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Blockade of CTLA-4 on CD4⁺CD25⁺ regulatory T cells abrogates their function in vivo

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Abstract

Naturally occurring CD4⁺ T_R cells that express CD25 and the transcription factor FoxP3 play a key role in immune homeostasis preventing immune pathological responses to self and foreign antigens. CTLA-4 is expressed by a high percentage of these cells, and is often considered as a marker for T_R in experimental and clinical analysis. However, it has not yet been proven that CTLA-4 has a direct role in T_R function. Using a colitis transfer model, we previously showed that anti-CTLA-4 mAb treatment abrogates suppression of colitis mediated by CD4⁺ CD25⁺ T_R. Here we demonstrate that anti-CTLA-4 mAb treatment inhibits T_R function via direct effects on CTLA-4 expressing T_R cells, and not via hyper-activation of colitogenic T cells. Although anti-CTLA-4 mAb treatment completely inhibits T_R function, it does not affect T_R cell expansion, persistence or homing to the gut-associated lymphoid tissue, indicative of the blockade of a signal required for T_R cell activity. In contrast to the striking effect of the antibody, CTLA-4 deficient mice can produce functional T_R cells, suggesting that compensatory mechanisms can develop. This study provides direct evidence that CTLA-4 has a specific, non-redundant role in the function of normal regulatory T cells. This role has to be taken into account when targeting CTLA-4 for therapeutic purposes, as such a strategy will not only boost effector T cell responses, but might also break T_R-mediated self-tolerance.

Keywords

CD4⁺ T Lymphocyte; Inflammatory Bowel Disease; Th1 cell; immunoregulation; mucosal immunity

Introduction

Immune responses to specific pathogens incorporate multiple regulatory mechanisms that have evolved to restrict reactivity to self-Ags, while allowing both the clearance of the pathogen and the development of long-term immunological memory (1, 2). This is

especially critical in the intestine, where it is necessary to mount protective immune responses against pathogens while limiting responses to commensal bacteria and dietary and self-Ags, in the presence of a large antigenic load. Indeed, a breakdown in intestinal homeostasis and the development of aberrant inflammatory responses to intestinal bacteria have been associated with the pathogenesis of inflammatory bowel disease (IBD) in humans (3, 4).

Studies using mouse models of IBD have provided convincing evidence that functionally specialized populations of regulatory T cells (T_R) play an important role in the control of intestinal inflammation (5). Some of the best studied are the naturally arising $CD4^+CD25^+$ T_R that have been shown to prevent and even cure colitis in the T cell transfer model (6–8). In addition to their role in intestinal homeostasis, $CD4^+CD25^+$ T_R play a key role in dominant tolerance to self-Ags and can also impede host protective immune responses to tumors and pathogens (9–11). Recently, expression of the transcription factor FoxP3 has been shown to be a useful marker for naturally arising T_R . FoxP3 plays a key functional role in $CD4^+CD25^+$ T_R development, as mice with natural or induced mutations in this gene lack T_R and develop a fatal multiorgan inflammatory disease (12–14). Similarly, loss of function mutations in *FOXP3* have been shown to be responsible for the human autoimmune and inflammatory disease, immune polyendocrine X-linked enteropathy. Diabetes and chronic intestinal inflammation with several features resembling IBD are found in nearly all patients, and gastrointestinal symptoms are typically the reason for the initial clinical presentation, providing evidence that T_R also contribute to intestinal homeostasis in humans (15, 16).

Recently, it has been proposed that the inhibitory receptor CTLA-4 plays a functional role in T_R activity (6, 17, 18). This receptor belongs to the same family as CD28 and binds to the same ligands, B7-1 and B7-2. CTLA-4 is up-regulated upon T cell activation, and its activity as a negative regulator of T cell responses is now well-described (19). In vitro, ligation of CTLA-4 on activated $CD4^+$ T cells suppresses IL-2 production and limits cell cycle progression (20, 21). In vivo, blockade of CTLA-4 leads to increased T cell-mediated immunity in a number of model systems including Ag-specific responses (22), parasitic infection (23), and autoimmune disease (24–26). Manipulation of the B7:CTLA-4 pathway is also an attractive target for stimulating antitumor immunity (27–29). In a recent clinical trial, metastatic melanoma patients were treated with a humanized anti-CTLA-4 mAb in conjunction with two modified gp100 melanoma-associated Ags; this led to cancer regression in a proportion of patients (3 out of 14). However, anti-CTLA-4 treatment also resulted in autoimmune disease (6 out of 14) including the development of enterocolitis (30). These findings are consistent with work in animal models, demonstrate a critical role for CTLA-4 in the regulation of peripheral tolerance in humans, and give further impetus to understanding how CTLA-4 may be important for regulating tolerance to colonic Ags.

Among resting $CD4^+$ T cells, CTLA-4 is expressed primarily by $CD4^+CD25^+$ T_R , being detectable on ~50% of these cells as compared with <1% of naive $CD4^+CD45RB^{\text{high}}$ cells (6, 17). The expression of CTLA-4 on T_R has been linked to regulation of organ-specific autoimmune disease in vivo, and there is some evidence to suggest that CTLA-4 is required for the suppressive function of this population in vitro (17). In the T cell transfer model of colitis, administration of anti-CTLA-4 mAb to mice that received both $CD4^+CD45RB^{\text{high}}$

and CD4⁺CD25⁺ populations led to development of colitis, suggesting a key role for CTLA-4 in T_R-mediated control of intestinal homeostasis (6, 18). As CTLA-4 is induced on naive T cells following activation (31), anti-CTLA-4 mAb treatment may abrogate suppression indirectly via hyperactivation of colitogenic T cells or directly via effects on the CD4⁺CD25⁺ T_R population. In this report, we have used CTLA-4-deficient mice and anti-CTLA-4 mAb to dissect how CTLA-4 influences the balance between effector and T_R cells in the intestine.

Materials and Methods

Mice

BALB/c wild-type (WT), B7-1/B7-2-deficient (B7-1/B7-2 knockout (KO)), and B7-1/B7-2/CTLA-4-deficient (B7-1/B7-2/CTLA-4 KO) mice were maintained in accordance with the institutional guidelines of Brigham and Women's Hospital and Harvard Medical School (Boston, MA; accredited by the American Association of Accreditation of Laboratory Animal Care (AALAC)). C.B-17 *scid* mice were purchased from Taconic Farms. For some experiments, BALB/c, C.B-17 *scid*, BALB/c.C57B10D2.*Ly9.2* congenic, *BALB/c.CTLA-4* deficient (CTLA-4 KO), and BALB/c.RAG2-deficient (RAG KO) mice were maintained in specific pathogen-free conditions at the Sir William Dunn School of Pathology (University of Oxford, Oxford, U.K.) and were used at 6–10 wk of age. All procedures were conducted in accordance with the Animals (Scientific Procedures) Act 1986.

Generation of mixed bone marrow chimeras

Bone marrow isolated from 2- to 3-wk-old BALB/c.CTLA-4 KO was depleted of T cells using anti-CD4 and anti-CD8 Abs together with anti-rat coated Dynabeads (Dyna). CTLA-4 KO bone marrow was then mixed in a 1:1 ratio with bone marrow taken from BALB/c.C57B10D2.*Ly9.2* mice and injected i.v. into gamma-irradiated (5.5 Gy, 550 rad) BALB/c.C57B10D2.*Ly9.2* mice. Eight weeks later, T cell reconstitution was assessed by analysis of expression of the *Ly9* allele in peripheral blood. For additional experiments, CTLA-4^{-/-} T_R were sorted based on expression of CD4, CD25, and Ly9.1.

Purification of CD4⁺ T cells

CD4⁺ T cells were purified from spleens using anti-mouse CD4 (clone L3T4) coated MACS beads (Miltenyi Biotec) in accordance with the manufacturer's instructions. Alternatively, non-CD4⁺ cells were depleted using anti-CD8, anti-B220, anti-H-2, and anti-Mac-1 Abs, together with anti-rat coated Dynabeads (Dyna). Purified CD4⁺ T cells were stained with anti-mouse CD4-CyChrome (clone RM4.5; BD Biosciences), anti-mouse CD45RB-FITC (clone 16A; BD Biosciences), and anti-mouse CD25-PE (clone PC61; BD Biosciences). Subpopulations of CD4⁺ cells were sorted by using three-color sorting on a FACSVantage (BD Biosciences) or MoFlo (DakoCytomation) cell sorter. T cells were sorted into CD4⁺ (CD45RB^{low})CD25⁺ and CD4⁺CD45RB^{high}(CD25⁻) subpopulations. Sorted populations were >98.5% positive on reanalysis. FACS analysis of the sorted CD4⁺CD45RB^{high} population showed <1% FoxP3⁺ cells (anti-mouse FoxP3 staining set; eBioscience).

Generation of mAb used in vivo

Anti-mouse CTLA-4 mAb (clone UC10-4F10-11) (32) and anti-mouse IL-10R mAb (clone 1B1.2) (33) were purified from hybridoma supernatant by affinity chromatography and shown to contain <1.0 endotoxin units per milligram of protein. Purified hamster IgG was used as a control (Jackson ImmunoResearch Laboratories). Fab were generated using immobilized papain (Perbio) in accordance with the manufacturer's instructions. HPLC analysis of purified Fab before use indicated that <0.5% of the material existed in a nonmonomeric form. Surface plasmon resonance was used to confirm the binding activity of the anti-CTLA-4 Fab using a BIAcore 1000 instrument (see Figure 5A). Briefly, CTLA-4-Ig (24 ng, 1399RU) was captured onto a sensor chip (CM5) using a covalently bound anti-human Ig Ab. Anti-CTLA-4 or control Fab (20 ng/ μ l, 5 μ l/min) was then passed through the cell and binding monitored. Nonspecific binding was assessed by measuring anti-CTLA-4 Fab binding to a control cell lacking CTLA-4-Ig.

T cell Reconstitution

Immune-deficient mice, either C.B-17 *scid* or BALB/c.RAG2 KO mice, were injected i.p. with the sorted T cell populations. No differences were observed in the induction of colitis, or the protection from colitis between experiments using *scid* and RAG2 KO recipients (our unpublished observations). Mice received 4×10^5 CD4⁺CD45RB^{high} cells alone or in combination with 1×10^5 CD4⁺CD25⁺ cells. Control mice received 1×10^5 CD4⁺CD25⁺ alone. In experiments where the pathogenic population came from B7-deficient mice, only 1×10^5 CD4⁺CD45RB^{high} cells were transferred. Following T cell transfer, some mice received anti-CTLA-4 mAb (clone UC10-4F10-11) or a control hamster IgG; 200 μ g of purified IgG were injected i.p. in PBS the day after T cell reconstitution and then on alternate days for 6–8 wk. Similarly, some mice received purified anti-CTLA-4 Fab or control Fab (100 μ g) daily from the day after T cell transfer for 6–8 wk. In other experiments, mice were injected with 500 μ g of anti-IL-10R mAb twice a week from the day after transfer until the end of the experiment. Mice were weighed weekly and monitored for clinical signs of colitis. Mice losing in excess of 20% of initial body weight or showing signs of severe disease were sacrificed.

Histological Examination

Colons were removed from mice 6–8wk after T cell reconstitution and fixed in buffered 10% formalin. Six-micrometer paraffin-embedded sections were cut and stained with H&E. Inflammation was scored in a blinded fashion, on a scale of 0–4 where a grade of 0 was given when there were no changes observed (34). Changes associated with other grades were as follows: grade 1, minimal scattered mucosal inflammatory cell infiltrates, with or without minimal epithelial hyperplasia; grade 2, mild scattered to diffuse inflammatory cell infiltrates, sometimes extending into the submucosa and associated with erosions, with mild to moderate epithelial hyperplasia and mild to moderate mucin depletion from goblet cells; grade 3, moderate inflammatory cell infiltrates that were sometimes transmural, with moderate to severe epithelial hyperplasia and mucin depletion; grade 4, marked inflammatory cell infiltrates that were often transmural and associated with crypt abscesses

and occasional ulceration, with marked epithelial hyperplasia, mucin depletion and loss of intestinal glands.

Immunofluorescence

Tissue samples were snap-frozen, cryosectioned and fixed using acetone. Sections were blocked with donkey serum (Sigma-Aldrich) and then stained with biotinylated anti-mouse CD3 (clone 145-2C11; BD Biosciences) plus streptavidin-Cy5 (Jackson ImmunoResearch Laboratories). FoxP3 staining was performed using rabbit polyclonal anti-mouse FoxP3 Abs (generously provided by F. Ramsdell, Zymogenetics, Seattle, WA) and donkey anti-rabbit IgG FITC (Jackson ImmunoResearch Laboratories). The specificity of FoxP3 staining was confirmed by the absence of nuclear staining in organs from FoxP3^{-/-} mice (62).

Statistical analysis

Colitis scores were compared using the Mann-Whitney *U* test and differences were considered statistically significant with $p < 0.05$.

Results

CD4⁺CD25⁺ T cells are present in mice lacking B7-1, B7-2, and CTLA-4

It has been previously shown that administration of anti-CTLA-4 is able to abrogate suppression of colitis mediated by CD4⁺CD25⁺ T_R in the T cell transfer model of colitis (6, 18). To dissect the mechanism by which anti-CTLA-4 Ab administration results in a loss of immune regulation, CD4⁺ T cell populations were isolated from CTLA-4-deficient mice and analysed for their ability to inhibit colitis. Due to the aberrant T cell activation, lymphoproliferation and early mortality that occurs in CTLA-4-deficient mice, it was not possible to use these mice as a source of T cells for transfer experiments (35–36). Therefore, the CTLA-4-deficient mice used in this study were maintained on a B7-1/B7-2 KO background. The absence of B7-1/B7-2 expression prevents ligation of CD28, which has been shown to be critical for activation of naive T cells, and the lymphoproliferative phenotype is avoided (37). In this respect, the B7-1/B7-2CTLA-4 KO mouse strain provides a unique tool to analyze the function of CTLA-4 on both regulatory and colitogenic T cells during the development of colitis.

To confirm that CD25⁺ T_R can be generated in the absence of CTLA-4, splenocytes were isolated from WT, B7-1/B7-2 KO, and B7-1/B7-2/CTLA-4 KO mice and stained for expression of CD4, CD25, and FoxP3. In WT BALB/c mice, CD4⁺CD25⁺ cells comprise around 10% of the CD4⁺ T cell population (Figure 1). FoxP3, a more definitive marker of T_R is expressed by ~15% of CD4⁺ cells, with the majority of CD25⁺ cells also expressing FoxP3 (Figure 1). In contrast, in both B7-1/B7-2 KO and B7-1/B7-2/CTLA-4 KO mice, only 1–2% of CD4⁺ cells express CD25 (Figure 1). In the same way the frequency of FoxP3⁺ cells is significantly reduced (2.5–3.0% of CD4⁺ cells) but again the majority of CD4⁺CD25⁺ cells still express FoxP3, confirming the suitability of CD25 as a T_R marker in these mice. The reduction in CD4⁺CD25⁺ T_R frequency in B7-1/B7-2 KO mice is most likely due to the lack of CD28 ligation, as previous studies have shown that blockade of

B7-1/B7-2 or loss of CD28 resulted in a reduction in both thymic and peripheral CD4⁺CD25⁺T_R (38–40).

CTLA-4-deficient T_R prevent colitis

We next determined whether CD4⁺CD25⁺ T_R from CTLA-4-deficient mice retain functional activity. This would not directly exclude a role of CTLA-4 on WT T_R, as genetically modified mice often develop alternative mechanisms to compensate for the loss of a key molecule. To check for CD4⁺CD25⁺ T_R function, this population was isolated from WT, B7-1/B7-2 KO, and B7-1/B7-2/CTLA-4 KO mice and transferred alone or in combination with WT CD4⁺CD45RB^{high} cells to SCID mice. None of the isolated CD25⁺ populations were pathogenic, since transfer of WT, or B7-1/B7-2 KO, or B7-1/B7-2/CTLA-4 KO CD4⁺CD25⁺ cells alone to SCID recipients did not elicit any colonic inflammation (Table I). As previously described, WT CD25⁺ T_R inhibited the development of colitis when cotransferred with WT CD4⁺CD45RB^{high} cells (Table I, Figure 2) (6). Similarly, CD4⁺CD25⁺ T_R from both B7-1/B7-2 KO and B7-1/B7-2/CTLA-4 KO mice were able to protect mice from the induction of colitis by WT CD4⁺CD45RB^{high}. Reducing the number of CD4⁺CD25⁺ T cells transferred failed to reveal any difference in the potency of these populations (data not shown). Thus, CTLA-4-deficient CD4⁺CD25⁺ cells retain the ability to prevent disease.

Induction of, and protection from, colitis by CD4⁺ T cell subsets lacking expression of CTLA-4^a

The role of CTLA-4 expression on the potentially pathogenic CD4⁺CD45RB^{high} cells was also investigated. In early experiments we noted a rapid but transient wasting disease following transfer of B7-deficient CD45RB^{high} cells that did not occur in recipients of WT CD45RB^{high} cells (data not shown). There was no correlation between this early wasting and the presence or absence of CD25⁺ T_R, or the later development of colitis, and in later experiments it was avoided by reducing the number of CD45RB^{high} cells transferred. This modification did not affect the incidence or severity of colitis.

Both WT and B7-1/B7-2 KO CD4⁺CD25⁺T_R were able to inhibit colitis when cotransferred with B7-1/B7-2/CTLA-4 KO CD4⁺CD45RB^{high} cells (Table I, Figure 2). Similarly, B7-1/B7-2/CTLA-4 KO CD4⁺CD25⁺ cells could mediate protection from colitis induced by B7-1/B7-2 KO CD45RB^{high} cells. However, when CTLA-4 was absent on both colitogenic and regulatory cells the majority of recipient mice went on to develop disease (Table I, Figure 2). This would suggest that CTLA-4 expressed by both the colitogenic T cells and by the T_R has an impact on the protection from colitis. This is consistent with multiple roles for CTLA-4 in both the activation of effector T cells and in mediating T_R activity.

B7-sufficient CTLA-4 KO T_R retain the ability to prevent colitis

The absence of B7.1/B7.2 has profound effects on peripheral T cell homeostasis, and may alter the activity of the CD4⁺CD25⁺ population taken from B7.1/B7.2/CTLA-4 KO mice. To rule out any effect related to the lack of B7 molecules, mixed bone marrow chimeras were generated using CTLA-4 KO (Ly9.1⁺) and BALB/c.C57B10D2.Ly9.2 congenic donors. As has been reported previously (41), these animals do not develop the lymphoproliferative

pathology that is characteristic of intact CTLA-4 KO mice. CTLA-4 KO CD4⁺CD25⁺ T_R could be recovered from these mice using expression of the congenic marker Ly9.1. The sorted CTLA-4 KO CD4⁺CD25⁺ T_R contained a similar frequency of FoxP3⁺ cells as the counterpart WT CD4⁺CD25⁺ T_R and were also able to prevent colitis induced by transfer of WT CD4⁺CD45RB^{high} cells to BALB/c.RAG2 KO mice (Figure 3). This confirms our observation that T_R that cannot use CTLA-4 are still able to prevent colitis, irrespective of expression of B7.

It has been reported that CD4⁺ CD25⁺ cells from CTLA-4 KO mice express increased levels of IL-10 (42). We have previously reported that protection from colitis by WT CD4⁺CD25⁺ T_R is largely independent of IL-10 (43), as IL-10-deficient T_R retained the ability to prevent disease and blockade of IL-10 signaling using an anti-IL-10R mAb resulted in only a marginal loss of protection mediated by WT CD25⁺ T_R (Figure 3 and Ref. 43). By contrast, administration of an anti-IL-10R mAb completely abrogated suppression mediated by CTLA-4-deficient CD4⁺CD25⁺ T_R (Figure 3). So, although T_R that lack CTLA-4 can still prevent colitis, they appear to do so by using alternative immune suppressive pathways to those used by WT T_R.

Anti-CTLA-4 mAb treatment targets CD4⁺CD25⁺ T_R and not colitogenic T cells to abrogate suppression

We have previously reported that administration of anti-CTLA-4 mAb abrogates CD4⁺CD25⁺ T_R-mediated suppression of colitis (6, 18). However, whether the Ab functions via effects on effector T cells, T_R, or both is not known. To investigate this issue, the outcome of anti-CTLA-4 mAb administration was examined in transfer experiments where expression of CTLA-4 was restricted to the colitogenic or T_R cells. Suppression of colitis mediated by B7-1/B7-2/CTLA-4 KO CD4⁺CD25⁺ T_R was not affected by anti-CTLA4 mAb treatment (Figure 4A). In addition, while WT CD4⁺CD25⁺ T_R were able to prevent colitis induced by CD4⁺CD45RB^{high} cells from B7-1/B7-2/CTLA-4 KO mice, the addition of anti-CTLA-4 mAb led to a loss of protection and the development of disease (Figure 4B). Together, these data indicate that anti-CTLA-4 mAb abrogates T_R-mediated control of colitis via its effects on T_R and not colitogenic effector cells.

Anti-CTLA-4 Fab retains the ability to disrupt the function of WT T_R

Next, we investigated the possibility that the anti-CTLA-4 treatment was somehow eliminating the T_R population. Anti-CTLA-4 mAb bound to the surface of T_R might lead to deletion of this population, or it might cross-link CTLA-4 providing an agonistic signal, inhibiting T_R expansion. To explore these possibilities, anti-CTLA-4 Fab were generated and used for in vivo studies (Figure 5A). Administration of anti-CTLA-4 Fab to SCID mice cotransferred with B7-1/B7-2/CTLA-4 KO CD4⁺CD45RB^{high} cells and WT CD4⁺CD25⁺ cells led to a loss of suppression of colitis similar to that seen in mice injected with intact anti-CTLA-4 mAb (Figure 5B). Protection from colitis was not affected in similarly transferred mice that received a control Fab. These results indicate that the functional effects of anti-CTLA-4 administration are independent of the Fc portion of the Ab, ruling out Ab-induced cross-linking of CTLA-4 and generation of an agonistic signal, as well as Fc-mediated cellular depletion, as mechanisms of action.

Accumulation of CD4⁺CD25⁺T_R is not inhibited by the presence of anti-CTLA-4

It was possible that blockade of CTLA-4 on T_R inhibited a positive signal required for T_R proliferation and accumulation. To assess the effect of the mAb on T_R accumulation, expression of an allotypic marker was used to distinguish the progeny of the CD4⁺CD25⁺ and CD4⁺CD45RB^{high} populations following transfer. CD4⁺CD25⁺ T_R were purified from WT BALB/c (*Ly9.1*) mice and transferred together with CD4⁺CD45RB^{high} cells from BALB/c.C57B10D2.*Ly9.2* congenic mice to immunodeficient recipients. After 2 wk, CD4⁺ T cells from the spleen were analysed for expression of allotypic markers. Mice that received anti-CTLA-4 mAb had an increased number of total CD4⁺ T cells, with both Ly9.1⁺ and Ly9.2⁺ cells being increased ~2-fold (Figure 6A, data not shown). However, the proportion of CD4⁺CD25⁺ progeny (Ly9.1⁺CD4⁺ cells) vs CD4⁺CD45RB^{high} progeny was similar in mice that had received anti-CTLA-4 to that seen in control mice, a pattern that was maintained at later time points (Figure 6B). These data show that the ability of anti-CTLA-4 to suppress T_R activity is not the result of impaired accumulation of the CD4⁺CD25⁺ population.

CD4⁺CD25⁺ T_R are present in GALT of colitic mice

It remained possible that the presence of anti-CTLA-4 was in some way able to disrupt the homing of CD4⁺CD25⁺ T_R. To determine whether this was the case, the GALT from T cell-transferred SCID mice was analysed for the presence of T_R. Frozen mesenteric lymph node sections from mice that had received CD4⁺CD45RB^{high} cells in combination with CD4⁺CD25⁺ cells and anti-CTLA-4 mAb were stained for the presence of CD3⁺ cells. The same sections were also stained for the presence of FoxP3⁺ cells, a definitive marker of T_R (Figure 7A). In all mice analysed, it was possible to identify FoxP3⁺CD3⁺ cells in the mesenteric lymph node (MLN), even though these mice had ongoing colitis. In addition, FACS analysis was performed to quantify FoxP3 expressing cells in spleen and MLN of these mice (Figure 7B). FoxP3⁺CD4⁺ T cells could be found in both the spleen and MLN of T cell transferred mice, with no significant reduction in the frequency of FoxP3⁺ cells in anti-CTLA-4-treated mice compared with the controls (Figure 7C). Together, these data demonstrate that FoxP3⁺CD4⁺ T cells are able to access the GALT in anti-CTLA-4-treated mice and yet fail to control the colitogenic T cell response.

Discussion

CTLA-4 has long been known to play an important role in controlling immune responses (19). Although many mechanisms have been reported for CTLA-4 function *in vitro*, evidence for their presence *in vivo* is still contradictory. One of the reasons for this uncertainty is that CTLA-4 may fulfill a variety of functions, as it is expressed by different T cell subpopulations at various time points. CTLA-4 was first described to be up-regulated by naive CD4⁺ T cells upon activation (31). More recently, CTLA-4 has been shown to be specifically expressed on CD4⁺CD25⁺ T_R populations, and administration of anti-CTLA-4 mAb has been linked to a loss of T_R-mediated suppression, both *in vitro* and *in vivo* (6, 17–18). Polymorphisms in CTLA-4 have also been associated with autoimmune disorders in humans (44) and susceptibility to autoimmune disease in mice (45). Based on these and other reports, CTLA-4 expression is now widely used as a marker of T_R. Despite this, its

precise role in these systems remains controversial, in part due to expression of CTLA-4 on effector T cell populations, but also due to conflicting results that have been obtained in vitro (46–47). Here, using the T cell transfer model of colitis, we show that anti-CTLA-4 mAb disrupts T_R activity in vivo by targeting T_R , not by exacerbating the activity of pathogenic T cells. This effect is mediated by blockade of the interaction between CTLA-4 and its ligands, and not depletion of CTLA-4 expressing regulatory cells, as it has been previously suggested (46). Our results demonstrate that CTLA-4 expression is not only a phenotypic characteristic of T_R , but that its presence on $CD4^+CD25^+$ T_R plays an important role in the functional activity of this population.

In this study, we have used T cells lacking CTLA-4 as a tool to investigate the role of CTLA-4 in $CD4^+CD25^+$ T_R function and to clarify the effects of the anti-CTLA-4 mAb. Mice deficient in B7-1 and B7-2 as well as CTLA-4 were used as donors (37), thus avoiding the problems associated with isolating $CD4^+CD25^+$ T cells from CTLA-4-deficient mice (35–36). Previous studies have shown that administration of anti-CTLA-4 mAb overcame the ability of $CD4^+CD25^+$ T_R to protect from colitis (6, 18). Here, we demonstrate that protection is dependent upon CTLA-4 expression by T_R but is independent of CTLA-4 expression by the colitogenic $CD4^+CD45RB^{\text{high}}$ population, indicating that the effects of the Ab are mediated through CTLA-4 expressed on the $CD4^+CD25^+$ T_R .

The mode of action of the anti-CTLA-4 mAb was analysed by comparing the activity of intact IgG and Fab. Fab were as effective as intact Ab, indicating that the Ab does not cause Fc-mediated deletion of the T_R ; nor does it cross-link CTLA-4 providing an agonistic signal. Ligation of CTLA-4 has been reported to inhibit activation induced cell death in certain T cell populations (48–49); it was thus possible that the effect of the mAb was due to the inhibition of a similar survival signal. However, anti-CTLA-4 did not reduce the accumulation of T_R progeny following transfer in vivo. Instead, absolute numbers of $CD25^+$ T_R were increased along with splenomegaly, although the frequency of T_R progeny as a percentage of the transferred $CD4^+$ cells remained similar. Comparable results have been observed in a clinical trial in humans, where anti-CTLA-4 treatment, despite inducing antitumor responses and autoimmunity, did not reduce the frequency of FoxP3 expression in PBMC (50). Furthermore, in our system, it was possible to detect the FoxP3⁺ progeny of transferred $CD4^+CD25^+$ T_R in the GALT of anti-CTLA-4-treated mice, consistent with these cells migrating to the lymphoid organs that drain the diseased tissue but being unable to prevent the development of disease.

B7-1/B7-2/CTLA-4 KO mice contained a reduced frequency of peripheral $CD4^+CD25^+$ T_R , consistent with a role for B7:CD28-mediated costimulation in the generation and maintenance of these cells (38–40). The additional deficiency in CTLA-4 did not alter the frequency $CD4^+CD25^+$ cells. Significantly, this population retained FoxP3 expression and T_R function in the absence of CTLA-4, indicating that the receptor is not absolutely required for the development of $CD4^+CD25^+$ T_R . Importantly, administration of the anti-CTLA-4 mAb did not overcome the regulatory activity of CTLA-4 deficient $CD4^+CD25^+$ T_R , showing that ligation of CTLA-4 on the colitogenic population had limited impact on the regulation of colitis in this system.

Recent reports have shown that the interaction of CTLA-4 with B7 ligands expressed by APCs may modulate immune responses. This raises the possibility that anti-CTLA-4 mAb may disrupt T_R function by preventing a CTLA-4-mediated signal through B7-1/B7-2 expressed on dendritic cells (DC). The data show that binding of a CTLA-4.Ig fusion protein to the surface of DC induces expression of indoleamine 2,3 dioxygenase, leading to the depletion of tryptophan and inhibition of T cell function (51). CTLA-4 expressed by $CD25^+$ T_R has similar effects, suggesting that this interaction may be important for the suppressive activity of these cells (52). Our findings that $CD4^+CD25^+$ T_R are able to suppress colitis induced by CTLA-4-deficient $CD4^+CD45RB^{high}$ cells in a CTLA-4-dependent manner are in line with that data, raising the possibility that it is ligation of B7-1/B7-2 on DC by CTLA-4 expressed by T_R that is crucial for T_R -mediated control of colitis. Additional experiments are required to test this hypothesis.

As not only CTLA-4, but also B7 is up-regulated on T cells upon activation, the interaction of CTLA-4 with B7 expressed on effector T cells may also play a role in $CD4^+CD25^+$ cell-mediated suppression. In a recent report, B7-deficient $CD4^+CD25^-$ cells were found to be refractory to T_R -mediated suppression in vitro and in vivo (53). Whether CTLA-4 expressed by T_R was important in these interactions is not clear. Indeed, while this may represent another mechanism by which $CD4^+CD25^+$ T_R influence T cell responses, it does not appear to be essential, since in our model B7-1/B7-2/CTLA-4 KO $CD4^+CD45RB^{high}$ T cells remained susceptible to suppression by WT T_R , through a CTLA-4 dependent mechanism.

PD-1, another member of the CD28/CTLA-4 family, has also been linked to T_R -mediated prevention of colitis. $CD4^+CD25^-PD-1^+$ T cells expressing high levels of FoxP3 and CTLA-4 have been shown to prevent colitis in the $CD4^+CD45RB^{high}$ transfer model (54). This protection, like that mediated by $CD4^+CD25^+$ T cells, was overcome by anti-CTLA-4 but not by anti-PD-1 Abs. Although the role of PD-1 in T_R function remains elusive, this report further highlights the functional importance of CTLA-4 in protection from colitis in a different model.

The data presented here demonstrate that signalling through CTLA-4 is required for WT $CD25^+$ T_R to exert their suppressive phenotype. However, T_R generated in the absence of CTLA-4 retain the ability to suppress colitis, suggesting that they are able to compensate for the loss of this receptor. The functionality of CTLA-4-deficient $CD25^+$ T_R cells in vitro has been linked to an increased production of the immune suppressive cytokines IL-10 and TGF- β (42). In vivo, CTLA-4-deficient T_R cells rely on IL-10 more heavily than the WT T_R population, as administration of an anti-IL-10R mAb abrogated the protection mediated by these cells. Although CTLA-4-deficient T_R can compensate for the absence of CTLA-4, this compensation is not complete. Thus, $CD4^+CD25^+$ T cells from B7-1/B7-2/CTLA-4 KO mice failed to suppress effector T cells of the same genotype, although they were effective in controlling both WT and B7-1/B7-2 KO $CD4^+CD45RB^{high}$ cells. By contrast, B7-1/B7-2/CTLA-4 KO $CD4^+CD45RB^{high}$ cells were susceptible to regulation by WT and B7-1/B7-2 KO $CD4^+CD25^+$ cells. One explanation could be that the $CD4^+CD45RB^{high}$ population from B7.1/B7.2/CTLA-4 KO mice is less susceptible to regulation than that from WT or B7.1/B7.2 KO mice. Thus, the combination of CTLA-4 deficiency in both effector and T_R populations is sufficient to tip the balance away from a regulated immune response and

toward the development of inflammatory pathology. These data are consistent with a growing acceptance that immune regulation is mediated by multiple mechanisms and that removal of one or other pathway may or may not result in a loss of suppression as a function of the particular assay.

In clinical studies, anti-CTLA-4 mAb has been developed as a reagent to enhance T cell immunity (55). Early results with anti-CTLA-4 mAb in humans have suggested that this reagent may be an effective means to enhance antitumor immunity; however, the treatment has also led to transient negative side effects, including the development of enterocolitis (30). More recent trials with the same anti-CTLA-4 mAb have seen similar autoimmune and gastrointestinal perturbances (56–58). As FoxP3 expression was not perturbed by the therapy, the effects have been ascribed to the interaction of anti-CTLA-4 mAb with effector cells (50). The data presented herein offer an alternative interpretation, indicating that anti-CTLA-4 can target CD4⁺CD25⁺ T_R function without changes in FoxP3 expression.

The clinical studies illustrate that the anti-CTLA-4 mAb treatment may also have an impact on T_R-mediated suppression of T cell responses and alter the balance of immune regulation, especially in the gut. Although the studies show that manipulation of CTLA-4 signalling is useful as a mechanism to enhance immune responses in a therapeutic setting, they also highlight the need to separate its useful and harmful effects. This is particularly significant at this time, with the recent approval of CTLA-4 Ig therapy for the treatment of rheumatoid arthritis by the Food and Drug Administration (59). In this article, we present a model where CTLA-4 mediates two different effects. On one hand, it reduces the pathogenicity of effector cells (60, 61). On the other, it is required for T_R-mediated control of immune responses. Both roles have to be taken into account when designing clinical trials, as the stimulation of antitumor effector cells by blocking CTLA-4 could be accompanied by the breakdown of T_R-mediated self-tolerance.

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Abbreviations

H&E	hematoxylin and eosin
T_R	regulatory T

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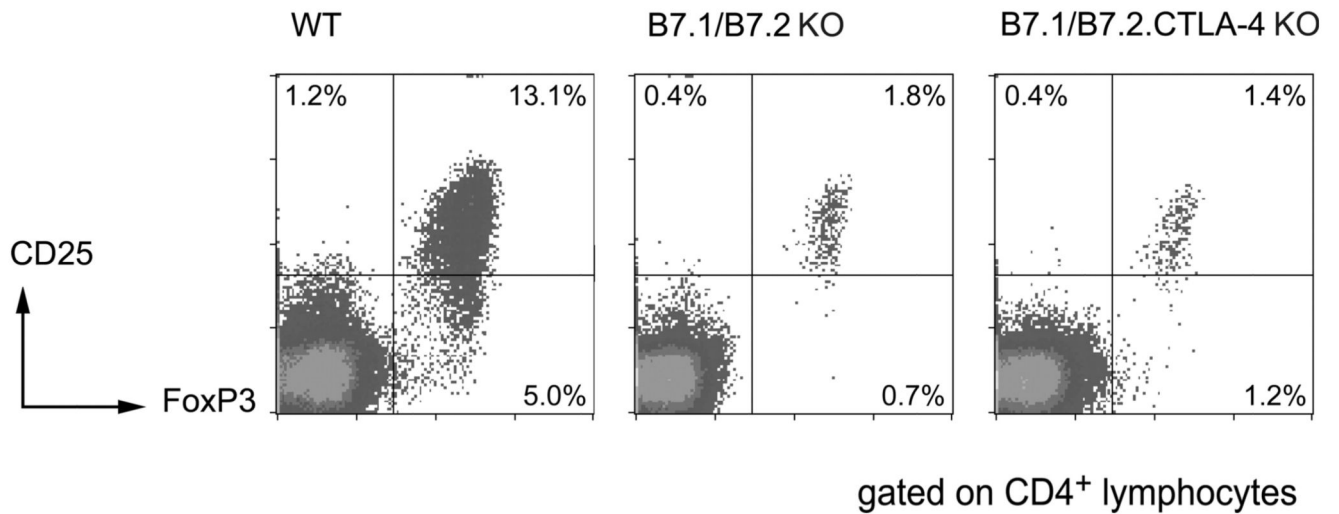


Figure 1. CD4⁺CD25⁺FoxP3⁺ cells are present in both B7-1/B7-2 KO and B7-1/B7-2/CTLA-4 KO mice.

Unfractionated splenocytes from WT, B7-1/B7-2 KO, and B7-1/B7-2/CTLA-4 KO mice were analysed by flow cytometry for the expression of CD4, CD25, and FoxP3. Mice were analysed at 6–8 wk of age. Representative plots show log₁₀ fluorescence and are gated on CD4⁺ lymphocytes.

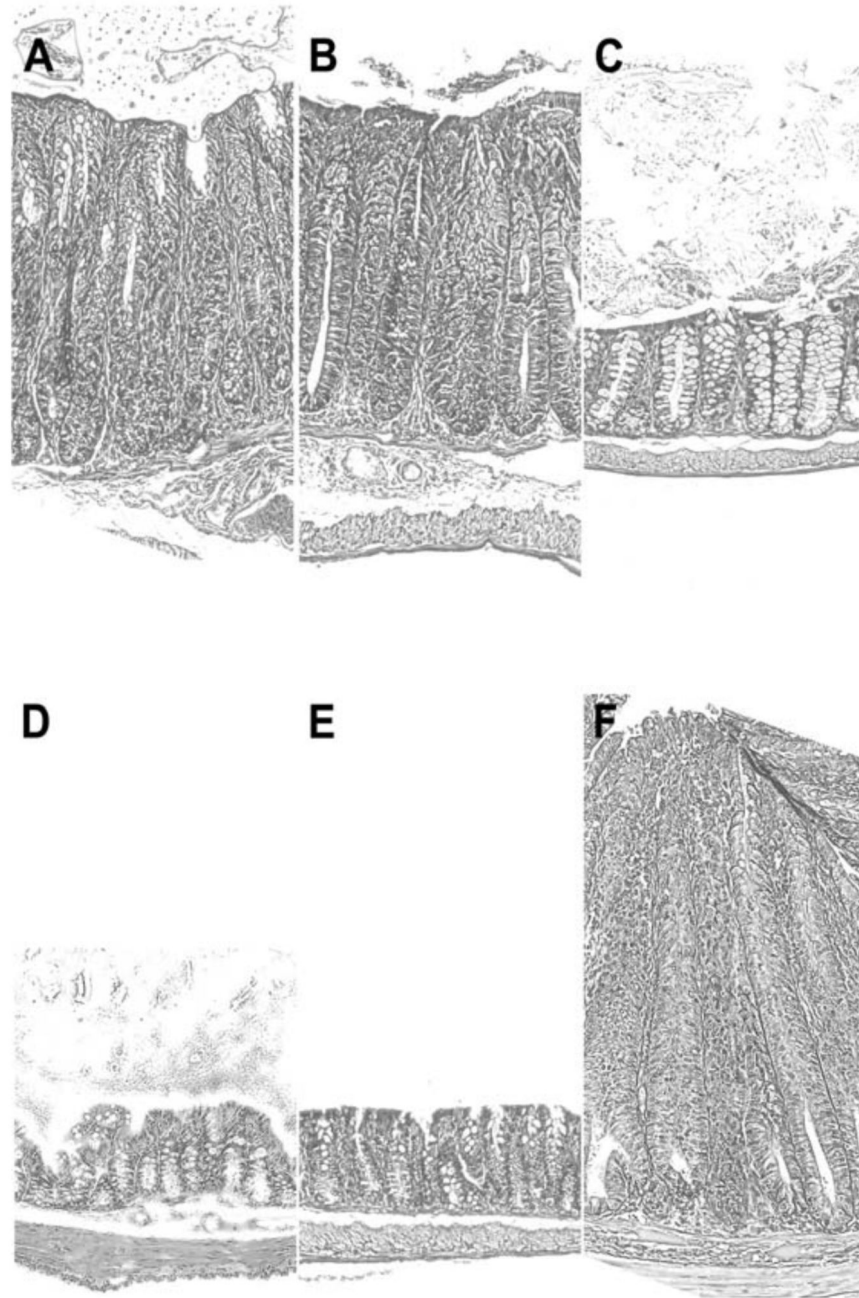


Figure 2. Effects of CTLA-4 on development and prevention of colitis.

The figure shows representative photomicrographs of the distal colon of C.B-17 *scid* mice following transfer of CD4⁺ T cells. CD4⁺CD45RB^{high} cells isolated from either WT (A) or B7-1/B7-2/CTLA-4 KO mice (B) were able to induce colitis in C.B-17 *scid* recipients. Cotransfer of WT CD4⁺CD25⁺ cells was able to prevent colitis induced by either WT (C) or B7-1/B7-2/CTLA-4 KO CD4⁺CD45RB^{high} cells (D). However, CD4⁺CD25⁺ cells from B7-1/B7-2/CTLA-4 KO mice could inhibit colitis induced by WT CD4⁺ CD45RB^{high} cells

(E) but not that induced by the equivalent population from B7-1/B7-2/CTLA-4 KO mice (F).
Original magnification: $\times 250$ (H&E).

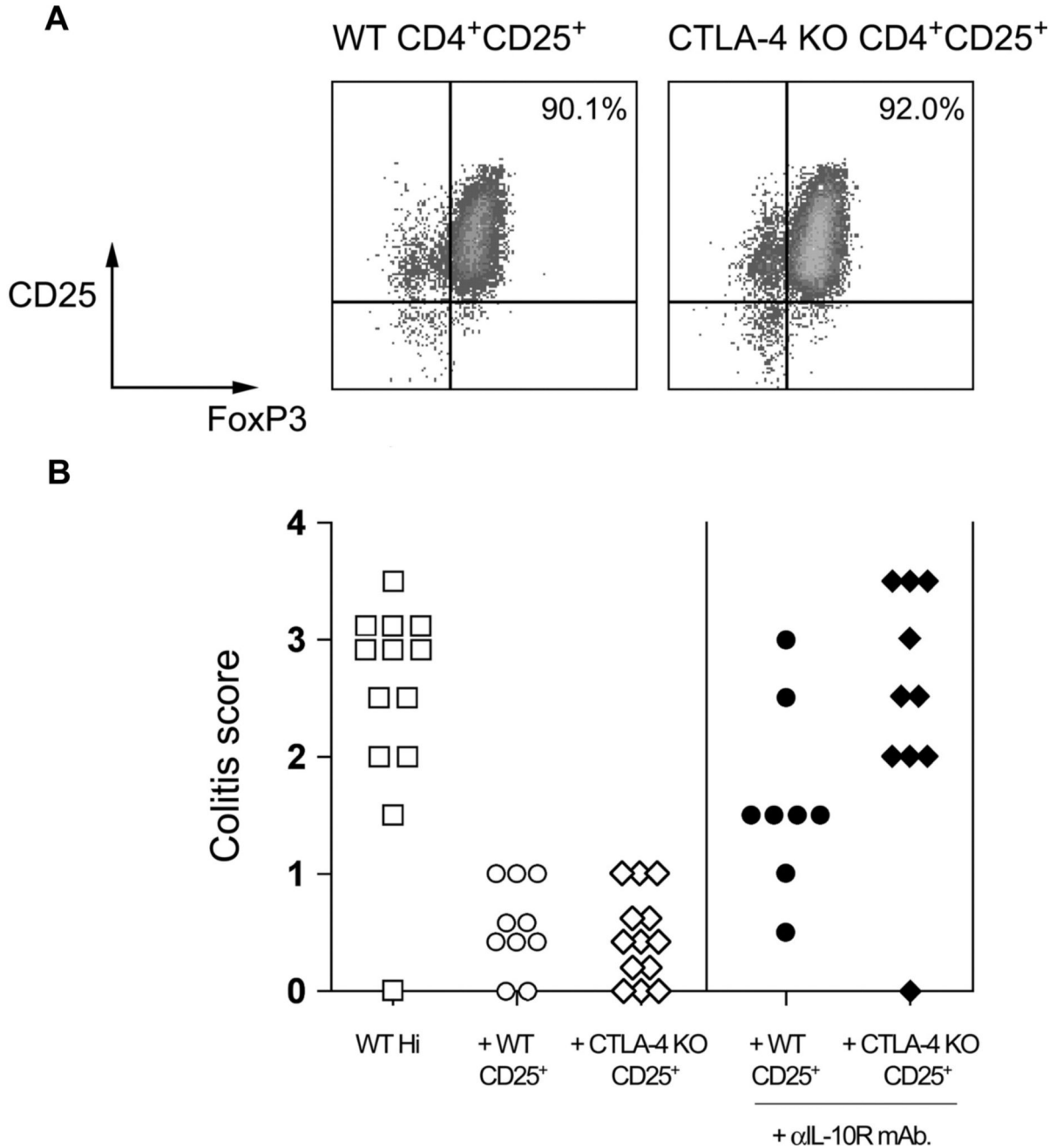


Figure 3. CTLA-4 KO CD4⁺CD25⁺ T cells express FoxP3 and retain the ability to prevent colitis. (A) WT and CTLA-4 KO T_R were isolated from CTLA-4 KO mixed bone marrow chimeras by sorting for Ly9.1⁺CD4⁺CD25⁺ (CTLA-4 KO) and Ly9.1⁻CD4⁺CD25⁺ (CTLA-4-sufficient) populations as described above. The resulting populations were stained for expression of FoxP3 and analyzed by flow cytometry. Plots are gated on CD4⁺ lymphocytes, and percentages refer to the percentage of CD25⁺ FoxP3⁺ cells. (B) BALB/c RAG KO mice received WT CD4⁺CD45RB^{high} cells alone or in combination with WT or CTLA-4 KO CD4⁺CD25⁺ cells. Some mice also received anti-IL10R mAb. Mice were sacrificed 8–10 wk

after transfer and colons taken for histological analysis. Data are pooled from two independent experiments. In the presence of anti-IL-10R mAb, WT CD4⁺CD25⁺ T_R mediated significant protection from colitis ($p < 0.05$), however, CTLA-4 KO CD4⁺CD25⁺ T_R provided no significant protection from colitis ($p > 0.05$).

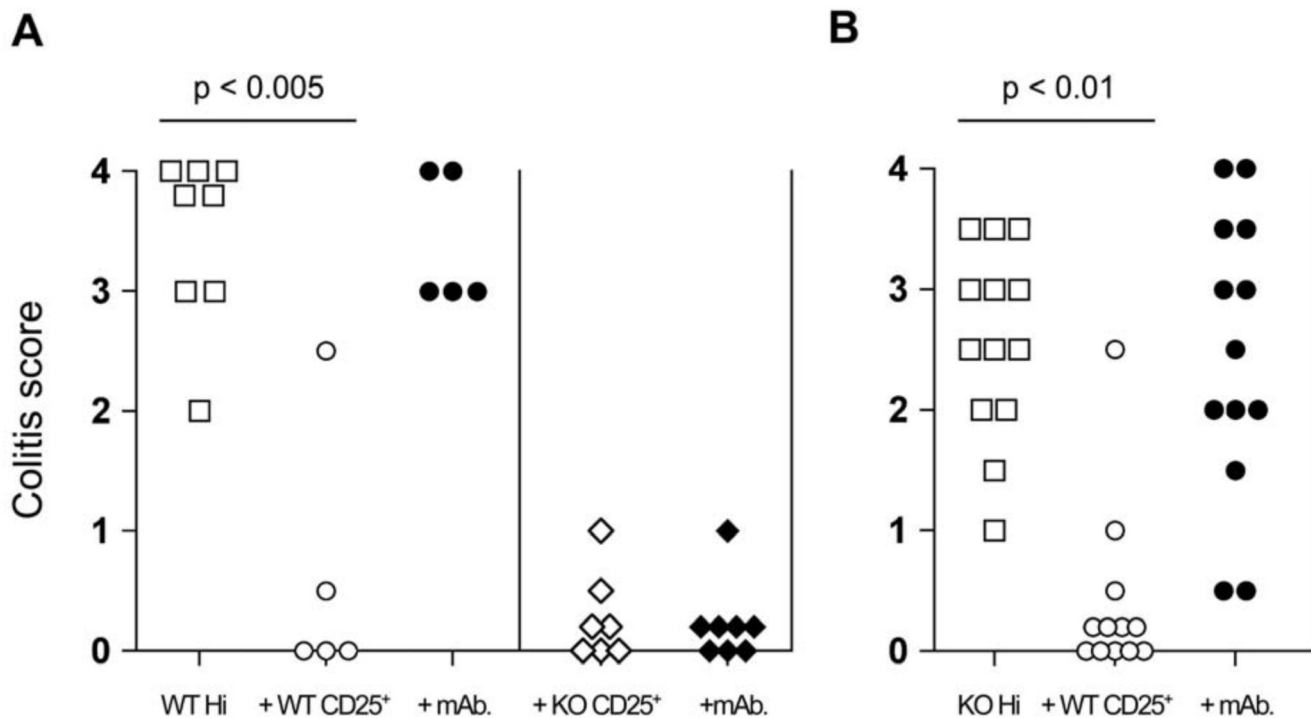


Figure 4. Anti-CTLA-4 acts to inhibit CD4⁺CD25⁺ T_R activity by interacting with CTLA-4 expressed by CD4⁺CD25⁺ cells.

(A) C.B-17.*scid* mice received WT CD4⁺CD45RB^{high} cells alone or in combination with either WT or B7-1/B7-2/CTLA-4 KO (triple KO (TKO)) CD4⁺CD25⁺ cells. In addition, some mice also received anti-CTLA-4 mAb. (B) In similar experiments, C.B-17.*scid* mice received B7-1/B7-2/CTLA-4 KO CD4⁺CD45RB^{high} cells alone or in combination with WT CD4⁺CD25⁺ cells. Again some mice also received anti-CTLA-4 mAb. Mice were sacrificed 6–8 wk after transfer and colons taken for histological analysis. Data show colitis scores for individual mice taken from two to three independent experiments.

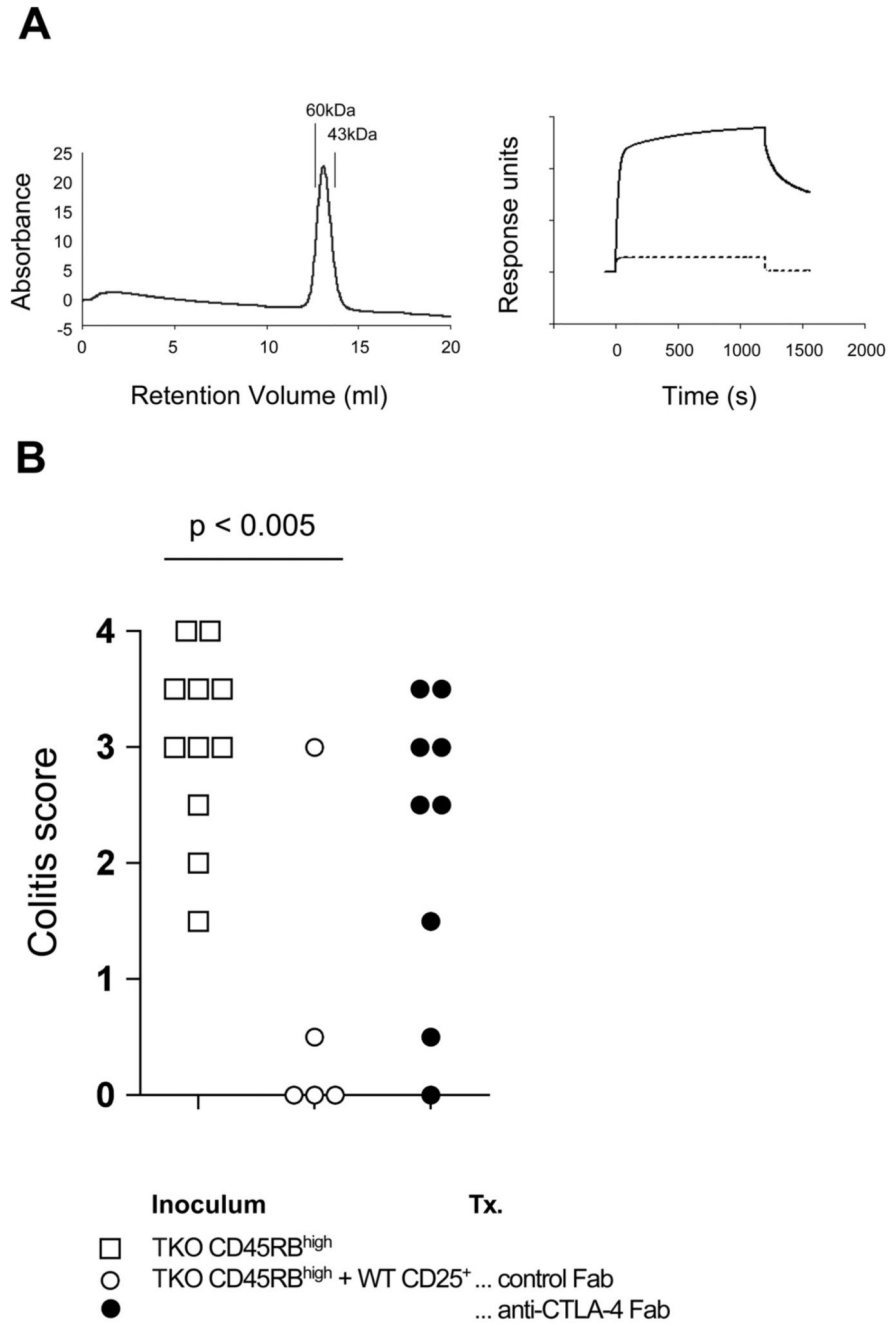


Figure 5. Administration of anti-CTLA-4 Fab is sufficient to abrogate CD4⁺CD25⁺ T cell-mediated control of colitis.

(A) Biochemical analyses of anti-CTLA-4 Fab. *Left*: size-exclusion chromatography indicates that the purified anti-CTLA-4 Fab exists primarily as a monomer. Purified Fab was passed over a Superdex 200 column and eluted as a single peak consistent with a monomer of ~50 kDa. The column was calibrated with molecular mass standards as indicated. In addition, anti-CTLA-4 Fab retained the ability to bind a CTLA-4.Ig fusion protein as measured by surface plasmon resonance (*right*). The plot shows binding of anti-CTLA-4 Fab to immobilized CTLA-4.Ig as compared with a control reference surface (dotted line). A

control Fab failed to bind the immobilized CTLA-4.Ig, giving a trace identical with the reference surface (data not shown). (B) C.B-17 *scid* mice received B7-1/B7-2/CTLA-4 KO (triple KO (TKO)) CD4⁺CD45RB^{high} cells alone or in combination with CD4⁺CD25⁺ cells. Mice also received either anti-CTLA-4 Fab or a control hamster IgG Fab. Mice were sacrificed 6–8 wk after transfer and colons taken for histological analysis. Data show colitis scores for individual mice taken from two independent experiments. In the presence of anti-CTLA-4 Fab, CD4⁺CD25⁺ cells failed to confer significant protection from colitis induced by B7-1/B7-2/CTLA-4 KO CD4⁺CD45RB^{high} cells.

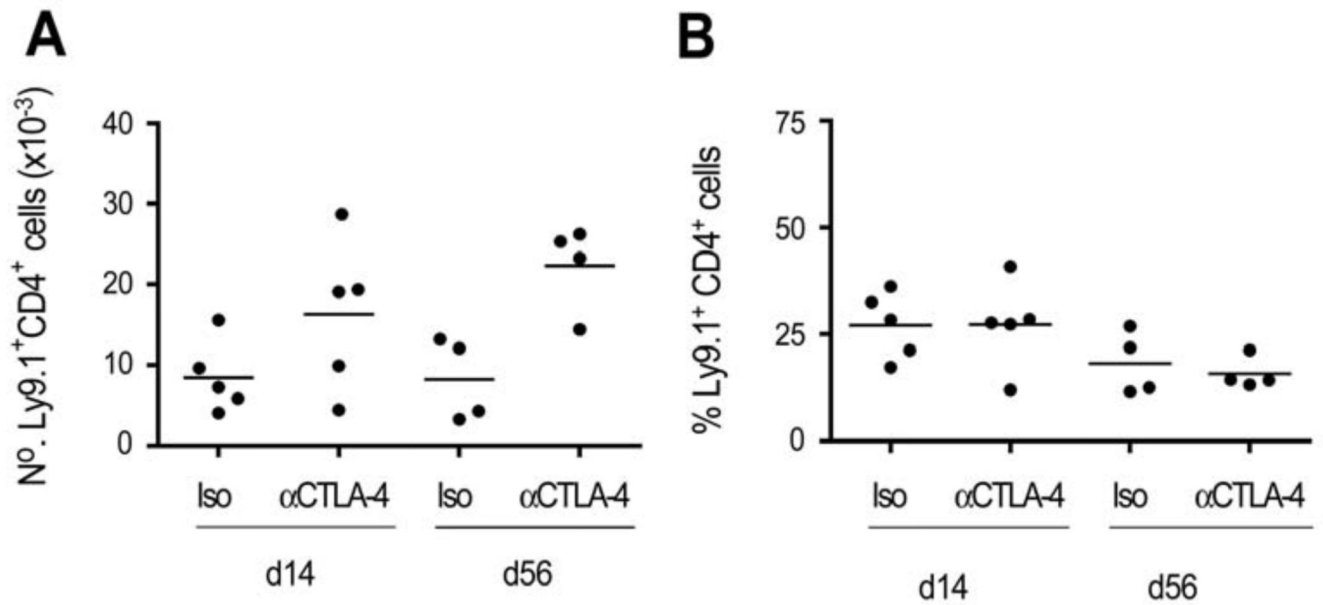


Figure 6. Anti-CTLA-4 mAb does not inhibit CD4⁺CD25⁺ T_R accumulation in vivo. RAG2 KO mice received BALB/c.C57B10D2.Ly9.2 congenic CD4⁺CD45RB^{high} cells and BALB/c (Ly9.1⁺) CD4⁺CD25⁺ cells together with anti-CTLA-4 or control mAb. Mice were sacrificed and the number and proportion of CD4⁺Ly9.1⁺ cells determined at the time points indicated. Data show absolute numbers of CD4⁺Ly9.1⁺ cells (A) and Ly9.1⁺ cells as a proportion of total CD4⁺ cells (B) in the spleens of individual mice, and are representative of three independent experiments.

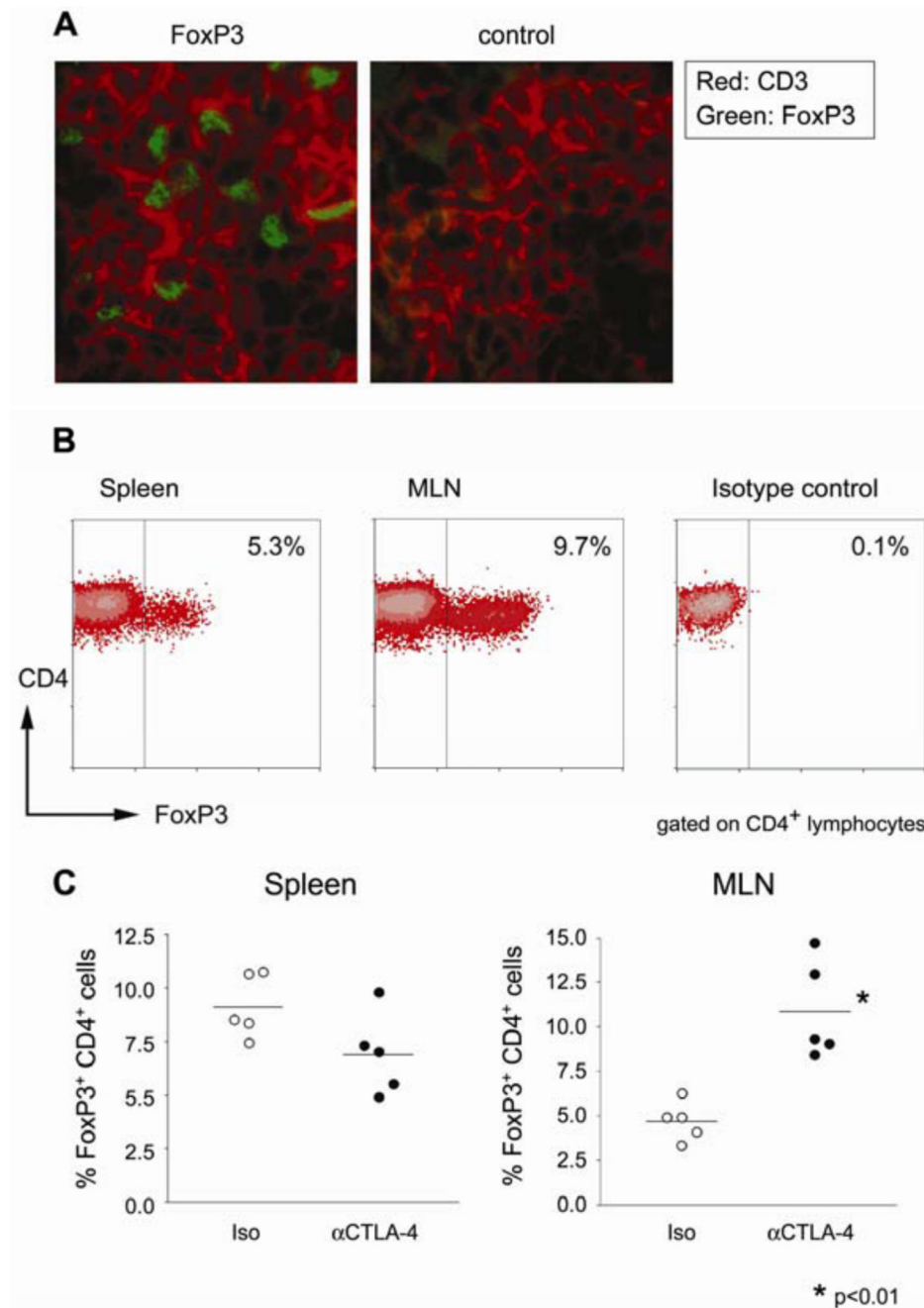


Figure 7. CD4⁺FoxP3⁺ T cells accumulate in the GALT of diseased mice receiving anti-CTLA-4 mAb.

RAG2 KO mice received CD4⁺CD45RB^{high} and CD4⁺CD25⁺ T cells together with anti-CTLA-4 mAb. Eight weeks later, mice were sacrificed and tissues taken for analysis. (A) Sections from mesenteric lymph nodes were stained for CD3 (red) and FoxP3 (green), or CD3 and a control rabbit Ig. Images are representative of the analysis of four mice from two independent experiments. Original magnification: $\times 630$. (B) Representative analysis of FoxP3 expression by transferred CD4⁺ T cells from anti-CTLA-4-treated mice. Single-cell suspensions from spleen and mesenteric lymph nodes were stained for flow cytometric

analysis. Plots show \log_{10} fluorescence and are gated on $CD3^+CD4^+$ lymphocytes. (C) Proportion of $CD4^+$ T cells expressing FoxP3 in the spleen and mesenteric lymph nodes of anti-CTLA-4 or control mice. Each point represents a single mouse analyzed by flow cytometry as in (B).

Table 1
Induction of, and protection from, colitis by CD4⁺ T cell subsets lacking expression of CTLA-4.

Phenotype of Cells Injected		Incidence of colitis (n)	Mean colitis score
CD4 ⁺ CD45RB ^{high}	CD4 ⁺ CD25 ⁺		
WT	none	16 (16)	3.6 ± 0.6
B7-1 ^{-/-} B7-2 ^{-/-}	none	9 (9)	3.3 ± 0.7
B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	none	4 (4)	3.1 ± 0.6
none	WT	0 (8)	-
none	B7-1 ^{-/-} B7-2 ^{-/-}	0 (5)	-
none	B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	0 (5)	-
WT	WT	0 (9)	-
WT	B7-1 ^{-/-} B7-2 ^{-/-}	0 (4)	-
WT	B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	0 (7)	-
B7-1 ^{-/-} B7-2 ^{-/-}	B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	0 (10)	-
B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	B7-1 ^{-/-} B7-2 ^{-/-}	1 (5)	2.5
B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	B7-1 ^{-/-} B7-2 ^{-/-} CTLA-4 ^{-/-}	5 (6)	3.0 ± 0.0

C.B-17.*scid* mice received CD4⁺ T cell subsets as described. Mice were sacrificed 6–8 wk after T cell transfer and colons were taken for histological analysis. Data show incidence of colitis (colitis score ≥ 2) and mean colitis score of those mice with colitis. Data are pooled from four independent experiments.