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Discriminant Value of Custom Ocular Response Analyzer Waveform Derivatives in Forme Fruste Keratoconus

ALLAN LUZ, **BERNARDO LOPES**, **KATIE M. HALLAHAN**, **BRUNO VALBON**, **BRUNO FONTES**, **PAULO SCHOR**, **WILLIAM J. DUPPS JR**, and **RENATO AMBRÓSIO JR**

Department for Ophthalmology of the Federal University of Sao Paulo, Sao Paulo, Brazil (A.L., B.L., P.S., R.A.); Cole Eye Institute, Cleveland Clinic; and Biomedical Engineering, Cleveland Clinic Lerner Research Institute, Cleveland, Ohio (K.M.H., W.J.D.); Hospital de Olhos de Sergipe, Aracaju, Brazil (A.L.); Rio de Janeiro Corneal Tomography and Biomechanics Study Group, Rio de Janeiro, Brazil (A.L., B.L., B.V., R.A.); and Instituto de Olhos Renato Ambrósio and Visare Personal Laser, Rio de Janeiro, Brazil (B.L., B.V., B.F., R.A.)

Abstract

PURPOSE—To evaluate the performance of corneal hysteresis (CH), corneal resistance factor (CRF), 37 Ocular Response Analyzer (ORA) waveform parameters, and 15 investigator-derived ORA variables in differentiating forme fruste keratoconus (KC) from normal corneas.

DESIGN—Case-control study.

METHODS—Seventy-eight eyes of 78 unaffected patients and 21 topographically normal eyes of 21 forme fruste KC patients with topographically manifest KC in the contralateral eye were matched for age, the thinnest point of the cornea, central corneal thickness, and maximum keratometry. Fifteen candidate variables were derived from exported ORA signals to characterize putative indicators of biomechanical behavior, and 37 waveform parameters were tested. Differences between groups were assessed by the Mann-Whitney test. The area under the receiver operating characteristic curve (AUROC) was used to compare the diagnostic performance.

RESULTS—Ten of 54 parameters reached significant differences between the groups (Mann-Whitney test, $P < .05$). Neither CRF nor CH differed significantly between the groups. Among the ORA waveform measurements, the best parameters were those related to the area under the first peak, p1area, and p1area1 (AUROC, 0.714 ± 0.064 and 0.721 ± 0.065 , respectively). Among the investigator ORA variables, a measure incorporating the pressure-deformation relationship of the entire response cycle performed best (hysteresis loop area, AUROC, 0.694 ± 0.067).

CONCLUSION—Waveform-derived ORA parameters, including a custom measure incorporating the pressure-deformation relationship of the entire response cycle, performed better than traditional CH and CRF parameters in differentiating forme fruste KC from normal corneas.

> Ectasia is an important complication after refractive surgery, and there is interest in the preoperative identification of patients at risk for developing this condition.^{1,2} A topographic keratoconus pattern is the most important risk factor.³ A major goal of preoperative

Inquiries to Allan Luz, Jorge Amado Avenue 1500, Aracaju, SE, Brazil 49025 330; dr.allanluz@gmail.com.

evaluation is the detection of corneas with subclinical or early-stage keratoconus. Although clinical examination and computed corneal topography can be used to diagnose keratoconus in its clinical form, the detection of subclinical forms remains a challenge. Various terms, including keratoconus suspect and forme fruste keratoconus, have been used to describe the subclinical condition.⁴

The term "keratoconus suspect" was initially used to describe eyes at risk for developing keratoconus based on subjective impressions of topographic patterns. Videokeratography can be used to quantify the pattern of keratoconus and simplify the disease classification.⁵ Using this approach, the progression of disease in keratoconus suspect eyes can be described using quantitative indices, and eyes with keratoconus can be confirmed.⁶ Thus, the term keratoconus suspect can be reserved for corneas that display a topographic pattern characterized by specific quantitative indices. The term "forme fruste keratoconus" refers to topographic patterns that are insufficient to reach the threshold of keratoconus or keratoconus suspect based on computerized quantitative indices.⁷

Published studies have indicated that the corneal hysteresis (CH) value and corneal resistance factor (CRF) are lower in corneas with keratoconus and in corneas that have undergone laser in situ keratomileusis than in normal corneas.^{8,9} In addition, new indices derived from the waveform signals obtained with an Ocular Response Analyzer (ORA; Reichert Ophthalmic Instruments, Depew, New York, USA) demonstrate the ability to distinguish keratoconus from normal corneas more accurately than the original pressurederived parameters (CH, CRF) .¹⁰ A panel of candidate diagnostic variables using exported ORA data to characterize the temporal applanation signal intensity and the pressure features of the corneal response was also recently developed.¹¹

Patients with topographically normal unilateral keratoconus provide a unique opportunity to evaluate methods for detecting the features of the disease at a very early stage. In the present study, we compared the original ORA pressure-derived parameters (CH, CRF, Goldmancorrelated intraocular pressure [IOPg], corneal compensated intraocular pressure [IOPcc]), 37 waveform signal parameters, and 15 candidate variables from exported ORA signals to characterize putative indicators of biomechanical behavior between a group of fellow eyes of patients with unilateral keratoconus and a group of normal eyes. The groups were matched with regard to the central corneal thickness, the thinnest point of the cornea, and maximum keratometry (Kmax) in order to reduce the possibility of influencing the morphologic characteristics. The groups were also matched for age, which is known to affect the biomechanical results.¹²

METHODS

SUBJECTS

This study was a case-control study. The study protocol was approved by the Ethics and Research Committee of São Paulo Federal University (Protocol 2012/10), and a waiver of informed consent was granted because of the low risk of this research. The principles of the Declaration of Helsinki were followed for all study procedures.

Patients were examined at the Instituto de Olhos Renato Ambrósio (Rio de Janeiro, Brazil). We studied 99 eyes of 99 patients, who were divided into 2 groups: the case group, which comprised 21 eyes of 21 patients with normal Placido-disc corneal topographies, in which the fellow eye had keratoconus (forme fruste keratoconus cases); and the control group, which comprised 78 eyes of 78 patients with bilateral normal corneas. The control group was formed by randomly choosing a single eye of each patient with bilaterally normal eyes according to topographic criteria.

The criteria for normality and disease were based on the Rabinowitz indices and were evaluated by a corneal topographer using Placido disks (Humphrey Atlas). A KISA index greater than 100% was considered early keratoconus, a value less than 60% was considered normal, and values between 60% and 100% were considered suspect keratoconus. The fellow eye was considered for analysis when the KISA index on corneal topography was less than 60% without a suspect pattern.

The control group included patients without corneal topographic irregularities, high refractive error, or collagen vascular disease. Excluded from both groups were patients with severe ocular allergy, a history of ocular surgery or any eye disease, or any systemic disease or syndrome.

A comprehensive eye examination was conducted for all patients. In addition to the topographic data, the following information was obtained for each patient: age, thinnest point of the cornea, central pachymetry, topographic astigmatism, Kmax, and biomechanical data obtained with an ORA, including IOPg, IOPcc, CH, CRF, 37 parameters derived from analyses of the waveform signal, and 15 candidate variables using exported ORA data to characterize the temporal, applanation signal intensity, and pressure features of the corneal response. To avoid bias, patients allocated to each group were matched with regard to the thinnest point, maximum keratometry, age, and central pachymetry values, as these parameters influence the results obtained with an ORA.

PROCEDURES

To determine the ocular biomechanical properties, the ORA applies pressure to the cornea by focusing a pulsed jet of air on the eye, causing the cornea to pass through applanation and develop a slight concavity. Milliseconds after the initial applanation, the air pump that generated the pulse is turned off, and the pressure applied to the eye symmetrically decreases. As the pressure decreases, the cornea passes through a second applanated state while returning to its normal convexity.

Energy absorption during deformation of the cornea produces a difference in the signal peaks representing the first and second applanation events, indicating the difference between the pressures required for inward (loaded) and outward (unloaded) deformation of the cornea. This difference in applanation pressure is defined as CH, which indicates the viscous damping in the cornea and reflects the capacity of the corneal tissue to absorb and dissipate energy. CRF, a measure that was empirically derived as an indicator of the central corneal thickness, reflects the total corneal resistance, weighted towards elasticity.13 In our study, only high-quality ORA readings were accepted for analysis.

With the introduction of new software (version 2.0), the ORA computes 37 new parameters that describe the waveform of the ORA response curve. The first set of these additional parameters describes the upper 75% of the peak height in the response curve with respect to the area under the curve (p1area, p2area); the upward slope (uslope1, uslope2), downward slope (dslope1, dslope2), width $(w1, w2)$, height $(h1, h2)$, and aspect ratio of the peaks (aspect1, aspect2); the path length around the peaks (path1, path2); the roughness of the peaks (aindex, bindex); and the roughness of the region between the peaks (aplhf), as well as 6 additional parameters (dive1, dive2, mslew1, mselw2, slew1, and slew2). A second set of parameters has the same description as the first set but considers only the upper 50% of the peak height (p1area1, p2area1, aspect11, aspect21, uslope11, uslope21, dslope11, dslope21, w11, w21, h11, h21, path11, path21). The p1area and p2area are influenced by the height of the infrared applanation signal peaks and the width (time course) of the corneal deformation response. The irregularity of the infrared signal peaks (aindex, bindex) and the roughness of the region between the peaks (aplhf) are related to the high-frequency components of the corneal deformation. Higher values indicate a more pronounced high-frequency/oscillatory corneal response.

ORA infrared intensity and pressure time series data were exported using ORA software and analyzed using custom Matlab routines (version 7.0; Math Works, Natick, Massachusetts, USA) as described previously.¹¹ Briefly, 15 variables were derived from the ORA signal and grouped according to waveform features as follows: applanation signal intensity (Group 1); applied pressure (Group 2); time (Group 3); applanation signal intensity as a function of the response time (Group 4); relationship between the applied pressure and the applanation signal response (Group 5); and relationship between the pressure and time (Group 6). All variables and their classifications are described in Table 1. Previously described investigatorderived variables defined using features of the ORA signal hypothesized to be biomechanically relevant were also calculated and are introduced in Figure 1.

The ORA calculates a waveform score that is used to select the best measurement value of each parameter out of the 4 measured.¹⁴ We used the examination with the best waveform score after 4 consecutive measurements.

STATISTICAL ANALYSIS

Statistical analyses were performed using BioEstat 5.0 (Mamirauá Institute, Amazonas, Brazil) and MedCalc 11.1 (MedCalc Software, Mariakerke, Belgium). The nonparametric Mann-Whitney U test (Wilcoxon rank-sum) was used to evaluate the distribution of variables between the 2 groups. The significance criterion was submitted to Bonferroni correction. The receiver operating characteristic (ROC) curve and the area under the ROC curve (AUROC) were calculated for each parameter to examine the differences between the groups and determine the overall predictive accuracy of each test. The standard error of the AUROC was evaluated using the method of DeLong and associates.15 The exact binomial method was used to calculate confidence intervals for AUROCs, with 0.700 indicating the cutoff point for poor parameter performance. Values of $P < .025$ were considered to indicate statistical significance.

RESULTS

Table 2 summarizes the demographic features, intra-ocular pressure, and topographic and tomographic characteristics of each group. The mean central pachymetry, thinnest point of the cornea, age, IOPg, IOPcc, astigmatism, and Kmax were not significantly different between the groups $(P > .05)$. The mean KISA index was 17.86% (95% confidence interval [CI], 10.33%–25.39%) in the forme frusta keratoconus (FFKC) group and 11.77% (95% CI, 8.57%–14.96%) in the control group. Although the difference between the 2 groups was statistically significant ($P = .049$), the KISA index values were far below the 60% threshold for classification as keratoconus suspect based on the anterior topography features alone.

BIOMECHANICAL DATA

Table 3 compares the biomechanical parameters between the groups. Ten parameters differed significantly between the groups: Pmax; Hysteresis Loop Area; Impulse; p1area; dive1; h1; p1area1; h11; uslope2; and mslew2. Neither CH nor CRF achieved statistically significant differences.

The results of the ROC analysis demonstrated that parameters related to the area under the first peak (p1area and p1area1) achieved the best results for discriminating between the 2 groups, with sensitivities of 66.67% and 76.19%, specificities of 66.67% and 76.19%, and AUROCs of 0.714 and 0.721, respectively (Table 4). The five best-performing variables as determined by the highest AUROC values were as follows: *plarea, plarea, Hysteresis Loop* Area, h1, and h11. Figure 2 illustrates the ROC performance of CH, p1area1, and Hysteresis Loop Area.

DISCUSSION

In our study, the populations of case and control eyes were comparable in age, thinnest point, central corneal thickness, maximum keratometry, and corneal astigmatism. Our goal was to control for morphologic characteristics (keratometry and pachymetry) that could influence the separation between the groups. There is no index in the classic screening that can distinguish between these 2 populations. Therefore, the differences found in this study are derived from differences in the latent biomechanical characteristics between the groups.

Because keratoconus is a bilateral, asymmetric ectatic degeneration, a patient with unilateral expression of the disease would be expected to have latent biomechanical instability in the other eye.16 The incidence of true unilateral keratoconus is very low; the reported frequencies based on computerized videokeratography diagnosis techniques ranged from 0.5% to 4%.17,18 Some studies have suggested that if patients are observed for a sufficient period of time, the signs of keratoconus will develop in the contralateral eye.^{18,19} Detection of clinically advanced forms of keratoconus is not difficult, but the establishment of criteria to identify normal corneas with a susceptibility to the development of ectasia remains a challenge.20 The topographically normal contralateral eye of a patient with unilateral keratoconus is a valuable investigational target for studying disease susceptibility prior to the development of clinical manifestations.⁴

This study provides insight into differences in the dynamic behavior of forme fruste keratoconus and normal eyes through analysis of novel waveform-derived candidate variables related to pressure, applanation, response time, or a combination of these variables. The forme fruste keratoconus eyes demonstrated the following characteristics: (1) marked reductions in a comprehensive hysteresis analog (Hysteresis Loop Area) that captures the pressure-deformation behavior of the entire response cycle; (2) reductions in the force and time required to reach the initial applanation; (3) a lower maximum air pressure intensity required to produce applanation as a function of the peak pressure and time; (4) a reduced area under the initial applanation intensity curve; (5) no difference in the high-frequency oscillation in the region between peaks and no difference in the corneal deformation from normal after an air puff; and (6) a lower speed of corneal deformation beyond applanation.

Our findings confirm prior results showing that the maximum applied pressure levels were significantly different between the FFKC and the normal eyes, with lower values for FFKC. 21 Additionally, a significantly lower intensity signal height at the first (inward) applanation peak was observed. In a previous study comparing the same custom variables in keratoconus and normal eyes, all variables except lag time were significantly different, and the minimum concavity and comprehensive measurement of hysteresis showed the greatest discriminate value for keratoconus.¹¹ In the present study, the AUROC for the hysteresis loop area was 0.694 (sensitivity 66.67% and specificity 71.79%). The AUROCs for impulse and Pmax were 0.654 and 0.652, respectively.

The hysteresis loop area provides an approximation of the classical mechanical concept of hysteresis, with the applied pressure being analogous to stress and the applanation signal intensity being analogous to strain. The hysteresis loop area reflects information about the cornea's response throughout the entire loading-unloading cycle.¹¹ Intraocular pressure has been shown to influence the cornea's biomechanical response, with a higher IOP correlated with a greater stiffness.²² A previous study showed that the IOP has a minimal influence on the ORA custom variables.¹¹ The concern that IOP differences could confound the predictive value of key variables in the current study is minimized owing to the absence of statistically significant differences in IOPg and IOPcc between the groups (Table 2).

Multiple waveform oscillations could appear in ectatic eyes, $2³$ but this irregularity was not observed in this present FFKC study group. The parameters related to signal oscillation (path1, path11, path2, path21, aindex, and bindex) did not demonstrate statistically significant differences between the groups.

When analyzed independently, only 2 of 54 parameters achieved AUROC values above 0.700 in distinguishing FFKC from controls: p1area and p1area1 (AUROC = 0.714 and 0.721, respectively). A previous study also highlighted p1area, p1area1, p2area, and p2area1 for their performance in identifying grades I and II keratoconus.²⁴ In FFKC, by contrast, the present study demonstrated that only the areas related to the first applanation event were significant. Low values for p1area and p2area represented rapid applanation or applanationrecovery responses that are consistent with less viscous damping, but they may also reflect reduced applanation signal intensities owing to complex corneal surface deformation responses driven by heterogeneity in the properties of the corneal material. Because the

Previous studies have shown significant differences in the mean CH and CRF between keratoconic and normal corneas associated with a large overlap in the values of these parameters.8,25 It has been postulated that waveform-derived variables may provide a greater discriminating ability for detecting early disease. Although we observed that certain waveform-derived variables had higher AUROC values than the original ORA variables, these variables were insufficient in our study as a sole criterion for the diagnosis of subclinical keratoconus in the absence of information about the disease state of the contralateral eye. This study was an exploratory analysis designed to restrict the initial number of candidate variables to a smaller subset of promising variables. The AUROC analysis was only performed on the variables in this subset to limit the potential impact of multiple comparisons on the results of the statistical analysis.

Many studies report methods of screening forme fruste keratoconus in the preoperative examination for corneal refractive surgery. Topographic examination remains the most frequently used and described method for screening such corneas. In recent years the ability to use tomographic evaluation to detect patients with susceptibility to developing ectasia has been enhanced.²⁶ Wavefront analysis revealing greater amounts of high-order aberrations, such as a third-order coma, provides yet another diagnostic tool for the identification of ectatic corneas.27 Directly or indirectly, the aforementioned measurement modalities represent the corneal morphology rather than the corneal biomechanical properties. Newly developed devices, such as the Corvis ST (Oculus, Wetzlar, Germany), Brillouin scattering, 28 and optical coherence tomography–based deformation analysis techniques, 29 may allow for more direct measurement of the biomechanical properties and may offer additional sensitivity for detecting early ectatic predisposition.

In summary, differences in the derivatives of the dynamic corneal response to an air puff were observed between normal eyes and forme fruste keratoconus cases, despite similar corneal topographic measurements. This study confirms the importance of corneal biomechanical abnormalities in early ectatic disease. While these parameters cannot be used in isolation to detect mild ectasia, future studies to test their added value to topometric, tomographic, or wavefront-derived parameters are needed.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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FIGURE 1.

Graphical representation of Ocular Response Analyzer (ORA) signal output with select variables from applanation signal intensity (A1, A2, Applanation peak difference and concavity min); pressure (Pmax); time (concavity duration, concavity time, and lag time); and applanation signal intensity as a function of response time (slope up and slope down).

FIGURE 2.

Comparison of receiver operating characteristic (ROC) performance of corneal hysteresis (CH), p1area1, and hysteresis loop area (HLA) in discriminating forme fruste keratoconus from normal eyes.

TABLE 1

Ocular Response Analyzer Waveform Derivatives in Forme Fruste Keratoconus: Variables Derived From the Signal of the Dynamic Bidirectional Applanation Device (Adapted From Hallahan and Associates¹¹)

TABLE 2

Ocular Response Analyzer Waveform Derivatives in Forme Fruste Keratoconus: Comparison of Characteristics and Intraocular Pressure of Normal and Forme Fruste Keratoconus Groups

D = diopter; FFKC = forme frusta keratoconus; IOPcc = corneal compensated intraocular pressure; IOPg = Goldmann intraocular pressure; Kmax = maximum keratometry.

TABLE 3

Ocular Response Analyzer Waveform Derivatives in Forme Fruste Keratoconus: Comparison of Custom Variables for Normal and Forme Fruste Keratoconus Groups

CH = corneal hysteresis; CRF = corneal resistance factor; FFKC = forme frusta keratoconus; HLA = hysteresis loop area.

Asterisk indicates significant results.

 a Mann-Whitney *U* test with Bonferroni correction.

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TABLE 4

Ocular Response Analyzer Waveform Derivatives in Forme Fruste Keratoconus: Comparison of Area Under the Receiver Operating Characteristic Curve, Ocular Response Analyzer Waveform Derivatives in Forme Fruste Keratoconus: Comparison of Area Under the Receiver Operating Characteristic Curve, Select Parameter Cutoff, Sensitivity, and Specificity for Variables Statistically Different Between Normal and Forme Fruste Keratoconus Groups Select Parameter Cutoff, Sensitivity, and Specificity for Variables Statistically Different Between Normal and Forme Fruste Keratoconus Groups

AUROC = area under the receiver operating characteristic curve; $HLA = hy$ steresis loop area.