

# Association Between Fibroblast Growth Factor Receptor I Gene Amplification and Human Papillomavirus Prevalence in Tonsillar Squamous Cell Carcinoma With Clinicopathologic Analysis

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## Summary

Amplification of fibroblast growth factor receptor I (*FGFR1*) has been reported in many squamous cell carcinomas, and human papillomavirus (HPV)-related oropharyngeal squamous cell carcinoma has been characterized as a distinct subset with favorable prognosis. Here, we investigated the *FGFR1* amplification and HPV status in tonsillar squamous cell carcinoma (TSCC) and analyzed the clinical characteristics. HPV in situ hybridization (HPV ISH) and *FGFR1* fluorescence in situ hybridization (FISH) were performed using tissue microarray from 89 cases of TSCC. Fourteen of 89 (15.7%) TSCC cases had *FGFR1* amplification, and HPV was detected in 59 of 89 (66.3%) cases. *FGFR1* amplification status was not associated with HPV positivity ( $p=0.765$ ). Outcomes were not significantly different between *FGFR1* amplified and non-amplified patients. Although *FGFR1* amplified patients ( $n=4$ ) in the HPV ISH-negative group ( $n=30$ ) had a tendency for poorer overall survival, no statistical significance was identified ( $p=0.150$ , log-rank). *FGFR1* protein overexpression showed better disease-free survival ( $p=0.031$ , log-rank) in HPV-negative TSCC. This study suggests *FGFR1* amplification may be important in the pathogenesis of TSCC regardless of HPV status. (J Histochem Cytochem 66:511–522, 2018)

## Keywords

fibroblast growth factor receptor I, fluorescence in situ hybridization, human papillomavirus, squamous cell carcinoma, tonsil

## Introduction

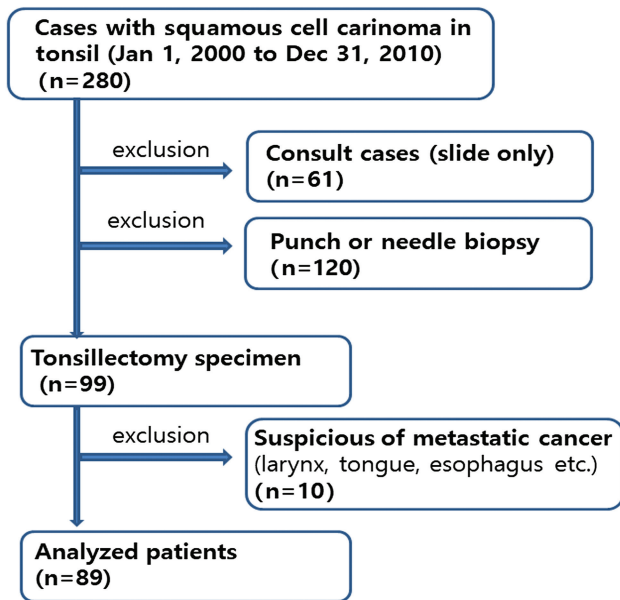
Approximately 50,000 new cases of oral cavity and pharyngeal cancer are expected to be diagnosed, and 10,000 related deaths are estimated to occur in the United States.<sup>1</sup> Despite enormous cancer treatment efforts, the 5-year survival rate is about 50%.<sup>2</sup> The most important risk factors for oral cavity and pharyngeal cancer are tobacco use and alcohol consumption. Human papillomavirus (HPV) infection is an additional

independent risk factor in oropharyngeal carcinoma.<sup>3</sup> It is well known that HPV-associated head and neck

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**Figure 1.** Study cohort flow diagram.

squamous cell carcinoma (HNSCC) shows a good prognosis compared with non-HPV-infected patients.<sup>4</sup>

Comprehensive molecular profiling for human cancer is a major trend in the area of cancer research, and the identification of genomic alterations for specific malignancies leads to a better understanding of tumor biology and the development of target therapies.<sup>5,6</sup> Fibroblast growth factor receptor 1 (*FGFR1*) is a member of four tyrosine kinase receptor family, encoded by a gene located on chromosome 8p12.1.<sup>7</sup> Amplification of *FGFR1* has been recently reported in lung,<sup>8</sup> breast,<sup>9</sup> and head and neck squamous cell carcinomas,<sup>10</sup> and sensitivity to *FGFR1* tyrosine kinase inhibitors in pre-clinical models and developed candidates of *FGFR* tyrosine kinase inhibitors have been reported, leading to clinical trials of these agents in *FGFR1* amplified tumors.<sup>11,12</sup>

However, knowledge of the clinical and prognostic significance of *FGFR1* amplification in patients with tonsillar squamous cell carcinoma (TSCC) and the relationship between HPV status and *FGFR1* amplified TSCC is limited. Thus, in the present study, we investigated the prognostic significance of *FGFR1* amplified TSCC and analyzed the association between HPV status and *FGFR1* amplification.

## Patients and Methods

### Patients

This study was conducted in a cohort of patients with TSCC who underwent surgery and neoadjuvant and/

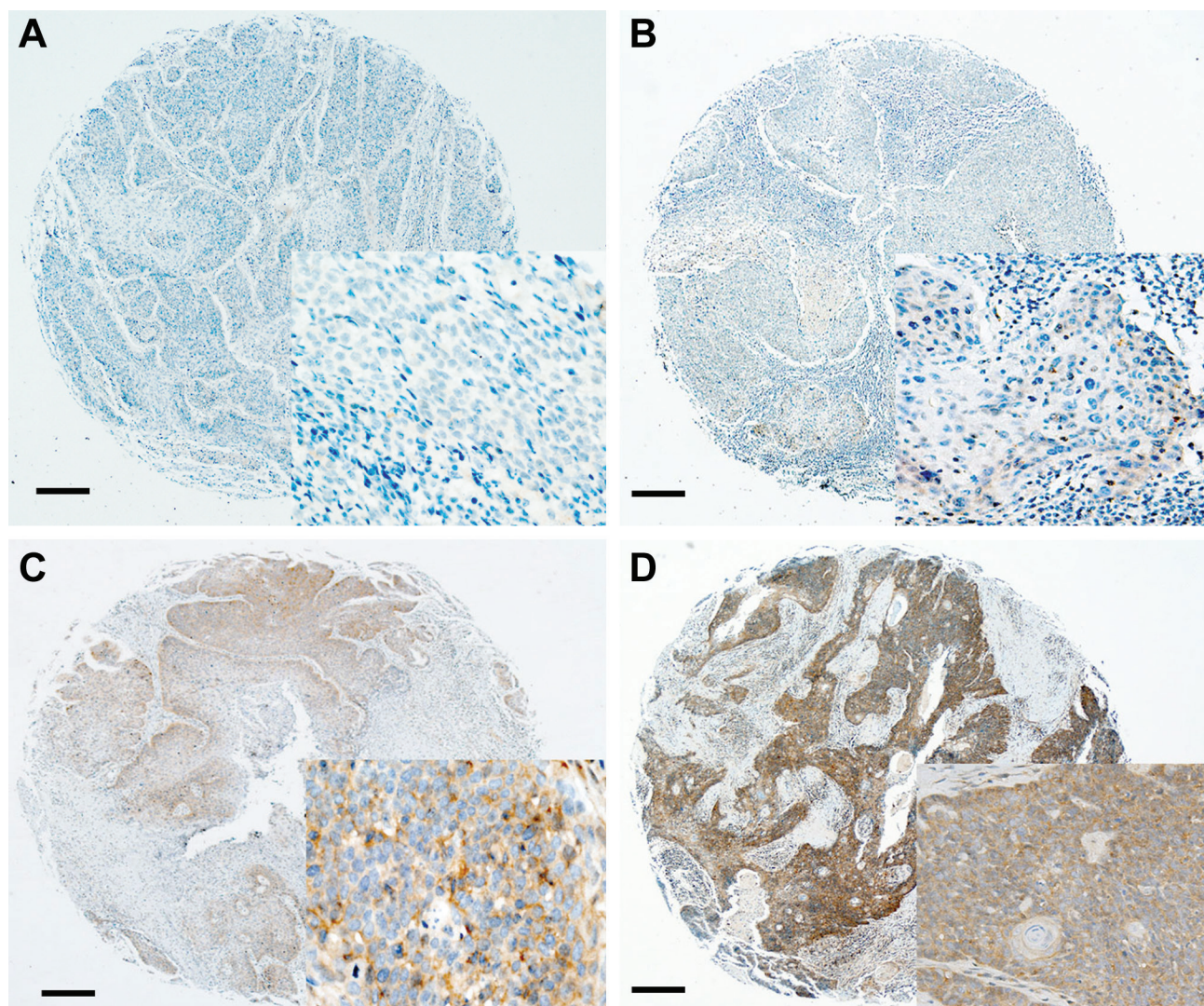
or postoperative adjuvant chemoradiotherapy between January 2000 and December 2010 at Asan Medical Center, Seoul, Korea. There were 280 patients with squamous cell carcinoma diagnosed in tonsil. The exclusion criteria were patients without tissue block ( $n=61$ ), patients performed only punch or needle biopsy ( $n=120$ ), and patients having history of squamous cell carcinoma from larynx, tongue, esophagus, lung, and so on, previously or synchronously ( $n=10$ ). The criteria used for patient selection included the availability of tumor tissue from primary TSCCs as well as data on smoking status and survival. These were summarized in Fig. 1. This study cohort was previously published by Lee et al.<sup>13</sup> The study was approved by the Institutional Review Board of Asan Medical Center. Smoking history was measured in pack-years and classified into three categories: nonsmoker, former smoker who quit smoking more than 10 years ago, or current smoker. Alcohol consumption was defined as having no history of alcohol use, having three or less drinks per day, or having more than three drinks per day. Tumor samples were available for 89 patients. The patient population included 81 (91.0%) males and 8 (9.0%) females with a median age of 55 years. Twenty-eight patients (31.5%) were never smokers, and 53 (59.6%) were current smokers. Follow-up periods ranged from 7 to 135 months with a median follow-up of 55 months. The recurrence rate was 15.7% (14/89).

### Tissue Microarray Construction and Immunohistochemistry

We used the previously published tissue microarray (TMA).<sup>13</sup> Briefly, TMAs were constructed from 2-mm cores of representative tumor areas from paraffin-embedded blocks, in duplicate. TMAs were used in immunohistochemical staining of p16 and *FGFR1*, fluorescence in situ hybridization (FISH) for *FGFR1* gene amplification, and HPV in situ hybridization (HPV ISH).

Immunohistochemistry (IHC) was done with antibodies for p16 (1:10, monoclonal p16<sup>INK4</sup>; Pharmingen, San Diego, California) and *FGFR1* (cat. no. BS5569; 1:500, polyclonal rabbit anti-*FGFR1*; Bioworld, St Louis Park, Minnesota) using the Ventana NX automated IHC system (Ventana Medical Systems, Tucson, Arizona) with OptiView DAB Detection Kit (Ventana Medical Systems) according to the manufacturer's instructions and using the reagents supplied with the kit. In brief, sections of 4  $\mu$ m were mounted on silanized charged slides and allowed to dry for 10 minutes at room temperature, followed by 20 min in an incubator at 65C. After deparaffinization, heat-induced antigen retrieval was performed using pH 6.0 citrate buffer





**Figure 2.** Representative images of FGFR1 expression from negative (score 0, A), weakly positive (score 1, B), and intermediately positive (score 2, C) to strongly positive (score 3, D) are shown by immunohistochemistry. Scale bar represents 50  $\mu$ m. Abbreviation: FGFR1, fibroblast growth factor receptor 1.

(CC1 Protocol; Ventana Medical Systems), and incubated for 32 min with primary antibodies at room temperature. The slides were counterstained with hematoxylin. As positive control, we used squamous cell carcinoma of the lung for FGFR1 and uterine cervical squamous cell carcinoma for p16. As negative control, we used adenocarcinoma of the lung for FGFR1 and normal lung tissue.

p16<sup>INK4</sup> expression was regarded as positive if the nuclei and/or cytoplasm were strongly and diffusely stained in  $\geq 70\%$  of the tumor cells.<sup>14</sup> FGFR1 expression was semiquantitatively scored according to cytoplasmic and membranous stain, as described previously<sup>15</sup>: 0, no expression; 1,  $\leq 10\%$  positive; 2, 10–50% positive; and 3,  $\geq 50\%$  positive. Representative IHC images were shown in Fig. 2. For statistical

analysis, the FGFR1 scores were divided into two groups: positive (2 and 3) versus negative (0 and 1).

#### FGFR1 Fluorescence In Situ Hybridization

We performed the FISH assay using a commercially available FGFR1 probe (cat. no. LPS018, Aquarius, FGFR1 Breakapart/Amplification probe; Cytocell, Cambridge, United Kingdom). The FGFR1 probe mix consists of a green 267-kb probe telomeric to the *FGFR1* gene (8p12) spanning the *LETM2* gene and D8S135 marker, and a red probe that is 272 kb centromeric to the *FGFR1* gene (8p12) spanning a 108-kb region, including the D8S389 and D8S2317 markers. An accompanying 8-centromere probe (D8Z2, 8p11.1-q11.1) in blue acted as a control for chromosome 8.

*FISH* analyses were interpreted by two experienced evaluators (J.S.S. and M.L.) who were blinded to the clinical data. At least 40 nuclei per patient were evaluated. As there are currently no standard criteria for the definition of *FGFR1* FISH positivity, we defined it as follows: For the *FGFR1* gene amplified group, more than 2.2 copies of the gene was considered to be positive. The copy number control ratio and *FGFR1* non-amplified group had fewer than 2.2 copies.<sup>16</sup>

### HPV In Situ Hybridization

The Ventana INFORM HPV III Family 16 Probe (B) was used in conjunction with the ISH iView Blue Plus Detection Kit (Ventana Medical Systems). The INFORM HPV III Family 16 Probe (B) detects the following high-risk HPV genotypes: 16, 18, 31, 33, 35, 39, 45, 51, 52, 56, 58, and 66. By light microscopy, any blue nuclear dots in the tumor cells were regarded as positive staining. All cases were classified in a binary manner as either positive or negative.

### DNA Extraction

HPV ISH-negative, p16-positive cases were retested by HPV DNA chip. We obtained the blocks for HPV genotyping with the same samples previously used for TMA. DNA was extracted from formalin-fixed paraffin-embedded tissue using a LaboPass Tissue Mini DNA Purification Kit (Cosmo Genetech, Seoul, Korea). Paraffin-embedded tumor tissues were cut into 20- $\mu$ m-thick sections, using disposable microtome blades, and three consequent sections were collected using microcentrifuge tubes. Then, two extractions were mixed with 1.2 mL of xylene, and excess xylene was removed by two 1.2-mL 100% ethanol washes. Dried tissue samples were incubated with lysis buffer and proteinase K at 56C for 30 min. Subsequently, the mixture was applied to the spin column and centrifuged into a collection tube according to the manufacturer's protocol. The purified DNA was used directly for polymerase chain reaction (PCR).

### HPV Genotyping

A commercially available HPV DNA chip (Goodgene, Seoul, Korea) was used for HPV genotyping. The HPV DNA chip contained 40 type-specific probes, including 21 types of high-risk HPV (16, 18, 26, 31, 33, 35, 39, 45, 51, 52, 53, 56, 58, 59, 66, 67, 68, 69, 70, 73, and 82) and 19 types of low-risk HPV (6, 11, 30, 32, 34, 40, 41, 42, 43, 44, 54, 55, 61, 62, 72, 81, 83, 84, and 90). Briefly, DNA amplification was performed in a 2720 Thermal Cycler (Applied Biosystems, Foster City, CA)

by PCR with primer sets, which target L1 and L2 regions of HPV DNA. The amount of DNA was 10  $\mu$ l. As a control gene, the human  $\beta$ -globin gene was also amplified. The PCR products from all samples were detected by electrophoresis using 2% agarose gels, and the HPV DNA product size was 185 bp. Hybridized HPV DNA was visualized using a DNA chip scanner (GeneScan; Goodgene). To avoid contamination that may yield a false-positive result, all PCR-related work was performed in specialized zones within a PCR laboratory.<sup>13</sup>

### Statistical Analysis

Statistical analyses were performed using SPSS version 18.0 (Statistical Package for Social Science, SPSS Inc., Chicago, IL). Comparisons of clinicopathologic variables between the *FGFR1* amplified and non-amplified groups were made, using chi-square or Fisher's exact test for nominal variables. The overall survival (OS) and disease-free survival (DFS) were compared using the Kaplan–Meier method, and the survival differences were calculated by the log-rank test. All *p* values less than .05 were considered to be statistically significant.

## Results

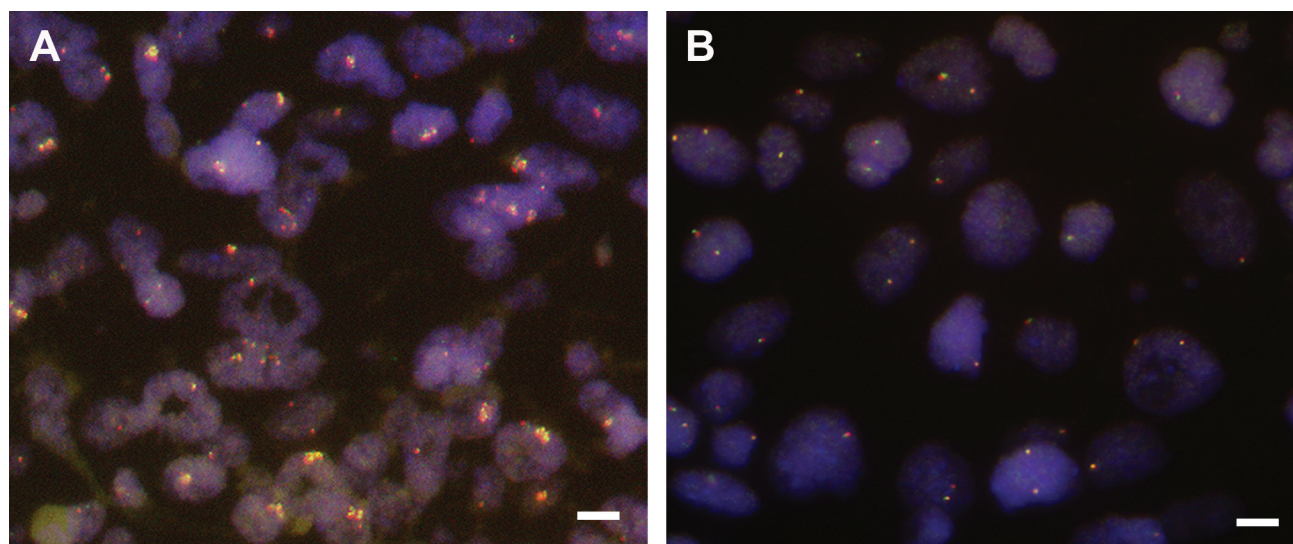
### Frequency of *FGFR1* Gene Amplification and Association With Clinical Characteristics

Fourteen of 89 (15.7%) TSCC cases showed *FGFR1* gene amplification by FISH, and the average amplification ratio was 4.78 (Fig. 3). The clinicopathologic characteristics were compared between the 14 *FGFR1* gene amplified cases and the 75 non-amplified *FGFR1* gene cases, summarized in Table 1. The mean age of the *FGFR1* gene amplified and non-amplified patients was 52.8 and 55.6 years old, respectively. However, these ages were not significantly different ( $p=0.489$ ). The *FGFR1* gene amplified group was not associated with any clinicopathologic variables, including pT stage ( $p=0.609$ ), pN stage ( $p=0.790$ ), pTNM stage ( $p=0.795$ ), and alcohol history ( $p=0.297$ ). With respect to smoking habits, five of 14 (17.9%) *FGFR1* gene amplified cases were never smokers, and 9 of 14 (48.8%) *FGFR1* gene amplified cases were smokers, but no statistical significance was observed between the two groups ( $p=0.155$ ).

### *FGFR1* Protein Expression and Association With Clinicopathologic Variables

The results are summarized in Table 1. Thirty-seven (41.6%), 16 (18.0%), 9 (10.1%), and 26 (29.2%) of





**Figure 3.** *FGFR1* gene copy number assessment by FISH. (A) The cells show *FGFR1* gene amplification. (B) The cells show normal disomic signals. Scale bar represents 10  $\mu$ m. Abbreviations: *FGFR1*, fibroblast growth factor receptor 1; FISH, fluorescence in situ hybridization.

TSCC patients divided to the following scores of 0, 1, 2, and 3, respectively, by *FGFR1* IHC. Thirty-five of 89 (39.3%) TSCC patients categorized as the high *FGFR1* protein expression group. No correlation was found between *FGFR1* gene amplification and *FGFR1* protein expression ( $r = 0.027$ ,  $p = 0.797$ ). The tumor size was inversely correlated with *FGFR1* high expression ( $p = 0.044$ ), although the pT stage ( $p = 0.080$ ) was not correlated with *FGFR1* expression. On the analysis between clinical parameter and *FGFR1* protein expression, 24 (27.2%) patients with *FGFR1* protein high expression showed positive HPV ISH results, whereas 11 (12.4%) patients with *FGFR1* protein high expression showed negative HPV ISH results. However, HPV status and *FGFR1* protein expression were not correlated in this study ( $p = 0.669$ ). Other clinicopathologic variables, including sex ( $p = 0.890$ ), tumor differentiation ( $p = 0.695$ ), keratinization ( $p = 0.071$ ), lymph node metastasis ( $p = 0.734$ ), pN stage ( $p = 0.279$ ), smoking history ( $p = 0.920$ ), and alcohol history ( $p = 0.985$ ), were not correlated with *FGFR1* protein expression.

#### Association of *FGFR1* Gene Amplification With HPV Status and p16

We used partly previously published data<sup>13</sup> of HPV ISH, p16, and HPV genotyping. Fifty-nine of 89 (66.3%) TSCC patients showed HPV ISH positivity, and 74 of 89 (83.1%) TSCCs showed p16 immunopositivity (Supplementary Figure 1). A strong positive correlation between p16 expression and HPV status ( $p < 0.001$ )

was identified (Supplementary Table 1). However, no survival benefit according to HPV and p16 status was observed (Supplementary Figure 3.).

Thirty-six of 89 (40.4%) TSCC patients showed immunopositivity for *FGFR1* protein, but no correlation was found between *FGFR1* gene amplification and *FGFR1* protein expression ( $p = 0.115$ ).

HPV genotyping using a DNA chip was performed. No HPV DNA was detected by genotyping in all 17 cases. To overcome the restricted HPV genotyping ( $p16^+/HPV\ ISH^-, n = 17$ ), we analyzed HPV genotyping with validation set ( $n = 83$ ) and the results described in Supplementary Material 2, Supplementary Figure 2, and Supplementary Tables 1 and 2.

Ten of 14 (71.4%) patients of the *FGFR1* gene amplified group showed HPV ISH positivity, and 9 (64.3%) of the *FGFR1* gene amplified patients showed immunopositivity for p16. However, no correlations were observed between HPV ISH and *FGFR1* gene amplification ( $p = 0.765$ ) or p16 expression and *FGFR1* gene amplification ( $p = 0.055$ ).

#### Survival Outcomes According to *FGFR1* Amplification and *FGFR1* Protein Expression

The OS and DFS rates (DFS) between the amplified *FGFR1* group and non-amplified group did not show statistical significance ( $p = 0.865$ , log-rank, and  $p = 0.637$ , log-rank, respectively, Fig. 4). When restricting the analysis to the HPV-negative group only ( $n = 30$ ), a survival benefit of the amplified versus the non-amplified *FGFR1* group was not observed ( $p = 0.150$ ,

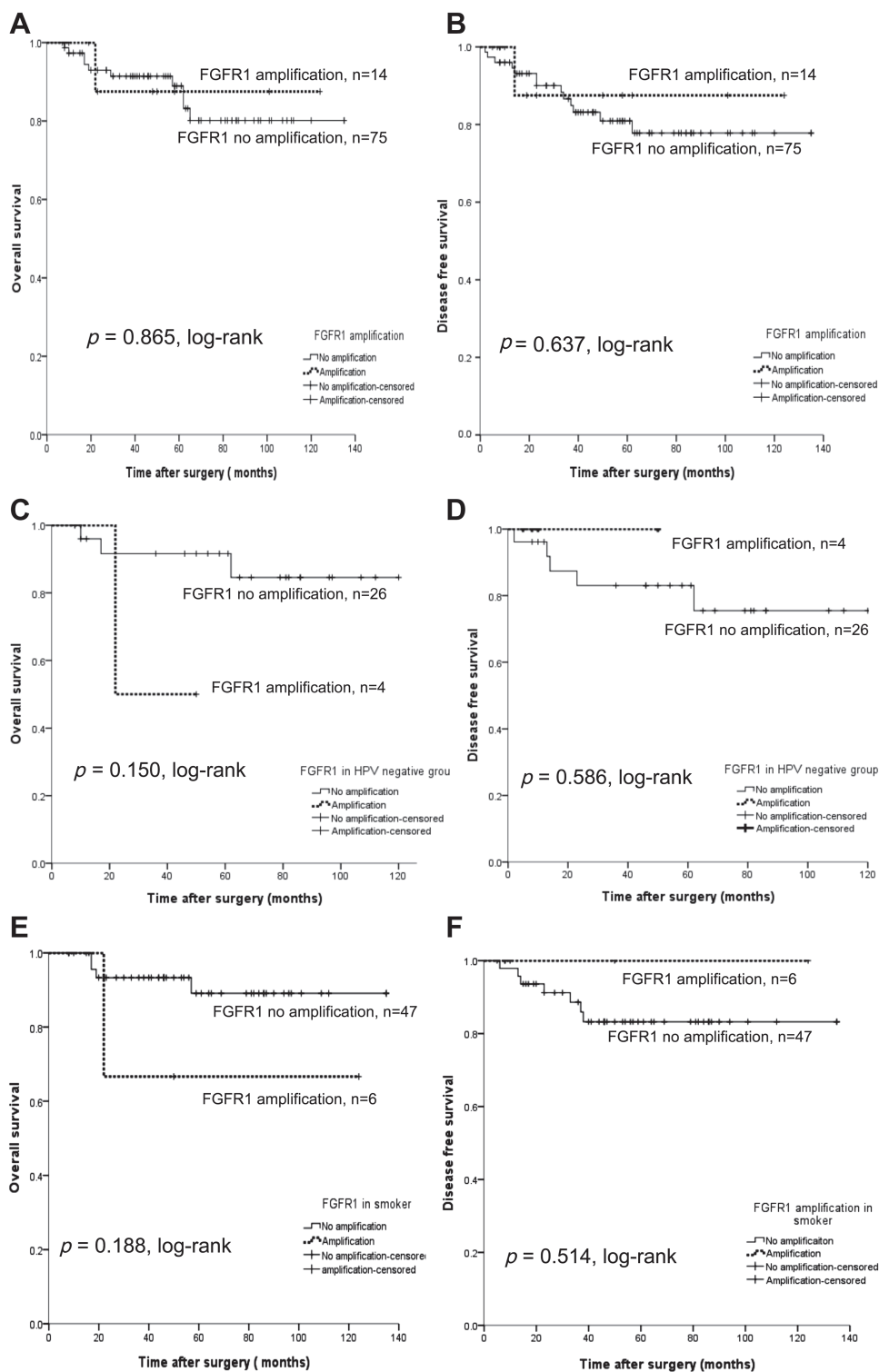
**Table 1.** Patients Characteristics According to *FGFR1* Amplification Status and Immunohistochemistry.

| Characteristics    | FGFR1 FISH    |                  | p Value            | FGFR1 Protein Expression |               | p Value            |
|--------------------|---------------|------------------|--------------------|--------------------------|---------------|--------------------|
|                    | Amplified (%) | Nonamplified (%) |                    | High (%)                 | Low (%)       |                    |
| Number of patients | 14 (15.7%)    | 75 (84.3%)       |                    | 35 (39.3%)               | 53 (59.6%)    |                    |
| Age, years         |               |                  |                    |                          |               |                    |
| Median (range)     | 52.8 (±12.02) | 55.6 (±10.01)    | 0.489 <sup>a</sup> | 52.3 (±9.82)             | 57.0 (±10.41) | 0.227 <sup>a</sup> |
| Sex                |               |                  |                    |                          |               |                    |
| Male               | 13 (16.0%)    | 68 (84.0%)       | 0.793              | 32 (40.0%)               | 48 (60.0%)    | 0.890              |
| Female             | 1 (12.5%)     | 7 (87.5%)        |                    | 3 (37.5%)                | 5 (62.5%)     |                    |
| Size (cm)          |               |                  |                    |                          |               |                    |
| ≤4                 | 13 (16.7%)    | 65 (83%)         | 0.518 <sup>b</sup> | 34 (44.2%)               | 43 (55.8%)    | 0.044 <sup>b</sup> |
| >4                 | 1 (9.1%)      | 10 (90.9%)       |                    | 1 (9.1%)                 | 10 (90.9%)    |                    |
| Differentiation    |               |                  |                    |                          |               |                    |
| WD                 | 3 (18.8%)     | 13 (81.3%)       | 0.353              | 7 (43.8%)                | 9 (56.3%)     | 0.695              |
| MD                 | 8 (12.7%)     | 55 (87.3%)       |                    | 23 (37.1%)               | 39 (62.9%)    |                    |
| PD                 | 3 (30.0%)     | 7 (70.0%)        |                    | 5 (50.0%)                | 5 (50.0%)     |                    |
| Keratinization     |               |                  |                    |                          |               |                    |
| Keratinizing       | 4 (12.9%)     | 27 (87.1%)       | 0.763              | 16 (53.3%)               | 14 (46.7%)    | 0.071              |
| Non-keratinizing   | 10 (17.2%)    | 48 (82.8%)       |                    | 19 (32.8%)               | 39 (60.2%)    |                    |
| LN metastasis      |               |                  |                    |                          |               |                    |
| Present            | 12 (15.2%)    | 67 (84.7%)       | 0.654 <sup>b</sup> | 32 (41.0%)               | 46 (59.0%)    | 0.734 <sup>b</sup> |
| Absent             | 2 (20.0%)     | 8 (80.0%)        |                    | 3 (30.0%)                | 7 (70.0%)     |                    |
| pT stage           |               |                  |                    |                          |               |                    |
| T1                 | 4 (11.4%)     | 31 (88.6%)       | 0.609              | 19 (54.3%)               | 16 (45.7%)    | 0.080              |
| T2                 | 8 (21.6%)     | 29 (78.4%)       |                    | 13 (36.2%)               | 23 (63.9%)    |                    |
| T3                 | 1 (9.1%)      | 10 (90.9%)       |                    | 2 (18.2%)                | 9 (81.8%)     |                    |
| T4                 | 1 (9.1%)      | 5 (83.3%)        |                    | 1 (16.7%)                | 5 (83.3%)     |                    |
| pN stage           |               |                  |                    |                          |               |                    |
| N0                 | 2 (20.0%)     | 8 (80.0%)        | 0.790              | 3 (30.0%)                | 7 (70.0%)     | 0.279              |
| N1                 | 2 (12.5%)     | 14 (87.5%)       |                    | 10 (62.5%)               | 6 (37.5%)     |                    |
| N2a                | 1 (11.1%)     | 8 (88.9%)        |                    | 4 (44.4%)                | 5 (55.6%)     |                    |
| N2b                | 9 (19.6%)     | 37 (80.4%)       |                    | 15 (33.3%)               | 30 (66.7%)    |                    |
| N2c                | 0 (0.0%)      | 6 (100.0%)       |                    | 3 (50.0%)                | 3 (50.0%)     |                    |
| N3                 | 0 (0.0%)      | 2 (100.0%)       |                    | 0 (0.0%)                 | 2 (100.0%)    |                    |
| pTNM stage         |               |                  |                    |                          |               |                    |
| I                  | 1 (20.0%)     | 4 (80.0%)        | 0.795              | 3 (60.0%)                | 2 (40.0%)     | 0.092              |
| II                 | 0 (0.0%)      | 4 (100.0%)       |                    | 0 (0.0%)                 | 4 (100.0%)    |                    |
| III                | 3 (20.0%)     | 12 (80.0%)       |                    | 9 (60.0%)                | 6 (40.0%)     |                    |
| IV                 | 10 (15.4%)    | 55 (84.6%)       |                    | 23 (35.9%)               | 41 (64.1%)    |                    |
| Smoking history    |               |                  |                    |                          |               |                    |
| Never smoker       | 5 (17.9%)     | 23 (82.1%)       | 0.155              | 12 (42.9%)               | 16 (57.1%)    | 0.920              |
| Former smoker      | 3 (37.5%)     | 5 (62.5%)        |                    | 3 (37.5%)                | 5 (62.5%)     |                    |
| Current smoker     | 6 (11.3%)     | 47 (88.7%)       |                    | 20 (38.5%)               | 32 (61.5%)    |                    |
| Alcohol history    |               |                  |                    |                          |               |                    |
| Never/social       | 6 (11.3%)     | 47 (88.7%)       | 0.297              | 21 (39.6%)               | 32 (60.4%)    | 0.985              |
| Yes                | 8 (22.2%)     | 28 (77.8%)       |                    | 14 (40.0%)               | 21 (60.0%)    |                    |
| HPV ISH            |               |                  |                    |                          |               |                    |
| Positive           | 10 (16.9%)    | 49 (83.1%)       | 0.765 <sup>b</sup> | 24 (41.4%)               | 34 (58.6%)    | 0.819 <sup>b</sup> |
| Negative           | 4 (13.3%)     | 26 (86.7%)       |                    | 11 (36.7%)               | 19 (63.3%)    |                    |
| p16 IHC            |               |                  |                    |                          |               |                    |
| Positive           | 9 (12.2%)     | 65 (87.8%)       | 0.055 <sup>b</sup> | 31 (42.5%)               | 42 (57.5%)    | 0.386 <sup>b</sup> |
| Negative           | 5 (33.3%)     | 10 (66.7%)       |                    | 4 (26.7%)                | 11 (73.3%)    |                    |
| FGFR1 IHC          |               |                  |                    |                          |               |                    |
| Positive           | 6 (17.4%)     | 29 (82.6%)       | 0.797              |                          |               |                    |
| Negative           | 8 (15.1%)     | 45 (84.9%)       |                    |                          |               |                    |

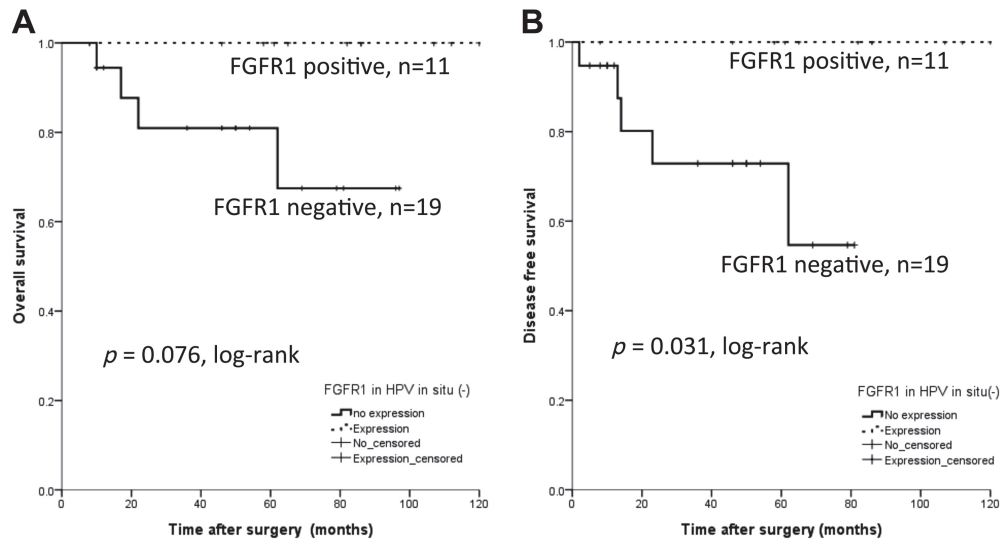
Abbreviations: FGFR1, fibroblast growth factor receptor 1; FISH, fluorescence in situ hybridization; HPV, human papillomavirus; ISH, in situ hybridization; IHC, immunohistochemistry; WD, well differentiated; MD, moderately differentiated; PD, poorly differentiated; LN, lymph node.

<sup>a</sup>t-test.

<sup>b</sup>Fisher's exact test.



**Figure 4.** OS and DFS for FGFR1 gene amplification determined by FISH. (A, B) *FGFR1* amplification is not associated with prognosis. (C, D) When restricting analysis to the HPV-negative group only ( $n=30$ ), there is still no association with OS (C) or DFS (D). (E, F) When restricting the analysis to smokers ( $n=53$ ), there is no association with OS (E) or DFS (F). Abbreviations: OS, overall survival; DFS, disease-free survival; FGFR1, fibroblast growth factor receptor 1; FISH, fluorescence in situ hybridization; HPV, human papillomavirus.



**Figure 5.** Survival analysis for FGFR1 protein expression in patients with HPV in situ hybridization negativity. FGFR1 is a poor prognostic factor for recurrence ( $p=0.031$ , log-rank, B), while no prognostic significance is observed in overall survival ( $p=0.076$ , log-rank, A). Abbreviations: FGFR1, fibroblast growth factor receptor 1; HPV, human papillomavirus.

log-rank, and  $p=0.586$ , log-rank, respectively). In addition, no significant survival benefit was observed among smokers ( $n=53$ ), whether the patients had the amplified *FGFR1* gene or not.

For FGFR1 protein expression, the OS ( $p=0.082$ , log-rank) and DFS ( $p=0.458$ , log-rank) were not statistically different whether FGFR1 was expressed or not. However, when restricting the analysis to the HPV-negative group only ( $n=30$ , Fig. 5), the FGFR1 protein positive expression group ( $n=11$ ) had better DFS ( $p=0.031$ , log-rank), while OS was not different from the FGFR1 protein expression negative group ( $p=0.076$ , log-rank).

## Discussion

We reported *FGFR1* amplification and protein expression in patients with TSCC and analyzed the association between *FGFR1* amplification or protein expression and HPV status. We reviewed the published studies on PubMed for *FGFR1* amplification and FGFR1 protein expression in HNSCC and summarized in Table 2. In brief, the frequency of *FGFR1* amplification ranged from 0.8% to 20%, and *FGFR1* amplified patients were free of HPV infection. Association with smoking in amplified patients was controversial. No OS differences between the *FGFR1* amplified and non-amplified groups were noted, although previous studies revealed that high protein expression was a poor prognostic factor.

We performed in silico analysis for TCGA Head and Neck Squamous Cell Carcinoma (TCGA-HNSCC) and

compared TCGA data with our data (Supplementary Material 1). Our study revealed a relatively high frequency of *FGFR1* amplification (15.7%) compared with TCGA data, which showed *FGFR1* amplification in 10.0% of HNSCCs. Freier et al reported the *FGFR1* amplification frequency to be 17.4% in oral squamous cell carcinoma,<sup>15</sup> but Ozretic et al reported 5.6% in oropharyngeal squamous cell carcinoma.<sup>17</sup> These differences could be explained by the following factors. First, the definition of amplified *FGFR1* was not fully standardized. The cutoff values ranged from 2.0 to 9.0 in the published English literature.<sup>16,26,27</sup> Second, the specimen populations of the previous studies were heterogeneous in that they reported on various squamous cell carcinomas of the head and neck, including the oral cavity, larynx, salivary gland, oropharynx, and even sinonasal cavity. However, our study was the most homogeneous given that we focused only on TSCC. Third, different detection methods were used among the studies; the applied methods included FISH/silver in situ hybridization (SISH), next-generation sequencing, mRNA expression, and so on.

For the relationship between *FGFR1* amplification and HPV status, published TCGA data<sup>22</sup> revealed that there were no HPV-positive patients among those with *FGFR1* amplification, but our data showed that 10 (71.4%) patients with *FGFR1* amplification had positive HPV ISH results. As shown in Table 2, *FGFR1* amplified patients had a tendency to lack HPV infection; however, not all *FGFR1* amplified patients showed HPV negativity. Ozretic et al.<sup>17</sup> reported that 8% (1/13) of *FGFR1* amplified patients had HPV-16



**Table 2.** Summary of Published Series for FGFR1 Amplification and FGFR1 Protein Expression in Head and Neck Squamous Cell Carcinoma.

| Study  | Location              | Sample No.          | Methods  | Probe/Ab                          | Cutoff   | Frequency  | A/w HPV Status   | A/w Smoking                                     | Comments  |
|--|-----------------------|---------------------|--|-----------------------------------|--|--|--|---|---|
| Ozretic et al. <sup>17</sup>                             | OP                    | 231                 | FISH   | ND                                | FGFR1/CEN8 ≥2 or cell displaying >6 signals                    | Amplification, 5.6% (13/231)                                     | 8% (1/13) shows HPV 16 DNA positivity                        | 92% (12/13) are smoker                          | 26.4% (61/234) are c-myc expression<br>2.6% (6/231) are both c-myc positivity and FGFR1 amplification |
| Koole et al. <sup>18</sup> - molecular diagnostic theory | OC, OP                | 951                 | IHC  | Abcam, I:2000, ab10646            | High expression, ≥10%  | High expression, 64%   | 67% in HPV-positive<br>85% in HPV-negative                   | NE  | High FGFR1 expression shows poor prognosis (p=0.018)  |
| Koole et al. <sup>19</sup> -CCR                          | OC, OP                | 452                 | FISH   | Cytocell Aquarius                 | FGFR1/CEN8 >2  | Amplification, 3% (3/94)   | All amplified cases show HPV negativity                      | NE  |   |
| Seiwert et al. <sup>20</sup>                             | OC, OP, L, HP         | 120                 | Parallel sequencing                            | Illumina HiSeq 2000/2500          | ND   | Amplification, 0.8% (1/120)                                      | 82% (36/45) in HPV-positive<br>75% (294/398) in HPV-negative | NE  | FGFR1 protein expression is a poor prognostic factor in HPV-negative HNSCC (p=0.001)                  |
| Feldman et al. <sup>21</sup>                             | OC, OP, NP, HP, L, UP | 735                 | Multipplatform profiling <sup>a</sup>          | Illumina MiSeq platform for NGS   | ND   | No mutation (0/337)  | An amplified case shows HPV negativity                       | NE  | 17.6% of HPV-positive tumors harbor somatic mutations in FGFR2/3                                      |
| TCGA Network <sup>22</sup>                               | OC, OP, L             | 279                 | Comprehensive multipplatform genetic profiling | ND                                | ND   | Amplification, 10% (28/279)                                      | All amplified cases show HPV negativity                      | NE  | No differences of overall survival between amplified group and non-amplified group (p=0.924)          |
| Schröck et al. <sup>23</sup>                             | Sinonasal cancer      | 138 (57 of SqCC)    | FISH   | Metasystems                       | ≥20% (100 cells)<br>High: cell displaying >9, low: 2-9 signals | Amplification, 20% (9/45)  | All amplified cases show p16 immunonegativity                | 3% (1/32) is smoker, but N-S (p=0.30)           | No differences of overall survival between amplified group and non-amplified group (p>0.05)           |
| Young et al. <sup>24</sup>                               | Tongue                | 123                 | FISH   | Abnova                            | FGFR1/CEN8 >2% or >50% of cell displaying >5 signals           | Amplification, 9.3% (10/107)                                     | NE   | All amplified patients (10) are smoker (p=0.03) | No prognostic significance for overall survival (p=0.90)  |
| Göke et al. <sup>10</sup>                                | OC, OP, HP, L, UP     | 555                 | FISH   | Metasystems                       | ≥20%<br>High: cell displaying >9<br>Low: 2-9 signals           | Amplification, 15% (68/452)                                      | All amplified cases show HPV negativity (p=0.003)            | 96.5% (55/57) are smoker (p=0.04)               | No prognostic significance of FGFR1 amplified group for overall survival (p=0.71)                     |
| Freier et al. <sup>15</sup>                              | OC                    | 92 (FISH)/178 (IHC) | FISH   | BAC clone RP11-350N15             | ≥10%<br>Cell displaying >6 signals                             | Amplification, 17.4% (16/92)                                     | NE   | NE  | No differences of overall survival between amplified group and non-amplified group (p>0.05)           |
| Hase et al. <sup>25</sup>                                | OC                    | 61                  | IHC  | Abcam, I:100<br>Santa Cruz, I:100 | Divided by intensity<br>Any FGFR1 expression cells             | High expression, 11.8% (21/178)<br>High expression 55.7% (34/61) | NE   | NE  | High expression is related to early stage. (p=0.002)  |

Abbreviations: FGFR1, fibroblast growth factor receptor 1; No., number; HPV, human papillomavirus; OP, oropharynx; FISH, fluorescence in situ hybridization; ND, not described; OC, oral cavity; IHC, immunohistochemistry; NE, not evaluated; HNSCC, head and neck squamous cell carcinoma; L, larynx; HP, hypopharynx; NP, nasopharynx; UP, unknown primary; NGS, next-generation sequencing; SqCC, squamous cell carcinoma; N-S, statistically not significant. A/w, association with.  
<sup>a</sup>Multipplatform includes gene sequencing (exome sequencing, RNA-Seq, methylation), gene copy number alteration, and protein expression.

DNA positivity. A reason for these results may be racial differences and varying specimen purity. The incidence of HPV in the Korean population ranges from 37% to 67%,<sup>4,13</sup> whereas Western data have shown a range of 37–80%.<sup>28,29</sup> In general, oropharyngeal squamous cell carcinoma showed a higher frequency of HPV than other HNSCCs (79% vs. 21%, respectively).<sup>21</sup> The detection method used cannot explain the differences in HPV status among the *FGFR1* amplified patients. The sensitivity of HPV ISH was known to be 88%, whereas that of DNA quantitative PCR was 97%.<sup>30</sup> In other words, HPV ISH had higher false negativity. Therefore, our results support the notions that *FGFR1* amplification was not associated with HPV status, and that *FGFR1* amplification occurred regardless of HPV status.

Kim et al.<sup>26</sup> and Seo et al.<sup>31</sup> et al revealed that high copy *FGFR1* amplification was associated with heavy smoking in squamous cell carcinoma of the lung. In contrast, Heist et al.<sup>16</sup> suggested that *FGFR1* amplification was not associated with smoking. To this end, the association of smoking with *FGFR1* amplification in HNSCC is still controversial. Ozretic et al.,<sup>17</sup> Göke et al.,<sup>10</sup> and Young et al.<sup>24</sup> reported that high *FGFR1* amplification was associated with smoking in HNSCC, but Schröck et al.<sup>23</sup> reported that there was no such association. Our study showed that there was no association between *FGFR1* amplification and smoking.

Gene amplification and protein expression show different correlations according to the target. Amplification of *Her2/neu* (human epidermal growth factor receptor 2) gene amplification in breast cancer and its protein c-erb2 expression are well correlated; thus, IHC for c-erb2 is used as a screening method for the selection of patients who have to be treated with trastuzumab, which is only used on patients with *Her2/neu* gene amplification by FISH. However, as seen in our report and others, *FGFR1* amplification and FGFR1 protein expression in HNSCC are not correlated with each other.<sup>15</sup> FGFR1 protein expression was more frequent (12–75%) than gene amplification.<sup>15,18</sup> Our study revealed FGFR1 protein in 39.3% and *FGFR1* gene amplification in 15.7% of cases and there was no correlation between protein expression and gene amplification ( $p=0.797$ ).

The prognosis of *FGFR1* amplification is controversial not only in HNSCC but also in squamous cell carcinoma of the lung. Three previous studies<sup>26,31,32</sup> reported that amplified *FGFR1* was a poor prognostic factor in non-small cell carcinoma, but Jiang et al reported by meta-analysis of four studies<sup>33</sup> that *FGFR1* amplification had no influence on OS. In addition, all published studies of *FGFR1* amplification in HNSCC showed no prognostic significance for OS (Table 2).

However, Koole et al.<sup>18,19</sup> reported that high expression of FGFR1 protein was related with poor prognosis.

Our study had several limitations: First, the numbers of the cases were relatively small; second, selection bias was present. The submitted cohort contained patients only with an adequate amount of specimen from tonsillectomy for TMA excluding inoperable cases with higher stage, which may affect the analysis of relationship between *FGFR1* amplified squamous cell carcinoma and survival rate. Third, incomplete test for HPV genotyping was performed. Although we tried to additionally test for the other samples except HPV ISH<sup>-</sup>/p16<sup>+</sup> cases at the time of writing, we could not buy the same genotyping chip kit that we previously tested due to the company failure. Moreover, half of the samples were too old to extract DNA and the quality of the DNA was very poor. To overcome this issue, we tried to validate the results of HPV genotyping based on the medical record. These were described in Supplementary Material 2 and Supplementary Tables 1 and 2. Last, we could not determine the sensitivity and specificity of anti-FGFR1 antibody because of discordance between *FGFR1* amplification and FGFR1 protein expression ( $p=0.797$ ) and just fully relied on the manufacturer's instruction.

The identification of genetic alterations in multiple FGFR family members in human cancers highlights pan-FGFR inhibition as a promising therapeutic approach in a variety of malignancies. Several small-molecule FGFR inhibitors with differing selectivity and potency profiles, such as brivanib, dovitinib, AZD4547, BGJ398, and erdafitinib, are in various stages of clinical development. Dovitinib,<sup>34</sup> AZD4547,<sup>18</sup> and BJG398<sup>35,36</sup> showed good responses in HNSCC at the preclinical level.

In conclusion, our study showed that *FGFR1* amplification was frequently observed in patients with TSCC, not associated with HPV status and not associated with prognosis. Our study suggests that *FGFR1* amplification may be important in pathogenesis regardless of HPV status. Further study is required that *FGFR1* amplification in TSCC correlates with response to targeted anti-FGFRs drugs.

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### Competing Interests

The author(s) declared no potential conflicts of interest with respect to the research, authorship, and/or publication of this article.

### Author Contributions

SP, ML, K-JC, and JSS designed and carried out the histological and biochemical experiments and data analysis, and drafted the manuscript. SBK, J-LR, S-HC, SYN, and SYK provided tonsillar squamous cell carcinoma of human samples and clinical data and contributed to the data analysis and revision of the manuscript. All authors have read and approved the final manuscript.

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