# Effects of spaceflight on cartilage: implications on spinal physiology

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Abstract: Spaceflight alters normal physiology of cells and tissues observed on Earth. The effects of spaceflight on the musculoskeletal system have been thoroughly studied, however, the effects on cartilage have not. This area is gaining more relevance as long duration missions, such as Mars, are planned. The impact on intervertebral discs and articular cartilage are of particular interest to astronauts and their physicians. This review surveys the literature and reports on the current body of knowledge regarding the effects of spaceflight on cartilage, and specifically changes to the spine and intervertebral disc integrity and physiology. A systematic literature review was conducted using PubMed, MEDLINE, and Google Scholar. Eighty-six unique papers were identified, 15 of which were included. The effect of spaceflight on cartilage is comprehensively presented due to limited research on the effect of microgravity on the spine/ intervertebral discs. Cellular, animal, and human studies are discussed, focusing on human physiologic changes, cartilage histology, mineralization, biomechanics, chondrogenesis, and tissue engineering. Several common themes were found, such as decreased structural integrity of intervertebral disks and impaired osteogenesis/ossification. However, studies also presented conflicting results, rendering strong conclusions difficult. The paucity of human cartilage studies in spaceflight leaves extrapolation from other model systems the only current option for drawing conclusions despite known and unknown limitations in applicability to human physiology, especially spinal pathophysiology which is special interest. The aerospace and biomedical research communities would benefit from further human spaceflight articular cartilage and intervertebral disc studies. Further research may yield beneficial application for spaceflight, and crossover in understanding and treating terrestrial diseases like osteoarthritis and vertebral disc degeneration.

Keywords: Cartilage; chondroblast; chondrocyte; intravertebral disc; microgravity; spaceflight; spine

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#### Introduction

Since human space exploration began with Vostok 1 in 1961, astronauts, cosmonauts, and taikonauts have been subjected microgravity. Microgravity alters the normal physiology of cells and tissues observed on Earth (1-3). Study of these effects reveal several adverse effects in space voyagers, including cardiovascular dysfunction, impaired immune response, vision impairment, and genitourinary complications (4-8). Spaceflight also impacts the musculoskeletal system by reducing bone mineral density and causing atrophy of skeletal muscle (9-12). The effects of spaceflight on cartilage, however, are not well characterized. Astronauts who experience negative impacts to cartilage will be at risk for injury during landing either on earth or in a planetary surface. This cohort of astronauts may experience long term health effects and be at risk for chronic issues or injury long after spaceflight (13,14).

Cartilage is a durable, smooth connective tissue comprised of dense matrix of collagen and elastic fibers within a ground substance (15). As with nearly all musculoskeletal connective tissues, cartilage is derived from the mesoderm (16). At the cellular level, it is derived from progenitor chondroblasts. When chondroblasts become embedded in matrix, they are named chondrocytes (17). Uniquely, cartilage is avascular and contains no nerves, except in the perichondrium (18). There are three types of cartilage which differ in relative amounts of collagen and proteoglycan: elastic (outer ear, Eustachian tube, epiglottis), hyaline (joint surfaces), and fibrocartilage (intervertebral discs, pubic symphysis, menisci) (19-21). Most spaceflight studies of cartilage have focused on hyaline and fibrocartilage.

Basic science research probes at the cellular and molecular level through animal experiments, cell studies, biochemical, genetic, and physiological studies (22). On the other hand, clinical studies include experimental or observational studies which usually explore mechanisms at the organ system level involving human subjects (22). Furthermore, these studies can be subdivided into those occurring in spaceflight or those taking place in simulated microgravity on earth (23-25). While simulated microgravity provides a practical and accessible platform to study cartilage, it cannot account for external influences present during spaceflight, such as the effects of radiation and physiologic stress; simulated models also introduce factors including vessel vibration and differing gravity vectors not present in spaceflight (26-28).

The effects of spaceflight on various tissues is becoming more relevant as plans for long duration missions such as Mars become feasible. The effects on intervertebral discs and articular cartilage are of particular interest as astronauts prepare for longer spaceflight exposure (29-31). We review the current body of knowledge regarding effects of spaceflight on cartilage with a focus on effects of spaceflight on intervertebral disc changes or spinal physiology changes. The findings are subdivided into cartilage engineering, basic science experiments, and clinical studies, to provide a comprehensive systematic review and analysis.

#### **Methods**

# Search strategy

A systematic review of the literature was conducted using PubMed, MEDLINE, and Google Scholar for studies identifying the effects of spaceflight on intervertebral disc changes or spinal physiology changes. However, because there was a paucity of studies regarding this specific focus, the literature searches and review was expanded to include effects of spaceflight on all types of cartilage. As such, all studies on cartilage in spaceflight between the years of 1990–2016 were included. The references of these studies and reviews were cross-references. Search terms utilized were: "cartilage"/"chondrocyte"/"chondroblast"/"spine"/"spinal"/"disc"/"intravertebral"/"nucleus pulposus" AND "microgravity"/"weightlessness"/"spaceflight".

# Selection criteria and data extraction

A literature review was conducted using the search terms. The inclusion criteria were broad to include all possible pertinent studies involving cartilage and spaceflight. Articles out of scope, simulated microgravity papers, case reports, reviews, articles not in English, and inaccessible full-text manuscripts were excluded.

### Quality assessment and exclusion

Three investigators (V Ramachandran, R Wang, SS Ramachandran) independently reviewed the titles and abstracts of all studies pulled from the search terms for inclusion. After this initial review, full manuscripts of the remaining included studies were obtained and re-assessed for inclusion. The information extracted for each paper included the author, publication year, study design, study

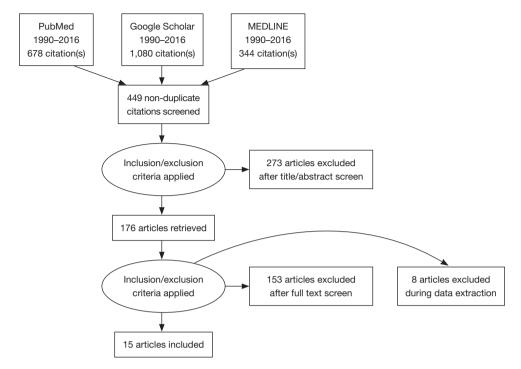


Figure 1 PRISMA flowchart for the systematic review presented.

population characteristics, and results.

Articles were placed into three categories by best fit reflecting: (I) cartilage engineering; (II) basic science studies; and (III) clinical studies.

#### **Results**

## Literature review

The PRISMA methodology was utilized (Figure 1) (32).

## Study characteristics

A total of 15 articles were included. These papers were divided into three categories: tissue engineering (13%), clinical studies (13%), and basic science (74%) (*Table 1*). Summaries of the studies included in this investigation are found in *Table 2*.

## Tissue engineering studies

Researchers have attempted tissue engineering in space to elucidate the viability of differentiating cells and to assess the effects of spaceflight on tissues at the cellular level. Two such experiments have been performed, comparing samples in spaceflight, simulated microgravity, and normal control cartilage (33,34).

In a landmark study, Freed et al. grew bovine articular chondrocytes on polyglycolic acid scaffolds in rotating bioreactors for 3 months on Earth (34). This was followed by 4 months of growth aboard the Mir Space Station  $(10^{-4} \text{ to } 10^{-6} \text{ g})$  or on Earth (1 g control). Compared to natural cartilage, both the spaceflight and Earth-grown samples lagged approximately 4-fold in terms of chondrocyte function as measured by sulfate and proline incorporation. The spaceflight cartilage maintained its chondrogenic phenotype as measured by the amount of collagen type II as a fraction of the total wet weight. Aggregate modulus is a measure of the stiffness of a tissue when all fluid flow ceases. The higher the aggregate modulus, the less the tissue deforms under a given load. The Earth-grown cartilage was indistinguishable from natural cartilage in terms of aggregate modulus whereas the spaceflight cartilage aggregate modulus lagged 3-fold. The spaceflight constructs also had significantly lower glycosaminoglycan (GAG) content than the Earth-grown constructs and natural cartilage. The authors suggest that the aggregate modulus is determined mainly by GAG content. In addition, physical forces inducing mechanotransduction at the cellular

Table 1 Characterization of the current body of literature on the effects of spaceflight on cartilage

Authors	Year	Type of study	Study design	Population/organism characteristics
Stamenković et al. (33)	2010	Cartilage engineering	Experimental	Porcine chondrocytes cultured in spaceflight, earth RPM, and 1-g control for 16 days
Freed et al. (34)	1990	Cartilage engineering	Experimental	Bovine articular chondrocytes on PGA scaffolds grown for 3 months on Earth than 4 months on either spaceflight or 1-g control
Liphardt et al. (35)	2015	Clinical science	Crossover trial	12 healthy male subjects undergoing head down tilt and bedrest and two astronauts undergoing 5.5 months of spaceflight
LeBlanc et al. (36)	1994	Clinical science	Prospective cohort	Five female and two male healthy subjects undergoing 5 or 17 weeks of bed rest and four astronauts undergoing 8 days of spaceflight
Bailey et al. (31)	2014	Basic science	Prospective cohort	Eight mice exposed to 15 days of spaceflight and eight mice as 1-g controls
Sibonga et al. (37)	2000	Basic science	Prospective cohort	42 rats exposed to 4–15 days of spaceflight (12 ovariectomized), 16 ground-based rats with ovariectomy and unilateral sciatic neurotomy exposed of 14 or 42 days of 1 g, and 16 ground-based rats (10 ovariectomized) with 9–10 days of hind limb unloading
Doty <i>et al</i> . (38)	1999	Basic science	Experimental	Chick limb bud mesenchymal cells grown for 8 days, then transferred either to a ground control or 9-day spaceflight
Klement et al. (39)	1999	Basic science	Experimental	Mouse embryonal metatarsals cultured for 8.3, 10, and 14 days of spaceflight
Suda <i>et al.</i> (40)	1998	Basic science	Experimental	Fertilized chicken eggs exposed to 7 days of spaceflight, 1-g control, or simulated microgravity
Fermin et al. (28)	1996	Basic science	Experimental	32 chicken embryos exposed to 5 days of spaceflight and 32 same aged chicken embryos exposed to 1-g control
Földes et al. (41)	1996	Basic science	Prospective cohort	Male rats exposed to 12.5 days of spaceflight compared to three rats exposed to 1-g controls
Grinfeld et al. (42)	1996	Basic science	Prospective cohort	Pleurodeles waltl exposed to 12 days of spaceflight and 12 days of 1-g control
Kawashima et al. (43)	1995	Basic science	Experimental	Fertilized chicken eggs exposed to 7 or 10 days of spaceflight and 1-g control
Klement et al. (44)	1994	Basic science	Experimental	13.66-day-old embryonic mouse pre-metatarsal cultured for 5 days of spaceflight and 15.5-day-old embryonic mouse pre-metatarsal cultured for 4 days of spaceflight with 1-g controls
Pedrini-Mille et al. (45)	1992	Basic science	Prospective cohort	Rats exposed to 14 days of spaceflight, 1-g control, and tail suspension

RPM, random positioning machine; PGA, polyglycolic acid.

level were present in the Earth-grown constructs, likely explaining the lower wet weights, GAG fractions, aggregate modulus, and more spherical shape of the spaceflight constructs (34).

Stamenković *et al.* studied the effects of spaceflight and simulated microgravity on scaffold-free cartilage development (33). Porcine chondrocytes (100×10<sup>6</sup> cells/mL) were inoculated into cylindrical chambers and exposed to

one of three conditions: (I) microgravity of the Flight 7S (Cervantes mission) of the International Space Station (ISS); (II) simulated microgravity of a random positioning machine (RPM); or (III) normal gravity control (1 g) for 16 days. There were several findings. Immunohistochemistry analyses revealed weaker staining of the extracellular matrix in the ISS cartilage tissue compared to both RPM and 1-g samples. Cell density was reduced in spaceflight

Table 2 Brief description of basic science, tissue engineering, and clinical studies including overall summaries

Paper	Year	Results
Tissue engineering of cartilage in space	1997	Both cartilage grown on Earth and 10 <sup>-5</sup> g are viable proteoglycan and type II collagen synthesizing cells; however, microgravity grown cartilage is spherical, smaller, and mechanically inferior
Neocartilage formation in 1 g, simulated, and microgravity environments: implications for tissue engineering	2010	Comparison of porcine chondrocyte growth in 1 g, simulated microgravity, and actual microgravity revealed that collagen II/I production ratio was highest in microgravity grown samples, yet cell density was the lowest. Mechanical loading is crucial for proper chondrocyte development
Summary: Cartilage growth in space	-	Mechanical loading is necessary for proper growth of cartilage
Effect of microgravity on the biomechanical properties of lumbar and caudal intervertebral discs in mice	2014	Between mice flown in 15 days of microgravity and control samples on Earth, no significant differences were found in the lumbar IV disks; however, in the less mechanically constrained caudal IV disks, there was a significant decrease in the physiological disk height as well as the nuclear swelling pressure strain dependence in the microgravity flown mice, indicating increased creep on mechanical loading and thus overall inferior biomechanical properties of less mechanically constrained IV disks in microgravity
Histology and histochemistry of intervertebral discs of rats participated in spaceflight	1996	The lumbar IV disks of rats flown in 12.5 days of microgravity and vivarium controls were compared. Irregularly distributed collagenous bands, hypertrophied cartilaginous end plates, higher concentrations of glycosaminoglycans, and laterally extended nucleus pulposus were found in the lumbar IV disks of the microgravity exposed mice
Changes in intervertebral disc cross- sectional area with bed rest and spaceflight	1994	In astronauts flown for 8 days, there was no significant change in their lumbar IV disk area or MRI properties
Effect of microgravity on the composition of the intervertebral disk	1992	Rats flown aboard the COSMOS mission were found to have 20% smaller lumbar annulus fibrosus and decreased proteoglycan concentration as well as increased proteoglycan leaching in water. Microgravity did not appear to have an effect on the water imbibement properties of the lumbar disks
The Effect of Immobility and Microgravity on Cartilage Metabolism	2015	Concentrations of cartilage oligomeric matrix protein (COMP) were decreased in astronauts after 5.5 months in microgravity despite nutritional intervention and resistive vibration exercise, suggesting joint articular cartilage degradation upon immobilization and unloading
Summary: IV discs and articular cartilage in microgravity	-	Microgravity has a definitive effect on the histology and morphology of IV disc and articular cartilage in space. Results are conflicting regarding lumbar IV disks. Several studies found little to no effect of microgravity on the lumbar IV disk (and proposing their relative mechanical constraint as a potential reason) while others found significant effect on the lumbar IV disk
The effect of spaceflight on cartilage cell cycle and differentiation	1999	In microgravity, there is elevated cyclin E, PNCA, and p27 protein, suggesting an effect on cartilage cell cycle resulting in decreased cartilage cellular activity
Mineralization and growth of cultured embryonic skeletal tissue in microgravity	1999	Mouse embryonic pre-metatarsal cartilage rods cultured in microgravity experienced significantly diminished growth (from 24% to 92% depending on the pre-flight culture times and flight length from 8.5 to 14 days) as well as less mineralization and uptake of calcium. Variations in insulin, growth hormone, and thyroid hormone levels, pre-culture time, and altered fluid diffusion in microgravity are hypothesized to explain these results
Effects of spaceflight and simulated weightlessness on longitudinal bone growth	2000	11-day spaceflight suppresses the expression of aggrecan mRNA (–53%) as well as collagen type II (–33%) levels in the tibial growth plates of rats. This altered the composition of the ECM and negatively impact endochondral ossification

Table 2 (continued)

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Paper	Year	Results
Microgravity in the STS-29 space shuttle discovery affected the vestibular system of chick embryos	1996	Chicken embryos cultured in a 5-day microgravity experienced little change in the anatomical morphology of their temporal bones compared to ground control; however, the microgravity grown embryos experienced decreased height of sensory epithelia, decreased cross sectional area of support cell nuclei, and increased number of afferent fibers to the saccule and utricle
Lessons from the Space Experiment SL-J/FMPT/L7: The Effect of Microgravity on Chicken Embryogenesis and Bone Formation	1998	Similar to the other study, no appreciable effects of microgravity on cartilage or bone development in chicken embryos cultured in microgravity
Microgravity generated by space flight has little effect on the growth and development of chick embryonic bone	1995	Development of chick eggs incubated in 7 and 10 days of microgravity experienced little change in gene expression, epiphyseal morphology, alkaline phosphatase activity, bone forming activity, and PTH induced bone resorption
Pre-Metatarsal Skeletal Development in Tissue Culture at Unit- and Microgravity	1994	Pre-terminally differentiated embryonic murine pre-metatarsals cultured in 5-day spaceflight experienced greater cartilage rod expansion as well as thicker cartilage. Terminally differentiated embryonic murine pre-metatarsals cultured in 4-day spaceflight experienced no difference in cartilage rod expansion or composition, presumably due to the fact that differentiation had already occurred
Amphibian tail regeneration in space: effect on the pigmentation of the blastema	1996	Cartilage was able to be regenerated in amphibian tails in spaceflight
Summary: Development of cartilage and bone in microgravity	-	Development of cartilage and cartilage derived structures (i.e., bone) is definitely affected and in most studies, hindered in microgravity. A notable exception are chick embryos, whose cartilage and bone development were found in several experiments to not be significantly hindered

ECM, extracellular matrix; PTH, parathyroid hormone; MRI, magnetic resonance imaging.

samples. Aggrecan is a cartilage-specific proteoglycan core protein while versican is a generalized proteoglycan found throughout the bodies of vertebrates (46,47). The 1-g controls had a higher aggrecan:versican gene expression ratio compared to both ISS and RPM samples. The ISS sample had the lowest aggrecan:versican gene expression ratio. Quantitative polymerase chain reaction (qPCR) revealed that collagen II:I gene expression ratio was highest in ISS samples despite immunostaining revealing that collagen II protein content was actually lowest in the ISS samples. The authors attributed this paradoxical finding to the negative effect of microgravity on post-translational modifications of collagen and a potential re-adaptation to gravity upon return of the ISS sample (33).

#### Clinical studies

Clinical studies conducted during spaceflight allow examination of human cartilage response to the space environment, possibly leading to the development of countermeasures or crossover application on Earth.

LeBlanc et al. investigated the effects of immobilization and spaceflight on fibrocartilage of the Intervertebral discs with subjects in three separate environments: one night of diurnal bedrest simulating regular sleep patterns (control), extended bedrest (5 weeks or 17 weeks with 6-7 weeks of an ambulatory recovery period), and 8 days aboard shuttle flight SL-J (36). Magnetic resonance imaging (MRI) was used to measure cross-sectional changes in area of the L2-L3 and L3-L4 discs and the transverse relaxation time (T2) of the intervertebral discs. The subjects who underwent 5- and 17-week bedrest protocols saw statistically significant increases in the entire disc area. Additionally, disc changes reached a steady state (+22% in area, range of 10-40%) by approximately 4 days of bedrest. Post-bedrest values were compared to pre-bedrest values. Only the 17-week cohort maintained significantly increased disc areas 6-7 weeks after conclusion of the study. Conversely, the change in disc areas

of the SL-J astronauts (the only subjects exposed to actual spaceflight) did not change. There were no measureable changes in T2 or lumbar spine heights seen in any of the four astronauts 24 hours after they returned from 8 days of spaceflight (36). These findings suggest characterization of a known limitation in bedrest studies is possible. This is useful for determining when such studies are appropriate.

Liphardt et al. investigated the effects of spaceflight on articular cartilage (35). Cartilage oligomeric matrix protein (COMP), an established biomarker for monitoring cartilage metabolism in relation to joint degradation and disease, is sensitive to mechanical loading (48,49). This marker was used to evaluate the effects of: (I) head down tilt and bedrest (HDT-BR) for 21 days in twelve healthy male subjects and (II) 5.5 months of spaceflight in two astronauts. In the HDT-BR subjects, COMP concentrations decreased within the first day of study, but increased to baseline levels after the individuals became ambulatory at the conclusion of the study. Nutrition and resistive vibration exercises did not affect these changes. Preliminary investigation of the COMP concentrations in the astronauts revealed a substantial increase (+39.9% and +13.3%) from baseline 7 days after return to Earth. The levels remained elevated until 30 days after landing (35). This suggests that astronauts may be at greater risk for cartilage degeneration, including degenerative disc disease, and arthritis with longduration spaceflight.

#### Basic science studies

Basic science research has been the predominant medium in which the effects of spaceflight on cartilage have been investigated.

Bailey *et al.* used C57BL/C mice to examine the effects of spaceflight on the biomechanical properties of lumbar and caudal discs of mice after 15 days aboard the STS-131 shuttle mission (31). Variables measured included the physiological disc height (PDH), endplate permeability (K), nuclear swelling pressure dependence (D) and annular viscoelasticity (G). The mice were sacrificed upon landing and measurements were compared to controls on Earth. No significant differences were observed in the lumbar intervertebral discs of the mice aboard the STS-131 and the control mice. However, the caudal intervertebral discs of the STS-131 mice had a decrease in PDH (-32%) and a decrease in D (-70%). The other caudal segment properties (K and G) did not appear to be influenced by spaceflight (31). The authors postulate that weightlessness coupled with

different motions along the spine may help explain the relatively high rate of cervical disc herniation amongst astronauts (31).

Földes et al. exposed Wistar rats to 12.5 days of spaceflight aboard the COSMOS 1887 biosatellite (41). Histologic and histochemical analyses of the lumbar intervertebral discs were performed. The spaceflight rats demonstrated irregularly distributed bands of collagen in the external zone of annulus fibrosus and cartilage end plate. Cartilaginous end plates had hypertrophied with mild mineralization. There was also lateral extension of notochordal cells in the nucleus pulposus compared to controls, in which chondroid cells dominated. Mucopolysaccharides and glycoproteins also showed changes in the spaceflight samples. There was a significant increase in the orientation of GAGs in the external and internal zones of the annulus fibrosus and nucleus pulposus. The authors state that the observed alterations in macromolecular components of intervertebral discs, cartilage ends plates, and osteoporotic changes may lead to the spinal pathologies faced by astronauts (41).

Pedrini-Mille et al. involving the intervertebral discs of rats aboard the COSMOS 2044 mission (45). This study revealed that the annulus fibrosus of rats aboard the spaceflight mission weighed significantly less (-20%) than those of Earth-based (1 g) controls. Further, the collagen:proteoglycan ratio was significantly greater in the spaceflight cohort. There were no observed changes in the relative proportions of type I and II collagen or in the number of cross-links. In this study, the annuli were also submerged underwater for 2 hours to observe for leaching of proteoglycans into the fluid. Significantly more proteoglycans leached from the annuli of the spaceflight rats than controls, which the authors propose was due to abnormal or smaller proteoglycans. The authors posit that these changes may affect the biomechanical functions of the annulus (45).

Doty et al. addressed whether decreases in cellular activity in space are due to effects of spaceflight on the differentiated cell, on the cell cycle and proliferation process, or on apoptosis (38). Chick limb bud mesenchymal cells were grown for 8 days and then divided into either 1-g control or spaceflight groups aboard the STS-95 for nine additional days. Post-flight samples were analyzed using flow cytometry, immunocytochemistry, and measurement of glucose and lactate in the growth media. Flow cytometry was performed for proliferating cell nuclear antigen (PCNA), cyclin E, p27, relative cell size, DNA content,

and percentage of cells undergoing apoptosis. In the cell cycle, cyclin E complexes with cyclin dependent kinase 2 (CDK2) to allow for transition from G1 to S phase of the cell cycle (50). Cyclin D also promotes the G1/S transition (51). PCNA is a DNA polymerase delta co-factor and increases processivity of leading strand DNA synthesis. PCNA is also involved in the RAD6-dependent DNA repair pathway (52), p27, also known as cyclin dependent kinase inhibitor 1B, is a cell cycle inhibitor protein which inhibits progression at G1 by binding to complexes such as cyclin E-CDK2 (53). Flow cytometry revealed that the flight group cells contained significantly elevated cyclin E (P=0.03), PCNA (P=0.08), and paradoxically p27 (P=0.005), as well as a lower proportion of cells in G1 compared to the ground control cells. Immunohistology did not reveal significant results. Media analysis indicated that the cartilage cells continuously metabolized glucose to lactate throughout the 9-day flight. Based on this data, the authors suggested that apoptosis did not play a role in reduced cellularity. The authors further suggested that spaceflight affects the cell cycle rather than cell death since cell cycle proteins appeared to be elevated and a difference in number of cells in G1 was found between flight and control cells (38).

Klement and colleagues studied mouse embryonic metatarsal cartilage rods cultured in spaceflight, collected from the STS-62, 63, and 67 space shuttle missions (39). Rod growth after the 14-day STS-62 mission without standard lab pre-culture showed a 24% decrease in growth relative to ground controls. The STS-63 mission lasted 8.3 days and included three experiments: a 5-day standard lab pre-cultured sample, a non-precultured sample, and an 11-day pre-cultured sample with radiolabeled calcium added at day 7. The non-precultured rods showed a 92% decrease in growth relative to the ground controls while the pre-cultured rods showed a 46% decrease in growth relative to the ground controls. Furthermore, the STS-63 results demonstrated less mineralization and uptake of radioactively-labeled calcium in the spaceflight group compared to ground controls, creating differences between the two groups in mineralized matrix composition. The STS-77 mission lasted for 10 days and used 6-day precultured samples. The rods grown on the STS-77 mission were similar in length to the ground control rods. The authors postulated several theories to explain their results. The hindered actions of hormones including insulinlike growth factor-1, growth hormone, and thyroid hormone were hypothesized to restrict cartilage growth. Pre-culture time clearly played a significant role in the results. Additionally, microgravity affects fluid diffusion and convection, which may have affected radioactive calcium uptake and release, possibly due to differences in proteoglycan content or a suppression of the outward growth of the stable crystal nucleus (39).

Sibonga *et al.* performed a series of spaceflight experiments to investigate the effect on longitudinal bone growth (37). Eleven days of spaceflight suppressed the expression of aggrecan mRNA by 53% (P<0.05) in the tibial growth plates of 12 male rats (7–8 weeks in age) as determined by northern hybridization. The authors hypothesize that this altered the composition of the extracellular matrix. They also hypothesized that similar changes may have contributed to the observed 34% reduction (P<0.05) in longitudinal growth rate of the tibia in a cohort of nine 13-week-old rats with ovaries removed were subjected to hind-limb unloading for 8 days (i.e., simulated microgravity) compared to weight bearing control rats (37).

Fermin et al. investigated the effects of a 5-day spaceflight aboard the STS-29 on the vestibular system of chick embryos (28). Sixteen 2-day (E2) and 16 9-day (E9) embryos within eggs were selected for the flight along with identical aged Earth-based 1-g controls. Of the 32 embryos flown in space (16 E2 and 16 E9), only 16 survived (all 16 E2 were dead at landing). All 16 E9 survived and were without morphologic abnormalities. The ground controls were exposed to vibrations similar to those experienced aboard the shuttle. The study revealed several findings. Staining of keratan sulfate, chondroitin sulfate, and fibronectin (all of which are extracellular components of cartilage) did not show a difference between flight and ground samples. The gross morphology of the temporal bone, within which resides the vestibule, did not differ between flight and ground controls. There was no difference in the cross-sectional areas or circularity of cartilage cells of the temporal bones (28).

Kawashima *et al.* utilized fertilized chick eggs in their study (43). The eggs were incubated during spaceflight for seven and 10 days while controls were maintained on Earth in both an incubator akin to that used in space and in a standard commercially available incubator. Light microscope examination with Alcian blue staining of the chick embryo humeri and tibias showed the quantity and distribution of osteoid tissues and morphologic structure of the epiphysis were not different between the groups. Furthermore, the bone-resorbing and bone-forming activities and calcium and phosphorus composition of the

femur were unchanged. Study of parathyroid hormone induced bone resorption at the femoral diaphysis was unchanged between the groups. Spaceflight also did not alter the length or wet weight of the bones at any stage of embryo. Kawashima and colleagues also demonstrated that the alkaline phosphatase activity of the tibia (divided into three sections: resting cartilage, growth plate and cortical bone) did not differ. At the molecular level, gene expressions in digits and tarsometatarsals of the hind limbs were studied using *in situ* hybridization. This investigation showed no difference in the expression of hepatocyte growth factor and receptors of fibroblast growth factor in perichondrium, trabecula, and skeletal muscles and tendons of hind limbs (43).

Suda et al. assessed effects of pre-launch incubation on fertilized chicken eggs (40). Thirty fertilized chicken eggs were incubated for 0 (n=10), 7 (n=10) and 10 days (n=10) prior to launch aboard the space shuttle Endeavor. They were incubated for an additional 7 days in spaceflight. Control groups were placed at the 1 g of Earth and in simulated microgravity. Twenty out of 30 flight eggs were recovered after landing (nine 10-day-old eggs, ten 7-dayold eggs, one 0-day-old egg). Investigation of the 0-dayold embryos revealed that these eggs developed a low amount of blood vessels in the chorioallantoic membrane in comparison to the control group. While the yolk (specific gravity, 1.029) would not have separated from the albumen (1.040) during space flight, the authors state that the subtle difference in specific gravity appeared to play a critical role in early chick embryogenesis of the 0-day-old embryos. However, all the tissues, including cartilage and bone, formed normally in the 7- and 10-dayold chicken embryos during spaceflight. There was only a slight decrease in bone density and changes in cartilage organization for the 7-day incubated embryos that were in the spaceflight environment. There were minor changes in in the deposition of type XI collagen and the distribution of type II collagen. Furthermore, when the 7- and 10-day-old spaceflight embryos were recovered at the Kennedy Space Center and incubated on Earth, they hatched normally. The authors did not suggest a reason for these unexpected results (40).

Klement and Spooner used embryonic mouse premetatarsals that had already initiated chondrogenesis and morphogenetic patterning in their study (44). First, the authors analyzed the growth and morphogenesis of premetatarsals after a 5-day spaceflight aboard the STS-54 mission via the orbiter Endeavor. In comparison to controls, spaceflight histologic sections of embryonic pre-

metatarsals (13.66 days old) showed greater cartilage rod expansion in length and width. Serial section analysis of the rods demonstrated thicker cartilage than zerotime controls. Morphogenetic order was maintained and normal cartilage phenotype was continually expressed in the spaceflight samples. However, the authors deemed this initial spaceflight study inadequate to assess for terminal chondrocyte differentiation or mineralization. A subsequent experiment investigated the effects of a 4-day spaceflight (STS-56 via the space shuttle Discovery) on older embryonic pre-metatarsals (15.5 days old), which had already terminally differentiated into hypertrophied cartilage. The pre-metatarsal tissues maintained their structure and normal staining of cartilage extracellular matrix. Paralleling controls, the spaceflight cultures showed no further growth of the cartilage rods and no calcification (44).

Grinfeld *et al.* conducted an experiment using Urodele amphibians, organisms in which tails regenerate after transection (42). In order to regenerate different tissues are propagated, including bone from the vertebrae, muscles, epidermis, and nervous system components. This process of regeneration was studied during spaceflight aboard the Bion-10 satellite. The results were compared to synchronous controls on Earth. The investigators found that cartilage, regeneration, just like spinal cord and muscle tissues, occurred in spaceflight subjects just as in the controls (42).

#### **Discussion**

In the course of the literature review, it became evident that there is a shortage of literature regarding the effects of spaceflight on spinal physiology. Only four were identified addressing this focus. As such, the review was expanded to include all cartilage studies in spaceflight. However, these findings still have implications on our understanding of the effects on the spine and intervertebral discs, which is important given plans for long-duration spaceflight to Mars.

Evaluating the broad effects of spaceflight on cartilage brings several findings to light. First, the majority of studies were basic science in nature (11 out of 15). For instance, the work on cartilage development and metabolism was concentrated in animal studies with just one human study. The findings in basic science papers were at times inconsistent with one another and occasionally conflicted with results elucidated from clinical studies. For example, astronauts showed an increase in COMP after 5.5 months (i.e., relatively long term) in spaceflight, suggesting that

astronauts may be at greater risk for cartilage degeneration, including degenerative disc disease, and arthritis with long-duration spaceflight (35). However, several basic science studies using animal models revealed some variation in results. For instance, the development of cartilage and cartilage derived structures (i.e., bone) was shown in most studies to be hindered in spaceflight (37,39). However, a notable exception was chick embryos, whose cartilage and bone development were not significantly hindered in several experiments (40).

Similarly, the present work on intervertebral discs has been concentrated in mice and rat studies with only one human study. The human SL-J spaceflight study appeared to be show no change in the lumbar IV disk parameters; however, it was only for a period of 8 days (36). Alternatively, rat and mice studies indicate either a decrease or malformation in the size of the lumbar IV disk with accompanying changes in proteoglycan content. Overall, it is difficult to conclusively determine the effect of spaceflight on human intervertebral disk fibrocartilage based on the current literature. Additional human research, ideally prospective spaceflight studies with ground-based controls, are needed to adequately elucidate the impact of spaceflight on this tissue.

The sometimes dissimilar findings of basic science studies in spaceflight may be attributed to variations in study design, including differences in model organisms used, duration of missions, and differences in ages of embryos or organisms used. For example, longer pre-culture times of chick embryos resulted in improved growth in spaceflight (39,44). Given the current dearth of human spaceflight cartilage studies, this variation is especially important to consider if attempting to extrapolate data for human application. At the present moment, such extrapolation to human physiology is not advisable based on the lack of consistent results.

In order to bridge the divide between basic science research and clinical studies conducted in space, further efforts and funding should be directed towards translational studies. The goal of translational research is to apply findings from spaceflight basic science studies to the design of robust human studies, and eventually countermeasure development, if warranted (54). To this extent, lifetime surveillance of astronaut health data on joint or back injuries in spaceflight are critical to obtain in order to elucidate if differences exist when compared to ground-based cohorts. Some experiments, such as tissue engineering studies, begin to do this. The two cartilage engineering papers

surveyed illustrated that although metabolically viable cartilage cells could be grown in spaceflight, mechanical loading under normal gravity is critical for the development of mechanically sound cartilage (33,34). However, there is room to build upon these studies. For instance, both groups of researchers remarked that using a 1-g centrifuge in spaceflight as a control and freezing spaceflight samples before landing (to cancel out any effect of the tissue as a result of re-adaptation to gravity) would have provided more experimental control, but was not feasible given weight and size constraints. Furthermore, the small sample sizes limited definitive conclusions.

Due to an aging population and increased incidence of sports-related injuries, musculoskeletal disorders such as degenerative disc disease and cartilage injuries cause significant health burdens in the United States (55). Traditional therapies such as intervertebral disc fusion and arthroplasty, allograft, and autograft have shortcomings due to cost, supply, infection, surgical morbidity, and risk of rejection (56,57). This has led to increasing interest into tissue engineering in orthopaedic surgery (58). Translational space research has contributed to advancements in terrestrial research, such as tissue engineering using simulated microgravity on Earth (34,59,60). Future translational research into countermeasure development against the effects of spaceflight on cartilage, such as articular degeneration observed in astronauts during longterm spaceflight (35), may yield beneficial cross-over knowledge in understanding and treating terrestrial diseases with a high global burden like vertebral disc degeneration and osteoarthritis (61,62).

The CARTILAGE study by the National Aeronautics and Space Administration (NASA) is an ongoing experiment exploring the effect of microgravity on the articular cartilage of the knees and begins to address the limited human cartilage studies in space (63). Data collection consists of knee MRIs pre- and post-flight as well as urine samples post-flight to assess for the presence of cartilage biodegradation markers. The CARTILAGE study is an effort to address several issues brought up in this paper. However, the effect of long-term spaceflight on fibrocartilage of human intervertebral discs as well as on human development of cartilage are yet to be well studied. This is particularly important when considering possible deleterious effects during extended space voyages to Mars and beyond.

There are several limitations of the present study. In conducting this review there were five articles not

published in English that could not be incorporated along with inaccessible full-text manuscripts through academic directories or library databases. We recommend that the aerospace and biomedical communities consider the benefits of open access material to facilitate distribution of findings, enhanced collaboration, and identifying gaps in the literature for future studies. Furthermore, the significant variation in methodology, experimental organism, length of study, techniques used, and the results themselves limits our ability to articulate definite and consistent findings from our analysis. Only three studies on cartilage in spaceflight have been published this decade, signifying the dearth of literature in this important domain. Additionally, while the effects of spaceflight on intervertebral discs may be extrapolated from other investigations of cartilage in space, these hypotheses have clear limitations.

#### **Conclusions**

Since foravs into spaceflight began in the 1960s, simultaneously interesting and deleterious effects of the space environment on human physiology have been uncovered. Much research has focused on phenomena such as visual impairment, immune dysfunction, and skeletal changes (5,6,9). In comparison, cartilage research has received relatively modest attention, leading to the current shortage of robust information regarding its behavior in the environment of space. Our study demonstrates several themes present at the gross tissue level, individual cell level, and molecular level. Aggregate cartilage tissues such as intervertebral discs show irregular distribution of cartilage in endplates, decreased physiologic disc height, and decreased annulus fibroses weight in rat lumbar discs. Mouse metatarsal rod cartilage demonstrated decreased growth and decreased calcium uptake while rat tibial growth plate cartilage exhibited decreased aggrecan mRNA expression. At the cartilage cell level, decreases were found in cell density in porcine models, stiffness in bovine models, and mineralization in rat models. No changes were found in fertilized chick embryos with respect to epiphysis morphology, osteoid tissue quantity or distribution, alkaline phosphatase levels, bone length, or calcium and phosphate levels. At the molecular level, studies showed disparate results with decreased GAG content in bovine chondrocytes, no differences in chick embryo keratan sulfate, chondroitin sulfate, or fibronectin, changes in rat glycoproteins and mucopolysaccharides, and increased markers of cartilage metabolism in human research. The broad take-away

is that cartilaginous tissue generally undergoes changes upon exposure to spaceflight with respect to physical properties, organization, cell cycle pathways, and associated macromolecules. However, the variability in duration of spaceflight exposure, model organisms, and parameters analyzed obfuscates concrete knowledge about the exact behavior of this tissue. Although short duration flyers have not necessarily experienced clinical consequences of cartilage effects in spaceflight, this will become more interesting for longer duration spaceflight, interplanetary flight, and commercial customers who may not be in peak health in the future. Future research, particularly larger human studies with ground-based controls, is needed to adequately shed light on the changes in cartilage structure and function in outer space. A specific focus of these future studies should include the effects of spaceflight on spine physiology and intervertebral discs.

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#### **Footnote**

*Conflicts of Interest*: The authors have no conflicts of interest to declare.

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