal models. Triterpenoids have been shown to exert their anti-inflammatory properties through the modulation of ROS and the attenuation of iNOS, COX-2 and NF- $\kappa$ B, a key factor in controlling transcription of DNA, cytokine production and cell survival. In the T lymphoma Hut-78 cells, UA inhibited proliferation and induced early apoptosis at 10–80  $\mu$ M, with the highest effect achieved at 80  $\mu$ M. This was noted in the downregulation of NF- $\kappa$ B p65, and p50 proteins and the upregulation of caspase-8, caspase-3, and caspase-9. In addition, COX-2 mRNA also decreased in the presence of UA (11). UA and OA have been shown to inhibit the proliferation of non-small cell lung cancer A549 cells in a nude mouse model at low and high doses of 50 and 100 mg/kg bw, respectively. At 100 mg/kg bw UA significantly inhibited the growth of the cells noted in tumor weight. Further investigations, noted UA and OA increased expression of Bid and decreased the protein levels of MMP-2, Ki-67, and CD34 (12). Additionally, UA has been shown to inhibit proliferation and induce apoptosis in MTC-SK cells, a medullary thyroid carcinoma cell line) at 10  $\mu$ M and 20  $\mu$ M *in vitro* (13). Additionally, UA and OA have been shown to induce apoptosis through a multitude of pathways in prostate cancer. These include the activation of JNK and inhibition of Akt pathways in PC-3 cells at 80  $\mu$ M (14), and the down regulation of Bcl-2 in PC-3 and LNCaP prostate cancer cells at 55  $\mu$ M and 45  $\mu$ M respectively (15).

The anti-inflammatory capabilities of UA have been shown to be expansive in a number of experimental models. UA has been shown to inhibit tumor promotion by TPA in a two-stage skin carcinogenesis ICR mouse model at 2  $\mu$ mol applied topically prior to application of TPA. UA reduced TPA-induced inflammation and decreased the gene expression of IL-1, IL-22, and Cox-2 inflammatory genes. In addition, UA reduced binding of NF- $\kappa$ B, Egr-1, and AP-1 (12). Furthermore UA has recently been shown to inhibit cell growth and proliferation of pancreatic cell lines AsPC-1, MIA, PaCa-2, and Panc-28 cells at 5–20  $\mu$ M *in vitro* (16). UA suppressed NF- $\kappa$ B activation and was able to suppress its target genes in Panc-28 cells *in vitro*. UA's anti-cancer effects were further confirmed in an orthotopically implanted pancreatic cancer model in which UA inhibited pancreatic cancer at a dose of 250 mg/kg bw given orally daily. Moreover, UA and OA have been shown to prevent ROS-induced hepatocellular carcinoma *in vivo* in a male Wistar rat model at an oral dosage of 20 mg/kg bw (17) and skin cancer through the attenuation of chemically induced ROS and protect against DNA damage induced hydrogen peroxide at concentrations 5 and 10  $\mu$ M in murine keratinocyte Ca3/7 cells (18). Furthermore, UA has been shown to induce anti-inflammatory activity at 5  $\mu$ M through the suppression of NF- $\kappa$ B in activated T cells, B cells and macrophages (19). UA's anti-inflammatory role was further solidified in a study demonstrating UA was able to reduce NF- $\kappa$ B activation and the release of cytokines at 10  $\mu$ M and 50  $\mu$ M in human colon cancer COLO 205 cells (20). The study extended their findings in a (DSS)-induced acute murine colitis treated model. When induced and treated with either UA 10 mg/kg or 20 mg/kg disease activity decreased (20).

UA has demonstrated anti-oxidative activity through the modulation of several pathways. When colorectal cancer Caco-2 cells were treated with UA it resulted in the normalization of antioxidant levels and protection against oxidative damage at 5  $\mu$ M and 10  $\mu$ M and (21).

Furthermore, UA and OA have attenuated H<sub>2</sub>O<sub>2</sub> and in neuroblastic PC12 cells at 20  $\mu$ M and 40  $\mu$ M (22).

A recent study demonstrates UA's role in epigenetic modulation in which UA increased phosphorylation of SAPK/JNK pathway in human non-small cell lung cancer H1299 and A549 cells *in vitro* at a concentration of 30  $\mu$ M. Further investigations demonstrated UA was able to decrease the expression of SP1 and in turn regulate DNMT1 and EZH2 expression in H1299 and A549 cells (23). UA has also been reported to increase the acetylation of histone H3 and inhibit HDAC activity *in vitro* (24).

UA and OA have been shown to promote the differentiation of glioma, melanoma, and thyroid cancer cell lines, A375, U87, and ARO cell lines respectively, through the inhibition of endogenous reverse transcriptase (RT) at 10, 15, and 20  $\mu$ M (25). Furthermore, UA has been shown to induce the differentiation of HL60, U-937, and THP-1 leukemic cells at 10, 20 and 30  $\mu$ M via the activation of the ERK1/2 MAPK pathway (26). UA inhibits proliferation and induces apoptosis of ovarian epithelial cancer SKOV sphere cells at 12.5–50  $\mu$ g/mL. In addition, UA downregulates the expression of EMT markers including Snail, Slug, Twist, vimentin, N-cadherin and fibronectin. These effects translated *in vivo* in a SKOV3 sphere cell xenograft athymic nude BALB/c-nu mouse model at 60 mg/kg bw (27).

Triterpenoids demonstrate their anti-cancer activities at a concentration range of 5  $\mu$ M to 80  $\mu$ M *in vitro* and 10–250 mg/kg *in vivo*. The variations in concentrations can be attributed to pharmacological effects related to cell line, assay system, animal model and source of compounds. While there are less than a handful of clinical studies evaluating UA in humans, similar doses to those evaluated in *in vivo* models have shown efficacy in human. A clinical study evaluating the effect of 150 mg of UA given orally once a day for 12 weeks on metabolic syndrome, insulin sensitivity, and inflammation lead to a transient remission in 50% of patients (28). Another study evaluating UA at 50.94 mg for use in sarcopenia demonstrated a significant increase in the right-handgrip of female subjects in comparison to the control group (29). Overall, triterpenoids hold great promise in the area of chemoprevention and as such are being synthetically modified in order to increase potency *in vivo* and will be the topic of discussion in a future review article.

## B. Isothiocyanates (ITCs)

Numerous epidemiological and pharmacological studies suggest a correlation between the consumption of cruciferous vegetables and a reduced cancer risk in humans (30, 31). Over 200 naturally-occurring glucosinolates are found in cruciferous vegetables (32), which consist of a  $\beta$ -D-thioglucose group, a sulfonated oxime group, and a side chain derived from methionine, phenylalanine, tryptophane, or branch-chained amino acids (33). Interestingly, the chemopreventive effects are mostly attributed to the isothiocyanate (ITC)-containing compounds rather than their glucosinolate precursors. ITCs, converted by myrosinase mediated hydrolysis from glucosinolate, are characterized by the sulfur containing N=C=S functional group with a wide structural diversity. Allyl isothiocyanate (AITC) from cabbage, mustard, and horseradish; benzyl isothiocyanate (BITC) and phenethyl isothiocyanate (PEITC) from watercress and garden cress; and sulforaphane (SFN) from broccoli, cauliflower, and brassicas have been mostly studied against a variety of human malignancies

(34). In cell culture models, micromolar concentrations of ITCs have shown potent anti-cancer effects through different mechanisms *in vitro* (35, 36). Several pharmacokinetic studies have provided evidence that the concentration range is achievable *in vivo*. For example, in a pharmacokinetics study of PEITC in rats, it demonstrated that plasma concentration of PEITC could reach 9.2 and 42.1  $\mu\text{M}$  after an oral dose of 10 and 100  $\mu\text{mol/kg}$  body weight in rats (37). Interestingly, it was also found that PEITC was highly bound to serum protein bound in the rats with the protein binding ratio around 98.1% and was not concentration-dependent. The high plasma concentration was due to the high oral bioavailability, which was 115 and 93% at doses of 10 and 100  $\mu\text{mol/kg}$  (37). Compared to PEITC, SFN is relative less associated with protein binding and the binding ratio did not increase with time (38). In an *in vitro* study, the initial protein binding by PEITC was almost 3-fold higher than that of SFN. Four hours after incubation, cellular protein binding of PEITC became 6-fold higher than that of SFN (38). In an *in vitro* setting, PEITC also modified bovine serum albumin (BSA) covalently to a greater extent than SFN occurring exclusively at cysteine residue (38). Oral administration of 50  $\mu\text{mol}$  SFN in rats resulted in a peak plasma concentration of 20  $\mu\text{M}$  at 4 h (39). In a chemopreventive study using the ApcMin/+ mouse model, SFN inhibited adenoma formation with a steady-state concentration of 3–13 nmol/g (roughly equivalent to 3–10  $\mu\text{M}$ ) in the gastrointestinal tract (40).

In clinical studies, there are several reports showing that the ITCs could potentially impact in the prevention of cancer. After receiving 1 week of PEITC treatment (10 mg in 1 mL of olive oil, 4 times per day), tobacco carcinogen 4-(methylnitrosamino)-1-(3-pyridyl)-1-butanone (NNK) metabolic activation ratio was reduced by 7.7% in a clinical trial containing 82 smokers, which suggests that PEITC could be a potent inhibitor of lung carcinogenesis in smokers (41). In a double-blinded, randomized, placebo-controlled clinical trial, 78 senior patients with increasing PSA levels after radical prostatectomy were given 60 mg sulforaphane 3 times daily for 6 months, a much lower plasma PSA level were found in the sulforaphane treated group, which potentially suggests a promising treatment in recurrence of prostate cancer after prostatectomy (42). A randomized controlled clinical study consisting of 54 women subjects revealed a mean 81.7 g/d intake of cruciferous vegetable, enriching of SFN, for over 4 years (August 2009 to December 2013) was associated with a lower level of Ki-67, a cellular marker for proliferation, in breast ductal carcinoma *in situ* tissue, which strengthen the correlation of cruciferous vegetable consumption and lowering breast cancer risk (43).

Next, we will discuss the potential molecular targets for ITCs mediated anti-cancer activity. The chemopreventive effect of ITCs is considered to be associated with their ability to induce the expression of phase II drug metabolism/detoxifying enzymes. It has been extensively documented that SFN exerts potent activation of phase II/antioxidative gene expression in both *in vitro* and *in vivo* studies (44). In rats, 40  $\mu\text{mol/kg/day}$  SFN treatments were found to increase GST and NQO1 activities in the duodenum, forestomach, and bladder tissues (45). In hepatocytes, SFN induced UGT1A1 and GSTA1 mRNA expression and protected cells against the 2-amino-1-methyl-6-phenylimidazo[4,5-b]pyridine (PhIP, commonly found in cooked meat and considered as risk factors for cancer)-DNA adduct formation (46). Similarly, PEITC was found to induce hepatic phase II enzymes, resulting in

decreased PhIP-DNA adduct levels in rat tissues (47). In mice, 12 h after an oral dose of PEITC, upregulation of several GST isozymes in the liver were identified using a microarray approach (48). Markedly, a number of studies on ITCs suggest that the induction of phase II/antioxidant enzymes is NF-E2-related factor-2 (Nrf2) dependent (48–50).

Mechanistic studies demonstrated that ITCs activate the Nrf2 pathway by modifying Nrf2-Keap1 interactions. Using a liquid-tandem mass spectrometry approach, Hong et al. provided evidence that SFN can directly react with the thiol groups of Keap1. The formation of SFN-Keap1 thionoacyl adducts releases Nrf2 from the Nrf2-Keap1-Cul3 degradation complex; this stabilization of cellular Nrf2 consequently results in Nrf2 nuclear translocation and activation (51). On the other hand, PEITC may induce the Nrf2/ARE signal through a different mechanism potentially mediated by mitogen-activated protein kinases (MAPKs). It has been reported that PEITC induces ARE activity through the attenuation of c-Jun N-terminal kinase-1 (JNK1) and extracellular signal-regulated kinase (ERK) inhibitors (50). In the same study, *in vitro* kinase assays showed that JNK1 and ERK2 directly phosphorylate Nrf2 protein. Collectively, PEITC increased the phosphorylation of ERK1/2 and JNK1/2 in cells, which, in turn, caused phosphorylation of Nrf2 and subsequent release from Keap1 binding, and resulted in translocation activation of the Nrf2/ARE pathway. To note, Nrf2-deficient mice have shown increased susceptibility in carcinogenesis models and less effective towards preventive treatment (52–54). Therefore, transcriptional induction of Nrf2/ARE mediated phase II enzymes would be considered as an important mechanism for the chemopreventive effects of ITCs.

Inactivation of the NF- $\kappa$ B pathway by ITCs is another important mechanism that can contribute to their anti-cancer activities. Experimental evidence suggests that ITCs stabilize I $\kappa$ B by inhibiting its phosphorylation and degradation, resulting in a reduction in nuclear translocation of p65 (a subunit of NF- $\kappa$ B) and NF- $\kappa$ B activation. In PC-3 cells, both SFN (20 and 30  $\mu$ M) and PEITC (5 and 7.5  $\mu$ M) strongly inhibited nuclear translocation of p65, with the concomitant decreased expression of NF- $\kappa$ B regulated genes such as Bcl-XL, cyclin D1, and vascular endothelial growth factor (VEGF) (55). Correspondingly, PEITC and SFN were found to inhibit lipopolysaccharide (LPS)-induced NF $\kappa$ B luciferase activity in human colorectal cancer HT-29 cells, which was also mediated through the inhibition of I $\kappa$ K $\beta$  phosphorylation (56). In addition, SFN was proposed to interact with glutathione and other redox regulators like Ref-1 and thioredoxin, which in turn indirectly impairs the NF $\kappa$ B-DNA binding ability (57). Another study by Heiss et al suggested SFN directly interacts with Cys residues of NF $\kappa$ B subunits by forming dithiocarbamate, which results in decreased DNA binding abilities (58). Collectively, these findings indicate that redox modulation and thiol reactivity play certain roles in regulating NF $\kappa$ B-dependent transcription by SFN. Interestingly, studies on the crosstalk between Nrf2 and NF $\kappa$ B signaling have shown that Nrf2 downstream targets may inhibit of NF $\kappa$ B nuclear translocation (59, 60). Accordingly, pre-treatment of SFN (25 mg/kg per day) mitigated dextran sodium sulphate (DSS)-induced acute colitis *in vivo*, while increased expression of Nrf2-dependent genes and reduced expression of inflammatory were observed in colon tissues (61). Similarly, SFN restored the number of sunburn cells to basal levels in Nrf2 WT but not Nrf2 knockout (KO) mice after UV irradiation. The inflammatory markers were lower in SFN treated Nrf2 WT tissues compared to Nrf2 KO tissues (62). These results



suggest activation of Nrf2 by SFN can, in part, contribute to the suppression of proinflammatory signaling pathways.

Given that epigenetics lies on the molecular interface between genetics and environmental factors, there is a growing interest in evaluating the potential of dietary phytochemicals to block or reverse epigenetic abnormalities in cancer development. In a recent study, Wong et al. reported the effects of SFN on promoter DNA methylation profiles in prostate epithelial cells (PrEC), androgen-dependent (LNCaP) and androgen-independent (PC-3) prostate cancer cells (63). SFN treatment was found to decrease the DNMT levels in all the tested cell lines. Although SFN showed complex effects on genome-wide DNA methylation patterns among normal prostate epithelial and prostate cancer cells, the genes of altered methylation status were functionally similar within a single cell line (e.g. cell migration, cell adhesion etc.). In various *in vitro* and *in vivo* studies, SFN or PEITC treatments appeared to down-regulate DNMT activity, thereby resulting in promoter demethylation of epigenetically silenced genes, with the concomitant change of gene expressions (reviewed by (64, 65)). Interestingly, DNA demethylation in a promoter region is often found to be associated with local relaxing of histone structure, although the precise mechanism remains to be elucidated. For example, in mouse prostate cancer TRAMP-C1 cells, SFN (1.0 and 2.5  $\mu\text{M}$ ) restored the epigenetically suppressed Nrf2 levels by reversing the hypermethylation status of the Nrf2 promoter region via inhibition of DNMT activities, as well as HDACs (66). In mouse epidermal JB6 P+ cells, this change in methylation pattern by SFN is associated with increased Nrf2 level and a phenotype more resistant to 12-O-tetradecanoylphorbol-13-acetate (TPA)-induced neoplastic transformation (67). On the other hand, HDACs are often upregulated in cancers therefore HDAC inhibition is considered as an important strategy in cancer prevention and therapy. Molecular docking experiments have shown the metabolite of SFN and several structural related ITCs directly interact with the HDAC catalytic core to inhibit the enzyme activity (68). In a clinical study, a single dose of 68 g of broccoli sprouts (containing ~105 mg of SFN) inhibited HDAC activity significantly in peripheral blood mononuclear cells (PBMC) 3 and 6 hours post consumption (69). Incubation of BPH-1, LNCaP, and PC3 prostate cancer cells with 15  $\mu\text{M}$  SFN significantly reduces HDAC expression by 30–40%, which is accompanied by a 50–100% increase in the acetylation of histones, as well as G2/M arrest of cell development and induction of apoptosis in a caspase-dependent manner (70).

Epigenetic upregulation of p21 gene expression by PEITC was found to be associated with chromatin remodeling, which compromises dynamic changes in both histone acetylation and methylation (71). To note, PEITC also exhibits the dual functions of CpG demethylation, HDAC inhibition and epigenetic regulation of various genes (72, 73). Last but not least, the anticancer effects of ITCs may be partially attributed to their ability to regulated miRNA. Using an oligonucleotide approach, we identified top altered miRNAs upon 2.5  $\mu\text{M}$  PEITC treatment in prostate cancer cells. Among them, miR-194 was a primary target of PEITC which was able to suppress cell invasion (74).

ITCs have been shown to exert cytoprotection via the activation of Phase II enzymes within the Nrf2 pathway. Rat aortic smooth muscle cells treated with SFN at 0.25–5  $\mu\text{M}$ , resulted in the increase of phase 2 antioxidant enzymes in a concentration-dependent manner.

Furthermore, when pre-treated with SFN (0.5, 1, and 5  $\mu\text{M}$ ), the cells were protected from oxidative and electrophilic cytotoxicity induced by xanthine oxidase (75). Incubation with SFN, BITC, and PEITC (0–10  $\mu\text{M}$ ) protected against oxLDL-induced endothelial damage in a dose-dependent manner through the induction of Nrf2's target gene HO-1. In addition, the expression of NF- $\kappa\text{B}$ , ICAM-1, VCAM-1, and E-selectin were decreased (76). Human peripheral blood mononuclear cells (PBMC) treated with PEITC (1–10  $\mu\text{M}$ ) for 24 h, increased the detoxification enzymes GPX1 (3.7-fold increase by 1  $\mu\text{M}$  PEITC treatment) and SOD2 (7.3-fold increase by 10  $\mu\text{M}$  PEITC treatment) (77).

Furthermore, SFN inhibited breast CSCs at concentrations 1–5  $\mu\text{M}$  *in vitro* which is much lower than the concentration needed to induce apoptosis (78). In an *in vivo* xenograft model, where 5-week-old female NOD/SCID mice with a xenograft of SUM159 cells received daily injections of 50 mg/kg SFN for 2 weeks, breast CSCs were found to be inhibited mainly due to the down-regulation of Wnt/ $\beta$ -catenin self-renewal pathway (79). SFN is also effective in the treatment of leukemia by enhancing the differentiation of leukemic cells. When human promyelocytic leukemia cells were treated with 0.2–100  $\mu\text{M}$  SFN, SFN induced differentiation in the leukemic cells to granulocytic and macrophagic lineages. This process was mediated mainly through PKC (80).

GATA-3 is a marker for luminal progenitor cell differentiation and can actively promote the differentiation of cancer cells (81, 82). When PyMT transgenic mice were treated with PEITC (8 mmol/kg bw), the progression of tumor size was delayed and there were smaller tumors compared to the control. These findings were accompanied by a low expression of ER $\alpha$ , FOXA1 and GATA-3 (83). PEITC also inhibited CSC growth *in vitro* (84). PEITC transformed LNCaP floating spheres into prostate cancer stem cells (PCSC) due to the enhancement of H3K4 acetylation, the inhibition of DNMT1 and activation of GSTP1. After androgen deprivation, the PCSCs differentiate into neuroendocrine cells with decreased proliferation, expression of the androgen receptor, and PSA (85)

Extensive studies have shown ITCs are able to inhibit the growth of cancer cells via arresting the cell cycle through the regulation of cell cycle proteins, cyclin-dependent kinase activity, tubulin polymerization and histone acetylation, and the induction of apoptosis (86). PEITC induces extrinsic apoptosis pathway through stimulating death receptors and Fas (87, 88) and the intrinsic apoptosis pathway by regulating BCL2, BID and BAX (89–91). In addition, PEITC can induce G0/G1 arrest via p53 and G2/M cell arrest in a p53 independent manner (90, 92).

PEITC (0 to 10  $\mu\text{M}$ ) significantly inhibited human laryngeal carcinoma Hep-2 cell growth and enhanced apoptosis with G2/M cell cycle arrest in a dose- and time-dependent manner while no effect was observed in the growth of normal human bronchial epithelial cells (93). Treating human non-small cell lung cancer L9981 cells with BITC (7.5 and 10  $\mu\text{M}$ ) and PEITC (12.5 and 20  $\mu\text{M}$ ) resulted in apoptosis through the stimulation of caspase-3 and cell cycle arrest at the G2/M phase via cyclin B1 regulation (94). BITC and PEITC also inhibited the growth of lung cancer L9981 cells with IC50 5.0 and 9.7  $\mu\text{M}$  respectively by suppressing Akt and NF-KB, enhancing ROS production, and reducing GSH (95).



SFN can inhibit the growth of cancer cells by causing cell cycle arrest and apoptosis induction. SFN can stimulate the intrinsic apoptosis pathway by activating BCL-2 and suppressing inhibitors of apoptotic proteins (IAPs) (96). Treating DU145 and PC-3 prostate cancer cells with SFN (10, 20, and 40  $\mu\text{M}$ ) enhanced cytochrome c levels by producing more ROS leading to apoptosis (97). Additionally, in human bladder cancer T24 cells, SFN arrested the cell cycle in G0/G1 phase via the p27 pathway (98). It also induced G2/M cell cycle arrest by stimulating p21 pathway and suppressing Cdc2/Cyclin B1 (99, 100).

SFN can inhibit cell growth and induce apoptosis in a dose dependent manner. Incubation of A549 cells treated with 30  $\mu\text{M}$  SFN induced G2/M arrest via p21 pathway (101). Upon treating Caco-2 cells with various concentrations of SFN, 25  $\mu\text{M}$  SFN had the greatest effect on enhancing UGT1A expression via Nrf2 pathway, while 75  $\mu\text{M}$  SFN induced G1/G2 arrest and apoptosis via decreasing bcl-2 level and enhancing bax (102). When treating colorectal cancer (CRC), higher concentrations of SFN (12.5 and 25  $\mu\text{M}$ ) produced apoptosis through decreasing caspase-3 and increasing caspase-2, -3, -8, and -9. The low dose SFN generated a mitotic delay (103). SFN inhibited the growth and induced apoptosis in a dose- and time-dependent manner in MDA-MB-231 human breast cancer cells, whereby 30  $\mu\text{M}$  SFN induced apoptosis by increasing caspase-3 and reducing BCL-2. Furthermore, it induced S and G2/M cell-cycle arrest by upregulating p21<sup>WAF1</sup> and p27<sup>KIP1</sup> expression and down-regulating cyclin A, cyclin B1 and CDC2 levels (104).

In summary, for ITCs, in the context of *in vitro* cell line dose response, it appears it is dependent on the cell line, biomarker measured, and the chemical structure of the ITC, among others. Nevertheless, there is a dose-dependency of dose response. For instance, in human hepatoma cell line HepG2-C8 expressing the ARE-luciferase reporter, SFN increases ARE activity at concentrations up to 35  $\mu\text{M}$  (105). Beyond 35  $\mu\text{M}$ , ARE activity decreases due to cellular toxicity. This higher dose-dependent cellular toxicity could be blocked by adding exogenous glutathione (GSH). Interestingly, at lower doses of SFN, GSH attenuated ARE activity, however, at higher dose level, GSH enhances ARE activity, due to blockade of caspase 3 activation and apoptosis. These dose-dependency effects of SFN are quite similar to phenolic antioxidants butylated hydroxyanisole (BHA) and its metabolite tert-butylhydroquinone (tBHQ) (106, 107), although SFN in general is more potent by about one order of magnitude. From the above discussion, in our experience, it appears that SFN would activate epigenetic events in low micromolar concentrations, then it would activate Nrf2 signaling in low tenths micromolar and activation of caspases/apoptosis around fifty-one hundred micromolar concentrations. We have also reviewed this dose-dependency effects previously (108).

### C. Curcumin

Polyphenols are a group of compounds that have at least one aromatic ring with one or more hydroxyl functional groups attached (109). Natural polyphenols, which are widely present in foods and beverages from plant origin (110), are another category of phytochemicals that have been extensively studied for their health beneficial effects in many diseases, including cancer. It is well accepted that their potent antioxidant and anti-inflammatory activities largely contribute to their anticancer efficacy. In addition, experimental evidence suggests



Curcumin is a traditional remedy for inflammatory diseases (120). The anti-inflammatory effects of curcumin have been postulated on the basis of a number of *in vitro* and *in vivo* studies (121, 122). Curcumin dose-dependently increased the number of pre-apoptotic and apoptotic cells in phorbol myristate acetate (123) stimulated human neutrophilic granulocytes (124). The application of curcumin significantly inhibited the activity of neutrophilic granulocytes in a rat model of arthritis (an inflammatory arthropathy), which confirmed the antiinflammatory properties of curcumin *in vivo*. Moreover, a curcumin injection given to mice prior to an intraperitoneal LPS administration led to an inhibition of LPS-induced increased MCP-1 (monocyte chemoattractant protein 1) mRNA levels (125). LPS-induced mRNA and protein levels of MCP-1 and interleukin-8 (IL-8) were reduced by curcumin treatment in human renal epithelial cells HK-2. Furthermore, curcumin prevented LPS-induced NF- $\kappa$ B DNA binding (125).

The cytoprotective effect of curcumin has been well studied. 20  $\mu$ M curcumin has been reported to protect human proximal tubule HK-2 cells from apoptosis and necrosis induced by Shiga toxin (126). Interestingly, the protective effect of curcumin against stx1 and stx2-induced injury on HK-2 cells is not related to its anti-oxidative properties. Curcumin can attenuate palmitate-induced apoptosis in MIN6 pancreatic  $\beta$ -cells through PI3K/Akt/FoxO1 and mitochondrial survival pathways (127). In this study, 10  $\mu$ M curcumin improved cell viability and enhanced glucose-induced insulin secretory function. Curcumin treatment neutralizes ROS generated by palmitate induction. The epithelial-to-mesenchymal transition (EMT) of mature tubular epithelial cells in kidney is considered to contribute to the renal accumulation of matrix proteins associated with diabetic nephropathy. Studies suggest 20  $\mu$ M of curcumin protects renal tubular epithelial cells from high glucose-induced EMT through Nrf2-mediated upregulation of HO-1 (128). Alinejad et al. demonstrated a combination of safranal, thymoquinone and 50  $\mu$ g/mL of curcumin can block glucose/serum deprivation (GSD)-induced cell death and has the potential to be used for management of cerebral ischemia and neurodegenerative diseases (129). Theracurcumin is a highly bioavailable curcumin analog. It has been found that 10  $\mu$ M of both theracurcumin and curcumin may have potential protective effects against sodium nitroprusside-induced cytotoxicity by free radical-scavenging and iron-chelating activities (130). Curcumin modulates peroxisome proliferator-activated receptor- $\gamma$  signaling, which is a key molecule in the etiology of bronchopulmonary dysplasia (BSD). *In vivo* studies showed curcumin, when given daily at 5 mg/kg bw intraperitoneally, effectively protected against short-term and long-term hyperoxia-induced lung injury. Curcumin prevented hyperoxia-induced increases in cleaved caspase-3 and the phosphorylation of Erk1/2. Molecular effects of curcumin, both structural and cytoprotective, suggest that its actions against hyperoxia-induced lung injury are mediated via Erk1/2 activation and that it is a potential intervention against bronchopulmonary dysplasia (BPD) (131).

Curcumin has been found to alter the differentiation of many different cells. *In vitro* studies have shown that 0.5  $\mu$ M curcumin increases the differentiation rate of neurons in neural stem cells via Wnt signaling pathway (132). It's also reported curcumin can enhance EB directed differentiation of H-9 human embryonic stem cells (hESCs). 10  $\mu$ M of curcumin significantly increased gene expression of cardiac specific transcription factor NKx2.5, cardiac troponin I, myosin heavy chain, and endothelial nitric oxide synthase during ES cell

differentiation through modulation of the nitric oxide-cyclic GMP pathway (133). Myeloid-derived suppressor cells (MDSC) accumulate in the spleen and contribute to tumor growth, angiogenesis, and progression. Curcumin treatment inhibited cell proliferation and colony formation of cancer cells and decreased the secretion of murine IL-6 by MDSCs in a co-culture system. In addition, polarized MDSCs toward a M1-like phenotype with an increased expression of CCR7 and decreased expression of dectin 1 (134). Also, 20  $\mu\text{M}$  curcumin inhibited differentiation of adipocytes and cardiac fibroblasts. Adipocyte differentiation is a key process in determining the number of mature adipocytes in the development of obesity. Curcumin has been reported to have an anti-adipogenic function both in 3T3-L1 murine cells and in human primary preadipocytes (135). The differentiation of cardiac fibroblasts (CFs) into myofibroblasts and the subsequent deposition of the extracellular matrix is associated with myocardial fibrosis following various types of myocardial injury. Treatment with 20  $\mu\text{M}$  curcumin effectively suppressed TGF- $\beta$ 1-induced CF differentiation via Smad-2 and p38 signaling pathways. These findings suggest curcumin may be a potential therapeutic agent for the treatment of cardiac fibrosis (136, 137).

Studies in our laboratory suggest that curcumin increases activity of activator protein (AP-1)-luciferase in a concentration-dependent manner at 1–25  $\mu\text{M}$  in HT-29 cells transfected with an AP-1- luciferase reporter gene. The protein expression of endogenous cyclin D1, a gene that is in downstream of AP-1, increased with 10  $\mu\text{M}$  curcumin treatment (138). Additionally, we found 10 and 50  $\mu\text{M}$  curcumin inhibited LPS-induced NF- $\kappa$  B-luciferase activity in HT-29 cells stably transfected with a NF- $\kappa$  B-luciferase construct (126). We found 2.5 and 5  $\mu\text{M}$  curcumin inhibited colony formation of HT-29 cells, whereas, inhibition of colony formation failed in stable knockdown of deleted in lung and esophageal cancer 1 (DLEC1) cells. Furthermore, we observed 5  $\mu\text{M}$  curcumin up-regulated the mRNA expression of DLEC1 and decreased CpG methylation of the DLEC1 promoter in HT-29 cells. We further discovered 5  $\mu\text{M}$  curcumin down-regulated protein expression of DNA methyltransferases and subtypes of histone deacetylases, such as HDAC4, 5, 6 and 8 (139).

Furthermore, treatment with 50  $\mu\text{M}$  curcumin induced apoptosis in colon, leukemia, breast, hepatocellular and ovarian carcinoma cell lines. However, curcumin failed to display cytotoxicity in cell lines established from lung, kidney, cervix, prostate and CNS malignancies. The mechanism of curcumin-mediated apoptosis was determined to be related to the generation of ROS. The addition of N-acetyl cysteine (109), a ROS scavenger, during curcumin treatment resulted in the disappearance of apoptosis. Additionally, curcumin's failure to exhibit cell death in some cell lines is due to the overexpression of Hsp70 in the cells which protect cells from apoptosis (140). Several studies investigated the relationship of ROS level-effect and apoptosis-induction of curcumin. Different dosage effects of curcumin on cell death types in a human osteoblast cell line were explored. Curcumin at concentrations lower than 25  $\mu\text{M}$  caused apoptosis in human osteoblasts HFOb 1.19 cells, through the activation of JNK and cleavage of caspase-3, PARP and PAK2. However, 50–200  $\mu\text{M}$  curcumin induced necrotic cell death instead of apoptosis in human osteoblasts. In addition, 12.5–25  $\mu\text{M}$  curcumin directly increased oxidative stress demonstrated by the use of the cell permeable dye 2', 7'-dichlorofluorescein diacetate (DCF-DA), an indicator for intracellular ROS, nevertheless, 50–200  $\mu\text{M}$  curcumin had much less activity. Moreover, NAC or  $\alpha$ -tocopherol (ROS scavengers) pretreatment significantly decreased intracellular

ROS levels and 12.5–25  $\mu\text{M}$  curcumin-induced apoptosis to necrosis. Pretreatment with antimycin or 2-deoxyglucose reverted apoptosis induced by 12.5–25  $\mu\text{M}$  curcumin to necrosis which could induce ATP (a mediator of apoptosis versus necrotic cell death) depletion (141). Although curcumin caused cell death of HL-60 cells in a concentration- and time-dependent manner, its effects on ROS production differed with fluctuations in concentration. Curcumin at less than 25  $\mu\text{M}$  decreased ROS production, while 50–100  $\mu\text{M}$  enhanced ROS generation. Furthermore, the addition of antioxidant agents, ascorbic acid (ASA), NAC and glutathione (GSH), promoted the antioxidant and anti-cancer activities of curcumin at low concentrations (142). These studies were consistent with reports curcumin at low concentrations (<10M) prevents GSH depletion and higher concentrations decrease GSH levels (143). Proteasome inhibitors have been reported to cause apoptosis in cancer cells (18). Curcumin has been shown to demonstrate biphasic dose-response proteasome activity in human keratinocytes, specifically, 0.3  $\mu\text{M}$  and 1.0  $\mu\text{M}$  curcumin increased proteasome activity by 34% and 46%, respectively. However, curcumin at higher concentrations of 3 and 10  $\mu\text{M}$  decreased proteasome activity by 32% and 46%, respectively (144). It was suggested the biphasic dose-response is through a homeostasis mechanism, in which a low dose of agents stimulates signaling pathways to protect the organism, whereas a high dose displays an inhibitory effect (145) (146). A similar phenomenon has been observed for many natural compounds, such as resveratrol (147) (22), berberine (148), clove and cinnamon essential oils (149).

In a study where dose-dependent differences on DNA-damage and the p53 response of quercetin and curcumin, whose chemical structures are similar, in HT1080 cells (a human cell line with wild-type p53), 8  $\mu\text{M}$  curcumin significantly increased the expression of phosphorylated H2AX, a biomarker of DNA damage, while as much as 20  $\mu\text{M}$ , quercetin could displayed similar activities. Curcumin (4 and 7  $\mu\text{M}$ , respectively) increased the protein expression of p53 and p-p53 (ser15) at lower concentrations than quercetin (30 and 20  $\mu\text{M}$ , respectively). It was suggested even with similar chemical structures, the two natural compounds displayed different effects on DNA-damage response patterns in terms of dose and cell fate (150)

Curcumin is recognized as an epigenetic modulator and plays a major role in the prevention of disease. Studies in our laboratory demonstrated that 10  $\mu\text{M}$  curcumin prevents prostate cancer progression via CpG demethylation in the promoter region of *Nrf2* in TRAMP-C1 cells (151). In HT-29 cells, curcumin inhibited anchorage-independent growth by decreasing CpG methylation of the promoter region of the tumor suppressor gene (152). After treatment with curcumin (2.5 and 5.0  $\mu\text{M}$ ) for 5 days, protein levels of DNMT1, DNMT3b, HDAC4, HDAC5, HDAC6, and HDAC8 decreased (139). In human prostate LNCaP cells, curcumin treatment decreased the methylation of CpG islands of *Neurogl* as well as the binding ability of methyl-CpG binding protein 2 (MeCP2). The expression of HDAC1, 4, 5, and 8 increased whereas the expression of HDAC3 and the total HDAC activity decreased upon 2.5 $\mu\text{M}$  curcumin treatment. ChIP analysis showed curcumin decreased the enrichment of H3K27Me3 in the *Neurogl* promoter region (153). In breast cancer cell lines MCF7 and MDA MB 231, *DNMT* (i.e., *DNMT1*, *DNMT3a*, and *DNMT3b*) transcript levels and the protein levels of DNMT1, HDAC1, and MeCP2 decreased after treatment with 10  $\mu\text{M}$  curcumin (154). In addition to curcumin, the curcumin analogue FN1 and liposomal-

formulated curcumin (lipocure) have been reported to have a protective effect on the development of disease (155, 156). Our previous work demonstrates FN1 is more potent than curcumin in activating the Nrf2-ARE pathway and inducing expression of Nrf2 and its downstream detoxifying enzymes. Not surprisingly, FN1 inhibited colony formation of prostate TRAMP C1 cells by decreasing the expression of Keap1 and CpG hypomethylation of the Nrf2 promoter (155). In a Park 7 (DJ-1)-knockout rat model of Parkinson's disease, lipocure was found to improve the motor impairment and prevent neuronal apoptosis by targeting HDACs (156). Approximately 20–40% miRNAs are located close to CpGs and are suppressed by epigenetic mechanisms (157). Epigenetic compounds can induce upregulation of some miRNAs through reducing the percentage of CpG methylation of the promoters of miRNAs. An example is miR-203, a miRNA downregulated in bladder cancer. Restoration of miR-203 expression reduced cell viability, invasiveness and migration, and increased the number of cells in the G0-G1 phase of the cell cycle through Akt2 and Src signaling. Curcumin treatment (10  $\mu\text{mol/L}$ ) induced demethylation of miR-203 promoter and subsequent augmentation of miR-203 expression (158).

### III. Pathways targeted in cancer chemoprevention

As discussed, triterpenoids, ITCs and curcumin exude their chemopreventive properties through a variety of signaling pathways Figure 3. These pathways are explored in greater details in this section.

#### A. Nrf2-mediated ARE signaling

The anti-oxidant stress defense system is responsible for the direct inactivation or conjugation of reactive oxygen species (ROS) and reactive nitrogen species (RNS). ROS/RNS into less deleterious molecules. In response to reactive species the cell has implemented an antioxidant defense system encompassing both enzymatic and nonenzymatic mechanisms (159). The enzymatic system includes superoxide dismutases (SODs), catalase, and glutathione peroxidases (GPxs). These enzymes directly inactivate ROS/RNS. In addition to the direct inactivation of ROS/RNS, there are other antioxidant enzymes that facilitate the detoxification of ROS/RNS using reduction/conjugation reactions and the recycling of thiols. The enzymatic soluble products of these reactions are easily excreted. These enzymes include phase II enzymes (e.g. NAD(P)H: quinone oxidoreductase, NQO-1; glutathione S-transferases, GST; UDP-glucuronosyl transferases, UGT; among others). These enzymes play an important role in this protective machinery as detoxifying enzymes that conjugate endogenous polar molecules to the phase I metabolites, thereby facilitating xenobiotics (including carcinogens) elimination and excretion (126). Activation of these cytoprotective enzymes is important for maintaining cellular homeostasis towards environmental challenges. Activation of the genes encoding these enzymes and proteins are regulated in large part by the transcription factor nuclear factor erythroid 2-related factor 2 (Nrf2), a member of the NF-E2 family of nuclear basic leucine zipper (bZIP) transcription factors. Nuclear factor erythroid 2-related factor 2 (Nrf2), a member of the NF-E2 family of nuclear basic leucine zipper (bZIP) transcription factors, is a master regulator controls the expression of phase II/antioxidant enzymes. Under normal conditions, Nrf2 is bound to Kelch-like erythroid cell-derived protein with CNC homology (82)-associated protein 1



(Keap1). Keap1, an adaptor protein for a Cullin 3 (Cul3)-based ubiquitin E3 ligase, sequesters Nrf2 in the cytosol and ensures its degradation by the proteasome. Upon oxidative stress, Nrf2 is released by Keap1 and translocates to the nucleus where it heterodimerizes with Maf and binds the ARE/EpRE of the antioxidant defense system genes. Unsurprisingly, Nrf2 has been shown to play an essential role in the protection of carcinogenic events and Nrf2 KO mice are susceptible to the initiation, promotion, and progression of cancer (160). As the master regulator of the antioxidant response, unsurprisingly, the induction of Nrf2 has become an attractive target in chemoprevention.

## B. Anti-inflammation

Oxidative stress occurs when there is an imbalance between the anti-oxidant defense system and the production of ROS/RNS (161, 162). The general terms ROS and RNS are given to the reactive species generated from the interaction of free radicals such as superoxide anion, hydroxyl radical, and nitric oxide with metals, oxidants, and reductants found in cells. ROS/RNS are important cellular messengers involved in a number of physiological processes: cellular respiration, immune response, ion transport, apoptosis, neuromodulation, and transcription (163). While important secondary messengers, the activities of ROS/RNS can exhibit a double-edged sword. In addition to endogenous production, exogenous production of ROS/RNS can be initiated through UV radiation, environmental pollutants, lipid peroxidation, and inflammatory cytokines (164). The anti-oxidant stress defense system is responsible for the direct inactivation or conjugation of ROS/RNS into less deleterious molecules. An excess of ROS/RNS can cause an imbalance in the system and induce oxidative stress; a hallmark of a number of neurodegenerative diseases and, of most importance in this review, cancer (165, 166). The excess oxidative stress results in the induction of the NFK-B signaling cascade and thus, activation of cytokines and the production of acute inflammation. Nuclear factor kappa B is a transcription factor lays on the molecular node linking inflammation, cell survival, and cancer progression signals (167). is normally sequestered by its cellular suppressor in the cytosol. Upon activation, I $\kappa$ K phosphorylates I $\kappa$ B consequently leads to the degradation of I $\kappa$ B, accompanied with release and nuclear translocation of A considerable number of target pro-inflammatory genes have been shown to be involved in cancer development, including various cytokines, chemokines, cyclooxygenase-2 (COX-2), and inducible nitric oxide synthase (iNOS) among others. The inability of the cells to eliminate the exogenous culprits results in chronic inflammation. The immune cells involved in the inflammation cascade continuously use ROS/RNS as a means to recruit more immune cells. The excess production can lead to the mutagenesis of oncogenes and tumor suppressing genes and, ultimately, the initiation of cancer.

## C. Epigenetic modulation

The term epigenetics is defined as heritable changes in gene expression without changes in the integrity of the DNA sequence (168). Recently, numerous evidences have shown that initiation and progression of carcinogenesis involves aberrant epigenetic alterations. Unlike genetic mutations, changes on the epigenetic level are considered reversible. Given that epigenetics lies on the molecular interface between genetics and environmental factors, there is a growing interest in evaluating the potential of dietary phytochemicals that blocks or reverses the epigenetic abnormality in cancer development. Epigenetic alterations encompass

DNA methylation, histone modifications, and microRNA (mRNA) expression changes. Epigenetic alterations such as DNA methylation and histone modifications have been shown to contribute to the development and progression of cancer (169). DNA methylation is the mostly characterized epigenetic event in many cancers (138), which occurs at the 5' position of the cytosine residues within CG dinucleotides through addition of a methyl group by DNA methyltransferases (DNMTs). CpG dinucleotides tend to be grouped in regions known as CpG islands in the promoters of genes. In normal cells, the majority of CpG islands remain unmethylated leaving an open structure for the transcriptional machinery to bind and induce expression. In cancer cells, certain areas of the promoter region of tumor suppressor genes are hypermethylated leading to the silencing of the tumor suppressor genes (170, 171). In addition, DNA methylation can also serve as a binding site for proteins such as methyl CpG binding domain proteins (MBDs) and methyl CpG binding protein 2 (MeCP2). These proteins can interact with a co-repressor complex to repress transcription. The co-repressor complex includes proteins such as histone deacetylases (HDACs) involved in the modification of histones. Histone modification is tightly associated with DNA methylation. Histone modifications play an important role in chromatin structure. Chromatin is a densely packed macromolecular complex composed of histones, DNA, and nonhistone proteins. Chromatin serves to package a large amount of material into the nucleus of a cell and to influence DNA replication. Histones play an essential role in chromatin structure and post-translational modifications of histones regulate gene expression. Some of these modifications include acetylation, methylation, phosphorylation, ubiquitination, and sumoylation (159). These modifications typically occur at serine, lysine, and arginine residues of N-terminal histone tails. The enzymes responsible for these modifications include histone acetyltransferases (41), histone methyltransferases (HMTs), histone demethylases (HMTs), and HDACs. The influence of these modifications on chromatin structure can either activate or suppress transcription.

The interplay of DNA methylation with histone modifications, transcription factors, transcriptional coactivators, and DNA binding proteins determines the status of gene transcription (126). Epigenetic modifications such as DNA methylation and histone modifications have been shown to be a hallmark of cancers (172–176). The promoter region of human GSTP1 is hypermethylated in approximately 7–100% of prostate cancer specimens (177–179). Aberrant epigenetic modifications have also been associated with the development and progression of skin cancer (49, 180–182). Thus, targeting the reversal of DNA methylation and histone modifications presents a novel strategy for the prevention and treatment of cancer. The FDA has already approved chemotherapeutics targeting DNMTs and HDACs (183). However, their usage has been limited by adverse events. Targeting epigenetic modifications for the prevention or treatment of cancers using dietary phytochemicals has become increasingly more attractive. Dietary phytochemicals may prevent cancer through epigenetic modifications (184–186).

#### **D. Cancer Stem Cells and Apoptosis**

Stem cells are characterized by their ability to differentiate into a heterogenous population of specialized cells, their ability to self-renew, and their ability to balance selfrenewal and differentiation based on environmental needs (187). Two of the pathways demonstrated to be

involved in stem cell regulation and differentiation include Sonic hedge hog and Notch signaling pathways (188). Similarly, cancer stem cells (CSCs) are able to self-renew and differentiate using common pathways (186). However, CSCs are able to form tumors when implanted into animals (190). For this reason, CSCs are often referred to as tumorigenic cells or tumor initiating cells and are fundamental to the initiation and relapse of many tumor types (189–191) CSCs were first identified in 1997 (192) where CD34+CD38- cells derived from leukemic patients were able to initiate cancer in immunodeficient mice. Currently, cancer stem cells have been identified in a number of cancers including breast and colon (193). Pathways implicated in cancer stem cell renewal include Wnt (194), janus kinase (Jak), bone morphogenic protein (BMP), and octamer-binding transcription (Oct-4) signaling pathways (193). Natural dietary compounds have been shown to regulate CSCs by increasing their sensitivity to chemotherapeutic agents, enhancing their differentiation, and inhibiting their self-renewal signaling (195, 196).

One of the most important processes involved in regulating the proliferation of cells is apoptosis. The process of apoptosis can be divided into intrinsic and extrinsic pathways. The intrinsic pathway is in large part controlled by Bcl-2 family members, while the extrinsic pathway, is mediated by tumor necrosis factor (TNF) family members. The extrinsic pathway is initiated with the respective ligand binding to death receptors such as TNF-related apoptosis-inducing ligand receptor (TRAILR) and FAS. Oligomerization of the receptors leads to the activation of caspase-8 and caspase-10, which cleave caspase-3 and caspase-7 and ultimately leads to apoptosis (197). The intrinsic pathway is activated when stress stimuli induces BCL-2 homology domain 3 (BH3)-only protein activation which leads to BAX and BAK activity and consequently mitochondrial outer membrane permeabilization (MOMP). This results in the release of cytochrome c which interacts with apoptotic protease activating factor 1 (APAF1), which activates caspase-9. Caspase-9 then activates caspase-3 and caspase-7, which leads to apoptosis (197). Cancer cells have developed mechanisms by which apoptosis is evaded through the mutation of essential genes involved in regulation of the process. A number of phytochemicals have been shown to induce apoptosis in cancer cells/in vivo models (Table I).

#### IV. Perspective

Cancer is one of the leading causes of death in the United States and around the world. Modern diagnostics and treatment regimens have improved patient care, but advanced metastasized cancers remain a challenge to treat. Hence alternative strategies have to be integrated into regimens to reduce the burden of cancer using relatively non-toxic phytochemicals and or pharmaceutical agents such as non-steroidal anti-inflammatory drugs (NSAIDs), selective estrogen receptor modulators (SERMs), aromatase inhibitors, HMG-CoA reductase inhibitors (statins), among others.

The idea of cancer prevention by dietary and nutritional phytochemicals can be further refined to “NutriPrevention” versus “Chemoprevention”. In 2013, the USDA suggested “Myplate” replacing the previous “Food Pyramid”, whereby half of the plate/meal includes fruits and vegetables for healthy living. This could be defined as “NutriPrevention”, where low level phytochemicals would presumably effect and impact the epigenome of “healthy”

cellular defense genetic pathways including the Nrf2-regulated anti-oxidative stress/ antioxidant pathways and anti-inflammatory pathways discussed above. However, if one were to be exposed to high environmental risk factors such as smoking, “bad/unhealthy diets”, alcohol, environmental pollutants, occupational carcinogens, and/or other environmental factors/insults coupled with inherent genetics/epigenetics “stem cells” that could drive “initiated cells”, then it would logically require higher pharmacological doses of certain dietary phytochemicals and/or nontoxic pharmaceutical agents and this may be classified as “PharmacoPrevention”. During cancer remission, in order to prevent cancer from recurring, or high risk individuals with chronic inflammation diseases such as Inflammatory bowel disease (IBD), then one would use “ChemoPrevention” with relatively higher but non-toxic doses phytochemicals/botanicals alone and/or in combination with relatively nontoxic drugs such as NSAIDs, SERMs, HMG-CoA reductase inhibitors, among others. Analogously, these concepts could be applicable to other chronic diseases which are utilizing similar signaling pathways such as oxidative stress and inflammation.

## V. Conclusions

In general, for many phytochemicals, it would appear much higher concentrations are required to elicit biological effects in *in vitro* cell culture models as compared to *in vivo* animal models. This phenomenon could be due to a variety of reasons. Most cell lines are tumor cell lines, thus, behave quite differently to their *in vivo* counterparts. They may possess efflux transporters that can exclude compounds from entering the cells, have very different cellular signaling response pathways as compared to normal cells, lack of active metabolism processes forming potential active metabolites and lack of endocrine-paracrine signaling as compared to *in vivo*. However, for many epigenetic effects, it appears that lower concentrations of phytochemicals are able to elicit an epigenetic response such as it relates to CpG methylation, DNMTs or HDACs in cell culture models. Further *in vitro-in vivo* animal and human studies would be warranted to ascertain these observations.

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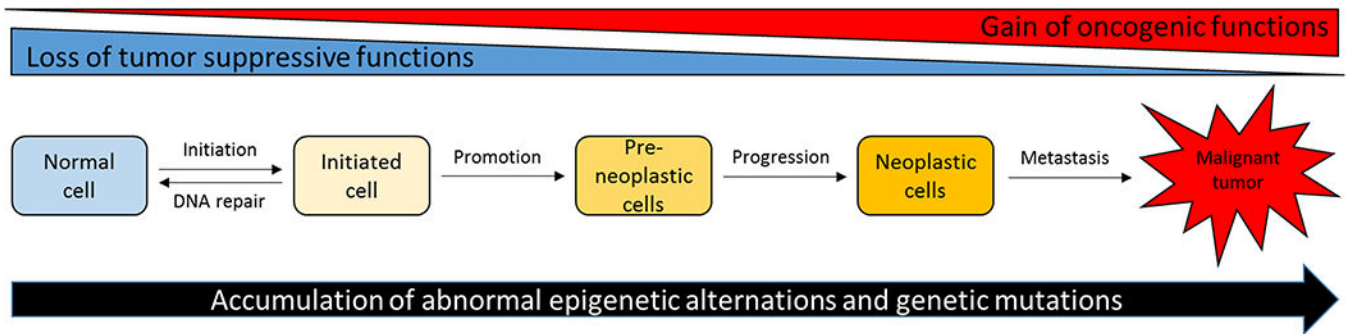
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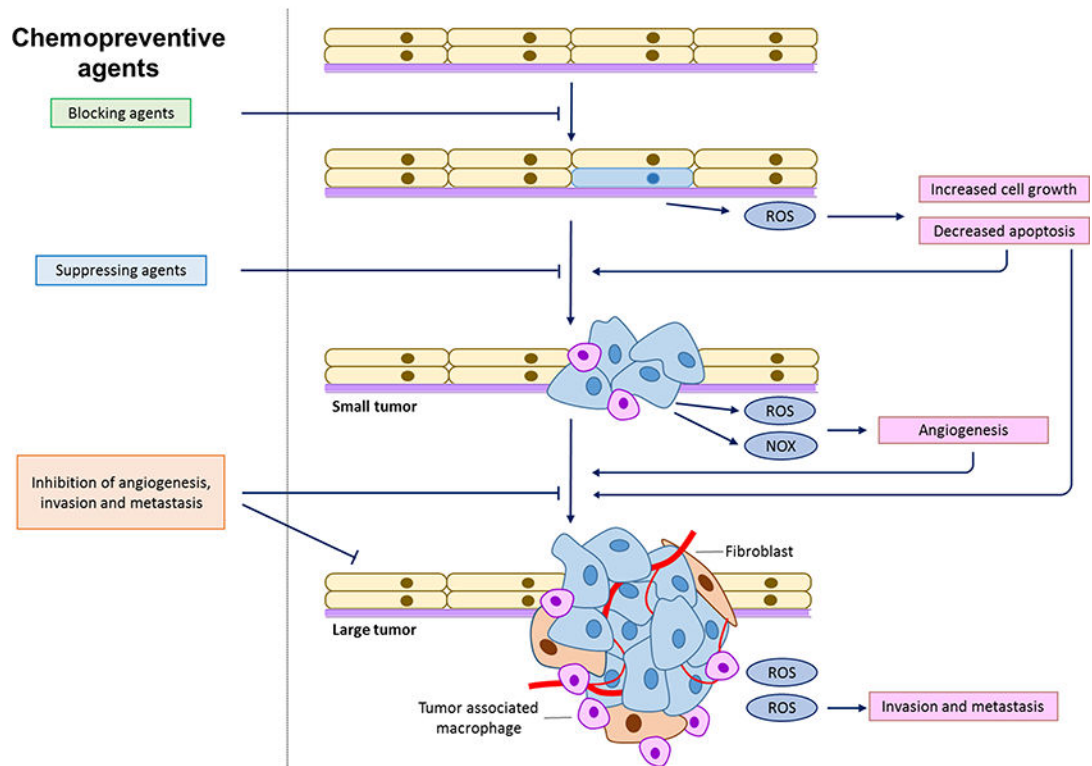
**Figure 1.**  
Development of carcinogenesis with aberrant epigenetics and genetics changes.

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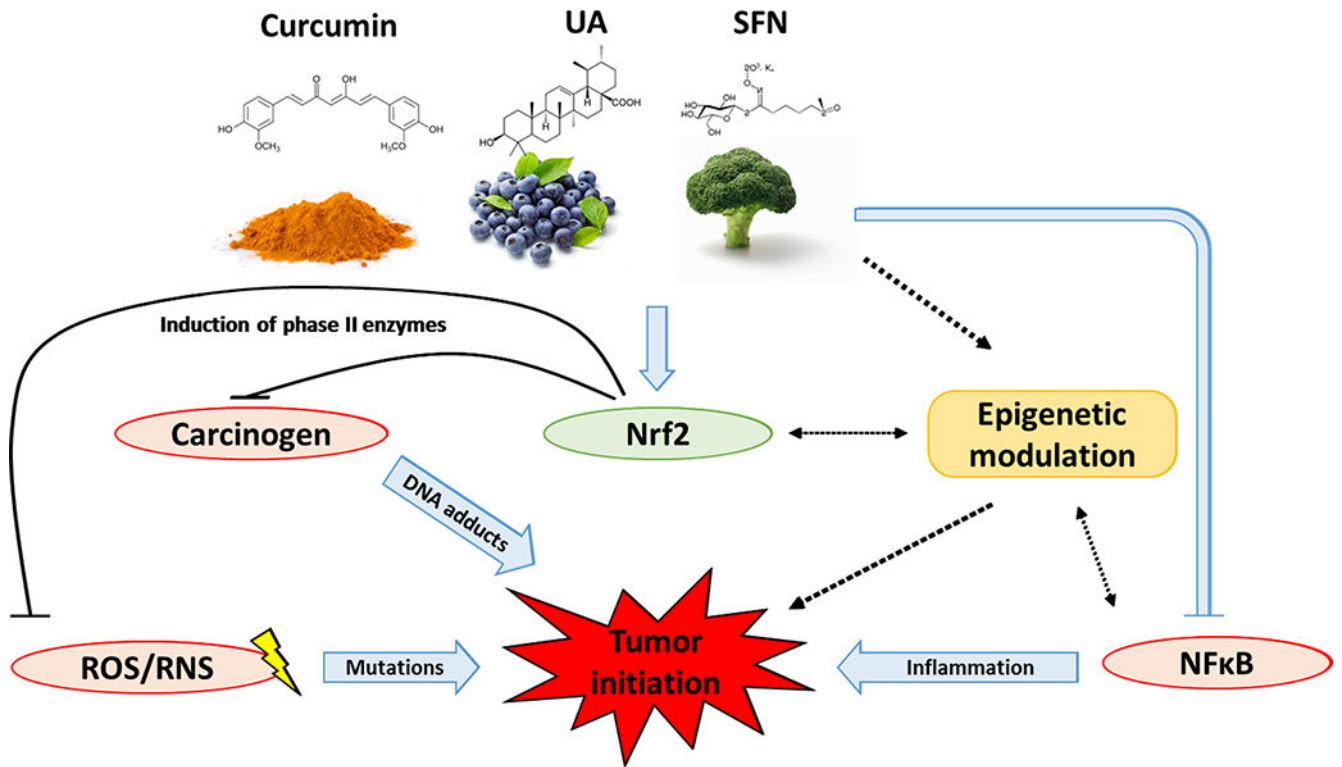
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**Figure 2.** Illustration of chemopreventive agents on different carcinogenesis stages.



**Figure 3.** Schematic diagram on mechanisms of phytochemicals (curcumin, UA, SFN) in inhibiting tumor initiation.



**Table I.**

The diverse anti-cancer properties of phytochemicals are driven by dose and model system.

Cancer/Model Type	Cell Line/Animal Model	Concentration/Dose	Phytochemical	Reference	Process/es Affected
Bladder	T24 cells	5–20µM	SFN	Shan, Sun (98)	A <sup>1</sup>
Breast	SUM159 xenograph mouse model	50mg/kg	SFN	Li, Fu (96), (126)	CSCs <sup>2</sup>
Breast	PyMT transgenic mice	8mmol/kg	PEITC	Singh and Singh (83)	CSCs <sup>2</sup>
Breast	MDA-MB-231	30µM	SFN	Kanematsu, Uehara (104)	A <sup>1</sup>
Colon	COLO 25	10µM, 50µM	UA	Chun, Kundu (198)	AI <sup>3</sup>
Colon	DSS-induced acute murine colitis model	10mg/kg, 20mg/kg	UA	Chun, Lee (20)	AI <sup>3</sup>
Colon	ApcMin/+ mouse model	3–13nmol/g	SFN	Hu, Khor (40)	A <sup>1</sup> , AI <sup>3</sup>
Colon	(DSS)-induced acute colitis mouse model	25mg/kg/day	SFN	Wagner, Will (61)	NMAS <sup>4</sup>
Colorectal	Caco-2 cells	5µM, 10µM	UA	Ramos, Pereira-Wilson (21)	NMAS <sup>4</sup>
Colorectal	HT29 cells	25µM, 50µM	PEITC, SFN	Jeong, Kim (56)	A <sup>1</sup> , AI <sup>3</sup>
Colorectal	Caco-2 cells	25µM, 75µM	SFN	Wang, Chen (102)	NMAS <sup>4</sup> , A <sup>1</sup> (respectively)
Colorectal	CRC cells	12.5µM, 25µM	SFN	Chen, Tang (103)	A <sup>1</sup>
Gastro-intestinal related	Rat	40µmol/kg/day	SFN	Munday and Munday (45)	NMAS <sup>4</sup>
Glioma	A375 cells	10µM	UA, OA	Bonaccorsi, Altieri (25)	CSCs <sup>2</sup>
Human study	PBMC	105mg	SFN	Myzak, Tong (69)	EM <sup>5</sup>
Laryngeal carcinoma	Hep-2	10µM	PEITC	Dai, Wang (93)	A <sup>1</sup>
Leukemia	HL60, U-937, THP-1 cells	10µM, 20µM, 30µM	UA	Zhang, He (26)	CSCs <sup>2</sup>
Leukemia	Promyelocytic leukemic cells	0.2–100µM	SFN	Fimognari, Lenzi (80)	CSCs <sup>2</sup>
Liver	Male Wistar rat model	20mg/kg	UA, OA	Gayathri, Priya (17)	NMAS <sup>4</sup>
Liver	HepG2 cells and Hepatocytes	1–10µM	SFN	Bacon, Williamson (46)	NMAS <sup>4</sup>
Liver	HepG2 cells	2µM-20µM	SFN	Hong, Freeman (51)	NMAS <sup>4</sup>
LPS-stimulated Inflammation	RAW 264.7 cells	25µM, 50µM	SFN	Heiss and Gerhauser (57)	AI <sup>3</sup>
Melanoma	U87 cells	15µM	UA, OA	Bonaccorsi, Altieri (25)	CSCs <sup>2</sup>
Neuronal related	PC12 cells	20µM, 40µM	UA, OA	Tsai and Yin (22)	CSCs <sup>2</sup> , NMAS <sup>4</sup>
Non-small cell lung	A549 Nude Mouse Model	50mg/kg, 100mg/kg	UA, OA	Cho, Rho (12)	A <sup>1</sup>
Non-small cell lung	H1299 and A549 cells	30µM	UA	Wu, Zhao (23)	EM <sup>5</sup>
Non-small cell lung	L9981 cells	12.5µM, 20µM	PEITC	Yan, Zhu (94)	A <sup>1</sup>
Non-small cell lung	L9981 cells	5µM, 9.7µM	PEITC	Wu, Zhu (95)	AI <sup>3</sup>
Non-small cell lung	A549 cells	30µM	SFN	Zuryn, Litwiniec (101)	A <sup>1</sup>
Ovarian	SKOV3 xenograft athymic BALB/c-nu mouse model	12.5–50µg/mL	UA	Zhang, Wang (27)	CSCs <sup>2</sup>
Pancreatic	AsPC-1, MIA, PaCa-2, Panc-28 cells	5–20µM	UA	Prasad, Yadav (16)	A <sup>1</sup> , AI <sup>3</sup>

Cancer/Model Type	Cell Line/Animal Model	Concentration/Dose	Phytochemical	Reference	Process/es Affected
Pancreatic	Orthotopic Pancreatic Mouse Model	250mg/kg	UA	Prasad, Yadav (16)	A <sup>1</sup> , AI <sup>3</sup>
Prostate	PC3 cells	80µM	UA	Zhang, Kong (199)	A <sup>1</sup> , AI <sup>3</sup>
Prostate	PC3 cells, LNCaP cells	55µM, 45µM	OA	Kassi, Papoutsi (15)	A <sup>1</sup>
Prostate	PC3 cells	20µM & 30µM, 5µM & 7.5µM	SFN, PEITC	Xu, Shen (55)	AI <sup>3</sup>
Prostate	LNCaP and PC3 cells	15µM	SFN	Wong, Hsu (63)	EM <sup>5</sup>
Prostate	TRAMPC1 cells	1µM, 2.5µM	SFN	Zhang, Su (66)	EM <sup>5</sup>
Prostate	LNCaP	0.5–1µM	PEITC	Wang, Beklemisheva (200)	A <sup>1</sup> , EM <sup>5</sup>
Prostate	LNCaP, PC3	2.5µM	PEITC	Zhang, Shu (74)	EM <sup>5</sup>
Prostate	DU145 cells, PC3 cells	10µM, 20µM, 40µM	SFN	Singh, Srivastava (97)	A <sup>1</sup>
Prostate	BPH-1, LNCaP, PC3	15µM	SFN	Myzak, Hardin (70)	A <sup>1</sup> , EM <sup>5</sup>
Skin	ICR Mouse Model	2µmol topical application	UA	Cho, Rho (12)	AI <sup>3</sup>
Skin	Ca3/7 cells	5µM, 10µM	UA, OA	Kowalczyk, Walaszek (18)	NMAS <sup>4</sup> , AI <sup>3</sup>
Skin	JB6 P+ mouse epidermal cells	5µM	UA	Kim, Ramirez (201)	EM <sup>5</sup>
Skin	Nrf2 (+/+) and Nrf2 (-/-) mice	100nmol topical application	SFN	Saw, Huang (62)	NMAS <sup>4</sup> , AI <sup>3</sup>
Skin	JB6 P+ mouse epidermal cells	2.5µM, 5µM	SFN	Su, Zhang (202)	EM <sup>5</sup>
T-cell lymphoma	Hut-78 cells	10–80µM	UA	Yang, Shi ÜÜ	A <sup>1</sup>
Thyroid	ARO cells	20µM	UA, OA	Bonaccorsi, Altieri (25)	CSCs <sup>2</sup>
Thyroid	MTC-SK cells	10µM, 20µM	UA	Aguiriano-Moser, Svejda (13)	A <sup>1</sup>
Immune	Activated T cells, B cells, and macrophages	5µM	UA	Checker, Sandur(19)	AI <sup>3</sup>
Anti-oxidative Stress	Nrf2 (+/+) and Nrf2 (-/-) mice	40mg/kg	PEITC	Hu, Xu (48)	NMAS <sup>4</sup>
Neuronal	Astrocytes	5–15µM, 50–100µM	Curcumin	Scapagnini, Colombrita (117)	NMAS <sup>4</sup> , A <sup>1</sup> (respectively)
Differentiation	Neuronal stem cells	0.5µM	Curcumin	Chen, Wang (132)	CSCs <sup>2</sup>
Differentiation	Embryonic stem cells	10µM	Curcumin	Mujoo, Nikonoff (133)	CSCs <sup>2</sup>
Bone	HFOb 1.9 cells	25µM	Curcumin	Chan, Wu (141)	A <sup>1</sup>
Leukemia	HL60 cells	25µM	Curcumin	Chen, Wanming (142)	AI <sup>3</sup> , NMAS <sup>4</sup>
Fibrosarcoma	HT1080 cells	8µM	Curcumin	Sun, Ross (150))	A <sup>1</sup>
Colon	HT29 cells	2.5µM, 5µM	Curcumin	Guo, Shu (139)	EM <sup>5</sup>
Prostate	LNCaP cells	2.5µM	Curcumin	Shu, Khor (153))	EM <sup>5</sup>
Prostate	TRAMPC1 cells	10µM	Curcumin	Khor, Huang (151)	EM <sup>5</sup>
Breast	MCF7 and MDA MB 231 cells	10µM	Curcumin	Mirza, Sharma (154)	EM <sup>5</sup>

<sup>1</sup> Apoptosis

<sup>2</sup> Cancer Stem Cells

<sup>3</sup>Anti-inflammation

<sup>4</sup>Nrf2-mediated ARE Signaling

<sup>5</sup>Epigenetic Modulation

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