



# Kinase-dependent structural role of DNA-PKcs during immunoglobulin class switch recombination

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**The catalytic subunit of DNA-dependent protein kinase (DNA-PKcs) is a classical nonhomologous end-joining (cNHEJ) factor. Loss of DNA-PKcs diminished mature B cell class switch recombination (CSR) to other isotypes, but not IgG1. Here, we show that expression of the kinase-dead DNA-PKcs (*DNA-PKcs<sup>KD/KD</sup>*) severely compromises CSR to IgG1. High-throughput sequencing analyses of CSR junctions reveal frequent accumulation of nonproductive interchromosomal translocations, inversions, and extensive end resection in *DNA-PKcs<sup>KD/KD</sup>*, but not *DNA-PKcs<sup>-/-</sup>*, B cells. Meanwhile, the residual joints from *DNA-PKcs<sup>KD/KD</sup>* cells and the efficient  $\Sigma\mu$ - $\Sigma\gamma$ 1 junctions from *DNA-PKcs<sup>-/-</sup>* B cells both display similar preferences for small (2–6 nt) microhomologies (MH). In *DNA-PKcs<sup>-/-</sup>* cells,  $\Sigma\mu$ - $\Sigma\gamma$ 1 joints are more resistant to inversions and extensive resection than  $\Sigma\mu$ - $\Sigma\epsilon$  and  $\Sigma\mu$ - $\Sigma\mu$  joints, providing a mechanism for the isotype-specific CSR defects. Together, our findings identify a kinase-dependent role of DNA-PKcs in suppressing MH-mediated end joining and a structural role of DNA-PKcs protein in the orientation of CSR.**

DNA-PKcs | immunoglobulin class switch recombination | classical nonhomologous end joining | alternative end joining | somatic mutation

Upon contact with antigens, naïve B lymphocytes undergo class switch recombination (CSR) to achieve different effector functions (isotypes). CSR is initiated by activation-induced cytidine deaminase (AID), which introduces mismatches that are eventually converted to double-strand breaks (DSBs) within the switch (S) region preceding each set of constant region ( $C_H$ ) exons. The joining between a DSB at  $\Sigma\mu$  and a downstream S region completes the CSR. While CSR primarily utilizes the classical nonhomologous end-joining (cNHEJ) pathway for repair, in the absence of cNHEJ factors (e.g., Xrcc4 or Lig4), up to 50% of CSR can be mediated by the alternative end-joining (A-EJ) pathways (1, 2) that preferentially use microhomology (MH) at the junctions. The relative extent of MH usage differs in Ku- vs. Xrcc4-deficient B cells, suggesting that more than one type of A-EJ pathways might exist (2).

The catalytic subunit of the DNA-dependent protein kinase (DNA-PKcs) is a vertebrate-specific cNHEJ factor. Upon DSBs, KU70-KU80 heterodimer (KU) binds to DNA and recruits DNA-PKcs, which further recruits and activates Artemis endonuclease to open hairpin ends. DNA-PKcs and Artemis are not essential for direct ligation of blunt DNA ends (3–5). Accordingly, *DNA-PKcs<sup>-/-</sup>* mice are of normal size (3–5), in contrast to embryonic lethality of Lig4 or Xrcc4-deficient mice. However, expression of the kinase-dead DNA-PKcs protein completely blocks cNHEJ, resulting in embryonic lethality similar to *Lig4<sup>-/-</sup>* mice (6). Deletion of Ku, especially the C-terminal of Ku80 that recruits DNA-PKcs to the DNA ends, rescues the embryonic development and end ligation in *DNA-PKcs<sup>KD/KD</sup>* mice (6), suggesting that the DNA-PKcs protein physically blocks cNHEJ in the absence of its own kinase activity. Consistent with the

dispensable role of DNA-PKcs in direct end ligation, *DNA-PKcs<sup>-/-</sup>* mature B cells with preassembled immunoglobulin heavy (IgH) and light chains (IgL) (HL) undergo efficient CSR to IgG1 (at nearly 80% of WT levels). However, switching to other isotypes are much more severely compromised (7–10). The reason for this isotype-dependent CSR defect in *DNA-PKcs<sup>-/-</sup>* B cells remains elusive. Analyses of rare  $\Sigma\mu$ - $\Sigma\alpha$  junctions from severely immunocompromised DNA-PKcs-deficient patients ( $n = 2$ ) or DNA-PKcs null mice (without rescue by HL) suggest an increase of large (>7 bp), but not small (1–6 bp), MH at the junctions (11). In contrast to cNHEJ, MH-mediated A-EJ often requires DNA end resection to expose the flanking MHs (12) and KU suppresses A-EJ by blocking EXO1 mediated end resection (13, 14). So, we asked whether the presence of DNA-PKcs-KD would block end resection and therefore A-EJ in switching B cells. In this context, DNA-PKcs-specific kinase inhibitors (NU7441 or NU7026) promote A-EJ without blocking cNHEJ in WT cells (15–18). Notably DNA-PKcs inhibitors have a off rate and can inhibit other related kinases at 5- to 15- $\mu$ M ranges (19).

To ascertain how different DNA-PKcs mutations (null vs. KD) affect CSR in an isotype-dependent manner, we employed the

## Significance

**To combat pathogens, B lymphocytes switch the types of antibody they express through a DNA break and repair event termed class switch recombination (CSR). To do so, DNA breaks introduced in specific genomic regions within the antibody gene locus are joined together by the nonhomologous end-joining (NHEJ) DNA repair pathway. Here, we show that mutation and deletion in one NHEJ factor, the catalytic subunit of the DNA-dependent protein kinase (DNA-PKcs), leave different molecular signatures at the CSR junctions, uncovering activation-dependent structural function of DNA-PKcs in DNA repair and antibody maturation.**

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high-throughput genome translocation sequencing (HTGTS) (20) method to analyze CSR junctions in *DNA-PKcs<sup>KD/KD</sup>* and *DNA-PKcs<sup>-/-</sup>* B cells with preassembled IgH and IgL chains (HL). In contrast to *DNA-PKcs<sup>-/-</sup>* cells, *DNA-PKcs<sup>KD/KD</sup>* B cells display severe switching defects in IgG1, like the cNHEJ-deficient *Xrcc4<sup>-/-</sup>* B cells. However, CSR junctions from *DNA-PKcs<sup>KD/KD</sup>* and *DNA-PKcs<sup>-/-</sup>* B cells have similar increases of small MH (2–7 nt) as the price of blunt joints, suggesting that DNA-PKcs suppresses MH-mediated A-EJ via its kinase activity. Despite similar MH usage,  $\Sigma\mu$ - $\Sigma\gamma$  joints from *DNA-PKcs<sup>-/-</sup>* B are much more resilient to inversions and deletions than both  $\Sigma\mu$ - $\Sigma\mu$  and  $\Sigma\mu$ - $\Sigma\epsilon$  junctions, suggesting differential preference to the productive orientations might contribute to the isotype-dependent switching defects in DNA-PKcs-deficient cells. Finally, our analyses also identified long MH-mediated interchromosomal translocations in *DNA-PKcs<sup>KD/KD</sup>* B cells and a reduced number of G mutations in 5'  $\Sigma\mu$  in repair-deficient B cells.

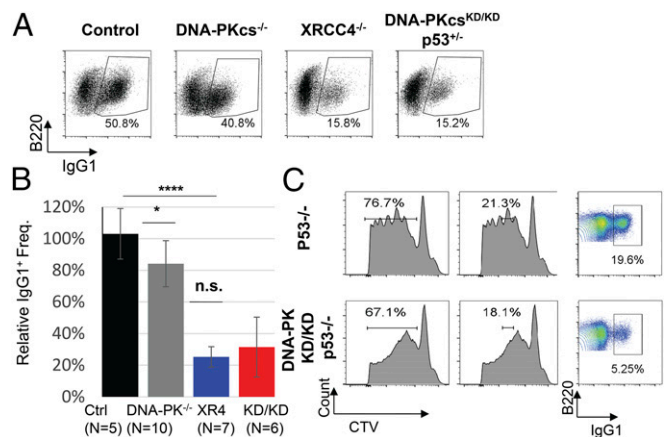
## Results

### B Cells Expressing Kinase-Dead DNA-PKcs Display Severe CSR Defects.

To circumvent the requirement for DNA-PKcs in V(D)J recombination and early B cell development, we generated *DNA-PKcs<sup>KD/KD</sup>* mice carrying the germ-line knock-in IgH and I $\kappa$ (kappa) chains (referred to as *HL<sup>k/k</sup>*) (21, 22). Similar approaches have been used to study the role of other cNHEJ factors, including Ku70, Xrcc4, Ligase4, and Artemis, in CSR (1, 2, 23, 24). Tp53 deficiency was included to rescue the embryonic development of *DNA-PKcs<sup>KD/KD</sup>* mice (6). Consistent with previous reports (25), Tp53 deficiency, heterozygous or homozygous, does not affect CSR efficiency (*SI Appendix, Fig. S1A*) or junction properties (see below). So, we combined the result from *Tp53<sup>+/-</sup>* and *Tp53<sup>-/-</sup>* mice together. For reasons that are yet to be understood, the majority of *DNA-PKcs<sup>KD/KD</sup>Tp53<sup>-/-</sup>* mice died shortly after 21 d of age. Therefore, the CSR analyses were performed on splenic B cells derived from young (~21 d old) *DNA-PKcs<sup>KD/KD</sup>Tp53<sup>-/-</sup>* HL or young adult (up to 6 wk) *DNA-PKcs<sup>KD/KD</sup>Tp53<sup>+/-</sup>* HL mice with controls. The splenic B cells were activated by anti-CD40 and IL4 to initiate CSR to IgG1 and IgE. As shown in Fig. 1 *A* and *B*, ~50% HL and Tp53-deficient/HL B cells became IgG1<sup>+</sup> by day 4. *DNA-PKcs<sup>-/-</sup>* B cells undergo  $\gamma$ 1 switch at ~80% of the WT levels ( $P = 0.0387$ ), while *DNA-PKcs<sup>KD/KD</sup>Tp53<sup>-/-</sup>* HL and *Xrcc4<sup>-/-</sup>Tp53<sup>-/-</sup>* HL B cells can only undergo  $\gamma$ 1 switch at 25–30% of the WT levels ( $P < 0.0001$ ) (Fig. 1 *A* and *B*) (1, 7–9, 26). Productive CSR requires cell proliferation, so we traced cell division via the Cell Trace Violet (CTV) dye. Despite moderate reduction of overall proliferation, the relative frequency of IgG1<sup>+</sup> cells in *DNA-PKcs<sup>KD/KD</sup>* B cells after three cell divisions is also ~25% of the WT levels, suggesting *DNA-PKcs<sup>KD/KD</sup>* B cells have proliferation-independent defects in CSR (Fig. 1C). As in *DNA-PKcs<sup>+/+</sup>* cells, Tp53 status does not affect CSR efficiency in *DNA-PKcs<sup>KD/KD</sup>* B cells (*SI Appendix, Fig. S1B*). Together, these data suggest that the presence of kinase-dead DNA-PKcs protein further blocks CSR, beyond the loss of DNA-PKcs.

### Increased Interchromosomal Translocations in B Cells from *DNA-PKcs<sup>KD/KD</sup>* but Not *DNA-PKcs<sup>-/-</sup>* Mice.

To determine whether DNA-PKcs-KD blocks MH-mediated A-EJ, we applied the HTGTS approach (20, 27, 28) to analyze thousands of junctions from a single 5'  $\Sigma\mu$  bait to other partners (preys) (Fig. 2A). For each genotype, we analyzed several independently stimulated and prepared libraries (*SI Appendix, Fig. S2A*). As previously reported (20, 28, 29), HTGTS analyses recover internal deletions (prey sites within  $\Sigma\mu$ ), productive CSR (prey sites within  $\Sigma\gamma$  or  $\Sigma\epsilon$ ), and other nonproductive joints, including inversions and intrachromosomal and interchromosomal translocations (outside



**Fig. 1.** Class switch recombination analyses of DNA-PKcs-deficient and mutated B cells. (A) Representative CSR switch percentage (defined as B220<sup>+</sup>IgG1<sup>+</sup>) on day 4 of stimulation. All mice carry the knocked-in productive IgH and IgL. (B) Quantification of relative B220<sup>+</sup>IgG1<sup>+</sup> B cells (against the littermate control in each experiment) on day 4 of stimulation. The bars represent the average and the SD of 5 or more mice per genotype. (C) Representative Cell Trace Violet proliferation stain in *Tp53<sup>-/-</sup>* (Control) and *PKcs<sup>KD/KD</sup>Tp53<sup>-/-</sup>* splenic B cells stimulated with anti-CD40 and IL-4 for CSR. \* $P < 0.05$ , \*\*\*\* $P < 0.0001$ , n.s., not significant.  $P$  value was determined by two-tailed Student's  $t$  test with equal variance.

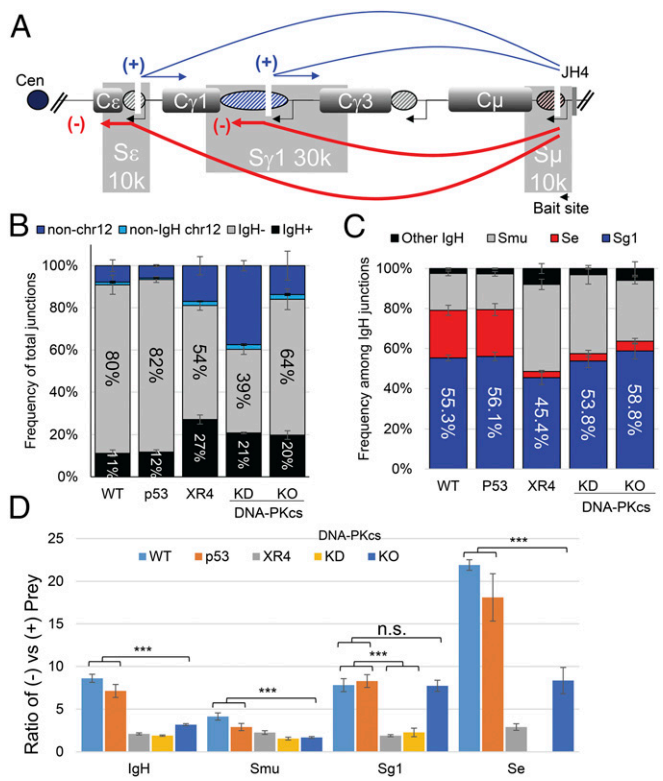
Chr12). In addition to junctional property (MH, blunt and insertion), HTGTS also provides location and orientation (deletion vs. inversion) information on the prey DNA breaks (28) and allows the comparison between different switch regions (29). The vast majority of prey-break sites from wild-type ( $90.9 \pm 3.1\%$ ), Tp53-deficient ( $93.4 \pm 0.3\%$ ), and DNA-PKcs null ( $84 \pm 6.9\%$ ) B cells were within the IgH locus (Fig. 2B), consistent with low frequency cytogenetic aberration (~10%) previously documented (10). In contrast, nearly 20% of prey sites identified from *Xrcc4<sup>-/-</sup>* B cells ( $18.9 \pm 4.2\%$ ) and nearly 40% of prey breaks from *DNA-PKcs<sup>KD/KD</sup>* cells ( $39.7 \pm 2.8\%$ ) reside outside of IgH, and even outside of Chr12, suggesting frequent interchromosomal translocations (Fig. 2B).

### $\Sigma\epsilon$ Switching Is Preferentially Affected over $\Sigma\gamma$ Switching in DNA-PKcs or NHEJ-Deficient B Cells.

Next, we examined the distribution of prey-break sites among  $\Sigma\mu$ ,  $\Sigma\gamma$ , and  $\Sigma\epsilon$  (Fig. 2A). In WT and Tp53-deficient B cells, ~53–55% of the IgH prey fall into  $\Sigma\gamma$ , 25% in  $\Sigma\epsilon$ , with another 25% reflecting internal deletion in  $\Sigma\mu$ . In *DNA-PKcs<sup>-/-</sup>* cells, while there are still ~58% of the IgH prey in  $\Sigma\gamma$ , only 6.3% is now in  $\Sigma\epsilon$ , a sharp reduction from the 25% in WT cells. Despite severe  $\Sigma\gamma$  switching defect in *Xrcc4<sup>-/-</sup>* and *DNA-PKcs<sup>KD/KD</sup>* cells (Fig. 1C), nearly 50% of preys in IgH remains in  $\Sigma\gamma$ , while the frequency of  $\Sigma\epsilon$  prey breaks dropped to 3–4% (Fig. 2C). Even considering all junctions (including translocations), the frequency of  $\Sigma\gamma$  junctions does not drop much, while  $\Sigma\epsilon$  junctions almost diminished in *Xrcc4<sup>-/-</sup>* and *DNA-PKcs<sup>KD/KD</sup>* B cells (*SI Appendix, Fig. S2B*), suggesting cNHEJ deficiency preferentially compromises  $\Sigma\epsilon$  over  $\Sigma\gamma$  junctions, which explains the isotype-dependent CSR defects in *DNA-PKcs<sup>-/-</sup>* B cells.

### Relative Increase of Inversion Events in All IgH Junctions from *DNA-PKcs<sup>KD/KD</sup>* Cells.

Next, we consider the orientation of the joints (28). We define the prey sequence that reads from the junction as centromere to the telomere orientation as (+) and from telomere to centromere as (–) (Fig. 2A). Since the bait primer resides at the 5' of  $\Sigma\mu$  and reads from the telomere to the centromere toward the junction, the internal deletion and productive rearrangement would give rise to prey of (–)



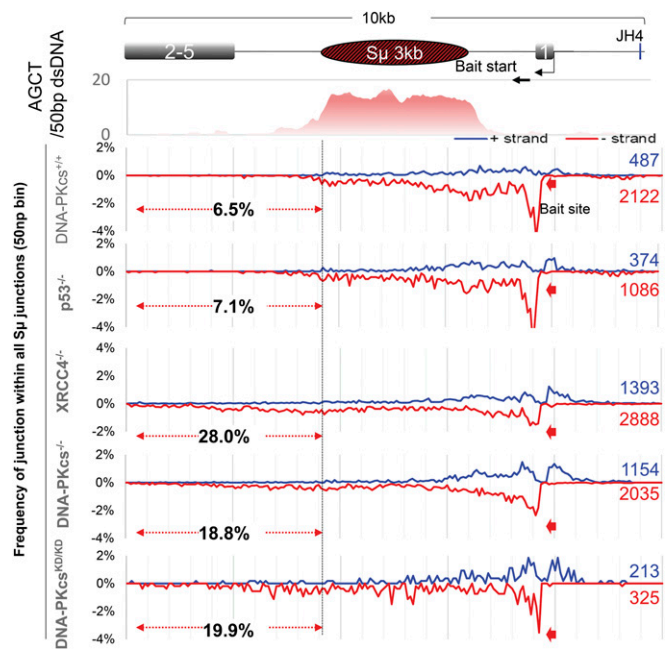
**Fig. 2.** Distribution of the prey sites recovered from a common 5'S $\mu$  bait site. (A) Diagram of representative preys identified in HTGTS using a common bait at the 5'S $\mu$  region. The centromere (cen) and JH4 exon (closer to telomere) are marked. The blue arrows indicate possible configuration of (+) strand/inversional prey sites, and the red arrows indicate the possible configuration of (-) strand/deletional prey sites. The relative size and position of the S $\mu$ , S $\gamma$ 1, and S $\epsilon$  switch region are marked by gray boxes. The data in this figure represent the average and SE of several independently derived libraries of each genotype (see *SI Appendix, Fig. S2A* for sample summary). (B) Frequency of all HTGTS-identified prey-break sites including IgH (+) in black, IgH (-) in gray, non-IgH within chromosome 12 (in light blue), and outside of chromosome 12 (in blue, interchromosomal translocations). (C) The relative frequency of prey break sites in S $\mu$ , S $\gamma$ 1, and S $\epsilon$  and other IgH regions among all IgH prey sites. The relative frequency of prey in each switch region among all junctions (including non-IgH) can be found in *SI Appendix, Fig. S2B*. (D) The (-) vs. (+) strand prey break sites ratio in IgH and among each switch regions ( $\mu$ ,  $\gamma$ 1, and  $\epsilon$ ). \*\*\* $P < 0.001$  by two-tailed Student's  $t$  test with unequal variance and n.s., not significant ( $P > 0.05$ ).

orientations, and inversion would give rise to prey of (+) orientation (Fig. 2A). As previously reported (28), the IgH preys from WT B cells are strongly biased toward deletions (-) [Fig. 2B, with the (-):(+) ratio =  $8.61 \pm 1.16$ ]. Preys in the non-IgH region and outside chromosome 12 are roughly evenly distributed between (+) and (-) orientations (*SI Appendix, Fig. S2C*), suggesting that the orientation bias is mediated by an intrachromosomal mechanism (30). Since our assay could not distinguish the paternal and maternal chromosome 12, the IgH prey breaks also include a small number of interallelic switching events, presumably evenly distributed between (+) and (-) orientation like other interchromosomal translocations (31). Tp53 deficiency does not alter the orientation bias within IgH prey-break sites (-):(+) =  $7.13 \pm 1.52$  ( $P > 0.15$  vs. WT) (Fig. 2D). However, the ratio between (-) and (+) prey decreased markedly among IgH junctions recovered from *Xrcc4*<sup>-/-</sup> (-:(+) =  $2.10 \pm 0.25$ ,  $P = 1.77 \times 10^{-5}$  vs. WT), *DNA-PKcs*<sup>KD/KD</sup> (-:(+) =  $1.89 \pm 0.11$ ), and surprisingly also *DNA-PKcs*<sup>-/-</sup> B cells (-:(+) =  $3.17 \pm 0.24$ ,  $P = 4.07 \times 10^{-5}$  vs. WT) (Fig. 2D and *SI Appendix, Fig. S2B*). In WT B cells, the orientation bias (-):(+) is most prominent in Se

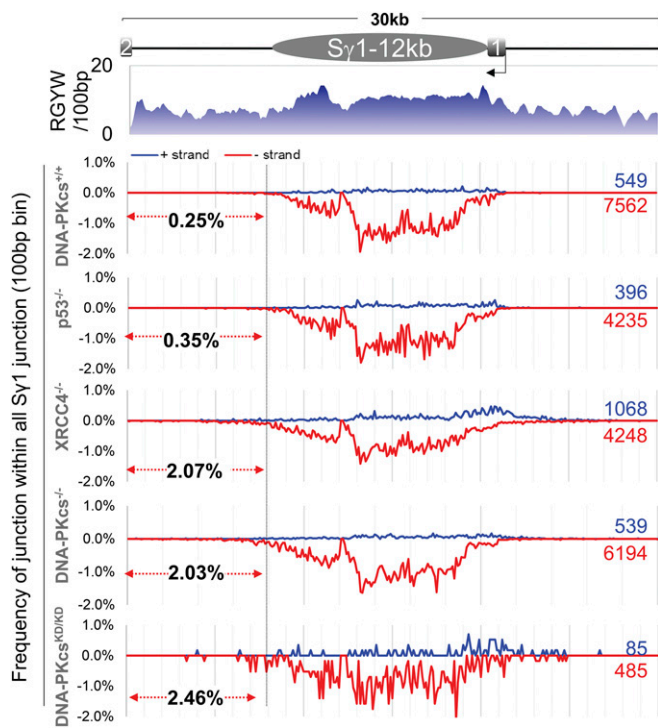
( $21.90 \pm 1.53$ ), followed by S $\gamma$ 1 ( $7.82 \pm 1.86$ ), and lowest in S $\mu$  ( $4.13 \pm 1.04$ ) (Fig. 2D). This orientation bias decreased in all switch regions in *Xrcc4*<sup>-/-</sup> (S $\epsilon$  =  $2.90 \pm 0.78$ , S $\gamma$ 1 =  $1.88 \pm 0.24$ , and S $\mu$  =  $2.25 \pm 0.43$ ), and *DNA-PKcs*<sup>KD/KD</sup> (S $\epsilon$  =  $1.90 \pm 0.58$ , S $\gamma$ 1 =  $1.54 \pm 0.24$ , and S $\mu$  =  $2.27 \pm 0.71$ ) B cells (Fig. 2D). Between the different switch regions, the orientation bias decreases more (by fold) among S $\epsilon$  prey breaks than those from S $\gamma$ 1 prey breaks. In joints recovered from *DNA-PKcs*<sup>-/-</sup> cells, the (-):(+) ratio decreases in both S $\mu$  ( $1.67 \pm 0.22$ ) and S $\epsilon$ 1 ( $8.34 \pm 3.10$ ), but not in S $\gamma$ 1 ( $7.73 \pm 1.30$ ,  $P$  value vs. WT  $> 0.5$ ), again highlighting the resilience of S $\gamma$ 1 switching in *DNA-PKcs*<sup>-/-</sup> cells (Fig. 2D).

### Increased Distal Switching Events in *DNA-PKcs*<sup>-/-</sup> and *DNA-PKcs*<sup>KD/KD</sup> B Cells.

Next, we examined the spatial distribution of the prey-break sites within S $\mu$ , S $\gamma$ 1, and S $\epsilon$  regions. Of the S $\mu$  junctions derived from *DNA-PKcs*<sup>+/+</sup> and *Tp53*<sup>-/-</sup> cells, most prey-break sites were located near the bait sites favoring small internal deletions (Fig. 3). S $\mu$  junctions derived from *Xrcc4*<sup>-/-</sup>, *DNA-PKcs*<sup>-/-</sup>, and *DNA-PKcs*<sup>KD/KD</sup> B cells spread farther centromeric (distal from the 5'S $\mu$ ), indicative of larger internal deletions even into the C $\mu$  exons (Fig. 3). Similar spreading, particularly of the (-) strand junctions, was also noted in the S $\gamma$ 1 and S $\epsilon$  region junctions derived from *Xrcc4*<sup>-/-</sup>, *DNA-PKcs*<sup>-/-</sup>, and *DNA-PKcs*<sup>KD/KD</sup> cells (Fig. 4 and *SI Appendix, Fig. S3*). Notably, the spreading of S $\gamma$ 1 prey is comparable between those from *Xrcc4*<sup>-/-</sup> and those from *DNA-PKcs*<sup>-/-</sup> cells, while the spreading of S $\mu$  prey to downstream regions is significantly less in *DNA-PKcs*<sup>-/-</sup> cells. Finally, the majority of (-) and (+) prey breaks within S $\gamma$ 1 nicely correlate with the frequency of AID hot spots (plot as the number of "RGYW" sequence motif per 50 bp) in S $\gamma$ 1, indicating that they are AID-initiated events.



**Fig. 3.** The spatial distribution of prey-break sites in S $\mu$ . The frequency (%) of S $\mu$  prey breaks that falls into each 50-bp bin were plotted. The pool of all data from each genotype was plotted. All (-, red, below 0) and (+, blue, above 0) strand prey breaks added up to 100%. Schematic of the S $\mu$  region is at Top. For each genotype, the number of (+) strand (blue) and (-) strand (red) junctions are marked at the right. The number of AGCT motifs per 50-bp double-strand DNA is plotted. The bait site at the 5'S $\mu$  is marked by an arrow. The dashed lines indicate the percentage of (-) strand S $\mu$  prey that fall outside the core S $\mu$  region.



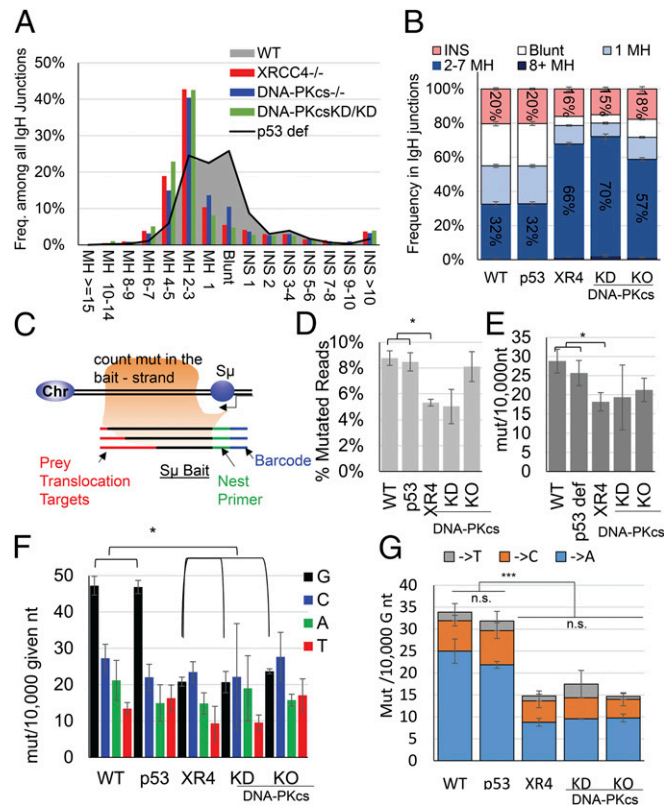
**Fig. 4.** The spatial distribution of prey break sites in Sy1. The plot represents the frequency (%) of Sy1 prey-break sites that falls into each 100-bp bin. The pool of all data from each genotype was plotted. All (–, red, below 0) and (+, blue, above 0) strand-prey breaks add up to 100%. For each genotype, the number of total (+) strand (blue) and (–) strand (red) junctions are marked at the right. The number of RGYW motifs per 100-bp double-strand DNA are shown at Top. The dashed lines indicate the percentage of (–) strand Sy1 prey that fall outside the core Sy1 region.

**Residual CSR and Internal Deletions in DNA-PKcs<sup>KD/KD</sup> or DNA-PKcs<sup>-/-</sup> B Cell Junctions Are Mediated by Small MH.**

To determine the contribution of A-EJ to CSR, we divided junctions recovered from HTGTS analyses into three mutually exclusive categories—MH, blunt, and insertion (INS) and then further divided MH or INS junctions based on the size of MH or INS (Fig. 5A). For sequences with insertions, the usage of MH cannot be determined by HTGTS (29). The joints involving the IgH prey breaks are consistently biased toward small MH than the joints involving the non-IgH prey sites from the same genotype, likely reflecting the CG-rich and repetitive nature of the switch region. For this reason, the IgH and non-IgH prey breaks were analyzed independently (SI Appendix, Fig. S4A). IgH junctions derived from both WT and p53-deficient B cells included ~25% blunt, 22% 1-nt MH, 32% 2- to 7-nt MH. Approximately 20% of IgH junctions from WT or p53-deficient cells had insertions (1–30 nt) (Fig. 5A and B). In comparison, the IgH junctions derived from *Xrcc4*<sup>-/-</sup> and *DNA-PKcs*<sup>KD/KD</sup> cells contained only 5% blunt, 11% 1-nt MH, and a remarkable 66–70% with 2- to 7-nt MH (Fig. 5A and B). Perhaps most surprisingly, despite the nearly normal switching to IgG1, the total IgH as well as the productive Sy1(–) junctions from *DNA-PKcs*<sup>-/-</sup> cells were also dominated by small MHs (2–7 nt), similar to those from XRCC4-deficient cells (Fig. 5A and B and SI Appendix, Fig. S4B), suggesting that small MH-mediated A-EJ is not just a backup pathway, but can indeed catalyze robust CSR to IgG1, at least in *DNA-PKcs*<sup>-/-</sup> cells.

In contrast to IgH junctions, non-IgH junctions (also from the common 5' Sμ bait site) recovered from WT and Tp53-deficient cells contained ~20–25% blunt junctions along with a wider spread of MH size (from 1 to >15 bp) (SI Appendix, Fig. S4C). The non-IgH junctions with small MH (2–5 nt) increased

significantly in the absence of Xrcc4 or DNA-PKcs. In *DNA-PKcs*<sup>KD/KD</sup> cells, the non-IgH junctions displayed a unique shift to large MH (>10 nt) (SI Appendix, Fig. S4C), potentially reflecting extensive resection to bypass both Ku and DNA-PKcs KD at the DNA ends. Such large MHs (>10 nt) are rare in IgH junctions.



**Fig. 5.** The usage of MH, blunt, and insertion in switch junctions. (A) The distribution of IgH junctions by junctional type (INS, insertion). This graph represents the pool of IgH junctions from each genotype (see SI Appendix, Fig. S2A for sample information). The junctions were collected from three *DNA-PKcs*<sup>+/+</sup>, four p53-deficient, three *Xrcc4*<sup>-/-</sup>p53<sup>-/-</sup>, four *DNA-PKcs*<sup>-/-</sup>p53<sup>+/+</sup>, and two *DNA-PKcs*<sup>KD/KD</sup>p53<sup>-/-</sup> mice (all with HL knock-in). The bars in B and D–G represent the average and the SEs of several independently derived libraries by genotype. (B) The distribution of the IgH junctions by junctional features (both + and – orientation). INS includes a 1- to 30-nt insertion. The bar represents the average and SEs of multiple libraries derived from independently stimulated B cells of each genotype. The bars in B and D–G represent the average and the SE of several independently derived libraries by genotype. (C) Diagram of the bait site and nucleotide counting scheme for mutational analysis. The barcode, the common nesting primer binding site, and the prey sites (including any possible insertions) were excluded from the mutation analyses. The top strand relative to Sμ germ-line transcript (telomere to centromere direction, –) was used as the germ-line sequence for base analyses. Only bait reads from IgH preys were included, and over 5,000 reads and more than 270K nt were analyzed per genotype (except *DNA-PKcs*<sup>KD/KD</sup>). See SI Appendix, Fig. S4D for sample information. Two *DNA-PKcs*<sup>-/-</sup> libraries with less than 200 IgH reads per library were excluded from the mutation analyses due to extreme absolute number of mutations (due to low reads and nucleotide numbers). (D) Percentage of IgH reads with any mutation in the bait region by genotype. (E) The rate of mutation per 10,000 nt in the bait region of all IgH junctions by genotype. (F) The rate of mutations per 10,000 of each nucleotide type (A, T, C, or G). The mutation per 10,000 G is significantly higher in WT and Tp53-deficient B cells than in *Xrcc4*<sup>-/-</sup>, *DNA-PKcs*<sup>-/-</sup>, or *DNA-PKcs*<sup>KD/KD</sup> B cells. (G) Rate of mutations per 10,000 G nucleotides broken down by the type of mutation (G to A, T, or C). \*P < 0.05, \*\*\*P < 0.001, n.s., not significant. P value was determined by two-tailed Student's t test with equal variance.

**Reduced G Mutations in the 5'S $\mu$  Bait Region from IgH Junctions Recovered from Repair-Deficient Cells.** Finally, we analyzed mutations at the 5'S $\mu$  region among the junctions (Fig. 5C and *SI Appendix, Fig. S4D*). To compare with prior studies using Sanger sequencing, we present the data based on the top strand relative to the S $\mu$  germ-line transcription (Fig. 5C). The estimated mutation rate for the MiSeq platform is 0.1% (10 per 10,000). The overall mutation rate (mut/10,000 bases) and frequency of reads with mutations were both higher in IgH junctions derived from *DNA-PKcs*<sup>+/+</sup> and *Tp53*<sup>-/-</sup> cells (~27 mut/10,000 nt) than those from *Xrcc4*<sup>-/-</sup> and *DNA-PKcs*<sup>KD/KD</sup> cells that were also *Tp53* deficient (~16 mut/10,000 nt) (Fig. 5D and E). Junctions from *DNA-PKcs*<sup>-/-</sup> cells also had a slightly lower mutation rate (Fig. 5E). Despite a similar distribution of nucleotide types (*SI Appendix, Fig. S4E*), G was most frequently mutated in the 5'S $\mu$  region from *DNA-PKcs*<sup>+/+</sup> and *Tp53*<sup>-/-</sup> B cells (47 mut/10,000 G), and reductions in G mutations account for the lower mutation rate in *Xrcc4*<sup>-/-</sup> and *DNA-PKcs*<sup>KD/KD</sup> cells (20 mut/10,000 G) (Fig. 5F and G). The pattern of G mutations (relative frequency to A, T, C) did not change, suggesting the reduction is likely due to AID targeting, rather than differences in secondary processing by uracil-DNA glycosylase (UNG), mismatch repair (MMR), or other pathways (Fig. 5G and *SI Appendix, Fig. S4F*). Altogether, we found a reduction of G nucleotide mutations in the 5'S $\mu$  region in *Xrcc4*<sup>-/-</sup>, *DNA-PKcs*<sup>KD/KD</sup>, and *DNA-PKcs*<sup>-/-</sup> cells.

## Discussion

Here, we present the high-throughput analyses of CSR and translocation junctions from two types of DNA-PKcs-deficient B cells: loss of DNA-PKcs (null) and the expression of the kinase-dead DNA-PKcs (*DNA-PKcs*<sup>KD/KD</sup>). Our results identified a role of DNA-PKcs kinase activity in suppressing MH-mediated A-EJ, and a kinase-dependent structural function of DNA-PKcs in end ligation that ensures the orientation of CSR. Moreover, despite similar MH usages, S $\mu$ -S $\gamma$ 1 joints are more resistant to inversion and deletions, providing an explanation for the robust IgG1 switching in *DNA-PKcs*<sup>-/-</sup> cells.

Specifically, we found that *DNA-PKcs*<sup>KD/KD</sup> B cells display much more severe CSR defects than *DNA-PKcs*<sup>-/-</sup> cells. The level of residual CSR, the frequency, and size of MHs among CSR junctions are indistinguishable between *DNA-PKcs*<sup>KD/KD</sup> and *Xrcc4*<sup>-/-</sup> B cells, suggesting the DNA-PKcs KD protein, while blocking cNHEJ, does not block MH-mediated A-EJ. A-EJ requires end resection to generate single-strand DNA overhangs to initiate MH-mediated annealing and eventually end ligation. Single-stranded DNA overhangs also preclude KU binding and protect the DNA ends from cNHEJ. In contrast to cNHEJ, which has to work at the DNA ends, end resection can be initiated at the end by exonuclease (e.g., EXO1) or internally by endonucleases, such as the MRE11-RAD50-NBS1 (MRN) complex with the help of CtIP (12). KU at the DNA ends prevents EXO1 cleavage, but not MRN/CtIP-mediated endonuclease cleavage. In fact, in vitro studies suggest that end binding by the KU dimers stimulates the endonuclease activity of the MRN complex (32, 33). During meiosis, MRN/CtIP removes the DNA ends covalently linked Spo11 protein, further supporting the ability of MRN/CtIP to bypass protein block at the DNA ends (12). Given that the DNA-PKcs-KD protein is recruited to the DNA ends by KU, we envision that MRN/CtIP can release the stalled KU and DNA-PKcsKD proteins to initiate end resection and commit the ends to A-EJ. Alternatively, ongoing AID activity and the processing of AID-generated U-G mismatches can also generate additional breaks with single-strand overhangs without bona fide end resection, and such ssDNA overhangs would prevent KU and DNA-PKcs KD binding and favor A-EJ. Correspondingly, extensive usage of the downstream (distal) switch regions is noted in S $\mu$  and S $\gamma$ 1 prey break sites recovered from *DNA-PKcs*<sup>KD/KD</sup> cells and *DNA-PKcs*<sup>-/-</sup> cells (Fig. 3).

In both *DNA-PKcs*<sup>-/-</sup> (7–10) and AID-mutated cells (34),  $\gamma$ 1 switching is less affected than other isotypes tested. HTGTS analyses indicated that the size and extent of MH usage are similar among S $\mu$ -S $\gamma$ 1, S $\mu$ -S $\mu$ , and S $\mu$ -S $\epsilon$  junctions (*SI Appendix, Fig. S4B*) and are not affected by orientation (-/+). Orientation preference during CSR is determined by cis-IgH organization features and enforced by ATM and 53BP1-mediated DNA damage responses (28). In addition, increased translocations, including interhomologous switches, can also attenuate the orientation preference (29). In *DNA-PKcs*<sup>-/-</sup> B cells, the orientation bias is compromised in distal S $\mu$ -S $\epsilon$  and proximal S $\mu$ -S $\mu$  joints, but not the S $\mu$ -S $\gamma$ 1 joints, suggesting proximity to 5'S $\mu$  alone cannot explain the robustness of S $\gamma$ 1. In addition to orientation, the relative frequency of S $\mu$ -S $\gamma$ 1 joints remain at ~50% of all IgH joins in all cNHEJ-deficient cells, while S $\mu$ -S $\epsilon$  joints diminished ~10-fold. The length of the S $\gamma$ 1 region (12.5 kb in 129SV strain), the dense RGYW/AGCT sequence motifs (Fig. 3), and the sequence-independent 3D interactions (synapses) between S $\mu$ -S $\gamma$ 1 (35–38) could all contribute to this sequence independent, robust switching to S $\gamma$ 1.

HTGTS also allowed us to analyze SHM patterns at the 5'S $\mu$  bait site. The estimated error rate of the Illumina MiSeq platform is 0.1%, which is lower than the estimated and reported SHM rate (39). Consistent with previous reports (40, 41), we found that G mutations are nearly two times more frequent than C mutations on the top strand of the 5'S $\mu$  region (the nontemplate strand relative to S $\mu$  germ-line transcription). This enrichment of G mutations is lost in cNHEJ-deficient cells, while the relative pattern of G mutations (to A, C, T) is not affected, suggesting that cNHEJ affects AID targeting to 5'S $\mu$ , but not the processing of the U:G mismatch. The relative abundance of sense vs. anti-sense transcripts (42), and the preference of APOBEC family enzymes to deaminate the lagging strand during DNA replication (39, 43), could all contribute to this strand bias.

## Materials and Methods

**Mice.** *DNA-PKcs*<sup>-/-</sup>, *DNA-PKcs*<sup>KD/KD</sup>, *Xrcc4*<sup>-/-</sup>, *Tp53*<sup>+/+</sup>, and *HL*<sup>k/k</sup> mice and cells have been described before (4, 21, 44–48). Mice were housed in a pathogen-free facility and approved by the Institutional Animal Care and Use Committee of Columbia University.

**Class Switch Recombination.** CSR was performed on 6- to 10-wk-old mice as described (49). Briefly, splenic cells were sorted with CD43 magnetic beads (MACS; Miltenyi), cultured in RPMI medium (Gibco; catalog no. 11875-093) at a density of  $1 \times 10^6$  cells/mL in medium containing anti-CD40 (1 ng/mL; BD Pharmingen) and IL-4 (20 ng/mL; R&D). Cells were analyzed by flow cytometry. Given the low splenocyte count in 3-wk-old mice, CD43 purification was waived for *Tp53*<sup>-/-</sup>*DNA-PKcs*<sup>KD/KD</sup> splenic B cells.

**HTGTS.** HTGTS was performed as described (20, 28, 29). Genomic DNA was collected from activated B cell after 4 d, was sonicated (Diagenode Bioruptor) and amplified with S $\mu$ -specific biotin primer (5'/5BiosG/CAGACTGGGAATG-TATGGT3') and nested (5'/CACACAAAGACTCTGGACTC3') primers. Afill is used to remove germ-line sequence. Since all our experimental mice carry the preassembled IgH on the 129 background (48), we replaced the IgH switch region (from JH4 to the last C $\alpha$  exon, chr12 114, 494, 415–114, 666, 816) of the C57/BL6-based mm9 with the corresponding region in the AJ851868.3 (GenBank accession no. AJ851868.3) 129 IgH sequence (1415966–1592715) to generate the mm9sr (switch region replacement) genome. Sequences were analyzed as detailed before (20, 28). The best-path searching algorithm (related to YAHA; ref. 50) was used to identify optimal sequence alignments from Bowtie2-reported top alignments (alignment score > 50). The reads are then filtered to exclude mispriming events, germ-line (unmodified) sequence, sequential joints, and duplicated reads. A "duplicate read" is defined by bait and prey alignment coordinates within 2 nt of another read's bait and prey alignments. To plot all of the S-region junctions, including those in the repeats but unequivocally mapped to an individual switch region, we combined the ones filtered by a mappability filter but unequivocally mapped to S regions with "good" reads passing both the mappability (both deduplicated) filters (please see ref. 28 for simulation and details on plotting). MHs are defined as regions of 100% homology between the bait and prey-break site. Insertions

are defined as regions containing nucleotides that map to neither the bait and prey-break site. Blunt junctions are considered to have no MHs or insertions.

**Mutational Analysis Calculations.** Mutation rate was calculated by custom Excel integrated VBA script. Mutations were determined by comparing the actual bait sequence of each IgH junction with the germ-line bait sequence based on the IgH sequence from 129/Sv strain (GenBank accession no. AJ851868.3). Only true mismatches (no insertion or deletion within 7 nt) were counted as a mutation.

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