


Human Umbilical Cord Blood Serum–derived α -Secretase: Functional Testing in Alzheimer's Disease Mouse Models

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Abstract

Alzheimer's disease (AD) is an age-related disorder that affects cognition. Our previous studies showed that the neuroprotective fragment of amyloid precursor protein (APP) metabolite, soluble APP α (sAPP α), interferes with β -site APP-cleaving enzyme 1 (BACE1, β -secretase) cleavage and reduces amyloid- β (A β) generation. In an attempt to identify approaches to restore sAPP α levels, we found that human cord blood serum (CBS) significantly promotes sAPP α production compared with adult blood serum (ABS) and aged blood serum (AgBS) in Chinese hamster ovary cells stably expressing wild-type human APP. Interestingly, CBS selectively mediated the α -secretase cleavage of human neuron-specific recombinant APP₆₉₅ in a cell-free system independent of tumor necrosis factor- α converting enzyme (TACE; a disintegrin and metalloproteinase domain-containing protein 17 [ADAM17]) and ADAM. Subsequently, using 3-step chromatographic separation techniques (i.e., diethylaminoethanol, size-exclusion, and ion-exchange chromatography), we purified and ultimately identified a CBS-specific fraction with enhanced α -secretase catalytic activity (termed α CBSF) and found that α CBSF has more than 3,000-fold increased α -secretase catalytic activity compared with the original pooled CBS. Furthermore, intracerebroventricular injection of α CBSF markedly increased cerebral sAPP α levels together with significant decreases in cerebral A β production and abnormal tau (Thr²³¹) phosphorylation compared with the AgBS fraction with enhanced α -secretase activity (AgBSF) treatment in triple transgenic Alzheimer's disease (3xTg-AD) mice. Moreover, AgBSF administered intraperitoneally to transgenic mice with five familial Alzheimer's disease mutations (5XFAD) via an osmotic mini pump for 6 weeks (wk) ameliorated β -amyloid plaques and reversed cognitive impairment measures. Together, our results propose the necessity for further study aimed at identification and characterization of α -secretase in CBS for novel and effective AD therapy.

Keywords

Alzheimer's disease, amyloid- β , cord blood serum, human umbilical cord blood cell, soluble amyloid precursor protein α , tau

Introduction

The neuropathological hallmarks of Alzheimer's disease (AD) that differentiate it from other types of dementia include extracellular β -amyloid plaques composed largely of amyloid- β (A β) peptides¹ and intracellular neurofibrillary tangles (NFTs) composed of the hyperphosphorylated microtubule-associated protein tau². Successive cleavage of amyloid precursor protein (APP) by β - and γ -secretases produces A β peptides of variable length (A β _{x-40, 42}), soluble APP β , membrane-bound β -C-terminal fragment (β -CTF, C99), and APP intracellular cytoplasmic/C-terminal domain (AICD)³. The A β peptide fragments, which accumulate as plaques in the brain, induce neuroinflammation, synaptic dysfunction, and neuronal cell death that affects cognitive

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function⁴. In contrast, most of the APP is cleaved by α - and γ -secretases that not only preclude A β generation but also produce a secreted soluble APP α (sAPP α), membrane-bound α -CTF (α -CTF, C83), P3 peptide, and AICD⁵. Overall, sAPP α has been shown to be involved in numerous physiological functions in the brain, which appear to be interrupted in AD. Several studies have shown that neurotrophic fragment sAPP α not only prevents A β generation⁶ and tau phosphorylation⁷ but is also known to be a neuroprotective APP metabolite including but not limited to proliferation, neurite outgrowth, and long-term potentiation^{8–10}. Thus, we hypothesized that therapeutic interventions or approaches that have potential to produce sAPP α markedly could improve AD pathology and cognitive function.

Several studies have shown that human umbilical cord blood cells (HUCBCs) have therapeutic potential in numerous age-related neuroinflammatory conditions including AD. In line with those studies, we showed that single as well as multiple low-dose infusion of HUCBC significantly reduced amyloidogenic APP processing, A β and β -amyloid plaque accumulation, glial neuroinflammation, and cognitive impairments in preclinical AD mouse models^{11,12}. Additionally, HUCBC treatment changed microglial phenotypes from pro-inflammatory to anti-inflammatory, increased microglial A β phagocytosis, increased anti-inflammatory cytokines in the brain (i.e., interleukin-10, transforming growth factor β 1, and nerve growth factor), and reduced CD40 receptor-CD40 ligand (CD40-CD40L) interaction that is important for A β -induced pro-inflammatory microglial activation¹³. To identify the specific HUCBC responsible for this neuroprotective effect, we found that cord blood-derived monocyte reduces β -amyloid pathology and improves cognition with much more effectively than monocyte-deficient cord blood in AD mouse model¹³. In line with the findings of above studies, several recent reports underscored the role of young blood and/or plasma in aging and age-associated neurodegenerative conditions. Among those, Wyss-Coray and other labs have reported that exposing old mice to a young systemic environment by parabiosis increased synaptic plasticity, improved pathology, and behavioral recovery such as contextual fear conditioning and spatial learning in old mice. More interestingly, they also found that it is not the blood cells rather the soluble factors that are getting into the mice brain. They pooled plasma from young mice as well as from young human and injected into old mice, which successfully rejuvenated old mice brain structure and cognition tested by Barnes maze memory test^{14–18}. In a follow-up study, they showed that human cord blood plasma (CBP) as well as plasma enriched in tissue inhibitor of metalloproteinases 2 improves synaptic plasticity and hippocampal-dependent cognitive function in old mice¹⁹.

Based on our preliminary laboratory findings, we hypothesized that human cord blood serum (CBS) possesses novel APP-specific α -secretase-like enzyme, reflected by marked increase in sAPP α level. As CBS contains many different small molecules, growth factors, proteins,

inhibitors, hormones, enzymes, and other unknown substances, we also hypothesized that infusion of characterized CBS fraction will ameliorate AD-like pathology and cognitive impairments in mouse models. Here, we show that CBS markedly enhanced the level of sAPP α in Chinese hamster ovary (CHO) cells stably expressing wild-type human APP (CHO/APPwt cells) as well as mediated α -secretase cleavage of human neuron-specific APP₆₉₅ (fAPP₆₉₅) in a cell-free system, which effects are not seen with normal adult or aged blood serum (ABS or AgBS). Additionally, we have been successfully able to characterize a CBS fraction with enhanced α -secretase-like catalytic activity (refer to α CBSF) using sequential diethylaminoethanol (DEAE)-affinity column, size-exclusion, and anion-exchange chromatographic fractionation processes. Moreover, we found that α CBSF infusion increased sAPP α levels, decreased A β production/ β -amyloid plaque formation, prevent neuronal loss and abnormal tau (Thr²³¹) phosphorylation in the cortex, and improved cognitive function in Alzheimer's mouse models. Our findings indicate that α CBSF holds immense therapeutic potential for treatment of AD.

Materials and Methods

Reagents and Antibodies

CBS was obtained from Lee Biosolutions (St. Louis, MO, USA, and human umbilical CBP was obtained from STEMCELL Technologies Inc. (Vancouver, British Columbia, Canada). Human cord blood is aspirated from the umbilical cord vein into a cord blood collection bag containing citrate-phosphate-dextrose as an anticoagulant. Individual lot of CBP is prepared from a single cord blood sample. Three to five different lots of CBP samples were pooled in as "pooled CBP." CBP is separated from umbilical cord blood centrifugation at 3,500 rpm for 5 to 10 min. CBP is aliquoted and frozen at -20°C first and then transferred to a -80°C freezer after 24 h at 4°C . There is no placement into -80°C for a snap freeze. Frozen CBP is not heat inactivated. No analysis was performed to determine the number of platelets in each sample; therefore, the plasma cannot be specifically characterized as "low-platelet" or "platelet-poor" plasma. Frozen CBP samples were thawed in a 37°C water bath before being used in experiment. CBS was collected from umbilical cord blood; and it is the blood that remains in the placenta and in the attached umbilical cord after the cord has been detached from the newborn at the time of childbirth. CBS is separated from umbilical cord blood by allowing it to clot for 5 to 10 h in red top tubes with no anticoagulation followed by centrifugation at 3,500 rpm for 5 to 10 min at 4°C . CBS sample was passed through a filter membrane with a pore size of $0.22\ \mu\text{m}$. Individual CBS was prepared from a single sample. More than 10 serum samples of CBS were pooled in as "pooled CBS." Normal human adult blood serum (ABS, 25 to 30 years old) and AgBS (>75 years old) as well as their plasma (ABP and AgBP, respectively) were

obtained from Florida Blood Services (Tampa, FL, USA). Antibodies include specific anti-sAPP α monoclonal antibody (2B3; IBL, Minneapolis, MN, USA, Cat# 11088 RRID: AB_494690), anti-APP C-terminal polyclonal antibody (pAb751/770; EMD Millipore, La Jolla, CA, USA, Cat# 171610, RRID: AB_211444), anti-APP N-terminal monoclonal antibody (22C11; Merck Millipore, Billerica, MA, USA, Cat# MAB348B, RRID: AB_11204540), anti-N-terminal A β monoclonal antibody (6E10; Covance Research Products, Emeryville, CA, USA), anti-A β _{16–26} monoclonal antibody (4G8; Covance Research Products, Cat# SIG-39200, RRID: AB_10175149), anti-phospho-tau antibody (Thr²³¹; Merck Millipore, Billerica, MA, USA, Cat# AB9668, RRID: AB_570891), anti-DDK-tagged antibody (Cell Signaling Technology, Danvers, MA, USA, Cat# 2908, RRID: AB_1905079), anti-NeuN antibody (Merck Millipore, Billerica, MA, USA, Cat# ABN90, RRID: AB_11205592), and anti- β -actin monoclonal antibody (Sigma-Aldrich, St. Louis, MO, USA, Cat# A5316, RRID: AB_476743). Human recombinant full-length APP₆₉₅ (fAPP, 100 ng) tagged with C-terminal MYC/DDK was purchased from OriGene Technologies, Inc. (Rockville, MD, USA).

Cell Culture

CHO cell line with stable expression of human wild-type APP (CHO/APPwt) was a generous gift from Drs. Stefanie Hahn and Sascha Weggen (University of Heinrich Heine, Düsseldorf, Germany). At the beginning of the experiment, CHO/APPwt cells were genotyped and confirmed the genetic makeup. The cells were cultured in Dulbecco's modified Eagle's medium (DMEM) with 10% fetal bovine serum (FBS), 1 mM sodium pyruvate, and 100 U/mL of penicillin/streptomycin. For treatment, the cells were plated in a 24-well plate at 2×10^5 cells/well for overnight incubation, washed and treated with CBS (0% to 10%), inact CBS (5%), ABS (0% to 10%), AgBS (0% to 10%), or α CBSF (0% to 1%) in DMEM. After treatment, supernatants were collected and the cells were washed with ice-cold phosphate-buffered saline (PBS) 3X and lysed with cell lysis buffer (20 mM Tris, pH 7.5, 150 mM NaCl, 1 mM EDTA, 1 mM EGTA, 1% v/v Triton X-100, 2.5 mM sodium pyrophosphate, 1 mM β -glycerophosphate, 1 mM Na₃VO₄, 1 μ g/mL leupeptin, and 1 mM phenylmethane sulfonyl fluoride; Cell Signaling Technology, Danvers, MA, USA). Both cell supernatants and lysates were used for sAPP α analysis by ELISA. For immunoprecipitation, 100 ng of fAPP₆₉₅ was incubated with α CBSF at 37 °C for 1 h, and then the sAPP α / α CBSF-derived immune complex was immunoprecipitated using 2B3 sAPP α -specific antibody or anti-DDK antibody. The supernatants were then collected and used for treatment of CHO/APPwt cells.

Cell-free α -secretase Assay

In order to determine the α -secretase activity of CBS reflected by sAPP α level, human recombinant fAPP₆₉₅

tagged with C-terminal MYC/DDK (100 ng; OriGen Biomedical, Austin, TX, USA) was incubated with CBS, heat inactivated CBS (inact CBS, 56 °C for 30 min), AgBS, FBS, or α CBSF at 37 °C for 5 h in the presence or absence of protease inhibitor (PI) cocktail (1X; Sigma-Aldrich, St. Louis, MO, USA), tumor necrosis factor- α (TNF α) converting enzyme (TACE) inhibitor (TAPI-0) (1 μ M; Abcam, Cambridge, MA, USA), or a disintegrin and metalloproteinase domain-containing protein (ADAM) inhibitor (GM6001, 1 μ M; Sigma-Aldrich, St. Louis, MO, USA). The reaction mixtures were then subjected to Western blot (WB) analysis for APP α -secretase processing. In addition, TACE and ADAM10 cleavage activity of α CBSF was determined using TACE (AnaSpec, Fremont, CA, USA) and ADAM10 cleavage activity kits (AnaSpec, Fremont, CA, USA), according to the manufacturer's instructions.

CBS Fractionation

Next, in order to purify and characterize the α -secretase in CBS or AgBS, the Econo-Pac Serum IgG Purification Kit, and 10DG columns (Bio-Rad, Philadelphia, PA, USA) were initially employed to remove highly abundant IgG and salts. The desalted serum was applied to DEAE Affi-Gel Blue columns, and residual IgG was eluted according to the instructions. Then, 20 additional protein fractions were collected by eluting with an ionic strength gradient of NaCl buffer ranging from 0.1 M to 2.0 M. The remaining proteins on the column were eluted by the regeneration buffer included in the kit and collected as the regeneration fraction. The 0.8 M NaCl-eluted protein fractions were combined together and sent to Moffitt Cancer Center Protein Purification Core (Tampa, FL, USA) for further separation by size-exclusion chromatography, employing analytic Superdex 200 columns and eluting with PBS, and ion-exchange chromatography, employing Q-Sepharose columns and eluting with 500 mM NaCl, 50 mM Tris, pH 7.6. The final enzyme containing fractions was exchanged to PBS by Ultracel-10 membranes (10 kDa, Merck Millipore, Billerica, MA, USA) for further experimentation and referred to as α CBSF or AgBSF.

Animal Models

Both 5XFAD (MMRC Stock No: 34840-JAX; RRID IMSR_JAX: 006554) and 3xTg-AD (MMRC Stock No: 34830-JAX) mice of male and female were purchased from Jackson Laboratory (Bar Harbor, Maine, USA). In this pre-clinical study to investigate whether CBS fractionation changes AD-like pathology and associated behavioral deficits, 5-month-old 5XFAD mice were used that harbor 5 mutations (APP KM670/671NL [Swedish], APP I716V [Florida], APP V717I [London], PSEN1 M146L, and PSEN1 L286V)²⁰ and rapidly develop AD-like pathology including accumulation of high levels of extracellular β -amyloid plaques, neurodegeneration, and behavioral impairments. In

order to investigate whether CBS fractionation administration changes both A β and tau phosphorylation, 3xTg-AD mice which harbor presenilin-1 (PS1/M146V), APP (KM670/671NL), and tau (P301L) mutants were used. These mice progressively develop β -amyloid and NFT pathology, which potentially synergize to accelerate neurodegeneration at the age of 6 months (6 mo)²¹. At the beginning of the experiment, all mice were confirmed as mutant by polymerase chain reaction. One male and 4 female mice were housed in a single cage separately. All animal experiments were performed in accordance with the guidelines of the National Institutes of Health and were approved by University of South Florida (USF) Institutional Animal Care and Use Committee (IACUC reference number: IS00000438). Transgenic mice used for aging studies may exhibit signs such as ruffled hair coat, hair loss, excessive weight gain, and/or loss. When one of these signs observed, mice were monitored more closely and weighed twice weekly. Mice exhibited multiple clinical signs or showing >20% weight loss were excluded from the study. As per our previous practice, if a mouse appears overtly sick or in pain as indicated by ruffled, matted, or dull hair; hunched back or head pressing; failure to move about the cage; failure to respond to stimuli; rapid, shallow, labored breathing, twitching or trembling; or failure to experience seizure, a veterinarian was consulted in order to ensure timely intervention and treatment or removal from the study. All mice were maintained on a 12-h light/12-h dark cycle at ambient temperature and humidity and housed in the Morsani College of Medicine Animal Facility at the USF with ad libitum access to food and water.

Stereotaxic Intracerebroventricular (i.c.v.) Injection

In order to determine whether α CBS fraction could modify A β and tau pathology, cohorts of 17 ($n = 17$, 9♀/8♂) triple transgenic 3xTg-AD mice were arbitrary anesthetized with isoflurane (2% to 3% induction, 1% maintenance). After reflexes were checked to ensure that mice were unconscious, they were positioned on a stereotaxic frame (Stoelting's Lab Standard™, Wood Dale, IL, USA) with ear bars positioned and jaws fixed to a biting plate. The axis coordinates were taken from a mouse brain atlas, and the needle of a Hamilton microsyringe was implanted into the left lateral ventricle delimited from the stereotaxic coordinates (coordinates relative to bregma: -0.6 mm anterior/posterior, +1.2 mm medial/lateral, and -3.0 mm dorsal/ventral) using the stereotaxic device. α CBSF (0.5 μ g/mouse, $n = 6$, 3♀/3♂), AgBSF (0.5 μ g/mouse, $n = 6$, 3♀/3♂), and PBS (1 μ L/mouse, $n = 5$, 3♀/2♂) were administered at 1 μ L/min. After administration, the syringe was removed slowly to prevent bleeding and further brain damage. The lesions were closed with 1 to 2 staples and observed until anesthesia had cleared. Seventy-two hour after the i.c.v. injections, animals were killed with isoflurane, then transcardially perfused with ice-cold PBS, and brains were harvested for biochemical, histochemical, and immunohistochemical analyses.

Intraperitoneal (i.p.) Administration With an Osmotic Mini Pump

Mice were labeled using tail tattooing by veterinarian who was blinded about the entire experiment. In order to determine whether CBS fractionation changes AD-like pathology and associated behavioral deficits, cohort of even-number labeled 5XFAD mice was randomly assigned to 2 experimental groups of 6 mice each, receiving α CBSF ($n = 6$, 3♀/3♂) or AgBSF ($n = 6$, 3♀/3♂) treatment by an Alzet[®] osmotic mini pump (Alzet 2004, DURECT Corporation, Cupertino, CA, USA). A third group of wild-type (WT) control mice received α CBSF ($n = 6$) through the same administration route. Mice were briefly anesthetized with isoflurane as described previously, an area of the abdomen was shaved, a 1-cm abdominal incision was made and an Alzet[®] osmotic mini pump was filled with 100 μ L of CBSF, or AgBSF was implanted i.p.. The pump delivered these fractionated sera at a constant rate of 0.15 μ L/h for 6 wk, yielding a treatment dose of 1 mg/kg/day or 30 μ g/mouse/day. At the end of 4- to 5-weeks (wk) treatment (6 mo of age), cognitive evaluations were conducted in these mice with our established behavioral battery. After 6 wk treatment, mice were killed with isoflurane, then transcardially perfused with ice-cold PBS, and brains were removed to assess β -amyloid plaque pathology.

Behavioral Assessments

Novel object recognition test. Novel object recognition is based on the spontaneous tendency of a mouse to explore a new object compared with an old object. At first, during the habituation phase (day 1), each mouse was acclimatized with the testing apparatus box for 10 min. Next, during the training day (day 2), each mouse was familiarized with 2 similar objects (4 cm \times 4 cm \times 4 cm) for 10 min. Finally, during the testing day (day 3), one of the objects was replaced with a new object and tested for 10 min. The amount of time spent exploring the new and old objects during the test phase was quantified by video tracking (ANY-Maze; Stoelting's Lab Standard™, Wood Dale, IL, USA) and provides an index of recognition memory. Discrimination index was calculated as the frequency of exploration of new *versus* original objects.

Y-maze test. Y-maze test was performed as described previously²². This task was used to assess basic mnemonic processing by spontaneous percentage alternation and exploratory activity of mice placed into a black Y-maze. The arms of this maze were 21-cm long and 4-cm wide with 40-cm high walls. Each mouse was placed in one of the arms and allowed one 5-min trial of free exploration of the 3 alleys in the maze. The numbers of total arm choices and sequence of arm choices were recorded.

Histochemical and Immunohistochemical Analyses

Mice were euthanized with isoflurane and then transcardially perfused with ice-cold PBS. Brains were rapidly isolated, and one hemisphere was frozen immediately in liquid nitrogen and stored at -80°C . For molecular analysis, brain hemispheres were sonicated in radioimmunoprecipitation assay buffer (Cell Signaling Technology, Danvers, MA, USA), centrifuged at 14,000 rpm for 1 h at 4°C , and supernatants were isolated for WB analyses. The other hemisphere was placed in 4% paraformaldehyde for cryostat sectioning. The 25- μm free-floating coronal sections were collected and stored in PBS with 100 mM sodium azide at 4°C . Immunohistochemical staining was performed using various primary antibodies in conjunction with the VECTASTAIN Elite ABC kit (Vector Laboratories, Burlingame, CA, USA) coupled with diaminobenzidine substrate. A set of sections without adding primary antibody were used as negative staining control. Sections were also stained with Congo red dye and Thioflavin-S fluorescence dye for detecting fibrillary A β species as described previously^{23,24}. Images of five 25- μm sections (150 μm apart) through hippocampus and neocortex were captured, and a threshold optical density was obtained that discriminated staining from background. Data are reported as percentage of immunolabeled area captured (positive pixels divided by total pixels captured). Quantitative image analysis was performed by a single examiner (T.M.) blinded to sample identities.

WB Analysis and ELISA

WB analyses and quantification were performed as previously described²⁵. Briefly, the proteins from the cell-free suspensions, cell lysates, and homogenized tissue were electrophoretically separated using 10% bicine/Tris gel (8 M urea) for proteins less than 5 kDa or 10% Tris/sodium dodecyl sulfate (SDS) gels for larger proteins. Electrophoresed proteins were transferred to nitrocellulose membranes (Bio-Rad), washed, and blocked for 1 h at room temperature in Tris-buffered saline containing 5% (w/v) nonfat dry milk (TBS/NFDM). After blocking, membranes were hybridized overnight with various primary antibodies, washed, and incubated for 1 h with the appropriate horseradish peroxidase-conjugated secondary antibody in TBS/NFDM. Blots were developed using the luminol reagent (Thermo Fisher Scientific, Waltham, MA, USA). The sAPP α ELISA (IBL) was performed according to manufacturer's instruction.

Statistical Analysis

Comparison between 2 groups were performed by Student's *t*-test analysis. For more than 2 groups, one-way analysis of variance followed by Least Significance Difference (LSD) post hoc analysis was used to compare each other for statistical significance. The α was set at $p < 0.05$ for all analyses.

The significance level of *P* value was set at < 0.05 for all analyses. All the mice experiment were repeated 3 times in parallel to attain the above significant difference. Data are expressed as mean \pm standard error of the mean. The statistical package for the social sciences released by IBM SPSS Version 23.0 (IBM, Armonk, NY, USA) was used for all data analyses.

Results

CBS Dose-Dependently Promotes α -cleavage in CHO/APPwt Cells

Our previous studies indicate that both single and multiple low-dose infusions of HUCBC as well as HUCBC-derived monocytes can significantly reduce β -amyloid plaques and cognitive impairments in AD mouse models. Having shown that HUCBC can reduce AD pathology, we next set out to determine whether human umbilical-derived CBS could also reduce β -amyloid pathology through alteration of APP processing. CHO/APPwt cells were treated with different concentrations (0% to 10%, 6 different doses) of CBS, ABS, or AgBS for 4 h (Fig. 1A and B, left panel). The conditioned media were collected and subjected to sAPP α ELISA, and also sAPP α WB analysis using 2B3 sAPP α -specific antibody. CBS dose-dependently promoted sAPP α levels with greater than that elicited by ABS and AgBS. Similarly, CHO/APPwt cells were treated with 5% CBS, ABS, or AgBS for 6 different time points (0 to 4 h, Fig. 1A and B, right panel). CBS time-dependently promoted sAPP α levels with greater than that elicited by ABS and AgBS. To see whether the factor present in the serum mediating α -secretase activity is proteinaceous in nature, we treated CHO/APPwt cells with heat inactivated serum (inact CBS) for 4 h. As expected, heat inactivation limited the sAPP α producing capacity of CBS, as shown by ELISA (Fig. 1C, upper panel) and WB (Fig. 1C, lower panel). Therefore, CBS possesses α -secretase, reflecting sAPP α level in a dose- and time-dependent fashion and the factor mediating this activity is heat-labile and most likely a protein. These results indicate that FBS also contains a heat sensitive α -secretase.

CBS Mediates α -Cleavage of Neuron-specific APP₆₉₅ Independent of ADAM Activity

Next, we tested whether the α -secretase in CBS is mediated by TACE (ADAM17) or ADAM. Human recombinant fAPP₆₉₅ (100 ng)-tagged with C-terminal MYC/DDK was incubated with CBS, inactivated CBS (inact CBS), or AgBS at 37°C for 5 h in the presence or absence of different inhibitors (PI cocktail [1X], TAPI-0 [1 μM], or ADAM inhibitor [GM6001, 1 μM]; Fig. 2A). The reaction mixtures were subjected to sAPP α WB analysis using 2B3 sAPP α -specific antibody (Fig. 2A, upper panel) and total APP analysis using 6E10 anti-A β ₁₋₁₇ antibody (Fig. 2A, lower panel). PI cocktail significantly limited CBS-derived α -secretase catalytic

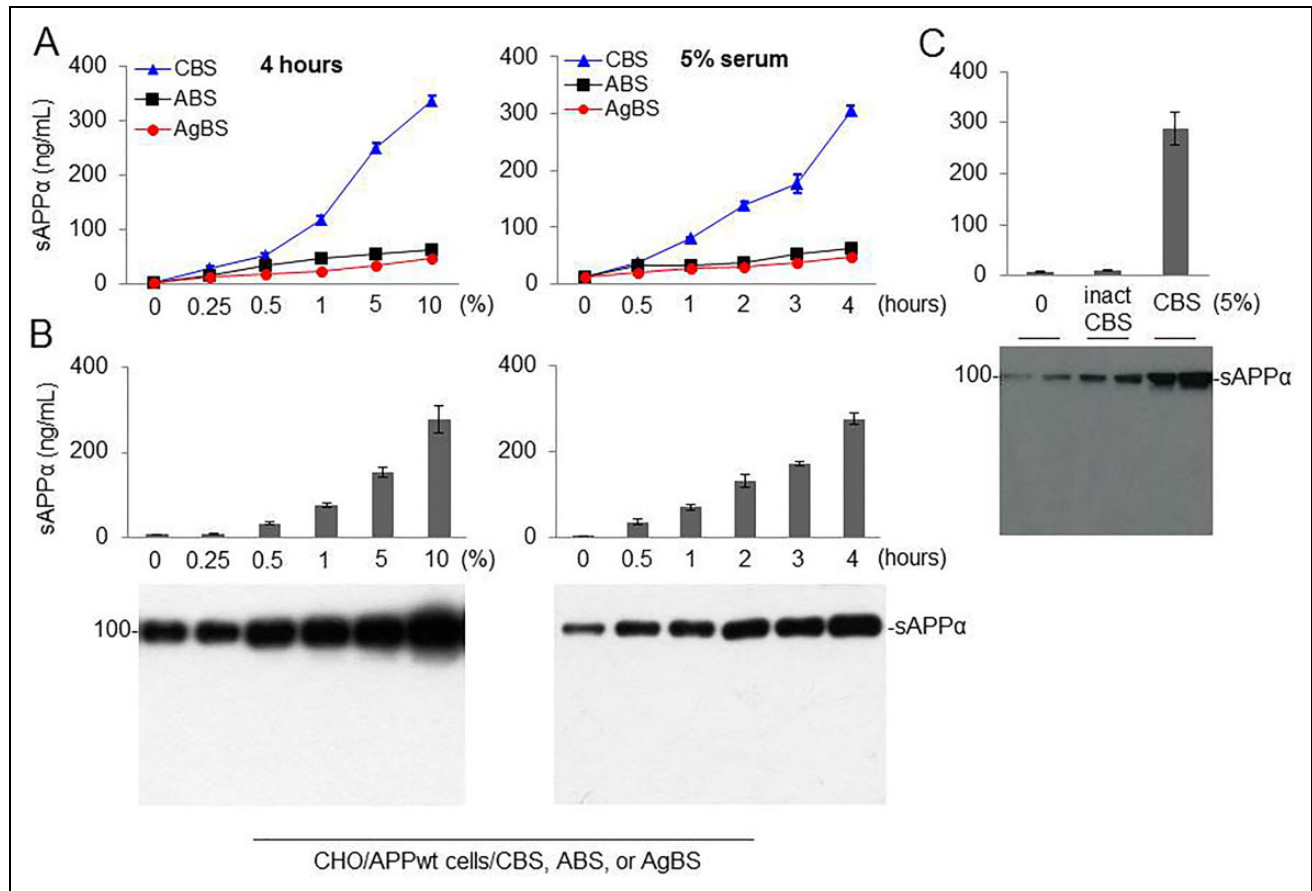


Fig. 1. Cord blood serum (CBS), but not adult blood serum (ABS) or aged blood serum (AgBS), markedly promotes amyloid precursor protein (APP) α -cleavage in a time-, dose-, and temperature-dependent manner. (A) Chinese hamster ovary cells stably expressing wild-type human APP (CHO/APPwt) cells were treated with 0, 0.25, 0.5, 1, 5, and 10% CBS, ABS, or AgBS (left panel). In addition, CHO/APPwt cells were treated with 5% CBS, ABS, or AgBS between 0 h and 4 h as indicated (right panel). (B) CHO/APPwt cells were treated with CBS at different concentration (0% to 10%) for 4 h (left panel) or treated with 5% CBS for different time point (0 to 4 h), as indicated (right panel). Conditioned media were subjected to soluble amyloid precursor protein α (sAPP α) ELISA (Fig. 1A and B, top panel) and Western blot (WB) analyses (Fig. 1B, bottom panel) with 2B3 antibody (C) CHO/APPwt cells treated with heat inactivated serum (inact CBS) or CBS for 4 h. Conditioned media were subjected to sAPP α ELISA (Fig. 1C, top panel) and WB analyses (Fig. 1C, bottom panel) with 2B3 antibody. Data are presented as mean (\pm SD) of sAPP α produced (ng/mg or ng/mL) from 5 independent experiments in triplication. Human umbilical cord blood plasma (CBP) produced similar results (data not shown). APP α -secretase activity of pooled CBS or CBP or individualized CBS or CBP was similar (data not shown).

activity, as reflected by sAPP₆₉₅ level, but this activity was not limited by TACE or ADAM inhibitors (Fig. 2A, upper panel). In addition, fAPP₆₉₅ (100 ng) was incubated with 5% CBS, FBS, or inactivated CBS for 1, 5, or 24 h. CBS α -secretase increased the level of sAPP₆₉₅ in a time-dependent manner, measured by 2B3 antibody (Fig. 2B, upper panel). As shown, the level of sAPP₇₇₀ represents endogenous sAPP α .

Removal of High- and Low-abundance Proteins Increases Activity of CBS α -secretase

To purify and ultimately identify the target protein/complex mediating CBS α -secretase catalytic cleavage, 3-step chromatographic separation techniques were employed. Initially, removal of highly abundant immunoglobulins and desalting

were performed using Bio-Rad Econo-Pac Serum IgG Purification Kit and 10DG columns. Desalted CBS was then applied to DEAE Affi-Gel Blue columns to completely remove IgGs and collect 20 additional protein fractions and eluted with increasing strengths of NaCl buffer. CHO/APPwt cells were treated with each fraction for 2 h to determine α -secretase by ELISA. In addition, unfractionated whole and desalted CBS positive control as well as PBS-negative control was used to treat cells. These sequential approaches significantly increased α -secretase, with the fractions showing the highest α -secretase catalytic activity, as reflected by sAPP α level eluting around 0.6 to 0.9 M NaCl concentrations (Fig. 3A). As shown in Fig. 3C, 0.7 to 0.9 M NaCl fractions from 10 CBS lots increased sAPP α levels at least with maximum 5-fold higher than whole CBS. In addition, the fractionated and whole CBS was run in SDS-

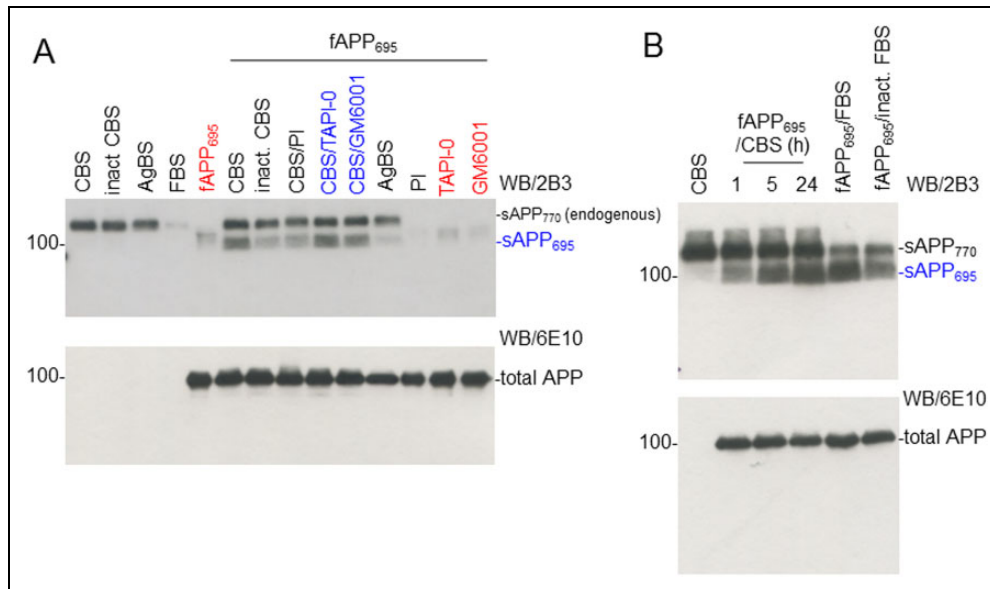


Fig. 2. Cord blood serum (CBS) directly mediates α -cleavage of neuron-specific amyloid precursor protein (APP₆₉₅), but this activity is not mediated by a disintegrin and metalloproteinase domain-containing protein (ADAM) and tumor necrosis factor- α converting enzyme (TACE). (A) Human recombinant full-length APP₆₉₅ tagged with C-terminal MYC/DDK (fAPP₆₉₅, OriGen, 100 ng) was incubated with 5% CBS, inact CBS, or aged blood serum (AgBS) at 37 °C for 5 h in the presence or absence of a protease inhibitor cocktail (PI, 1 X), TACE (ADAM17) inhibitor (TAPI-0, 1 μ M), or ADAM inhibitor (GM6001, 1 μ M). Lanes 1 to 4 represent CBS (1), inact CBS (2), AgBS (3), and fetal bovine serum (FBS; 4) sample only control without substrate fAPP₆₉₅. Lane 5 represents fAPP₆₉₅ (5) substrate only control without any serum sample. Lanes 6 to 11 represent substrate fAPP₆₉₅ with CBS (6), inact CBS (7), CBS with PI (8), CBS with TAPI-0 (9), CBS with GM6001 (10), and AgBS (11). Lanes 12 to 14 represent (lanes 12 to 14; PI (12), TAPI-0 (13), and GM6001 (14) inhibitor and substrate control, respectively, without any serum sample. The reaction mixtures were subjected to soluble amyloid precursor protein α (sAPP α) Western blot (WB) analysis using 2B3 antibody (top panel) and total APP using 6E10 (an anti-A β ₁₋₁₇ antibody; lower panel). sAPP₇₇₀ refers to the endogenous α -secretase cleavage product of CBS or AgBS, whereas sAPP₆₉₅ refers to the α -secretase cleavage product of fAPP₆₉₅. (B) 100 ng of fAPP₆₉₅ was incubated with 5% CBS for 1, 5, or 24 h, or 5% FBS or inact. FBS for 24 h, and then subjected to sAPP α and total APP WB analysis using 2B3 (top panel) and 6E10 (lower panel), respectively. sAPP₇₇₀ refers to the endogenous α -secretase cleavage product of CBS or AgBS, whereas sAPP₆₉₅ refers to the α -secretase cleavage product of fAPP₆₉₅.

polyacrylamide gel electrophoresis (PAGE), demonstrating numerous proteins remaining in each CBS fraction (Fig. 3C, right upper panel). Therefore, we selected the 0.8 M NaCl-eluted fraction for further purification. As shown in Fig. 3B, the level of total protein concentration is represented in mg/mL in CBS fraction.

Further Purification of CBS α -Secretase Using Size-exclusion and Anion-exchange Chromatography

The 0.8 M NaCl-eluted fraction of CBS was subjected to size-exclusion chromatography using Superdex 200 prep grade columns (XK 16/40, GE Healthcare, PA, USA) packed with cross-linked agarose and dextran. The mobile phase was 20 mg/mL acetone in distilled water, and the detection was performed at UV280 nm. Approximately 100 mg of protein from the 0.8 M NaCl fraction was applied to the column, and 48 fractions were eluted with PBS. The catalytic activity of α -secretase was greatly enhanced, as tested on CHO/APPwt cells by measuring sAPP α production. Fractions #11 and 12 produced sAPP α \geq 5-fold higher compared with the original 0.8 M NaCl-eluted fraction as well as all other fractions, as determined by WB (upper panel) and

ELISA (Fig. 4A, lower panel). To confirm the enhancement of α -secretase, we determined the sAPP α in fractions #8 to 18 along with the original 0.8 M NaCl-eluted fraction from 3 different CBS lots. Fractions #10 to 13 showed α -secretase activity 15-fold more than the original 0.8 M NaCl-eluted fraction, as measured by ELISA (Fig. 4C). As determined by SDS-PAGE, the molecular mass of the #10 to 13 fractions was 177 to 275 kDa (Fig. 4C).

To examine the charge of α -secretase protein/complex in CBS, the size-exclusion fractions containing the highest α -secretase catalytic activities, as reflected by sAPP α levels (#10 to 13), were further subjected to anion-exchange chromatography using Q-Sepharose columns. Proteins from the size-exclusion fractions were applied to the column and 82 fractions were eluted with 0.5 M NaCl. Fractions #53 to 56 showed \geq 8-fold higher α -secretase catalytic activity, as reflected by sAPP α level than the original size-exclusion fraction, as determined in CHO/APPwt cells by WB and ELISA (fractions #2 to 4 in Fig. 5A, upper and lower panels, respectively). To further compare the enzymatic activity of CBS samples before and after anion-exchange chromatography, we collected fractions #1 to 5 and #23 from 3 different samples and determined the sAPP α level in each indirect

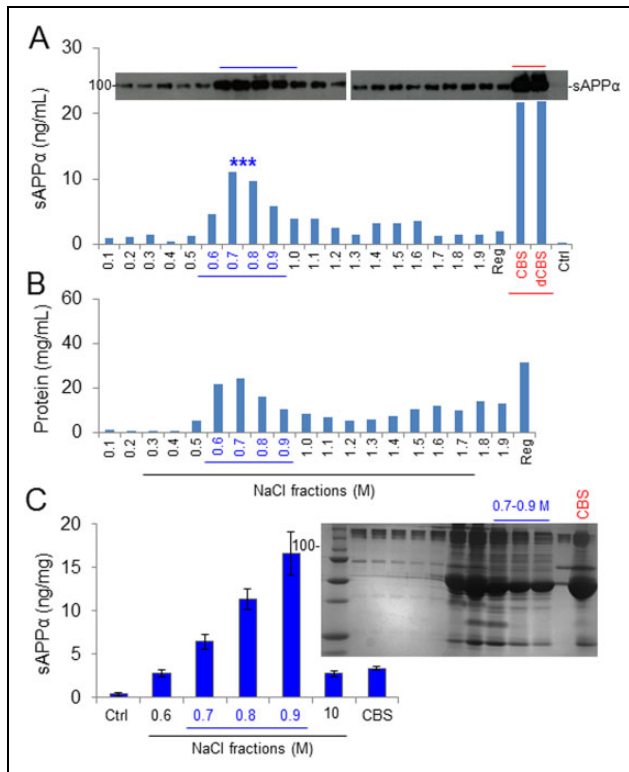


Fig. 3. Fractionation of amyloid precursor protein (APP)-specific α -secretase activity in cord blood serum (CBS). To purify and eventually identify the α -secretase activity in CBS, the Econo-Pac Serum IgG Purification Kit (Bio-Rad, Philadelphia, PA, USA) was initially employed to remove highly abundant IgG. CBS was then desalted using Econo-Pac IODG columns. The desalted serum was applied to DEAE Affi-Gel Blue columns to remove residual IgG and collect 20 additional protein fractions, by eluting with an increasing ionic strength gradient of NaCl buffer ranging from 0.1 M to 2.0 M. The remaining proteins on the column were eluted by the regeneration buffer included in the kit and collected as the regeneration fraction (Reg). (A) Chinese hamster ovary cells stably expressing wild-type human APP (CHO/APPwt) cells were cultured in 24-well plates and treated with 10 μ L of each protein fraction for 2 h. Conditioned media were then collected and analyzed by soluble amyloid precursor protein α (sAPP α) Western blot (upper panel) and ELISA (lower panel). 10 μ L CBS, desalted CBS, and phosphate-buffered saline (PBS; Ctrl) were included under the same cell culture conditions as positive and negative controls, respectively. Cell lysates were also prepared from each fraction-treated cell culture as an additional reference to evaluate sAPP α production levels (data not shown). (B) Protein concentration of each fraction. (C) CHO/APPwt cells were treated with the 0.6 to 1.0 M NaCl-eluted fractions from 10 different CBS lots, as well as whole CBS and PBS (Ctrl), for 2 h and the conditioned media were collected for sAPP α ELISA. The results were presented as mean (\pm SD) sAPP α produced (ng/mg protein). In addition, each protein fraction was subjected to sodium dodecyl sulfate polyacrylamide gel electrophoresis to assess total protein fractionation (C, right panel).

measurement of CBS α -secretase activity. Combined fractions #2 to 4 produced sAPP α \geq 50-fold higher than the original eluted (#23) fraction, as measured by ELISA (Fig. 5C). Combined fractions #2 to 4, referred to hereafter as α CBSF, were therefore used for further analysis.

α CBSF Promotes Nonamyloidogenic APP Processing

Human recombinant fAPP₆₉₅ was incubated with 5 different concentrations (0% to 1%) of α CBSF at 37 $^{\circ}$ C for 2 h. The reaction mixtures were subjected to sAPP α WB analysis using 2B3 antibody as well as fAPP and α -CTF analyses using pAPP751/770 antibody (an anti-APP C-terminal antibody; Fig. 6A). This analysis showed that α CBSF increases sAPP α as well as α -CTF fragments and decreases (full-length) holo APP with increasing doses.

In order to confirm that α CBSF mediates novel α -secretase independent of TACE or ADAM, fAPP₆₉₅ was incubated with α CBSF in the absence or presence of ADAM (GM6001, 1 μ M) or TAPI-0 (1 μ M) for 1 h. α CBSF treatment alone increased the levels of APP-processing fragments such as sAPP α and α -CTF and decreased the level of holo APP as determined by WB, whose effects did not alter significantly by GM6001 and TAPI-0 combined treatment with α CBSF (Fig. 6B). In addition, TACE and ADAM10 enzymatic activities of α CBSF were measured by TACE and ADAM10 cleavage activity kits. TACE (25 μ g/mL) in the presence or absence of TAPI-0 (1 μ M) and ADAM10 (50 μ g/mL) in the presence or absence of ADAM inhibitor (GM6001, 1 μ M) were included as positive controls. Results suggest that α CBSF has very little TACE or ADAM10 activity (Fig. 6C and D).

Immunoprecipitation of fAPP₆₉₅/CBS Specifically Limits APP α -secretase Cleavage

To determine whether immunoprecipitation could specifically limit α -secretase of α CBSF, 100 ng of fAPP₆₉₅ was incubated with 0.125 μ g of α CBSF at 37 $^{\circ}$ C for 1 h, and the sAPP α / α CBSF immune complex was immunoprecipitated using anti-DDK antibody, 2B3, or nonspecific IgG. CHO/APPwt cells were treated in the FBS free condition for 2 h with the supernatants collected from the immune complex or PBS as reference control, and then conditioned media were analyzed by sAPP α WB using anti-N-terminal APP antibody (22C11; Fig. 7A) and sAPP α ELISA (Fig. 7B) to determine α -secretase in CBS. Immunoprecipitation of the sAPP α / α CBSF with anti-DDK antibody significantly reduced α -secretase activity of α CBSF, indicating that immunoprecipitation limits the CBS-mediated APP α -secretase cleavage. In contrast, immunoprecipitation of sAPP α / α CBSF with 2B3 did not reduce CBS α -secretase, indicating that α CBSF does not form an immune complex with sAPP α . In addition, there was no notable or significant difference in sAPP α production elicited by 0.5% supernatant from α CBSF IP with control IgG and 2.5% (equivalent to 0.5% Super) α CBSF alone (data not shown).

α CBSF Reduces β -cleavage, Promotes α -cleavage of APP, and Stabilizes Tau Phosphorylation in 3xTg-AD Mice

To test whether α CBSF suppresses β -site APP-cleaving enzyme 1 (BACE1)-mediated APP processing in vivo,

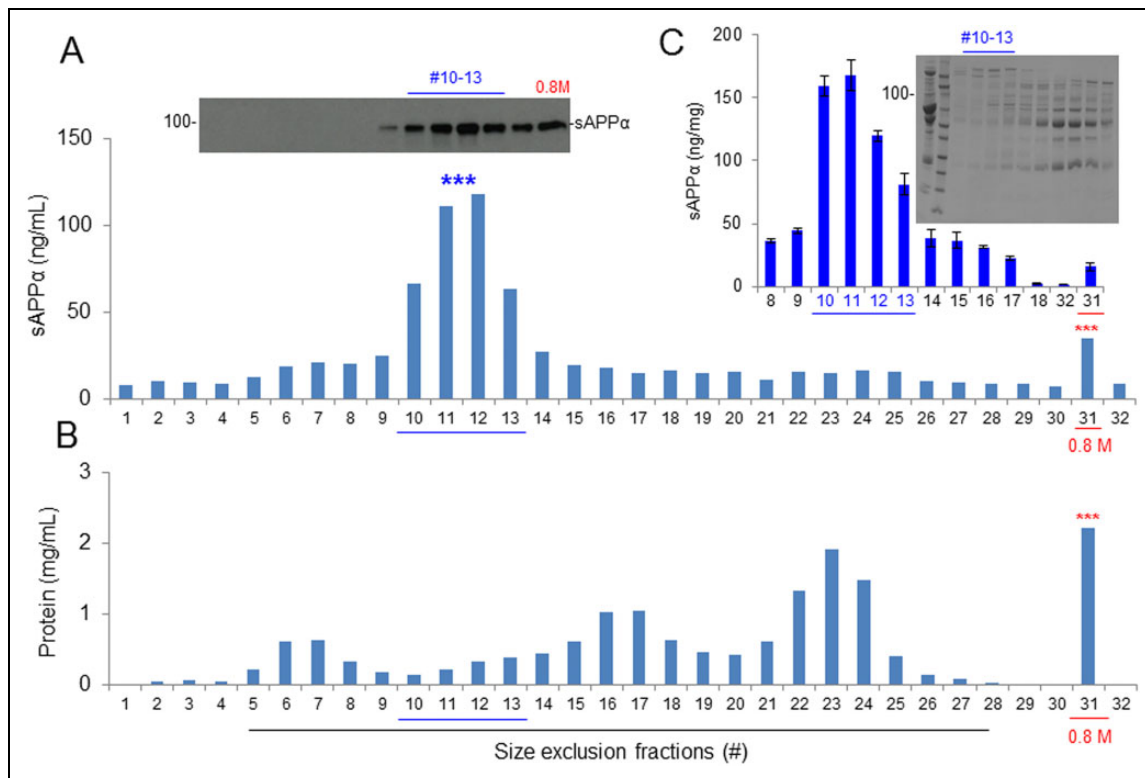


Fig. 4. Protein size-exclusion chromatography by preparative-grade Superdex 200 column. The 0.8 M NaCl-eluted protein fraction of cord blood serum was further subjected to size-exclusion chromatography by analytic Superdex 200 column. Approximately 100 mg of protein from the 0.8 M NaCl fraction was applied to the column, and 48 fractions were eluted with phosphate-buffered saline (PBS). (A) Chinese hamster ovary cells stably expressing wild-type human APP (CHO/APPwt) cells were cultured in 24-well plates and treated with 40 μ L of each protein fraction for 2 h. The conditioned media were collected and analyzed by soluble amyloid precursor protein α (sAPP α) Western blot (upper panel) and ELISA (lower panel). In parallel, the 0.8 M NaCl-eluted fraction (#31) and PBS (#32) were included under the same cell culture conditions as positive and negative controls, respectively. Cell lysates were also prepared from each fraction-treated cell culture as an additional reference to evaluate sAPP α levels. (B) Protein concentration of each size fraction. (C) CHO/APPwt cells were treated with #8 to 18 size fractions prepared from 3 independent experiments, as well as the original 0.8 M NaCl fraction (#31) and PBS (#32), and then conditioned media were collected and analyzed by sAPP α ELISA. The results were presented as mean (\pm SD) sAPP α produced (ng/mg protein). In addition, each size fraction was subjected to sodium dodecyl sulfate polyacrylamide gel electrophoresis analysis to assess total protein fractionation (C, right panel).

4-mo-old 3xTg-AD mice were treated α CBSF, AgBSF (0.5 μ g/mouse), or PBS control (1 μ L/mouse) with i.c.v. injections. After 72 h of treatment, in homogenates prepared from the right hemisphere (noninjection side), WB analysis using A β ₁₋₁₇ antibody (6E10) shows that α CBSF reduced A β (Fig. 8A) and β -CTF production (Fig. 8C), whereas enhancing sAPP α production (Fig. 8B) compared with AgBSF and PBS control. Compared with AgBSF and PBS, α CBSF also reduced tau (Thr²³¹) phosphorylation in these mice (Fig. 8D).

α CBSF Ameliorates β -amyloid Pathology in 5XFAD Mice

To determine the effect of α CBSF on β -amyloid pathology, transgenic 5XFAD mice at the age of 5-month-old were continuously treated with α CBSF or AgBSF *via* i.p. osmotic mini pump for 6 wk. Immunohistochemical staining using 4G8 antibody showed that α CBSF treatment substantially

decreases cortical and hippocampal β -amyloid plaques (Fig. 9A, upper panel) and reduces fibrillary A β species visualized by Thioflavin-S histochemical staining (Fig. 9A, lower panel) compared with AgBSF treatment. Moreover, the α CBSF-treated cohort also revealed less β -amyloid plaque pathology than the AgBSF-treated cohort, as determined by Congo red histochemical staining (Fig. 9A, middle panel). Quantitative analysis disclosed that α CBSF therapy significantly ameliorated β -amyloid pathology, as determined by 4G8 antibody staining in both neocortex and hippocampus regions compared with AgBSF treatment (Fig. 9B).

Neuroprotective Effects of α CBSF

5XFAD mice undergo neuronal loss in the neocortex and hippocampus that is associated with behavioral deficits. We examined whether continuous delivery of α CBSF by i.p. osmotic mini pump can elicit a neuroprotective effect in 5XFAD mice. Treatment with α CBSF partially prevented

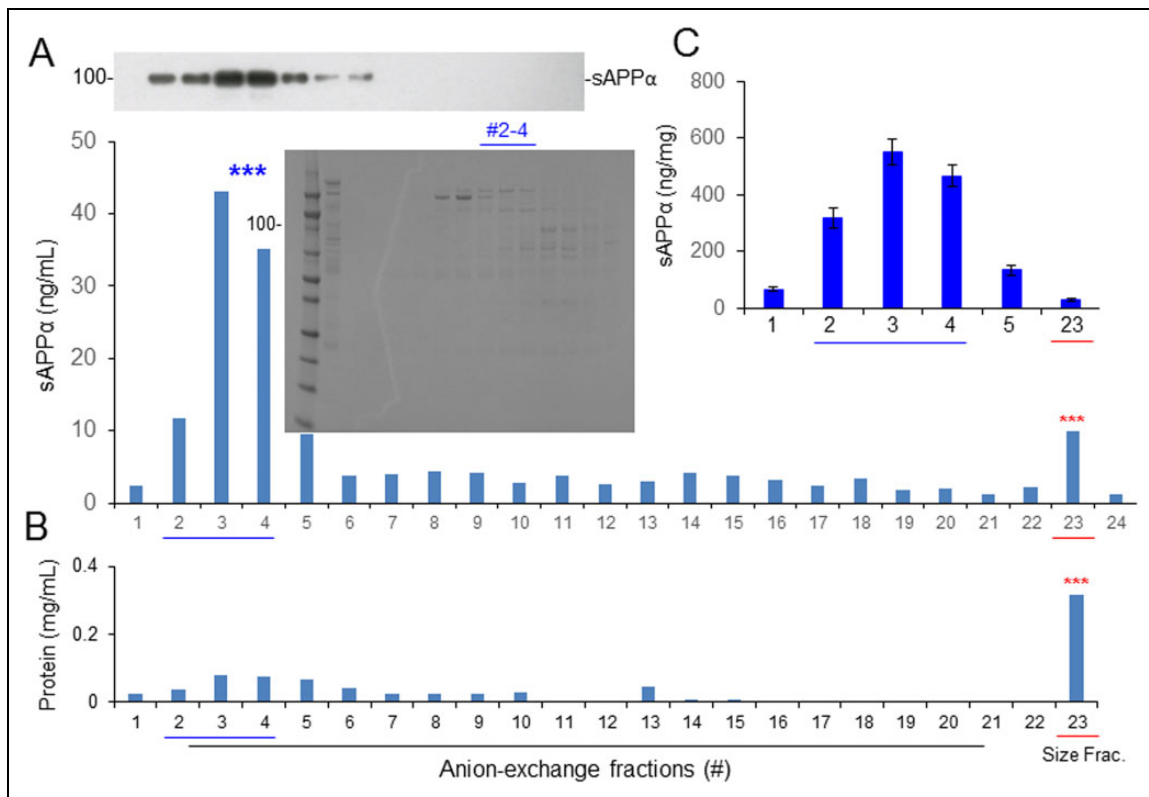


Fig. 5. Further fractionation by anion-exchange chromatography. The size-exclusion fractions containing the highest amyloid precursor protein (APP) α -secretase activities (#10 to 13) were further subjected to anion-exchange chromatography using Q-Sepharose columns. Approximately 10 mg of protein from the size-exclusion fraction(s) was applied to the column, and 82 fractions were eluted with buffer containing 50 mM Tris, 500 mM NaCl, pH 7.6. (A) Chinese hamster ovary cells stably expressing wild-type human APP (CHO/APPwt) cells were treated with 40 μ L of each protein fraction for 2 h and conditioned media and cell lysates were analyzed by soluble amyloid precursor protein α (sAPP α) Western blot (upper panel) and ELISA (lower panel). The original size exclusion-eluted fraction (#23) and phosphate-buffered saline (#24) were included as positive and negative controls respectively. In addition, sodium dodecyl sulfate polyacrylamide gel electrophoresis of #2 to 4 anion-exchange fractions showed the presence of multiple proteins (middle panel). (B) Protein concentration of each fraction. (C) CHO/APPwt cells were treated with the #1 to 4 anion-exchange fractions prepared from 3 independent experiments, and then conditioned media were subjected to sAPP α ELISA. The results are presented as mean (\pm SD) of sAPP α produced (ng/mg protein). The original size exclusion-eluted fraction (#23) was included as a positive control. Combined fractions #2 to 4, referred to as α CBSF, were used for further analysis.

neuronal loss in the neocortex region compared with AgBSF treatment, as demonstrated by NeuN antibody immunohistochemical staining, thus indicating that α CBSF may confer neuroprotective ability for AD brain (Fig. 10A and B).

α CBSF Improves Learning, Memory, and Cognitive Function in 5XFAD Mice

5XFAD mice received continuous treatment with α CBSF or AgBSF *via* i.p. osmotic mini pump for 6 wk and evaluated for cognitive function by novel object recognition and Y-maze tests during 4-5 wk of treatment. Novel object recognition test showed that α CBSF-treated 5XFAD mice spent more time with the novel *versus* old objects, whereas AgBSF-treated 5XFAD mice spent the same period of time with both novel and old objects (Fig. 11A). Thus, discrimination index (%) was enhanced by α CBSF compared with AgBSF treatment (Fig. 11B). Notably, improvement was

complete because there was no significant difference ($P > 0.05$) from WT control mice (NTg). In addition, α CBSF treatment significantly increased the number of entries (Fig. 11C) and spontaneous alterations in 5XFAD mice compared with the AgBSF-treated cohort (Fig. 11D), as determined by Y-maze test, thus confirming that α CBSF treatment improved learning and working memory in this AD mouse model.

Discussion

Recent progress in HUCBC therapy for different neurological diseases^{26,27} opened new opportunities for AD research²⁸. We have previously found that multiple low-dose peripheral infusion of HUCBC reduced cerebral β -amyloid plaques, cerebral amyloid angiopathy, and astrogliosis, whereas these treatments improved cognitive impairments in the PSAPP AD mouse model¹¹ and enhanced neurogenesis in the aged rat brain²⁹. In a subsequent study,

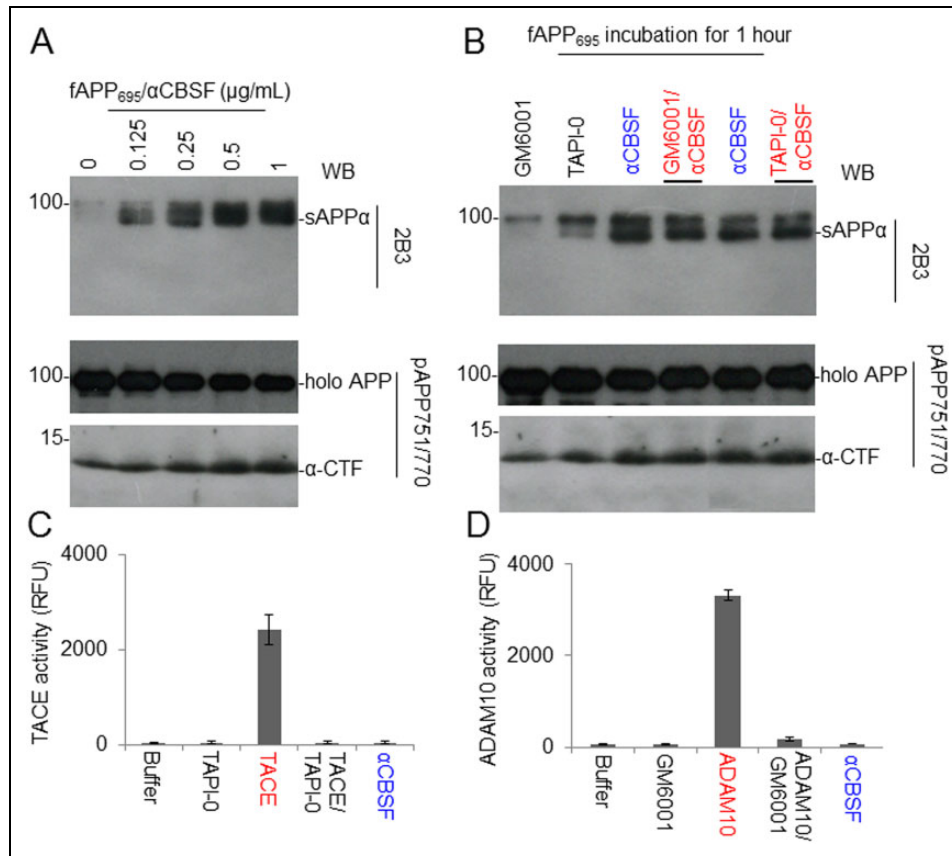


Fig. 6. Cord blood serum-specific fraction with enhanced α -secretase catalytic activity (α CBSF) directly mediates α -cleavage of neuron-specific amyloid precursor protein (APP₆₉₅), but this activity is not mediated by a disintegrin and metalloproteinase domain-containing protein (ADAM) or tumor necrosis factor- α converting enzyme (TACE). (A) Human recombinant full-length APP₆₉₅ (fAPP₆₉₅, 100 ng) was incubated with 0, 0.125, 0.25, 0.5, or 1 μ g of α CBSF at 37 °C for 2 h. The reaction mixtures were subjected to soluble amyloid precursor protein α (sAPP α) Western blot (WB) analysis using 2B3 (top panel) and holo APP and α -C-terminal fragment (α -CTF) analysis using pAPP751/770 antibody (lower panel). (B) fAPP₆₉₅ (100 ng) was incubated with 0.125 μ g of α CBSF in the absence or presence of ADAM (GM6001, 1 μ M) or TACE inhibitor (TAPI-0, 1 μ M) for 1 h and then subjected to sAPP α , holo APP, and α -CTF WB analysis using 2B3 (top panel) and pAPP751/770 (lower panel). α -CTF of APP was further confirmed by an additional WB using an antibody specifically against A β ₁₇₋₂₆ (4G8). In addition, incubating human recombinant fAPP₇₅₁ with α CBSF produces similar results (data not shown). (C) The tumor necrosis factor- α converting enzyme (TACE or ADAM17) activity of α CBSF was measured by TACE cleavage activity kit. TACE (25 μ g/mL) secretase in the presence or absence of TACE inhibitor (TAPI-0, 1 μ M) was included as positive control. (D) In parallel, the ADAM10 activity of the α CBSF was measured by ADAM10 cleavage activity kit. ADAM10 (50 μ g/mL) secretase, in the presence or absence of ADAM inhibitor (GM6001, 1 μ M), was included as positive control. TACE (ADAM17), and ADAM10 cleavage activities were determined for 1 h and expressed as relative fluorescence units. These results are presented as mean (\pm SD) of 3 independent experiments with triplicates for each condition.

we have reported that HUCBC-derived monocytes reduced cerebral β -amyloid pathology and cognitive impairments¹³. In addition, we have revealed that HUCBC-derived monocyte more effectively removed A β by phagocytosis than the aged monocyte, whereas sAPP α enhanced A β phagocytosis by the aged monocyte by forming a complex with A β *via* the help of monocyte scavenger receptor¹³. In support of these findings, we demonstrated that overexpression of sAPP α significantly reduces both cerebral β -amyloid⁶ and tau pathology in crossing Tg-sAPP α with PSAPP mice⁷. Meanwhile, using a sophisticated parabiosis mouse model, Wyss-Coray and colleagues showed that blood serum from old mice reduces neurogenesis and impairs cognitive functions when administered into young mice³⁰. Subsequently, several

groups reversed age-related cognitive impairments in aged mice by infusing plasma from young into old mice¹⁴⁻¹⁶ as well as AD pathology¹⁸. More specifically, Wyss-Coray group have published several articles over the last few years^{14,19}, showing the potential of young and/or umbilical cord plasma in ameliorating aged-associated cognitive impairments. In those experiments, they have either joined the young and aged mice through parabiosis or injected young and/or umbilical cord blood-derived plasma into aged mice *via* tail vein injection. Interestingly, only 3 to 4 injections within 3- to 4-wk period of time improved cognitive impairments in those experiments. These results encouraged us to determine whether human CBS could effectively reduce AD pathology *in vitro* (i.e., cell culture and

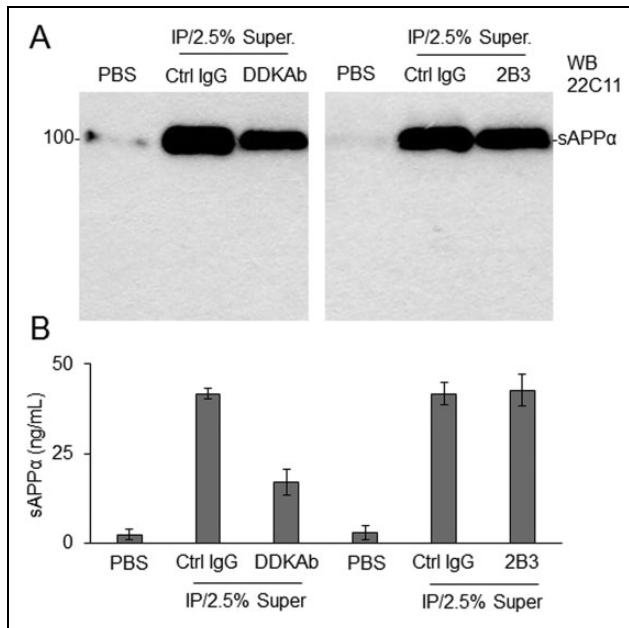


Fig. 7. Immunoprecipitation of full-length amyloid precursor protein (APP₆₉₅)/cord blood serum-specific fraction with enhanced α -secretase catalytic activity (α CBSF) specifically limits APP α -secretase activity of α CBSF. To determine whether immunoprecipitation could limit the ability of α CBSF to promote APP α -cleavage, we incubated 100 ng of fAPP₆₉₅ with 0.125 μ g of α CBSF at 4 °C for overnight and then immunoprecipitated (IP) the soluble amyloid precursor protein α (sAPP α)/ α CBSF immune complex using an anti-DDK antibody (DDKAb), an sAPP α -specific antibody (2B3), or nonspecific IgG. Chinese hamster ovary cells stably expressing wild-type human APP cells were treated with the supernatants (Super.) from each immune complex, or phosphate-buffered saline as control, in the fetal bovine serum-free condition. Two hours after treatment, conditioned media were collected and analyzed by sAPP α Western blot (WB) using anti-N-terminal APP antibody (22C11, A) and sAPP α ELISA (B). For Panel (B), the results were presented as mean (\pm SD) of sAPP α production (ng/mL) in the conditioned media from 3 independent experiments with triplicates for each condition. There was no notable or significant difference in sAPP α production elicited by 0.5% supernatant from α CBSF immunoprecipitated with control IgG (Ctrl) and 2.5% (equivalent to 0.5% Super.) of α CBSF alone, as determined by sAPP α WB and ELISA analysis (data not shown).

cell-free systems) as well as in vivo (i.e., 3xTg-AD and 5XFAD mouse models) by enhancing sAPP α production.

Our preliminary findings indicate that CBS possesses α -secretase-like enzyme in cell culture and cell-free systems. In CHO/APPwt cells, CBS produces greater amount of sAPP α compared with ABS and AgBS in a concentration- and time-dependent manner (Fig. 1A and B). Since α -secretase is proteinaceous and heat-labile, we hypothesized that α -secretase-like enzyme displayed by CBS is also inactivated by heat treatment. As expected, heat inactivation significantly limited the sAPP α -producing capacity of CBS (Fig. 1C). These results suggest that α -secretase-like enzyme of CBS is most likely a single complex protein that interacts with and cleaves APP. Subsequent study indicated that CBS

mediates α -secretase cleavage of neuron-specific APP₆₉₅ in a cell-free system, further suggesting that this activity is mediated by an endogenous enzyme (Fig. 2A and B).

To purify, characterize, and ultimately identify this α -secretase-like content in CBS, we employed 3-step affinity column, size-exclusion, and anion-exchange chromatography techniques in a sequential manner (Fig. 3–5). These sequential purification steps enhanced the catalytic activity more than 3,000-fold compared with original CBS. The fractions containing highest α -secretase catalytic activity, as reflected by sAPP α level, were combined and termed as “ α CBSF” for the further study. SDS-PAGE analysis of the fractions from size-exclusion and anion-exchange chromatography yielding the highest α -secretase indicated size of our unknown enzyme could be around 177 to 275 kDa (Figs. 4 and 5). It is not easy for a 177- to 275-kDa protein to cross the blood-brain barrier through i.p. mini pump administration without any inhibition. We do not believe the protein is larger than 275 kDa based on the markers in for our gels. However, the SDS-PAGE also showed some low-molecular-weight compounds that cannot be ruled out as well, which warrant further investigation. Interestingly, TAPI (ADAM17) and GM6001 (ADAM) inhibitors did not alter α -secretase in CBS, indicating that the enzyme is not TACE or ADAM, whereas the activity was dramatically reduced by PI/cocktail, confirming that the activity is mediated by a protease (Figs. 2 and 6). Moreover, immunoprecipitation of α CBSF with 2B3 antibody (anti-C-terminal of sAPP α) showed significant reduction in sAPP α levels, indicating that α -secretase-like enzyme α CBSF physically interacts with sAPP α (Fig. 7).

Previously, we and others have shown that sAPP α reduces β -amyloid pathology *via* inhibition of BACE1⁶. In a recent article, we have shown that sAPP α decreases tau phosphorylation *via* BACE1 inhibition and GSK-3 β -mediated inhibitory phosphorylation⁷. This study prompted us to investigate the functional efficacy of fractionated CBS (α CBSF) in 5XFAD and 3xTg-AD mouse models. We have shown that α CBSF significantly reduced A β and tau phosphorylation (p-tau-Thr²³¹) in 3xTg-AD mice, whereas α CBSF enhanced α -secretase cleavage products (i.e., sAPP α and α -CTF), indicating that α -secretase-like content in CBS promotes APP nonamyloidogenic processing in vivo (Fig. 8B and C). In 5XFAD mice with aggressive β -amyloid deposition and plaque formation, α CBSF reduced β -amyloid plaque pathology in both neocortex and hippocampus regions, and reduced neural loss in the neocortex region, compared with AgBSF-treated mouse brains (Fig. 9 and 10). By carrying out sequential fractionation, we markedly enhanced CBS-derived α -secretase (termed α CBSF) and infused into 5XFAD mice *via* osmotic mini pump over the period of 6 wk. Behavioral analyses in 5XFAD mice indicate that α CBS-treated mice showed improved episodic memory, as determined by novel object recognition test (Fig. 11A and B), as well as spatial working memory, as determined by Y-maze test (Fig. 11C and D), compared with AgBSF

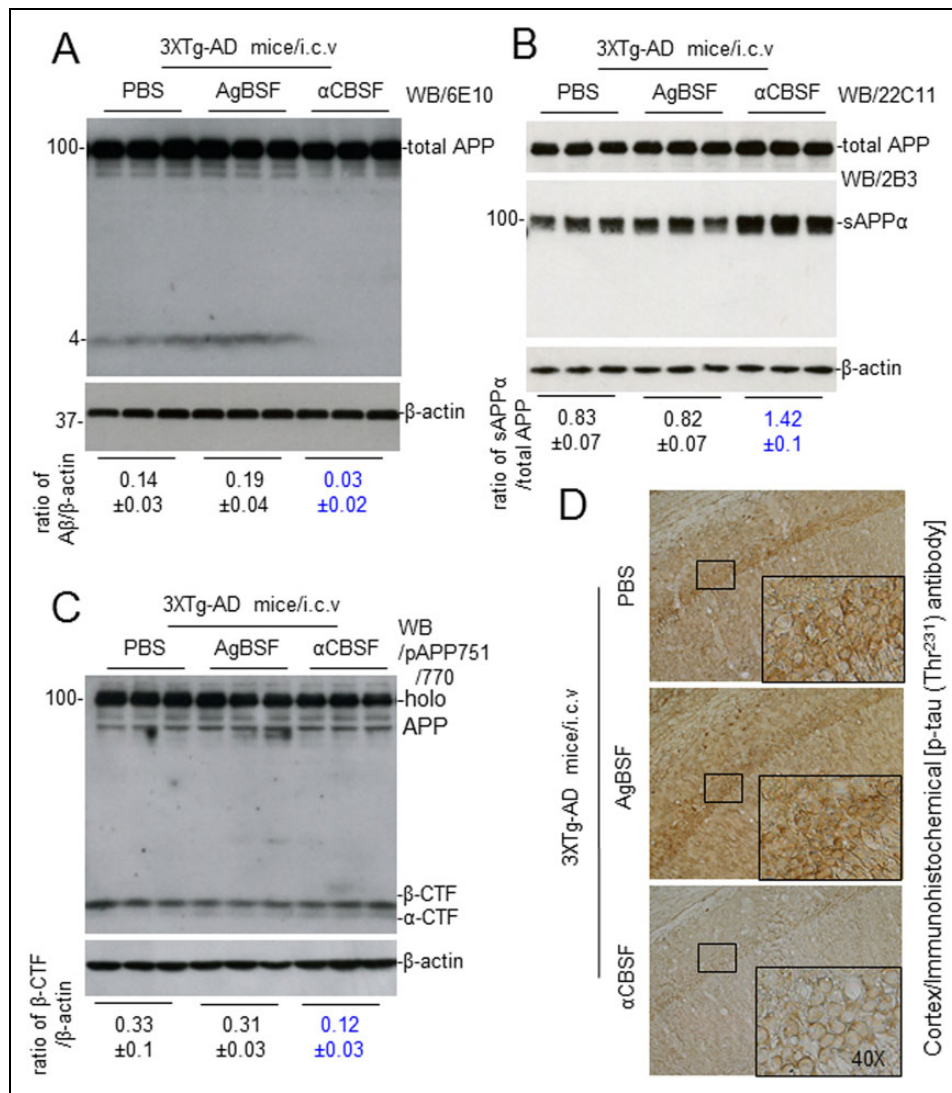


Fig. 8. Cord blood serum-specific fraction with enhanced α -secretase catalytic activity (α CBSF) promotes amyloid precursor protein (APP) α -secretase processing in vivo. 3xTg-AD female mice at 4 mo of age were treated with α CBSF, aged blood serum fraction with enhanced α -secretase activity (0.5 μ g/mouse; $n = 6$), or phosphate-buffered saline control (1 μ L/mouse; $n = 5$ female) by i.c.v. injection and euthanized 72 h later. Mouse brain homogenates were then prepared from the right half of the brain (noninjection side). (A) Western blot (WB) analysis using A β ₁₋₁₇ antibody (6E10) shows total APP and A β species. (B) WB analysis using a soluble amyloid precursor protein α (sAPP α)-specific antibody (2B3) or anti-N-terminal APP antibody (22C11) shows sAPP α or total APP, respectively. (C) WB analysis using pAb751/770 shows full-length APP (holo APP) and 2 bands corresponding to β -carboxy terminal fragment and α -C-terminal fragment. (D) Mouse brain cortices from each treatment group were stained with anti-phospho-tau (p-tau [Thr²³¹]) antibody. In addition, percentages (p-tau [Thr²³¹] positive area/total area; mean \pm SD) of anti-p-tau antibody positive cells were quantified by ImageJ (1.47v, NIH, USA) analysis (** $P < 0.005$; data not shown). WB data presented here are representative of results obtained from 5 to 6 female mice per group.

treatment. Our work is in line with the work of Villeda et al.¹⁴ and Castellano et al.¹⁹, where improvement of performance in cognitive impairment was found in aged mice treated with young plasma. Notwithstanding, we are not quite sure how CBS fraction (α CBSF) ameliorates β -amyloid pathology and cognitive functioning in 5XFAD and tau pathology in 3xTg-AD mouse model. The effect we observe may or may not be from CBS α -secretase-like enzyme. One of the plausible explanations for this effect may be a direct action from CBS α -secretase-like enzyme or could be an indirect effect through peripheral sink

hypothesis which demand further investigation. Although we do not know the exact molecular mechanism, however, we believe that human cord blood-derived serum and/or plasma protein functions as a master regulator of several genes involved in the proliferation of cells, and blood vessels that might reduce neuroinflammation, A β , and improve synaptic plasticity by affecting multiple pathways. Overall, our results show beneficial effects of α CBSF in ameliorating β -amyloid pathology and cognitive functioning in 5XFAD and reducing tau phosphorylation in 3xTg-AD mouse models.

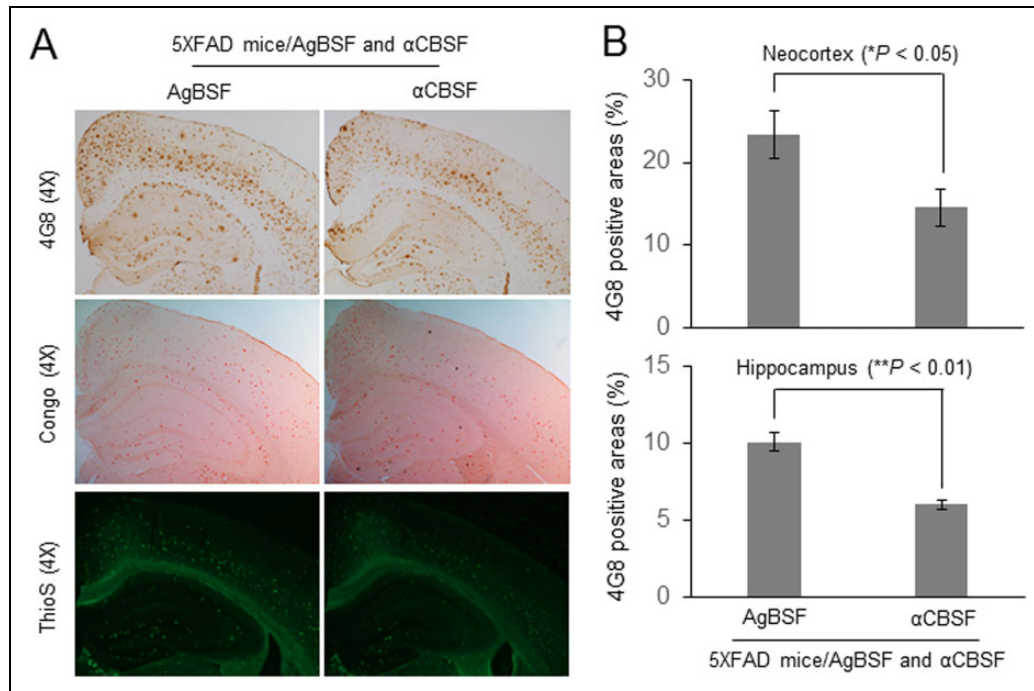


Fig. 9. Cord blood serum-specific fraction with enhanced α -secretase catalytic activity (α CBSF) reduces β -amyloid plaques in 5XFAD mice. Five-month-old 5XFAD female mice were treated intraperitoneally with α CBSF ($n = 5$ to 7) and aged blood serum fraction with enhanced α -secretase activity (AgBSF; $n = 5$ to 6) via osmotic mini pump at 30 μ g/mouse/day for 6 wk. (A) Mouse brain sections from each group were stained with 4G8, Congo red, and Thioflavin-S. (B) Percentages of 4G8 positive areas were quantified by ImageJ (1.47v, NIH, USA) analysis for neocortex and hippocampus, showing that α CBSF treatment significantly reduced plaque area compared with AgBSF treatment (t -test for independent samples; * $P < 0.05$, ** $P < 0.01$).

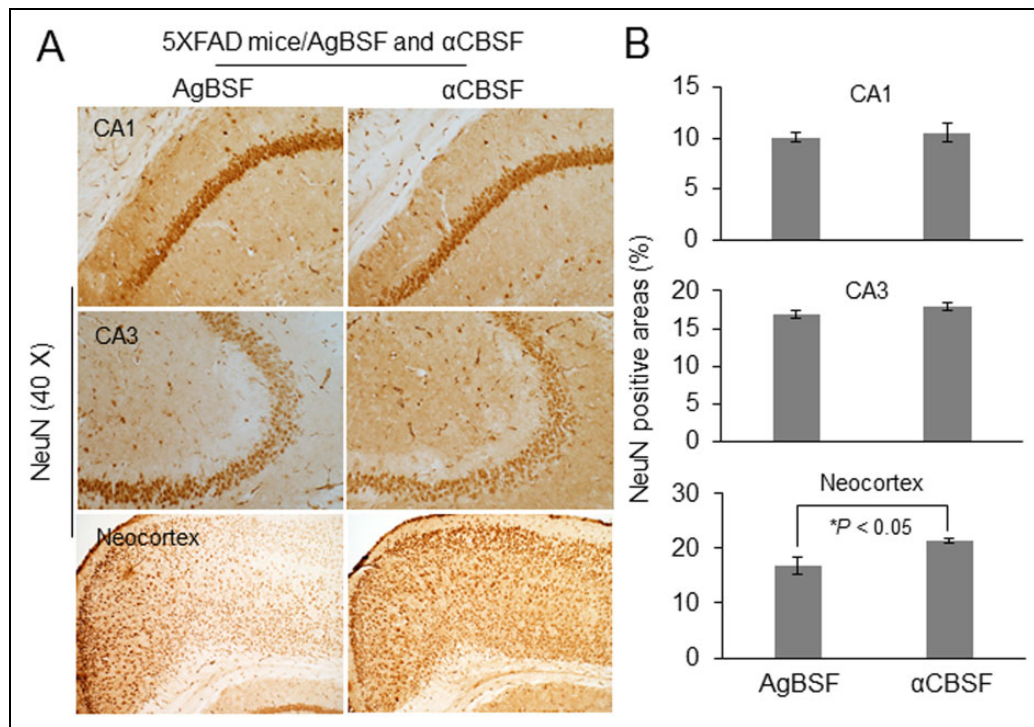


Fig. 10. Neuroprotective effects of cord blood serum-specific fraction with enhanced α -secretase catalytic activity (α CBSF). 5XFAD mice at 5 mo of age were treated intraperitoneally with α CBSF ($n = 7$) or aged blood serum fraction with enhanced α -secretase activity (AgBSF; $n = 6$) via osmotic mini pump for 6 wk. (A) Mouse brain sections from α CBSF- and AgBSF-treated groups were stained with anti-NeuN antibody. (B) Quantification of NeuN positive cells in the CA1, CA3, and neocortex revealed that α CBSF treatment significantly increased NeuN-positive cells compared with AgBSF treatment in neocortex (t -test for independent samples; * $P < 0.05$).

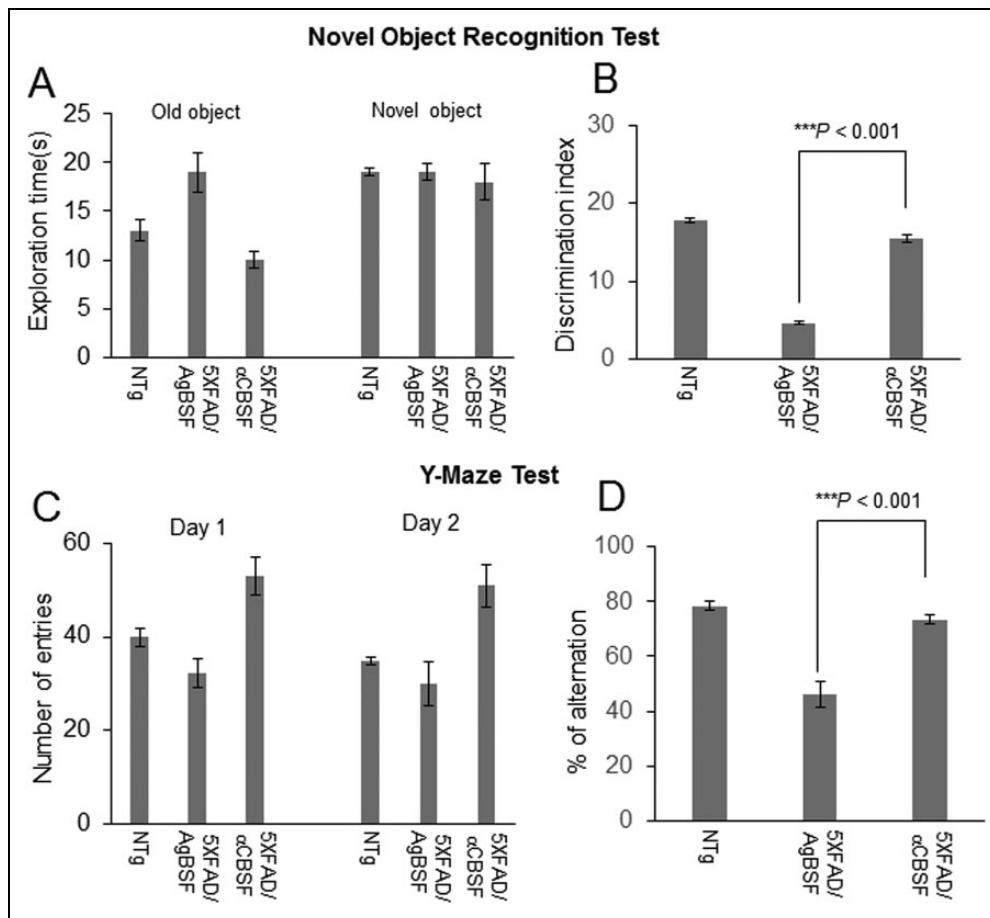


Fig. 11. Cord blood serum-specific fraction with enhanced α -secretase catalytic activity (α CBSF) improves cognitive function in 5XFAD mice. Both male and female 5XFAD mice at 5 mo of age and age-matched nontransgenic wild-type (WT) controls (NTg) were treated with α CBSF and aged blood serum fraction with enhanced α -secretase activity (AgBSF; 30 μ g/mouse/day) intraperitoneally by osmotic mini pump for 6 wk as described in the Methods and Materials section. Each treatment groups as well as nontransgenic WT controls (NTg) were subjected to Novel Object Recognition and Y-maze behavioral testing. (A) Times spent exploring old and novel objects during the test phase of novel object recognition was recorded for each treatment group. (B) Discrimination index, calculated as the frequency of exploring new object versus original objects, was significantly reduced in 5XFAD mice treated with AgBSF, but not in those treated with α CBSF, compared with NTg controls. (C) Total number of arm entries for Y-maze was recorded for each treatment group. (D) Percentage alternations was significantly reduced in 5XFAD mice treated with AgBSF, but not in those treated with α CBSF, compared with NTg controls. Significance level determined by analysis of variance for a total of $n = 5$, NTg mice; $n = 6$, α CBSF-treated 5XFAD mice; and $n = 6$ AgBSF-treated 5XFAD mice (** $P < 0.001$).

It is well known that members of the membrane-bound zinc-dependent metalloproteinase ADAM family are α -secretase enzymes that cleave APP for the nonamyloidogenic pathway. In particular, 3 different members of this family, ADAM9, ADAM10, and ADAM17, possess APP α -secretase activity³¹. The ADAM family constitutes a large family of multidomain membrane proteins that have cysteine-rich, disintegrin, and zinc metalloprotease domains in their ectodomain³². The main function of ADAM family is to shed the ectodomain of different membrane proteins and has growth factors-like function *via* intracellular signaling cascade. However, it should be noted that numerous other substrates also have been linked to this ADAM family. These functions of ADAM family either protect against AD or promote AD pathogenesis *via* activation of different

cytokines. One of the enzymes, ADAM17, is also known as TACE, responsible for secreting the main pro-inflammatory cytokine, TNF α ³³. Thus, TACE (ADAM17) is a therapeutic target for multiple diseases. Additionally, both ADAM10 and ADAM17 cleave various other membrane proteins and promote tumor in the cell³⁴. ADAM10, in particular, cleaves many different kinds of transmembrane proteins in the vascular system, including the platelet-activating collagen receptor glycoprotein VI^{35,36}, and endothelial proteins, including transmembrane chemokines (i.e., CX3CL1 and CXCL16)³⁷. These 2 chemokines are known for angiogenesis, inflammation, and immune cell recruitment^{38,39}. Likewise, ADAM9 cleaves and releases a number of molecules with important roles in tumorigenesis and angiogenesis. Taken together, whereas the known

α -secretase enzymes, mainly ADAM10 and ADAM17 (TACE) and in some degree ADAM9, are involved in APP α -secretase cleavage, they are not APP-specific and cleave various substrates associated with inflammation, tumor formation, and progression. Thus, whereas AD is the only pathology in which an increased α -secretase activity has been proposed to be favorable, the nonspecific nature of the known α -secretases has made this strategy for AD treatment thus far unsuitable⁴⁰.

In sum, our study has presumably discovered an umbilical cord blood-derived α -secretase that is independent of TACE or ADAM, thus making it a suitable candidate for the further study as a therapeutic target for AD treatment. This α -secretase-like enzyme activity either directly or indirectly activates α -secretase or produces sAPP α in cell culture and AD animal models. In addition, we believe this α -secretase appears to be mediated by novel enzymes residing within the sera which decline with age. We expect that our study using fractionation, chromatographic separation, and mass-spectrometry (MS) techniques would identify the target enzyme as well as other interacting partners from CBS. However, identification of a target protein or enzyme with a particular function from a complex mixture of serum is a challenging task due to multiple factors including the high complexity and wide dynamic range of proteins as well as the presence of contaminating proteins of high abundance. Despite this, here we show that our purification techniques significantly enhanced the α -secretase of CBS. Further, MS-based sophisticated purification techniques will completely purify, identify, and characterize the factor mediating this α -secretase in CBS.

Authors' Note

Ahsan Habib and Huayan Hou contributed equally to this work.

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Ethical Approval

The protocols in this study were approved by the relevant ethics committee (see Materials and Methods).

Statement of Human and Animal Rights

This article does not contain any studies with human subjects. All animal experiments were performed in accordance with the guidelines of the National Institutes of Health and were approved by University of South Florida (USF) Institutional Animal Care and Use Committee (IACUC reference number: IS00000438).

Statement of Informed Consent

There are no human subjects in this article and informed consent is not applicable.


Declaration of Conflicting Interests

The author(s) declared the following potential conflicts of interest with respect to the research, authorship, and/or publication of this article: Paul R. Sanberg (PRS) is the coeditor in chief of Cell Transplantation. Neither PRS nor any of his colleagues were involved in the peer-review process or decision for this manuscript. PRS is also a cofounder, and JT is a consultant for Saneron CCEL Therapeutics, Inc. JT, DS, HH, and PRS are inventors on a patent application submitted by University of South Florida. PRS was not involved in any data acquisition and analysis. All other authors report no biomedical financial interests or potential conflicts of interest.

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