



Diversity of Carbapenemase-Producing *Escherichia coli* Isolates in France in 2012-2013

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ABSTRACT With the dissemination of carbapenemase-producing *Enterobacteriaceae* (CPE) strains worldwide, carbapenem-hydrolyzing enzymes are increasingly reported among isolates of *Escherichia coli*, the first hospital and community-acquired opportunistic pathogen. Here, we have performed an epidemiological survey of carbapenemase-producing *E. coli* (CP-*Ec*) isolates received at the French National Reference Centre (F-NRC) in 2012 and 2013. Antimicrobial susceptibilities for last-resort antibiotics and antimicrobial compounds commonly used to treat urinary tract infections were determined by broth microdilution. Clonal relationship was assessed using repetitive sequence-based PCR (rep-PCR) and multilocus sequence typing (MLST). From this collection of 140 carbapenemase-producing *E. coli* isolates, 74% produced an OXA-48-like carbapenemase and 21% produced an NDM carbapenemase. A link with a foreign country was suspected for 37% of infected/colonized patients. Most of the isolates were from screening (56%) and from urine samples (26%). Colistin, fosfomycin, and nitrofurantoin possessed the most consistent activity, with 100%, 95%, and 96% isolates susceptible, respectively. A wide diversity of carbapenemase-producing *E. coli* isolates has been found (50 different sequence types [STs]). The most prevalent clones were (i) *E. coli* sequence type 38 (ST38) producing OXA-48 ($n = 21$), a clone linked to Turkey and North African countries, (ii) *E. coli* ST-90 producing OXA-204 ($n = 9$), which was responsible for an outbreak related to a contaminated duodenoscope, and (iii) *E. coli* ST-410 producing OXA-181 ($n = 5$), which was recovered from patients of different geographical origins. These specific clones might be considered high-risk clones for the dissemination of carbapenemases in *E. coli*. The wide diversity of STs, combined with the increasing number of CP-*Ec* isolates received by the F-NRC, suggests a likely dissemination of CP-*Ec* isolates in the community.

KEYWORDS MLST, rep-PCR, OXA-48, NDM, VIM, KPC, epidemiology, carbapenemase, molecular epidemiology

Antimicrobial resistance has become a major challenge for public health worldwide. One of the most worrying threats is the emergence and rapid dissemination of carbapenem-resistant Gram-negative bacteria, which is mainly caused by the spread of carbapenemase-producing *Enterobacteriaceae* (CPE). These CPE strains are often multidrug resistant, if not pan-drug resistant, leaving only very few therapeutic options for treating serious infections.

Carbapenem-hydrolyzing β -lactamases (i.e., carbapenemases) encountered in *Enter-*

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obacteriaceae belong to either (i) Ambler class A, including KPC, IMI, and GES enzymes, (ii) Ambler class B metallo- β -lactamases (MBLs) of the NDM, VIM, and IMP types, or (iii) Ambler class D enzymes, including OXA-48 and its variants (mostly OXA-181, OXA-204, and OXA-232) (1). Even though France is not considered a country where CPE are endemic (2), local, regional, and interregional outbreaks are increasingly reported (2, 3). These epidemic disseminations most often involve *Klebsiella pneumoniae* isolates (4, 5). However, *Escherichia coli* is the second species in terms of isolation frequency among CPE isolates, and its frequency rose from 15.2% in 2012 to 23.8% in 2014 (6). The emergence of carbapenemase-producing *E. coli* (CP-*Ec*) is a matter of concern, because unlike *K. pneumoniae*, which is primarily a nosocomial pathogen, *E. coli* isolates are responsible for both community- and hospital-acquired infections, thus raising the fear of CPE dissemination in the community. Here, we performed an epidemiological survey of CP-*Ec* isolates received at the French National Reference Centre (F-NRC) in 2012 and 2013 to identify the emergence of potential high-risk clones and analyze their antimicrobial susceptibility profiles.

RESULTS

Sources of CP-*Ec* isolates. A large majority of CP-*Ec* isolates ($n = 140$) have been isolated in hospitals ($n = 112$; 80%). These isolates were recovered from clinical specimens ($n = 53$; 38%) and screening samples ($n = 79$; 56%) (detailed information could not be obtained for 8 samples). The distribution of clinical samples was as follows: urine samples ($n = 36$), blood samples ($n = 4$), bile samples ($n = 4$), wound samples ($n = 3$), vaginal swabs ($n = 3$), respiratory specimen ($n = 1$), hip pus sample ($n = 1$), and gastric fluid of a newborn ($n = 1$).

Among the 140 studied isolates, 104 (74.3%) produced an OXA-48-like carbapenemase. The other isolates produced NDM-type (20.7%), VIM-type (3.6%), and KPC-3 (0.7%) carbapenemases (see Table S1 in the supplemental material). One isolate coproduced OXA-232 and NDM-1 (0.7%).

Most of the samples were isolated in three regions: Paris and suburbs, the northeast of France, and the southeast of France. A probable link with a foreign country was established for 37% (52/140) of the patients infected or colonized with a CPE (e.g., hospitalization abroad within the year preceding the CPE isolation, recent travel abroad, or living outside France) (Table 1). Twelve (40%) of the NDM-producing isolates were linked to the Indian subcontinent, which is advocated to be the main reservoir of this type of metallo- β -lactamase (7). Among the 104 OXA-48-like carbapenemase producers, 35 (34%) were associated with cross-border transfers, especially with North African countries, which is in agreement with the epidemiological data known for OXA-48-like carbapenemases (8–10). For the 69 remaining OXA-48-like carbapenemase cases, no clear link with a foreign country could be established. Finally, only one KPC-3-producing isolate was recovered from a patient having links with Italy, where KPC-producing *K. pneumoniae* is known to be endemic (2).

Antimicrobial susceptibility of CP-*Ec*. Susceptibility testing was performed for eight antibiotics (ceftazidime, nitrofurantoin, fosfomycin, imipenem, amdinocillin, trimethoprim-sulfamethoxazole, colistin, and temocillin). These molecules were selected because of their potential use in urinary tract infections, as *E. coli* is the main uropathogen.

All isolates except 3 CP-*Ec* producers were tested. Colistin, fosfomycin, and nitrofurantoin possessed the most consistent activity, with 100%, 95% (130/137), and 96% (131/137) of isolates susceptible, respectively. Ceftazidime, imipenem, amdinocillin, and trimethoprim-sulfamethoxazole were active only on 41% (56/137), 81% (111/137), 64% (87/137), and 31% (42/137) of the isolates, respectively. Finally, all strains except one were resistant to temocillin. (Table 2).

When considering susceptibility testing on the 103 OXA-48-like producers, colistin, nitrofurantoin, and fosfomycin retain excellent *in vitro* activity toward OXA-48 like producers, with 100%, 99%, and 99% of isolates susceptible, respectively (Fig. 1, Table 2). All strains were susceptible to colistin, with MICs of 0.25

TABLE 1 Carbapenemases and suspected origin

Country data	Carbapenemase-producing isolate(s)												
	OXA-48-like				NDM					VIM			NDM-1 + OXA-232
	OXA-48	OXA-204	OXA-244	OXA-181	NDM-1	NDM-4	NDM-5	NDM-6	NDM-7	VIM-1	VIM-4	KPC-3	
Country (n)													
Algeria	8												
Burma								1					
Canada				1									
Chad				1									
Djibouti	1												
Egypt	2												
India				1	4	2	1	1	3				1
Italy	1											1	
Lebanon	1												
Libya	2												
Mali						1							
Morocco	9												
Niger				1									
Portugal							1						
Republic of Mauritius				1									
Romania	1												
Singapore							1						
Spain	1												
Turkey	3												
Vietnam	1												
Link with foreign country													
No.	30	0	0	5	4	3	3	1	4	0	0	1	1
%	34	0	0	83	24	100	75	100	100	0	0	100	100
Unknown or no link with foreign country													
No.	58	9	1	1	13	0	1	0	0	3	2	0	0
%	66	100	100	17	76	0	25	0	0	100	100	0	0
Total (no.)	88	9	1	6	17	3	4	1	4	3	2	1	1

mg/liter (85.5%), 0.5 mg/liter (12.5%), and 1 mg/liter (2%). Although these isolates produce a carbapenemase, all strains except one may be categorized as susceptible to imipenem, according to the Comité de l'antibiogramme de la Société Française de Microbiologie (CA-SFM)-EUCAST guidelines (11). Indeed, OXA-48 enzymes are known to exhibit only low hydrolytic activity toward carbapenems (12). Ceftazidime remained active toward 54% ($n = 56$) of the isolates. Amdinocillin was active on most of the isolates ($n = 78$; 76%), but with high MICs ranging from 2 mg/liter to 8 mg/liter, whereas wild-type strains have MICs between 0.064 and 0.5 mg/liter. Finally, in most of the cases, isolates were resistant to trimethoprim-sulfamethoxazole ($n = 70$; 68%). As expected, all strains were resistant to temocillin (MICs ranging from 64 to >256 mg/liter), which is currently considered a marker for suspected OXA-48 production.

TABLE 2 Antibiotic susceptibility of CPE isolates^a

Antibiotic	Class B (32 isolates) (%)		Class D (103 isolates) (%)		All CPEs (137 isolates) (%)	
	Susceptibility	Resistance	Susceptibility	Resistance	Susceptibility	Resistance
Ceftazidime	0	100	54	46	41	59
Colistin	100	0	100	0	100	0
Fosfomycin	81	19	99	1	95	5
Imipenem	25	75	99	1	81	19
Amdinocillin	28	72	76	24	64	36
Nitrofurantoin	84	16	99	1	96	4
Temocillin	0	100	0	100	1	99
Trimethoprim-sulfamethoxazole	22	78	32	68	31	69

^aAccording to Comité de l'antibiogramme de la Société Française de Microbiologie (CA-SFM)-EUCAST breakpoints.

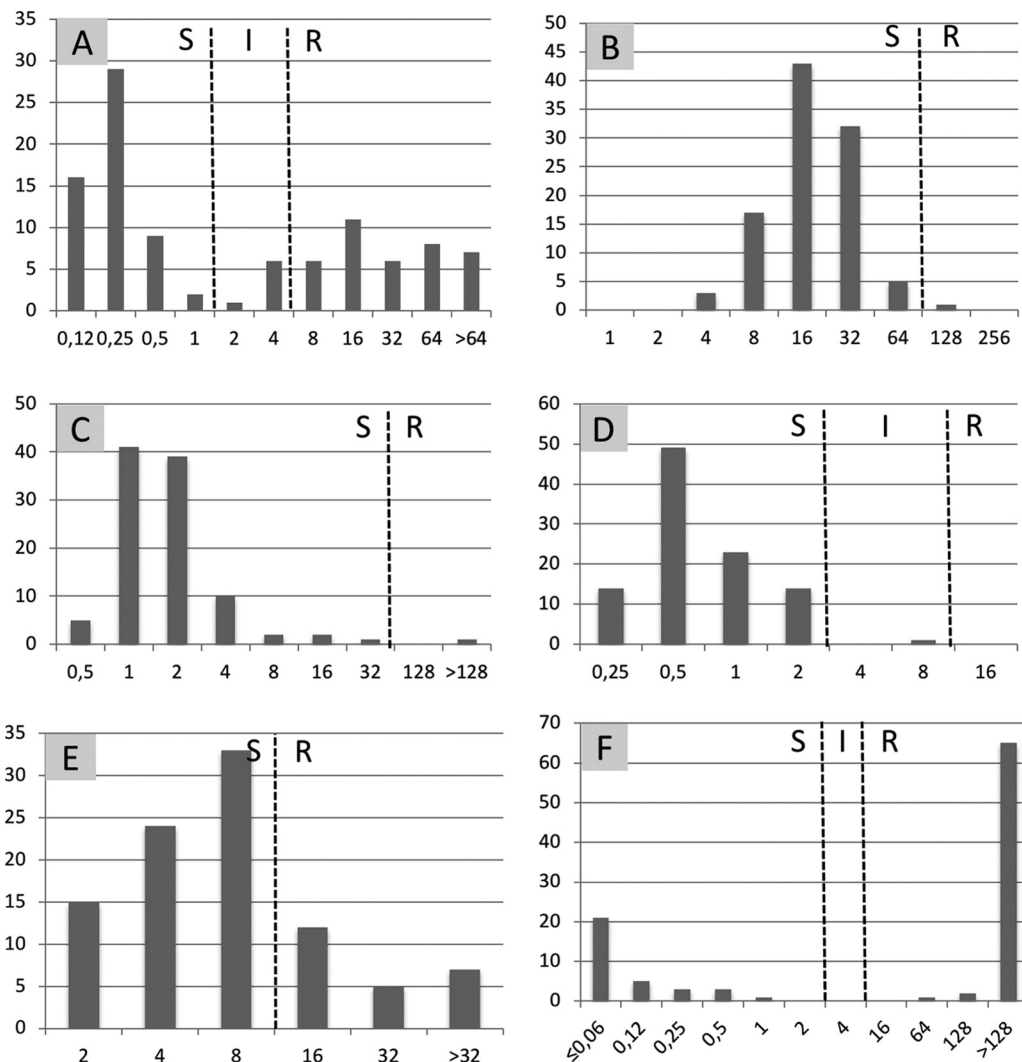


FIG 1 Antimicrobial susceptibility of class-D-carbapenemase-producing *E. coli* ($n = 103$). x axis, MIC in mg/liter; y axis, number of isolates. A, ceftazidime; B, nitrofurantoin; C, fosfomycin; D, imipenem; E, amdinocillin; F, trimethoprim-sulfamethoxazole; S, susceptible; I, intermediate; R, resistant.

When considering susceptibility testing on the 32 MBL producers (27 NDM and 5 VIM), all were resistant to ceftazidime (MICs > 64 mg/liter) and temocillin (MICs from 16 to >256 mg/liter). Only 7 isolates (22%) were susceptible to trimethoprim-sulfamethoxazole. In contrast, colistin was fully active toward all tested strains (MICs of 0.25 or 0.5 mg/liter). Fosfomycin and nitrofurantoin remained active in 81% (26/32) and 84% (27/32) of the MBL isolates, respectively. For 28% (9/32) and 25% (8/32) of MBL-producing *E. coli* isolates, respectively, amdinocillin and imipenem were categorized as susceptible.

Clonal relationship. The distribution of the sequence types among the *E. coli* isolates is represented in Fig. 2 and shows a high diversity; 50 different sequence types (STs) were identified (including 2 new STs). ST38, clonal complex 10 (CC10, which includes ST10, ST167, ST617, and ST48), and clonal complex 23 (CC23, which includes ST88, ST90, and ST410) were the most commonly identified, representing 17.1% ($n = 24$), 17.8% ($n = 25$), and 15.0% ($n = 21$), respectively, of 140 carbapenemase-producing *E. coli* isolates. Six isolates (4.3%) belonged to ST405 and ST101 and five isolates (3.6%) belonged to clonal complex 155 (CC155, which includes ST155 and ST58). The other isolates ($n = 53$; 37.8%) belonged to single diverse STs (Fig. 2). Plasmid DNA was extracted from all OXA-48-producing ST38 isolates ($n = 21$). A large majority ($n = 17$; 81%) of the strains has no plasmid (data not shown). Furthermore, all electrotransfor-

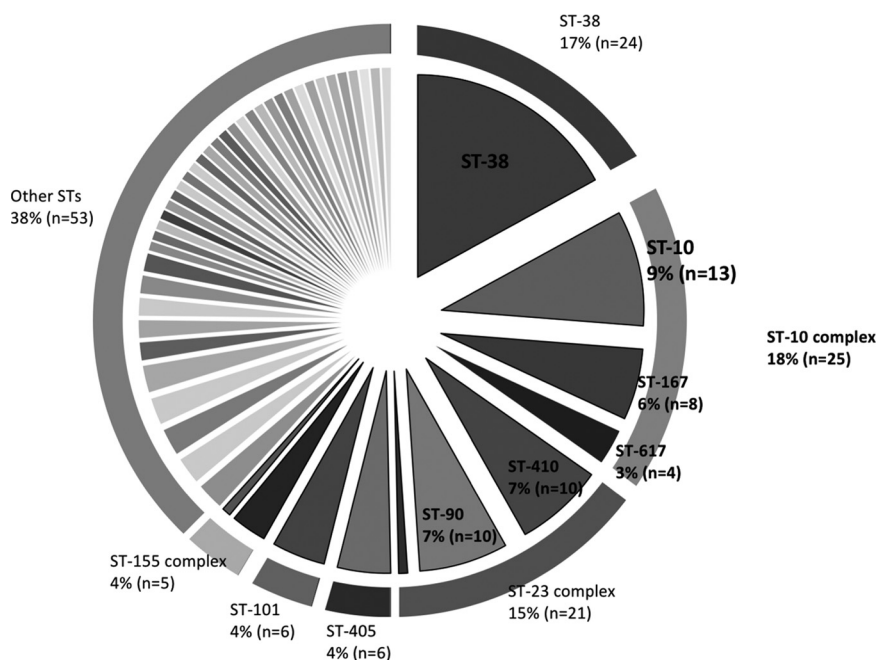


FIG 2 Diversity of sequence types (ST) among carbapenemase-producing *E. coli* isolates. Major STs are indicated in %; *n*, total number of isolates.

mation attempts were negative, strongly suggesting that *bla*_{OXA-48} gene is inserted into the chromosome of this particular clone, as previously suggested (13).

Clonal relationships were further analyzed using repetitive sequence-based PCR (rep-PCR). Results were then compared to those obtained by multilocus sequence typing (MLST) (Fig. 3). Among 9 OXA-204 producers, which displayed similar rep-PCR patterns, 8 originated from the same hospital and were thus suspected to be part of an outbreak. This outbreak was further investigated and could be linked to a contaminated endoscope (14). Of note, poor similarity was found for most of the isolates from CC10, suggesting the absence of localized ongoing outbreak and the strong ability of this particular clonal background to acquire plasmids harboring carbapenemase genes. The same kind of result was also observed for isolates of ST38, which could be divided into 4 branches according to the rep-PCR results (Fig. 3). However, among all ST38 isolates (*n* = 24), 21 possessed the same rep-PCR pattern (including 20 OXA-48 producers and 1 NDM-1 producer), suggesting clonal diffusion of a particular ST38 strain. Finally, one ST767 isolate expressing VIM-1 shared a similar rep-PCR pattern to those of the four ST58 isolates expressing OXA-48. Finally, isolates of ST101 are quite diverse in terms of Diversilab results (3 different patterns) and in terms of produced carbapenemases.

DISCUSSION

Before 2011, in France the spread of carbapenemase-producing *Enterobacteriaceae* was mainly associated with hospital dissemination (15). However, identification of CP-*Ec* strains strongly suggest a dissemination of these strains outside the hospital. This is further supported by the increasing number of CP-*Ec* isolates received by the F-NRC despite the lack of hospital outbreaks (6). Only one hospital outbreak was clearly established in our study, an endoscopy-associated transmission of a carbapenem-resistant ST90 and OXA-204-producing *E. coli* strain (14).

Epidemiological analysis of the 140 CP-*Ec* recovered in France in 2012 and 2013 showed a high diversity of circulating clones, in a similar manner to that previously reported between 2001 to 2012 (15–17). However, in the present study, which combined MLST and rep-PCR typing results, 6 clonal complexes, namely, CC10 (especially ST10 and ST167), ST38, CC23 (especially ST410 and ST90), CC155, ST101 and ST405 are clearly overrepresented.

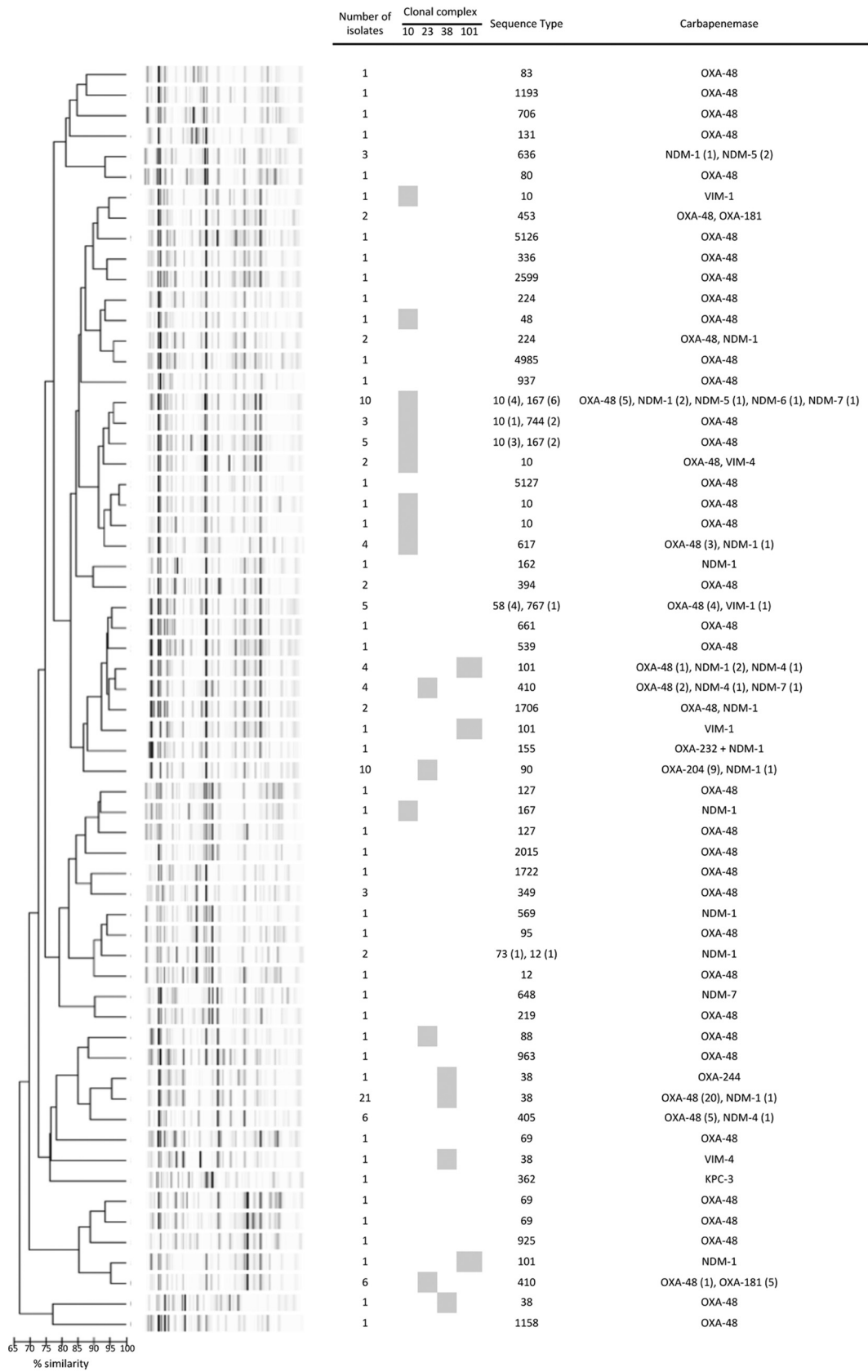


FIG 3 Comparisons of *E. coli* isolates by MLST and Diversilab methods. Gray boxes indicate clonal complexes. Number of isolates with an identical Diversilab pattern is indicated. Expressed carbapenemases are indicated for each Diversilab pattern. Numbers in parentheses correspond to the number of isolates with a given carbapenemase or multilocus sequence type.

Five OXA-181-producing *E. coli* ST410 isolates were recovered from patients with no epidemiological link. One of these isolates was identified by a community-serving diagnostic laboratory from a patient with no history of recent travel. This clone might be an emergent clone, since ST410 has already been described as a reservoir of resistance genes, particularly of the *bla*_{CTX-M-15} gene (18–20). Interestingly, ST410 was found several times in epidemiological studies of multidrug-resistant (MDR) *E. coli* strains in animals, suggesting a dissemination of this clone through the food chain (18–20). Since 2012, the proportion of the OXA-181 variant is increasing in the class D carbapenemases, from less than 1% in 2012 to 8% in 2015 (however, it is far behind OXA-48, which was found in ~90% of the class D carbapenemases in 2015; L. Gauthier, personal data). Furthermore, this variant may be underestimated since carbapenem hydrolytic activity is very low, with sometimes false-negative results obtained with phenotypic methods such as the Carba NP test (21).

Other identified STs or CCs were already described as multidrug resistant, particularly as extended-spectrum β -lactamase (ESBL) producers, namely CC10 complex (22) and ST405 (23). Their resistance profiles, geographical origins, and carbapenemase types varied, suggesting a common origin but with further independent evolution. Nevertheless, among the 8 *E. coli* ST167 (CC10) isolates, 5 were positive for NDM and 3 were linked to India. In addition, among 4 *E. coli* ST-617 isolates, 3 had a link with Morocco. Susceptibility to non- β -lactam antibiotics varied among them, but a link with a foreign country could be established (2 with Algeria, one with Morocco, one with Vietnam), suggesting the spread of this clone in North African countries and may be in South East Asia. Among the 6 *E. coli* ST101 isolates, 4 produced an NDM-like carbapenemase, and three of them had a link with the Indian subcontinent, a result that is in accordance with another study (24).

Surprisingly, only one CP-*Ec* isolate belonged to ST131, although this globally disseminated and multidrug-resistant clone is notably responsible for the worldwide dissemination of the ESBL CTX-M-15. Of note, carbapenemase-producing *E. coli* ST131 isolates have been previously identified (25, 26), but most of them were positive for KPC or VIM carbapenemases and were reported from Italy, China, and the United States, which does not correspond to the French epidemiology (mostly OXA-48 producers).

Regarding antimicrobial susceptibilities, colistin (100%), fosfomycin (95%), and nitrofurantoin (96%) remain the most active drugs against CP-*Ec* isolates. These results are of great importance, since fosfomycin and nitrofurantoin are frequently prescribed for urinary tract infections in the community, even without microbiological documentation. These results are in accordance with those of previous studies (27, 28), except for those for nitrofurantoin, for which a susceptibility of 40% was found for 7 *E. coli* isolates tested (27). Almost all OXA-48-like carbapenemase producers were categorized as susceptible to imipenem according to EUCAST breakpoints. However, it is always controversial to propose imipenem for the treatment of infections due to OXA-48-producing bacteria, as the results obtained *in vitro* cannot be translated to the *in vivo* setting (29, 30). Ceftazidime remains active against half of the OXA-48-like producing *E. coli* isolates that do not coexpress an ESBL. Accordingly, it can be a reliable molecule for the treatment of infections caused by OXA-48-producing *E. coli* strains that do not produce an ESBL or a plasmid-mediated AmpC cephalosporinase, since MICs are in that case comparable to those of wild-type isolates. In the case of coproduction of an enzyme (ESBL or plasmid-mediated AmpC cephalosporinase) that is able to hydrolyze expanded-spectrum cephalosporins, ceftazidime-avibactam therapy was shown to be a relevant therapeutic option. A majority of OXA-48-producing *E. coli* isolates are multisusceptible (e.g., 50/87 do not coexpress an ESBL). Indeed, the IncL/M type plasmid that carries the *bla*_{OXA-48} gene does not carry other resistance genes. In 39% (34/87) of the CP-*Ec* cases, an initial OXA-48-producing *K. pneumoniae* isolate was found, suggesting an *in vivo* transfer within the patient's gut.

The isolates in this study were isolated 5 years ago, but their features are quite similar to those of more recent years. While the number of CP-*Ec* cases has increased (488 isolates received at the F-NRC in 2016), *E. coli* remains the second most common

species among CPE isolates (31.5%) behind *K. pneumoniae* (38.5%) and far ahead of *E. cloacae* (12%) (T. Naas, personal communication). OXA-48-like carbapenemases remain the main carbapenemase in France (82% of the CP-*Ec* isolates in 2016), with still a large majority of OXA-48 variants (>90%). A significant difference is the increasing number of isolates addressed to the F-NRC by community-serving (nonhospital) laboratories, which further suggests a dissemination of CPEs in the community.

MATERIALS AND METHODS

Bacterial isolates. Between January 2012 and December 2013, 140 CP-*Ec* isolates received by the F-NRC were investigated. These isolates were identified at the species level using MALDI-TOF mass spectrometry (MALDI Biotyper system; Bruker Daltonics, Wissembourg, France).

Susceptibility testing. Antimicrobial susceptibility testing was performed by the disk diffusion method on Mueller-Hinton (MH) agar (Bio-Rad, Marnes-la-Coquette, France) and interpreted according to updated 2018 EUCAST breakpoint tables for interpretation of MICs and zone diameters, version 8.0 (11). To determine susceptibility to temocillin, breakpoints from CA-SFM/EUCAST 2018 were used (31). MICs were determined for ceftazidime, imipenem, colistin, fosfomicin, amdinocillin, temocillin, trimethoprim-sulfamethoxazole, and nitrofurantoin using broth microdilution (Sensititre; Thermo Fisher Scientific, Paris, France). The production of extended-spectrum β -lactamases (ESBLs) was evidenced by a double-disk synergy test using cefepime, ceftazidime, and ticarcillin-clavulanic acid disks.

PCR and sequencing of β -lactamase-encoding genes. Whole-cell DNA was extracted using the QIAmp DNA minikit following the manufacturer's recommendations (Qiagen, Courtaboeuf, France). All isolates were screened by PCR for the carbapenemase-encoding genes *bla*_{KPC}, *bla*_{OXA-48-like}, *bla*_{VIM}, *bla*_{NDM}, and *bla*_{IMP}, as previously described (32). ESBL-producing strains were screened for the genes *bla*_{CTX-M}, *bla*_{TEM}, and *bla*_{SHV}. In the case of a positive signal, the entire genes were amplified and subsequently sequenced using an automated sequencer (3130 Genetic Analyzer; Applied Biosystems, Les Ulis, France). The nucleotide and deduced protein sequences were analyzed using the BLAST module of the Beta-Lactamase DataBase (BLDB) website (33).

Clonal relationship. Multilocus sequence typing (MLST) with seven housekeeping genes (*adhA*, *fumC*, *gyrB*, *icd*, *mdh*, *purA*, and *recA*) was performed for *E. coli* isolates according to Wirth et al. (34). Allelic and ST numbers were determined using the Warwick scheme (<http://mlst.warwick.ac.uk/mlst/dbs/Ecoli>). The genetic relationship between the *E. coli* isolates was studied using Diversilab, a semiautomated typing system based on repetitive sequence-based PCR (rep-PCR), following the manufacturer's instructions (bioMérieux, La Balme les Grottes, France). A 95% cutoff value of similarity was used to define a cluster, as recommended by the manufacturer.

Plasmid DNA analysis and transformation assays. Plasmid DNA was extracted from the isolates using the Kieser technique, as previously described (35). *E. coli* NCTC50192, harboring four plasmids of 154, 66, 48, and 7 kb, was used as a plasmid size marker (34). Plasmid DNAs were analyzed by agarose gel electrophoresis. Direct transfer of OXA-48 resistance markers was attempted by electrotransformation of purified plasmid DNA, using *E. coli* TOP10 as the recipient (36). Selection was performed on Trypticase soy agar plates supplemented with temocillin (16 μ g/ml).

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