



## ARTICLE

# Differences in the subjective and motivational properties of alcohol across alcohol use severity: application of a novel translational human laboratory paradigm

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The Allostatic Model proposes that Alcohol Use Disorder (AUD) is associated with a transition in the motivational structure of alcohol drinking: from positive reinforcement in early-stage drinking to negative reinforcement in late-stage dependence. However, direct empirical support for this preclinical model from human experiments is limited. This study tests predictions derived from the Allostatic Model in humans. Specifically, this study tested whether alcohol use severity (1) independently predicts subjective responses to alcohol (SR; comprised of stimulation/hedonia, negative affect, sedation and craving domains), and alcohol self-administration and 2) moderates associations between domains of SR and alcohol self-administration. Heavy drinking participants ranging in severity of alcohol use and problems ( $N = 67$ ) completed an intravenous alcohol administration paradigm combining an alcohol challenge (target BrAC = 60 mg%), with progressive ratio self-administration. Alcohol use severity was associated with greater baseline negative affect, sedation, and craving but did not predict changes in any SR domain during the alcohol challenge. Alcohol use severity also predicted greater self-administration. Craving during the alcohol challenge strongly predicted self-administration and sedation predicted lower self-administration. Neither stimulation, nor negative affect predicted self-administration. This study represents a novel approach to translating preclinical neuroscientific theories to the human laboratory. As expected, craving predicted self-administration and sedation was protective. Contrary to the predictions of the Allostatic Model, however, these results were inconsistent with a transition from positively to negatively reinforced alcohol consumption in severe AUD. Future studies that assess negative reinforcement in the context of an acute stressor are warranted.

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## INTRODUCTION

The translation of preclinical theories of alcoholism etiology to clinical samples is fundamental to understanding alcohol use disorders (AUD) and developing efficacious treatments [1]. Human subjects research is fundamentally limited in neurobiological precision and experimental control, whereas preclinical models permit fine grained measurement of biological function. However, the concordance between preclinical models and human psychopathology is often evidenced by face validity alone. The aim of this study, therefore, is to test the degree to which one prominent preclinical model of alcoholism etiology, the Allostatic Model [2–4], predicts the behavior and affective responses of human subjects in an experimental pharmacology design. The Allostatic Model was selected for translational investigation due to its focus on reward and reinforcement mechanisms in early vs. late stages of addiction. In this study, we advance a novel translational human laboratory approach to assessing the relationship between alcohol-induced reward and motivated alcohol consumption.

A key prediction of the Allostatic Model is that chronic alcohol consumption results in a cascade of neuroadaptations, which ultimately blunt drinking-related hedonic reward and positive reinforcement, while simultaneously leading to the emergence

of persistent elevations in negative affect, termed allostasis. Consequently, the model predicts that drinking in late-stage dependence should be motivated by the relief of withdrawal-related negative affect, and hence, by negative reinforcement mechanisms [2–4]. In other words, the Allostatic Model suggests a transition from reward to relief craving in drug dependence [5]. The Allostatic Model is supported by studies utilizing ethanol vapor paradigms in rodents that can lead to severe withdrawal symptoms, escalated ethanol self-administration, high motivation to consume the drug as revealed by progressive ratio breakpoints, enhanced reinstatement, and reduced sensitivity to punishment [2, 6, 7]. Diminished positive reinforcement in this model is inferred through examination of reward thresholds in an intracranial self-stimulation protocol [8, 9]. Critically, these allostatic neuroadaptations are hypothesized to persist beyond acute withdrawal, producing state changes in negative emotionality in protracted abstinence [4]. Supporting this hypothesis, exposure to chronic ethanol vapor produces substantial increases in ethanol consumption during both acute and protracted abstinence periods. (e.g., [7, 10, 11]). Despite strong preclinical support, the Allostatic Model has not been validated in human populations with AUD.

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Decades of human alcohol challenge research has demonstrated that individuals differences in subjective responses to alcohol (SR) predict alcoholism risk [12, 13]. The Low Level of Response (LR) Model suggests that globally decreased sensitivity to alcohol predicts AUD [14–16]. Critically however, research has demonstrated that SR is multi-dimensional [17–19]. The Differentiator Model as refined by King et al [20] suggests that stimulatory and sedative dimensions of SR differentially predict alcoholism risk and binge drinking behavior. Specifically, an enhanced stimulatory and rewarding SR, particularly at peak BrAC is associated with heavier drinking and more severe AUD prospectively [20, 21]. The Differentiator Model also suggests blunted sedative SR is an AUD risk factor, however, effect sizes for sedation are generally smaller.

Both the LR and Differentiator models have garnered considerable empirical support in alcohol challenge research (for review and meta-analysis [13]); however, both models share some limitations. Human subjects research has not adequately tested whether SR represent a dynamic construct across the development of alcohol dependence and whether the motivational structure of alcohol consumption is altered in dependence vs. early non-dependent drinking. Recently, King et al. [22] reported that the elevated stimulating and rewarding SR in heavy (vs. light) drinkers remained elevated over a 5-year period. Furthermore, this outcome was particularly strong among the ~10% of heavy drinking participants who showed high levels of AUD progression.

In two previous alcohol challenge studies, we showed that stimulation/hedonia and craving are highly correlated among non-dependent heavy drinkers, whereas no stimulation-craving association was evidenced among alcohol dependent participants [23, 24]. These results were interpreted as being consistent with the Allostatic Model, insofar as the function of stimulation/hedonia in promoting craving appeared diminished in alcohol dependence. Of note, however, neither study observed the hypothesized relationship between negative affect and craving among dependent participants. A primary limitation of these previous studies was the utilization of craving as a proxy end point for alcohol motivation and reinforcement. A recent study of young heavy drinkers found that both stimulation and sedation predicted free-access self-administration via craving [25]. However, since this study did not include moderate–severe AUD participants, it is unclear whether the association between stimulation and self-administration is blunted in later-stage dependence.

This study was designed to test whether SR predicts motivated alcohol self-administration and whether this relationship is moderated by alcohol use severity, thus providing much needed insight about the function of SR in alcohol reinforcement and advancing an experimental framework for translational science. Heavy drinkers ranging in their severity of alcohol use and problems completed a novel intravenous (IV) alcohol administration session consisting of a standardized alcohol challenge followed by progressive-ratio alcohol reinforcement. On the basis of the Allostatic Model, we predicted a strong relationship between stimulation and self-administration at low alcohol use severity, whereas no such association would be observed at greater alcohol use severity. Conversely, it was hypothesized that negative affect would be a stronger predictor of alcohol self-administration among more severe participants. These two hypotheses would thus capture dependence-related blunting of positive reinforcement and enhancement of negative reinforcement.

## METHODS

### Participants

This study was approved by the Institutional Review Board at UCLA. Non-treatment seeking drinkers were recruited between

April 2015 and August 2016 from the Los Angeles community through fliers and online advertisements (compensation up to \$270).

Initial eligibility screening was conducted via online and telephone surveys followed by an in-person screening session. After providing written informed consent, participants were breathalyzed, provided urine for toxicology screening, and completed a battery of self-report questionnaires and interviews. All participants were required to have a BrAC of 0 mg% and to test negative on a urine drug screen (except cannabis). Female participants were required to test negative on a urine pregnancy test.

Inclusion criteria were as follows: (1) age between 21 and 45, (2) caucasian ethnicity (due to an exploratory genetic aim not reported here), (3) fluency in English, (4) current heavy alcohol use of 14+ drinks per week for men or 7+ for women, (5) if female, not pregnant or lactating, and using a reliable method of birth control (e.g., condoms), and (6) body weight of less than 265lbs to reduce the likelihood of exhausting the alcohol supply during the infusion. Exclusion criteria were as follows: (1) treatment seeking for AUD, (2) current diagnosis of substance use disorder other than nicotine or alcohol, (3) lifetime diagnosis of moderate-to-severe substance use disorder other than nicotine, alcohol, or cannabis, (4) a diagnosis of bipolar disorder or any psychotic disorder, (5) current suicidal ideation, (6) current use of non-prescription drugs, other than cannabis, (7) use of cannabis more than twice weekly, (8) clinically significant physical abnormalities as indicated by physical examination and liver functioning labs, (9) history of chronic medical conditions, such as hepatitis, or a chronic liver disease, (10) current use of any psychoactive medications, such as antidepressants, mood stabilizers, sedatives, or stimulants, (11) score  $\geq 10$  on the Clinical Institute Withdrawal Assessment for Alcohol-Revised (CIWA-Ar [26]) indicating clinically significant alcohol withdrawal requiring medical management, and (12) fear of, or adverse reactions to needle puncture.

### Alcohol administration session

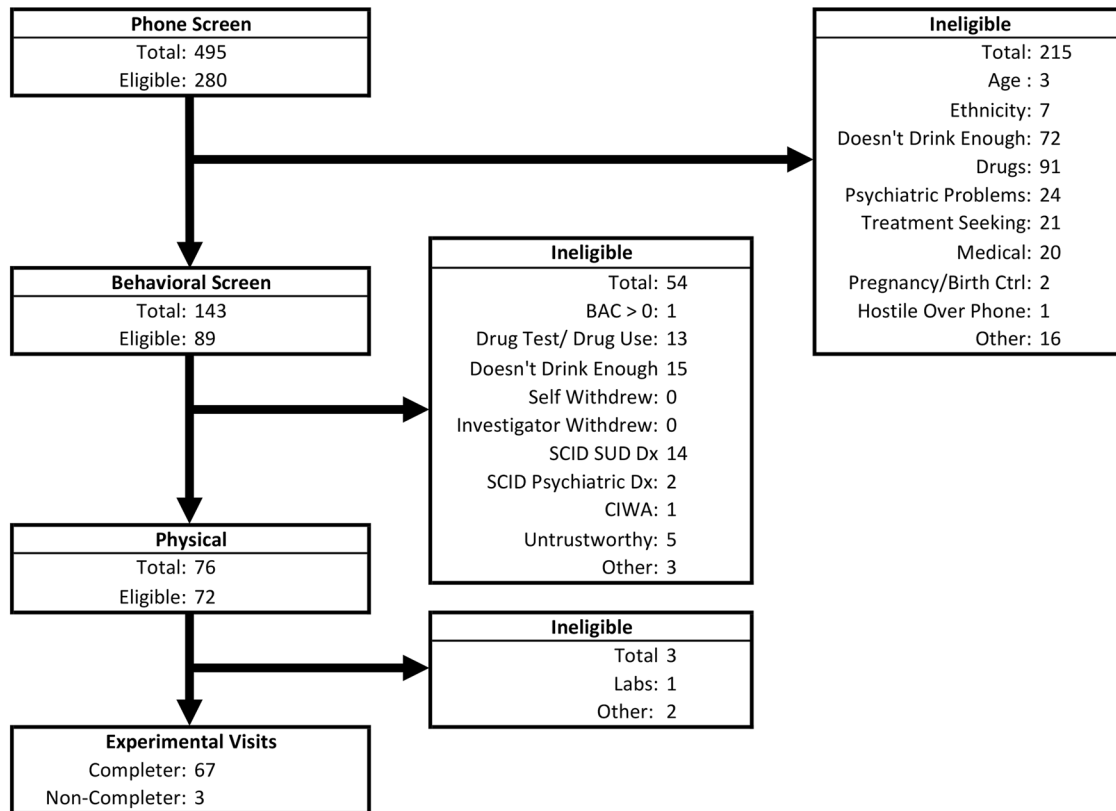
Participants arrived at the UCLA Clinical and Translational Research Center (CTRC) at approximately 10:30AM. At intake, vitals, height, and weight were measured, and participants were provided with a standardized high caloric breakfast. IV lines were placed by a registered nurse at approximately 11:30AM. After participants acclimated to the IV lines, they completed baseline assessments. The alcohol infusion paradigm began at approximately 12:00PM and lasted 180 min. To ensure all participants were safe to discharge, and to disincentivize low-levels of self-administration for early discharge, all participants were required to remain at the CTCR for at least 4 additional hours. Discharge occurred when participant BrAC fell below 40 mg% or 0 mg% if they were driving.

Throughout the infusion, participants were seated in a comfortable chair in a private room. Participants were not able to view the infusion pump or technician's screen. To control distractions, participants watched a movie (BBC's *Planet Earth*). Study staff remained in the room to monitor the infusion, breathalyze the participant, take vital signs, administer questionnaires, and answer questions but they did not significantly engage with participants otherwise.

### Alcohol infusion parameters

To enable precise control over BrAC and to dissociate biobehavioral responses to alcohol from responses to cues, alcohol was administered IV (6% ethanol v/v in saline) using a physiologically based pharmacokinetic model implemented in the Computerized Alcohol Infusion System (CAIS; [27–30]). CAIS estimates BrAC pseudo-continuously (30-s intervals) based on the infusion time course and participants sex, age, height, weight, and breathalyzer

### Subject Flow Diagram



**Fig. 1** Subject Flow diagram and recruitment overview

readings. The CAIS system was modified for this study to combine two alcohol administration paradigms: a 3-step standard alcohol challenge followed by self-administration. During the challenge, participants were administered alcohol designed to reach target BrACs of 20, 40, and 60 mg%, each over 15 min. BrACs were clamped at each target level while participants completed questionnaires (~5 min). This challenge procedure closely mirrors previous studies by our group (e.g., see ref. [23, 24]).

Following the 60 mg% time point and a required restroom break, participants began the self-administration paradigm. Participants were permitted to exert effort (pressing an electronic button) to obtain additional “drinks” through the CAIS system, according to a progressive ratio schedule. Participants were required to order one “drink” to familiarize themselves with the procedure (participants had previously viewed a demonstration). The progressive ratio was log-linear and determined through simulations and pilot testing. Ratio requirements ranged from 20 responses (1st completion) to 3139 responses (20th completion). Each “drink” increased BrAC by 7.5 mg% over 2.5 min, followed by a decent of  $-1$  mg%/min [28]. A maximum BrAC safety limit was set at 120 mg%. If an infusion would exceed this limit the response button was temporarily inactivated. Except for the first “drink”, participants were given no instruction with respect to their self-administration. After 180 min, the infusion ended, the IV line was removed, and participants were provided lunch.

#### Measures

**Alcohol use severity measures.** The Structured Clinical Interview for DSM-5 (SCID; adapted from [31]) assessed for lifetime and current AUD and the exclusionary psychiatric diagnoses. The CIWA-Ar assessed for the alcohol withdrawal severity [26]. A 30-day timeline follow-back (TLFB) assessed drinking quantity and frequency [32]. Participants also completed the Alcohol

Dependency Scale (ADS; [33]), the Alcohol Use Disorders Identification Test (AUDIT; [34]), the Penn Alcohol Craving Scale (PACS; [35]), and the Obsessive Compulsive Drinking Scale (OCDS; [36]).

**Other baseline measures.** Cigarette and marijuana use were assessed using the TLFB [32]. Family history of alcohol-related problems was measured via a family tree questionnaire [37]. Depressive symptomatology was assessed via the Beck Depression Inventory-II [38].

**Subjective Responses to Alcohol measures.** Based on our previous factor analytic work, SR was assessed along four dimensions: stimulation/hedonia (stimulation), negative affect, sedation/motor intoxication (sedation), and craving [19]. Participant completed SR assessments at baseline, 20, 40, and 60 mg% timepoints during the challenge. Stimulation included the Biphasic Alcohol Effects Scale Stimulation subscale (BAES; [39]) and the Profile of Mood States Positive Mood and Vigor subscales (POMS; [40]). Sedation included the BAES Sedation subscale and the Subjective High Assessment Scale (SHAS; [14]). Negative Affect included the POMS Negative Mood and Tension subscales. Craving was measured by the Alcohol Urge Questionnaire (AUQ; [41]). To incorporate multiple scales per SR per domain, and equally weight scales with discrepant ranges, combined scores were computed within each SR domain by first Z-score transforming each measure across the entire challenge, and then summing these scaled scores.

#### Power analysis

Target sample size was determined *a priori* based on a modest higher order interaction effect size of  $R^2 = 0.05$ . Owing to the nested data structure, we employed an  $n_{\text{effective}}$  approach that effectively determines the equivalent single-level sample

**Table 1.** Sample characteristics of study completers and association between sample characteristics and alcohol use severity factor score

	Mean (SD) N (%)	Association with alcohol use severity factor score
<b>Demographics</b>		
Age	29.18 (6.57)	$r = 0.365, p = 0.002$
Sex (N/% Female)	31 (46%)	$F(1,65) = 3.00, p = 0.088$
Beck depression inventory-II <sup>e</sup>	8.66 (8.35)	$r = 0.423, p < 0.001$
Cigarettes per day (past 30 days)	1.85 (4.31)	$r = 0.280, p = 0.022$
<b>Alcohol use variables</b>		
Drinks per week (past 30 days)	22.04 (13.19)	$r = 0.650, p < 0.001$
Drinks per drinking day (past 30 days)	5.3 (2.56)	$r = 0.424, p < 0.001$
Drinking days (past 30 days)	18.18 (6.45)	$r = 0.395, p < 0.001$
Binge proportion (past 30 days) <sup>a</sup>	0.5 (0.31)	$r = 0.428, p < 0.001$
ADS <sup>d</sup>	11.12 (5.47)	$r = 0.741, p < 0.001$
AUDIT <sup>d</sup>	13.43 (5.84)	$r = 0.871, p < 0.001$
CIWA-Ar <sup>d</sup>	1.03 (1.37)	$r = 0.511, p < 0.001$
OCDS <sup>d</sup>	8.63 (4.85)	$r = 0.866, p < 0.001$
PACS <sup>d</sup>	9.75 (5.81)	$r = 0.779, p < 0.001$
Family history positive	30 (49%)	$F(1,59) = 0.4, p = 0.627$
<b>DSM-5 AUD variables</b>		
AUD symptoms lifetime <sup>b</sup>	3.96 (2.59)	$r = 0.785, p < 0.001$
AUDS severity lifetime <sup>b</sup>		$F(3,63) = 19.36, p < 0.001$
None (0–1 symptoms)	14 (21%)	
Mild (2–3 symptoms)	16 (24%)	
Moderate (4–5 symptoms)	19 (28%)	
Severe (6 + symptoms)	18 (27%)	
AUD age of onset ( $n = 53$ ) <sup>c</sup>	20.72 (3.8)	$r = -0.072, p = 0.609$
AUD symptoms current <sup>b</sup>	2.43 (2.09)	$r = 0.839, p < 0.001$
AUD severity current <sup>b</sup>		$F(3,63) = 40.75, p < 0.001$
None (0–1 symptoms)	29 (43%)	
Mild (2–3 symptoms)	15 (22%)	
Moderate (4–5 symptoms)	16 (24%)	
Severe (6 + symptoms)	7 (10%)	

<sup>a</sup>Binge proportion is the proportion of drinking days that the participant exceeded the NIAAA binge drinking threshold ( $\geq 5$  drinks for men and  $\geq 4$  for women)

<sup>b</sup>Current and lifetime AUD Symptom count and age of onset were from the Structured Clinical Interview for DSM-5

<sup>c</sup>Age of onset was only available for the 53 participants who met criteria for a lifetime AUD

<sup>d</sup>ADS Alcohol Dependency Scale, AUDIT Alcohol Use Disorder Identification Test, CIWA-Ar Clinical Institute Withdrawal Assessment for Alcohol-Revised, OCDS Obsessive Compulsive Drinking Scale, PACS Penn Alcohol Craving Scale

<sup>e</sup>Beck Depression Inventory-II scores were square-root transformed to improve normality for analyses

corresponding to our multilevel (i.e. repeated-measures) design [42]. Based on a 4 time point design, and  $\rho = 0.75$  (an estimate based on previous studies), we determined that a sample size of 66 was sufficient to achieve 80% power for the primary aims of this study.

#### Data analysis

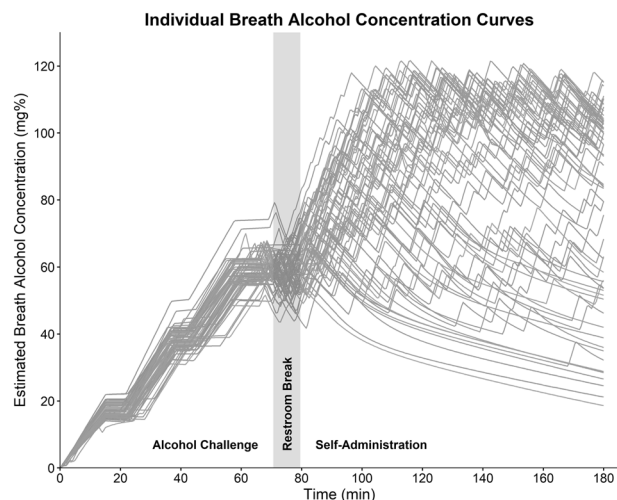
All analyses were conducted in R version 3.3.0 [43]. To minimize measurement noise, and limit false positive risk, an alcohol use severity factor score, computed via principal component analysis, served as the primary predictor variable (see Supplemental Materials).

Data analyses were broken into three sections. First, due to the nested data structure a series of multilevel models [44] tested whether alcohol use severity predicted SR during the alcohol challenge. In each model, SR was predicted by BrAC time point (coded 0–3), alcohol use severity, and their interaction. Intercepts and BrAC slopes were random at Level 2. Expected values were computed from multilevel model coefficients and plotted using

ggplot2 [45]. To check robustness, sex, age, BDI, and cigarettes per day were also explored as covariates for all outcomes.

Second, we tested whether alcohol use severity predicted self-administration BrAC curves. CAIS generated 13,323 total BrAC estimates (~200 per subject). To address extreme autocorrelation issues, we averaged BrACs over discreet 10-minute bins resulting in 698 BrAC observations (~11 per subject), which closely tracked raw BrACs (see Supplemental Materials), and substantially reduced autocorrelation ( $Z = 50.85, p < 0.001$ ). Polynomial models using full information maximum likelihood estimation tested BrAC curves as a function of alcohol use severity. Level-1 autocorrelation was modeled using an AR(1) structure.

Third, we tested whether challenge SR predicted self-administration. SR variables were entered as Level 2 predictors of BrAC curves overall and as moderated by alcohol use severity. Specifically, for each SR variable, we computed via Empirical Bayesian (EB) estimation a level (the expected value of SR at the 40 mg% BrAC time point, the middle alcohol time point), and a slope (the expected linear change in SR over the



**Fig. 2** Individual BrAC curves computed via the Computerized Alcohol Infusion System (CAIS). CAIS implements a physiologically based pharmacokinetic model to estimate BrAC pseudo-continuously (30-s intervals) based on the infusion time course and participants sex, age, height, weight, and real-time breathalyzer readings. The alcohol administration paradigm consisted of two components. The alcohol challenge to target BrACs = 20, 40, and 60 mg%, lasted on an average of 70.66 (SD = 5.20) min, and the self-administration paradigm lasted an average of 100.42 (SD = 5.10) min. Participants completed SR measures at each challenge time point

Challenge; Supplemental Materials). The model building approach started with a fully interactive model followed by singular trimming of nonsignificant predictors for parsimony.

## RESULTS

### Sample characteristics

Sixty-seven participants completed the research protocol (Fig. 1 & Table 1).

### Alcohol use severity factor

A principal component analysis of current and lifetime AUD symptom count from the SCID, drinks per week, drinks per drinking day, monthly drinking days, and binge drinking proportion from the TLFB, and the ADS, AUDIT, CIWA-Ar, OCDS, and PACS, revealed a single component solution (53% of variance explained) with all variables loading  $\geq 0.495$  on the alcohol use severity factor (Supplemental Materials). Alcohol use severity factor score was associated with age ( $p < 0.01$ ), depressive symptomatology ( $p < 0.001$ ), cigarettes per day ( $p < 0.05$ ) and at a trend-level sex ( $p = 0.09$ ). As expected, the alcohol use severity factor score was associated with all alcohol-related variables ( $p$ 's  $< 0.001$ ) with the exceptions of family history of alcohol problems, and AUD age of onset.

### Alcohol administration overview

Raw BrAC curves from CAIS are displayed in Fig. 2. Average duration of the alcohol challenge was  $70.66 \pm 5.20$  min, and the self-administration paradigm lasted  $100.42 \pm 5.10$  min. BrAC was well controlled across the challenge ( $17.34 \pm 2.00$ ,  $38.71 \pm 3.21$ , and  $59.10 \pm 4.19$  mg%). The duration of each time point varied due to the inclusion of additional assessments at 60 mg% (F(2,198) = 103.7,  $p < 0.001$ ; 20 mg%:  $7.58 \pm 2.19$  min; 40 mg%:  $6.72 \pm 1.51$ ; 60 mg%:  $11.36 \pm 2.17$ ). On average participants self-administered  $10.85 \pm 4.95$  "drinks" and reached a maximum BrAC of  $96.03 \pm 21.42$  mg%.

### Subjective response to the alcohol challenge

Stimulation increased over rising BrAC ( $B = 0.28$ ,  $SE = 0.11$ ,  $p = 0.011$ , Fig. 3a). Alcohol use severity did not predict stimulation as a main effect or as a moderator of BrAC slopes ( $p \geq 0.705$ ).

Sedation also increased over rising BrAC ( $B = 0.51$ ,  $SE = 0.07$ ,  $p < 0.001$ , Fig. 3b). Participants with greater alcohol use severity reported greater overall sedation ( $B = 0.21$ ,  $SE = 0.07$ ,  $p = 0.006$ ), with no difference in alcohol-induced sedation (i.e., alcohol use severity  $\times$  BrAC interaction;  $p = 0.387$ ). Sex, age, and cigarettes per day had no effects ( $p$ 's  $\geq 0.278$ ). Depressive symptomatology was associated with greater sedation overall ( $B = 0.33$ ,  $SE = 0.10$ ,  $p = 0.002$ ). After controlling for depressive symptomatology, the effect of alcohol use severity was no longer significant ( $p = 0.10$ ).

Negative affect decreased over BrAC ( $B = -0.26$ ,  $SE = 0.06$ ,  $p < 0.001$ , Fig. 3c), and alcohol use severity predicted greater negative affect overall ( $B = 0.27$ ,  $SE = 0.09$ ,  $p = 0.003$ ). The alcohol use severity  $\times$  BrAC interaction was not significant ( $p = 0.310$ ). Older participants trended towards greater negative affect ( $B = 0.06$ ,  $SE = 0.03$ ,  $p = 0.066$ ). As expected, BDI predicted negative affect ( $B = 0.50$ ,  $SE = 0.11$ ,  $p < 0.001$ ). The alcohol use severity effect remained significant when controlling for age, but not BDI score ( $p = 0.164$ ).

Lastly, craving increased over the challenge ( $B = 0.20$ ,  $SE = 0.03$ ,  $p < 0.001$ , Fig. 3d), and alcohol use severity predicted greater overall craving ( $B = 0.18$ ,  $SE = 0.04$ ,  $p < 0.001$ ), but not craving slope ( $p = 0.859$ ). Male sex and greater BDI scores predicted greater craving overall ( $B = -0.51$ ,  $SE = 0.20$ ,  $p = 0.013$ ;  $B = 0.17$ ,  $SE = 0.06$ ,  $p = 0.012$ , respectively). The alcohol use severity effect remained significant after controlling for sex and BDI.

As expected, all SR domains were affected by alcohol administration. While alcohol use severity was associated with overall greater craving, sedation, and negative affect, these effects represented baseline differences that were carried forward as opposed to differences in the acute effects of alcohol. Conversely, alcohol use severity did not predict stimulation.

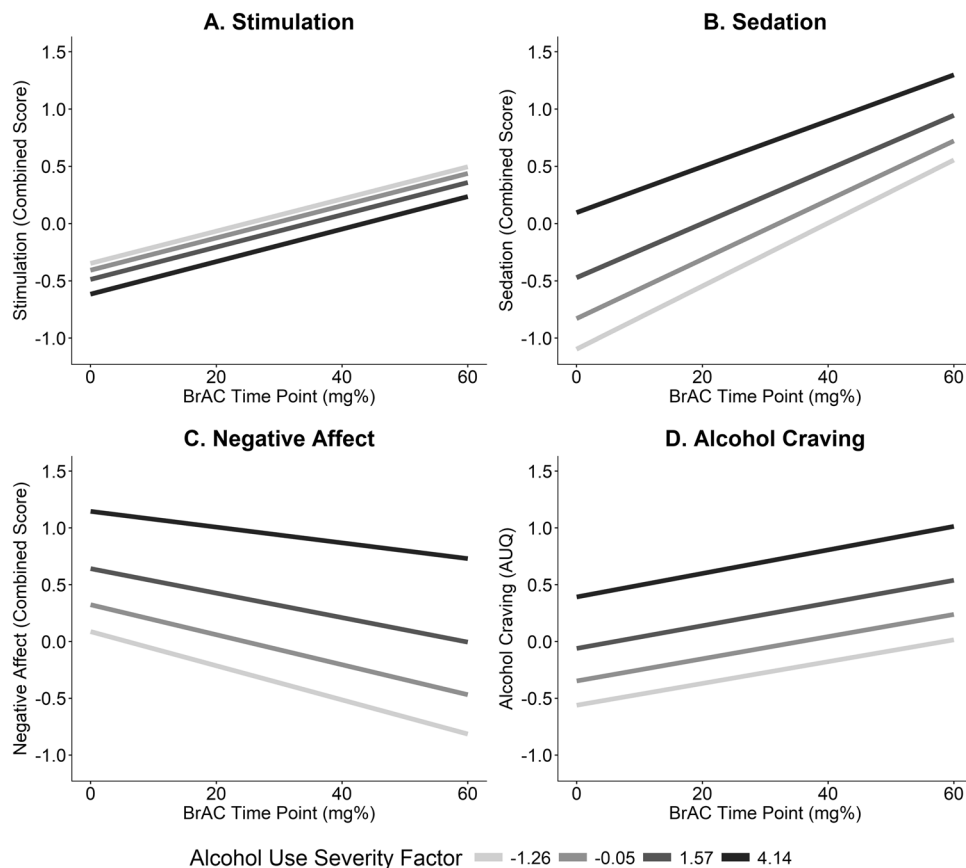
### Alcohol use severity and self-administration

Full BrAC curves were modeled to measure motivation at a high resolution. A quartic polynomial curve was the best fitting model (cubic vs. quartic:  $p < 0.001$ ; quartic vs. quintic:  $p = 0.264$ ). All trial parameters (i.e., bin number coded 0–11) were random at Level 2 ( $p$ 's  $< 0.001$ ) and autocorrelation was substantial ( $\rho = 0.670$ ). As expected, greater alcohol use severity predicted greater self-administration (alcohol use severity  $\times$  Trial:  $B = 1.20$ ,  $SE = 0.60$ ,  $p = 0.048$ ; Trial<sup>2</sup>:  $B = -0.23$ ,  $SE = 0.10$ ,  $p = 0.019$ ; Trial<sup>3</sup>:  $B = 0.01$ ,  $SE = 0.01$ ,  $p = 0.024$ ; Fig. 4 and Supplemental Table). Though significant, the effect of alcohol use severity was relatively modest compared to the full range of BrAC curves (see Fig. 2). Male participants and participants who smoked more cigarettes self-administered more alcohol and older participants tended to maintain higher BrAC (supplemental Figures). The effect of alcohol use severity remained significant after controlling for sex, age, and cigarettes per day. Full results for all BrAC curve analyses are presented in Supplemental Materials.

### Craving and self-administration

Lending construct validity to the study design, craving level strongly predicted BrAC curves (Craving Level  $\times$  Intercept:  $B = 4.28$ ,  $SE = 0.94$ ,  $p < 0.001$ ; Trial:  $B = 4.94$ ,  $SE = 1.42$ ,  $p < 0.001$ , Trial<sup>2</sup>:  $B = -0.82$ ,  $SE = 0.23$ ,  $p < 0.001$ , Trial<sup>3</sup>:  $B = 0.04$ ,  $SE = 0.01$ ,  $p = 0.002$ ; Fig. 5). After controlling for craving level, alcohol use severity no longer predicted self-administration ( $p$ 's  $\geq 0.305$ ). No alcohol use severity  $\times$  craving level interactions were observed ( $p$ 's  $\geq 0.151$ ). Interestingly, when covarying for cigarettes per day, the craving level  $\times$  alcohol use severity  $\times$  trial interaction was trending ( $p = 0.078$ ), such that craving level was a marginally better predictor of BrAC curves for participants with lower alcohol use severity. Controlling for sex and age didn't affect these results.

### Subjective Response Magnitude



**Fig. 3** Magnitude of subjective responses to alcohol over the Challenge. Each graph represents the expected value of the subjective response variable estimated from a multilevel model including the predictors of alcohol use severity, BrAC time point, and their interaction. **a** The Stimulation outcome was a combined outcome including the measures, BAES Stimulation, POMS Vigor, and POMS Positive Mood. **b** The Sedation outcome was combined from the BAES Sedation and SHAS scales. **c** Negative Affect combined POMS Tension and Negative Mood. **d** Alcohol craving was measured using the AUQ. The selected alcohol use Severity factor scores correspond to the mean values for participants who had no current AUD diagnosis (−1.26), mild AUD (−0.05), moderate AUD (1.57), and severe AUD (4.14) according to DSM-5

Craving slope over the challenge also predicted BrAC curves (Craving Slope  $\times$  Trial:  $B = 12.16$ ,  $SE = 5.03$ ,  $p = 0.016$ ,  $Trial^2$ :  $B = -0.95$ ,  $SE = 0.39$ ,  $p = 0.015$ ). In this model, the effect of alcohol use severity remained significant. No craving slope  $\times$  alcohol use severity interactions were significant ( $p$ 's  $\geq 0.158$ ). Interestingly, when covarying for sex, several craving slope  $\times$  alcohol use severity  $\times$  trial interactions were trending ( $p$ 's  $\leq 0.094$ ), such that craving slope was a marginally better predictor of BrAC curves for participants with lower alcohol use severity. Controlling for age and cigarettes per day had no effect.

In sum, alcohol craving strongly predicted BrAC curves, with craving slope predicting self-administration independent of alcohol use severity.

#### Positive reinforcement

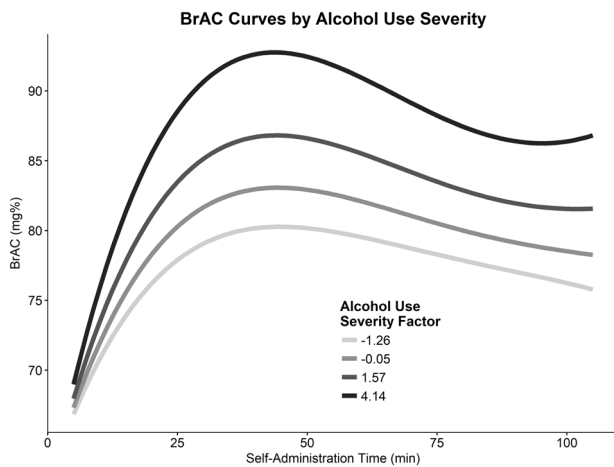
Stimulation level did not predict BrAC curves and no alcohol use severity  $\times$  stimulation level interactions were observed ( $p$ 's  $\geq 0.360$ ). At a trend level, stimulation slope predicted overall BrAC levels ( $B = 2.08$ ,  $SE = 1.21$ ,  $p = 0.090$ ), though no stimulation level  $\times$  trial interactions were significant ( $p$ 's  $\geq 0.174$ ). After controlling for sex, stimulation slope was no longer significant ( $p \geq 0.180$ ). Thus, contrary to our hypotheses, stimulation did not predict self-administration, regardless of alcohol use severity.

#### Negative reinforcement

Negative affect level did not predict BrAC curves and no alcohol use severity  $\times$  negative affect level interactions were significant ( $p$ 's  $\geq 0.432$ ). At a trend level, greater alleviation of negative affect predicted greater self-administration among less severe participants, whereas this trend was reversed among higher alcohol use severity (negative affect slope  $\times$  alcohol use severity:  $B = 3.18$ ,  $SE = 1.88$ ,  $p = 0.095$ , Supplemental Figures). The trend-level interaction was no longer significant after controlling for sex ( $B = 2.65$ ,  $SE = 1.83$ ,  $p = 0.153$ ). No other negative affect slope effects approached significance ( $p$ 's  $\geq 0.405$ ). Contrary to our expectations, negative affect did not predict self-administration regardless of alcohol use severity.

#### Sedation

Greater levels of sedation were associated with lower BrAC curves (Sedation Level  $\times$  Trial:  $B = -2.28$ ,  $SE = 0.88$ ,  $p = 0.010$ ;  $Trial^2$ :  $B = 0.41$ ,  $SE = 0.14$ ,  $p = 0.004$ ,  $Trial^3$ :  $B = -0.02$ ,  $SE = 0.01$ ,  $p = 0.004$ ). Similarly, sedation slope predicted lower levels of self-administration (Sedation Slope  $\times$  Trial:  $B = -7.77$ ,  $SE = 3.64$ ,  $p = 0.033$ ;  $Trial^2$ :  $B = 1.52$ ,  $SE = 0.58$ ,  $p = 0.009$ ;  $Trial^3$ :  $B = -0.09$ ,  $SE = 0.03$ ,  $p = 0.006$ ). No alcohol use severity  $\times$  sedation interactions were significant ( $p$ 's  $\geq 0.256$ ). The effects of sedation level and slope remained significant after controlling for sex, age, and



**Fig. 4** Alcohol use severity was found to predict greater BrAC curves over the course of the alcohol self-administration. BrAC levels were estimated by the CAIS software in 30-s intervals; however, for analyses, these estimated BrAC values were averaged over 10 min bins. The displayed lines represent the predicted values according to the final multilevel including a quartic time trend, alcohol use severity factor score, and the interaction between alcohol use severity and linear through cubic time terms. The selected alcohol use severity factor scores represent the mean scores for each DSM-5 AUD severity classifications (none =  $-1.26$ , mild =  $-0.05$ , moderate =  $1.57$ , and severe =  $4.14$ )

cigarettes per day. These sedation results were consistent with the Differentiator and LR models, wherein lower sedation was protective *vis-a-vis* self-administration.

## DISCUSSION

The aim of this study was to develop a clinical neuroscience laboratory paradigm to test predictions emerging from preclinical research. A key tenet of the Allostatic Model is that prolonged drinking produces neurobiological adaptations that diminish the salience of positive reinforcement while simultaneously producing abstinence-related dysphoria and potentiating negative reinforcement [2–4]. In this study, we developed a novel IV alcohol administration paradigm in humans that combines standardized alcohol challenge methods with progressive ratio self-administration, providing a reliable assessment of subjective responses and a translational measure of motivation to consume alcohol, respectively. SR was measured in terms of positive dimensions (Stimulation/Hedonia), negative dimensions (Negative Affect), sedation, and craving. Through integrating measures of subjective effects and behavioral reinforcement, we could test whether SR predicted self-administration behavior, thus capturing the relationships between reward and reinforcement central to allostatic processes.

As expected, severity of alcohol use predicted greater overall alcohol craving and greater self-administration. Further validating the paradigm, we observed a robust relationship between self-reported craving for alcohol during the challenge and subsequent reinforcement behavior. Interestingly, alcohol-induced increases in craving (i.e. craving slope) predicted self-administration independent of alcohol use severity suggesting that reactivity to a priming dose of alcohol may represent an independent risk factor for escalated alcohol consumption. Similar reactivity effects have been observed with respect to alcohol and stress [46]. These results suggest that craving is a proximal predictor of alcohol consumption and thus is an appropriate target for intervention research.

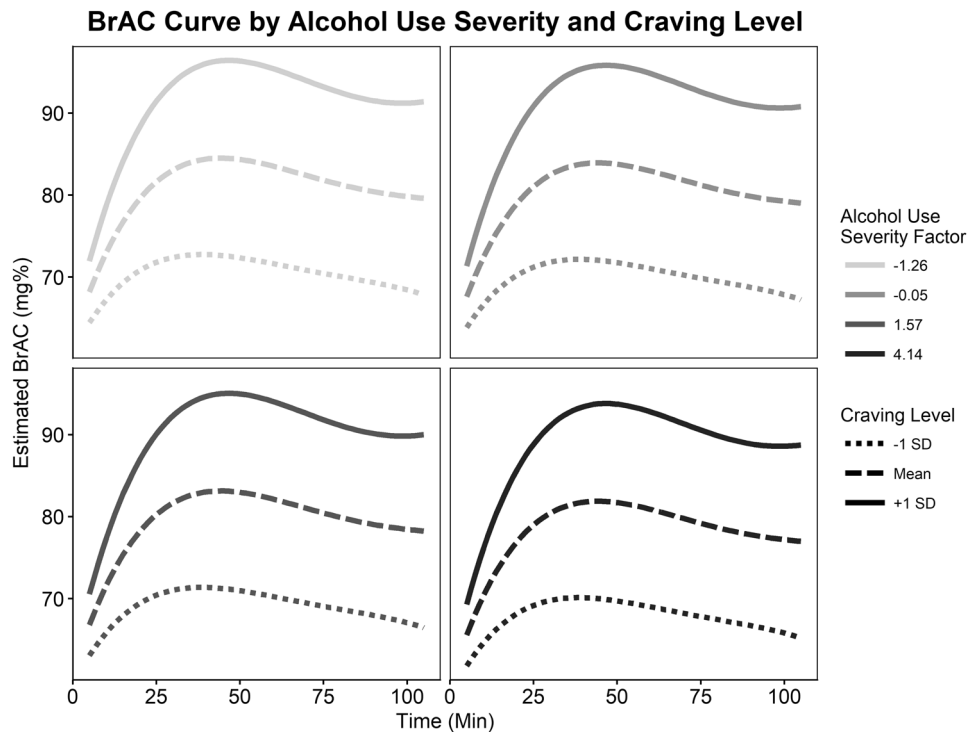
Our hypotheses regarding blunted positive reinforcement in severe alcoholism were not supported by these data. Alcohol use severity did not affect stimulation in the challenge, and

stimulation did not robustly predict self-administration regardless of alcohol use severity. These results stand in contrast to our previous reports, which found diminished associations between stimulation/hedonia and craving in dependence, as compared to non-dependent heavy drinking [23, 24]. However, our previous studies used craving as a proxy end point for reinforcement, and thus, those results may not generalize to actual motivated alcohol consumption. Several recent CAIS studies have observed significant relationships between stimulation and self-administration [25, 47]; however, multiple study factors, including sample drinking intensity and alcohol use disorder severity, target BrAC, and free-access vs. progressive ratio schedules of reinforcement may explain these discrepancies.

Our hypothesis that negative reinforcement would be stronger among more severe participants were only partially supported. Alcohol use severity was associated with greater levels of depressive symptomatology and basal negative affect, but alcohol use severity did not predict alcohol-induced alleviation of negative affect. Furthermore, negative affect did not robustly predict reinforcement behavior. While these negative affect findings are consistent with our previous studies on craving [23, 24], they appear inconsistent with a body of literature that has demonstrated relationships between negative affect and naturalistic alcohol use [48–51]. Most studies that have observed a relationship between negative affect and drinking behavior assess negative affectivity as a trait-like variable whereas this study assessed state negative affect immediately prior to the self-administration paradigm. It is possible that participants completing a laboratory paradigm such as this are in an atypically positive mood since they (1) are going to be compensated for their participation (2) are anticipating receiving alcohol, and (3) do not have to deal with daily life hassles during their participation. Secondly, it is possible that the predictors of alcohol self-administration in a controlled laboratory setting are dissociable from predictors of naturalistic drinking which is more susceptible to exogenous factors such as drinking cues, peer influence, drinking habits/patterns, and life stressors. Future studies are necessary to examine these multiple possible explanations.

In terms of sedation, these results were partially consistent with the Differentiator and Low Level of Response Models that advance sedation as a protective factor against excessive alcohol use [14, 15, 20, 52]. Although alcohol use severity was associated with greater overall sedation, this effect represented a baseline difference that was carried forward rather than a difference in the acute responses to alcohol and greater sedation during the challenge did predict lower levels of self-administration. The lack of light-to-moderate drinkers in our sample may explain these counterintuitive challenge results, as most other studies compare lighter drinkers to heavy drinkers [13].

This study should be interpreted in light of its strengths and weaknesses. The study benefits chiefly from a novel, highly controlled, and translational alcohol administration paradigm that measures alcohol reward and reinforcement and isolates reactivity to alcohol-related cues. The primary limitation was the relatively small sample of participants with severe AUD per DSM-5 [53]. The fact that, for ethical reasons, participants were required to be non-treatment seeking and able to produce a zero on a breathalyzer test at each visit (the visit would be rescheduled otherwise; across all visit types in this study the rate of no-show/reschedule was 45%) may have impeded recruitment of severe AUD participants. While severe AUD participants were enrolled, this subgroup was smaller and generally represented the lower range of severe AUD. Allostatic neuroadaptations may occur chiefly at higher levels of dependence severity, such as those induced by the ethanol vapor paradigm and participants at this level of severity would likely have been excluded from this study for safety reasons. The relatively scarcity of severe AUD participants also reduces statistical power to detect effects that are expected to arise at



**Fig. 5** Final model with craving level and alcohol use severity predicting BrAC self-administration curves. Craving level significantly predicted BrAC curve parameters. After accounting for alcohol craving level, alcohol use severity no longer predicted self-administration curves. The selected alcohol use severity factor scores represent the mean scores for each DSM-5 AUD severity classifications (none =  $-1.26$ , mild =  $-0.05$ , moderate =  $1.57$ , and severe =  $4.14$ )

this severe range (e.g. negative reinforcement). That said, our sample is comparable to other “severe” samples recruited in alcohol challenge studies (e.g.  $\sim 10\%$  severe AUD with  $\sim 6.5$  mean symptoms in [22]). A substantial self-administration ceiling effect, where 36% of participants reached the BrAC safety threshold, may also have affected our results. Additionally, the sample restriction to Caucasian ethnicity limits the generalizability of these results. Lastly, though this study was cross-sectional, allostatic processes are necessarily longitudinal. In these analyses, alcohol use severity was used as a proxy for this longitudinal process capturing multiple facets of alcohol use and problems; However, this approach assumes a relatively linear and progressive course of alcoholism, which may not represent many AUD patients.

In conclusion, this study represents a novel approach to translating preclinical theories of addiction to human-subjects research. In these data subjective craving strongly predicted reinforcement behavior and sedation was moderately protective. Conversely, we observed relatively little evidence for the allostatic processes of diminished positive reinforcement and enhanced negative reinforcement in participants with relatively severe alcohol use and problems. Further studies refining and enhancing this translational paradigm, for example by including affective manipulations to test the role of stress in reward and reinforcement are warranted. Interestingly, ecological research has highlighted the role of acute stress events in predicting drug use as opposed to basal negative affect which was measured in this study [54]. Furthermore, given the severity of dependence induced by preclinical paradigms, recruitment of more severe AUD samples may be necessary for a robust translational examination.

#### DATA AVAILABILITY

The data set used in this study is available upon request by the senior author (LAR) and full data analysis code is available on the lead authors GitHub profile (<https://github.com/sbujarski>).

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#### ADDITIONAL INFORMATION

Supplementary information accompanies this paper at (<https://doi.org/10.1038/s41386-018-0086-9>).

**Conflict of interest:** The authors declare that they have no conflict of interest.

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