## LITERATURE REVIEW EVALUATING THE PROGRESS OF MID-PORTION ACHILLES TENDINOPATHY DURING REHABILITATION: A REVIEW OF OUTCOME MEASURES FOR MUSCLE STRUCTURE AND FUNCTION, TENDON STRUCTURE, AND NEURAL AND PAIN ASSOCIATED MECHANISMS

Myles Murphy, PT<sup>1-3</sup> Ebonie Rio, PT, PhD<sup>4,5</sup> James Debenham, PT, PhD<sup>1</sup> Sean Docking, PhD<sup>4</sup> Mervyn Travers, PT, PhD<sup>1,6</sup> William Gibson, PT, PhD<sup>1</sup>

## ABSTRACT

*Introduction:* Alterations in tendon structure and muscle performance have been suggested as mechanisms driving improvement in pain and function with mid-portion Achilles tendinopathy (AT). However, few trials have used consistent outcome measures to track differences in muscle structure and function, tendon structure and neural and pain associated mechanisms.

**Objectives:** 1) Identify the outcomes measures used in trials utilising loading protocols for mid-portion AT that assess muscle structure and function, tendon structure and neural and pain associated mechanisms in order to report on the reliability of the identified measures, and 2) Propose a summary of measures for assessment of muscle structure and function, tendon structure and neural and pain associated mechanisms in patients with AT.

Design: Literature Review

*Data Sources:* Three electronic databases were searched from inception until May 2016 for studies using loading protocols for mid-portion AT.

Eligibility Criteria: Randomized and non-randomized trials of loading protocols for mid-portion AT.

**Results:** Twenty-eight studies were included; seven assessed muscle, 21 assessed tendon and two assessed neural and pain associated mechanisms. Evidence suggests that isokinetic dynamometry, eccentric-concentric heel raise tests, single leg drop countermovement jumps or hopping are the most reliable ways to assess muscular adaptation. Assessment of tendon structure is unlikely to have any benefit given it does not appear to correlate to clinical outcomes. The neural and pain associated mechanisms have not been thoroughly investigated.

*Conclusion:* Further research needs to be done to determine the role of muscle, tendon and neural adaptations using reliable outcome measures during the management of mid-portion AT.

Level Of Evidence: Level Five.

Key Words: Achilles; outcome measures; reliability; tendinopathy; tendon structure; tendon function

<sup>1</sup> School of Physiotherapy, The University of Notre Dame Australia, Fremantle, Australia

- <sup>2</sup> SportsMed Subiaco, St John of God Health Care, Subiaco, Australia
- <sup>3</sup> Sports Science Sports Medicine Department, Western Australian Cricket Association, East Perth, Australia
- <sup>4</sup> Sports and Exercise Medicine Research Centre, La Trobe University, Bundoora, Australia
- <sup>5</sup> Australian Collaboration for Research into Injury in Sport and its Prevention (ACRISP), Bundoora, VIC, Australia
- <sup>6</sup> School of Physiotherapy and Exercise Science, Curtin University, Bentley, Australia

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#### **CORRESPONDING AUTHOR**

Myles Murphy School of Physiotherapy, The University of Notre Dame Australia, Fremantle, WA, Australia. Mobile: 0414015434 E-mail: myles.murphy1@my.nd.edu.au

#### **INTRODUCTION**

Achilles tendinopathy (AT) is a common runningrelated injury, with a prevalence of 6.2-9.5% in recreational runners.<sup>1</sup> AT can present as either mid-portion or insertional tendinopathy. Midportion tendinopathy affects the mid-portion of the tendon approximately 2-4 cm proximal to the insertion whereas insertional tendinopathy affects the tendon insertion onto the calcaneus.<sup>2</sup> Clinically, AT is characterised by pain and stiffness with these symptoms affecting athletic function.<sup>3,4</sup> Mid-portion and insertional AT are considered different clinical entities,<sup>5</sup> and accordingly, the scope of this review focusses purely on mid-portion AT.

Both researchers and clinicians are challenged by mid-portion AT.<sup>6</sup> Exercise rehabilitation, specifically either eccentric only or isotonic resistance loading protocols, appear to be effective interventions over time.<sup>2,7</sup> While these programs appear effective, a proportion of patients who complete loading protocols continue to experience symptoms at five year follow up.<sup>8,9</sup> One potential explanation for this relates to the incomplete understanding of the mechanisms underpinning this therapy.<sup>10</sup> Several theories have been alluded to in the literature including 1) alterations in tendon structure<sup>11</sup> 2) alterations in muscle performance<sup>12</sup> and 3) alterations in pain mechanisms.<sup>13</sup> Evidence supporting these theories however, is either sparse or conflicting and without clear guidance on what outcome measures should be used to assess muscle (structure and function), tendon and neural contributions.

The most commonly cited mechanism underpinning improvements in AT following a loading program is improvements in the material and mechanical properties of the tendon.<sup>11,14</sup> This is based on the theory that disorganized fibrillar structure observed within tendinopathic tissue may be responsible for the pain associated with tendinopathy.<sup>3,4</sup> For instance in the pathological tendon, a decrease in tendon size and signal on Magnetic Resonance Imaging (MRI) has been proposed to reflect positive improvement in the tendon.<sup>11,14</sup> This theory has recently been challenged as the majority of work in this area indicates that changes in tendon structure and volume do not explain improvements in pain and function when assessed with greyscale ultrasound (US), ultrasound tissue characterisation (UTC) and MRI.<sup>15,16</sup> Given the lack of correlation between tendon structure on imaging and improvement in pain and function, recent attention has been directed towards possible adaptations that may occur in either the muscular<sup>12</sup> and/ or neural and pain associated mechanisms.<sup>13</sup>

The muscular system, specifically plantar flexor performance, may act as a 'stress shield' for the Achilles tendon,<sup>17</sup> which is believed to optimize the stretch shortening cycle.<sup>18</sup> A growing body of biomechanical literature supports the premise that muscle performance and musculotendinous behaviour are intimately linked, and can be modulated by training. In response to loading, muscle performance improves in timeframes of seconds to weeks, mediated initially by neural mechanisms,<sup>19</sup> then subsequently by functional and structural changes within the muscle itself.<sup>20</sup> For example, maximal voluntary isometric contraction has been shown to immediately increase following a single bout of isometric loading in patients with patellar tendinopathy and this was associated with a decrease in excess cortical motor inhibition.<sup>21</sup> However, these findings have yet to be replicated in mid-portion AT. Medial gastrocnemius fascicles have been shown to have an intimate relationship with the stiffness of the muscle-tendon unit and can be considered in series with the Achilles tendon.<sup>22</sup> Longer gastrocnemius fascicle length has also been shown to correlate with improved physical performance in running athletes.<sup>23</sup> In healthy individuals, muscle strength improves within days following resistance training.<sup>19</sup> These improvements are due to a plethora of suggested neural mechanisms such as increases in motor unit firing rates, double discharges of individual motor units, increased excitability of the motor cortex and cross transfer/ cross education.<sup>19</sup> Changes in sensory receptors may also lead to a disinhibition or increased expression of muscle force.<sup>19</sup> Despite these early changes in motor output, true muscular hypertrophy appears only after approximately three weeks of a resistance training protocol.<sup>20</sup> Given that clinical improvements in response to loading interventions are typically observed prior to this time point, it is likely that other mechanisms driving this improvement are

involved here also. The early improvement in pain and function without frank adaptation in muscular tissue implicates neural mechanisms as a likely mechanism underpinning this clinical observation.

The drivers of pain in both upper limb and lower limb tendinopathy remain poorly understood, although evidence exists supporting peripheral tendon-based nociceptive contributors as well as input from the central nervous system (CNS).<sup>10,24,25</sup> Furthermore, a case control study specific to patellar and AT demonstrated a predominantly peripheral pain state.<sup>26</sup> Importantly, immediate improvements in self-reported pain have been demonstrated following exercise rehabilitation for other conditions such as knee osteoarthritis.<sup>27</sup> When it comes to measuring pain and function, it has also been highlighted that the reliability and validity of many outcome measures used within intervention studies for midportion AT have not been established.<sup>28</sup> This means that the findings of research and clinical assessment may potentially be inaccurate or misleading.<sup>28</sup>

Thus, it is vital that outcome measures across all assessment domains are valid and reliable in order to produce high quality research which usefully informs our understanding of changes in muscle structure and function, tendon structure, and neural and pain associated mechanisms in mid-portion AT rehabilitation.

One challenge in understanding these mechanisms is that few trials have used consistent outcome measures to quantify the changes in muscle structure, muscle function, and tendon structure. The chosen outcome measures also lack sufficient reliability allow confidence in the results. It is important that clinicians and researchers are familiar with the outcome measures that have been used in clinical trials including their reliability, as suboptimal outcome measures can produce results with questionable utility. However, while reliable measures are important for researchers it is also important for clinicians to know which measures are accessible for everyday practice. This may in turn reduce barriers to implementation of these measures.<sup>29</sup>

## AIMS

The objectives of this literature review are: 1) Identify the outcomes measures used in trials utilising loading protocols for mid-portion AT that assess muscle structure and function, tendon structure and neural and pain associated mechanisms in order to report on the reliability of the identified measures, and 2) Propose a summary of measures for assessment of muscle structure and function, tendon structure and neural and pain associated mechanisms in patients with AT.

## **METHODS**

# Criteria for considering studies for this review

## Types of studies

Both non-randomized cohort studies and randomized controlled trials were included if a loading protocol was used to treat mid-portion AT. Case reports, clinical observations, and systematic reviews were excluded.

## Types of participants

Physically active and sedentary participants aged 18 years and over identified as having mid-portion AT for greater than three months were included. Studies including participants with insertional AT or other causes of pain (differential diagnoses) anywhere in the Achilles region were excluded from the review.

## Types of interventions

Intervention studies using either isometric, eccentric, concentric or isotonic (eccentric and concentric) loading protocols were included. Studies that employed an isometric, eccentric, concentric or isotonic loading program in conjunction with a placebo therapy (for example sham laser treatment) were included.

## Types of outcomes measures

Only studies that used an outcome measure of muscle structure and function, tendon structure, and neural and pain associated mechanisms in mid-portion AT were included.

## Search methods for identification of studies

## Electronic Searches

Searches using free text terms (Table 1) were used to identify published articles on the following electronic databases; PUBMED, CINAHL (Ovid) and CINAHL (EBSCO). Only peer reviewed, human, clinical trials and cohort studies were included.

Table 1. Systematic Review Search Strategy				
Number	Combiners	Terms		
1	Problem of Interest	Achilles tend*		
2	Intervention	exercise OR eccentric OR isotonic OR resistance OR strength*		
3		#1 AND #2		
	Limitations	Peer reviewed, human, clinical trials written in English were included.		

#### Searching other Resources

Reference lists from reviews and retrieved articles were checked and citation searches on key articles performed. The list of included studies was evaluated by content experts to help identify any additional relevant studies.

#### Data collection and analysis

#### Selection of Studies

One review author (MM) searched and assessed the titles and abstracts of potential studies identified by the search strategy for their eligibility. Studies were exported to reference management software EndNote X8.0.2 (Clarivate Analytics, 2017) and duplicates were removed. If the eligibility of a study was unclear from the title and abstract the full paper was assessed. Studies that did not match the inclusion criteria for this review were excluded. Studies were not anonymised prior to assessment. A PRISMA study flow diagram was used to document the screening process.<sup>30</sup>

#### Data abstraction and management

One review author (MM) extracted data from all included studies using a standardized and piloted data extraction form on Microsoft Excel (Microsoft, 2016). The following information was recorded; primary author, year of publication, study design, study population (diagnosis), sample size, loading intervention (e.g. heavy eccentric calf training), outcome measures used, number of follow up points and time (weeks) at each follow up point.

#### Data synthesis

Reliability was reported if provided by the study using the outcome measure. If the study provided a reference to psychometric properties, the referenced study was used to extract the data.

#### RESULTS

#### **Selection of Studies**

A total of seven studies were included that contained a measure of muscle structure or function.<sup>31-37</sup> A total of 21 studies were included that contained a measure of tendon structure.<sup>9,11,14,15,33,38-53</sup> A total of two studies were included that contained a measure of neural and pain associated mechanisms.<sup>8,51</sup> Given overlap in some studies a total of 28 studies were included for analysis. The study selection process is demonstrated in the Prisma Flow Diagram (Figure 1).

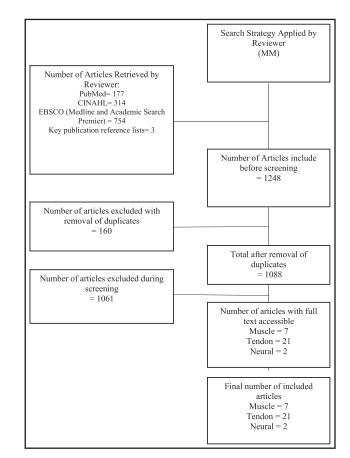


Figure 1. PRISMA Study Flow Diagram.

#### **Data Extraction**

Data were extracted from 28 studies, of which some studies assessed a combination of muscle strength and function, tendon structure and neural and pain associated mechanisms. The characteristics of the included studies are presented in Appendix A.

### **MUSCLE STRUCTURE AND FUNCTION**

#### Data Synthesis

The outcome measures used to assess muscle structure and function are presented in Table 2. Three separate measures are shown to represent muscle function; categorized into those looking at muscle strength, endurance, or power production

#### Structure

Ultrasound (US) has been used to examine muscle structure in a single clinical trial.<sup>32</sup> US assessment of the calf muscle complex has shown excellent reliability when assessing gastrocnemius thickness (Intrarater ICC = 0.96-0.99, Inter-rater ICC = 0.97-0.99),<sup>55</sup> gastrocnemius fascicle length (Intra-rater ICC = 0.91)<sup>54</sup> and gastrocnemius pennation angle (Intra-rater ICC = 0.69-0.82, Inter-rater ICC = 0.70-0.96).<sup>55</sup>

#### Strength

Isokinetic dynamometry, albeit at different speeds, has been used to measure strength in three clinical trials<sup>31,33,36</sup> while the eccentric-concentric toe/ heel raise strength tests have been used in one clinical trial.<sup>34</sup> Excluding isokinetic dynamometry at 225 degrees/second, all other speeds tested showed superior (good to excellent) reliability when compared to the eccentric-concentric heel-raise tests. Specifically, isokinetic dynamometry at 120deg/s shows excellent reliability (r = 0.94).<sup>59</sup> The eccentric-concentric heel-raise test also shows good, yet inferior, reliability (ICC = 0.76-0.86)<sup>60</sup> when compared to isokinetic dynamometry.

#### Endurance

To measure muscle endurance, isokinetic dynamometry has been used in one clinical trial<sup>33</sup> and heel-raise test for endurance have been used in two clinical trials.<sup>34,35</sup> The reliability of slow, endurance based isokinetic dynamometry has not been assessed in the ankle, however the heel-raise test for endurance shows good reliability (ICC = 0.78-0.84).<sup>60</sup>

Outcome Measure		Follow up (weeks)	Reliability			
	Trials (n)					
Constant IIC Contactor Franklin Longh	132	4,8	FRUCTURE			
Greyscale US – Gastrocnemius Fascicle Length Greyscale US – Gastrocnemius Pennation Angle	1 <sup>32</sup> 4, 8		Intra-Session Reliability in healthy adults without lower limb pain or injury: ICC=0.91 <sup>54</sup> Intra-Session Reliability in post-stroke patients: ICC=0.69-0.82 <sup>55</sup>			
Greyscale US –Gastrochemius Pennation Angle	1	4, 0	Inter-Session Reliability in post-stroke patients: ICC=0.09-0.82			
Grevscale US –Gastrocnemius Muscle Thickness	132	4,8	Intra-Session Reliability in post stroke patients: ICC=0.96-0.99 <sup>55</sup>			
Steyseare of Substrontennus Musele Tillekness	1	4,0	Inter-Session Reliability in post stroke patients: ICC=0.97-0.99 <sup>55</sup>			
		S	TRENGTH			
Isokinetic Dynamometry – 30 degrees / second (Peak Torque)	136	8	Test Re-Test Reliability in healthy, older women without lower limb pain or injury: ICC=0.89, SEM=8.7			
			units <sup>56</sup>			
			Inter-Session Reliability in healthy adults without lower limb pain or injury: ICC=0.9057			
Isokinetic Dynamometry - 60 degrees / second (Peak Torque)	1 <sup>33</sup>	12	Intra-Session Reliability in patients following Achilles tendon rupture: ICC=0.80-0.9058			
			Inter-Session Reliability in patients following Achilles tendon rupture: ICC=0.76-0.9258			
Isokinetic Dynamometry – 90 degrees / second (Peak Torque)	1 <sup>31</sup>	12	Test Re-Test Reliability in healthy, older women without lower limb pain or injury: ICC=0.85, SEM=8.9 units <sup>56</sup>			
			Inter-Session Reliability in healthy adults without lower limb pain or injury: ICC=0.93 <sup>57</sup>			
Isokinetic Dynamometry – 90 degrees / second (Total Work)	131	12	Not Reported			
Isokinetic Dynamometry - 120 degrees / second (Mean Peak Torque)	136	8	Intra-Session Reliability in healthy adults without lower limb pain or injury: r=0.9459			
			Inter-Session Reliability in healthy adults without lower limb pain or injury: r=0.9459			
Isokinetic Dynamometry – 225 degrees / second (Peak Torque)	131	12	Intra-Session Reliability in patients with chronic mid-portion Achilles tendinopathy: r=0.5531			
Isokinetic Dynamometry – 225 degrees / second (Total Work)	131	12	Not Reported			
Heel Raise Test (Concentric)	1 <sup>34</sup>	6, 12, 26, 52	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: ICC= $0.73-0.82$ , SEM= $17\%$ (0.1) <sup>60</sup>			
Heel Raise Test (Concentric/ Eccentric)	134	6, 12, 26, 52	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: ICC= 0.76-0.86, SEM=15-17 (72W) <sup>60</sup>			
	•	EN	NDURANCE			
Isokinetic Dynamometry – 20 degrees / second (Mean Peak Torque for Endurance)	133	12	Not Reported			
Heel Raise Test	334, 35, 37	6, 12, 26, 52	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: r=0.56 <sup>35</sup> Test Re-Test Reliability in healthy adults without lower limb pain or injury: ICC=0.78-0.84 <sup>61</sup>			
		POWEI	R PRODUCTION			
Single Leg CMJ – Height	135	6, 12, 26	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: r=0.9335			
Single Leg CMJ – Time in Air	1 <sup>35</sup>	6, 12, 26	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: r=0.9335			
Single Leg CMJ	134	6, 12, 26, 52	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: ICC= 0.91, SEM=8% (1.2cm			
Single Leg Drop CMJ	1 <sup>34</sup>	6, 12, 26, 52	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: ICC= 0.88-0.92, SEM=11-12 (1.3-1.7cm) <sup>60</sup>			
Hopping (Plyometric Quotient)	234, 37	6, 12, 26, 52	Test Re-Test Reliability in patients with mid-portion Achilles tendinopathy: ICC= 0.83-0.94, SEM=9-119 (0.1) <sup>60</sup>			
Sargant Jump Test	136	8	Test Re-Test Reliability in healthy adults without lower limb pain or injury: ICC=0.96, CV=3% <sup>62</sup>			

The International Journal of Sports Physical Therapy | Volume 13, Number 3 | June 2018 | Page 541

#### **Power Production**

Power production has been assessed using a variety of different tests measuring multiple variables (e.g. height, air time etc) with the Sargent jump test being used in one clinical trial,<sup>36</sup> the one legged counter movement jump (CMJ) being used in two clinical trials,<sup>34,35</sup> the one legged drop CMJ being used in one clinical trial<sup>34</sup> and hopping used in two clinical trials.<sup>34,37</sup> The Sargent jump test (Test-retest ICC = 0.96)<sup>62</sup> reported marginally better reliability than one legged CMJ (Test-retest ICC = 0.91-0.93),<sup>35,60</sup> one legged drop CMJ's (r = 0.088-92)<sup>60</sup> and hopping (Test-retest ICC = 0.83-0.94).<sup>60</sup>

#### **TENDON STRUCTURE**

#### **Data Synthesis**

The outcome measures used to assess tendon structure are presented in Table Three. Three separate measures are shown; categorised into those looking at structure, para-tendinous substances, and tendon/ paratenon microcirculation. been used in seven clinical trials,<sup>9,39-41,43,47,53</sup> UTC has been reported in two clinical trials<sup>15,42</sup> and MRI has been used in two clinical trials.<sup>11,14</sup> Excellent intrarater reliability was seen when classifying tendon structure into echo-types using UTC (ICC = 0.95-0.99).65 MRI assessment of tendon volume showed excellent intra-rater  $(r=0.98-0.99)^{11}$  and interrater $(r=0.96-0.99)^{11}$  reliability. MRI assessment of Mean Achilles Tendon Signal has shown excellent intra-rater  $(r = 0.84-0.97)^{11}$  and inter-rater reliability (r = 0.78-0.95).<sup>11</sup> Grading tendon structure on Doppler US using the Modified Ohberg Score (0 = no vessels)visible, 1 + = 1 vessel, mostly anterior to the tendon, 2 + = 1 or 2 vessels throughout the tendon, 3 + = 3vessels throughout the tendon, or 4 + = more than 3 vessels throughout the tendon) showed good interrater reliability (ICC = 0.85).<sup>64</sup> Tendon thickness measured by greyscale US has shown good intrarater  $(ICC = 0.78 - 0.90)^{67}$  and inter-rater  $(ICC = 0.72 - 0.72)^{167}$ 0.73)<sup>67</sup> reliability.

#### Para-tendinous substances

## Structure

Tendon structure has been measured using Greyscale US in 10 clinical trials, <sup>9,39,41,42,46,48-52</sup> Doppler US has

One clinical trial has measured paratendinous substances using micro-dialysis.<sup>45</sup> The variability of assessing interstitial carboxyterminal propeptide

Outcome Measure	Frequency in Clinical Trials (n)	Follow up (weeks)	Reliability
	•	STRU	CTURE
Greyscale US – AP Tendon Thickness	10 <sup>9, 39, 41, 42,</sup> 46, 48-52	4, 6, 12, 16, 52, 3.8 years	Test Retest Reliability in healthy adults without lower limb pain or injury: Variation= $0.06(22\%)^{63}$ Intra-rater Reliability in healthy adults without lower limb pain or injury: Variation= $0.05(19\%)^{63}$ Inter-rater Reliability in healthy adults without lower limb pain or injury: Variation= $0.16(60\%)^{63}$
Greyscale US – Pathology (Yes/ No)	3 <sup>33, 47, 48</sup>	12, 28 months, 3.8 years	Test Retest Reliability in patients with chronic mid-portion Achilles tendinopathy: CV=1.147
Greyscale US - 4 Point Pathology Grading Scale	1 <sup>46</sup>	12, 52	Inter-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: $\kappa = <0.4^{46}$
Doppler US - Colour Fraction Measurement (%)	1 <sup>39</sup>	12, 52	Not reported
Doppler US - Ohberg Score (0-2)	147	28 months	Test Retest Reliability in patients with chronic mid-portion Achilles tendinopathy: CV=1.147
Doppler US - Modified Ohberg Score (0-4)	59, 40, 41, 43, 53	0, 6, 12, 24, 52, 5 years	Inter-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: ICC=0.85 <sup>64</sup>
UTC - % of Type 1-4 Echoes	2 <sup>15, 42</sup>	2, 6, 8, 16, 24, 52	Intra-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: ICC=0.95-0.9965
MRI - 4 Point Scale of Intratendinous Signal	114	12, 14 months, 4.2 years	Intra-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: κ=0.58 <sup>14</sup> Inter-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: κ=0.71 <sup>14</sup>
MRI - Mean Signal (Units)	111	12	Intra-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: r=0.84-0.97 <sup>11</sup> Inter-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: r=0.78-0.95 <sup>11</sup>
MRI - Tendon Volume	211, 14	12, 14 months, 4.2 years	Intra-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: r=0.98-0.99 <sup>11, 14</sup> Inter-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: r=0.96-0.99 <sup>11, 14</sup>
	•	PARA-TENDING	DUS SUBSTANCES
Micro-dialysis - Intratendinous Glutamate	1 <sup>38</sup>	12	Not Reported
Micro-dialysis - Interstitial PICP from paratendinous space just ventral to the Achilles tendon (Collagen synthesis)	145	12	Intra-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: CV=2.7% at 214µg/L <sup>4</sup>
Micro-dialysis - Interstitial ICTP from paratendinous space just ventral to the Achilles tendon (Collagen Degradation)	145	12	Intra-rater Reliability in patients with chronic mid-portion Achilles tendinopathy: CV=4.9% at 6.1µg/L <sup>43</sup>
	TEND	ON/ PARATENO	N MICROCIRCULATION
Tendon and Paratenon Microcirculation using non-invasive combined laser-Doppler and Flowmetry systems	144	12	Intra-rater Reliability in healthy adults without lower limb pain or injury: CV=5% <sup>66</sup> Inter-rater Reliability in healthy adults without lower limb pain or injury: CV=32-37% <sup>66</sup>

of type I collagen (PIPC) was shown to be good (CV = 2.7% at  $214\mu g/L$ )<sup>45</sup> and the variability of assessing interstitial carboxyterminal telopeptide region of type I collagen (ICTP) was also shown to be good (CV = 4.9% at  $6.1\mu g/L$ ).<sup>45</sup>

#### Tendon/ paratenon microcirculation

Tendon and paratenon micro-circulation has been measured by assessing non-invasive combined laser Doppler and flowmetry in one clinical trial. The intra-tester  $(CV = 5\%)^{66}$  and inter-tester  $(CV = 32-37\%)^{66}$  variability has shown to be good.

## NEURAL AND PAIN ASSOCIATED MECHANISMS

#### Data synthesis

The outcome measures used to assess domains within the nervous system are listed in Table 4.

#### Peripheral sensitivity

There is a dearth of information assessing the neural and pain associated mechanisms in clinical trials in AT. Mechanosensitivity has been assessed using Pressure Pain Thresholds (PPT) in one clinical trial.<sup>51</sup> PPT's using manual algometry have been shown to have excellent intra-rater reliability (ICC = 0.96)<sup>68</sup> in the Achilles tendon.

#### **DISCUSSION**

#### Muscle structure and function

#### Structure

In patients with AT, medial gastrocnemius fascicle length significantly increases within six weeks of beginning an eccentric calf program and correlates with improvements in pain and function.<sup>32</sup> However, while US measures of fascicle length are reliable<sup>54</sup> these investigators did not establish the reliability of the measure themselves<sup>32</sup> leading to potential bias. The role of soleus fascicle length has not been investigated yet in mid-portion AT. Whilst US is reliable for assessing calf muscle architecture,

changes in calf muscle thickness have not been shown to correlate to improvement in pain and function in mid-portion AT leaving questions regarding its utility.32 MRI has not been used in clinical trials to assess muscle structure but it is highly reliable in assessing the muscle volume of the gastrocnemius (Intra-rater ICC = 0.99-1.00, Interrater ICC = 0.99)<sup>70</sup> and soleus (Intra-rater ICC = 0.99-1.00, Inter-rater ICC = 0.99).<sup>70</sup> US and MRI are appropriate for use in assessing muscle structure in research. However, access to these measures and the training required to report on them is likely a significant barrier to use. Quantification of muscular hypertrophy or architectural changes will not likely occur for at least three weeks following the inception of a loading protocol.<sup>20,32</sup> Therefore, any future work investigating these changes require appropriate timeframes for follow-up.

#### Strength

A variety of different assessments have been used to quantify muscle strength in mid-portion AT. Varied speeds for isokinetic dynamometry have been reported and speed of isokinetic dynamometry should therefore be selected based on the role of the muscle to tie into function. The required speed for performing a heel raise is 6.3-6.5 rad/s,<sup>71</sup> walking is 4.2 rad/s,<sup>72</sup> transitioning from walking to running is 6.6 rad/s,<sup>73</sup> running is 8.4-9.0 rad/s<sup>74</sup> and jumping is 16 rad/ $s^{75}$  (Figure 2). Given the large angular velocities required in the ankle for function, isokinetic dynamometry at 120 deg/s appears to be the fastest, yet most reliable form of measuring strength. However, even at this velocity it does not mimic functional speeds. More functional strength measures such as the eccentric-concentric heelraise test may better mirror the required angular velocities<sup>60</sup> and can be used clinically by recording a three-repetition maximum using weights equipment such as a Smith machine. The eccentric-concentric heel-raise test has also been validated in mid-portion AT and is able to differentiate between participants

Table 4. Outcome measures assessing the neural and pain associated mechanisms					
Outcome Measure	Frequency in Clinical Trials (n)	Follow up (weeks)	Reliability		
Pressure Pain Threshold (Pressure on an Algometer at which pain is first experienced)	151	16	Inter-rater Reliability in chronic mid-portion Achillea tendinopathy : ICC= 0.9668		
Tampa Scale of Kinesiophobia	18	5 Years	Test-Retest Reliability in patients with acute lower back pain: r=0.64-0.8069		

The International Journal of Sports Physical Therapy | Volume 13, Number 3 | June 2018 | Page 543

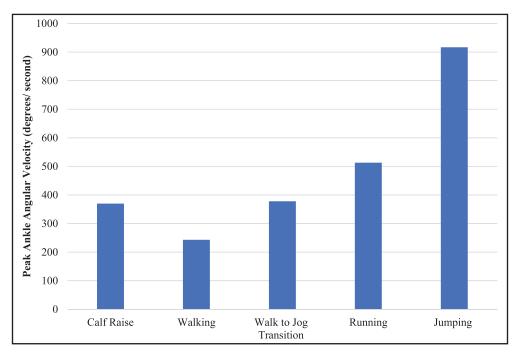


Figure 2. Peak Ankle Angular Velocity during Functional Tasks. 71-75,78

more painful and least/ non painful side (p= 0.001-0.006).60 Isokinetic dynamometry has also only assessed plantar flexor strength in a straight knee position which biases the gastrocnemius.76 To bias the soleus when assessing plantar flexion with isokinetic dynamometry, the knee must be flexed to greater than 45 degrees.<sup>77</sup> However, it should be stated that neither of these positions eliminate the other plantar flexor muscles and purely act to selectively bias towards one muscle.77 Further research is needed to determine relevant contributions of the gastrocnemius or soleus in explaining the mechanisms in improvement in pain and function with mid-portion AT. An additional barrier is that isokinetic dynamometry is expensive and often not available in clinical settings. While isokinetic dynamometry remains the gold standard, the eccentric-concentric heel-raise test with its high test-retest reliability and good validity represents a more feasible clinical test.

Given the immediate improvements in pain and function observed in several interventional studies,<sup>15,37</sup> performing strength testing at multiple time points may provide information around different contributors to clinical improvement. Though pain is more complex than this, if we consider strength specifically, testing at four weeks following the commencement of the loading program may highlight the neural contribution to strength<sup>19</sup> whilst testing after this time likely includes the contribution of muscular hypertrophy to strength.<sup>20</sup>

## Endurance

The heel-raise test for endurance has good reliability<sup>60</sup> and given the simplicity of the test it represents the best outcome measure for assessing plantar flexor endurance in both research and clinical practice. However, significant variability exists in how this test can be performed and this may impact its utility both clinically and in research.<sup>76</sup> It is also important to note that no differences were seen when using the heel-raise test for endurance in participants with AT between painful and non-painful/ least painful sides (P=0.077).<sup>60</sup> Further research into the reliability of endurance based Isokinetic Dynamometry needs to be performed before it can be recommended for use in research or clinical practice.

## Power production

A systematic review demonstrated sensory and motor deficits are present bilaterally in patients with unilateral tendon pain and disability however, this review only included one paper specifically on AT.<sup>24</sup> Functional tasks including jumping and hopping are often the most aggravating tasks in mid-portion AT<sup>60</sup>

therefore choosing the appropriate measure to assess this functional deficit is important for both clinicians and researchers. In participants with AT, significant unilateral differences during hopping were observed when comparing the painful to the non-painful/ less symptomatic limb; height (p=0.006), plyometric quotient (p = 0.001) and visual analogue scale (VAS) pain score (p=0.000).<sup>60</sup> Significant differences were also seen during a one-legged drop CMJ task; height (P = 0.049), contact time (p = 0.008) and VAS pain score (p=0.001).<sup>60</sup> However no significant differences were seen with a normal CMJ; height (p=0.665) and VAS pain score (p=0.012).<sup>60</sup> Given the significant differences between limbs, unilateral functional tests are likely more sensitive to detecting deficits in function than bilateral tests.

The single leg drop CMJ or hopping appear to be the most appropriate measures of power production given the high test-retest reliability they demonstrate and that they correlate to deficits seen in people with  $AT.^{60}$ 

For the one-legged drop CMJ and Hopping tests reported by Silbernagel et al.<sup>60</sup> a jump mat is required; however, this is expensive. Recently smartphone applications have been validated against jump mats (p < 0.001) and been shown to have excellent test-retest reliability  $(ICC = 0.997)^{79}$  and are more easily accessible for both clinicians and researchers.

Tests of power production represent functional performance and improve due to a combination of muscular and neural factors. These could be assessed as early as four weeks as improvements in muscle strength are potentially correlated to improvements in power production which may be reflected by an increase in the Victorian Institute of Sports Assessment-Achilles (VISA-A). However, due to a test of power likely being correlated to muscle strength and pain it is unlikely to provide specific insight into mechanisms underpinning the improvement patients obtain with loading programs. This distinction may or may not be important for the patient but improvement in functional capacity may encourage rehabilitation adherence. It would also be of great interest to the clinician and the researcher who wish to assess which components or deficits need to be addressed to optimise outcomes in the clinic and in trials.

#### Tendon structure

#### Structure

Both UTC and MRI are not easily accessible for researchers and clinicians. However, these modalities are the most reliable investigations to assess tendon structure and, unlike conventional US, allow for quantification of tendon structure. These measures have been correlated to symptoms in some studies,<sup>11,14,65</sup> however they have also been shown to have a poor relationship to improvement in others.<sup>15,42</sup> Doppler US has also been shown to have no correlation to patient symptoms or improvement.<sup>16</sup> Given improvements are seen on the VISA-A as early as two weeks following the inception of a loading protocol,<sup>15,37</sup> determining whether or not tendon structural adaptations correlate to these improvements would require assessment to be completed when the improvement in pain and function is first seen. Radiological imaging for tendon pathology is known to be highly assessor dependant with poor inter-rater reliability.65 The reliability of grading radiological imaging in tendon pathology has also been demonstrated to be better with more experienced radiologists.<sup>80</sup> Given the extent of variation due to different assessors the use of previous reliability studies to justify a new trials reliability should be discouraged and should always be re-examined in an effort to reduce potential bias. Follow up imaging is not indicated for clinicians treating patients with loading protocols given the poor access, reporting difficulties, patient compliance, and cost. Specifically, differences in tendon structure on imaging do not correlate to improvements in clinical status and therefore are not recommended in the management of mid-portion AT.<sup>16</sup>

## Para-tendinous substances and Tendon/paratenon microcirculation

Measures of para-tendinous substance and microcirculation are expensive and can be invasive, but they may provide some insight into the mechanisms playing a role in tendinopathy. However, the authors suggest this would be a research application only due to cost and accessibility.

## Neural and pain associated mechanisms

Central nervous system mechanisms modulating pain have been shown to be important in chronic

musculoskeletal pain conditions such as rheumatoid arthritis, osteoarthritis and fibromyalgia,<sup>81</sup> yet little research using these outcomes has been performed in AT. A recent systematic review demonstrated nervous system sensitization in persistent tendinopathy, however identified no Achilles tendon studies and only one patellar tendon study out of 16 studies.<sup>25</sup>

Pressure pain thresholds have been used to assess peripheral and central sensitization,<sup>82</sup> however PPTs have also been reported as a potentially poor marker of central and peripheral sensitivity.<sup>83</sup> Given the conflicting evidence it is unclear as to how much useful insight PPTs offer into the mechanisms underlying the improvements patients report with loading programs. However, since PPTs have been assessed using manual algometers in one clinical trial and have been shown to have excellent reliability they represent the best (currently available) measure to assess mechanosensitivity of the Achilles tendon.

No clinical trials of loading programs in mid-portion AT have investigated the contribution of different CNS driven mechanisms involved in modulating pain. Three cross sectional studies of patients with AT have identified alterations in 1) endogenous analgesia,<sup>68</sup> 2) fear of movement<sup>8</sup> and 3) altered tactile acuity (as a suggested reflection of somatosensory cortical reorganisation).<sup>84</sup>

Endogenous analgesia is typically assessed via the conditioned pain modulation paradigm (CPM). Endogenous analgesia measured via the CPM effect is a centrally driven mechanism that modulates pain.<sup>85</sup> Briefly, this requires measurement of the PPT of the Achilles tendon before and during/ after a painful stimulus (e.g. immersion of the participant's hand in cold water) is applied in a remote area from the painful site.68 The change in the PPT is known as the CPM effect and a reduction in mechanosensitivity during/ after the painful conditioning stimulus is regarded as normal.<sup>68</sup> One study has demonstrated people with mid-portion AT have deficient CPM compared with controls<sup>68</sup> suggesting that further investigation into the role this may play in people with mid-substance AT is needed. However, the pain map provided in this study included people with heel and posterior ankle pain beyond what is

currently considered diagnostic of mid-portion AT<sup>5</sup> leading to considerable heterogeneity of the group and likely involving other differential diagnoses.

'Fear of movement' or kinesiophobia is a centrally driven mechanism which may result in restriction of activity and has been shown to be predictive of long term disability in persistent pain conditions, such as chronic low back pain.<sup>86</sup> The Tampa scale of Kinesiophobia is one way of measuring fear of movement and has been shown to be have moderate to good test-retest reliability (r=0.64-0.80).<sup>69</sup> However, it has only been used in long term follow up of patients with AT and not at baseline<sup>8</sup> so it is not possible to make inferences on its prognostic value and therefore future prospective studies quantifying its role in mid-portion AT are needed.

Impaired tactile acuity is theorised to reflect many mechanisms, including altered cortical representation of the painful region.<sup>87</sup> A systematic review of 16 studies found that tactile acuity is impaired in persistent pain conditions such as arthritis, complex regional pain syndrome and chronic lower back pain.87 Recently, tactile acuity has been shown to be impaired in mid-portion AT when compared to the unaffected limb and healthy controls.<sup>84</sup> Further research is needed to investigate whether alterations in tactile acuity contribute to initiation of the pain experience or are a consequence of it. Given that no investigations of CNS-driven mechanisms of pain modulation, such as the CPM effect or fear of movement, have been completed with loading protocols in mid-portion AT, future investigations should determine if differences occur with loading protocols and what the most optimal timeframes are to assess these differences.

Pressure pain thresholds and the CPM effect can be difficult to assess clinically and therefore may only be plausible for researchers. For clinicians, clinical tools to examine of fear of movement may provide insight into the role of CNS driven mechanisms that modulate pain.

## LIMITATIONS

The reliability of the tests used to assess muscle structure and function, tendon structure and neural and pain associated mechanisms have been reported for the selected outcome measures in most studies. However, a small proportion of assessments used did not have the reliability assessed in a mid-portion AT population. It is unclear whether the reliability of these tests needs to be assessed in this population to remain a valid measure. It is also possible that as a measure of structure and function the tests remain valid across heterogenous populations but as this cannot be certain it may introduce bias.

### **CONCLUSION**

Many different outcome measures have been used to assess muscular adaptations, tendon adaptations and neural and pain associated mechanisms in clinical trials treating mid-portion AT with loading protocols. Isokinetic dynamometry at 120 deg/s and eccentricconcentric heel-raise tests are the suggested best measures of plantar flexor muscle strength, US is capable of measuring muscle architectural properties and the single leg drop CMJ or hopping are the best measures of power production. UTC or MRI are acknowledged as the best ways with which to classify tendon structure and tendon signal. However, given the lack of relationship between tendon structural change and symptoms they are not recommended for clinical use. Endogenous analgesia assessed via the CPM paradigm may be completed simply using a tonic stimulus (such as cold-water immersion) with PPT assessed via manual algometry pre and post application of this tonic stimulus. It is important for clinicians and researchers to be aware of the outcome measures that have been used as well as the reliability of these measures. By identifying consistent measures that are available to both clinicians and researchers we may be able to gain further insight into the mechanisms responsible for improving pain and function in mid-portion AT.

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Appendix A. Individual	Study C	haracter	ristics		
Study Name	Study Design	Sample Size (n)	Loading Protocol Used		
Alfredson et al. (1998) <sup>31</sup>	Cohort	15	Heavy Eccentric Calf Training		
Alfredson & Lorentzon. (2003) <sup>38</sup>	Cohort	6	Heavy Eccentric Calf Training		
Beyer et al. (2015) <sup>39</sup>	RCT	25	Heavy Eccentric Calf Training		
		22	Heavy Slow Resistance Training		
Brown et al. (2006) <sup>37</sup>	RCT	18	Heavy Eccentric Calf Training		
Crill et al. (2014) <sup>32</sup>	Cohort	25	Heavy Eccentric Calf Training		
De Jonge et al. (2010) <sup>40</sup> *	Cohort	32	Heavy Eccentric Calf Training		
De Jonge et al. (2011) <sup>41</sup> *	RCT	27	Heavy Eccentric Calf Training		
De Jonge et al. (2015) <sup>42</sup> *	RCT	54	Heavy Eccentric Calf Training		
De Vos et al. (2007) <sup>53</sup> *	Cohort	58	Heavy Eccentric Calf Training		
De Vos et al. (2010) <sup>43</sup> *	RCT	27	Heavy Eccentric Calf Training		
De Vos et al. (2012) <sup>15</sup> *	Cohort	24	Heavy Eccentric Calf Training		
Gardin et al. (2010) <sup>14</sup> *	Cohort	24	Heavy Eccentric Calf Training		
Horstmann et al. (2013) <sup>33</sup>	RCT	19	Heavy Eccentric Calf Training		
Knobloch et al. (2008) <sup>44</sup>	RCT	59	Heavy Eccentric Calf Training		
Langberg et al. $(2007)^{45}$	Cohort	6	Heavy Eccentric Calf Training		
Norregaard et al. (2007) <sup>46</sup>	RCT	21	Heavy Eccentric Calf Training		
Ohberg & Alfedson (2004) <sup>47</sup>	Cohort	30	Heavy Eccentric Calf Training		
Ohberg et al. (2004) <sup>48</sup>	Cohort	25	Heavy Eccentric Calf Training		
Peterson et al. $(2007)^{49}$	RCT	37	Modified Heavy Eccentric Calf Training		
Rompe et al. (2007) <sup>51</sup>	RCT	23	Heavy Eccentric Calf Training		
Rompe et al. (2009) <sup>50</sup>	RCT	30	Heavy Eccentric Calf Training		
Silbernagel et al. (2001) <sup>35</sup>	RCT	22	Eccentric Overload		
Silbernagel et al. (2007) <sup>34</sup>	RCT	26	Eccentric Overload with Active		
			Rest		
		24	Eccentric Overload		
Silbernagel et al. (2011) <sup>8</sup>	Cohort	34	Eccentric Overload		
Tumilty et al. (2016) <sup>52</sup>	RCT	13	Heavy Eccentric Calf Training		
•		19	Modified Heavy Eccentric Calf		
			Training		
Van der Plas et al. (2012) <sup>9</sup> *	Cohort	46	Heavy Eccentric Calf Training		
Yu et al. (2013) <sup>36</sup>	RCT	16	Heavy Eccentric Calf Training		
× /		16	Concentric Calf Training		
* The results of this study are a follow up of an included study or present different components of data from another included study.					