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Author for correspondence:

Gabrielle L. Davidson e-mail: gabrielle.davidson@ucc.ie

The gut microbiome as a driver of individual variation in cognition and functional behaviour

Gabrielle L. Davidson¹, Amy C. Cooke¹, Crystal N. Johnson^{2,3}

and John L. Quinn¹

¹School of Biological, Earth and Environmental Sciences, University College Cork, Distillery Fields, North Mall, Cork, Ireland T12 XF62 ²APC Microbiome Ireland, University College Cork, Cork, Ireland

³Teagasc Food Research Centre, Moorepark, Fermoy, Ireland P61 C996

GLD, [0000-0001-5663-2662](http://orcid.org/0000-0001-5663-2662)

Research into proximate and ultimate mechanisms of individual cognitive variation in animal populations is a rapidly growing field that incorporates physiological, behavioural and evolutionary investigations. Recent studies in humans and laboratory animals have shown that the enteric microbial community plays a central role in brain function and development. The 'gut –brain axis' represents a multi-directional signalling system that encompasses neurological, immunological and hormonal pathways. In particular it is tightly linked with the hypothalamic–pituitary –adrenal axis (HPA), a system that regulates stress hormone release and influences brain development and function. Experimental examination of the microbiome through manipulation of diet, infection, stress and exercise, suggests direct effects on cognition, including learning and memory. However, our understanding of these processes in natural populations is extremely limited. Here, we outline how recent advances in predominantly laboratory-based microbiome research can be applied to understanding individual differences in cognition. Experimental manipulation of the microbiome across natal and adult environments will help to unravel the interplay between cognitive variation and the gut microbial community. Focus on individual variation in the gut microbiome and cognition in natural populations will reveal new insight into the environmental and evolutionary constraints that drive individual cognitive variation.

This article is part of the theme issue 'Causes and consequences of individual differences in cognitive abilities'.

1. Background

The field of comparative cognition has long focused on describing cognitive mechanisms underlying behaviour across species, and has revealed insight into the convergent evolution of cognitive abilities among different taxa, such as corvids and apes [\[1\]](#page-8-0). Among other factors, comparative cognition has improved our understanding of the selection pressures that favour specific cognitive mechanisms, such as spatial memory in food-hoarding birds [\[2\]](#page-8-0). After many decades of intense study on differences between species, many researchers are increasingly exploring the causes and consequences of variation among individuals (e.g. [[3](#page-8-0)]). Proximate causes associated with cognition, such as brain morphology (e.g. [\[2\]](#page-8-0)) and hormonal responses (reviewed in [[4](#page-8-0)]), have previously been identified, and along with behavioural measures, provide additional dimensions for quantifying cognition. Moreover, proximate mechanisms that are intrinsically linked with cognition provide insight into properties of cognition, such as phenotypic plasticity and the relative contribution of genetic, environmental and developmental effects. We argue that the microbiome is an important trait that should be explored as a mechanism explaining individual differences in cognition that have not been explored at all in natural populations outside of human studies.

The microbiome refers to microbial communities and their collective genetic material found in and on the body, and is most commonly studied within the gut. Studies have demonstrated a major role for the gastrointestinal system's microbiome in the development and maintenance of brain function [\[5\]](#page-8-0), mediated by direct links with immune and endocrine systems (reviewed in [[6](#page-8-0)]). What makes the relationship between the microbiome and brain especially significant from an evolutionary and ecological perspective is that research on humans and other animals including insects, birds and mammals suggests that the microbiome differs among individuals as a result of environmental factors, such as diet [\[7](#page-8-0)–[9\]](#page-8-0), infection [[10\]](#page-8-0), stress (reviewed in [[11\]](#page-8-0)), sociality [\[12,13](#page-8-0)] and host genotype [\[14,15](#page-8-0)], and that the microbiome can have direct effects on cognition, as demonstrated in laboratory mice (Mus musculus) and human infants [\(table 1](#page-2-0) and references therein). In wild animal populations the roles of food and infectious diseases are important factors that have the potential to alter the microbiome of individuals. Furthermore, social interactions both influence stress and can facilitate the transmission of commensal and pathogenic bacteria across individuals [[25,26\]](#page-9-0), leading to differences in gut microbiota composition among social groups [\[13](#page-8-0)[,27](#page-9-0)]. Here we describe how the study of microbial communities among individuals has almost entirely unexplored potential to explain individual differences in cognition and associated functional behaviours.

2. Communication between the microbiome and the brain

The gut –brain axis (GBA) describes bidirectional communication between the gastrointestinal tract and the brain through neural, endocrine and immune pathways. The physiological mechanisms through which this communication occurs are complex, not yet fully understood and have been reviewed elsewhere [[6](#page-8-0)[,28](#page-9-0) –[31\]](#page-9-0). In brief, communication networks include the central nervous system (CNS), the enteric nervous system (ENS), autonomic nervous system (ANS) and the hypothalamic–pituitary – adrenal (HPA) axis. The microbiome can modulate the ENS through the excitability of the nervous system [\[6,](#page-8-0)[32\]](#page-9-0), the production of neurotransmitters such as gamma-aminobutyric acid (GABA) and serotonin [\[33](#page-9-0)], and metabolites such as short chain fatty acids that enable signals to reach the CNS through the vagus nerve [\[34](#page-9-0)], the longest cranial nerve linking the brainstem to the intestine. Messages from the brain to bacteria in the gut are received by receptors with binding specificity for brain-controlled signalling molecules (reviewed in [\[28](#page-9-0)]). Gut –brain communication is also tightly linked to the HPA axis, an endocrine system that coordinates the production and release of stress hormones, including corticosterone, and is known to influence cognition (reviewed in [[4](#page-8-0)]). The development and regulation of the HPA axis is, at least in part, controlled by the microbiome [[5](#page-8-0),[35,36\]](#page-9-0), while the microbiome also responds to signals sent by the HPA axis. Signalling from the brain and the release of stress hormones can influence the permeability of the intestinal barrier maintained by the composition of the microbiome community. Disruption to gut permeability

has knock-on effects on the uptake of nutrients and the blocking of pathogens and toxins, thus stimulating immune responses (reviewed in [[28,31\]](#page-9-0)). Experimental studies have shown that alterations within the GBA have a direct impact on behaviour, cognitive abilities and neuronal and protein expression in the brain, which we describe throughout this review.

The relationship between the brain and the microbiome is apparent from several studies that have demonstrated marked changes in host cognitive performance between normal, germ free (i.e. mice born without any microorganisms housed in sterile isolators) and experimentally altered microbial communities (i.e. restricted diets, antibiotics, microbiota transplantation and infectious vectors) ([table 1](#page-2-0) and references therein). Cognitive tasks include tests of learning, behavioural flexibility, fear recall, working and spatial memory and social learning [\(table 1\)](#page-2-0), with significant differences in cognitive performance found even with small sample sizes (typically ranging from 9 to 15 individuals). These studies are frequently complemented with histology and gene expression assays that look at markers for brain cell activity and neuronal number in, for example, the hippocampus and amygdala (e.g. [[10,19](#page-8-0)[,23](#page-9-0)]). Neurotransmission in the hippocampal serotonergic system is disrupted in a sexdependent manner in GF mice [\[35](#page-9-0)], a system associated with inhibitory control [[37,38](#page-9-0)]. GF mice also have impaired fear-conditioned memory recall associated with differential gene expression in the amygdala compared to control mice, suggesting that an altered microbiome disrupts cellular signalling and causes neuronal hyperactivity [\[19](#page-8-0)]. These studies provide causal and functional explanations for links between cognitive and biological phenotypes that can be investigated further by looking at a range of variation in microbial communities across individuals to better understand the biological relevance of microbiome variation and its impact on cognition in natural populations.

3. Individual differences in the microbiome

Much of what we know about individual differences in the microbiome stems from human studies that categorize the bacterial composition into enterotypes, that is, distinct profiles defined by the relative abundance of microbes from different taxonomic groups (e.g. [[39\]](#page-9-0)). Humans host an incredibly variable microbiome comprising between 1000 and 1150 different bacterial species [[40](#page-9-0)–[43\]](#page-9-0) and a plethora of studies have addressed gastrointestinal diversity in an effort to define the core microbial communities associated with internal (e.g. age, heredity) and external (e.g. pharmaceutical, dietary) factors (e.g. [[44\]](#page-9-0)). Given the complexity of individual microbiome variation, comprehensive, metagenomic investigations have defined a core functional microbiome comprised of 100-fold more unique genes than in the human genome [\[45](#page-9-0)]. Studies are now documenting the microbiome within and between species in a range of animal taxa, including insects (e.g. [\[46](#page-9-0)]), birds (e.g. [[47\]](#page-9-0)) and other mammals (e.g. [[48\]](#page-9-0)). Species diversity within the gut microbiota can be quantified by sequencing the organisms found in faecal material, and in some cases through cloacal swabs (e.g. [[49\]](#page-9-0)) and directly from enteric tissue (e.g. [\[50](#page-9-0)]). Sequencing typically targets the small subunit ribosomal RNA gene (16S rRNA), a highly conserved gene that can be used as an evolutionary marker to

refers to mice born with natural microbiome, germ-free (GF) refers to mice born and kept free of any microbes and housed in sterile isolators, and ex-germ free (ex-GF) refers to mice born free of microbes and housed with C post-weaning (three weeks old) to fadiltate recolonization of microbes. Cognitive/behavioural results indicate if differences are significantly greater ($>$), significantly less ($<$) or non-significant (=). Neurological Table 1. Associations between natural/experimentally-altered microbiomes and cognitive tasks. All studies were performed on mice, except for one on human infants [16] and one on human adults [17]. Conventionally colonized refers to mice born with natural microbiome, germ-free (GF) refers to mice born and kept free of any microbes and housed in sterile isolators, and ex-germ free (ex-GF) refers to mice born free of microbes and housed with C post-weaning (three weeks old) to fadilitate recolonization of microbes. Cognitive/behavioural results indicate if differences are significantly less (\gtrsim) or non-significantly (==). Neurological results are a brief Table 1. Associations between natural/experimentally-altered microbiomes and cognitive tasks. All studies were performed on mice, except for one on human infants [[16](#page-8-0)] and one on human adults [[17](#page-8-0)]. Conventionally colonized overview and readers are referred to original papers for further details. BDNF, brain derived neurotrophic factor; OTUs, operational taxonomic units. overview and readers are referred to original papers for further details. BDNF, brain derived neurotrophic factor; OTUs, operational taxonomic units.

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(Continued.)

Figure 1. Causes and consequences of individual variation in the microbiome in relation to environmental and developmental effects, and the subsequent impact on neurological, cognitive and behavioural traits. Arrows indicate potential causal directions of relationships and are not exhaustive (for example, development may directly affect cognition independent of microbiome). Italics refer to selected phenotypes that have yet to be investigated empirically (i.e. animal personality) and where null results have been found (i.e. social information transfer [\[18](#page-8-0)]).

identify taxa and their relative abundance. Alternatively, a shotgun sequencing approach captures all genes present and enables identification of the functional properties of genes within bacterial taxa. Clusters of gene sequences from the microbiota that have more than 97% shared sequence similarity in the 16S rRNA gene are known as operational taxonomic units (OTUs), and the proportion of OTUs that belong to distinct phyla varies across host taxa. Bacteroidetes and Firmicutes are the most common phyla in humans and other mammals, while Proteobacteria and Firmicutes are typically the most common in passerine birds (e.g. [[47\]](#page-9-0)). Variation in the microbiome may be determined by host genes [\[14,15](#page-8-0)], developmental conditions (e.g. [[51\]](#page-9-0)), natal environment (e.g. birth canal [[52\]](#page-9-0), nest environment [\[53](#page-9-0)]) and current environmental effects (e.g. diet, infection, acute stress (e.g. [[9](#page-8-0),[10](#page-8-0)])), all of which can determine how resilient the microbiome is to perturbations that may alter its community composition. What causes this variation is at the heart of understanding the ecological and evolutionary significance of links between the microbiome and cognition (figure 1).

4. Early life effects on the microbiome and cognition

Early life is a critical period for gut colonization [\[51](#page-9-0)], the establishment of the HPA axis, as well as neurodevelopment ([\[4\]](#page-8-0) and references therein), and growing evidence suggests these systems are interrelated. Disruption to the HPA axis following developmental stressors can impair brain development and function (reviewed in [[4](#page-8-0)[,54](#page-9-0)]). This includes, among other effects, a reduction in neurogenesis following maternal separation [\[55](#page-9-0)] and social isolation [\[56](#page-9-0)] in rodents, and disrupted song learning through elevated stress hormones and nutritional restriction in birds (reviewed in [\[54](#page-9-0)]). Developmental stressors also reduce bacterial communities in primates [[57\]](#page-9-0) and rats [[58\]](#page-9-0), and GF mice develop exaggerated corticosterone

responses [\[59](#page-9-0)] and disrupted neurogenesis [[60](#page-9-0)]. Recolonization in mice can normalize adrenal responses, but only within an early developmental window [\[59,60](#page-9-0)]. Moreover, variation in microbiome diversity in one-year-old human infants can predict their cognitive abilities at two years of age [\[16](#page-8-0)]. Clearly, there are interactive effects of the microbiome and the HPA axis on the brain, yet studies that consider both systems as mechanisms influencing adult phenotypes are limited to rodents and primates, despite the well categorized work on developmental stress in other taxa such as birds [[54\]](#page-9-0). Understanding the causal relationships between these pathways across taxa would provide a broader understanding of how early life experiences, such as dietary changes and maternal hormones, cause individual differences in brain function. Moreover, further work is needed to identify in what stages developmental effects on cognition can or cannot be reversed by, for example, newly colonized microbiota through natural transmission from the environment, or by targeted experimental approaches such as faecal transplantation. Indeed many animals engage in coprophagy by eating their own or conspecifics' faeces (reviewed in [[61\]](#page-10-0)). This behaviour is typically observed during periods when microbiome enhancement may be most critical, such as during early development (by eating mother or sibling excretions (e.g. [[62\]](#page-10-0)) and in response to environmental stressors (e.g. [[63\]](#page-10-0)).

Independent studies that have manipulated developmental history by either altering the microbiome in mice or altering corticosterone levels in birds have shown comparable effects on social behaviour. Unlike control mice, GF mice had no preference for novel as opposed to familiar mice, and had reduced attention times towards a demonstrator mouse during a social learning food-preference test. However, food choices following the social food-preference tasks were similar to CC mice, suggesting that disruption to social behaviour did not impair information use [\[18](#page-8-0)]. In separate studies, increased levels of corticosterone administered postnatally in food also caused changes in social learning strategies and information

use in birds [\[64,65](#page-10-0)]. Moreover, corticosterone-exposed birds avoided associating with their parents and yet, like GF mice, performed as well as control individuals on a foraging task [\[65](#page-10-0)]. These studies demonstrate that although performance appears equivalent in foraging tasks, individuals may be achieving these outcomes using different strategies mediated by at least two systems that modulate one another, namely the microbiome and the adrenal response. Developmental stress caused either by a disrupted microbiome or by administering glucocorticoids alters with whom individuals associate and from whom they learn (see also [[66\]](#page-10-0), this issue). Although recent studies have shown that social networks predict microbial composition in wild primates [\[12](#page-8-0),[26\]](#page-9-0), we predict that positions in social networks may also be mediated through microbiome, which in turn influences social transmission of microbes, both commensal and infectious, that may have beneficial [[13,](#page-8-0)[67\]](#page-10-0) or detrimental effects [\[68](#page-10-0) –[70\]](#page-10-0) on health and cognition.

5. Adult variability in the microbiome and cognition

Cognitive plasticity enables individuals to acquire, retain and update information over time [\[71](#page-10-0)]. For example, seasonal changes in neuron proliferation can increase hippocampal volume and improve memory performance in food-caching animals (e.g. [\[2,](#page-8-0)[72\]](#page-10-0)). The microbiome is also an intrinsic trait that mediates plasticity of cognitive traits by altering phenotypes such as protein expression in the brain [\[10](#page-8-0)], adult hippocampal neurogenesis [[22](#page-9-0)] and performance on cognitive tasks ([table 1](#page-2-0)). Changes in the microbiome can occur through pathogen infection [\[10](#page-8-0)], dietary changes (e.g. [\[21](#page-9-0)]) and exercise [[24\]](#page-9-0), all of which are expected to vary across individual lifetimes, particularly in animals that are prone to contracting infections, for example, through social interactions, in animals that experience temporal and geographical changes in food availability and in animals that vary their energy expenditure during migration or breeding seasons. The captive environment can also alter microbiome profiles and therefore has implications for testing cognition in laboratory settings (e.g. [\[73](#page-10-0),[74\]](#page-10-0)).

(a) Stress and infection

It is well established that stress can either enhance or block learning and memory, depending on the strength of the stressor and the individual's adrenal response (reviewed in [[4](#page-8-0)]). Moreover, the effect of stress on cognition may be dependent on the individual's microbiome, and individual differences in cognition may not be observed or properly quantified unless tested under different environmental gradients. Infection with the enteric pathogen, Citrobacter rodentium, caused shifts in microbial communities in CC mice, impaired memory and resulted in an associated reduction in hippocampal expression of brain-derived neurotrophic factor (BDNF), but only if mice were exposed to acute stress prior to cognitive testing. By contrast, GF mice without infection showed impaired memory regardless of stress exposure [[10\]](#page-8-0). Therefore, very different conclusions could be drawn regarding individual differences in cognition depending on gut microbiome and environmental conditions during cognitive

testing. Individual differences in memory might only be observed if infected individuals were tested under stress.

The role of the microbiome as a proximate mechanism mediating differences in cognition due to stress and infection has yet to be investigated in wild populations, though correlated changes between faecal glucocorticoid metabolites and the microbiome of wild red squirrels (Tamiasciurus hudsonicus) were shown to be associated with an increase in Pasteurellaceae, a group of potential pathogens [[75\]](#page-10-0). The causal direction of these relationships, whether individuals vary in their sensitivity to perturbation within a system and the degree of knock-on effects across systems are all unknown. Manipulative studies are required both in the natal environment and in adulthood, whereby one of these systems is altered (e.g. the microbiome) and the other system is measured (e.g. corticosterone concentrations) to identify the causal effects, individual differences in response to these alterations and the circumstances under which disruption affects individual cognition.

(b) Behavioural activity

A direct parallel can be drawn between exercise in humans and 'behavioural activity' in animals. Exercise in humans and rodents can increase adult neurogenesis (e.g. [\[24](#page-9-0)[,76\]](#page-10-0)) and alter microbiome profiles (e.g. [[77](#page-10-0)]), and recent evidence points to the microbiome's role in mediating the effects of exercise on the generation of neural stem cells [\[22\]](#page-9-0). Exercise is important in reversing negative effects on neurogenesis as well as spatial and object recognition memory associated with microbial imbalance caused by antibacterial treatment in adult mice [[22](#page-9-0)]. These processes may be relevant to animals during natal dispersal, or migrating animals such as birds that experience shifts in their microbiota as a result of long periods without feeding, or due to dietary changes across breeding grounds, stopover locations during migration and nutrient availability on non-breeding grounds [\[78](#page-10-0)]. Migratory staging could include increased use of farmlands [[79\]](#page-10-0) that can be contaminated with antibiotics present in animal feed [[80\]](#page-10-0). Whether the effect of bacterial community modulation and activity levels on neurogenesis in mammals are also observed in birds has yet to be explored. Given that the hippocampus and spatial memory may be important in some species for effective migration [[81\]](#page-10-0), fluctuations in neurogenesis and memory associated with alterations in the gut microbiota could have the potential to influence the efficiency of individual migratory events. However, activity associated with long-distance flights may buffer effects on neurogenesis due to negative shifts in avian microbial populations. Differences in behavioural activity levels among individuals may also be associated with animal personalities, defined as consistent individual differences in behaviour over time [\[82](#page-10-0)]. Indeed, one notable behavioural measure that is frequently associated with the microbiome is anxiety, sometimes measured in terms of activity or exploratory behaviour (reviewed in [[11](#page-8-0)]). Although not yet tested explicitly in the context of animal personality, we predict that variation in traits such as activity, anxiety or boldness may be associated with individual differences in microbiome profiles.

(c) Diet and foraging behaviour

Diet as a consequence of foraging behaviour is a fundamental aspect of animal ecology and evolution, and access to food types can be influenced by a range of factors including

Figure 2. Positive feedback loops between microbiome diversity and cognition. A highly diverse microbiome improves memory and behavioural flexibility and associated foraging success, and as a result, dietary breadth maintains a diverse microbiome. By contrast, low microbial diversity impairs cognitive abilities, resulting in poor foraging success. However, the gut microbiome can influence host food preferences and reward valence as a means to increase microbial diversity, and to improve learning and memory for food sources, breaking out of the positive feedback loop (black arrow). Finally, individual differences in cognition (specificity versus generalization), competitive ability and innovativeness influence diet. Arrows represent directional influences on, or in response to, phenotypic traits (represented within arev boxes).

cognition, competitive ability and innovativeness. Diet has been reported to alter microbiome composition, sometimes within 24 h [\[83](#page-10-0)]. Dietary manipulation has also led to impaired or enhanced cognition in mice models [[7](#page-8-0),[9](#page-8-0)[,21](#page-9-0)], and in one case has been shown to be independent of nutritional value [[7](#page-8-0)]. Diet varies between and within species along spatial and temporal scales, which can cause shifts in gut microbiota as demonstrated in animals that engage in coprophagy [[61\]](#page-10-0), migratory birds during stopovers [\[84](#page-10-0)], wild mice across seasons [\[85](#page-10-0)] and animals brought into captivity [\[73](#page-10-0)], and therefore could impact cognition as a result. Microbiome shifts may also arise in the opposite causal direction when cognition directly affects an individual's dietary preferences or capacity to gain access to food, resulting in feedback loops between cognition and the microbiome via diet (figure 2). For instance, individual differences in learning specificity versus generalization of aposematic warning signals will affect the types of food individuals ingest when encountering novel prey [\[86](#page-10-0)]. If aversion to known aposematic signals is generalized to novel prey species that share similar cues, individuals may reduce the chances of ingesting toxic substances that could have adverse effects on gut microbiota, but generalized aversion may also restrict their dietary breadth of palatable prey, resulting in a less varied microbiome than individuals that sample prey that do not share the specific features of learned warning signals. These learning strategies and associated effects on the microbiome would be reliant on the range of variable prey types present in the environment (e.g. [\[86](#page-10-0)]).

Individual microbiome profiles mediated by food access may be further determined by behaviours such as competitive ability, which has been shown to be negatively correlated with novel foraging behaviour [\[87](#page-10-0)] and learning [\[88](#page-10-0)] through the 'necessity drives innovativeness' hypothesis. Consequently, competitive individuals may have greater access to easily accessible foods (e.g. bird feeders), while less competitive individuals are more likely to use alternative, more diverse food sources through innovative foraging behaviours. Multiple related phenotypic traits including innovation, cognitive traits

such as learning and generalization and animal personality traits such as competitive ability, all have the potential to influence the microbiome composition mediated through diet, which in turn may feedback on cognition (figure 2).

(d) Nutrition

The effects of food nutritional value and foraging success on individual cognition are almost entirely unknown in natural populations (but see [\[89](#page-10-0)]), and studies on how these effects are mediated by the microbiome are restricted to the laboratory. High sugar and high fat diets in mice caused alterations in microbiota down to the genus level and impaired spatial memory and reversal learning, with effects most prominent in high-sugar groups [[21\]](#page-9-0). The consequences of diet may be particularly relevant for species that consume a high proportion of sugar-rich foods such as nectar (e.g. hummingbirds) and fat-filled foods such as seeds and nuts (e.g. Clark's nutcracker, Nucifraga columbiana, and squirrels), while depending on spatial memory to remember which flowers have been depleted (e.g. [[90\]](#page-10-0)) and where food caches have been stored [[2](#page-8-0)[,72](#page-10-0)]. Alternatively, it may be found that, for example, species with sugar-rich diets have uniquely adapted physiological systems that benefit from having high proportions of sucrose-utilising bacteria. Other food types, such as protein, can increase microbial diversity and improve performance on tests of working and spatial memory [\[9\]](#page-8-0). Individuals within and across populations that vary in their propensity to forage on meat through innovative and opportunistic hunting behaviours [[91,92](#page-10-0)] would facilitate individual variation in their microbiome. Positive feedback loops between cognition and foraging may be present whereby, for example, individuals with low microbial diversity have impaired behavioural flexibility and/or memory, and as a result are restricted to a more limited dietary niche, which in turn maintains low microbiome diversity (figure 2).

Food preferences can influence learning acquisition and memory retention depending on the nutritional content of the food types given (e.g. [[93](#page-10-0)–[95\]](#page-10-0)), whether they can be metabolized [\[96](#page-10-0)] and if reward receptors for the metabolites are present in the brain [[97\]](#page-10-0), independent of taste perception [\[96](#page-10-0)]. The microbiome can alter reward signal pathways, release toxins that influence host mood, alter taste receptors and produce exact mammalian neurochemical analogues that are transmitted to the brain via the vagus nerve, all of which may contribute to changes in eating behaviour (reviewed in [\[98](#page-10-0)]). The absence of distinct commensal gut bacteria can modulate food preferences when Drosophila melanogaster (the common house-fly) is deficient in essential amino acids (eAA) [[99](#page-10-0)]. By preferentially choosing foods containing Acetobacter pomorum and Lactobacilli species, individuals reversed the negative effects associated with eAA deficiency. This behaviour suggests that Drosophila can directly modulate their own microbiome, and this change in food preference could act as a mechanism to break free of positive feedback loops between poor foraging success and low microbial diversity [\(figure 2](#page-6-0)). Moreover, the metabolic profiles of the microbiome community could influence the strength of reward perception of different food types, thereby increasing reinforcement. As predicted by conditioning theory [[100](#page-10-0)], we would expect faster learning and more robust memory associated with foods that contain specific microbes or nutrients. Given the bi-directional communication of the GBA (see §2, reviewed in [\[6,](#page-8-0)[28](#page-9-0)–[31](#page-9-0)]) and evidence indicating that the vagus nerve can stimulate gut inflammation and intestinal permeability, perhaps resulting in microbiome modulation (reviewed in [\[101\]](#page-10-0)), it is tempting to speculate that neurotransmitters released by the brain as a result of obtaining these food types could be communicated to the microbiome via the vagus nerve. This proposition that the process of memory formation is signalled to the microbiome is an area of research that has yet to be explored.

When assessing links between the gut microbiota and cognition, it may be appropriate to disentangle the effects of nutrition versus microbiome on plasticity of cognitive performance. The transplantation of gut microbiota from experimental mice into control mice showed that cognitive changes associated with high fat diets were due to alterations in the microbiota, independent of food/nutrient ingestion [[7](#page-8-0)]. By contrast, beef-fed mice with higher working memory than chow-fed mice ingested higher concentrations of taurine [[9](#page-8-0)], an amino acid that can directly improve cognitive function [\[102\]](#page-10-0), potentially independently of the microbiome. In a passerine bird, parents preferentially fed their nestlings taurine-rich spiders when chicks were four days old, and chicks supplemented with extra taurine had improved spatial memory [\[89](#page-10-0)]. Taurine has been shown to influence microbiome composition in mice [\[104](#page-10-0)], and since the microbiome also plays a role in the absorption and synthesis of micronutrients, the metabolism of compounds such as polyphenols [[7](#page-8-0)[,104\]](#page-10-0) and taurine [\[103](#page-10-0)] may provide yet another pathway by which the microbiome influences cognition.

(e) Captivity

Individual differences in cognition are often quantified in environments where subjects are either reared in captivity or brought temporarily into captivity from the wild. Several aspects of the captive environment may influence individual cognitive performance, including neophobia, habituation and stress, but rarely is the effect of captivity on the microbiome considered. Captive-bred animals exhibit lower gut microbial

diversity than their wild counterparts across a wide range of host taxa including seals [\[105\]](#page-11-0), primates [[74\]](#page-10-0), birds [\[106\]](#page-11-0), rodents [[73\]](#page-10-0) and fish [[107](#page-11-0)], with some bacterial families being completely absent in captive animals [\[105\]](#page-11-0). In primates, the degree of impact reflects the intensity of captivity. Wild populations have the most natural microbiome, semi-captive sanctuary environments have an intermediate microbiome, and isolated captive populations have the least diverse microbiome [\[74](#page-10-0)]. Humans also follow this trend, whereby elderly populations confined to long-stay communities demonstrated decreased microbial diversity, lost taxa associated with positive health parameters and became frailer than those in short-stay communities or those who did not attend assisted living facilities at all [\[108\]](#page-11-0). The effects of reduced microbial diversity due to captivity on cognition are unknown, though we expect them to differ depending on the level of captivity. Subjects brought in from the wild are exposed to a restricted environment with an altered diet, and individuals held for longer durations may experience a greater decline in bacterial community diversity than those held for shorter durations, therefore the timing of cognitive tests may be crucial if associated changes in the microbiome do indeed influence performance on cognitive tasks. By comparison, studies on hand-reared captive populations with reduced microbial diversity may generate conservative estimates of species variation in cognition compared to wild populations. Moreover, we may expect repeatability in cognitive tasks to be more stable in captive populations as opposed to wildcaught populations if environmental and diet conditions in captivity are relatively stable compared to natural habitats. Administration of probiotics could be considered as a supplement for animals in captivity as they improved memory and emotional wellbeing in humans [[109](#page-11-0)], normalized cognitive profiles, stress responses and gene expression in mice [[10,](#page-8-0)[20,22](#page-9-0)] and reduced gut leakiness and HPA response to acute stressors in rats [[110](#page-11-0)].

6. Evolutionary consequences of the microbiome on cognition

So far we have focused largely on environmental effects that determine and alter individuals' microbiomes, and consequently cognition through developmental and phenotypic plasticity. There is also potential for selection to act on the microbiome and host genes that determine cognitive abilities. The role of the microbiome as a driver of the evolution of cognition has been explored theoretically within the context of the social brain hypothesis [\[111\]](#page-11-0), suggesting that selection favours social complexity as a means to increase transmission of beneficial microbes causing host –symbiont coevolution on RNA regulation in the brain [[112](#page-11-0)]. Furthermore, there is growing realization that the microbiome plays an important role in epigenetic control of gene expression associated with cognition and anxiety [[112](#page-11-0)]. It is difficult to identify to what extent the microbiome is heritable as any apparent stability may be caused by environment effects shared by parent and offspring (e.g. [[113,114\]](#page-11-0)). Nevertheless, even if microorganisms are transferred from the environment, the bacterial community that establishes itself is filtered by and adapted to host traits including gut physiology, enzymatic phenotypes, immune function and dietary profiles, which is suggestive of co-diversification [\[46](#page-9-0)]. Indeed, individual

variation in the microbiome has been shown to be associated specifically with host genetic variation [14,15], providing evidence that host genomes are adapting to their microbiome and vice versa. Further support for co-diversification comes from phylogenetic comparative studies in the mammalian lineage where diet and microbiome profiles have evolved convergently [[115](#page-11-0),[116](#page-11-0)]. Moreover, because microbiome diversity is linked to fitness in mammals [\[117\]](#page-11-0), life-history traits including clutch size, lay date and adult survival in birds [\[118\]](#page-11-0) and fecundity in *Drosophila* [[99\]](#page-10-0), there is likely an adaptive component to microbiome variation that may function to optimize food preferences, social behaviour, stress responses, immune functions and cognitive abilities to mutually benefit both host and microbes.

7. Conclusion

We have proposed several potential causal links between the gut microbiome, ecology, physiology, behaviour and cognition in natural animal populations, derived from the rapidly increasing plethora of studies from humans and laboratory animals. Because the microbiome is ubiquitous across species and variable among individuals, it is a promising avenue of research for investigating cognition in the context of individual differences across a broad range of taxa from evolutionary, developmental and phenotypic plasticity perspectives. We encourage further research into unexplored questions as to how natural variation in the microbiome is determined by early life effects and throughout adulthood due to socio-ecological factors as well as interactions with adrenal and immunological systems. Furthermore, studying multiple proximate mechanisms in tandem is necessary to uncover the interacting and causal relationships between cognition, behaviour, diet and the microbiome, and will help to elucidate the environmental and genetic effects determining individual differences in cognition and coevolutionary processes between microbes and hosts.

Data accessibility. This article has no additional data.

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