


ORIGINAL ARTICLE

Intronic variant of *EGFR* is associated with GBAS expression and survival outcome of early-stage non-small cell lung cancer

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Introduction

EGFR is a cell surface protein with cytoplasmic kinase activity that transduces important growth factor signals from extracellular regions. EGFR is overexpressed in > 60% of non-small cell lung cancers (NSCLCs) and plays a crucial role in regulating cell proliferation, survival, motility, and differentiation.¹ In addition, *EGFR* mutations,

including mutations in the tyrosine kinase domain (exons 18–21), and increased gene copy numbers, are frequently detected in NSCLC patients.² Furthermore, specific types of activating mutations are associated with enhanced sensitivity to EGFR-tyrosine kinase inhibitors (TKIs).³

Several studies have investigated the associations between *EGFR* polymorphisms and lung cancer.^{4,5}

Abstract

Background: Genome-wide association studies have indicated that most of the currently identified disease and trait-associated single nucleotide polymorphisms (SNPs) are intronic or intergenic. RegulomeDB is a recently developed database that provides functional annotations for regulatory features of SNPs located in non-coding regions. We evaluated the potential regulatory SNPs in the *EGFR* gene region using RegulomeDB and their associations with prognosis after surgery in non-small cell lung cancer (NSCLC) patients.

Methods: A total of 698 patients with surgically resected NSCLC were enrolled and seven SNPs were selected based on the RegulomeDB database. All SNPs were genotyped using SEQUENOM MassARRAY iPLEX assay.

Results: Among the seven SNPs evaluated, rs9642391 (*EGFR* ivs19+2851C>G) was significantly associated with survival outcome (adjusted hazard ratio [HR] for overall survival = 0.70, 95% confidence interval [CI] 0.56–0.87, $P = 0.001$; adjusted HR for disease-free survival = 0.82, 95% CI 0.70–0.97, $P = 0.02$; under a codominant model). According to RegulomeDB, rs9642391C>G, which is located in intron 19 of *EGFR*, was predicted to influence the expression of GBAS but not *EGFR*. As predicted, rs9642391C>G was associated with GBAS ($P = 0.024$) but not *EGFR* messenger RNA expression in tumor tissues.

Conclusion: In conclusion, our study provides evidence that rs9642391C>G in the intron of *EGFR* is associated with GBAS expression and survival outcomes of patients with surgically resected early-stage NSCLC.

However, previous studies have been performed to identify functional polymorphisms that are located in the coding, promoter, and untranslated regions of *EGFR*.⁶ Although a few studies have investigated polymorphisms in the *EGFR* intron or non-coding regions, these studies mainly focused on single nucleotide polymorphisms (SNPs) in the intron 1 region, which have been shown to potentially influence promoter activity.⁷

Genome-wide association studies (GWAS) have reported that most of the currently identified disease and trait-associated SNPs are intronic or intergenic.⁸ Post-GWAS efforts are now focused on performing functional characterization of these associations. Some newly discovered GWAS variants have been annotated as cell-type-specific gene enhancer elements by integrating knowledge of regulatory sequences (e.g. histone modification and DNase sensitivity).^{9–11} Pomerantz *et al.* reported that the 8q24 colorectal cancer risk variant, rs6983267, participates in long-range physical interactions with the *MYC* proto-oncogene.¹¹

Data from the Encyclopedia of DNA elements (ENCODE) and the Roadmap Epigenome Project can provide better interpretation of the non-coding sequences of the genome.¹² As a result, the RegulomeDB database, which integrates data from ENCODE and other major databases, was developed to enable regulatory and epigenomic annotation of any set of variants derived from GWAS or genomic sequencing.¹³ These systematically annotated data have recently been used to elucidate the mechanisms by which GWAS variants that are located in non-coding regions can influence clinically relevant phenotypes. These studies have identified the potential variants that demonstrate regulatory functions and have provided insights on the mechanisms that underlie the functions of these variants. To further verify the impact of regulatory SNPs in the *EGFR* gene on lung cancer prognosis, we evaluated the association of the potentially functional SNPs predicted by RegulomeDB and the survival outcomes of surgically resected NSCLC patients.

Methods

Patient characteristics

This study included NSCLC patients who underwent curative surgical resection at the Kyungpook National University Hospital between September 1998 and December 2007 ($n = 316$) and at the Seoul National University Bundang Hospital between September 2005 and March 2012 ($n = 382$). The clinicopathologic characteristics of the patients and associations with overall survival (OS) and disease-free survival (DFS) are shown in Table S1. All patients were of Korean ethnicity. The institutional review boards of the two hospitals approved this study.

Single nucleotide polymorphism (SNP) selection and genotyping

RegulomeDB is a database that functionally annotates the regulatory features of SNPs in the human genome based on experimental datasets derived from ENCODE and other sources, as well as computational predictions and manual annotations.¹³ RegulomeDB employs a six-category system to interpret functional variants. Categories 1–3 comprise SNPs with strong evidence of binding based on ChIP-seq and DNase footprints. However, categories 4–6 still lack experimental evidence to demonstrate that the variant actually disrupts the binding site. We obtained a total of 942 SNPs within the *EGFR* gene region, NC_000007.13 (55086678.0.55279262, complement) by Genome Reference Consortium Human Build 37 patch release 13 (GRCh37.p13) assembly, using RegulomeDB (<http://regulome.stanford.edu>). We prioritized 124 SNPs that were classified under categories 1–3 because a lower score suggests stronger evidence of binding and indicates that a variant is located in a functional region. Among the 124 polymorphisms, seven SNPs

Table 1 Seven SNPs of log-rank *P* in overall and disease-free survival

SNPs†	Position‡	Score‡	Alleles	CR (%)	MAF	HWE- <i>P</i>	Overall survival			Disease-free survival		
							Global	Dominant	Recessive	Global	Dominant	Recessive
							Log-rank <i>P</i>			Log-rank <i>P</i>		
rs9642391	ivs19+2851	1d	CG	99	0.36	0.78	0.01	0.01	0.03	0.05	0.06	0.03
rs11534100	ivs2–12589	2b	CT	97	0.29	0.39	0.61	0.32	0.90	0.41	0.26	0.72
rs12718945	ivs2–17016	3a	GT	96	0.30	0.94	0.78	0.80	0.59	0.38	0.18	0.96
rs11977660	ivs2–47673	2a	CT	98	0.33	0.77	0.09	0.03	0.22	0.49	0.23	0.69
rs2302535	ivs2–55291	2b	CA	99	0.12	0.53	0.21	0.10	0.27	0.59	0.40	0.76
rs1554718	ivs21–3449	2b	TC	98	0.14	0.55	0.63	0.84	0.34	0.43	0.23	0.40
rs7792797	*5601	2b	AC	98	0.34	0.70	0.61	0.96	0.34	0.42	0.50	0.20

†Information about single nucleotide polymorphisms (SNPs) and SNP ID were obtained from the National Center for Biotechnology Information database (<http://ncbi.nih.gov>). The transcription start site was counted as +1 in reference sequences. ‡Score provided from the RegulomeDB database (<http://regulome.stanford.edu>). CR, call rate; HWE, Hardy–Weinberg equilibrium; MAF, minor allele frequency.

Table 2 Overall and disease-free survival according to genotypes in patients with non-small cell lung cancer

Variant	Position	Genotype	No. of cases	Overall survival				Disease-free survival			
				No. of deaths (%)	5Y-OSR (%)	HR (95% CI)‡	P‡	No. of events (%)	5Y-DFSR (%)†	HR (95% CI)‡	P‡
rs9642391	ivs19+2851	CC	285	96 (33.7)	56	1.00	0.02	140(49.1)	41	1.00	0.13
		CG	313	83 (26.5)	63	0.70 (0.52–0.94)	0.02	145(46.3)	44	0.83 (0.66–1.05)	0.04
		GG	90	18 (20.0)	67	0.49 (0.30–0.82)	0.01	35(38.9)	48	0.67 (0.46–0.98)	0.04
		Dominant				0.65 (0.49–0.86)	0.003			0.79 (0.63–0.99)	0.04
		Recessive				0.59 (0.36–0.96)	0.04			0.74 (0.52–1.06)	0.10
		Codominant				0.70 (0.56–0.87)	0.001			0.82 (0.70–0.97)	0.02

†Proportion of survival derived from Kaplan–Meier analysis. ‡Calculated using multivariate Cox proportional hazard models adjusted for age, gender, smoking status, tumor histology, stage, and adjuvant therapy. 5Y-DFSR, 5-year disease-free survival rate; 5Y-OSR, 5-year overall survival rate; CI, confidence interval; HR, hazard ratio.

(rs9642391C>G, rs1554718T>C, rs7792797A>C, rs11534100C>T, rs12718945G>T, rs11977660C>T, and rs2302535C>A) were selected after excluding 111 polymorphisms with minor allele frequency < 0.1 in HapMap JPT and six SNPs in strong linkage disequilibrium ($r^2 > 0.8$). All SNPs were genotyped using MassARRAY iPLEX assay (Sequenom Inc., San Diego, CA, USA) according to the manufacturer’s instructions. For genotype validation, approximately 5% of the cohort samples were randomly selected for repeated genotyping via a restriction fragment length polymorphism assay by a different investigator; the results were 100% concordant.

Quantitative reverse transcription-PCR

Quantitative reverse transcription (qRT)-PCR was performed to determine the expression of human EGFR and GBAS. Trizol reagent (Invitrogen, Carlsbad, CA, USA) was used to extract total RNA from fresh tumors and paired non-malignant lung tissues of 144 NSCLC patients who underwent surgery at Kyungpook National University Medical Center. Real-time PCR was performed in triplicate using QuantiFast SYBR Green PCR Master Mix (Qiagen, Hilden, Germany) in a LightCycler 480 (Roche Applied Science, Mannheim, Germany). For each target transcript, PCR was performed with a final reaction volume of 10 μL containing 1 μL of complementary DNA, 5 μL of mix, and 1 μL of each primer. All complementary DNA samples were prepared in a 1:5 dilution to obtain results within the range of the standard. Relative messenger RNA (mRNA) expression levels were normalized against β-actin expression and calculated via the $2^{-\Delta\Delta Ct}$ method.

Statistical analysis

Hardy–Weinberg equilibrium was tested by comparing the observed and expected genotype frequencies using a goodness-of-fit χ^2 test. The Kaplan–Meier method and log-rank test were used to estimate OS and DFS. Hazard ratios (HRs) and 95% confidence intervals (CIs) were calculated for multivariate statistical models, with adjustments for age, gender, smoking status, pathologic stage, and adjuvant therapy. A paired *t*-test was used to compare EGFR and GBAS mRNA expression between tumor and normal tissues. All analyses were performed using SAS version 9.2 (SAS Institute Inc., Cary, NC, USA).

Results

The clinical characteristics of the 698 patients enrolled in this study are shown in Table S1. There were 209 deaths (29.9%), and the estimated five-year OS and DFS rates for

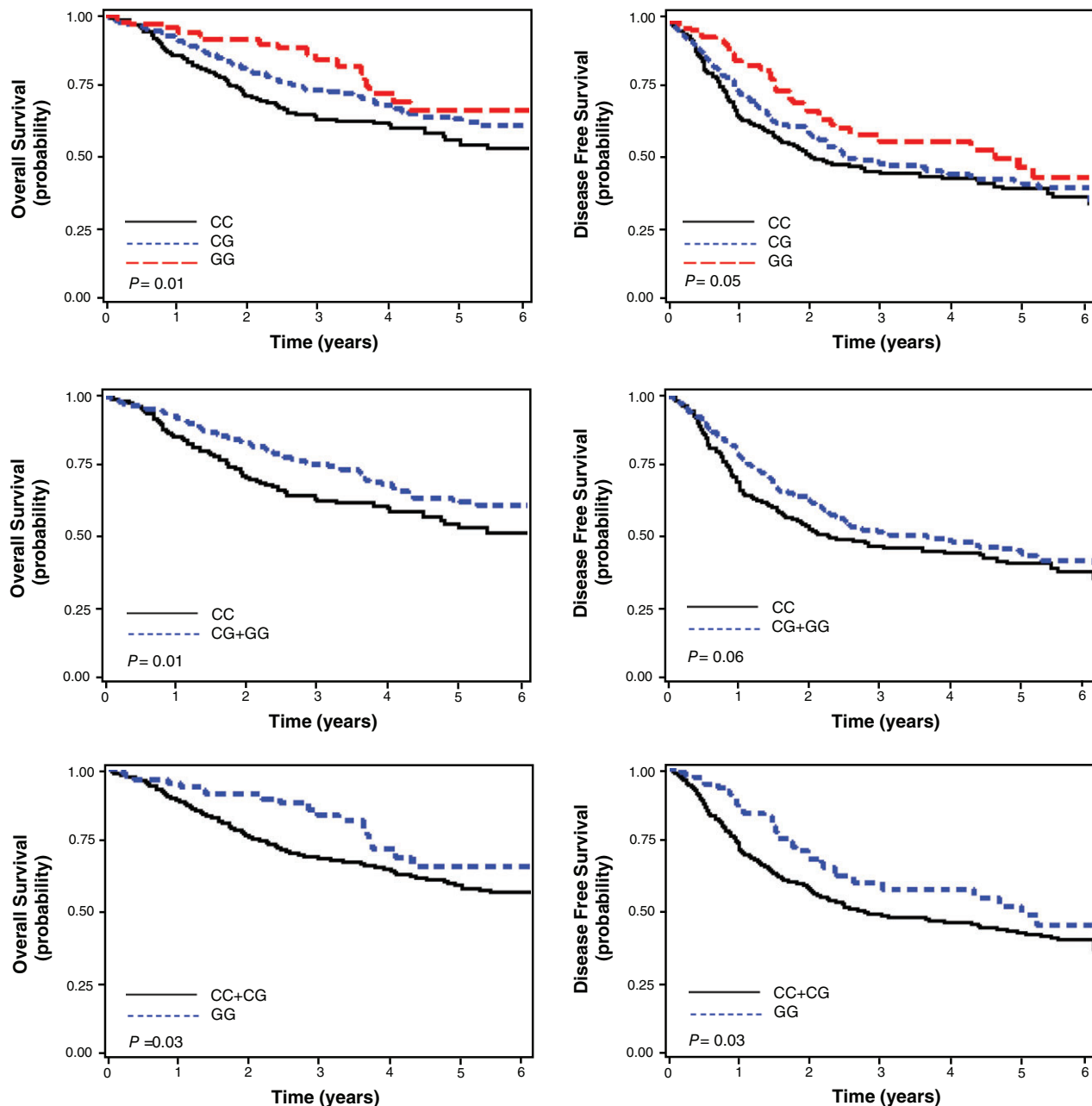


Figure 1 Kaplan–Meier plot of overall and disease-free survival curves according to *EGFR* rs9642391C>G genotype. *P* values, log-rank test.

all patients were 60% (95% CI 55–65%) and 43% (95% CI 38–47%), respectively. Pathological stage was found to be significantly associated with OS and DFS (both log-rank P [P_{L-R}] < 0.0001). Gender and smoking status were also associated with OS (P_{L-R} < 0.0001) and DFS (P_{L-R} = 0.03).

Statistical analysis of SNPs showed that all genotype frequencies were in Hardy–Weinberg equilibrium. Among the seven SNPs examined, only rs9642391 (*EGFR* ivs19 +2851C>G) was significantly associated with OS and DFS

(Table 1). The rs9642391 C>G variant was found to be significantly associated with increased survival (adjusted HR [aHR] for OS = 0.70, 95% CI 0.56–0.87, P = 0.001; aHR for DFS = 0.82, 95% CI 0.70–0.97, P = 0.02; under a codominant model) (Table 2, Fig 1).

The associations of the rs9642391C>G variant with survival outcomes were further analyzed after classifying the patients by age, gender, smoking status, histological type, and pathologic stage. The rs9642391C>G genotypes were significantly

Table 3 Stratified analysis of the effects of the rs9642391C>G genotypes under a codominant model for the minor allele at each polymorphism on survival outcomes by selected variables

SNP/Variables	Overall survival		Disease-free survival	
	HR (95% CI)†	P†	HR (95% CI)†	P†
Age (years)				
< 64	0.62 (0.43–0.88)	0.01	0.80 (0.62–1.04)	0.09
≥ 64	0.76 (0.58–1.00)	0.05	0.84 (0.67–1.04)	0.11
Gender				
Male	0.69 (0.54–0.87)	0.002	0.80 (0.66–0.96)	0.02
Female	0.83 (0.48–1.45)	0.52	1.03 (0.72–1.48)	0.86
Smoking status				
Never	0.94 (0.58–1.52)	0.79	1.12 (0.81–1.54)	0.51
Ever	0.66 (0.52–0.84)	0.001	0.75 (0.62–0.91)	0.004
Histological type				
SCC	0.66 (0.49–0.88)	0.005	0.74 (0.59–0.94)	0.02
AC	0.78 (0.55–1.08)	0.14	0.90 (0.70–1.15)	0.38
Smoker AC	0.67 (0.44–1.02)	0.06	0.69 (0.49–0.96)	0.03
Never smoker AC	1.16 (0.66–2.04)	0.62	1.34 (0.94–1.93)	0.11
Pathologic stage				
I	0.76 (0.51–1.15)	0.20	0.85 (0.64–1.14)	0.28
II	0.57 (0.39–0.82)	0.003	0.71 (0.52–0.96)	0.02
IIIa	0.77 (0.53–1.12)	0.17	0.91 (0.67–1.23)	0.54

†Hazard ratios (HRs), 95% confidence intervals (CIs), and corresponding *P* values were calculated using multivariate Cox proportional hazard models, adjusted for other variables. AC, adenocarcinoma; *P_H*, *P* value test for homogeneity; SCC, squamous cell carcinoma; SNP, single nucleotide polymorphism.

associated with survival outcomes in men, smokers, and squamous cell carcinoma patients (Table 3). In adenocarcinoma patients, a correlation was found between the rs9642391C>G genotypes and survival of smoking adenocarcinoma patients (aHR for OS = 0.67, 95% CI 0.44–1.02; *P* = 0.06). These findings suggested that the rs9642391C>G variant influences the prognosis of smoking lung cancer patients.

The rs9642391C>G variant, which is located in intron 19 of *EGFR*, was predicted to influence the expression of *GBAS* based on RegulomeDB data (<http://regulome.stanford.edu>). Therefore, we evaluated *EGFR* and *GBAS* mRNA levels in 144 tumor and paired non-malignant lung tissues to confirm these predicted results. The expression levels of *EGFR* and *GBAS* were significantly higher in tumor than in non-malignant lung tissues (*P* = 2×10^{-6} and *P* = 9×10^{-8} , respectively) (Fig 2a). *GBAS* expression levels were correlated with *EGFR* expression levels in tumor tissues (*r* = 0.61; *P* = 6.5×10^{-14} by Pearson's method) (Fig 2b). *GBAS* expression levels were significantly higher in GG than in CC and CG genotypes (*P* = 0.024, under a recessive model) (Fig 2c). However, no significant differences in *EGFR* expression levels were observed among patients with different rs9642391C>G genotypes.

Discussion

The first step in identifying the function of non-coding SNPs is to select the related SNPs that lie within regulatory regions, including enhancer and promoter regions.^{14,15} In

recent years, multiple consortia, such as the ENCODE and the Roadmap Epigenomics Mapping Consortium, have employed a variety of genome-wide methods to study the chromatin states of non-coding regions.¹⁶ The RegulomeDB database annotates SNPs with known and predicted regulatory elements of the *Homo sapiens* genome using these public datasets.

In the present study, we investigated the association between potential regulatory SNPs in the *EGFR* gene region and survival of surgically resected early-stage NSCLC patients. RegulomeDB employs a six-category system to interpret functional variants.¹³ To identify regulatory SNPs, we prioritized SNPs classified under categories 1–3 that were located in the *EGFR* region. Results revealed a significant association between *EGFR* rs9642391C>G and prognosis of early-stage NSCLC patients. A previous study indicated that this polymorphism was associated with breast cancer mortality.¹⁷ Consistent with this finding, our results showed that patients harboring the rs9642391 GG or GC genotypes had significantly better OS and DFS compared with those carrying the rs9642391 CC genotype. Our result implies that rs9642391C>G may play an important role in the pathogenesis of lung cancer.

Although the selected SNPs were located in *EGFR* gene region, RegulomeDB predicted that rs9642391C>G influences the expression of *GBAS* but not *EGFR*. Our mRNA expression data was consistent with RegulomeDB. *EGFR* rs9642391 resides more than 700kb upstream of the *GBAS* gene, although they are both located in chromosome 7. rs9642391

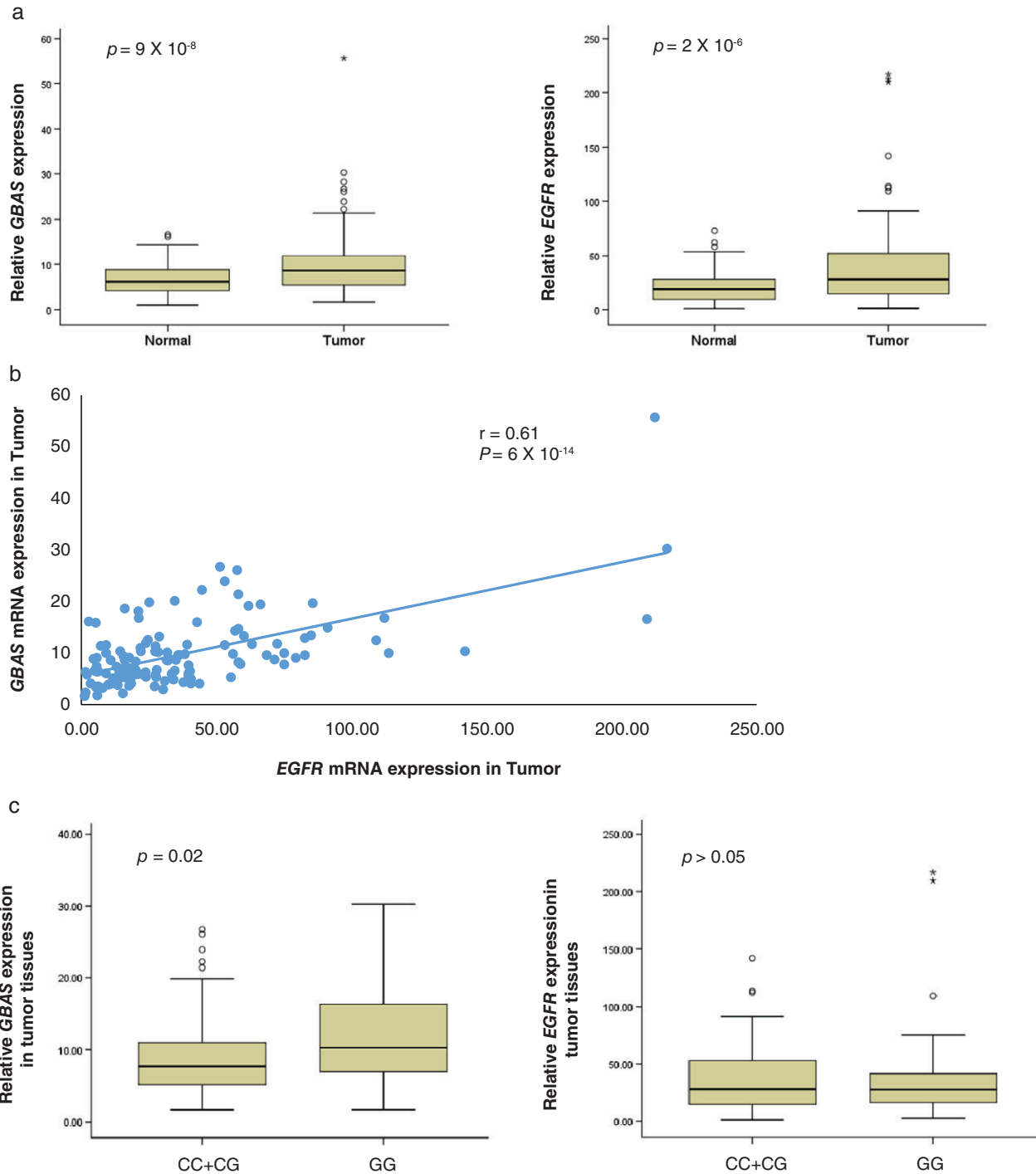


Figure 2 (a) *GBAS* and *EGFR* messenger RNA (mRNA) expression levels in tumor and non-malignant lung tissues. * $P < 0.001$ by paired *t*-test. (b) Relationship between *GBAS* and *EGFR* mRNA levels in lung tumor tissue (correlation coefficient = 0.61, $P < 0.001$ by Pearson's method). (c) *GBAS* and *EGFR* mRNA expression levels according to rs9642391C>G genotype in lung tumor tissues.

in intron of *EGFR* belongs to category 1 variants that are known to express quantitative trait loci that have been experimentally shown to be associated with target gene expression. Using the database, we found that rs9642391 lies in a location

that overlaps the binding site for DNA binding proteins, such as POLR2A and NFIC, and regulates *GBAS* expression (<http://regulome.stanford.edu/snp/chr7/55245363>). Gene expression is controlled by regulatory elements that can

be located further away on the same chromosome or even on other chromosomes.¹⁸ Advances in technologies such as chromosome conformation capture (3C)-based methods could detect physical interactions between chromosomes, providing convincing evidence for the widespread formation of long range interactions between genes and regulatory elements.^{19,20} However, further studies are required to establish the functional relevance of these long-range associations.

A previous study showed that *GBAS* is coamplified with *EGFR* in many cancer cell lines, including lung cancer.²¹ In the present study, *EGFR* and *GBAS* mRNA expression consistently showed a significant positive correlation. *GBAS* has an identifiable signal peptide and transmembrane motifs, as well as two tyrosine phosphorylation sites, suggesting that the encoded protein acts as a substrate for tyrosine kinases.²¹ Some studies have reported that the *GBAS* protein is localized to mitochondria and plays a role in oxidative phosphorylation.^{22,23} However, very little is known about the function of the *GBAS* gene in cancer. According to The Cancer Genome Atlas database and other sources, *GBAS* expression is upregulated compared to normal tissues in many types of cancer, including lung cancer. Although this may suggest that *GBAS* could be a potential oncogene, more direct evidence is needed to confirm its oncogenic function. A study reported that *GBAS* overexpression increases the centrosome amplification rate and facilitates migration and invasion in bladder cancer cell lines, and that high *GBAS* expression correlates with poor survival in bladder cancer patients.²⁴ However, when survival curves were generated using the Kaplan–Meier Plotter online tool (<http://kmplot.com/analysis/>), which utilizes public databases, higher *GBAS* expression was significantly associated with better survival in lung and gastric cancers and poor survival in breast and ovarian cancers.²⁵ Therefore, *GBAS* may have tissue and context specific functions in the pathogenesis of various types of cancers. Future studies are warranted to elucidate the role of *GBAS* in lung carcinogenesis and to validate the mechanism of association between the regulatory variant and *GBAS*.

In conclusion, our results show that the rs9642391C>G variant, which is located in the intron region of *EGFR*, is associated with *GBAS* expression and survival outcomes in surgically resected early-stage NSCLC patients.

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Disclosure

No authors report any conflict of interest.

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Supporting Information

Additional Supporting Information may be found in the online version of this article at the publisher's website:

Table S1 Univariate analysis of overall and disease-free survival by age, gender, smoking status, histological type, pathologic stage, and adjuvant therapy.