

HHS Public Access

Author manuscript Expert Opin Drug Discov. Author manuscript; available in PMC 2018 August 09.

Published in final edited form as:

Expert Opin Drug Discov. 2017 July ; 12(7): 695–709. doi:10.1080/17460441.2017.1323863.

Nonhuman primates as models for the discovery and development of radiation countermeasures

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Abstract

Introduction—Despite significant scientific advances over the past six decades toward the development of safe and effective radiation countermeasures for humans using animal models, only two pharmaceutical agents have been approved by United States Food and Drug Administration (US FDA) for hematopoietic acute radiation syndrome (H-ARS). Additional research efforts are needed to further develop large animal models for improving the prediction of clinical safety and effectiveness of radiation countermeasures for ARS and delayed effects of acute radiation exposure (DEARE) in humans.

Area covered—The authors review the suitability of animal models for the development of radiation countermeasures for ARS following the FDA Animal Rule with a special focus on nonhuman primate (NHP) models of ARS. There are seven centers in the United States currently conducting studies with irradiated NHPs, with the majority of studies being conducted with rhesus monkeys.

Expert opinion—The NHP model is considered the gold standard animal model for drug development and approval by the FDA. The lack of suitable substitutes to NHP models for predicting response in humans serves as a bottleneck for the development of radiation countermeasures. Additional large animal models need to be characterized to support the development and FDA-approval of new radiation countermeasures.

Keywords

Acute radiation syndrome; animal model; FDA Animal Rule; macaques; nonhuman primates; radiation countermeasures; rhesus

Declaration of interest

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The authors have no relevant affiliations or financial involvement with any non-governmental entities or organization, nor have any financial conflict with the subject matter or materials discussed in the manuscript. This includes employment, consultancies, honoraria, stock ownership or options, expert testimony, grants or patents received or pending, or royalties.

1. Introduction

There are several animal models to study radiation injury and the development of radiation countermeasures for ARS [1]. The syndromic nature of ARS lends itself to a number of animal models being used in research studies based on the injury progression, severity, and percentage of the total body irradiated. Small animals, such as mice and rats, are useful to investigate specific facets of the radiation injury, and are also useful for countermeasure screening or early efficacy evaluation for ARS. There are additional animal models such as ferrets, swine (specifically minipig), canine, and rat that are being used to study the pathophysiology of radiation injury and countermeasure efficacy assessment. Some species are specifically suitable for certain endpoints such as ferrets for GI (emesis) and swine for cutaneous sub-syndrome [2,3]. However, the NHPs are considered the animal models that reproduce the most appropriate representation of human disease. The NHP model is considered the gold standard of animal models for drug development and approval by the FDA and has a high degree of resemblance in terms of pathways of physiological responses and targets that are relevant to human disease.

NHP model has several advantageous attributes such as an organ structure similar to humans, genetic homology, metabolism, long life span, easy sequential sampling, suitable for GI symptoms (vomiting), and this model is as close as possible to humans. Disadvantages of this model include difficulties faced based on ethical consideration, risks associated with handling, a longer breeding period, increased costs associated with housing, feeding, and animal acquisition, and its hirsute nature makes cutaneous studies difficult. The most commonly used NHPs in biomedical research are from the genus *Macaca* (rhesus and cynomolgus). Other species that are used in drug development include African green monkeys (*Chlorocebus aethiops*), squirrel monkeys (*Saimiri spp.*), and baboons (*Papio* spp.) [4]. The macaques comprise more than 20 species diverging from each other over long period of time (Table 1). The genus Macaca is closely related to humans, sharing 95+% DNA sequence homology with humans. Such a close relationship between humans and macaques has made these animals attractive as animal models for biomedical research, specifically for drug development under the Animal Rule. This model most closely reproduces the histopathological, clinical, and pathophysiological attributes of radiation injury in humans. In addition, because of the longer life span and similar supportive care requirements for ARS, it is possible to link the dose effect relationships between NHP models and humans following the known medical management and treatment.

Moreover, all investigated species of NHPs are susceptible to radiation injury, although most of the in vivo studies involving radiation injury and efficacy of radiation countermeasures for ARS to date have been conducted in the rhesus macaque [1,5–7]. This species has been selected merely based on convenience, cost, and availability rather than due to any scientific reason. Since historical data for comparison is frequently required for disease-specific studies, it is difficult to change from one species to another during the course of studies. Therefore, the sheer number of studies using Rhesus macaques for the development of radiation countermeasures continues to grow. These macaques have contributed significantly to advances in biomedical research. For example, rhesus antigens found in macaques led to the identification of the different blood groups in humans. Depending on the country of

origin, rhesus macaques (*Macaca mulatta*) are known either as Chinese- or Indian-origin. Although the subspecies of Indian-origin rhesus macaques (*Macaca mulatta mulatta*) was the model of choice in biomedical research, restriction on the export of this macaque has significantly reduced the use of these animals in current research and has also led to the increased use of other species/subspecies, specifically macaque of Chinese-origin rhesus macaques (Macaca mulatta lasiota) and crab eating macaque, cynomolgus (Macaca fasicularis).

With respect to *Macaca fasicularis*, it is an important NHP model in biomedical research; it is specifically used in the area of pharmacology, oncology, diabetes, cardiovascular, and other diseases. Macaca fasicularis is closely related to Macaca mulatta. Although they are distinct in body size, Macaca fasicularis being smaller than Macaca mulatta, these two have been known to form hybrids in the wild. Because of its smaller size, *Macaca fasicularis* has been extensively used in drug development [8]. In addition to rhesus and cynomolgus macaques, several studies have been conducted using baboons (Papio sp) to investigate radiation injuries resulting from exposure to X-rays [9], ⁶⁰Co γ-radiation [10,11], and mixed field (neutron and γ-photon) irradiation [12]. In recent years, various studies have reported significant biomarkers for radiation injuries using the baboon model [13–17]. Furthermore, a number of promising radiation countermeasures under development for H-ARS are being tested in NHPs for efficacy and pharmacokinetics/pharmacodynamics (Table 2). A few of these radiation countermeasures at advanced stages of development are discussed below.

2. FDA's Animal Rule

Issued by the US FDA in 2002, the 'Animal Rule' was intended to expedite the development of new drugs and biologics that can act as countermeasures against chemical, biological, radiological, and nuclear (CBRN) threats. The rule applies exclusively to new agents for which definitive human efficacy studies cannot be conducted as it would be unethical to knowingly expose humans to lethal doses of radiation [1,18]. The US FDA can grant marketing approval to new products that have been demonstrated in well-controlled animal studies to be safe and capable of producing clinical benefit in humans. The criteria of the FDA's Animal Rule relevant to development using animal models are as follows:

- **1.** There is a reasonably well-understood pathophysiological mechanism for toxicity of the agent (radiation), and its prevention, or substantial reduction by the drug;
- **2.** The effect of the drug or biologic is demonstrated in more than one animal species, for which the expected effect is predictive for humans. The multiple animal requirement can be abrogated if the effect can be demonstrated in a single well-characterized animal model which sufficiently predicts the response in humans;
- **3.** The animal study's endpoint is related to a desired benefit in humans, generally involving the enhancement of survival or prevention of major morbidity;

4. Pharmacokinetics and pharmacodynamics, or other relevant data or information of the product, in animals and humans, allows selection of an effective human dose.

Data from animal efficacy studies is not as conclusive as human efficacy data because it is rare for an animal model to exactly, that is, without approximation mirror human disease. For this reason, it is important to understand how and why a countermeasure works in order to assure that such agent will be effective in humans. Biomarkers for radiation injury and countermeasure efficacy are particularly important in this context.

3. Suitability of NHPs as animals models for studying radiation injury and development of countermeasure

As stated above, the NHP is considered the most suitable large animal model for drug development. In regard to the development of radiation countermeasures for ARS following the Animal Rule, the NHP model has been shown to be particularly relevant.

3.1 Radiation injury and sub-syndromes

Ionizing radiation includes particles (α- and β-particles, neutrons) and high-energy electromagnetic waves (γ - and X-rays), as well as other particles capable of producing ions by knocking electrons off atoms in addition to being capable of breaking chemical bonds in molecules that they interact with. Radiosensitivity is the relative susceptibility of cells, tissues, and organ systems to the injurious action of radiation. In general, the radiosensitivity of cells is directly proportional to the rate of cell division and inversely proportional to the degree of cell differentiation [19]. During cell division, cells in the S phase are the least sensitive and cells in M phase are the most sensitive. Cellular radiosensitivity estimated in ascending order from least sensitive to most sensitive are 1) muscle, brain, and spinal cord cells; 2) bone cells; 3) connective tissue cells; 4) endothelial cells (pleura and peritoneum); 5) kidney epithelial cells; 6) epithelium of lung alveoli and biliary passages; 7) hepatic cells; 8) epidermal stem cells; 9) intestinal epithelial cells; 10) proliferating bone marrow cells; 11) germ cells; 12) lymphoid cells [20] . In brief, the most radioresistant cells are differentiated cells and the most radiosensitive cells are those that are undifferentiated and have a high mitotic rates (e.g. tumor cells, stem cells). However, radiosensitivity at the cellular level does not necessarily translate into higher order effects as in neurovascular, lung, and renal injury after exposure to radiation. The acute effects of radiation apply when the whole body is uniformly irradiated. Furthermore, the sensitivity of organ systems is more complex and not clearly related to cellular differentiation and mitotic rates. Effects can differ significantly when only portions of the body or an individual organ system are exposed to radiation.

ARS manifests in humans following exposure to total-body or partial-body radiation exposure at doses >1 Gy, delivered at a relatively high dose rate. The hematopoietic, gastrointestinal, pulmonary, cutaneous, and central nervous systems are more susceptible to radiation injury than the remaining organ systems [5]. Clinical indications of ARS include hematopoietic (H-ARS; 2–6 Gy), gastrointestinal (GI-ARS; >6 Gy), and neurovascular (>10 Gy) sub-syndromes [21]. These sub-syndromes are somewhat oversimplified because ARS

is a continuum, with incremental degrees of physiological imbalances in multiple organs across the dose range [22–24]. There are two additional organ specific sub-syndromes; pulmonary and cutaneous. The neurovascular ARS is considered incurable, but individuals exposed to lower radiation doses that result in H-ARS or GI-ARS are more likely to benefit from the intervention of medical countermeasures. Hence, these two sub-syndromes are specific targets for the development of radiation countermeasures. Because of the effects of disease progression and symptom severity, the mitigation of GI-ARS alone is not expected to benefit victims because an individual exposed to this level of radiation will likely suffer damage to various organs, including bone marrow, kidney, and lung. Therefore, since the majority of radiation countermeasures are being developed for specific sub-syndromes of ARS, it is reasonable to investigate each sub-syndrome individually in the NHP model.

3.1.1 H-ARS—H-ARS is characterized by a severe loss of hematopoietic stem cells followed by cytopenia and includes the development of neutropenia, thrombocytopenia, and anemia. It occurs due to the radiosensitivity of committed progenitors for various lineages. The time it takes for symptoms to become noticeable is dependent on the rate of stem cells maturation and differentiation. Several animal models of H-ARS have been characterized to study radiation injury and development of radiation countermeasures. The three animal models that have been used in the majority of H-ARS studies are mice, canines, and NHPs [7,25,26]. The commonly used end point for H-ARS assessment is the LD_{50} (lethal dose of radiation that results in the death of 50% of the affected population). To assess radiation countermeasures for various sub-syndromes, surrogate endpoints for death and/or elaborate euthanasia criteria are needed for the development of animal models. The time to onset, nadir depth, and rapidity of the recovery for each blood component, specifically neutrophils and platelets, are useful secondary end points as alternative to death as an end point for evaluating the efficacy of countermeasures. It is important to note that the FDA requires that a countermeasure for GI-ARS or H-ARS demonstrates improved survival in order to gain approval. The approval of two radiomitigators for H-ARS has been based on demonstrated efficacy and improved survival as determinants [27–29].

Hematopoietic stem cells in bone marrow can survive doses of radiation yet result in the H-ARS. As the radiation dose increases, the number of surviving stem cells decreases and the recovery time for blood cells (lymphocytes, neutrophils, monocytes) lineages become protracted. The extent of the radiation-induced cell deficit (nadir) and the time to recovery to the baseline of the blood cell lineages have usually been used as secondary end points to assess the levels of hematopoietic injury. To reduce the periods of severe neutropenia and thrombocytopenia, hematopoietic cell transplantation has been used in radiological accident and clinical settings. To this end, the NHP is a well-characterized model for H-ARS for which the lethal dose-response relationship is well established [30].

3.1.2 GI-ARS—The GI tract is particularly sensitive to irradiation. The GI-ARS is characterized by massive apoptotic cell death in the lower GI epithelium followed by breakdown of the mucosal epithelial barrier wall and death from electrolyte imbalance and fluid loss; intestinal hemorrhage, and sepsis [31]. There are three different phases for GI-ARS: an initial or prodromal phase occurring during first few hours following radiation

exposure, a latent phase which shortens with increasing dose, and the manifest phase. The prodromal phase is characterized by onset of nausea, vomiting, and diarrhea (NVD) as common GI-related symptoms of radiation exposure which can be exacerbated by fluid and electrolyte losses [19]. NVD occurs due to enteritis (damage to the lining of the intestine) as a result of radiation exposure. At radiation doses below the threshold for GI-ARS, mucosal barrier breakdown allows bacteria to translocate, which can cause sepsis and death [32]. In the long run, tissue repair after radiation exposure alters the structure, motility, and fluid absorption capability of the gut, and resulting fibrosis makes the GI tract more rigid and disposed to stenosis, adhesions, and perforation [33]. Lethality as a result of intestinal failure is the primary end point for GI-ARS studies. The histopathological end point for GI-ARS is the number of regenerating crypts measured in the intestine at defined times [34]. The NHP model is well characterized for investigating various parameters of GI-ARS. Physiological assays of GI injury include GI motility, bacterial translocation into the blood stream, permeability, and levels of plasma citrulline [35–37]. In addition to these endpoints, radiation-induced vomiting/emesis has been extensively studied in other animals and the mechanisms underlying this indication may vary between models [38,39]. Vomiting is the reflexive act of forcefully ejecting the stomach contents through the mouth by coordinated muscle contraction. Clinical studies have demonstrated that patients receiving total-body irradiation (TBI) or upper-abdominal irradiation frequently display nausea, retching, and vomiting as side effects.

3.1.3 Neurovascular syndrome—Neurovascular sub-syndrome, also known as cerebrovascular (or cardiovascular and central nervous system; CNS) sub-syndrome, occurs when an individual is exposed to >8 or 10 Gy as TBI. Signs and symptoms include severe nausea and vomiting followed by headache, confusion, disorientation, loss of balance, neurologic deficits, and seizures [40]. Localized changes in the central nervous system including impaired capillary circulation, acute inflammation, inflammation of the meninges, interstitial edema, hypertrophy of perivascular astrocytes, damage to the blood–brain barrier, and petechial hemorrhages may denote the neurovascular syndrome [25]. Since injury resulting from such extremely high radiation doses has been considered incurable, scientists have focused their endeavors on finding prophylactic and mitigating therapies for only H-ARS, GI-ARS, pulmonary, and cutaneous sub-syndromes.

While neurovascular syndrome is considered untreatable because it arises from extremely high radiation doses, long-term cognitive dysfunction, following exposure to moderate levels of acute radiation, is a viable area for countermeasure development. NHPs play an important role in the study of radiation-induced cognitive effects because of their close evolutionary relationship to humans. This animal model possesses sophisticated cognitive abilities that other animal models do not. One study exposed male rhesus macaques to 40 Gy (two 5 Gy fractions/wk for 4 wk) to examine the effect of fractionated whole-brain irradiation on cognitive function [41]. Results from this study indicated a significant reduction in higherorder cognitive function after radiation exposure [41]. The report showed that the NHPs exhibited a progressive decline in cognitive performance over the 11 month period. Further, trials conducted using a higher cognitive load demonstrated a more dramatic decline over the period. At 9 months post-irradiation cognitive tasks was markedly reduced compared to pre-

flexibility to learn to adapt to the task's changing rules. The changes reflected in both studies reflect those reported in brain cancer patients following radiotherapy. In brief, NHP is of critical importance for long-term cognitive dysfunction study following irradiation and for the development of countermeasures.

3.1.4 Pulmonary syndrome—High dose radiation exposure may cause ARS with GI and or hematologic morbidity and mortality. In due course of time, survivors of ARS may experience the DEARE, which typically manifest as a chronic illnesses affecting multiple organ systems [43]. Development of DEARE take months and years and usually manifest in the lung as pneumonitis and fibrosis. A better understanding of the mechanisms mediating the long-term ailment that develops in multiple organs after radiation exposure is important for countermeasure development for such indications. The challenges of studying DEARE are complex due to the absence of suitable animal models and essential understanding of the disease and its radiation dose-response relationships.

The lung is a very sensitive organ, and when exposed to radiation, it may demonstrate acute and chronic inflammation that can result in lung fibrosis, an interstitial lung disease that can result in lung scarring that can be fatal [44]. Lung injury manifests a few months following radiation exposure as a delayed effect of irradiation. For example, pneumonitis (lung inflammation) can develop 2–4 months after radiation exposure while fibrosis may occur after 4–6 months [45–48]. Pneumonitis is demonstrated by a loss of epithelial cells, interstitial and airspace edema, and inflammatory infiltrate of macrophages [44]. Radiation exposure immediately triggers a cascade of cellular and molecular changes that occur during the latent period, though clinical indications may not be immediately apparent [49,50]. Development of the clinical features of pulmonary syndrome, for potential countermeasures approach, involves the participation of macrophages, endothelial and epithelial cells, fibroblasts, profibrotic and proinflammatory cytokines as well as the expression of large numbers of genes and transcription factors [6,49].

The NHP model has its advantages and disadvantages for studying radiation-induced pulmonary injury as DEARE. Despite the close similarities of NHPs to humans with respect to their physiology, little information is available regarding radiation-induced pulmonary damage in NHPs [5]. There is a report of delayed lung injury where the NHP thorax was exposed to ionizing radiation. Medical management was provided, and the primary endpoint was mortality at 180 d post-irradiation. This study yielded the lethal dose response characterized by a $LD_{50/180}$ of 10.27 Gy and slope of 1.112 probits per linear dose [51]. Despite the paucity of data, the NHP remains the model of choice for investigating pulmonary radiation injury; however, this needs to be further validated for radiation-induced lung injury to better understand the mechanism of damage and cellular/organ responses to the injury [6,52].

For partial body irradiation (PBI), researchers have opted for high-dose exposure in which only a part of the animal is irradiated. Such models include those in which only thorax is irradiated (known as whole-thorax lung irradiation, WTLI) [6].

3.1.5 Cutaneous radiation syndrome—Skin exposure to ionizing radiation greater than 3 Gy may result in a distinct clinical indication that is characterized by a faint and transient erythema followed by blistering, then severe erythema, and ultimately necrosis. Depending on the dose of radiation and severity of injury, necrosis occurs 10–30 d after radiation exposure. In some severe incidents, necrosis may appear within two days. Since cutaneous syndrome runs concurrently as a part of a multi-organ injury, it has not been studied thoroughly. The degree to which multi-organ injury is affected by cutaneous syndrome has been investigated to a limited extent [53].

The cutaneous data from radiation studies in NHPs are limited, and swine have been commonly used as a large animal models to study the cutaneous syndrome of radiation exposure.[5]. Though NHPs have been used extensively for TBI and PBI studies, their hirsute nature affects their applicability for cutaneous radiation studies.

In addition to the organ systems discussed above, cardiac and renal systems are significantly affected by the same radiation dose range affecting lung and skin [19]. NHP model has been used to study TBI-induced cardiac and renal injury. Heart disease is an increasingly recognized, serious late effect of radiation exposure. A recent study conducted in NHPs demonstrated that single total-body doses of 6.5 – 8.4 Gy produced long-term effects including a high incidence of myocardial fibrosis, reduced left ventricular diameter, and elevated systemic inflammation [54]. Also, the kidney has been shown to be one of the most radiosensitive late-responding organs in humans with chronic renal injuries occurring after single doses as low as 4–6 Gy. Histopathological evidence of radiation-induced nephropathy as a result of single dose exposure with $7.2 - 8.5$ Gy has been demonstrated in rhesus NHPs [55].

3.2 Use of NHPs in the development and FDA-approval of radiation countermeasures for ARS

Radioprotectors are prophylactic countermeasures that are administered prior to radiation exposure to prevent radiation-induced damage [25]. Radiation mitigators are agents which are administered shortly after radiation exposure and accelerate repair or recovery of radiation injury. Radiation therapeutics are drugs administered once overt symptoms appear, to stimulate repair or regeneration. Since radiomitigators are effective when administered after radiation exposure, such agents are optimal for mass casualty scenarios.

As discussed above, various macaques have been used for the development of radiation countermeasures for ARS. Rhesus macaques were used in recently reviewed studies to assess the efficacy of Neupogen (granulocyte colony-stimulating factor (G-CSF), filgrastim) and Neulasta (PEGylated G-CSF, PEGfilgrastim), leading to their FDA approval for H-ARS [27,29,56]. There are several promising radiation countermeasures which are being investigated in NHPs for efficacy (Table 2) [28,57–73]. Here we discussed a few countermeasures that are being investigated in NHP model for efficacy; the majority of

known radiation countermeasure studies have observed hematopoietic recovery and survival benefits in countermeasure-treated, irradiated NHPs. In addition, Leukine (GM-CSF, sargramostim) has FDA approval for five indications, three of which are for the acceleration of neutrophil recovery in myelosuppressed individuals [74,75]. Although Leukine is wellrecognized to be effective in treating acute, radiation-induced hematopoietic injuries, it has not been fully approved by the FDA for the specific treatment of the ARS indication. A review of the literature showed GM-CSF, unlike G-CSF, to have generally species-specific biological activity. Unlike Neupogen and Neulasta, Leukine showed significant improvement in survival when administered as late as 48 h post-irradiation with minimal supportive care (i.e. single antibiotic (Baytril) and without blood products) against two different doses of radiation (6.55 Gy and 7.18 Gy) [57]. HemaMax (a recombinant human IL-12) is under development by Neumedicines as a radiation countermeasure for the treatment of HARS and has a pending BLA request to the FDA [76–79]. Furthermore, Entolimod (CBLB502) is an agonist of toll-like receptor 5 (TLR5) that activates the nuclear factor-κB (NF-κB) signaling pathway. It has been shown to protect NHPs from H- and GI-ARS [80,81]. The pre-emergency use authorization (EUA) application for CBLB502 is presently pending before the FDA. AEOL 10150 (a meso-porphyrin mimetic) is currently studied as a countermeasure against the pulmonary effects of ARS and the DEARE [82–85]. It has been shown to be a well-tolerated, broad-spectrum catalytic antioxidant capable of extending survival and minimizing acute pathology in NHPs [86]. Recent investigations demonstrated that AEOL 10150 administration reduced lung injury and increased survival after 11.5 Gy of (whole thorax lung lethal dose $(LD_{100/180})$) radiation exposure in the NHP [87]. Results from recent studies demonstrated AEOL 10150 efficacy and biomarkers [88,89]. AEOL 10150 was able to mitigate radiation-induced lung injury and effect was dependent on the schedule of administration. Recilisib Sodium (Ex-RAD, a synthetic chlorobenzylsulfone derivative) is a low molecular weight, protein kinase inhibitor. Onconova Therapeutics has recently initiated advanced studies in NHPs with interim results obtained but not yet published. 5-Androstenediol (5-AED)/Neumune was the first radiation countermeasure for ARS to receive FDA IND status. It has been evaluated both as a radioprotector and radiomitigator [90,91]. The radiomitigative efficacy of 5-AED was confirmed in NHPs [90,92]. Gamma-tocotrienol (GT3) aided in the recovery of radiationinduced neutropenia and thrombocytopenia compared to untreated control NHPs. These observations were especially significant after lethal exposures to 5.8 or 6.5 Gy γ-TBI. In addition, a single dose of GT3, without any supportive care, was comparable to multiple doses of Neupogen and two doses of Neulasta with full supportive care in terms of improving hematopoietic recovery in NHPs. B-190 (indralin) is a small-molecule, adrenomimetic that induces radioprotective effects through activation of α1B-adrenoceptors subtype (a subset of the G-protein-coupled receptors family). This agent has been studied in seven species and has shown consistent efficacy [93,94]. Recently, its efficacy was investigated in NHPs exposed to 6.8 Gy of whole-body γ-radiation [93]. In this study, Indralin protected five out of six NHPs compared to the control group, in which all 10 animals died.

In addition to the above radiation countermeasures, several cytokines, growth factors, and cell therapeutic agents have been used in the NHP model to investigate their radioprotective and radiomitigative potential as presented in table 2 [69,95–97].

4. Biomarkers for radiation injury and efficacy of radiation

countermeasures

The Animal Rule calls for a sound understanding of mechanisms of injury, drug efficacy, and efficacy biomarkers. In this context, it is important to identify biomarkers for radiation injury and countermeasure efficacy that can be used to extrapolate animal efficacy results as well as to select effective dose and regimen in humans. In brief, biomarkers are needed to assess absorbed radiation dose and to serve as reporters for the efficacy of radiation countermeasures [98]. Currently, several biomarkers are approved for specific individual injuries; the FDA has biomarkers for about 150 drug interactions validated, the European Medicines Agency (EMEA) has biomarkers for four injuries approved, and the Pharmaceuticals and Medical Devices Agency (PMDA, Japan) has biomarkers for one injury accepted [99–101]. However, none of these identified biomarkers are for radiation injury.

4.1 Biomarkers to assess absorbed radiation dose

Evaluation of biomarkers needed to assess the absorbed radiation dose is known as biodosimetry. The basic principle of biodosimetry is to exploit the changes in biomarkers induced by radiation exposure, leading to an estimate of the dose of radiation absorbed. This directly predicts the biological consequences of the radiation exposure and helps to explore available treatment options. There are several biomarkers which have been identified and validated using the NHP model and this effort is continuing. Such studies are briefly discussed below.

4.1.1 Peripheral blood counts—Blood cell counts can serve as robust indicators of absorbed radiation dose. The cells analyzed include neutrophils, white blood cells, lymphocytes, monocytes, and platelets. The correlation exists in the early time window (12– 48 h) as well as in the late phase (up to 4 wk) after irradiation [102]. However, for accuracy in absorbed dose assessment, a complete blood cell count should be performed soon after radiation exposure. Blood cell count data from extensive studies with NHPs or samples from humans exposed to known dose of radiation or from radiological accident victims back the use of this test [1,103]. Figure 1 presents the data of average neutrophil, platelet, white blood cell, monocyte, and lymphocyte counts for groups of NHPs that either survived (blue) or died (orange) after exposure to various doses of γ-radiation over time. This figure provides a visual representation of the trends of various peripheral blood parameters of NHPs that survived versus those that died. Presented data suggest that increasing radiation doses increase the severity of neutropenia and thrombocytopenia. In addition, the results suggest that dying versus surviving animals differ when presenting with thrombocytopenia and neutropenia; thrombocytopenia was observed to be a better predictor of mortality from reported data. Furthermore, several radiation countermeasures have demonstrated improvement of blood cell counts in NHPs [27,29,68,77,79–81,104,105]. Based on the

above reports, blood components have been used in multi-parametric analysis to assess absorbed radiation dose in NHPs as well as in humans [106,107].

4.1.2 Cytokines, chemokines, and other proteins—The up regulation of G-CSF has been demonstrated in irradiated NHPs and shown to play an important role in mediating radiation injury [80,108]. Additionally, several cytokines, chemokines, and other proteins have been identified as candidate protein biomarkers of radiation injury over the last few years in NHP models (Figure 2) [81,109,110]. Among these proteins are IL-6, C-reactive protein (CRP), serum amyloid A (SAA), growth arrest and DNA damage-inducible 45 (GADD45) proteins, FMS-like tyrosine kinase 3 ligand (FLT3L), and salivary α-amylase. Recently, IL-18 has been reported as a biomarker for radiation injury [111–113]. We evaluated serum samples for IL-18 collected from a large number of NHPs exposed to three different doses of radiation (5.8, 6.5, and 7.2 Gy) at various time points after exposure, though serum from irradiated animals had higher levels of IL-18, it showed no specific correlation with radiation dose and time course [98]. In addition, several proteins such as CRP, amylase, various cytokines, and growth factors have been investigated using NHP models for their possible contributions as biomarkers for radiation injury [98]. However, these molecular biomarkers have large variations due to several factors such as inflammation and infection [106,114].

4.1.3 Citrulline—Citrulline has been identified as a biomarker for radiation-induced GI injury and epithelial cell loss; it is a nitrogen end product of glutamine metabolism of enterocytes in the small-bowel. Its plasma concentration has been shown to be inversely proportional to GI tissue injury and loss [115,116]. The correlation between epithelial cell loss as a result of radiation-exposure and plasma citrulline level has been well validated in NHPs [11,116–119]. In NHPs exposed to lower doses of radiation (5.8 and 6.5 Gy), data reported showed no reduction in citrulline levels [104]. In this case, enterocyte loss may not have been significant enough to lower citrulline levels. However, exposure to 7.2 Gy of radiation was shown to lower circulating citrulline levels in NHPs.

4.1.4 microRNA (miRNA) and long non-coding RNAs (lncRNAs)—miRNA are a conserved, short, non-coding, regulatory RNAs that control gene expression by inducing mRNA cleavage or inhibiting translation. A single miRNA can interact with a large number of mRNAs, thereby regulating diverse cellular processes. Several studies have detected and identified circulating miRNA in the body fluids and tissues of irradiated NHPs as candidate biomarkers [120,121]. There are several advantages to using miRNA as biomarkers: they are relatively stable and small in size, also present in the exosome, their expression levels is altered in response to disease/organ injury, they are tissue specific, and their expression levels can be reproducible in individuals of the same species. These attributes make miRNA an important biomarker.

For example, circulating levels of miR-150-5p have been shown to demonstrate a dose- and time-dependent decrease in the plasma of NHPs. Plasma miR-150-5p levels were found to correlate well with lymphocyte and neutrophil depletion kinetics [122]. Also, miR-574-5p exhibited a dose-dependent increase 24 h post irradiation in NHPs with lethal versus sublethal exposure and returned to the baseline level by d 3. In an elegant study using baboons,

miR-342-3p demonstrated a sustained and 10-fold down-regulation on d 1 and 2 after irradiation. [13]. In addition, recent studies showed that seven microRNAs are altered by irradiation in NHPs (mir-150, miR-215, miR-30A, miR-126, miR-133a, miR-375, and miR-133b) [123] Furthermore, the genomic studies revealed that there is a combination of seven transcription factors that are predicted to regulate these miRNAs in mice, NHPs, and humans. Studies showed that a combination of three microRNAs (miR-133b, miR-215, and miR-375) can be used to identify NHPs exposed to radiation versus unexposed NHPs, and that females appeared to be more sensitive to radiation. Also, a classifier based on two microRNAs (miR-30a and miR-126) that can predict radiation-induced mortality was developed from this work; and thus established a 5-microRNA composite signature that can identify irradiated individuals and predict their probability of survival. Concisely, this research effort demonstrated that miRNA can be predictive of radiation exposure and can be used to assess radiation exposure in the context of a mass casualty scenario.

Long non-coding RNAs (lncRNAs) are mRNA-like transcripts that do not code for protein but are present in about 80% of transcriptions [124]. lncRNAs are divided into five classes: sense, antisense, bidirectional, intronic, and intergenic. This field may hold promise for future biodosimetry as more lncRNA are identified as radiation biomarkers [125].

4.1.5 Physical dosimeters—Generation of free radicals in response to radiation exposure induces oxidative stress and results in damage to DNA, proteins, and lipids. In most of the biological tissues, the life span of these unpaired electrons is nanoseconds. Such signals induced as a result of radiation exposure can be fixed for extended periods in calcified tissues and can later be detected by electron paramagnetic resonance (EPR). EPRbased radiation dosimetry is a non- or minimally invasive technique without the need for conventional tissue processing like other organ dosimetry methods. Although free radicals can be incorporated into tooth enamel, hair, and α-keratin of fingernails [126] and the EPR spectroscopy is well established for measuring free-radicals in biological samples; nevertheless, the clinical application of EPR for human dosimetry still needs additional work [127,128]. Moreover, EPR is relevant for only certain types of exposure, and cannot be used to detect exposure to neutrons or internalized radioisotopes.

4.1.6 Additional important biomarkers—In spite of the biomarkers discussed above, the need remains for the identification and validation of novel, robust, and stable biomarkers that are sensitive to radiation and can be evaluated in a minimally invasive or non-invasive manner. In the recent past, radiation biologists have redirected their efforts to investigate chemical alternatives in biological fluid metabolome, biological alternatives in the gut microbiome, and other molecules of interest. There are several studies identifying proteins [129,130], metabolites as biomarkers for radiation exposure in blood [131–133], urine [131,134,135], and the gut using NHP models [135–139]. In addition, there are ample studies with lipidomics [132], microbiome [140], and mRNA [141]. Considering space limitations for this article, it is not possible to discuss this aspect in depth and readers may go through recent reviews [98,137].

4.2 Biomarkers for radiation countermeasure efficacy

The Animal Rule requires the establishment of human drug dose based on biomarker-guided bioequivalence of effective animal dose. Thus, biomarkers are an important aspect of ARS radiation countermeasure development and can be used as a trigger for intervention as well as in selecting a drug dose and treatment regimen in humans. Biomarkers may also be used to correlate with the mechanism by which the radiation countermeasure ameliorates the injury or to correlate with the desired clinical result (reduction in morbidity and mortality). Three microRNAs (miR-30a, miR-126, and miR-375) have recently been demonstrated as biomarkers for the radioprotective efficacy of γ -tocotrienol as a countermeasure [123].

To estimate the doses of countermeasures required to yield therapeutic advantage in humans, one needs to use drug-induced biomarker responses as proxies for agent efficacy. Thus, the human efficacious dose for a radiation countermeasure is defined as the drug dose that activates the same biomarker responses to the same degree in humans as those that accompany and mediate the drug's therapeutic benefit in animals. A limited number of biomarkers discussed above for the assessment of absorbed radiation doses have been used for various countermeasure development efforts in NHPs [27,29,68,77,79–81,98,104,105].

5. Conclusion

Animal models are required to provide a better understanding of the basic mechanisms of radiation injury which will be valuable for designing unique classes of countermeasures for ARS. The NHP model, favored by the Animal Rule requirements, offers an avenue for both acute and long-term studies of multiple organ syndromes or late/delayed effects and is probably the most appropriate model for predicting effects of radiation exposure on the human body. NHPs are needed for preclinical development due to the similarities of drug pharmacokinetics/metabolism and physiology between humans and NHPs. Earlier experience has demonstrated that canine pharmacokinetics are not always translatable to primate efficacy [142]. Accordingly, the NHP model is usually the large animal species of choice for toxicity, pharmacokinetics, biomarker, radiation injury, and countermeasure efficacy.

The Animal Rule imposes the additional burden on investigators to establish the effectiveness of drug candidate in more than one animal species that sufficiently represents and predicts ARS in humans. In cases where a single animal model is well-characterized and proven to be predictive, the Animal Rule does not require that additional animal models be used; although, this is unlikely to occur when assessing radiation countermeasures for efficacy. It is important to note that as long as the mechanisms of ARS and DEARE have not been completely understood, more species will be required for use. Since this regulatory pathway is exceptionally used, the FDA offers only general guidelines for steering this regulatory mechanism. Accessibility of suitable animal models is one of the constraining factors in developing countermeasures using the Animal Rule. Development of adequate large animal models will facilitate and also expedite the development and approval of radiation countermeasures for ARS currently being investigated and newly identified.

We have discussed the suitability of NHPs as animal models for the development of radiation countermeasures for ARS based on similarity between NHP and human pathophysiology. Published results investigating NHP and human clinical parameters, histopathological findings, and immune response have demonstrated similarities. The NHP model is considered the most reliable predictor of therapeutic efficacy of drugs in humans, and this model is most likely to satisfy the Animal Rule requirements for radiation countermeasure approval by the FDA. The radiomitigative potential of two FDA-approved radiomitigators for HARS was investigated in the NHP model [27,29]. Although the NHP model has been reasonably characterized for H-ARS, additional effort is needed to further characterize this animal model for various sub-syndromes of ARS.

6. Expert opinion

As stated above, only two radiomitigators have been approved by the FDA for H-ARS [143,144]. These are repurposed drugs, Neupogen and Neulasta, from their original therapeutic indications to new treatment for H-ARS indication. Both drugs are growth factors already in clinical use extensively for other related indications such as chemotherapyand radiotherapy-induced neutropenia as well as for mobilization of stem cells for stem cell transplant patients, specifically for donors undergoing plasmapheresis [103]. Also, Neupogen was already in the Strategic National Stockpile based on its pre-EUA status. The number of fully approved radiation countermeasures for human use remains remarkably limited. Nevertheless, the basic research component is progressing well with the continuous production of new and promising agents. It may just be that the problem lies at the other end of the drug development process: the complexity and burdensome nature of the regulatory approval process is often referred to as a 'drawback'. More likely, the 'transitional stages of drug development' are the major logjams and are rate-limiting in terms of taking a new drug from the bench-top to the trading floor. Transitioning a new radiation countermeasure from the initial preclinical evaluation (using both *in vitro* tests and small animal models for efficacy and toxicology assessments) to later preclinical or early clinical investigation (using appropriate large animal models) requires an experienced class of sponsor, one with sufficient resources available to carry out the crucial and mandated investigations. Very often, promising and exciting new drugs, fail to see the light-of-day because of a lack of appropriate transitioning through various stages of the research and development pathway. Furthermore, there are agents (e.g., the angiotensin-converting-enzyme inhibitors) that are approved for human use, and for which there is laboratory and clinical evidence for countermeasure efficacy but such agents are not developed as they are off-patent. Though repurposing of already-approved agents is getting attention recently, the lack of a developmental path for such approved agents is a barrier to practical development of radiation countermeasures. Additional support from private organizations, academic institutions, and government agencies to fully resourced and capable pharmaceutical sponsors that are capable of handling these critical transitional stages would go a long way to relieve the current impasse and deficits in available safe and effective radiation countermeasures for future radiological and nuclear contingencies.

No radiation countermeasure has yet been approved by the FDA for use as a radioprotector (agents which can be used prior to radiation exposure and provide benefit) for H-ARS

following the Animal Rule; both recently approved radiation countermeasures are radiomitigators (to be used after exposure to radiation). Amifostine has been approved by FDA for limited indications (mucositis and renal toxicity as a result of radiotherapy and chemotherapy) due to its toxicity at doses required to treat ARS [145]. Another radioprotector which has FDA approval for limited indication is palifermin [145]. In addition, no radiation countermeasure has been approved for GI-ARS, either as a radioprotector or radiomitigator. There are several reasons for such failure and one notable reason is the shortage of multiple large animal models suitable to sufficiently represent the pathophysiology of humans for investigating radiation injury. Further, the mechanism of radiation injury is not fully understood, and there is toxicity associated with radiation countermeasures currently under development. The advanced development and FDAapproval of radiation countermeasures for ARS requires demonstration that the mechanism of action of such countermeasures in animal models is predictive of protective response in humans. The Animal Rule highlights the need for a good understanding of pathophysiology of radiation injury as well as the underpinning mechanism of action of radiation countermeasures under investigation. In addition, it requires the identification and validation of biomarkers to serve as a predictive marker for the efficacy in humans and for drug dose conversion (animal to human, beyond allometric conversion). This is particularly important because countermeasures will not be clinically investigated for their efficacy under phase II and III due to ethical consideration. Accomplishing the above tasks to advance ARS countermeasures for FDA approval following the Animal Rule is not easy. As a result, several countermeasures at advanced stages of development become stagnant for a long time. Due to such impediments, corporate partners sometimes lose interest for developing such countermeasures. The above concerns highlight the necessity for a combined endeavor between corporate partners, academia, and government agencies. All parties involved in the development of radiation countermeasures may learn from a few successes of medical countermeasures development partnership and FDA approval process for biological threats. Although NHP models of human diseases are generally considered the gold standard for drug development following FDA regulation, given that NHPs are the closest animal species to humans, additional work is still needed to characterize this model for ARS, delayed, late, and chronic effects of radiation.

Acknowledgments

The opinions or assertions expressed herein are those of the authors and are not endorsements from Armed Forces Radiobiology Research Institute, Uniformed Services University of the Health Sciences, or the US Department of Defense. The authors are thankful to Paola Santiago, Melissa Garcia, Briana Hanlon, and Eric Lee for graphics, literature searches, and editorial assistance.

Funding:

The authors gratefully acknowledge research support from the National Institute of Allergy and Infectious Diseases (AAI-12044-0000-05000, Work Plan G) and Congressionally Directed Medical Research Programs (W81XWH-15- C-0117, JW140032).

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Article highlights

- **•** Radiation countermeasures for ARS are being developed following the FDA Animal Rule because human efficacy studies are unethical and unfeasible being that the etiologic agent of ARS is the radiation damage to cells and tissues over time.
- **•** For the Animal Rule, the FDA stresses the selection of a sufficiently wellcharacterized animal model with good understanding of the pathophysiology of injury for an adequate and well-controlled animal studies when the results of those animal studies are used for predicting the response in humans.
- **•** For studying radiation injury and ARS, only two large animal models (NHP and canine) have been well characterized. Lately, minipig model is being characterized.
- **•** NHP represents the preferred animal models for the efficacy testing of countermeasures for ARS because NHP data provide a gold standard that frequently cannot be adequately achieved from alternative models. NHP provides 95+% DNA sequence homology with humans, and a high level of similarity in terms of response to physiological pathways and cell receptors.
- **•** Two radiation countermeasures (Neupogen and Neulasta) for H-ARS have been approved by FDA based on efficacy data generated in NHP model following Animal Rule. A third radiation countermeasure (Leukine) for H-ARS may be approved by FDA soon following the Animal Rule.
- **•** Additional studies are needed to characterize various sub-syndromes and organ involvement in NHPs as a result of radiation exposure. Also, there is a need to further investigate acute, delayed, late, and chronic effects of radiation exposure in NHP model.

This box summarizes key points contained in the article

Figure 1.

Comparison of NHP neutrophils, platelets, WBC, monocytes, and lymphocytes of survivors and non-survivors after exposure to various doses of radiation. NHPs were exposed to ${}^{60}Co$ γ-irradiation (5.0, 5.8, 6.5, or 7.2 Gy). Blood was collected at various time points postradiation, and cells were counted using a Bayer Advia-120 cell counter. The data for each time point are shown as the mean \pm standard error for those which survived until 60 d (survivors, blue) and those who succumbed to the effects of radiation before 60 d (nonsurvivors, orange). It should be noted that all animals surived after exposure to 5.0 Gy radiation.

Figure 2.

Radiation-induced changes in NHP cytokines. NHPs were exposed to various doses (5.0, 5.8, 6.5, or 7.2 Gy) of ⁶⁰Co γ -irradiation. Blood was collected at various time points postirradiation, and cytokines were analyzed using Multiplex Luminex. The data for each time point are shown as the mean \pm standard error for each radiation group. *indicates the time points when the radiation dose dependent first order correlation were significant and when equal variance between groups were assumed ($p \quad 0.05$, n = 8 for 5.8, 6.5, 7.2 irradiated groups and $n = 4$ for the 5.0 Gy radiation group).

New World and Old World NHPs

Table 2

Some important radiation countermeasures evaluated in NHP models

* FDA-approved for H-ARS,

** FDA IND

Large number of radiation countermeasures have been investigated using NHP model. We have presented some of those agents in this table.

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