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REVIEW

# Hodgkin Lymphoma: Current Status and Clinical Trial Recommendations

Catherine S. Diefenbach, Joseph M. Connors, Jonathan W. Friedberg, John P. Leonard, Brad S. Kahl, Richard F. Little, Lawrence Baizer, Andrew M. Evens, Richard T. Hoppe, Kara M. Kelly, Daniel O. Persky, Anas Younes, Lale Kostakaglu, Nancy L. Bartlett

Affiliations of authors: NYU Perlmutter Cancer Center, New York, NY (CSD); BC Cancer Agency Centre for Lymphoid Cancer, Vancouver, BC, Canada (JMC); Wilmot Cancer Center and Division of Hematology/Oncology, University of Rochester Medical Center, Rochester, NY (JWF); Department of Medicine, Weil Cornell University, New York, NY (JPL); Oncology Division, Department of Medicine, Washington University, St. Louis, MO (BSK, NLB); Division of Cancer Treatment and Diagnosis (RFL) and Coordinating Center for Clinical Trials (LB), Tufts Cancer Center and Division of Hematology/Oncology, Tufts University School of Medicine, Boston, MA (AME); Stanford Cancer Institute, Stanford University Medical School, Stanford, CA (RTH); Division of Pediatric Hematology/Oncology/Stem Cell Transplant, Columbia University Medical Center, New York, NY (KMK); Department of Medicine, University of Arizona Cancer Center, Tucson, AZ (DOP); Lymphoma Service, Memorial Sloan Kettering Cancer Center, New York, NY (AY); Department of Radiology, Mount Sinai Hospital, New York, NY (LK).

Correspondence to: Catherine Diefenbach, MD, NYU Perlmutter Cancer Center, 240 East 38th Street, 19th Floor, New York, NY 10016 (e-mail: catherine.diefenbach@nyumc.org).

# Abstract

The National Clinical Trials Network lymphoid malignancies Clinical Trials Planning Meeting (CTPM) occurred in November of 2014. The scope of the CTPM was to prioritize across the lymphoid tumors clinically significant questions and to foster strategies leading to biologically informed and potentially practice changing clinical trials. This review from the Hodgkin lymphoma (HL) subcommittee of the CTPM discusses the ongoing clinical challenges in HL, outlines the current standard of care for HL patients from early to advanced stage, and surveys the current science with respect to biomarkers and the landscape of ongoing clinical trials. Finally, we suggest areas of unmet need in HL and elucidate promising therapeutic strategies to guide future HL clinical trials planning across the NCTN.

Despite the overall favorable outcomes for most patients with Hodgkin lymphoma (HL), the young median age at diagnosis results in unique treatment challenges and consequences. Among patients with early-stage and advanced disease who are cured, serious acute and long-term treatment-related toxicities remain a concern. For HL with relapsed or refractory disease, the loss of young lives and the treatment-related morbidity associated with high-dose therapy increase the societal burden of this disease. In a cost-per-death analysis of malignancies throughout Europe, HL had the second highest "cost per death" or lost productivity cost due to premature cancer-related mortality, after melanoma (1). The top priority in HL remains improvement of cure rates; however, true success will only come with treatments that do not impair the long-term quality of life

of HL survivors or result in life-threatening or life-altering acute or late effects.

# **Background/Disease Progress Summary**

### Epidemiology and Burden of Disease

Hodgkin Lymphoma is the most common lymphoma affecting the young population, with approximately 9200 estimated new cases of HL in the United States in 2014 (2). The incidence of HL is bimodal, with the highest incidence at age 15 to 34 years and a second peak in those older than age 60 years. Higher HL-specific survival is observed for children and adolescents than for adults

Table 1. SOC regimens in upfront therapy\*

Disease setting	Treatment regimen	Trials
Stage I–IIA favorable (<3 sites of disease, no extranodal disease, nonbulky adenopathy, ESR < 50 and ESR < 30 if B symptoms)	ABVD for 2 cycles followed by 20 Gy of IFRT	HD10 (13) HD13 (73)
	ABVD for 3–6 cycles	HD6 (14,77) RAPID (20) H10 (21)
Stage I–II nonbulky, unfavorable (extranodal disease, high erythrocyte sedimentation rate or $\geq$ 3 nodal sites, B symptoms)	ABVD for 4 cycles plus 30 Gy IFRT BEACOPP for 2 cycles $+$ 30 Gy IFRT ABVD x 3–6	HD11 (16) HD14 (24) RAPID (20) H10 (21) HD6 (76) CALGB 50401 (77)
Stage I–II bulky (mediastinal mass ratio $> 1/3$ or mass $> 10\mathrm{cm}$ )	ABVD for 4–6 cycles plus 30 Gy IFRT	HD11 (16) E2496 (25) H10 (21)
Advanced disease (stage III–IV)	BEACOPP for 2 cycles $+$ ABVD for 2 cycles $+$ 30 Gy IFRT ABVD x 6 cycles	HD 14 (24) CALGB 8952 (26) LY09 Trial (27) EORTC (28)
	escBEACOPP x 6 cycles ABVD for 2 cycles followed by escBEACOPP x 6 in PET+ $$	HD12 (29) GITIL HD0607 (78)

\*ABVD = doxorubicin, bleomycin, vinblastine, dacarbazine; BEACOPP = bleomycin, etoposide, doxorubicin, cyclophosphamide, vincristine, procabazine, prednisone; CALGB = Cancer and Leukemia Group B; EORTC = European Organization for the Research and Treatment of Cancer; ESR = erythrocyte sedimentation rate; GITIL = Gruppo Italiano Terapie Innovative nei Linfomi; IFT = involved field radiotherapy; PET = positron emission tomography; RAPID = Randomized Phase III Trial to Determine the Role of FDG–PET Imaging in Clinical Stages IA/IIA Hodgkin's Disease.

(five-year survival rate = 96%, SE = 0.4%, vs 88%, SE = 0.3%; P < .001, calculated by Kaplan-Meier analysis and two-sided log-rank test to compare survival curves) (3). Approximately 25% of patients experience a relapse or are found to have disease refractory to initial therapy. While 90% of early-stage HL patients are cured with conventional treatment, only 70% of advanced-stage patients are cured with standard therapeutic approaches. For HL patients with relapsed disease, only half are cured with standard salvage therapies (4).

Over the past 30 years, data regarding the late effects of therapy, specifically cardiovascular toxicity and risk of second cancers, have led to treatment modifications of radiation dose and field size. This has led to risk reduction of therapy-related causes of death. However, long-term complications of therapy remain a clinically significant concern over the lives of this predominantly young patient population. In addition to second cancers, cardiac and peripheral vascular disease, and pulmonary disease, less serious but potentially life-altering effects of infertility and sexual dysfunction, neurocognitive dysfunction, chronic fatigue, and thyroid disease are associated with current therapeutics used for HL (5-10). Of particular concern are the adolescent and young adult (AYA) patients who commonly present with bulky mediastinal disease, which currently is optimally treated with combined modality therapy (CMT) including radiotherapy Unfortunately, treatment of the developing breast with RT induces a statistically significant increased risk of secondary breast cancer. In addition to current efforts to develop treatment approaches that minimize late effects, improved surveillance of HL survivors also has the potential to save lives.

## **Current Standard of Care Disease Management**

The management of early-stage, nonbulky favorable HL (<3 sites of disease, no extranodal disease, no bulky adenopathy, ESR < 50

and Erythrocyte Sedimentation Rate (ESR) < 30 if B symptoms) or intermediate HL (large mediastinal mass, extranodal disease, high erythrocyte sedimentation rate or > 3 nodal sites) remains based on the chemotherapy regimen of doxorubicin, bleomycin, vinblastine, and dacarbazine (ABVD). This became the standard of care (SOC) in this group of patients more than 30 years ago, when its superiority to mechlorethamine, vincristine, procarbazine, and prednisone (MOPP) chemotherapy was demonstrated (11). Current SOC in early-stage disease has been informed by large European and North American cooperative group trials focusing on how to minimize toxicity while maximizing curability; these trials have attempted to address the optimal number of chemotherapy cycles, the dose of radiotherapy, and the comparison of combined modality therapy (CMT) vs chemotherapy alone (12-15). Current SOC includes either CMT, consisting of shortcourse ABVD (ie, 2 to 4 cycles) followed by RT (20 to 30 Gy) based in part on the HD10 and HD11 trials (13,16), or ABVD chemotherapy (ie, 4 to 6 cycles) as monotherapy. The latter was based initially on smaller studies that showed equivalent five-year overall survival (OS) rates and was recently confirmed with the longterm follow-up of the large phase III NCI Canada (NCIC) HD6 trial (17,18). In the most recent clinical trials of CMT, the radiation fields were "involved field" (IFRT); however, with accurate PET-CT imaging, radiation fields have been further reduced, to "involved site" irradiation, which has been adopted in the United States as the standard (19). Radiation dose varies from 20-30 Gy, depending on the absence or presence of various unfavorable prognostic factors.

The results of the UK RAPID trial, which compared chemotherapy alone with chemotherapy followed by involved field radiation, suggest a modest improvement in PFS with the addition of IFRT in patients who are 18F-fluorodeoxyglucose positron emission tomography (PET)-negative after three cycles of ABVD (absolute increase = 3.8%, relative reduction in risk of progression = 47%), but per protocol analysis there was there

was no difference in OS (20). The EORTC H10 reported a preplanned interim futility analysis of 1137 early-stage HL patients who were PET negative after two cycles of ABVD, who were randomly assigned between involved node radiotherapy or no RT. On the basis of this analysis, CMT resulted in fewer early progressions in early-stage I/II HL, although outcome was excellent in both arms. In the favorable subgroup, 85.8% had a negative early PET scan (standard arm: 1 event vs experimental arm: 9 events); in the unfavorable subgroup, 74.8% had a negative early PET scan (standard arm: 7 events vs experimental arm: 16 events). The independent data monitoring committee concluded it was unlikely that the trial would show noninferiority in the final results for the experimental arm and advised stopping random assignment for early PET-negative patients (21). These studies suggest that to date early interim PET appears to be prognostic but has not yet proven to be predictive in answering whether the omission of radiation in PET-negative patients can result in an equivalent failure-free survival (FFS). Despite the fact that PET has not been predictive for acute disease control/FFS, longer follow-up is needed to determine whether it will make a difference for late events and late effects. With a goal of minimizing therapy for early-stage HL, the recently published HD13 trial investigated whether the omission of dacarbazine or bleomycin from ABVD-based CMT reduced the efficacy of the regimen and concluded that the SOC for these favorable-risk, early-stage patients should remain short-course ABVD-based CMT because omission of either (or both) drug resulted in increased risk of relapse (22). Data from the de-escalation arms of the response-adapted therapy based on interim FDG-PET scans in an advanced Hodgkin lymphoma study (RATHL) presented at the 13th International Conference on Malignant Lymphoma in 2015 demonstrated that in 984 HL patients stage II-IV who were FDG-PET negative after two cycles of ABVD, outcomes were equivalent in patients who received four subsequent cycles of ABVD or AVD (PFS = 85.4% vs 84.4%, OS = 97% vs 97.5%) (23). These preliminary data suggest that in patients who have a complete response by PET after two cycles of therapy it may be safe to omit the bleomycin from the subsequent four cycles of therapy.

For HL patients with early-stage bulky disease, ABVD-based CMT is SOC in the United States (eg, ABVD x 4-6 cycles followed by RT). In Europe as an alternative, intensified chemotherapy regimens such as bleomycin, etoposide, adriamycin, cyclophosphamide, oncovin, procarbazine, and prednisone (BEACOPP) standard dose or escalated, either alone or combined with ABVD, are based on data that demonstrate superior FFTF for the high-dose regimen, but with a cost of increased toxicity and, to date, no difference in OS. One thousand five hundred twentyeight patients with early-stage unfavorable HL received ABVD for four cycles or escalated doses of BEACOPP for two cycles plus ABVD for two cycles. All patients received 30 Gy IFRT. The freedom from treatment failure favored the aggressive chemotherapy arm, with a difference of 7.2% at five years, but there was no difference in OS and increased toxicity was seen in the aggressive chemotherapy arm (24). In some centers, end-of-treatment PET/CT, rather than degree of pretreatment bulk, is used to determine the need for RT, which results in fewer patients receiving RT. Large phase III cooperative group clinical trials comparing alternate regimens such as Stanford V (Intergroup trial E2496) and BEACOPP chemotherapy (HD11) failed to consistently demonstrate superiority to ABVD (16,25) for these patients. See Table 1 for SOC regimens for upfront therapy.

For patients with locally extensive and advanced-stage HL, the SOC in the United States was defined as ABVD chemotherapy more than 20 years ago based on phase III US cooperative group studies (26-29). In the German Hodgkin Lymphoma Study Group (GHSG), escalated BEACOPP is the SOC for these patients, based on the HD9 and HD12 studies (30). Preliminary data from the phase II S0816US intergroup study exploring response-adapted therapy showed that for patients who were PET/CT positive after two cycles of ABVD and were subsequently escalated to BEACOPP the two-year PFS was 64% (95% confidence interval [CI] = 50% to 75%), suggesting a benefit for escalating therapy in the PET/CT-positive group (31). The two-year PFS in the interim PET/CT-negative group was 82% (95% CI = 77% to 86%), suggesting an opportunity for improvement even in early complete responders. The HD0801 study also suggests a potential benefit with escalation of therapy for advanced-stage HL patients who remain PET positive after two cycles of ABVD; 519 advanced-stage HL patients were treated with ABVD, and patients who were PET positive after two cycles received intensified therapy and autologous stem cell transplant. With two years of follow-up, the PFS for the PET-positive group was equivalent to that of the PET-negative patients, suggesting that early intensification may improve the durable complete response rate for interim PET-positive patients (32).

Hodgkin lymphoma patients with relapsed or refractory disease, both in the pretransplant setting and relapsing after autologous stem cell transplant (ASCT), continue to represent a high priority for study and innovation. For patients with progression after primary treatment with multi-agent chemotherapy, the standard treatment approach commonly consists of secondline chemotherapy followed by ASCT, which results in a cure of about 50% of such patients (33). Several studies have shown that achieving a complete response (CR) prior to ASCT is associated with better long-term disease control and cure. Yet the CR rate for both standard chemotherapy regimens such as ifosfamide, carboplatin, and etoposide (ICE), as well as novel therapies such as brentuximab vedotin (BV), is approximately 34% (95% CI = 25.2% to 44.4%), indicating that many patients likely proceed to transplant with suboptimal disease control (34). In a single-institution study, patients in first relapse were treated sequentially with BV with a 27% CR rate (95% CI = 13% to 30%), followed by augmented ICE chemotherapy for the patients who did not achieve a CR, yielding a 76% CR rate (95% CI = 62% to 89%) for the patients treated on the sequential combination (35). This may represent a strategy for patients with chemotherapysensitive disease; however, whether this sequential approach results in improved long-term disease control vs ICE induction alone requires validation in larger multicenter studies and more mature outcome data. Additionally, combinations that include novel agents with high activity such as the checkpoint inhibitors nivolumab, now FDA approved for HL relapsed or progressed after ASCT and post-transplantation BV, and pembrolizumab, may obviate the need for such sequential therapies.

The phase III AETHERA trial investigating BV maintenance in the post-ASCT setting demonstrated an increase in PFS compared with patients who received placebo (median of 43 months vs 24 months, respectively; hazard ratio = 0.57, 95% CI = 62% to 89%, P = .001, calculated by stratified COX regression models with one-sided alpha level of .025), albeit with a higher incidence of therapy-related toxicities (36). Long-term survival analysis is needed to evaluate whether this may influence the natural history of disease for these patients and how this will inform the design of future studies. Beyond these indications, there is no clear SOC in the relapsed patient population, and to date there have been no large US cooperative group trials

beyond small phase II studies examining new agents and novel combinations.

Chemotherapeutic agents used for the initial treatment of pediatric HL are similar to those used in adults; however, because of the recognition of the elevated risk for long-term effects in the younger population (37), pediatric cooperative group studies have developed different strategies from the adult cooperative groups investigating hybrid regimens using lower cumulative doses of alkylators, doxorubicin, and bleomycin, especially for low-risk (stages I-IIA, no bulk, no B symptoms) and intermediate-risk (all stage I and II patients not classified as early stage, stage IIIA, and variably stage IVA) disease. No single standard of care regimen exists, and trials differ by the use of chemotherapy regimens of varying dose intensity, as well as the criteria for omission of radiotherapy. In general, pediatric radiotherapy approaches utilize lower doses (15-25 Gy) and smaller fields (involved field or node), resulting in lower exposure to heart and lung compared with that administered in adult trials (38). The German Society of Pediatric Oncology, now in conjunction with other European pediatric oncology centers (Euronet consortium), has investigated vincristine, etoposide, prednisone, doxorubicin (OEPA) for low risk and OEPA with cyclophosphamide, vincristine, prednisone, dacarbazine (COPDac) for intermediate- and high-risk groups, with involved field radiotherapy utilized for all except the 30% of low-risk patients achieving a CR to two cycles of chemotherapy (39). In North America, the Children's Oncology Group has primarily evaluated doxorubicin, bleomycin, vincristine, etoposide, prednisone, cyclophosphamide (ABVE-PC) and its derivatives across the risk groups, with radiotherapy omission in more recent trials in early-responding low- and intermediate-risk groups (40-43).

# Recent Progress in Biological Understanding of HL

Hodgkin lymphoma has a unique biology whereby the malignant Hodgkin Reed-Sternberg (HRS) cells are few in number (generally less than 1% of the total tumor bulk) and are surrounded by non-neoplastic immune cells in the tumor microenvironment, including T-cells, B-cells, macrophages, mast cells, and eosinophils. Recent advances in biology of HL have elucidated the key role of the cross-talk between the HRS cells and the immune cells of the tumor microenvironment in promoting lymphomagenesis. Tumor-associated macrophages (TAMs) and, specifically, increased TAM expression of CD68 by immunohistochemistry (IHC), have been demonstrated to be associated with an inferior outcome in HL, including outcome after salvage chemotherapy with autologous transplantation (44,45). Patient samples from the phase III cooperative group trial of Stanford V vs ABVD (E2496) were used to validate the initial CD68 results and confirmed a statistically significantly inferior OS in the 38% of patients with high CD68 expression (46). Gene expression profiling of microdissected HRS cells demonstrated that a macrophage-like signature (CSFIR) is statistically significantly associated with treatment failure and, in combination with CD68 IHC, is an independent predictor for inferior progression-free survival in an independent set of 132 patients (47). More recently, using NanoString technology, a 23-gene outcome predictor in advanced-stage HL patients has been developed from 290 patients on the E2496 study that may identify patients at increased risk of death independently of IPS and CD68 when treated with standard-intensity firstline chemotherapy (48).

Other advances in the knowledge of HL biology include the discovery of 9p24.1 amplification and high increased PD-1 ligand expression by HRS cells, the contribution of the Epstein-

Barr virus (EBV) to HL pathogenesis, such as in influencing the expression of inhibitory cytokines such as CXCL10, CCL5, and CCL3, and the role of the microenvironment in contributing to deregulation of signaling pathways such as nuclear factor kappa B (NF $\kappa$ B) and Janus kinase signal transducer and activation of transcription signaling (JAK-STAT) (49-51). A prospective correlative study evaluating plasma EBV-DNA at several time points before, during, and after therapy on E2496 showed that the presence of plasma EBV-DNA was associated with a statistically significantly worse failure-free survival (52). Recent data also suggest an association with inhibitory cytokines and chemokines such as thymus and activation-regulated chemokine (TARC) and the pretreatment cytokine profile, with higher levels of inhibitory cytokines such as IL-6 and IL-2R associated with a higher risk of early relapse (53–56) and mutation of the  $\beta$ -2 microglobulin gene (B2M) in primary HRS cells associated with NS subtype, younger age, and favorable survival (57).

These scientific advances in HL biology are exciting and clinically significant, yet they must now be translated into clinical benefit or validated as truly predictive, surrogate end points. Moving forward, the goal of HL-focused research should be to translate these discoveries into improved risk stratification tools and biologically based therapeutic strategies for high-risk disease. Through translational aims embedded within clinical trials, it is also of fundamental importance to use patient-focused research to further elucidate HL disease biology.

# Key Clinical Knowledge Gaps and Unmet **Clinical Needs**

We believe that despite the curability of HL, strong unmet needs remain in the management of HL that should be considered high priorities for research in the cooperative group setting. These include:

- improved therapeutic strategies that maximize the CR rate for patients treated for first relapse, which is likely to be associated with a higher cure rate for these patients;
- improving the ability to identify the 10% to 30% of patients whose primary therapy will fail and developing more effective treatments for these high-risk patients;
- improved therapies that prolong life for multiply relapsed patients who are not cured with ASCT;
- identifying and eliminating "unnecessary therapy" in lowrisk patients in order to minimize long-term consequences of "successful" treatments (eg, increased second cancers and arterial diseases, preservation of quality of life (QOL).

In order to achieve the dual goals of cure and minimization of therapeutic toxicity, it is critical to identify subgroups of patients, for example, the AYA and older populations, who might benefit most from these novel treatment approaches and from whom, if successful, we would be able to extrapolate outcomes to a more generalized setting. Major challenges and unmet needs for the management of high-risk patients include: improved risk stratification, the sequencing of standard therapy with promising new agents, and the role of consolidation strategies for the highest-risk patients.

# **Current Landscape of Ongoing Clinical Trials**

The current landscape of ongoing or completed trials with results pending that may inform the SOC (Table 1) is described in

Table 2. Selected open or recently completed phase III and phase II clinical trials in Hodgkin lymphoma\*

Title Frontline	Regimen	Population	NCT number/ sponsor	Estimated enrollment	Start date/com- pletion date	Preliminary data
ECHELON 1	ABVD vs AAVD	Advanced stage (IIb–IV)	01712490 Millennium Pharmaceutic- als, Inc.	1240	November 2012– December 2015	Phase 1 AAVD: 3-y FFS = 96%, OS = 100%
HD16	ABVD $\times$ 2 +/- 20 Gy IFRT	Early stage with- out bulk (Ia–IIb)	NCT00736320 University of Cologne	1150	November 2009–May 2020	
HD18	escBEACOPP x 2 $+$ PET+ $\rightarrow$ escBEACOPP x 6 vs escBEACOPP x 6 + rituximab PET- $\rightarrow$ esc BEACOPP $\times$ 4 vs escBEACOPP $\times$ 8	Advanced stage HL (IIB with bulky disease, III, IV)	00515554 University of Cologne	1500	May 2008–July 2014	PET+ 3-y interim analysis: PFS = 91.4% for BEACOPP; 93% for R- BEACOPP; OS = 96.5% vs 94.4% at 3 y
BV and combination chemotherapy	ABVEPC vs ABvVEPC + response-di- rected ISRT	High-risk/ ad- vanced-stage pediatric classical HL (2–18 y)	0216643 COG	600	March 2015– November 2019	
CALGB: response- based therapy assessed by PET scan	ABVD +/- BEACOPP + radiation	Bulky stage I and stage II cHL	01118026 CALGB	123	September 2010– July 2017	
BV + AVD	$BV \times 2 \rightarrow AVD + \\ BV \times 4-6$	Limited-stage HL	01534078 Mass General Hospital	34	March 2012–April 2015	CR = 88%, PFS = 90%, OS = 97% at 14 mo; grade 3–4 neuropathy = 24%
$\begin{array}{c} \text{Sequential BV} \rightarrow \\ \text{AVD} \end{array}$	BV-AVD	Elderly HL	NCT01476410 Northwestern	48	November 2011– May 2016	,
Sequential BV $\rightarrow$ AVD	BV + AVD and 30 Gy IFRT	Early-stage unfavorable HL	01868451 Memorial Sloan Kettering Cancer Center	30	May 2013–May 2016	
BV + DTIC or bendamustine	BV + dacarbazine or bendamustine	Elderly (60 y and older)	NCT01716806 Seattle Genetics	70	October 2012– October 2018	Interim analysis 100% ORR for both combinations
Response-ad- justed therapy for Hodgkin lymphoma (RATHL)	2 cycles ABVD $\rightarrow$ PET- ABVD vs AVD × 4 PET + BEACOPP x 6 vs escBEACOPP × 4 +/- IFRT	Advanced stage (IIB with bulky disease, III, IV)	CRUK/07/033	1214		Median FU of 36.3 mo, PFS = 85.4% ABVD vs 84.4% AVD; OS = 97% ABVD vs 97.5% AVD
AEPA	(BV, etoposide, prednisone, doxorubicin) × 2, CAPDac (cy- clophospha- mide, BV prednisone, dacarbazine) × 4, with low- dose IFRT	Advanced-stage pediatric	01920932 Pediatric HL Consortium (St. Jude, DFCI, Stanford)	77	August 2013– August 2021	(continued)

(continued)

Table 2. (continued)

Title Frontline	Regimen	Population	NCT number/ sponsor	Estimated enrollment	Start date/com- pletion date	Preliminary data
Targeted BEACOPP variants	BrECADD, BrECAPP	Newly diagnosed advanced stage	German Hodgkin Lymphoma Study Group	104	October 2012– May 2014	BrECADD: CRR = 88%, CR/ CRu = 88%, and 18 month PFS = 89%; BreCAP: CRR = 86%, CR/ CRu = 94%, and 18 month PFS = 93%
Relapsed BV first salvage	BV	HL in first relapse	01393717 City of Hope Medical Center	57	October 2011– December 2015	ORR (CR + PR) = 69%, CR = 33%
E4412	BV + ipilimumab and nivolumab	Relapsed HL (first or later)	NCT01896999/ ECOG 4412	70	Jan 2014– December 2017	Preliminary effi- cacy BV + ipili- mumab: ORR = 72%, CR = 50%
BV	BV +	Relapsed HL	01874054 Seattle	55	July 2012	CR = 82%, ORR =
bendamustine	bendamustine	pre-ASCT	Genetics, Inc. 01657331 Columbia University	71	June 2013– May 2015 October 2015	94%; stem cell mobilization and collection was adequate
BV + gemcitabine		Pediatric and AYA HL in first relapse	01780662 COG	63	Phase 1: January 2013; phase 2: February 2015– 2018	
CheckMate	Nivolumab	Relapsed HL	0218738 Bristol- Myers Squibb	120	July 2014–May 2016	Interim report on 80 patients: ORR = 66%, (57.5% PR, 8.8% CR); six-mo PFS = 77% (63)
Nivolumab		Pediatric re- lapsed HL	02304458 COG	204 (multiple tumor types); 20 with HL	February 2015– November 2016	(44)
Pembrolizumab		Relapsed HL post-ASCT	02362997 Dana- Farber Cancer Institute	60	March 2015– March 2022	Phase 1: ORR of 53% in 15 patients, (CR = 20%, PR = 33%)
Keynote	Pembrolizumab	R/R HL	NCT02453594 Merck	180	June 2015–May 2017	60 patients (cohorts 1 and 2); cohort 1: ORR = 70%, CR = 20%, PR = 50%; cohort 2: ORR = 80% CR = 27%, PR = 53% (64)
BV and nivolumab	BV + nivolumab	Frontline elderly HL	NCT02758717	75	May 2016– February 2018	, (0.1)
BV and nivolumab	$\begin{array}{c} {\rm BV + nivolumab} \\ {\rm \times  4  cycles} \end{array}$	First relapse HL	NCT02572167	60	October 2015– May 2020	

<sup>\*</sup>AAVD = brentuximab, adriamcyin vinblastine, dacarbazine; ABVD = doxorubicin, bleomycin, vinblastine, dacarbazine; AEPA = brentuximab, etoposide, prednisone,  $adriamycin; \ ASCT = autologous \ stem \ cell \ transplant; \ BEACOPP = bleomycin, \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ prednisone; \ adriamycin, \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ prednisone; \ adriamycin, \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ prednisone; \ adriamycin, \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ prednisone; \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ prednisone; \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ procabazine, \ etoposide, \ doxorubicin, \ cyclophosphamide, \ vincristine, \ procabazine, \ procabazine, \ procabazine, \ etoposide, \ etoposid$  $BrECADD = brentuximab\ vedotin,\ etoposide,\ cyclophosphamide,\ doxorubicin,\ dacarbazine,\ and\ dexamethasone;\ BreCAP = brentuximab,\ cyclophosphamide,\ adriamy-brentuximab\ vedotin,\ dacarbazine,\ dacarbazin$ cin, prednisone; BV = brentuximab vedotin; CALGB = Cancer and Leukemia Group B; CR = complete response; HL = Hodgkin lymphoma; IFRT = involved field radiotherapy; ISRT = involved site radiotherapy; NCT = National Center for Tumor Diseases; ORR = overall response rate; OS = overall survival; PET = positron emission  $tomography; PFS = progression-free \ survival; PR = partial \ response; R/R = relapsed \ or \ refractory.$ 

Table 2. Current frontline phase III studies are primarily focused on investigating the benefit of the addition of BV to standard chemotherapy, with the exception of the German study HD18, which examines whether to escalate or de-escalate therapy with BEACOPP based on PET/CT data after two cycles of therapy. In a phase II study of 104 advanced-stage HL patients, the integration of BV into the BEACOPP regimen demonstrated equivalent PFS with decreased toxicity compared with standard BEACOPP, and the regimen of BV, etoposide, cyclophosphamide, doxorubicin, dacarbazine, and dexamethasone (BrECADD) is planned to be compared against BEACOPP in a phase III clinical trial (58). Existing phase II studies are similarly examining the addition of BV to upfront treatment in specialized populations such as older patients and low-risk early-stage patients. For HL patients who have relapsed after ASCT and BC, the checkpoint inhibitor Nivolumab is now approved on the basis of the phase II CheckMate registration study (NCT02181738), and the checkpoint inhibitor pembrolizumab remains under investigation in the phase II registration study Keynote (NCT02453594). Current phase II studies and early-phase studies for relapsed patients include the combination of BV and bendamustine, BV and checkpoint inhibitor therapy, BV and gemcitabine, or BV with sequential chemotherapy for nonresponders, both as pretransplant salvage or for multiply relapsed patients.

The agents most likely to impact the next generation of clinical trials are the antibody-drug conjugate BV and the checkpoint inhibitors nivolumab and pembrolizumab. Clinically, the success of the first antibody drug-conjugate, BV targeting the TNF receptor superfamily member CD30, which is highly and differentially expressed by HRS cells, represents a striking recent advance in the management of HL. Brentuximab vedotin was FDA approved in 2011 for the treatment of HL patients not eligible for ASCT based on the pivotal phase II study who relapsed either after ASCT or after two lines of combination chemotherapy.

Immune modulatory therapy, in particular with checkpoint inhibitors nivolumab and pembrolizumab, appears extremely promising in HL, with extremely high response rates and little toxicity. In a phase I study of patients with relapsed HL treated with nivolumab at a dose of 3 mg/kg every two weeks, the ORR was 87% (CR = 17%, PR = 70%, 95% CI = 66% to 97%); the remaining 13% had SD. Progression-free survival (PFS) at 24 weeks was 86% (95% CI = 62% to 95%) (59). Similar data were reported for PD-1 inhibitor pembrolizumab at the 2014 American Society of Hematology (ASH) Annual Meeting in a similar population of relapsed HL patients, with an ORR of 53% in 15 patients (CR = 20%, PR = 33, 95% CIs were not reported) (60). The data for both agents was updated at ASH 2015 and demonstrates that with longer follow-up (86 weeks and 9.7 months, respectively, durable responses persist in approximately 50% of patients) (61,62). A preliminary report of the phase II studies for both checkpoint inhibitors was reported at the 2016 American Society of Clinical Oncology Annual Meeting. In the CheckMate study, an interim analysis of 80 patients with relapsed HL treated with Nivolumab showed an ORR of 66% (PR = 57.5%, CR = 8.8%), with a sixmonth PFS of 77% (95% CIs were not reported) (63). The Keynote study presented data on the first two cohorts of the study patients with relapsed or refractory HL after ASCT and subsequent BV therapy (cohort 1), and patients ineligible for ASCT because of chemoresistance and BV therapy failure (cohort 2, 60 patients total). For cohort 1, the ORR was 70% (CR = 20%, PR = 50%); for cohort 2, the ORR was 80% (CR = 27%, PR = 53%, 95% CIs were not reported) (64). If this emerging data is confirmed by larger studies, checkpoint inhibitors have the potential to redefine treatment paradigms in all aspects of HL management.

# **Recommended Top Clinical Trial Questions**

The following populations of patients have been identified as representing the highest immediate priority for further study.

- Patients in first relapse or with primary refractory disease.
   Such patients still have the potential for cure but are at substantial risk of dying from their disease, with a cure rate of approximately 50% with current therapies. For patients in first relapse, we suggest a multi-arm randomized phase II design with ICE as the comparator arm, PET/CT CR as the primary end point, and one- to two-year PFS, toxicity, and biomarker studies (eg, TARC and gene signature) as secondary end points. Several recently described regimens incorporating new agents would be appropriate to test in a randomized study.
- Optimizing initial therapy for patients with bulky disease is also a priority. Incorporating highly active novel agents into firstline therapy may allow elimination of RT in this population of primarily adolescents and young adults who are at statistically significant risk for second cancers and cardiovascular disease. We suggest a trial that includes both pediatric and adult patients and uses early interim PET/CT or end-of-treatment PET/CT to determine the need for RT. While a phase III study would be preferred, small patient numbers and difficulty accruing patients on previous adult studies in this population may justify the use of a less stringent level of evidence to allow for a smaller randomized comparison employing larger alpha (and potentially bigger treatment effects); in the rare circumstances where nonrandomized data can be shown to be sufficient to produce practice-changing evidence, a single-arm design can be used.
- While optimizing upfront therapy for patients with advanced disease remains an important priority, the large phase III study currently ongoing comparing ABVD with AVD plus brentuximab, and BrECADD with BEACOPP, may define new SOCs for this population. Further studies in advanced-stage disease will need to await results of these studies; however, potential integration of checkpoint inhibitors into frontline therapy is an intriguing option.
- Improving the outcome of special populations such as the elderly and AYA are high priorities, but we agreed that largescale clinical investigations are challenging for the US NCTN groups, given the modest patient numbers of these populations treated at large academic centers.

#### **End Points for Clinical Trials in HL**

For firstline therapy, we recommend that a PFS-based end point be considered the primary end point in HL clinical trials. While not a surrogate for OS, a PFS improvement that is sustained over time would represent a major clinical benefit in HL, avoiding the toxic effects of second-line therapy such as ASCT, the potential need for multiple lines of therapy, and the decreased QOL and increased risk of late complications related to undergoing repeated treatments. In the older patient population with a statistically significantly inferior outcome and limited treatment options, PFS may indeed be a surrogate for OS. Quality-oflife end points should be considered secondary end points in HL clinical trials, especially in the pediatric and AYA populations, as well as in studies for older patients. If possible, in a selected subset of patients, long-term OS should be determined prospectively in order to capture the cumulative long-term toxicity of treatment in this predominantly young patient population.

Table 3. Biomarkers in Hodgkin lymphoma\*

Recommendations Histopathology	Standard of care	Integral	Integrated	Exploratory	Validated	Identifies high-/low-risk groups	Identifies therapeutic target
Full diagnostic immunohis- tochemistry (including CD30, CD15, CD20, others)	Yes	Yes	No	No	Yes	No	Yes
Prognostic IHC-focused on HRS cells: EBV (80–84), BCL2, HLA II (85), BCL-XL (86), p53 (86), T-cell antigens (87)	No	No	No	Yes	No	Yes	Yes
Prognostic IHC focused on microenvironment: tumor-associate macro- phages (44–46) CD68 (88), FOXP3 (88), CD20 (88), FGF2 (89), Syndecan-1 (89), CD163 (90) Cytokines/chemokines	No	No	No	Yes	No	Yes	Yes
Serum TARC (90,91), IL10 (92–94), sIL10R (93), IL6 (93), TNF (93), sTNFR (93), sCD30 (93,94), galectin-1 (95,96), CD4/8/25/54 (94) Molecular	No	No	No	Yes	No	Yes	No
Gene expression profile for risk group (26-gene pre- dictor from Nanostring) (48)	No	No	Yes	Yes	No	Yes	No
Global microRNA levels in- cluding MIR21, MIR30E, MIR30D, and MIR92B (97,98) Host germline polymor-	No	No	No	Yes	No	Yes	No
phisms and mutations							
IL-10-specific polymor- phism 592AA (99)	No	No	No	Yes	No	No	No
IL-6-specific polymorphism 174GG (99)	No	No	No	Yes	No	No	No
Germline NPAT mutation (100)	No	No	No	Yes	No	No	No

 $^*IHC = immunohistochemistry.$ 

Interim PET/CT has not been validated as a predictive or surrogate end point for clinical trials at this time and remains investigational. The possibility of end of treatment (EOT) PET/CT serving as a surrogate for PFS remains an open question that requires further investigation. For patients with relapsed HL, PET/ CT-negative CR may be considered an end point for phase II trials of pretransplant salvage regimens and regimens for posttransplant failures with PFS and OS as secondary end points.

While we recognize the importance of being conscientious about the cost of integrating novel targeted therapeutics into standard HL regimens, we would not at this time recommend the exclusion of any potential regimen based solely on cost. However, we recommend that prospective clinical trials include cost-effectiveness analyses (CEAs) for all regimens being studied in randomized phase II or III trials, as have been incorporated in the ongoing COG high-risk phase III trial. These analyses should

take into consideration the cost of the current therapy as well as balance this with patient outcomes and potential cost savings related to the elimination of future therapy or prolonged therapy. Well-validated CEA measures have been studied and can form a basis for those planned for these trials (65).

# Potential Surrogate Biomarkers and the **Integration of Biomarkers Into Future Clinical Trials**

While there have been many potential biomarker candidates identified in retrospective analyses, there are no prospectively validated integral markers in HL for risk stratification or for use as a surrogate end point. We unanimously agree with the National Cancer Institute that this is a top priority, but integral markers and surrogates are not likely to be identified without a large prospective trial incorporating these potential markers. For example, multiple phase II studies have not been able to define the utility of the interim PET/CT. We encourage the study of surrogate biomarkers both for risk stratification and prognosis in HL, strongly recommend that translational aims focusing on correlative biomarkers be included in all future prospective clinical trials, and would support this as the primary aim of the study. At the present time, however, the only validated clinical index to assign upfront risk in HL is the International Prognostic Score (IPS), a retrospectively developed clinical model with a primary end point of freedom from progression (FFP) (66); however, recent studies suggest that its predictive range may have narrowed due to improved patient outcomes in the modern era (67,68). Despite advances in knowledge of disease biology, there are insufficient data currently to support the use of any specific biomarker to reliably identify high-risk subsets of patients for treatment decision-making or prognostication during therapy.

There is a myriad of potential markers in HL that are promising and have been described as correlating with patient risk and or clinical outcome. These are listed in Table 3 and can be grouped into the following categories: 1) markers related to Reed-Sternberg immunohistochemistry, 2) assessment of micro-environmental or circulating non-neoplastic cells, cytokines, and membrane-associated antigens, 3) gene expression and miRNA profiling reflecting the tumor microenvironment and host germline polymorphisms and mutations. To date, the largest group of these biomarkers includes those assessed by immunohistochemistry, and in the circulation yet to date none of these markers have been validated in a large-scale prospective randomized trial. Several promising biomarkers including the chemokine TARC and the 23-gene signature, which have correlated with disease outcome but remain to be validated in large-scale prospective clinical trials (48).

Potential integrated biomarker candidates as described in Table 3 include BCL-XL, p53, BCL-2 on HRS cells, tumor-associated macrophages in the tumor microenvironment, serum cytokines, and chemokines such as serum: TARC, galectin-1, IL-10, CD30, and gene expression profiling. Potential biomarkers of late effects include host polymorphisms and should be assembled from large databases (69).

With regards to imaging biomarkers, the committee feels that important questions include improving the reproducibility of PET and optimizing the timing for PET to best guide treatment response, standardizing and optimizing response definition (Deauville criteria may depend on treatment and extent of disease), elucidating the combined role of anatomic and functional volume imaging, and evaluating metabolic volume assessment as a new tool to guide treatment decision-making. Possible areas for imaging biomarker research include investigation of the predictive value of combinatorial approaches of PET and CT scan using both morphologic and metabolic change during therapy, particularly in PET-2-positive HL, and quantitative PET assessment at baseline and change in tumor metabolism during therapy. It is imperative to adopt standardized acquisition, reconstruction, and analysis protocols for the use of quantitative PET metrics. Data suggest that quantitative PET measures, mainly standardized uptake value (SUV), may be used to improve visual analysis for response assessment in DLBCL (70); however, this has yet to be validated in HL.

To further increase the interpretation accuracy and reproducibility of PET results, novel quantitative techniques beyond SUV, which are currently under investigation, may be integrated into therapeutic protocols. These include tumor functional volume

parameters (eg, metabolically active tumor volume [MTV] and total lesion glycolysis [TLG]). To date, the bulk of the literature has been published on DLBCL patients (71). In HL, metabolic tumor volumes may be relevant to patient management, particularly in the better definition of tumor bulk at baseline, which is an important prognostic factor. The high reproducibility for volumederived image indices suggests a potential superior role compared with SUVs (72-74). If these functional volume parameters are validated as independent or complementary prognostic factors, they may facilitate identification of patients at high risk of treatment failure, which may direct early treatment intensification. More research on all of these areas is necessary to make the translation from research into clinical practice feasible.

Given the ongoing evaluation of multiple biomarkers using several different techniques (IHC, gene expression profiling, sequencing, NanoString, imaging), not only in HL but in multiple lymphoma subtypes, we believe the best approach for further study is a post hoc biomarker analysis as opposed to randomization, risk stratification, response assessment, or monitoring based on these biomarkers at this time. We recommend collecting tumor samples (peripheral blood, bone marrow, lymph node) at baseline, at interim response evaluation, at completion of treatment in both PET/CT-negative and PET/CT-positive patients, and if feasible at relapse in those patients who achieve initial PET/CT negativity. While biomarkers should be included in every clinical study, they should not at this time be used to determine clinical outcome, but rather analyzed after completion of the trial with the eventual goal of using them for an adaptive trial design in future clinical trials. We believe that the 23-gene signature and the serum biomarker TARC should be prioritized for prospective investigation.

# **Promising Novel Agents and Integration Into Current Standard Therapies**

The integration of novel agents into treatment paradigms either to replace or augment current treatment strategies should be a primary goal of future research in HL. The emergence of biologically derived targeted therapies and immunotherapies provides new and exciting treatment options for patients.

To date, of these novel agents, only BV has mature data and is FDA approved as a single agent. Combination strategies going forward will be extremely important as many of these agents are well tolerated but have moderate single-agent activity in the relapsed setting.

While the checkpoint inhibitors nivolumab and pembrolizumab are the most exciting novel agents, there are many other new therapies for HL under development. Agents that appear promising in combination strategies include immune modulatory agents such as the checkpoint inhibitors ipilimumab, nivolumab, and pembrolizumab, the novel alkylator bendamustine, and mTOR inhibitors, all of which have demonstrated encouraging single-agent activity in small early-phase studies. Other agents that have shown more limited single-agent activity but may in the future prove promising in combination include epigenetic therapy such as the histone deacetylase inhibitor (HDACI) panobinostat, agents targeting the NF $\kappa$ B or the JAK-STAT pathways, PI3 Kinase inhibitors, and other modulators of the tumor microenvironment, macrophages, and immune milieu such as lenalidomide. Many of these drugs have demonstrated synergistic effects with nonoverlapping toxicity in small early-phase studies, such as the combination of lenalidomide

with either mTOR inhibition or bendamustine. Preliminary safety and efficacy data from the ongoing early-phase clinical trial investigating the combination of brentuximab vedotin with the immune checkpoint inhibitors ipilimumab and nivolumab demonstrated that the combination of BV and ipilimumab was safe and highly active, with an ORR of 72% and a CR of 50% (95% CIs were not reported) (75). Going forward, the challenge will be choosing which of these agents to combine and where in the sequence of treatments (upfront vs first relapse vs relapsed after transplant) they should be integrated.

Immunotherapy and targeted therapies have the potential to change treatment paradigms for HL and potentially for other lymphomas over the next decade. For relapsed patients, integrating these agents into standard therapy and combining them with other novel agents may allow more patients to successfully be transplanted or control disease longer-term in patients who have relapsed post-transplant. For patients with high-risk or advancedstage disease, integrating these therapies into standard treatment platforms may cure more patients in the upfront setting, avoiding the excessive toxicity of current high-dose regimens. For low-risk patients, substitution of immune and novel therapies for elements of standard therapy may lower treatment-related toxicity and reduce long-term complications. For special populations such as the frail and the elderly, alternative treatment regimens may allow disease control without cytotoxic chemotherapy.

Clinical trials in first relapse should be planned as randomized two- or three-arm studies using standard second-line ifosfamide, carboplatin, and etoposide chemotherapy as a comparator. Based on current data, combination strategies testing chemotherapy-immunotherapy platforms vs ICE with CR as a primary end point and employing a Simon 2 stage design would allow a fast and efficient comparison between the novel and standard arms. Comparing two novel arms against the standard of care would allow the simultaneous evaluation of two promising approaches within one clinical trial.

## Final Recommendations and Conclusions

While relapsed HL subsequent to ASCT remains incurable and the management of high-risk and relapsed disease without excessive long-term toxicity remains challenging, there are more treatment options currently available than ever previously. Recent progress in novel therapeutics such as checkpoint inhibitors and advances in biomarker discovery bring us to an exciting point in time. As we move forward, the challenge will be how to thoughtfully and scientifically integrate these novel therapies to modify and reshape current treatment paradigms, potentially altering the landscape for relapsed HL patients by providing long-term disease control and for newly diagnosed patients by providing therapies with equivalent or superior efficacy and less toxicity. Improvements in risk stratification will help to better tailor appropriate therapies and therapeutic intensity in high-risk patients, allowing avoidance of toxic therapies for patients who do not require them, and may guide therapeutic selection for HL patients in relapse. Going forward, both relapsed/refractory patients and bulky/high-risk newly diagnosed patients remain a high priority for clinical investigation. Clinical trials in this space should include novel targeted and immunologic agents and novel design strategies such as an adaptive design, for which the cooperative group setting is ideal.

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