

## SLCO1B1 genetic variation and hormone therapy in menopausal women

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### Abstract

**Objective:** Response to menopausal hormone therapy (MHT) shows individual variation. *SLCO1B1* encodes the OATP1B1 transporter expressed in the liver that transports many endogenous substances, including estrone sulfate, from the blood into hepatocytes. This study evaluated the relationship between genetic variation in *SLCO1B1* and response to MHT in women enrolled in the Kronos Early Estrogen Prevention Study (KEEPS) at Mayo Clinic, Rochester, MN.

**Methods:** KEEPS participants were randomized to oral conjugated equine estrogen (n = 33, oCEE), transdermal 17 $\beta$ -estradiol (n = 33, tE<sub>2</sub>), or placebo (n = 34) for 48 months. Menopausal symptoms (hot flashes, night sweats, insomnia, palpitations) were self-reported before treatment and at 48 months. Estrone (E<sub>1</sub>), E<sub>2</sub>, and sulfated conjugates (E<sub>1</sub>S, E<sub>2</sub>S) were measured using high-performance liquid chromatography-tandem mass spectrometry. *SLCO1B1* rs4149056 (c.521T>C, p.Val174Ala) was genotyped using a TaqMan assay.

**Results:** After adjusting for treatment, there was a significant association between the *SLCO1B1* rs4149056 TT genotype (encoding normal function transporter) and lower E<sub>1</sub>S, E<sub>1</sub>S/E<sub>1</sub>, and E<sub>2</sub>S (P = 0.032, 0.010, and 0.008, respectively) compared with women who were heterozygous (TC) or homozygous (CC) for the reduced function allele. The interactions between genotype, treatment, and E<sub>2</sub>S concentration were stronger in women assigned to tE<sub>2</sub> (P = 0.013) than the women taking oCEE (P = 0.056). Among women assigned to active treatment, women with the CT genotype showed a significantly greater decrease in night sweats (P = 0.041) than those with the TT genotype.

**Conclusions:** Individual variation in sulfated estrogens is explained, in part, by genetic variation in *SLCO1B1*. Bioavailability of sulfated estrogens may contribute to relief of night sweats.

**Key Words:** 17 $\beta$ -estradiol – conjugated equine estrogens – Kronos Early Estrogen Prevention Study – OATP1B1 – personalized therapy.

Perimenopausal and postmenopausal women commonly experience significant neurovascular dysregulation including hot flashes and night sweats, insomnia, and palpitations that impact their quality of life, and in some women last for a decade or longer.<sup>1,2</sup> Although there have been many advances in recent years in pharmacogenomics and personalized medicine,<sup>3,4</sup> dosing of menopausal hormone therapy (MHT) to relieve menopausal symptoms currently relies on a trial-and-error

approach, with an overarching goal to use the “lowest most effective dose.”<sup>5</sup>

Circulating and local tissue estrogen levels may be regulated by several enzymes. For example, estrone (E<sub>1</sub>) can be converted to estradiol (E<sub>2</sub>) by 17-beta-hydroxysteroid dehydrogenase (17- $\beta$ -HSD). Both E<sub>1</sub> and E<sub>2</sub> can be converted into more hydrophilic sulfated forms by sulfotransferases. While this process was originally thought to be a step toward elimination, the sulfated forms are now thought to serve as a storage pool for

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estrogens. Steroid sulfatases can remove the sulfate group to convert the estrogens back from the storage pool to their active forms.<sup>6</sup> Local tissue expression of estrogens can vary,<sup>7</sup> and may, in part, be due to activity of the sulfotransferases and steroid sulfatases.<sup>6</sup> In addition, transporters may also regulate estrogen concentration and distribution.

*SLCO1B1* encodes the membrane-bound, sodium-independent organic anion transporter protein (OATP1B1) that is primarily expressed in the liver. OATP1B1 transports many endogenous substances, including estrone sulfate and bilirubin, from the blood into hepatocytes.<sup>8,9</sup> The single-nucleotide polymorphism (SNP) that results in the c.521T>C (p.Val174Ala, rs4149056) variant has been the focus of many prior studies, most notably for its association with statin-induced myopathy.<sup>10</sup> The c.521T>C variant, present in the \*5 and \*15 haplotypes, decreases transport of substrates, including estrone-3-sulfate and estradiol 17 $\beta$ -D-glucuronide, potentially due to decreased membrane expression of the transporter.<sup>9,11-15</sup> Furthermore, in a cohort of 424 individuals in the Rotterdam Scan Study, a population-based study of older individuals in the Netherlands, carriers of the variant 174Ala allele had higher concentrations of estrone sulfate than noncarriers.<sup>9</sup> In the California Teachers Study cohort, another variant in *SLCO1B1*, rs4149013, was associated with an increased risk of breast cancer among postmenopausal women who were using combined estrogen and progestin therapy at the time of study enrollment.<sup>16</sup> Of note, the rs4149056 SNP was not included in that study. In a recent genome-wide association study performed in a cohort of 774 postmenopausal women with resected early-stage ER+ breast cancer, several SNPs in *SLCO1B1* were significantly associated with higher levels of estrone conjugates and an increased ratio of estrone conjugates to estrone.<sup>17</sup> Of those, the SNP with the lowest *P* value ( $P = 3.74 \times 10^{-11}$ ) was rs4149056.

Although there is emerging information on genetic variants that may impact estrogen metabolism, most studies have been performed in the context of cancer. It remains unclear whether these variants contribute to interindividual differences in estrogen requirement for relief of menopausal symptoms in postmenopausal women. Therefore, this study aimed to: replicate the prior findings of a relationship between rs4149056 and estrogen concentrations among naturally menopausal healthy women; explore whether this SNP impacts estrogen, estrone, and their sulfated conjugates among women taking two different formulations of estrogen in a prospective randomized double blind clinical trial; and explore the relationship between this SNP and menopausal symptoms in these women.

## METHODS

### Participants

Women (n = 100) enrolled in the Kronos Early Estrogen Prevention Study (KEEPS) from the Mayo Clinic, Rochester, MN site, were included in this study. Participants were randomized for 48 months to one of the following three regimens: oral conjugated equine estrogens (oCEE; 0.45 mg/d, n = 33) with micronized progesterone (200 mg/d for the first 12 days of the month), transdermal 17 $\beta$ -estradiol (tE<sub>2</sub>; 50  $\mu$ g/d, n = 33)

with micronized progesterone (200 mg/d for the first 12 days of the month), or placebo pills and patch (n = 34). Demographic and phenotypic characteristics of KEEPS participants did not differ across treatment assignments.<sup>18,19</sup> Women were excluded from KEEPS if they had a hysterectomy, low-density lipoprotein cholesterol (LDL-C) >190 mg/dL, body mass index (BMI) >35 kg/m<sup>2</sup>, fasting glucose >126 mg/dL, uncontrolled hypertension (systolic blood pressure >150 mm Hg or diastolic blood pressure >95 mm Hg), history of smoking >10 cigarettes/d, or pre-existing coronary artery calcification (score >50 AU). At the time of enrollment, women averaged 52.7 years of age (range 42-58) and were 1.4 years (range 0.5-3.0) past menopause. Other baseline characteristics of this group of participants have been described previously.<sup>19</sup> All were of European ancestry (white), based on ancestry-informative markers from a prior genotyping study.<sup>20</sup> All participants provided written, informed consent, and the study was approved by the Mayo Clinic Institutional Review Board.

### Genotyping

The *SLCO1B1* rs4149056 SNP (c.521T>C, p.Val174Ala) was genotyped using a TaqMan assay in the Mayo Clinic Medical Genome Facility Genotyping Center. This SNP is present in the \*5, \*15, and \*17 *SLCO1B1* haplotypes.

### Measurement of serum hormones

Estrone, estrone sulfate (E<sub>1</sub>S), 17 $\beta$ -estradiol (E<sub>2</sub>), and estradiol sulfate (E<sub>2</sub>S) were measured in fasting serum samples by liquid chromatography-tandem mass spectrometry (LC-MS/MS) as described previously.<sup>19</sup> Blood was collected before randomization to treatment and at the final study visit without regard to the timing of the last application or dosing of the active treatments.

### Menopausal symptoms

All participants completed a menopausal symptom checklist before randomization and again at 6, 12, 24, 36, and 48 months.<sup>21</sup> The self-reported menopausal symptoms included in the present analysis were: hot flashes, night sweats, insomnia, and palpitations. Symptoms were scored on a 5-point ordinal scale: 0 (no symptoms) to 5 (severe symptoms).

### Statistical analyses

Statistical analyses were performed using R software version 3.0.1 or JMP version 10.<sup>22,23</sup> E<sub>1</sub> and E<sub>2</sub> concentrations and ratios of sulfated to nonsulfated estrogens that were not normally distributed were log-transformed before analysis. Linear regression models were used to test for associations between *SLCO1B1* rs4149056 genotype and hormone levels, hormone ratios, and symptoms after correcting for treatment assignment. Symptoms were scored on a scale of 0 to 5, with 5 being the most severe, but in our analysis, symptoms were dichotomized to mild (scores 0-2) or severe (scores 3-5). Fisher's exact test was used to evaluate relationships between symptoms and genotype (comparing T/T with T/C and C/C) before treatment.

TABLE 1. Hormone levels by SLCO1B1 rs4149056 genotype and treatment<sup>a</sup>

	Placebo			oCEE			tE <sub>2</sub>		
	CC (n = 1)	CT (n = 13)	TT (n = 20)	CC (n = 0)	CT (n = 7)	TT (n = 26)	CC (n = 0)	CT (n = 4)	TT (n = 29)
E <sub>1</sub>	14	19.23 (6.80)	19.43 (10.46)	—	69.71 (34.42)	59.95 (39.49)	—	42.00 (10.03)	34.58 (14.64)
E <sub>1</sub> S	385	373.62 (255.06)	298.80 (314.05)	—	3491 (2884.26)	1810.58 (1348.51)	—	1586.00 (1108.82)	904.74 (784.91)
E <sub>1</sub> S/E <sub>1</sub>	27.5	18.17 (10.89)	13.57 (7.26)	—	50.03 (38.58)	29.18 (15.95)	—	35.15 (14.97)	22.65 (12.67)
E <sub>2</sub>	3.6	6.37 (2.13)	5.58 (2.61)	—	13.76 (6.06)	11.87 (6.85)	—	40.25 (12.28)	31.23 (29.09)
E <sub>2</sub> S	4.9	7.92 (4.06)	7.16 (4.39)	—	43.46 (28.88)	21.28 (16.44)	—	56.48 (38.29)	19.54 (13.58)
E <sub>2</sub> S/E <sub>2</sub>	1.38	1.26 (0.53)	1.29 (0.32)	—	3.40 (2.53)	1.76 (0.85)	—	1.64 (1.43)	0.92 (0.51)

CC, homozygous alleles for reduced function of enzyme; CT, heterozygous alleles; E<sub>1</sub>, serum estrone; E<sub>1</sub>S, serum estrone sulfate; E<sub>1</sub>S/E<sub>1</sub>, ratio of estrone sulfate to estrone in serum; E<sub>2</sub>, serum 17β-estradiol; E<sub>2</sub>S, serum estradiol sulfate; E<sub>2</sub>S/E<sub>2</sub>, ratio of estradiol sulfate to 17β-estradiol in serum; oCEE, oral conjugated equine estrogen; tE<sub>2</sub>, transdermal 17β-estradiol; TT, homozygous alleles for common function of enzyme.  
<sup>a</sup>Data are shown as mean (standard deviation).

RESULTS

Genotyping

In this cohort of 100 women, 75 women were homozygous TT (normal function) genotype, 24 were heterozygous CT, and only 1 was homozygous CC (reduced function) for the *SLCO1B1* rs4149056 variant (Table 1). The minor allele frequency (C allele) was 13.0%. Women with TT or CT *SLCO1B1* rs4149056 genotypes were evenly distributed among the three treatment groups; the single participant with the CC genotype was in the placebo group.

Association of *SLCO1B1* genotype and serum hormone levels

Serum hormone levels among the three groups was previously published.<sup>19</sup> In general, E<sub>1</sub> and E<sub>1</sub>S levels and the E<sub>1</sub>S/E<sub>1</sub> ratio were highest among women randomized to oCEE, followed by tE<sub>2</sub>, and lowest among women on placebo. E<sub>2</sub> was highest among women taking tE<sub>2</sub>, followed by those taking oCEE, and lowest among those on placebo. E<sub>2</sub>S levels were similar among women on active treatment (oCEE or tE<sub>2</sub>), but significantly higher than women randomized to placebo. The E<sub>2</sub>S/E<sub>2</sub> ratio was highest among those taking oCEE, with those on tE<sub>2</sub> or placebo being similar.

After adjusting for treatment, there was a significant association (P = 0.032) between *SLCO1B1* rs4149056 SNP genotype and E<sub>1</sub>S, with women homozygous for the T (normal function) allele having lower E<sub>1</sub>S serum concentration than those who were heterozygotes (TC) (Fig. 1, Table 1). Similarly, after adjustment for treatment, the T allele was associated with a lower E<sub>1</sub>S/E<sub>1</sub> ratio (P = 0.010). There was no interaction between SNP genotype and treatment in either analysis, indicating that the SNP genotype did not have a greater impact within a particular treatment group. There was no association between E<sub>1</sub> concentration and rs4149056 genotype (P = 0.380).

The serum concentration of E<sub>2</sub>S also was associated with *SLCO1B1* rs4149056 SNP genotype after adjustment for treatment (P = 0.008). The TT genotype was associated with a lower serum E<sub>2</sub>S concentration than the CT or CC genotypes (Fig. 1, Table 1). Further analysis for interactions between genotype, treatment, and E<sub>2</sub>S concentration revealed that the strength of the association between rs4149056 SNP genotype

and E<sub>2</sub>S concentration was stronger in the group of women assigned to tE<sub>2</sub> than the women taking oCEE (P value for the interaction between genotype and treatment arm in the model was 0.056 for the SNP × oCEE treatment group and 0.013 for the SNP × tE<sub>2</sub> treatment group). The ratio of E<sub>2</sub>S/E<sub>2</sub> trended toward a lower ratio with increasing T alleles, but was not significant (P = 0.070). The interaction term for SNP × oCEE treatment in the model was significant (P = 0.043), indicating that the ratio of E<sub>2</sub>S/E<sub>2</sub> is significantly associated with rs4149056 genotype in this treatment group. There was no association between E<sub>2</sub> concentration and rs4149056 before or after adjusting for treatment group (P = 0.493 and 0.169, respectively).

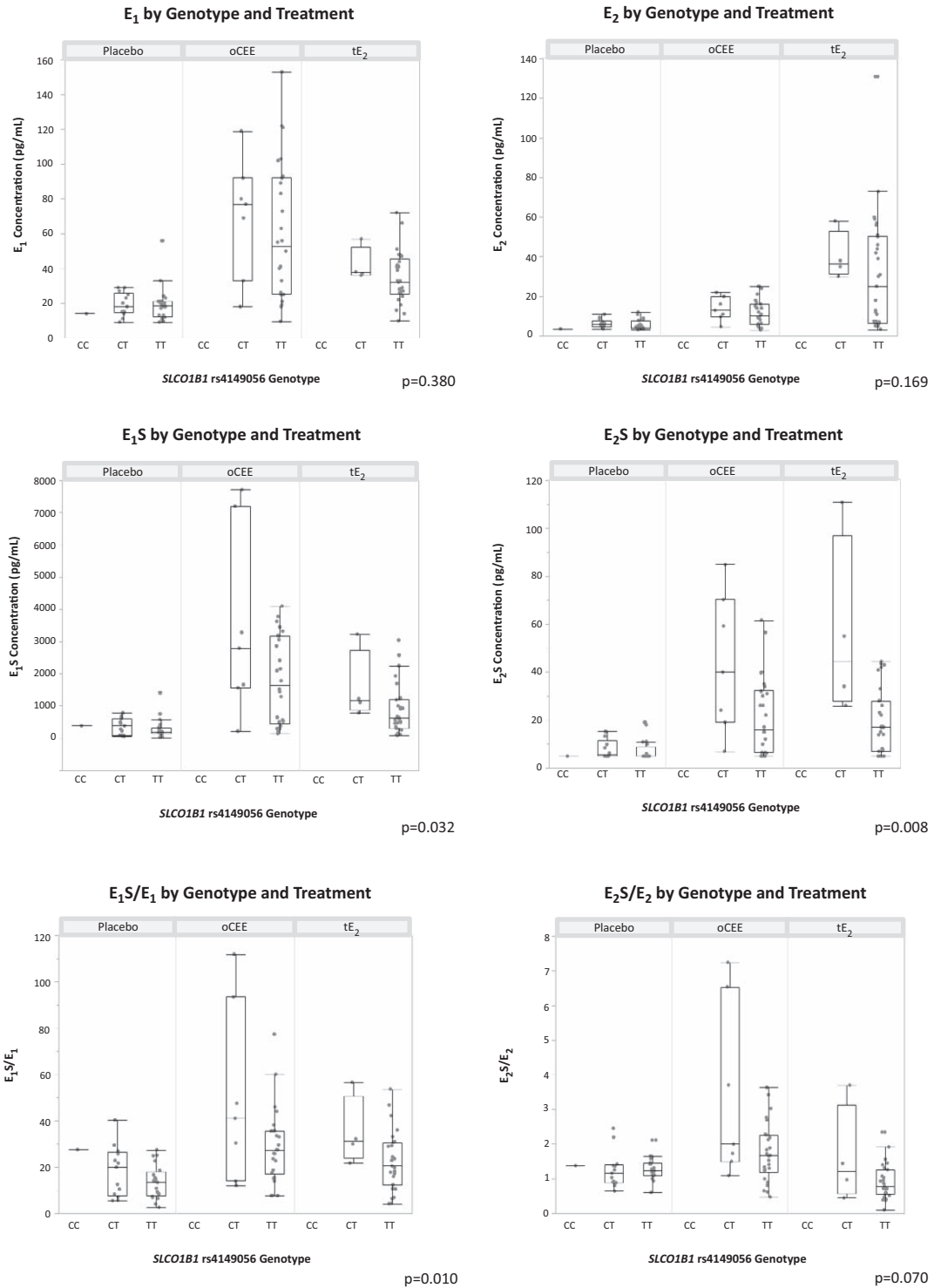
Association of *SLCO1B1* genotype and menopausal symptoms

Based on prior studies, genetic variation in *SLCO1B1* may be associated with endogenous hormone levels, and also with levels of exogenous hormones as observed in this study; therefore, we evaluated menopausal symptoms before randomization and after 48 months of treatment. No relationship was observed with *SLCO1B1* rs4149056 genotype and hot flashes, night sweats, insomnia, or total symptoms before treatment (P = 1.00, 1.00, 0.22, 1.00, respectively). No women had severe palpitations at baseline.

After, 48 months of treatment, no relationship was observed with *SLCO1B1* rs4149056 genotype and scores for hot flashes, insomnia, or total symptoms (P = 0.29, 0.13, and 0.91) after adjustment for treatment. No women had severe total symptoms, severe night sweats, or severe heart palpitations after 48 months of placebo or treatment. Change in symptom score from baseline (before treatment) to after 48 months of treatment was also evaluated by treatment group. Among women assigned to active treatment (oral or transdermal estrogen), women with the CT genotype showed a significantly greater reduction in night sweats (P = 0.041) than those with the TT genotype. There were no other significant findings.

DISCUSSION

In this cohort of postmenopausal women assigned to 48 months of placebo, oCEE, or tE<sub>2</sub>, a significant association



**FIG. 1.** Association between hormone levels and *SLCO1B1* rs4149056 genotype by treatment group. Quantile box plots overlay individual data points. P-values provided are for the association of hormone concentration or ratio with *SLCO1B1* rs4149056 genotype after adjustment for treatment. E<sub>1</sub>, serum estrone; E<sub>1</sub>S, serum estrone sulfate; E<sub>1</sub>S/E<sub>1</sub>, ratio of estrone sulfate to estrone in serum; E<sub>2</sub>, serum 17β-estradiol, E<sub>2</sub>S, serum estradiol sulfate, E<sub>2</sub>S/E<sub>2</sub>= ratio of estradiol sulfate to 17β-estradiol in serum.

was identified between the presence of the *SLCO1B1* rs4149056 T allele (normal function) and lower serum concentration of E<sub>1</sub>S and E<sub>1</sub>S/E<sub>1</sub>, after adjusting for treatment. While these findings are consistent with prior studies

performed to evaluate endogenous hormone levels in postmenopausal women,<sup>9,16,17</sup> to our knowledge, this is the first study evaluating the influence of this SNP on serum hormone levels in healthy, naturally menopausal women taking MHT.

In addition, there was a significant association between the presence of the *SLCO1B1* rs4149056 T allele and lower serum concentration of E<sub>2</sub>S, and a nonsignificant trend toward lower serum E<sub>2</sub>S/E<sub>2</sub>. While the OATP1B1 transporter encoded by *SLCO1B1* is known to specifically transport E<sub>1</sub>S, the sulfated and desulfated forms of estrogens—including E<sub>1</sub>, E<sub>1</sub>S, E<sub>2</sub>, and E<sub>2</sub>S—exist in an equilibrium regulated by sulfatases, sulfotransferases, and membrane transport of sulfated steroids.<sup>24</sup>

Interestingly, we also identified an interaction between SNP genotype and type of treatment for both E<sub>2</sub> and E<sub>2</sub>S/E<sub>2</sub>. We hypothesize that the effect of the *SLCO1B1* rs4149056 SNP genotype differing by treatment may reflect important differences between the two treatment formulations. The primary component of tE<sub>2</sub> is E<sub>2</sub> and high levels of steroid sulfatases expressed in the dermis may be responsible for maintaining the E<sub>2</sub> in the desulfated form as it reaches the circulation. In contrast, the primary components of oCEE are estrone and estrone sulphate, and due to the oral route of administration, the medication would be subject to the high levels of sulfotransferases in the gastrointestinal tract, and also first pass hepatic metabolism where sulfotransferases, sulfatases, and OATP1B1 are all highly expressed.

The rs4149056 genetic variation in *SLCO1B1* was not associated with menopausal symptom severity before treatment, which might reflect differences in the timing of onset of symptoms relative to decreases in endogenous hormone levels. However, the greater reduction in night sweats among the women with the TC genotype than the TT genotype ( $P = 0.041$ ) during treatment may be due to higher circulating levels of E<sub>1</sub>S and E<sub>2</sub>S, presumably due to decreased transporter activity resulting in less E<sub>1</sub>S and E<sub>2</sub>S uptake into the liver. The lower uptake may then allow higher total levels of E<sub>2</sub> due to sulfatase activity at the local sites of neuronal and peripheral pathways involved with neurovascular thermoregulation, given that the sulfated form of estrogen is a storage pool in equilibrium with unconjugated estrogen.<sup>25</sup> In an evaluation of sleep using the Pittsburgh Sleep Quality Index, sleep disturbances were alleviated to a greater extent with tE<sub>2</sub> than oCEE in women of KEEPS.<sup>26</sup> Together with the current findings, these data suggest that women with sleep disturbances, including night sweats, may respond better to tE<sub>2</sub>, particularly if they harbor a variant *SLCO1B1* rs4149056 allele. Further studies are required to fully establish the impact of genetic variation in *SLCO1B1* on levels of circulating E<sub>2</sub> and E<sub>2</sub>S.

It is important to recognize that the clinical response to estrogen therapy in menopausal women is determined by an interplay of multiple factors. The pathways of estrogen metabolism and action are complex, and are affected by interindividual differences in binding proteins, receptor binding/action, plasma membrane transporters, and drug metabolizing enzymes. Moreover, while there is clear evidence that estrogen therapy reduces vasomotor symptoms,<sup>27-30</sup> there is no association between the presence and severity of vasomotor symptoms and circulating estrogen levels,<sup>31</sup> suggesting

that local estrogen levels in the brain may differ from those in the periphery. In addition, there are significant ethnic and racial variations in vasomotor symptom reporting, with additional contributions from lifestyle and environmental factors.<sup>32</sup> In particular, being overweight, a history of premenstrual syndrome, smoking, or being of African descent are risk factors for vasomotor symptoms.<sup>1,32,33</sup> Thus, the exact mechanism by which estrogen contributes to autonomic thermoregulatory instability has yet to be elucidated. It is unlikely that interindividual differences in a single gene or metabolic pathway will have a significant clinical impact on response to hormone therapy. However, identifying genetic variants impacting estrogen metabolism contributes to our understanding of this complex pathway and may ultimately allow more individualized treatment of women with menopausal symptoms.

This study was limited by small sample size. This weakness is balanced by the strength that the KEEPS participants represent a well-characterized cohort of healthy women, thus allowing exploration of these genetic variants in the absence of comorbidities. Metabolism of estrogen is complex involving multiple enzymatic pathways each of which may have variants that could impact local and serum levels of the metabolites. In addition, it is unclear how environmental variables such as interaction with other drugs or the microbiome may influence estrogen metabolism.<sup>6,24,34,35</sup> Therefore, the response to MHT is multifactorial and may ultimately require a complex algorithm for individualizing MHT in terms of the dose, formulation, and route of administration that may be appropriate for each woman. For example, there are several relationships between *SULT1A1* genotype, estrogen levels, and onset of menopause.<sup>19</sup> *SULT1A1* and *SULT1E1* encode enzymes that conjugate a sulfate moiety onto estrogens, whereas *STS* encodes an enzyme that removes the sulfate moiety.<sup>6,24</sup> The current study involves *SLCO1B1*, which encodes the OATP1B1 transporter that can facilitate movement of sulfate-conjugated estrogens across membranes. To fully elucidate a complicated pathway such as this one, the use of many samples and the ability to evaluate multiple variables—such as *SULT1A1*, *SULT1E1*, *STS*, and *SLCO1B1* genetic variants—simultaneously may be necessary. However, candidate gene studies, such as this one, are important in establishing which variables may be important for inclusion in future models and algorithms of genotypes to personalize therapy.

## CONCLUSIONS

In this exploratory study, the common rs4149056 genetic variant in *SLCO1B1* was associated with levels of sulfated estrogens in recently, naturally menopausal women randomized to either oCEE or tE<sub>2</sub>. The variant was also associated with the magnitude of reduction in night sweats in women randomized to active treatment, particularly those using tE<sub>2</sub>. Understanding genetic variants that may influence individual plasma concentrations of estrogens in postmenopausal women on MHT may allow individualized MHT, avoiding

the lengthy trial-and-error process currently used to identify an effective dose, formulation, or route of administration for symptom relief. This may ultimately contribute to better management of menopausal symptoms, improved quality of life, and potential mitigation of cardiovascular risk in menopausal women.<sup>36-38</sup>

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