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## THE FIRST TWENTY YEARS (1994-2014) OF OZONE SOUNDINGS FROM RAPA NUI (27°S, 109°W, 51 M A.S.L.)

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### Abstract

Ozone (O<sub>3</sub>) soundings have been performed on Easter Island or Rapa Nui (27°S, 109°W, 51 m a.s.l.) since 1994 as part of the Global Atmospheric Watch (GAW) Programme of the World Meteorological Organization (WMO). In this work, we analyze 260 soundings compiled over the period 1994-2014, and make the data available for the international community. We characterize O<sub>3</sub> profiles over this remote area of the Pacific by means of statistical analyses that consider, on the one hand, a traditional climatology that describes the data in terms of seasonal cycles based on monthly averages and, on the other hand, a process oriented analysis based on self-organizing maps. Our analyses show the influence of both tropical and subtropical/mid-latitude air masses at Rapa Nui. The former occurs in summer and fall when convective conditions prevail, and the latter in late winter and spring when subsiding conditions are recurrent. The occurrence of stratospheric intrusions in late winter and spring in connection with deep troughs and the presence of the subtropical jet stream is also apparent in the data set. The tropospheric ozone column is in good agreement with the corresponding data derived from satellites but with a systematic overestimate of summer and fall values. We show evidence of an upward trend in ozone near the surface, which suggests the impact of local pollution. We look forward to an enhancement of the Rapa Nui observing site, given its location that offers a privileged position to observe climate change over the sparsely sampled and vast South Pacific Ocean.

### 1 Introduction

Easter Island, or Rapa Nui as known by the indigenous people and as denominated hereafter, is an extremely remote site, located some 3700 km away from continental Chile (See Figure

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1). It has a unique cultural heritage as recognized since 1995 by the United Nations Educational, Scientific and Cultural Organization (UNESCO).

Climatically, Rapa Nui is located at the eastern edge of the subtropical Pacific high pressure, north of the westerly winds and storm tracks of the Southern Hemisphere, and close to the South Pacific Convergence Zone (SPCZ) (Streten and Zillman, 1984; Vincent, 1994).

Currently there are roughly 5500 inhabitants in Rapa Nui (<http://www.ine.cl/>), the majority of which live close to the airport in the town of Hanga Roa (Cf. Figure 1). The population is growing at a rather fast pace (ca. 2%/year), and more so tourism. More than 40 thousand tourists visited the island in 2012, and the number of flights to the island from other Polynesian locations and from Santiago in Chile grew more than 10% between 2011 and 2012. The number of motor vehicles in 2014 reached 2120 units, including 424 motorcycles, i.e., an average of 2 vehicles every 3 inhabitants (<http://www.ine.cl/>). All this poses pressures to the already fragile socio-ecosystem of Rapa Nui.

Anthropogenic activities have substantially altered the composition of the atmosphere over the last centuries both regionally and globally. These changes have consequences on human health, ecosystems and the climate system (Stocker et al., 2013). To assess, quantify and provide a basis for predicting these changes, the World Meteorological Organization (WMO) has established the Global Atmospheric Watch program (GAW). The GAW monitoring network consists of 29 fully-equipped GAW stations, and ca. 500 regional stations, including contributing stations, that collect data on greenhouse gases, aerosols, precipitation chemistry, etc. As part of the sub-regional program for the southern cone of South America, three stations were installed in Chile under the auspices of GAW and the Chilean Weather Office (In Spanish *Dirección Meteorológica de Chile*, DMC) by the mid-1990. Namely, an O<sub>3</sub> sounding device on Rapa Nui (27°S, 109°W, 51 m a.s.l.); a surface O<sub>3</sub> monitor, meteorological and radiation sensors at Cerro Tololo (30°S, 70°W, 2200 m a.s.l.); and a multiband radiometer at Valdivia (39.8°S, 73°W, 10 m a.s.l.). Unfortunately, the Valdivia station was destroyed in a fire in the mid-2000. Cerro Tololo and Rapa Nui have been kept in operation rather continuously since the mid 1990's, approaching today a 20-year record each. Moreover, Cerro Tololo has been expanded. Presently, methane, carbon dioxide, carbon monoxide, radiation and aerosol monitors are operating there. Preliminary data compiled at Tololo and Valdivia have been partially investigated and reported in the peer reviewed scientific literature (Gallardo et al., 2000; Lovengreen et al., 2000; Kalthoff et al., 2002; Rondanelli et al., 2002; Díaz et al., 2006; Huovinen et al., 2006).

In this work, we provide a data set with a complete statistical analysis of 260 O<sub>3</sub> soundings, of which we deemed 234 to be valid, conducted on Rapa Nui over the period 1994-2014. First we consider seasonal and interannual variability in tropospheric ozone. Comparisons are made with tropospheric ozone over several Pacific sounding stations in the Southern Hemisphere and at sub-tropical sites within the Southern Hemisphere ADDitional OZonesondes (SHADOZ) network (Thompson et al., 2011; Thompson et al., 2012; Thompson et al., 2014). Second, a more process oriented approach based on self-organizing maps (Kohonen, 1995) is used with trajectories and meteorological fields to identify the underlying processes explaining the variability in ozone soundings (Diab et al., 2003; Jensen

et al., 2012; Stauffer et al., 2016). The Rapa Nui data are also compared to satellite-derived estimates of the tropospheric ozone column (TOC) amount (Fishman et al., 2003; Ziemke et al., 2011; Tilmes et al., 2012).

The paper is organized as follows. Section 2 describes the data collected at Rapa Nui since 1994, as well as ancillary data used for the analyses, and a brief description of the statistical tools applied. Results are presented in Section 3. Summary and conclusions are shown in Section 4.

## 2 Data and methods

### 2.1 Data

**2.1.1 Ozone soundings**—The data set collected between August 1994 and October 2014 by DMC consists of 260 O<sub>3</sub> soundings. These soundings provide information on ozone, air pressure, temperature, dew point, and relative humidity from the surface to the lower stratosphere (30–35 km). Since 1999, wind speed and direction were added to the collection. The first set of ozone soundings was conducted by the Chilean Weather Office under the auspices of the National Aeronautics and Space Administration of the United States (NASA) between August 1994 and June 1997. These data are available at World Ozone and Ultraviolet Radiation Data Centre (WOUDC, <http://www.woudc.org/>). Thereafter, data have been collected by the Chilean Weather Office and kept in their archives and presented in internal reports (Calderón and Fuenzalida, 2014) or in academic theses (Cuevas, 2004; Henríquez, 2014).

The O<sub>3</sub> sensor used is the so called Electrochemical Concentration Cell (ECC) introduced in the late 1960's by (Komhyr et al., 1995). Currently, the ECC is used in the majority of GAW stations around the world (Smit et al., 2011). The measuring principle is based on the titration of ozone in a potassium iodide (KI) sensing solution. A Science Pump Corporation (SPC) type of ECC ozonesonde instrument is used at Rapa Nui. The sensing solution KI strength is 1%, with 100% buffer (G. Silva, station operator, pers. communication). The ECC sonde is launched with a Väisälä RS92 radiosonde. Between 1995 and 1997, an OS815N sensor was used, thereafter, a CCE64B was utilized.

We carried out a careful visual inspection of all soundings available for the period 1994–2014, i.e., 260 soundings. Each sounding was reviewed trying to identify anomalous values, instrument malfunctioning, etc. We used concurrent standard meteorological soundings to support the inspection of ozone soundings. Since the inspection and selection procedure relies heavily on an expert judgement, we only excluded soundings that seemed to us evidently anomalous. The number of analyzed and selected soundings per year and season are shown in Table 1, and illustrated in Figure 2. Of the collected valid soundings more than one third belong to the winter season, whereas only ca. 15% of the soundings were sampled in summer. A detailed list of available soundings and reasons for not considering them is shown in Annex 1. All in all, we deemed 234 or 90% of the soundings as valid up to 15 km. Therefore, we focus our analysis up to 15 km. Once the cleansing and review process was completed, we linearly interpolated all soundings every 100 m. A few soundings (5) were only available for mandatory pressure levels in 2012.

**2.1.2 Carbon monoxide**—Carbon monoxide (CO) has been weekly sampled at Rapa Nui by the National Oceanic and Atmospheric Administration of the United States (NOAA) and their Earth System Research Laboratory (ESRL) since 1994 (Novelli, 2014). NOAA/ESRL CO is determined using gas chromatography plus hot mercuric oxide detection (Novelli et al., 2003). These data are available via the World Data Centre for Greenhouse Gases (WDCGG, <http://ds.data.jma.go.jp/gmd/wdcgg/wdcgg.html>) under GAW and the Carbon Cycle Surface Flasks project. We use these data to characterize anthropogenic impacts.

**2.1.3 Beryllium isotopes**—The beryllium isotope  $^7\text{Be}$  has been used as a tracer of stratosphere-troposphere exchange (Koch and Rind, 1998). This radionuclide is generated by the collision of high-energy particles from space with nitrogen atoms in the atmosphere.  $^7\text{Be}$  is readily attached to fine particles and it has a half-life of 53 days.  $^7\text{Be}$  is measured on a routine basis at several places, including Rapa Nui. There is one data set collected by the Environmental Measurements Laboratory (EML, <http://www.wipp.energy.gov/namp/emllegacy/index.htm>) covering the period between 1971 and 1999. Another data set covers the period 2003-present, and corresponds to measurements performed by the Chilean Commission for Nuclear Energy (CCHEN, <http://www.cchen.gob.cl/>). We use the January 2009-July 2014 period for the CCHEN data set.

**2.1.4 Tropospheric ozone column**—We compare the Tropospheric Ozone Column (TOC) calculated from the Rapa Nui ozone soundings with two TOC climatologies. One corresponds to the 1979-2005 climatology derived from the Total Ozone Mapping Spectrometer (TOMS), and from the Solar Backscattered Ultraviolet (SBUV) instruments described in Fishman et al. (2003). A more recent climatology is described by Ziemke et al. (2011). In the latter, Aura Ozone Monitoring Instrument (OMI) and Microwave Limb Sounder (MLS) ozone measurements were used to estimate TOC, and it covers the period October 2004-December 2014.

**2.1.5 Reanalysis data**—We use reanalysis data sets from National Centers for Environmental Prediction/Atmospheric Research (NCEP/NCAR) (Kalnay et al., 1996) to characterize the large-scale meteorological features affecting Rapa Nui, as well as outgoing long wave radiation (OLR). Also, we use three-dimensional (3-D) wind fields to calculate seven-day back trajectories for each ozone sonde, every six hours. For this purpose we apply the Hybrid Single Particle Lagrangian Integrated Trajectory (HYSPLIT) model (Draxler and Rolph, 2003).

## 2.2 Clustering methods

In addition to averaging data in time to construct a “standard” climatology, we apply various unsupervised clustering techniques to analyze the data. The expectation is to identify data structures (clusters) that respond to the underlying physical processes. We used principal component analysis (PCA) (Jolliffe, 2005), k-means (MacQueen, 1967), and self-organizing maps (Kohonen, 1995) to classify ozone profiles from Rapa Nui. PCA is a technique that aims at reducing the dimensionality of a data set by finding unrelated or orthogonal principal components that represent, by a linear relationship, the original data and capture the majority of its variance (Shlens, 2014). The k-means method aims at finding an optimal partitioning –

according to a given criterion— of a data set in “k” clusters such that each class can be represented by a central (average) member in a group or class with minimum internal variance and maximum inter-group variance. One can use PCA to initialize k-means. Both PCA and k-means can be used to initialize the SOM method, which is in fact a stochastic generalization of k-means. Recent studies have compared SOM and k-means for analysis of air-quality related data (Salimi et al., 2014), and for ozone sonde climatologies (Stauffer et al., 2016).

We applied the above mentioned clustering techniques to all valid soundings (234) between 0.5 and 15 km. Results were similar among the different methods and we decided to keep the most general one, i.e., SOM. Thereafter, we computed back trajectories for all soundings in each group using HYSPLIT fed with NCEP/NCAR reanalysis data.

### 3 Results

#### 3.1 Relevant meteorological features of Rapa Nui

Relevant features of the circulation over Rapa Nui have been briefly described in climatologies of the Southern Pacific Ocean (Streten and Zillman, 1984; Vincent, 1994). Also, the Chilean Weather Office collects meteorological data, including conventional soundings on a daily basis and reported annually (DMC, 2015).

Figure 3 illustrates the year-around influence of the eastern edge of the Pacific high over Rapa Nui that leads to easterly and southeasterly boundary layer winds. Precipitation, also shown in Figure 3, is generally convective (Schumacher and Houze, 2003), in connection with the nearness of the SPCZ (Vincent, 1994), and occasionally linked to mid-latitude disturbances and deep trough passages (Fuenzalida et al., 2005). The SPCZ is a persistent low-level convergence zone that results in a convective cloud band that produces abundant precipitation in the South Tropical Pacific Ocean during the austral summer, which extends from the warm pool east-southeastward to the central subtropical Pacific Ocean (about 30°S, 130-110°W). SPCZ plays a significant role not only in the regional atmospheric circulation but worldwide (Vincent, 1994; Vincent et al., 2011).

Another prominent circulation feature affecting Rapa Nui is the subtropical jet stream (STJ), and its variability along the year (Bals-Elsholz et al., 2001). The STJ is located at ca. 27°S, prevails between late fall and spring, with peaking wind speeds of ca. 35 m/s at 200 hPa in late winter. During summer, the STJ has the least influence over Rapa Nui. The main subsidence area associated with the subtropical high is located in between Rapa Nui and Western South America. The temperature seasonal cycle shows an amplitude of roughly 6 °C. In summer and fall, Rapa Nui has more of a tropical character with temperatures above 20°C, while in winter and spring a mid-latitude character is apparent with temperatures below 18°C. These features are depicted in Figure 4.

Figure 5 shows the seasonal cycle of subsidence –indicated by omega velocity–, potential vorticity and zonal wind above Rapa Nui. Subsidence associated with the subtropical high peaks in summer, and it is suppressed in fall when the SPCZ reaches the island (Cf. Figures 3 and 4). Although weaker than in summer, subsidence also prevails in winter and spring.

The STJ remains stationary over the island between fall and spring. In late spring and early summer, potential vorticity in the upper troposphere (<200 hPa) shows its minimum, which is suggestive of a stratospheric imprint linked to the occurrence of STE processes.

Annual precipitation at Rapa Nui amounts to 1157 mm (median for the period 1994-2014), with lowest values in spring and summer and with highest values in fall and winter (Figure 6, left panel). There is a significant interannual variability both for the whole precipitation record (1960-present) and the period of this ozone climatology (1994-2014). A convective signature, as shown in outgoing long wave radiation (OLR) seasonal cycle, is found along the year but it is particularly important in fall and winter (Figure 6, right panel). The seasonal cycles of temperature and precipitation measured on the island as well as reanalysis OLR over Rapa Nui are presented in Figure 6. All these variables show a significant interannual variability.

A substantial part of the variability referred to above is linked to changes in atmospheric circulation due to El Niño Southern Oscillation (ENSO) (Holton et al., 1989; Karoly, 1989). During El Niño (La Niña) events, the South Pacific high is weaker (stronger) and its center displaced northward (southward), as well as the mid-latitude westerlies and the storm track. This means that, in particular, Rapa Nui can experience more southeasterly (northeasterly) surface winds during El Niño (La Niña) events. The SPCZ displaces to the northeast (southwest) during El Niño (La Niña) events causing changes in the precipitation regime. In the upper troposphere, the STJ is more intense with its jet streak displaced toward the east during El Niño, while during La Niña is weaker being the polar jet stream the one that intensifies (Chen et al., 1996). The same northeast (southwest) displacement of the SPCZ is observed during the positive (negative) phase of the Pacific Decadal Oscillation (PDO), influencing the island's climate in the decadal timescale (Folland et al., 2002). Of course, such changes have an impact on the dynamics and distribution of atmospheric tracers, tropospheric ozone in particular (Chandra et al., 1998; Zeng and Pyle, 2005; Ziemke et al., 2010; Oman et al., 2011; Xie et al., 2014; Ziemke et al., 2015).

### 3.2 Standard climatology

First we present the seasonal cycle in tropospheric ozone, and specific humidity profiles monthly averaged at Rapa Nui (Figure 7). A distinct layer with ozone mixing ratios in excess of 100 ppbv, characteristic of stratospheric air (chemical tropopause), is apparent all year-around. This layer is above ca. 14 km altitude in fall and it reaches down to 12 km altitude in spring. This seasonal pattern is similar to the ozone at two other SHADOZ stations located between 20°S and 30°S, Irene and La Réunion (Thompson et al., 2014). In the middle and upper troposphere, minimum O<sub>3</sub> mixing ratios are found in fall and maxima in spring.

Minima in ozone occur in late summer and fall, when ozone of ca. 40 ppbv is well mixed up to 300 hPa, which might be interpreted as an effect of the SPCZ approaching Rapa Nui, and increasing deep and shallow convection as seen in OLR (Cf. Figure 6).

The well-mixed spring maximum of ozone in excess of 50 ppbv in the middle troposphere reaches down to 4 km altitude, and it is accompanied by a minimum in specific humidity.

Furthermore, it coincides with the stratospheric imprint shown by the potential vorticity field (Cf. Figure 5). This is suggestive, not definitive, of downward mixing of stratospheric air typically rich in ozone, poor in water vapor and with potential vorticity below  $-1.5$  PVU. Several mechanisms may in fact explain the concurrence of high ozone low water in the upper troposphere in the tropical Pacific and the subtropics, including convective outflow associated with the Hadley circulation and mid latitude disturbances transporting dry air towards the tropics (Galewsky et al., 2005; Waugh, 2005; Dessler and Minschwaner, 2007). On the other hand, STE processes occur on an event basis that monthly averaged fields shown in Figures 3, 4 and 5 can hardly capture. Skerlak et al. (2014) presented a 33-year climatology of STE based on state of the art reanalysis and modeling tools. According to this study, STE shows a maximum at ca.  $30^{\circ}\text{S}$  in connection with the STJ. However, the resulting influx of stratospheric ozone is modulated by the seasonal variation in  $\text{O}_3$  mixing ratios at the tropopause, which for Rapa Nui shows highest values in winter and spring (Cf. Figure 7). This supports the idea of STE processes may be at play in spring over Rapa Nui.

Below 3 km, lowest (highest) ozone (humidity) values are found in summer, coinciding with highest insolation and lowest static stability defining a boundary layer reaching up to 3 km, mixing upwards marine air, typically rich in water vapor and poor in ozone. The rest of the year, the marine air is largely confined below 2 km.

In Figure 8, we show the seasonal cycle of the tropospheric ozone column (TOC, Figure 8, upper left panel), and the thermal tropopause (TT, Figure 8, upper right panel) as derived from the ozone sondes and satellite products over Rapa Nui for the period 1994-2014. Both the soundings and the satellite products indicate a spring maximum in TOC, consistent with ozone enrichment in the middle troposphere. Overall, OMI/MLS and TOMS/SBUV TOC values are within the variability observed in the Rapa Nui data with a positive bias between January and April, when vertical mixing is most vigorous. Correspondingly, OMI/MLS thermal tropopause levels are in the upper end of the observed levels at Rapa Nui in summer and fall. In winter and spring both TOC and TT are consistent between both data sets.

We also compare monthly TOC values derived from satellite products (OMI/MLS and TOMS/SBUV) with TOC values calculated from individual soundings collected at Rapa Nui in Figure 9. Typical sounding TOC values range between 10 and 45 DU, with a few values in excess of 45 DU. Sounding TOC values observed in summer and fall are typically below the annual median of 27 DU, whereas late winter and spring values are above the median. Satellite based TOC values are systematically above sounding derived TOC values in fall, i.e., when convective conditions prevail and TOC is minimum. Spring maxima in TOC are better captured by the satellite products. These features are consistent with the prevailing weather conditions, i.e., tropical conditions (convective) in late summer and fall, and subtropical conditions (subsidence) in late winter and spring. Also, the sounding TOC values in summer and fall are similar to those found in other islands in the Equatorial Pacific (Ziemke et al., 2011; Thompson et al., 2012). TOC values in late winter and spring are similar to subtropical stations although in the lower end of those.

The ozone spring maximum observed at Rapa Nui in the middle and upper troposphere may also be linked to biomass burning. This is supported by the CO data collected at Rapa Nui

that show a spring maximum that is consistent with the hemispheric impact of biomass burning in the Southern Hemisphere (See Figure 8, left lower panel). In fact, biomass burning in the tropics of the Southern Hemisphere peaks in spring and it has been shown to affect the ozone balance as derived from in situ measurements and satellite retrievals (Oltmans et al., 2001). Also, a recent modeling study identifies an indirect contribution of biomass burning to the surface levels of CO at Rapa Nui in spring (Wai et al., 2014), which is supported by available CO measurements (Thompson et al., 1996b; Oltmans et al., 2001; Fishman et al., 2003; Thompson et al., 2003; Ziemke et al., 2011). This is consistent with the notion that the effects of biomass burning are not discernible as individual transport events but as increased background values over the tropics and subtropics of the Southern Hemisphere. This is because the turnover time of O<sub>3</sub> in the free-troposphere is long (~weeks) during which period long-range transport of photochemically produced O<sub>3</sub> may very well take place.

It is worth noticing that available CO data show a significant interannual variability (not shown), and no evident trend associated with local changes in population and tourism. However, if any, such impacts might be masked by the frequency of data sampling.

At Rapa Nui, <sup>7</sup>Be measured at the surface also shows a clear seasonal variation (Figure 8, right lower panel). Maximum values are registered in summer and spring, when subsiding conditions prevail. Under such conditions upper tropospheric air rich in radionuclides can be mixed downwards. In winter <sup>7</sup>Be in the lower troposphere diminishes as subsidence is lessened. In spring, a slight increase in <sup>7</sup>Be coincides with an overall increase in ozone in the upper troposphere that may indicate STE and a negligible impact of this air in the boundary layer as found in modeling studies (Heikkilä et al., 2008; Heikkilä et al., 2013).

The features described above are similar to those presented for O<sub>3</sub> sondes observations from other stations in the tropics and subtropics in the Southern Hemisphere. The STE features associated with the nearness of the STJ have also been described over sub-tropical stations such as Irene (25°S, 28°E) (Diab et al., 1996; Thompson et al., 1996a; Thompson et al., 2012) and La Réunion Island (21°S, 55°E) (Baldy et al., 1996; Clain et al., 2009). Late summer and fall profiles characterized by convection in connection with the SPCZ are similar to those found in tropical stations such as San Cristóbal (1°S, 90W), Fiji (18°S, 178E) in the South Pacific, and Ascensión Island (8°S, 15°W) in the Equatorial Atlantic. A clear impact of biomass burning has also been shown for a number of tropical and subtropical stations.

Based on the monthly averages of sounding and reanalysis data, we can distinguish two extremes in the seasonality of ozone profiles over Rapa Nui: a convective or tropical regime in late summer and fall, and a subsiding or subtropical regime in late winter and spring (Cf. Figure 7). However, when plotting individual soundings per season and the corresponding back trajectories starting at 4, 8 and 12 km altitude, we find a significant spread among soundings and trajectories to which it is hard to attribute specific meteorological configurations and source influences at play (not shown). In order to gain in further understanding of the processes we adopted a clustering approach shown in the next subsection.



### 3.3 Clustering results using self-organizing maps

To verify the standard climatology, we applied the SOM technique. The expectation of the SOM classification was to find groups responding to the different underlying mechanisms discussed in the previous section. These mechanisms refer first to convective mixing in late summer and fall due to the proximity of the SPCZ, i.e., “tropical conditions”. Second, they refer prevailing subsidence and STE events in the proximity of the STJ in spring, i.e., “subtropical conditions”. A third mechanism is STE and downward mixing of O<sub>3</sub>-rich air in connection with deep troughs in winter and spring. And a fourth mechanism could be associated with downward transport of O<sub>3</sub>-rich air for which a biomass burning signature could be distinguished.

Selecting the number of clusters (k) or nodes using unsupervised methods such as k-means or SOM is not trivial and various methods can be used (e.g., Kodinariya and Makwana, 2013). Following Stauffer et al. (2016), the choice of k must be enough to capture the variability of the data set while keeping enough cluster members to ensure geophysical meaning. In the case of Rapa Nui ozone soundings, for k=4 the ratio between inter and intra group variances starts decreasing, and the number of cluster members is at least 15% of the total population. We tested SOM imposing from 2 up to 9 groups (not shown). The classification with 2 groups categorizes ozone sondes according to prevailing summer and fall (178 members) vs. winter and spring conditions (56 members). If soundings are forced to be classified within 3 groups, one can recognize a group linked to convective conditions (112 members), a group related to stratospheric intrusions (35 members), and a third group associated with “turbulent transport” close to the STJ (87 members). However, the seasonal distribution is smeared out for the third group. When forcing the classification to 5 groups or more, the groups originally identified loose members, and the transport characteristics are shown more clearly but for smaller groups. Possibly, what happens is that particular weather situations and other influences become more apparent as the number of clusters is increased. Therefore, a classification in 4 groups appears as the adequate number of clusters for the Rapa Nui data, consistently with the seasonality of prevailing weather conditions and the number of profiles. Of course, if the number of soundings at Rapa Nui keeps growing, the robustness of all statistical analyses will increase. The results presented next correspond to randomly-initialized self-organizing maps forcing 4 groups to all valid soundings between 0.5 and 15 km.

We found a first group (G1) with 57 members or ~24% of the soundings, showing a central profile with larger values in the troposphere than the average or climatological profile of all soundings (See Figure 10). G1 profiles occur mainly in winter and spring months. Seven-day back trajectories suggest the prevalence of a zonal flow, and air masses of mid tropospheric origin. Back trajectories arriving at 8 km altitude show a zonal component, and air masses of mid to upper tropospheric origin. This is consistent with the close location of the STJ, giving rise to turbulent mixing of stratospheric air, which is subsequently transported down by the prevailing subsiding conditions. However, one can identify cases of deep troughs and air masses of tropical origin. Liu et al. (2016) characterized the interplay of pollution and STE impacts in La Réunion sondes (1992-2014). The latter were quite variable, depending on the location of the STJ in a given year.

The second group (G2) contains only 36 soundings or 15% of all soundings, the majority in winter and spring, and with values well in excess of the average sounding, particularly in the upper troposphere (See Figure 11). Backward trajectories provide evidence for the influence of mid-latitude disturbances, particularly deep troughs, affecting the island (Fuenzalida et al., 2005). Under such conditions, stratospheric air intrusions occur either close to the island or upwind from it, as suggested by roughly half of the backward trajectories for this group.

The third group (G3) contains 47 members and it has a central member very similar to the average or “climatological” profile, except close to the tropopause where values are below the average (See Figure 12). These profiles occur all year-around but less frequently in spring when subsidence is strongest. The majority of trajectories indicate air masses of mid-tropospheric origin, and in connection with mid-latitude disturbances.

The fourth group (G4) contains 94 members or 40% of the soundings, occurring mainly in fall and winter months (See Figure 13). The central profile of this group shows lower values than the climatological profile in the troposphere. They appear to follow convective conditions that mix boundary layer air into the upper troposphere in fall and winter. The majority of the corresponding backward trajectories originate in the tropical Pacific, and many of them appear as uplifted air masses.

We also carried out the exercise of classifying the individual 7-day back trajectories of the ozone profile using the SOM technique. If forced to build two groups, one finds a group (GA) with tropical characteristics with 84 members, and a second group (GB) with 153 members and subtropical features (See Figure 14). The former group contains mostly ascending trajectories originating in the tropics. The trajectories in the latter originate in the subtropics or the mid-latitudes, with descending trajectories. This supports the idea that Rapa Nui ozone profiles have a seasonality characterized by convective conditions in summer and fall and by subsiding conditions in late winter and spring. Consistently, ozone profiles in GA (GB) are generally below (above) the annually averaged profile. If more groups are considered, the synoptic conditions become clearer but the ozone profile characteristics get smeared out.

### 3.4 Trends

The discontinuous and asymmetric data distribution makes it difficult to assess trends. Except close to the surface, no significant temporal trends can be found. At 900 hPa one finds a positive linear trend of 0.15 ppbv/yr, which suggests a local pollution signal consistent with increasing tourism and motorization trends observed at Rapa Nui. This is nonetheless unsupported by the CO data. In the upper levels, we find negative but insignificant trends. This could be related with the overall pole ward shift of the Hadley circulation reported in the literature, and consistent with a warming climate and the Pacific Decadal Oscillation (Hu and Fu, 2007; Seidel et al., 2008; Grassi et al., 2012) that would result in more tropical conditions for Rapa Nui.

However, as stated earlier data discontinuities make a trend analysis exercise far too uncertain. Also, roughly 50% of the Rapa Nui soundings were collected between 1994 and 2001, and half of those were sampled in fall and winter (Cf. Table 1). Of the 126 soundings

collected thereafter, 47% were sampled in winter, and only 20% in spring. Given the prevalence of stratospheric intrusions in winter and spring, the connection between STE processes and the nearness of the STJ, it appears necessary to increase the sampling of profiles in spring. Summer profiles are also under sampled.

## 4 Summary and conclusions

A unique record of 260 ozone soundings is available from Rapa Nui (Rapa Nui, 27°S, 109 W) covering the period August 1994-October 2014. The seasonally averaged soundings indicate a fall minimum and a late spring maximum in ozone in the upper troposphere. The former occurs in connection with the approximation of the SPCZ. The latter is suggestive of stratosphere-troposphere-exchange linked to the strength of the subtropical high and the nearness of the STJ in late winter and spring, allowing downward transport of stratospheric O<sub>3</sub> present above 200 hPa. Occasionally, mid-latitude disturbances reach Rapa Nui inducing tropopause breaks and intrusions of stratospheric ozone. In the lower troposphere, extremes are found in summer and winter and they appear to be modulated by changes in insolation and static stability, showing larger ozone mixing ratios in winter than in summer.

An advanced clustering technique (self-organizing maps, SOM) was applied to the data in order to identify “natural groups”, constructed with no a priori seasonal variability. We found a group with 57 members associated air masses of upper tropospheric origin brought towards the Rapa Nui by the westerly flow in winter and spring. These profiles show a central profile with larger values than the climatological profile in the troposphere. A distinctive but relatively small group (36 members) of profiles characteristic of stratospheric intrusions associated with deep troughs was also identified. The majority of the members of this intrusive group appear in winter and spring, with values well in excess of the average sounding, particularly in the upper troposphere. A third group with 94 members was identified with ozone profiles lower than the average profile in the troposphere. This group appears to follow convective conditions that mix boundary layer air into the upper troposphere in fall and winter. A fourth group of 47 soundings is synoptically unspecific, with a central profile similar to the average profile of all 234 soundings. The robustness of any statistical analysis, as well as the interpretations of meteorological and other influences will improve as the number of ozone soundings at Rapa Nui keeps growing. Clearly, further work is needed to untangle the different processes explaining the ozone variability at Rapa Nui.

When applying the SOM technique to individual 7-day back trajectories of 234 profiles, the splitting between tropical and subtropical conditions becomes apparent. In fact, both the standard seasonally averaged climatology and the clustering based on the SOM technique, plus the back-trajectory analysis, suggest the influence of both tropical and subtropical air masses at Rapa Nui. Tropical air masses lead to tropospheric ozone columns adding to less than 25 DU, whereas prevailing STE processes in late winter and spring determine tropospheric ozone columns of more than 40 DU. The seasonal variability is in good agreement with the corresponding quantity derived from the OMI/MLS and TOMS/SBUV data, however, ozone column minima are systematically overestimated by the satellite products. The stratospheric contribution to the spring maximum in ozone in the upper

troposphere is consistent with similar features found in other tropical and subtropical stations in the Southern Hemisphere, and by the synoptic features identified for those profiles.

Existing carbon monoxide observations show the hemispheric impact of biomass burning in the tropics and subtropics of the Southern Hemisphere during spring. This biomass burning signal occurs concurrently with an increase of  $^{7}\text{Be}$  in the lower troposphere, which is interpreted as an indicator of stratospheric intrusions. The low frequency of data collection does not allow the study of individual transport events.

The quality of the data set is deemed as high since ca. 90% of all collected soundings are valid. However, the uneven sampling frequency of ozone sounding hampers a trend analysis. Nevertheless, we found a significant linear trend of 0.15 ppbv/yr near the surface consistent with a pollution signal. Insignificant decreasing trends are found in the upper tropospheric levels, which are consistent with the observed widening of the Tropical belt. However, no decrease in upper tropospheric ozone has been found over Irene or La Réunion (Thompson et al., 2014; Liu et al., 2016).

The remote location of Rapa Nui provides a unique and privileged position to observe global change, and to verify satellite borne measurements and modeling. Therefore, we consider of major importance the continuation and possibly the expansion of this GAW station.

## Acknowledgements

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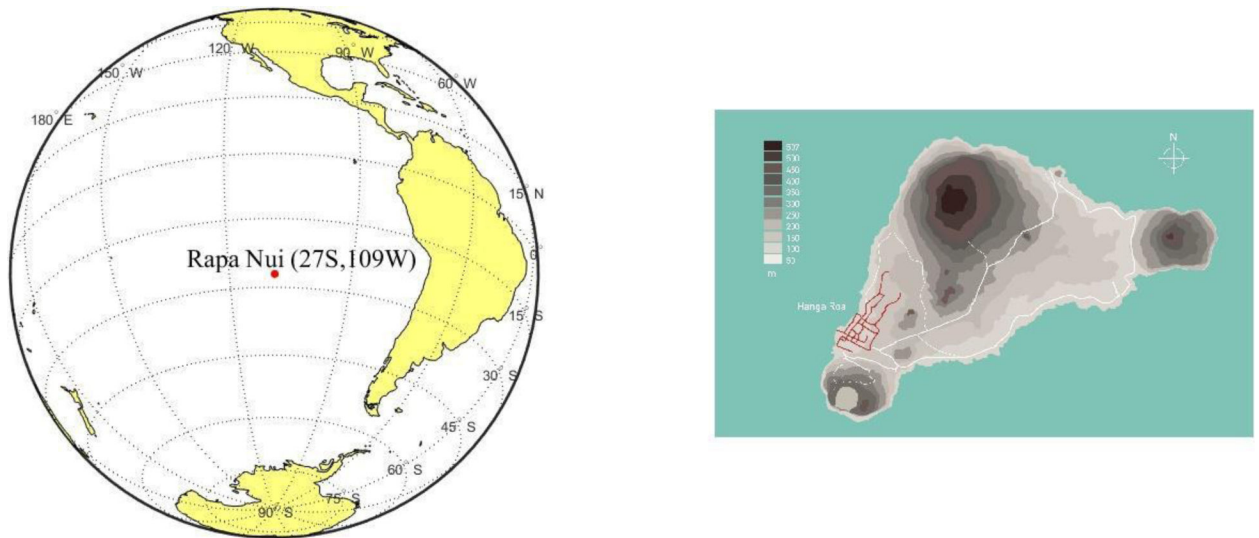
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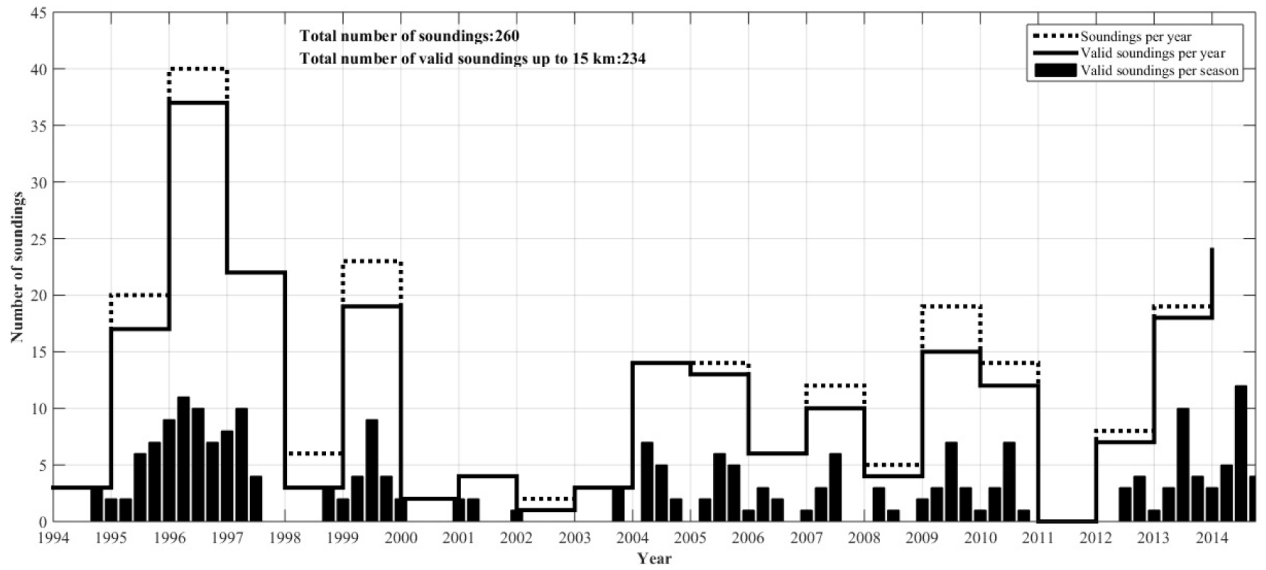
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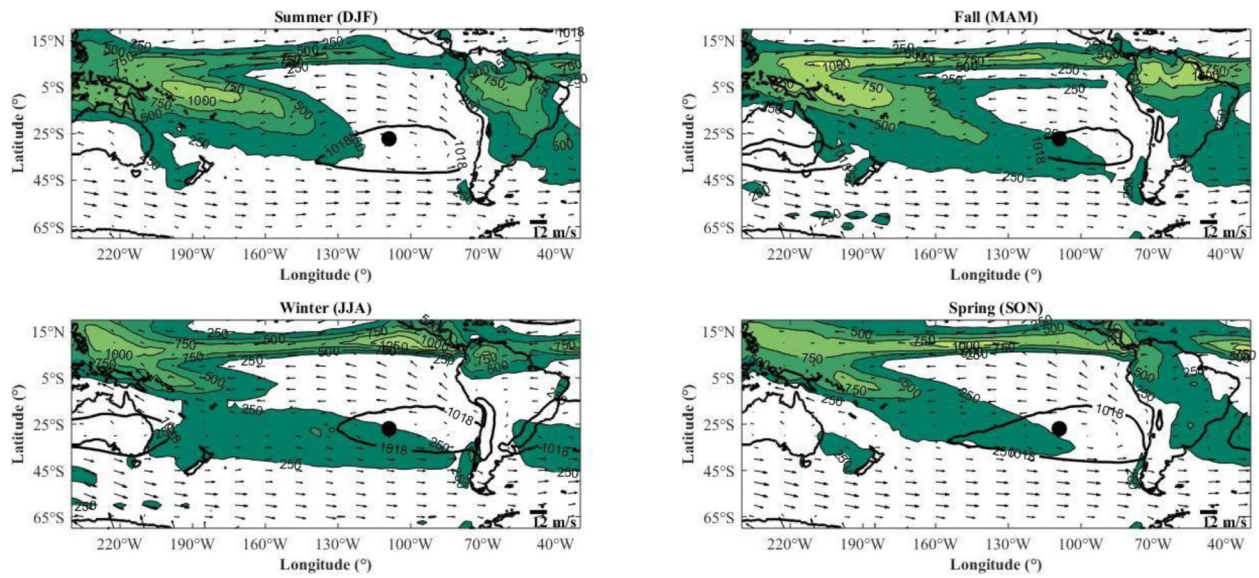


**Figure 1.** Location (left) and main topographic features of (right) of Rapa Nui or Easter Island. The right panel also shows the main roads (white), and the town of Hanga Roa in Rapa Nui (red).



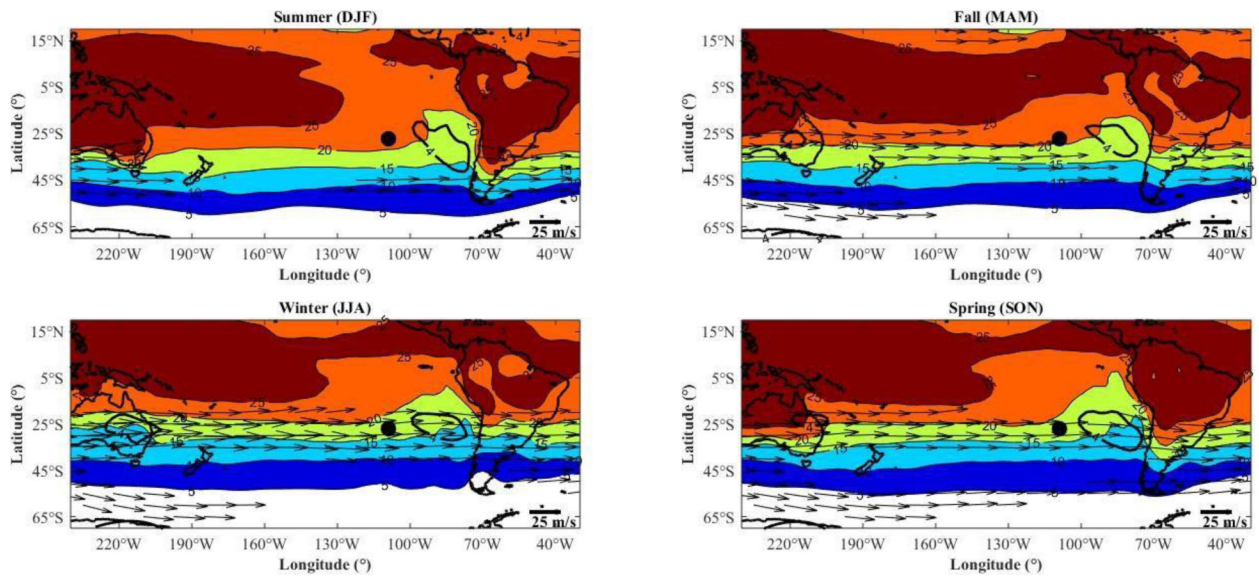


**Figure 2.** Seasonal and annual ozone sounding sampling at Rapa Nui between 1994 and 2014. The dashed black line shows the number of soundings launched per year. The continuous line indicates the number of valid soundings reaching up to 15 km altitude. The bars show the corresponding distribution per season.

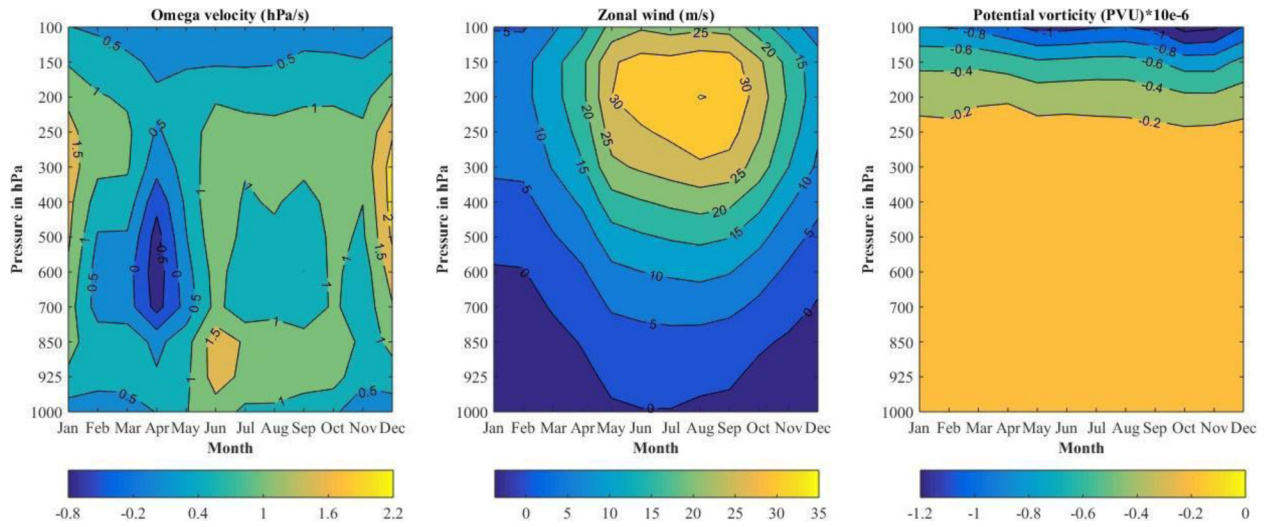


**Figure 3.**

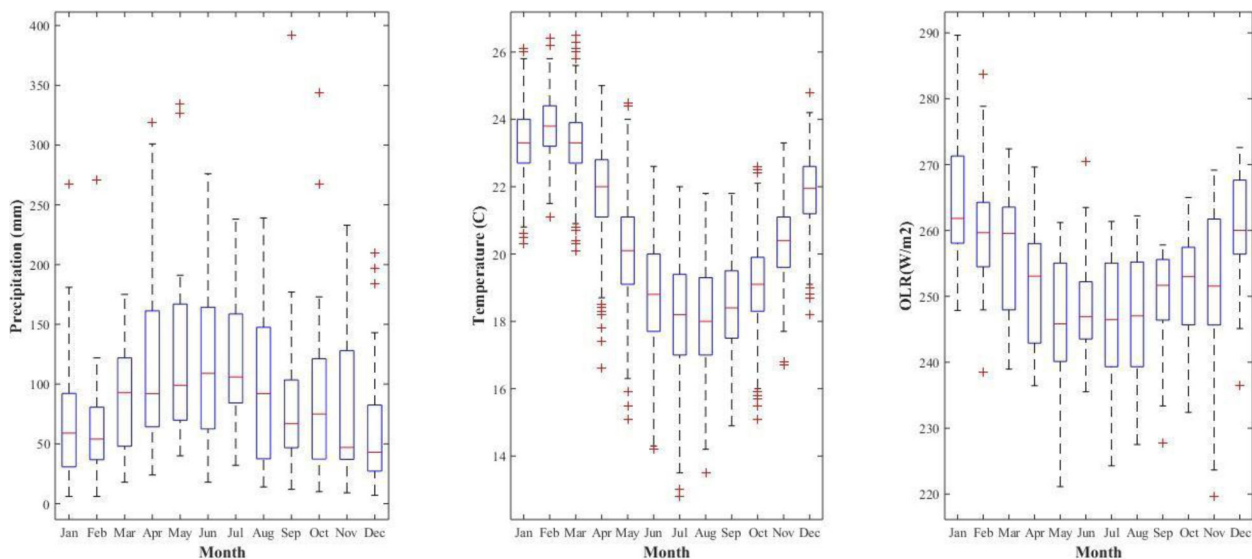
Precipitation, boundary layer winds (at 925hPa) and surface pressure affecting Rapa Nui. Rapa Nui (27°S,109°W) is indicated by a black dot. Wind vectors in are shown as arrows, including for reference the 12 m/s wind speed. The shaded field corresponds to precipitation in mm/season. The subtropical high is indicated by surface isobars larger than 1018 hPa. Data source: NCEP/NCAR reanalysis.



**Figure 4.** Subtropical jet stream, surface temperature and subsidence in the Pacific along the year. Arrows show wind velocity in excess of 25 m/s at 200 hPa. Surface temperatures in °C (at 1000 hPa) are also shown. The area with omega velocities in excess of 4 hPa/s, i.e., regions of prevailing subsidence are indicated with a black line. The black dot indicates the location of Rapa Nui. Data: NCEP/NCAR Reanalysis.

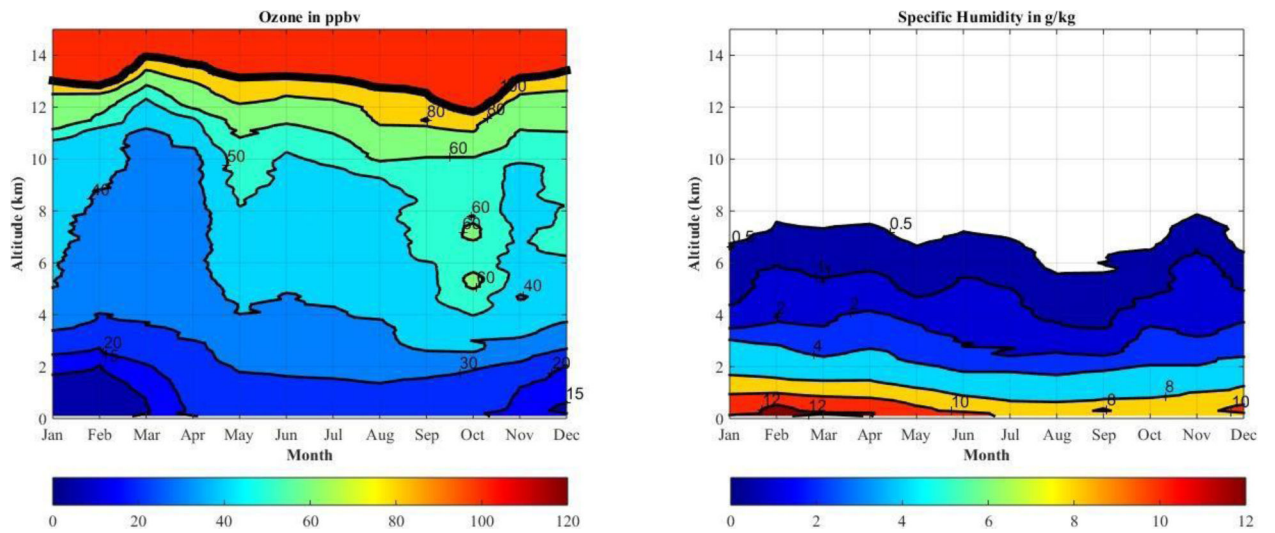


**Figure 5.** Seasonal cycles of vertical velocity (in hPa/s, left panel), zonal wind (in m/s, middle panel) and potential vorticity (in  $\text{PVU}=10^{-6} \text{ m}^{-2}\text{s}^{-1}\text{K kg}^{-1}$ , right panel) over Rapa Nui. Data: NCEP/NCAR Reanalysis.

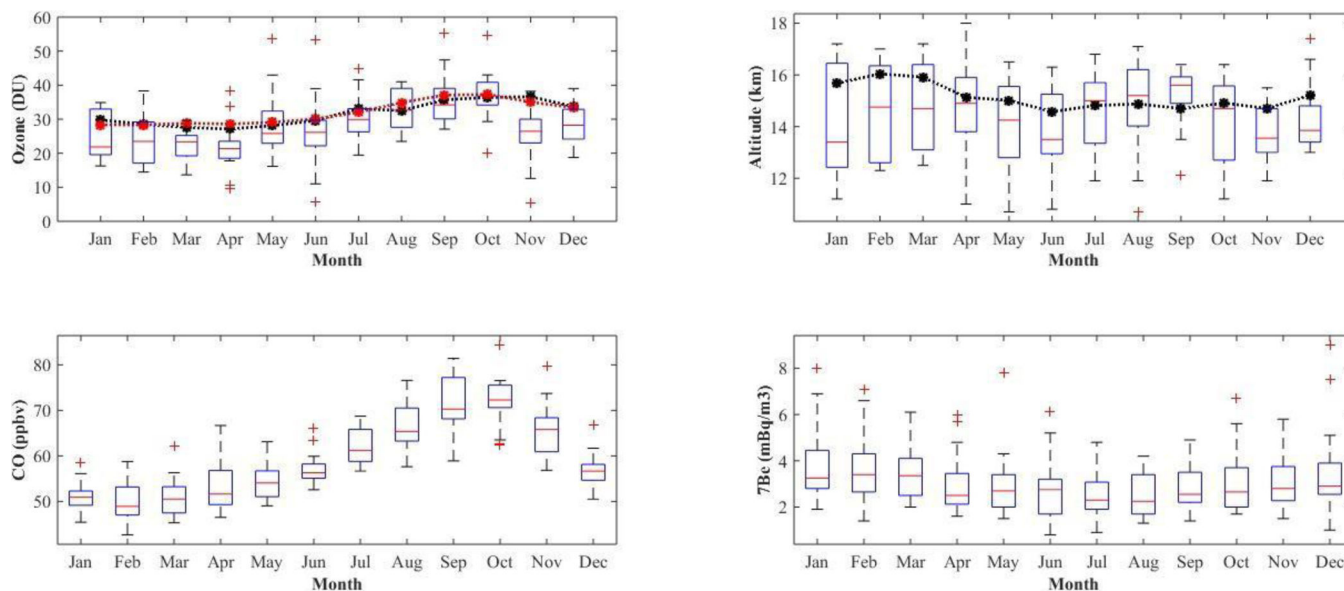


**Figure 6.**

Precipitation (in mm/month, left panel), surface temperature (in °C, middle panel) and outgoing long wave radiation (OLR, in W/m<sup>2</sup>, right panel) climatologies over Rapa Nui for the period 1994–2014. Precipitation and temperature were obtained from the Chilean Weather Office. OLR values obtained from NCEP/NCAR reanalysis. Data are presented as box plots: the central mark in the box indicates the median of the distribution, the edges of the box are the 25th and 75th percentiles, the whiskers extend to the most extreme data points not considered outliers, and outliers are plotted individually (red crosses).

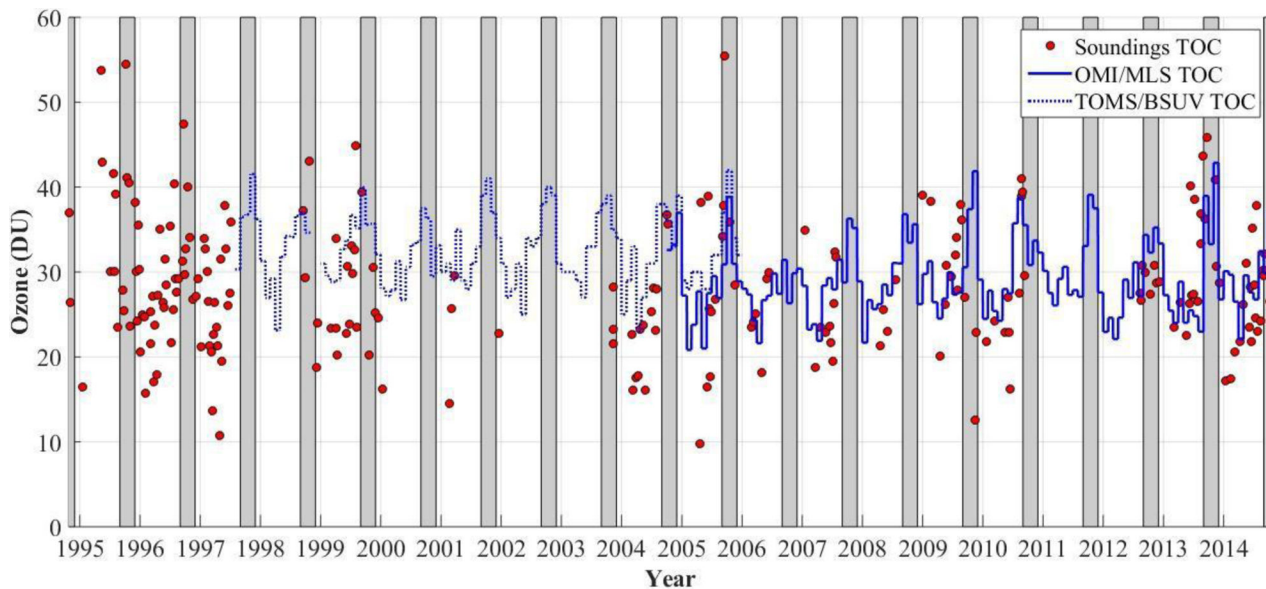


**Figure 7.** Seasonal cycles of ozone in ppbv (left) and specific humidity in g/kg (right) vertical profiles for the period 1994–2014. Isolines and color codes are shown in the Figure. The chemical tropopause i.e., 100 ppbv is indicated in the left panel by a coarse black line. For accuracy, specific humidity, which is derived from relative humidity measurements, is drawn only below 6 km.



**Figure 8.**

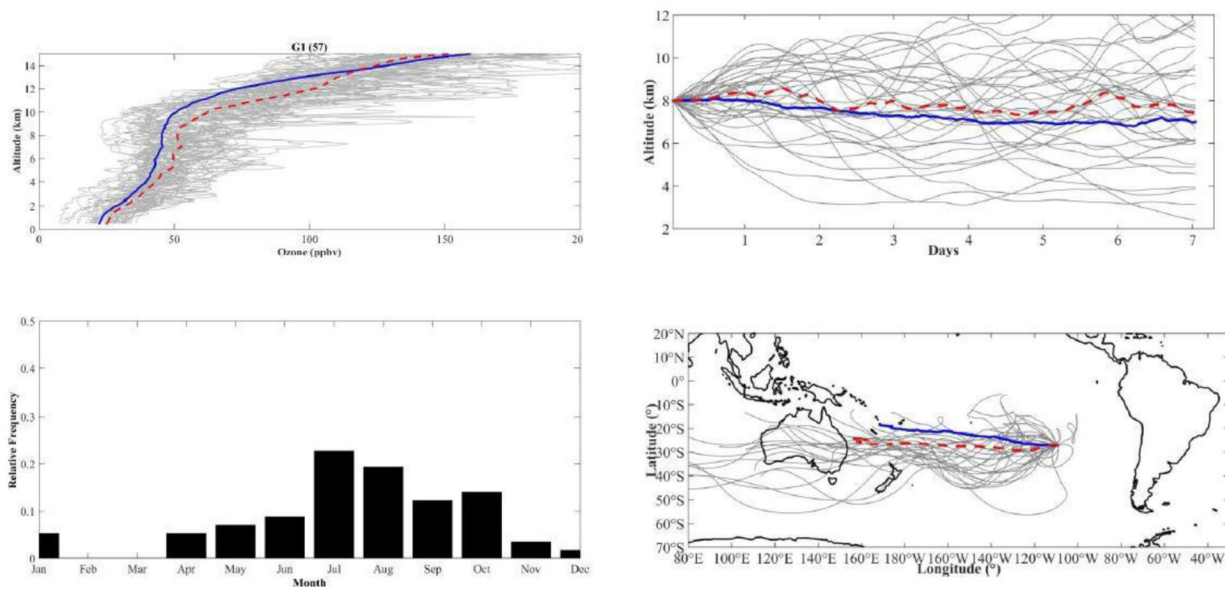
The upper left panel shows the seasonal variation in tropospheric ozone column (TOC) in Dobson Units ( $\text{DU} = 2.69 \times 10^{16}$  ozone molecules per square cm). The upper right panel illustrates the thermal tropopause height (TT) in km. The lines indicate the corresponding climatologies derived from the OMI/MLS and the TOMS/SBUV satellite products (dashed lines) in black and red respectively. The lower left panel shows the seasonal variation of carbon monoxide (CO) in ppbv, the lower right panel illustrates the seasonal variation of beryllium 7 radionuclide ( $^7\text{Be}$ ) in  $\text{mBq/m}^3$  measured at Rapa Nui. These are box plots of monthly averages using the same convention as in Figure 6. Data sources: NOAA/ESRL/GMD (CO for the period 1994–2013); EML ( $^7\text{Be}$  for the period 1971–1999); CCHEN ( $^7\text{Be}$  for the period 2009–2014).



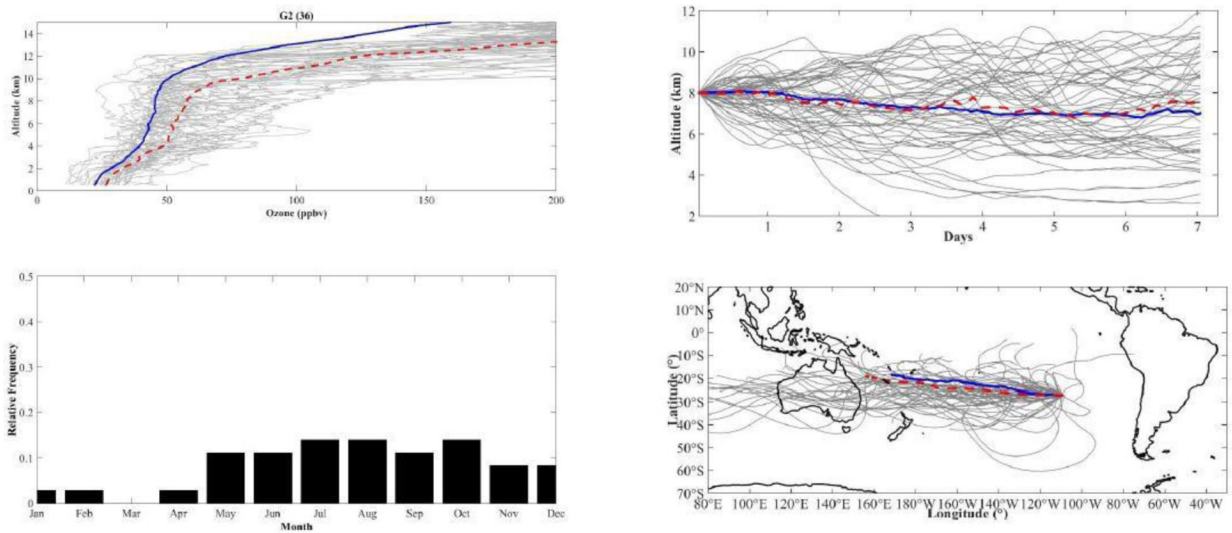
**Figure 9.**

Tropospheric ozone column (TOC) as derived from individual soundings (red dots), and monthly averaged satellite products: TOMS/SBUV (blue dashed line), and OMI/MLS (blue continues line). The grey dashed areas correspond to spring periods. TOMS/SBUV data were obtained from <http://science.larc.nasa.gov/TOR/data.html>. OMI/MLS data were downloaded from [http://acd-ext.gsfc.nasa.gov/Data\\_services/cloud\\_slice/](http://acd-ext.gsfc.nasa.gov/Data_services/cloud_slice/)

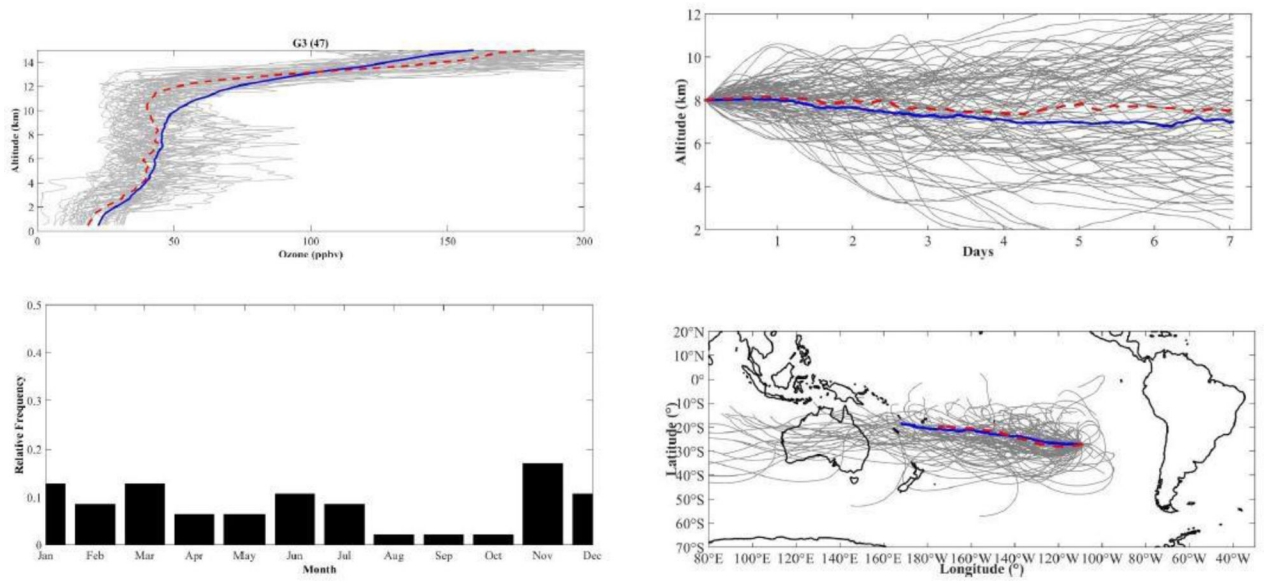




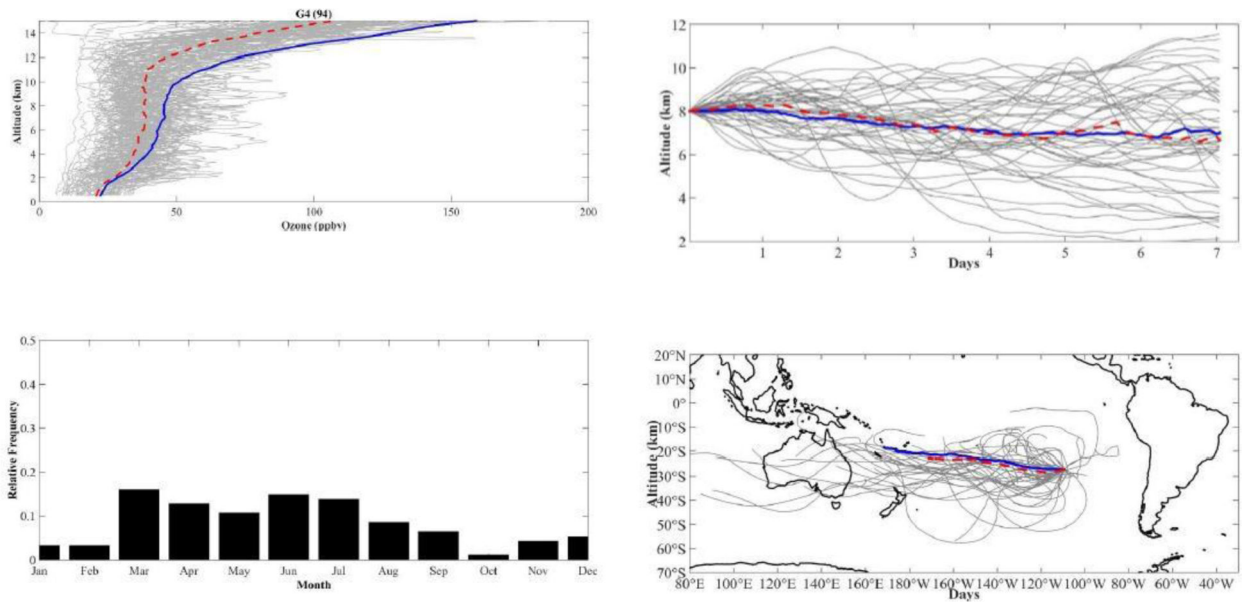
**Figure 10.** Ozone profiles (upper left panel), seasonality (lower left panel) and vertical (upper right panel) and horizontal (lower right panel) trajectories for group 1 (G1) according to SOM classification of Rapa Nui ozone soundings. The average of all soundings (trajectories) is indicated by the continuous blue line, and the cluster average is shown by the dashed red line. Individual profiles (trajectories) are shown in gray. Trajectories were calculated using HYSPLIT fed with NCEP/NCAR reanalysis data.



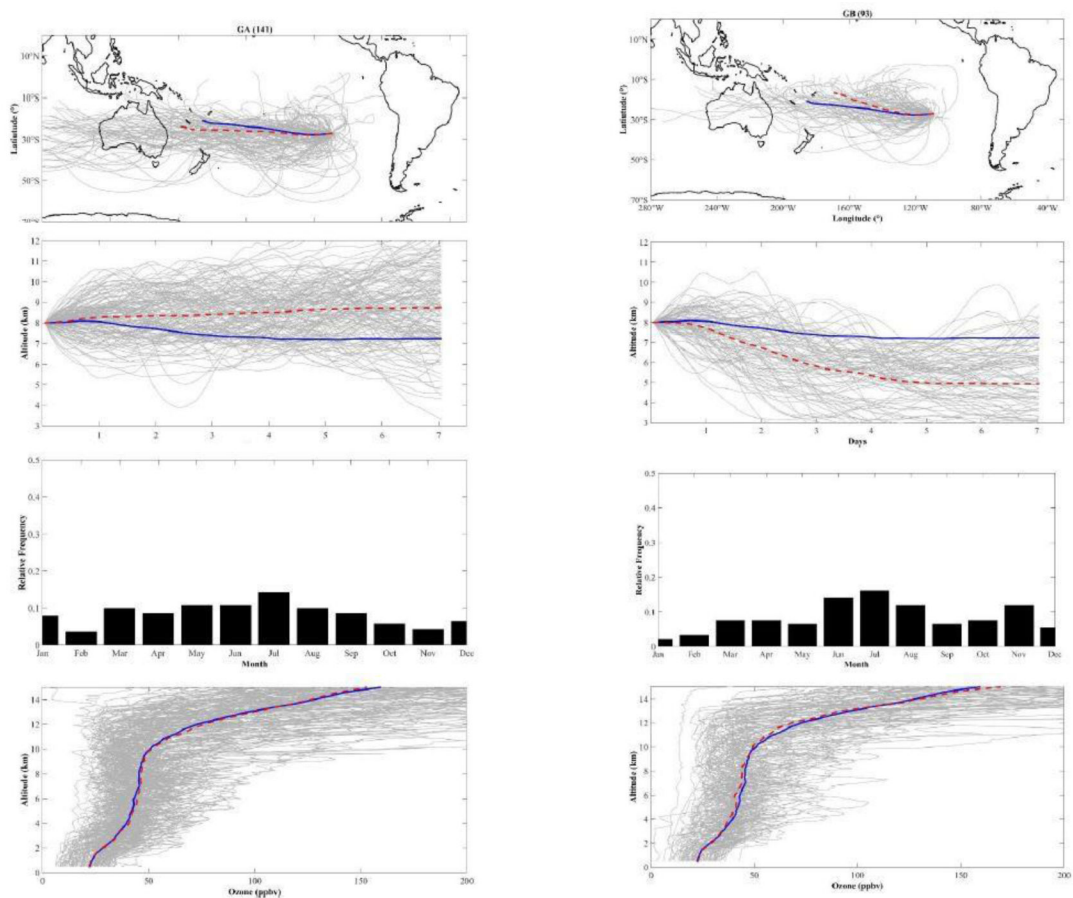
**Figure 11.**  
As in Figure 10 but for group 2 (G2).



**Figure 12.**  
As in Figure 10 but for group 3 (G3).



**Figure 13.**  
As in Figure 10 but for group 4 (G4).



**Figure 14.** Classification of ozone profiles according to self-organizing maps of back trajectories. From left to right, resulting groups, GA and GB, and number of members in parenthesis. From top to bottom, the two upper panels show the horizontal and vertical projections of individual back trajectories (light gray lines), average back trajectory for all soundings (solid blue), and group representative (dashed red line). The two lower panels show the relative frequency of occurrence of the back trajectories, and the corresponding individual ozone profiles (light gray lines), average of all ozone soundings (blue line), and average ozone profile for the group (dashed red line).

**Table 1**  
**Number of ozone soundings analyzed in this study per year and season. Seasons correspond to: summer (December-January-February, DJF); fall (March-April-May, MAM); winter (June-July-August, JJA); and spring (September-October-November, SON).**

<b>Year</b>	<b>DJF</b>	<b>MAM</b>	<b>JJA</b>	<b>SON</b>	<b>Total</b>
1994	0	0	0	3	<b>3</b>
1995	7	2	6	7	<b>22</b>
1996	6	11	10	7	<b>34</b>
1997	6	10	4	0	<b>20</b>
1998	2	0	0	3	<b>5</b>
1999	1	4	9	4	<b>18</b>
2000	1	0	0	0	<b>1</b>
2001	3	2	0	0	<b>5</b>
2002	0	0	0	0	<b>0</b>
2003	0	0	0	3	<b>3</b>
2004	0	7	5	2	<b>14</b>
2005	0	2	6	5	<b>13</b>
2006	1	3	2	0	<b>6</b>
2007	1	3	6	0	<b>10</b>
2008	1	3	1	0	<b>5</b>
2009	1	3	7	3	<b>14</b>
2010	1	3	7	1	<b>12</b>
2011	0	0	0	0	<b>0</b>
2012	1	0	3	4	<b>8</b>
2013	1	3	10	4	<b>18</b>
2014	2	5	12	4	<b>23</b>
<b>Total</b>	<b>35</b>	<b>61</b>	<b>88</b>	<b>50</b>	<b>234</b>