



# Kras and Tumor Immunity: Friend or Foe?

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With the recent breakthroughs in immunotherapy as curative treatments in certain tumor types, there has been renewed interest in the relationship between immunity and tumor growth. Although we are gaining a greater understanding of the complex interplay of immune modulating components in the tumor microenvironment, the specific role that tumor cells play in shaping the immune milieu is still not well characterized. In this review, we focus on how mutant Kras tumor cells contribute to tumor immunity, with a specific focus on processes induced directly or indirectly by the oncogene.

It is well recognized that the growth of mutant Kras tumors is associated with an immunosuppressed state that is established via the dynamic interplay between components of both the innate and adaptive immune response (Fig. 1). For example, immunosuppressive cells commonly associated with mutant Kras tumors include myeloid cells, such as alternatively activated (M2) macrophages and myeloid-derived suppressor cells (MDSCs), as well as lymphoid cells, such as interleukin (IL)-17-producing T helper (Th)17 cells, CD4<sup>+</sup>FoxP3<sup>+</sup> T regulatory (Treg) cells, and CD19<sup>+</sup>IL-10<sup>+</sup> B regulatory (Breg) cells (Almand et al. 2001; Gabrilovich et al. 2001; Kusmartsev and Gabrilovich 2006). These cell types contribute to the suppression of tumoricidal cells such as CD4<sup>+</sup>Th1 T cells, natural killer (NK) cells, and CD8<sup>+</sup> T cytotoxic (Tc) cells (Drake et al. 2006). The relative balance of these two antagonistic immune subpopulations profoundly impacts not only disease establish-

ment and progression but also sensitivity to immunotherapy (Topalian et al. 2012; Pauken et al. 2015). In the sections that follow, we will elaborate on how mutant Kras-regulated signaling pathways affect the presence and function of these immune cell types. Moreover, we will describe how this contributes to the tumorigenic potential of Kras-mutant cancers with specific focus on pancreatic ductal adenocarcinoma (PDAC) and non-small-cell lung cancer (NSCLC), tumor types that harbor Kras mutations in more than 95% and 35% of cases, respectively (Seo et al. 2012; Rishi et al. 2015).

## KRAS' IMMUNOLOGISTICS

The discovery that oncogenic Kras could induce nuclear factor (NF)- $\kappa$ B activation in fibroblasts and epithelial cells provided the first direct evidence of its capacity to drive proinflammatory signaling in transformed cells (Finco et al. 1997;

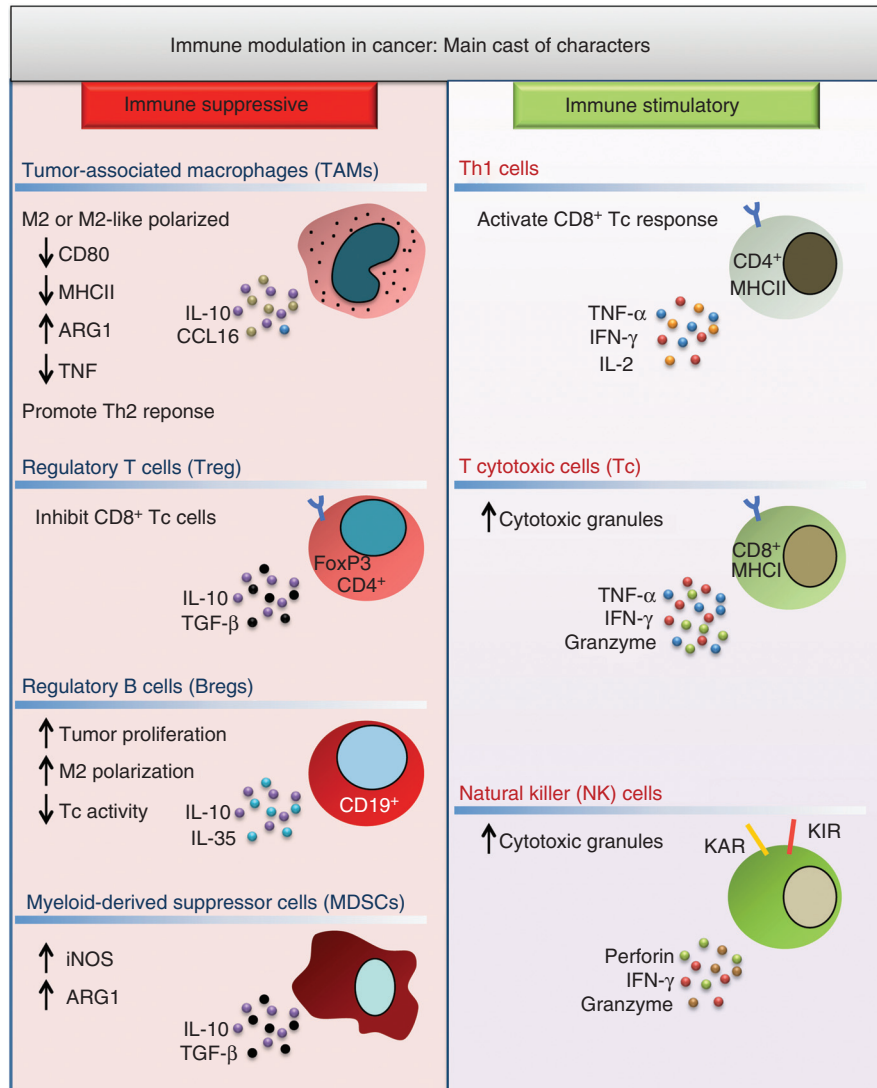
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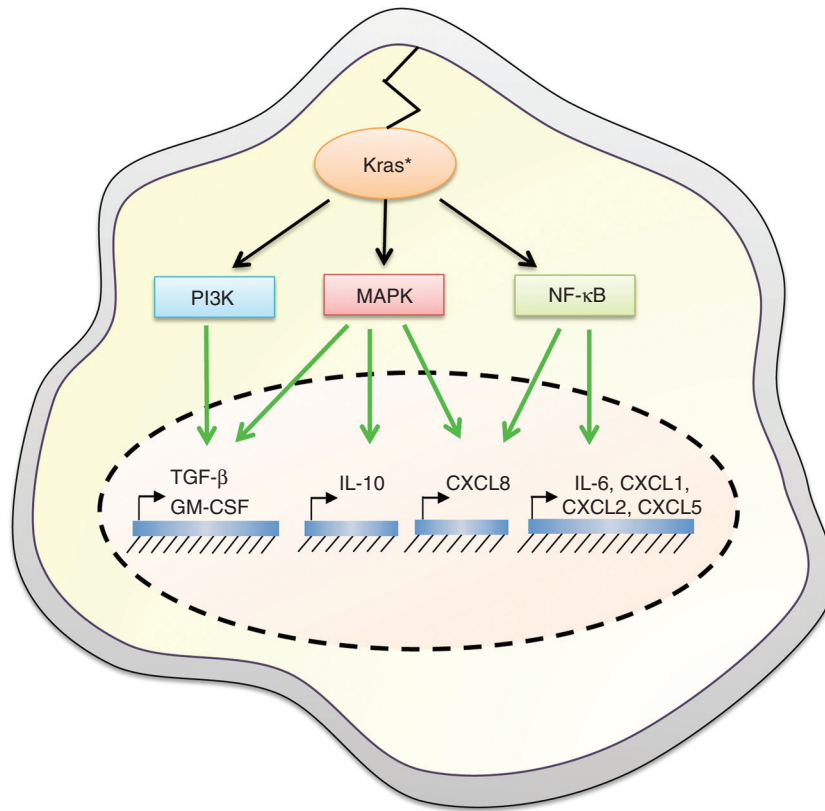
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**Figure 1.** Main mediators of immune modulation in the tumor microenvironment (TME). Tumor-associated macrophages (TAMs), regulatory T (Treg) cells, regulatory B (Breg) cells, and myeloid-derived suppressor cells (MDSCs) induce a tumor-tolerant microenvironment through production of immune suppressive cytokines like interleukin (IL)-10, IL-35, and transforming growth factor  $\beta$  (TGF- $\beta$ ). These factors antagonize the tumoricidal activity of T helper (Th)1 cells, T cytotoxic (Tc) cells, and natural killer (NK) cells that produce immune stimulatory cytokines and cytolytic factors. MHC, Major histocompatibility complex; iNOS, inducible nitric oxide synthase; ARG1, arginase 1; TNF- $\alpha$ , tumor necrosis factor  $\alpha$ ; IFN- $\gamma$ , interferon  $\gamma$ .

Kim et al. 2002). Finco and colleagues showed that NF- $\kappa$ B was a transcriptional target downstream from the Raf/mitogen-activated protein kinase (MAPK) pathway that was required to maintain the transformed phenotype of Hras<sup>G12V</sup>-transformed cells, a finding that was

later confirmed in the context of mutant Kras (Finco et al. 1997; Kim et al. 2002). Although it is well established that NF- $\kappa$ B engages cell-intrinsic signaling pathways that drive cellular transformation, it is also appreciated to play a critical role in shaping the immune microenvironment



**Figure 2.** Secreted immunomodulatory factors transcriptionally induced by oncogenic Kras signaling. Transforming growth factor  $\beta$  (TGF- $\beta$ ) and granulocyte macrophage colony-stimulating factor (GM-CSF) are regulated via the concerted action of mitogen-activated protein kinases (MAPKs) and PI3K pathways, interleukin (IL)-10 is regulated via the MAPK pathway, CXCL8 is induced by both MAPK and canonical nuclear factor (NF)- $\kappa$ B pathways, IL-6 is regulated by the noncanonical RalB/TBK1/IKKE/NF- $\kappa$ B pathway, and CXCL1, CXCL2, and CXCL5 are induced via the classical NF- $\kappa$ B signaling pathway.

through the transcriptional induction of a plethora of cytokines and chemokines, including tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ), IL-1 $\alpha/\beta$ , IL-6, CXCL1, 2, 5, and 8, COX2, monocyte chemoattractant protein 1 (MCP-1), inducible nitric oxide synthase (iNOS), intracellular adhesion molecule 1 (ICAM1), and ELAM1 (Fig. 2) (Baud and Karin 2009). Mutant Kras can also induce the expression of cytokines via the classical Raf/MAPK and PI3K signaling pathways independently of NF- $\kappa$ B, such as in the case of IL-10, transforming growth factor  $\beta$  (TGF- $\beta$ ), and granulocyte macrophage colony-stimulating factor (GM-CSF) (Fig. 2). Below, we highlight those cytokine and growth factor

families regulated directly by oncogenic Kras, the immune cells they affect, and how this modifies the tumorigenic potential of Kras-mutant tumors.

#### ELR<sup>+</sup> CXC Chemokines

The ELR<sup>+</sup> CXC family of chemokines perhaps best exemplifies the expanse of mutant Kras-dependent immunomodulation in human cancers, comprising CXCL1 (GRO-a/KC), CXCL2 (GRO-b/MIP2), CXCL3 (GRO-c), CXCL4 (PF-4), CXCL5 (ENA-78/LIX), CXCL6 (GCP-2), CXCL7 (NAP-2), CXCL8 (IL-8), CXCL9 (MIG), CXCL10 (IP-10), CXCL11 (I-TAC),



CXCL12 (stromal cell-derived factor 1 [SDF-1]), CXCL13 (BCA-1), CXCL14 (BRAX), and CXCL16. These chemokines are characterized by a canonical Cys-X-Cys (CXC) motif preceded by a Glu-Leu-Arg (ELR) sequence, which promotes their engagement with CXC receptors (CXCR1-5) that are predominantly expressed on myeloid cell types, including macrophages, neutrophils, and MDSCs (Rossi and Zlotnik 2000; Allen et al. 2007). CXCR1, also known as IL-8RA, binds to CXCL6 and 8 with high affinity, whereas CXCR2 has been shown to interact with CXCL1, 2, 3, 5, 6, 7, and 8, acting as the common mediator of their activity (Lee et al. 1992; Murphy 1994). CXCR3, in turn, preferentially binds to CXCL4, 9, 10, and 11 (Loetscher et al. 2001). O'Hayer and colleagues (2009) showed that the entire family of ELR CXC chemokines can be induced by both Hras<sup>G12V</sup> and Kras<sup>G12D</sup> in human embryonic kidney (HEK) cells. Up-regulation of all CXC chemokines was similarly observed in Kras-mutant human pancreatic cell lines (Purohit et al. 2016) and in the DMBA/TPA model of skin tumorigenesis, showing that CXC chemokine up-regulation occurs in physiologically relevant settings of Ras-induced tumorigenesis (O'Hayer et al. 2009).

### CXCL8

Studies performed by Sparmann and Bar-Sagi (2004) showed that CXCL8 was transcriptionally induced by oncogenic Hras via the concerted action of AP-1 and NF- $\kappa$ B transcription factors (Fig. 2). Tumors generated from Hras<sup>G12V</sup>-transformed cells showed a robust infiltration of macrophages and granulocytes that facilitated tumor growth and whose recruitment was prevented by treating mice with a neutralizing antibody to CXCL8. Critically, they showed that the tumor cells lacked expression of CXCR1 and 2, supporting the model that CXCL8 acted cell extrinsically. Similar findings were observed in the setting of endogenous mutant Kras expression in the Kras<sup>G12D</sup>LA1 lung adenocarcinoma (LAC) mouse model, in which lung tumorigenesis correlated with increased CXCL8 levels in the lung tissue and neutrophil recruitment (Wislez et al. 2006). Moreover, CXCR2

inhibition with a neutralizing antibody reduced the incidence and progression of lung lesions. The relevance of these studies to human disease is highlighted by the observation that CXCL8 levels are often elevated in both the serum and tumor tissues of patients with pancreatic and lung cancers (Wigmore et al. 2002; Tas et al. 2006; Frick et al. 2008). In the case of lung cancer, high CXCL8 in the serum was specifically linked to patients whose tumors harbored Kras mutations, confirming the direct relationship between Kras mutation status and CXCL8 expression in human cancer (Tas et al. 2006).

### CXCL1, 2, and 5

Other ELR<sup>+</sup> CXC chemokines, CXCL1, 2, and 5, were found to be induced by Kras<sup>G12D</sup> in the CC10-Cre/LSL-Kras<sup>G12D</sup> mouse model of LAC, leading to a robust infiltration of macrophages and neutrophils that was amplified with tumor progression (Ji et al. 2006). CXCL1 induction by Kras-mutant pancreatic cancer cells was required for angiogenesis and xenograft growth in studies performed by O'Hayer and colleagues (2009), as was similarly found for CXCL5 (Li et al. 2011). Importantly, Steele and colleagues (2016) associated increased CXCL1, 2, and 5 expression by Kras-mutant tumor cells in situ to elevated CXCR2 signaling in the myeloid compartment of Kras<sup>G12D/+</sup>p53<sup>R172H/+</sup> transgenic (KPC) mice. Global deletion of CXCR2 or depletion of neutrophils and MDSCs in these mice resulted in decreased metastasis and improved T-cell entry into the primary tumor. The therapeutic significance of these findings was shown by the sensitization of KPC mice to PD-1 checkpoint therapy when treated with a small molecule inhibitor of CXCR2. Together, these studies support an important role for mutant Kras in establishing an immunosuppressive microenvironment that affects proper cytotoxic T-cell entry and activation via the CXC-dependent recruitment of neutrophils and MDSCs. As with CXCL8, the therapeutic potential of targeting other CXC chemokines in Kras-mutant tumors is exciting given the number of studies showing the elevated expression of CXCL2 and CXCL5 in pancreatic cancers and their association with

poorer tumor differentiation, advanced clinical stage, and/or shorter overall survival (Frick et al. 2008; Li et al. 2011; Steele et al. 2016).

## CYTOKINES

A number of Kras-regulated cytokines play dominant roles in shaping the immune microenvironment. Although the signaling pathway(s) leading to the mutant Kras-dependent activation of some cytokines, such as IL-6, IL-10, TGF- $\beta$ , and GM-CSF, has been delineated, such mechanistic links are lacking for many other cytokines highly expressed in Kras-mutant tumors. Below, we will focus on those cytokines that are direct transcriptional targets of oncogenic Kras.

### IL-6

IL-6 is a pleiotropic inflammatory cytokine that plays an essential role in immune and cancer cell cross talk in many tumor types, primarily via the activation of the Janus kinase (JAK)/signal transducers and activators of transcription (STAT) family of transcription factors (Holmer et al. 2014). Ancrile and colleagues showed that acute induction of oncogenic Hras<sup>G12V</sup> resulted in IL-6 gene and protein expression in multiple cell types (Ancrile et al. 2007). Zhu and colleagues (2014b) subsequently showed that IL-6 up-regulation was mediated via noncanonical NF- $\kappa$ B signaling via the Kras/RalB/TBK1/IKKE/NF- $\kappa$ B pathway (Fig. 2). Intriguingly, McAllister and colleagues (2014) found that Kras<sup>G12D</sup> could promote IL-17 receptor expression in preneoplastic pancreatic cells, which in turn induced IL-6 expression. These data suggest that multiple signaling pathways downstream from mutant Kras may contribute and/or synergize to sustain high IL-6 levels. In support of these findings, in Kras-mutant pancreatic and NSCLC cell lines, IL-6 is consistently and highly up-regulated (Wigmore et al. 2002; Yamaji et al. 2004; Bellone et al. 2006; Feurino et al. 2007). A striking number of studies have found that IL-6 levels are elevated in the serum and tumor tissue of pancreatic and NSCLC patients and correlate with tumor progression,

the presence of metastases, and/or poorer overall survival (Okada et al. 1998; Wenger et al. 1999; Carpagnano et al. 2002; Ebrahimi et al. 2004; Huang et al. 2005; Bellone et al. 2006; Talar-Wojnarowska et al. 2009; Mroczko et al. 2010; Chang et al. 2013). Despite the established negative prognostic role of IL-6 in many cancers that commonly harbor Kras mutations, relatively little is known about how tumor-derived IL-6 directly modifies the immune microenvironment. This is attributed to the fact that tumor cells can express IL-6 receptors, allowing for the possibility that IL-6 promotes tumor growth in a cell-autonomous manner. Moreover, IL-6 is secreted by many cell types in the tumor microenvironment, making the determination of the relative functionally active sources of IL-6 challenging (Lesina et al. 2011; Zhang et al. 2013). Nevertheless, some studies have convincingly shown that mutant Kras-induced IL-6 secretion plays a critical role in shaping the immune microenvironment. Fukuda and colleagues showed that oncogenic Kras expression in the pancreas was required for sustained IL-6 and STAT3 signaling in the epithelial cell compartment (Fukuda et al. 2011). This was accompanied by an infiltration of myeloid cells, including MDSCs and immature myeloid cells, as well as B and T lymphocytes, whose recruitment was abrogated in STAT3-deficient Kras<sup>G12D</sup> transgenic mice and was paralleled with an inhibition of pancreatic intraepithelial neoplasia (PanIN) formation. Although this study did not further elaborate on the functional properties of the immune cell types recruited by IL-6/STAT3 signaling, they clearly show the capacity of tumor-derived IL-6 to substantially alter the immune cell composition to one favoring tumor progression. In line with these studies, IL-6-deficient iKras<sup>G12D</sup> mice showed decreased MDSC, macrophage, T-regulatory cell, and mast cell recruitment to the pancreas concomitant with abrogated PanIN progression (Zhang et al. 2013). Although IL-6 production was observed in Kras-mutant tumor cells in this model, it could not be causally linked to the immunosuppressive phenotype of the PanINs given their model of tissue-wide IL-6 deletion. Interestingly, in a Kras<sup>G12D</sup> model of lung cancer, IL-6 deletion

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resulted in a dramatic increase of iNOS-expressing macrophages in the lung, thereby promoting tissue damage and accelerating the onset of tumorigenesis (Qu et al. 2015). These studies support the role of IL-6 in promoting an immunosuppressive microenvironment while highlighting the context specificity of the tumorigenic outcome of immunostimulatory and immunosuppressive signaling. Of note, it is also likely that IL-6-producing immune cells synergize with Kras-mutant tumor cells to amplify IL-6 levels in the tumor, as studies have shown a positive feedback loop between IL-6 and Kras oncogenic activation (Fukuda et al. 2011).

### IL-10

IL-10 is an anti-inflammatory cytokine that, like IL-6, primarily acts via the induction of the JAK/STAT signal activation. The effect of IL-10 secretion in the tumor microenvironment has primarily been studied in the context of Treg-cell differentiation and macrophage polarization. Zdanov and colleagues (2016) showed that Kras-mutant colorectal cells transcriptionally up-regulated IL-10 via the MEK/ERK/AP-1 pathway (Fig. 2). Critically, they showed that mutant Kras-dependent IL-10 secretion was required for the conversion of CD4<sup>+</sup> T cells to CD4<sup>+</sup>FoxP3<sup>+</sup> Treg cells. Moreover, Treg-cell recruitment to lung tumors was abrogated with a small molecule inhibitor of Kras activity. In PDAC and NSCLC, increased IL-10 levels have long been observed in the serum and tumor tissue of patients (Fortis et al. 1996; Hatanaka et al. 2000; von Bernstorff et al. 2001; Bellone et al. 2006), with elevated serum levels of IL-10 correlating with significantly worse survival (Hatanaka et al. 2000; Ebrahimi et al. 2004; Hsu et al. 2015). Bellone and colleagues (1999) showed that IL-10 present in pancreatic tumor-cell-conditioned medium was required to abrogate peripheral blood mononuclear cell (PBMC) proliferation and Th1 cytokine production, confirming the important role of IL-10 in promoting a Th2 phenotype. In a mutant Kras-driven model of lung tumorigenesis, elevated IL-10 levels were observed in serum and tumor tissues and were required for the recruitment of M2

macrophages and Treg cells to the tumor (Hsu et al. 2015). Disruption of Treg-cell recruitment to Kras-mutant tumors has been shown to activate cytotoxic T-cell-dependent immune clearance and dramatically attenuate tumor growth in several mouse models, although in many studies the mechanistic link to tumor-cell-derived IL-10 was not validated (Granville et al. 2009; Ali et al. 2014). Given the abundant IL-10 secretion in human cancers harboring Kras mutations and its established effect on Treg-cell differentiation, however, the potential of targeting IL-10 to restore immune recognition is an exciting prospect to consider.

### TGF- $\beta$

TGF- $\beta$  is a pleiotropic cytokine that plays diverse cellular roles, including the regulation of cell proliferation, differentiation, migration, invasion, and survival. The development of TGF- $\beta$  knockout mice in the 1990s revealed a central role for the cytokine in the regulation of the immune system, with a particularly strong effect on the inhibition of inflammation and autoimmune diseases (Shull et al. 1992; Kulkarni and Karlsson 1993). Subsequent studies focusing on cell-type-specific deletion of TGF- $\beta$  in mice revealed a dominant role for TGF- $\beta$  in the regulation of T lymphocyte proliferation, differentiation, and survival (Gorelik and Flavell 2002), although its immunosuppressive effects also extend across B-cell, NK-cell, dendritic-cell (DC), mast-cell, and granulocyte-cell populations (Li et al. 2006). TGF- $\beta$  was originally identified as a secreted protein induced by oncogenic Hras expression in breast cancer cells by Dickson and colleagues (1987). Tsubaki and colleagues (Tsubaki et al. 2011) found that inhibition of either MAPK or PI3K pathways downstream of active Ras could suppress TGF- $\beta$  induction, suggesting that both signaling axes contribute to TGF- $\beta$  production (Fig. 2). Early studies by Bellone and colleagues (1999) showed that TGF- $\beta$  was elevated in the medium of pancreatic cancer cell lines and in serum from pancreatic cancer patients. Using conditioned medium from pancreatic carcinoma cells, they found that TGF- $\beta$  contributed to the inhibition of Th1 responses



in PBMCs, as evidenced by the reversion of these effects using TGF- $\beta$ -neutralizing antibodies. Similarly, Zdanov and colleagues (2016) showed that Kras<sup>G12V</sup>-induced Treg-cell differentiation required TGF- $\beta$  secretion from colorectal cancer cells. In this study, TGF- $\beta$  secretion was induced only in cell lines harboring mutant Kras and was mediated via the MEK/ERK/AP-1 pathway. Moreover, small molecular inhibition of Kras in mice harboring lung-specific Kras mutations prevented the infiltration of Treg cells. Perhaps the most convincing evidence for the critical role of Kras-induced TGF- $\beta$  secretion in dictating intratumoral Treg-cell differentiation was presented by Moo-Young and colleagues (2009). Adoptive transfer of CD4<sup>+</sup>FoxP3<sup>-</sup> T cells into mice implanted with Kras-mutant, TGF- $\beta$ -secreting tumor cells induced their differentiation into CD4<sup>+</sup>FoxP3<sup>+</sup> Treg cells, which was reversed by systemic injection of a blocking antibody to TGF- $\beta$  or T-cell-specific inhibition of the TGF- $\beta$  receptor (Moo-Young et al. 2009). Moreover, Treg-cell differentiation was not observed in mice with Kras wild-type tumors that lacked TGF- $\beta$  secretion. Intriguingly, another study showed that although global TGF- $\beta$  receptor deficiency in EL-Kras<sup>G12D</sup> mice restored antitumor immune function, epithelial-specific deletion of TGF- $\beta$  receptor facilitated tumorigenesis, supporting the notion that tumor-cell-derived TGF- $\beta$  acts non-cell autonomously to contribute to widespread immunosuppression (Principe et al. 2016). TGF- $\beta$  levels are also elevated in NSCLC patients (Tateishi et al. 1991) and are secreted by NSCLC cells, leading to suppression of infiltrating T-cell cytotoxic responses (Ortega et al. 2002). In NSCLC, tumor-cell-derived TGF- $\beta$  has been further shown to suppress the activation of B cells, the maturation of DCs, and activation of NK and lymphokine-activated killer cells (Yoon 2014). These observations have led to the development of Belagenpumatucel-L, an allogeneic tumor-cell vaccine composed of irradiated NSCLC cell lines, to block TGF- $\beta$  secretion, which is currently in phase III clinical trials (Nemunaitis et al. 2006, 2009).

Given the plethora of studies that have found elevated TGF- $\beta$  levels in the serum and

tumor tissue of pancreatic and NSCLC patients (Hasegawa et al. 2001; von Bernstoff et al. 2001; Bellone et al. 2006), the role of TGF- $\beta$  in mediating immunosuppression in Kras-mutant tumors is likely of great relevance to human disease. That being said, it is not clear whether TGF- $\beta$  secreted by Kras-mutant cells constitutes the major determinant of TGF- $\beta$ -dependent immunosuppression in these tumor types. Many other cell types in the tumor microenvironment are rich sources of TGF- $\beta$ , including fibroblasts, pancreatic stellate cells, and immune cells themselves. Mutant Kras may indirectly promote TGF- $\beta$ -mediated immunosuppression via the activation of other cell types in the tumor microenvironment, including M2 macrophages and pancreatic stellate cells, which we will touch on in our discussions of these cell types below.

### GM-CSF

GM-CSF is a hematopoietic growth factor that stimulates the development of neutrophils, DCs, granulocytes, and macrophages in the bone marrow. Pylayeva-Gupta and colleagues (2012) showed Kras<sup>G12D</sup>-dependent transcriptional up-regulation of GM-CSF in pancreatic ductal epithelial cells (PDECs) that was mediated by the concerted activation of MAPK and PI3K pathways (Fig. 2). Human PDAC lesions showed selective up-regulation of GM-CSF relative to tissues from patients with other diseases of the pancreas, showing the specificity of GM-CSF up-regulation in mutant Kras-induced neoplasia. Importantly, GM-CSF production by Kras<sup>G12D</sup>-expressing pancreatic tumor cells promoted the recruitment of MDSCs to the tumor microenvironment, which in turn fostered tumor growth by suppressing immune clearance by CD8<sup>+</sup> T cells (Bayne et al. 2012; Pylayeva-Gupta et al. 2012). Other groups have similarly found that PDAC cell lines secrete GM-CSF, resulting in MDSC differentiation and the inhibition of T-cell proliferation (Takeuchi et al. 2015; Kenkel et al. 2017). Kenkel and colleagues found that pancreatic tumor-cell-secreted GM-CSF induced DC differentiation and Treg-cell expansion at early sites of metastasis in KPC mice. Strikingly, targeted depletion of this DC



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population resulted in a reduction of Treg cells, activation of cytotoxic lymphocytes, and prevented metastasis formation. In line with the above findings, GM-CSF is present at high levels in pancreatic cancer patients and is correlated with reduced overall survival (Vasiliades et al. 2012; Delitto et al. 2015; Takeuchi et al. 2015). GM-CSF was also found to be elevated in NSCLC patients and to correlate with worse outcome (Baldwin et al. 1989). These data are somewhat paradoxical given that GM-CSF classically functions as an immune stimulant that in many contexts enhances antitumor immune responses. Indeed, the prognostic value of GM-CSF secretion varies widely depending on tumor type, and likely is caused by thresholds of GM-CSF levels that shift the balance from suppression to stimulation of specific immune cell types. In addition, the pleiotropic role of GM-CSF may reflect the differing roles of immune activation at different stages of tumorigenesis. In the context of pancreatic cancer, however, GM-CSF appears to drive immunosuppression via MDSC differentiation and cytotoxic T-cell suppression. Thus, inhibition of GM-CSF in this setting has the potential to be an effective therapeutic avenue to restore immune surveillance.

### KRAS' COIMMUNIST SOCIETY

As described above, mutant Kras engages a pronounced number of immune modulating cytokines with clear and direct links to immune cell recruitment and/or differentiation. However, there are a number of cell types that play a critical role in the immune phenotype of mutant Kras tumors where the mechanistic link to Kras' oncogenic activity has not been fully elucidated. Below, we highlight some cell types that are prevalent in mutant Kras tumors and significantly impact mutant Kras-associated immunity.

### Macrophages

Macrophage infiltration occurs early in NSCLC and PDAC tumorigenesis and is an established promoter of tumor progression. Depending on signals that prevail within their microenvironment, macrophages can adopt a variety of func-

tional states. In response to bacterial products such as lipopolysaccharide (LPS) and Th1 cytokines, macrophages become immunostimulatory (M1). M1 macrophages are characterized by the expression of high levels of iNOS, major histocompatibility complex II (MHCII), cluster of differentiation 80 and 86 (CD80, CD86), TNF- $\alpha$ , contributing to their tumoricidal effect (Mantovani et al. 2002). In contrast, in the presence of Th2 cytokine macrophages acquire an alternatively activated state (M2) that is immunosuppressive, tumor promoting, and is characterized by the expression of arginase 1, cluster of differentiation 206 (CD206), and low levels of MHCII. In pancreatic cancer, tumor-associated macrophages (TAMs) show both M1 and M2 phenotypes, although higher M2:M1 ratios correlate with disease progression, metastasis, and shorter survival in patients (Kurahara et al. 2011; Ino et al. 2013). Other studies have argued that both M1 and M2 macrophages are tumor promoting but play distinct roles in the initiation (M1) and progression (M2) of tumorigenesis (Liou et al. 2015). Although cytokines discussed previously in this review such as IL-10 and TGF- $\beta$  can drive M2 phenotypes, a direct link between mutant Kras-induced secretion of these cytokines and macrophage polarization in PDAC has not been stringently tested. Interestingly, Liou and Storz (2015) showed that Kras<sup>G12D</sup> expression in pancreatic acinar cells drove the expression of ICAM1, which served as a chemoattractant for M1 macrophages. Although the precise mechanism of ICAM1-dependent macrophage recruitment was not investigated, the authors speculated that proteases secreted by acinar cells processed ICAM1 into a soluble form that could bind to unidentified receptors present on macrophages. Liou and colleagues (2013; Liou and Storz 2015) further show that ICAM1-dependent macrophage recruitment promoted acinar-to-ductal metaplasia (ADM) via TNF- $\alpha$  and CCL5 production, thereby contributing to neoplastic transformation and providing functional evidence of a temporally dependent, tumor-promoting role of M1 macrophages in early pancreatic tumorigenesis. In advanced stages of pancreatic tumorigenesis, macrophages play a





primarily immunosuppressive role and emerge as one of the most abundant immune cell types present in the tumor microenvironment (Mielgo et al. 2013). M2 macrophages have been shown to contribute to angiogenesis, invasion (via MIP-3 $\alpha$ ), metastasis (via vascular endothelial growth factor [VEGF]), and the promotion of cancer stem-cell-like properties (via aldehyde dehydrogenase [ADLH]). Zhu and colleagues (2014a), in turn, showed that CSF1 was produced by human PDAC cells and that CSF1 receptor blockade in an orthotopic model of PDAC abrogated the infiltration of M2 macrophages, with modest increases in cytotoxic T-cell activation.

In NSCLC, macrophages constitute a dominant immune cell type whose correlation with patient prognosis remains controversial (Dai et al. 2010; Remark et al. 2015; Busch et al. 2016). For example, Chen and colleagues found that TAMs were associated with negative prognosis in NSCLC patients, whereas Toomey and colleagues found no association between the presence of TAMs and overall survival (Chen et al. 2003; Toomey et al. 2003). In contrast, Dai and colleagues (2010) discovered that TAMs in the stroma correlated with worse survival, whereas TAMs in the tumor islets predicted better overall survival of NSCLC patients. In the CCSP-Kras<sup>G12D</sup> murine model of lung cancer, Redente and colleagues (2010) showed that macrophages in and surrounding lung tumors showed an M2 phenotype early in tumor progression that was reversed to an unpolarized state on extinction of the mutant Kras transgene expression. Intriguingly, comparison of the immune profile of epidermal growth factor receptor (EGFR), Kras<sup>G12D</sup>, and Kras<sup>G12D/+</sup>p53<sup>R172H/+</sup> transgenic mice revealed that macrophage numbers increased over time specifically in the background of an oncogenic Kras allele, supporting the notion that mutant Kras is sufficient to direct macrophage infiltration (Busch et al. 2016). Although the precise mechanism of macrophage recruitment to mutant Kras-driven lung tumors has not been established, several groups have found that macrophage CXCR2 signaling was required for TAM recruitment in both transgenic and hu-

man xenograft models of Kras-mutant lung cancer, suggesting that the mutant Kras-dependent secretion of ELR-CXC chemokines may constitute one mechanism of macrophage attraction (Cortez-Retamozo et al. 2012; Schmall et al. 2015).

## B Cells

Only recently has the significance of tumor-infiltrating B cells in Kras-mutant tumors been unveiled, although it has been largely restricted to studies performed in pancreatic cancer models. B cells constitute a significant proportion of lymphocytes in both early and late stages of pancreatic cancer (Gunderson et al. 2016; Pylayeva-Gupta et al. 2016), with a somewhat contradictory role in tumorigenesis. Although pan-B-cell numbers correlated with improved disease prognosis, elevated levels of B-cell-activating factors in tumors correlated with increased metastatic potential (Koizumi et al. 2013; Tewari et al. 2013). However, subsequent studies by three independent groups using distinct oncogenic Kras-driven mouse models showed a protumorigenic role for tumor-infiltrating B cells (Gunderson et al. 2016; Lee et al. 2016; Pylayeva-Gupta et al. 2016). Interestingly, the tumor-promoting functions of the B-cell population were mediated through distinct B-cell subpopulations at different stages of disease progression. Pylayeva-Gupta and colleagues (2016) identified a subset of regulatory B cells that promoted PanIN initiation and early progression through the secretion of IL-35. In parallel to these findings, Gunderson and colleagues (2016) identified a population of antibody-producing B cells that promoted macrophage M2 polarization and tumor growth in advanced PDAC. Furthermore, a tumor-protective role was attributed to the B1 $\beta$  subset of B lymphocytes in early pancreatic neoplasms by Lee and colleagues (2016). Collectively, these studies provide evidence that the role of B cells in promoting pancreatic cancer is complex and highly contextual. Although the importance of B cells in lung cancer is limited, regulatory B cells were found to be elevated in lung cancer patients (Zhou et al. 2014). In addition, Busch and



colleagues (2016) observed a substantial recruitment of B cells in early and late stages of tumor progression in the CCSP-Kras<sup>G12D</sup>, but not CCSP-EGFR<sup>L858R</sup> transgenic LAC mouse model, providing further support that cells expressing mutant Kras secretes B-cell-attracting factors in multiple contexts. Ultimately, although these studies elucidate an important role for B cells in oncogenic Kras-driven tumors, the mechanism of B-cell recruitment remains an area of active research. In our own study, we have identified the role of fibroblast-derived chemoattractant CXCL13 in promoting B-cell infiltration in pancreatic neoplasia, although the connection to oncogenic Kras remains elusive and merits further investigation (Pylayeva-Gupta et al. 2016).

### Th17 Cells

Th17 cells are a recently discovered lineage of Th cells that are potent immunostimulators through the production of the cytokine IL-17A. Murine models of Kras-mutant lung and pancreatic tumorigenesis showed a significant enrichment in Th17 cells (Chang et al. 2014; McAllister et al. 2014; Marshall et al. 2016). Similar findings were reported by Zhang and colleagues (2014) in the iKras<sup>G12D</sup> model of pancreatic cancer, although in this case the induction of pancreatitis was required to detect Th17 cells in the tumor microenvironment. Furthermore, infiltration of Th17 cells and high plasma IL-17A levels correlated with poor prognosis in NSCLC and pancreatic cancer patients (McAllister et al. 2014; Duan et al. 2015; Marshall et al. 2016). Importantly, Busch and colleagues (2016) showed that Th17 cells were specifically recruited in mutant Kras transgenic mice compared to mutant EGFR-transgenic mice in lung cancer models. Although the mechanistic link between mutant Kras and Th17-cell recruitment and/or differentiation has not been specifically addressed, IL-6 and TGF- $\beta$ , transcriptional targets of mutant Kras described in previous sections, are potent inducers of Th17 differentiation. Given that these cytokines are also produced by several additional tumor-associated cell types, including fibro-

blasts and immune cells (Löhr et al. 2001; Lesina et al. 2011), the recruitment of Th17 cells is likely facilitated by multiple cell types in the tumor microenvironment. In addition, in pancreatic cancer, mutant Kras-dependent up-regulation of the IL-17 receptor on tumor cells may also promote their interaction with Th17 cells (McAllister et al. 2014). Functionally, ablation of Th17 cells in oncogenic Kras models of lung and pancreatic cancer significantly abrogated tumor initiation and progression, with loss of IL-17A resulting in reduced tumor-cell proliferation, angiogenesis, and MDSC recruitment (Chang et al. 2014; McAllister et al. 2014). Zhang and colleagues (2014) further showed that genetic depletion of CD4<sup>+</sup> T cells, including the Th17 population, resulted in the derepression of stromal CD8<sup>+</sup> cytotoxic T cells and subsequent attenuation of tumor progression. Collectively, these data point to an important role for Th17 cells in mediating immunosuppression in Kras-mutant tumors and warrants a more thorough investigation of the mechanism of Th17 recruitment to the tumor microenvironment.

### $\gamma\delta$ T Cells

An additional source of IL-17 in the Kras-mutant tumor microenvironment is derived from  $\gamma\delta$  T cells, a distinct subclass of T cells that are characterized by the expression of the  $\gamma\delta$  T-cell receptor (TCR).  $\gamma\delta$  T cells constitute up to three-quarters of tumor-infiltrating T cells in human PDAC samples. Interestingly, however, Daley and colleagues (2016) described a tumor-protective role for  $\gamma\delta$  T cells in pancreatic cancer. Genetic depletion of  $\gamma\delta$  T cells in KC mice severely decreased ADM and subsequent PanIN progression and was accompanied by increased Th1 response and elevated cytotoxic T-cell activity. Surprisingly, deletion of  $\gamma\delta$  T cells in Kras-mutant LAC did not impact tumor burden, emphasizing the complexity of differential immune modulation depending on the tumor type (Busch et al. 2016). In the Daley and colleagues' (2016) study,  $\gamma\delta$  T-cell recruitment to the tumor was contingent on ligation of the CC chemokine receptor family members CCR2, CCR5, and CCR6, leading to the tempting spec-

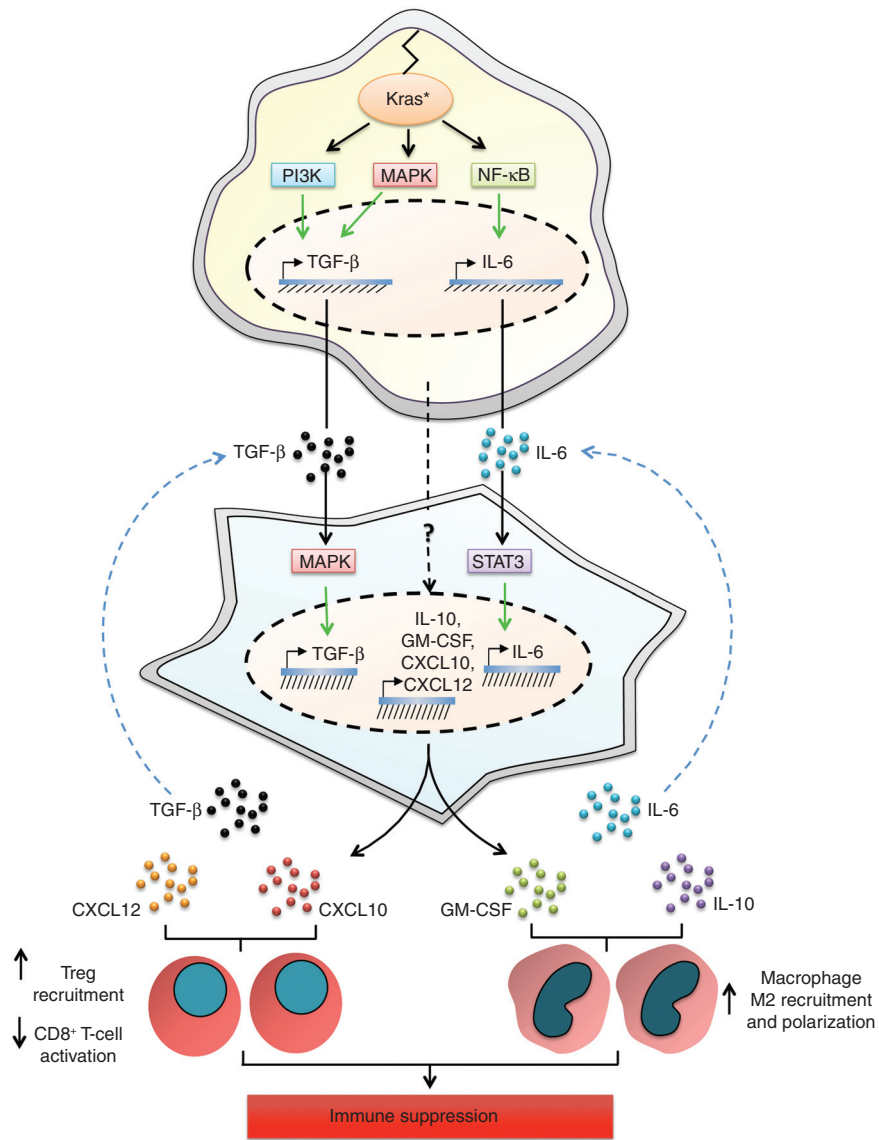
ulation that mutant Kras may contribute to CC chemokine production (Daley et al. 2016). In line with the surprising tumor-protective role of abundantly expressed  $\gamma\delta$  T cells in pancreatic cancer, the functional significance of this cell population in mutant Kras-driven tumor progression remains controversial. This may be because of the selective recruitment of two distinct  $\gamma\delta$  T-cell subpopulations in different tumors: the antitumorigenic interferon (IFN)- $\gamma$ <sup>+</sup>CD27<sup>+</sup>  $\gamma\delta$  T cells and the protumorigenic IL-17A<sup>+</sup> CD27<sup>-</sup>  $\gamma\delta$  T cells. Undoubtedly, further investigation is needed to untangle the specific and context-dependent roles of this T-cell population in Kras-mutant tumors, as well as their mechanism of recruitment to the tumor microenvironment.

### Stellate Cells

A principal theme reinforced throughout the previous sections has been that the functional output of mutant Kras-induced immune signaling pathways is modified by tumor-cell interactions with neighboring cells and cytokines in their microenvironment. With this theme in mind, it is critical that we not only consider the immune cells directly stimulated by mutant Kras signaling, but other cell types that are regulated by Kras-mutant cells that may also modulate immune cell recruitment. This concept is best explored in PDAC because of its extensive stromal compartment composed of fibroblasts, pancreatic stellate cells (PSCs), extracellular matrix (ECM) proteins, endothelial cells, nerve cells, and adipocytes in addition to immune cells. PSCs are the most prevalent cell type in the pancreatic tumor stroma and are established contributors to disease progression (Omary et al. 2007). PSCs are myofibroblast-like cells that are normally present in a quiescent state in areas of the exocrine pancreas. In chronic pancreatitis and the early stages of pancreatic cancer, PSCs become activated (aPSCs), inducing their proliferation, migration, and secretion of ECM components and promotion of wound repair. Importantly, PSCs are activated in response to factors produced by Kras-mutant tumor cells, and in turn secrete cytokines that

direct immune-cell recruitment. A major factor in the pancreatic tumor microenvironment (TME) responsible for the activation of PSCs is tumor-cell-derived TGF- $\beta$ , which induces the proliferation and secretion of type I collagen and fibronectin in fibroblasts (Fig. 3) (Löhr et al. 2001). A number of other growth factors, including fibroblast growth factor (FGF), heparin-binding epidermal growth factor (HB-EGF), platelet-derived growth factor (PDGF), and sonic hedgehog (SHH) are also secreted by Kras-mutant PDAC cells and contribute to PSC activation (Means et al. 2003; Kordes et al. 2005; Bailey et al. 2008; Tian et al. 2012). In turn, aPSCs produce a plethora of chemokines, cytokines, and growth factors, including several ELR<sup>+</sup> CXC chemokines, TGF- $\beta$ , TNF- $\alpha$ , connective tissue growth factor (CTGF), MCP-1, regulated and normal T-cell regulated and secrete (RANTES), SDF-1, and IL-1 $\beta$ , 4, 6, 8, 13, and 15 (Andoh et al. 2000; Apte et al. 2012; Mace et al. 2013a,b; Xue et al. 2015). Given the expanse of the aPSC immunomodulatory secretome and the significant overlap with factors induced by mutant Kras, it comes as no surprise that aPSCs strongly amplify the immune phenotype of PDAC.

The cytokine profile of aPSCs offers a pertinent example of how parallel secretion of immunosuppressive factors by stellate cells and Kras-mutant cells can amplify immune cell recruitment to the tumor. For example, isolation of a mesenchymal stem cell (MSC) subtype of stellate cells from KC mice revealed the increased expression of macrophage colony-stimulating factor (M-CSF) and GM-CSF, in addition to IL-6, IL-10, and TGF- $\beta$ , relative to wild-type mice (Fig. 3) (Mathew et al. 2016; Waghray et al. 2016). Coinjection of KC-derived MSCs with tumor cells induced a significant increase in macrophage number in the tumor and promoted their polarization to an M2 phenotype via elevated IL-6 and IL-10 secretion (Mathew et al. 2016). Critically, macrophage recruitment by the MSCs was required for the increase in tumor size observed following coimplantation of MSC and Kras<sup>G12D/+</sup>p53<sup>R172H/+</sup> cells. These findings were paralleled in an orthotopic model of human PDAC, in which coinjec-



**Figure 3.** Cooperation of Kras-mutant tumor cells with pancreatic stellate cells in tumor microenvironment (TME) immunomodulation. Kras-mutant tumor-cell-derived transforming growth factor  $\beta$  (TGF- $\beta$ ) induces the activation of pancreatic stellate cells (PSCs) in the pancreatic TME, resulting in mitogen-activated protein kinase (MAPK) pathway activation and induction of TGF- $\beta$  transcription and secretion by PSCs. Increased TGF- $\beta$  levels can both promote T regulatory (Treg) recruitment and macrophage M2 polarization, thereby contributing to immunosuppression in the TME. Similarly, interleukin (IL)-6 produced by Kras-mutant tumor cells binds to IL-6 receptors on PSCs, resulting in intracellular signal transducers and activators of transcription 3 (STAT3) activation and IL-6 transcription and secretion into the TME. High IL-6 levels amplify the recruitment and M2 polarization of macrophages, thereby promoting tumor growth. Although the mechanism of transcriptional induction of IL-10, granulocyte macrophage colony-stimulating factor (GM-CSF), CXCL10, and CXCL12 by PSCs has not been directly addressed, their secretion by PSCs cooperates with IL-10, GM-CSF, CXCL10, and CXCL12 derived from Kras-mutant tumor cells to amplify Treg cell recruitment (CXCL10), dampen CD8<sup>+</sup> T-cell responses (CXCL12), or promote macrophage M2 polarization (IL-10, GM-CSF) in the pancreatic TME. NF- $\kappa$ B, Nuclear factor  $\kappa$ B.

tion of tumor cells with tumor-derived MSCs harboring stable GM-CSF knockdown resulted in a reduction in number and proportion of M2 macrophages in the tumor (Waghray et al. 2016). By contrast, Xue and colleagues found that aPSC-induced M2 macrophage polarization was dependent on IL-4 and IL-13 secretion in an experimental model of chronic pancreatitis, suggesting that increased levels of cytokines derived from both Kras-mutant cells and aPSCs may alter the cytokine dependence for M2 polarization to one favoring IL-6 and IL-10 (Xue et al. 2015). aPSCs were also reported to promote the differentiation of PBMCs to CD11b-expressing MDSCs via IL-6 production, which resulted in the inhibition of T-cell proliferation (Mace et al. 2013a,b).

The profoundly dampened cytotoxic T-cell responses observed in PDAC may also be attributed to synergistic effects of aPSCs and Kras-mutant tumor cells, but likely mediated through their concomitant secretion of CXC chemokines. Indeed, many reports have established that aPSCs can inhibit CD8<sup>+</sup> T-cell recruitment and activation (Watt and Kocher 2013). Ene-Osong and colleagues (2013) showed that aPSCs sequestered CD8<sup>+</sup> T cells and prevented their infiltration around tumor cells, resulting in reduced antitumor immunity. Similarly, fibroblast activation protein-positive aPSCs (FAP<sup>+</sup> aPSCs), an additional subtype of aPSCs, were reported to disrupt antitumor immunity via the repulsion of T cells from tumor cells via CXCL12 production in KPC mice (Feig et al. 2013). Intriguingly, although FAP<sup>+</sup> aPSCs were deemed the principal source of CXCL12, tumor cells also colocalized with the chemokine, suggesting that both tumor-cell- and aPSC-derived CXCL12 may cooperate to regulate T-cell responses (Fig. 3). Critically, on small molecule inhibition of the CXCL12 receptor, T-cell accumulation was restored and the checkpoint agonist PD-L1 was effective in inducing tumor regression. Lunardi and colleagues, in turn, found that human PDAC cells induced CXCL10 expression in aPSCs, which induced the recruitment of CXCR3<sup>+</sup> effector T cells and Treg cells and correlated with their presence in human PDAC samples (Fig. 3) (Lunardi et al.

2014). These data highlight the interdependence of chemokine secretion between aPSCs and PDAC cells and strongly argue in favor of the concept that mutant Kras synergizes with aPSCs to dictate the immune phenotype of PDAC.

In addition to the plethora of cytokines and chemokines that are common to aPSCs and Kras-mutant tumor cells, aPSCs secrete a number of unique factors that independently contribute to the immune contexture of PDAC. For example, galectin 1 was found to be a principal mediator of T-cell suppression in PanINs and PDAC and is uniquely expressed by aPSCs (Tang et al. 2012, 2015). Zambirinis and colleagues (2015) showed that CCL3 secretion by aPSCs was required for the recruitment of Treg cells in KPC mice. Ultimately, the immune effects of aPSCs are dictated by mutant Kras on multiple levels, starting with the initial requirement of oncogenic Kras-induced factors for their activation, and followed by their synergistic and distinct cytokine and chemokine profiles that together help shape the immune phenotype of PDAC.

## MUTANT KRAS-DEPENDENT IMMUNE MODULATION: CONTEXT MATTERS

### Inflammation: Making the Most of It

The potent effects of mutant Kras on the immunogenicity of the tumor microenvironment brings to question how it may cooperate with or regulate external inflammatory insults associated with tumor development. Kras is most frequently mutated in PDAC (95%), NSCLC (35%), and colorectal cancer (CRC) (40%) tumor types that are highly associated with the inflammatory disorders pancreatitis, chronic obstructive pulmonary disorder (COPD) and smoking, and ulcerative colitis (UC), respectively (Lowenfels et al. 1993; Ahrendt et al. 2001; Malka et al. 2002; Young et al. 2009; Jess et al. 2012). Below, we describe how mutant Kras' immunomodulatory outputs interact with pancreatitis and COPD to modulate the development of PDAC and NSCLC.



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Using pancreatic acinar-cell-specific expression of mutant Kras, Guerra and colleagues (2011) described a highly refractory mouse model of PanIN that acquired tumorigenic properties following the induction of chronic pancreatitis. Mutation of Kras concomitant with loss of tumor suppressors, such as Trp53 or p16Ink4a/p19Arf, and caerulein-induced pancreatitis resulted in malignant PDAC disease. The authors proposed that pancreatitis contributed to PanIN progression through the induction of its own inflammatory signature, abrogating oncogenic Kras-induced senescence in mutant precursor cells and delaying tissue repair, thereby providing the mouse PanINs with the potential to expand and progress. Further mechanistic insight into the synergistic effects of pancreatitis and mutant Kras was provided by Fukuda and colleagues, who showed that the transient expression of IL-6 and activation of STAT3 signaling induced by acute pancreatitis was amplified and prolonged in the presence of a mutated Kras allele (Fukuda et al. 2011). This was also observed by McAllister and colleagues (2014), who further discovered that IL-17A levels and the recruitment of Th17 and IL-17<sup>+</sup>/γδ T cells were synergistically increased by Kras<sup>G12D</sup> and pancreatitis.

Similar observations were made in an LSL-Kras<sup>G12D</sup>/CCSP<sup>Cre</sup> model of lung cancer, where in *Haemophilus influenzae* infection-mediated induction of COPD resulted in increased lung tumor burden, accompanied by a robust infiltration of myeloid cells (Moghaddam et al. 2009). Consistent with the pancreatitis studies, oncogenic Kras cooperation with COPD in lung cancer was also determined to be a consequence of amplified Th17 recruitment, relative to mutant Kras alone, leading to enhanced IL-17 production and MDSC infiltration (Chang et al. 2014) and resulting in an increase in tumor burden and disease progression. Together, these studies indicate that exogenous inflammatory conditions amplify Kras' immunodulatory effects to further promote oncogenic transformation.

The significance of mutant Kras' cooperation with additional inflammatory diseases in tumorigenesis is emphasized by the observation that oncogenic mutations in Kras have been de-

tected in disease-free pancreas of healthy individuals (Terhune et al. 1998; Lüttges et al. 1999; Löhr et al. 2005). Furthermore, the reciprocity of this relationship is evident by the lack of oncogenic transformation observed in wild-type mice subjected to induction of pancreatitis or COPD. Hence, although oncogenic mutation of Kras or inflammation of the pancreas and the lung individually create a strong predisposition for transformation, synchronously they create an optimal immune microenvironment that accelerates tumor progression.

### Different Folks for Different Strokes

As described previously, a significant proportion of mutant Kras-mediated immune modulation mechanisms are a function of its paracrine signaling to components of the tumor microenvironment. The inherent tissue-specific variations of the tumor stroma thus profoundly impact the immunological characteristics of different mutant Kras-expressing tumor types, which can in turn have significant implications for disease progression and therapeutic intervention. This is perhaps best exemplified by the variations observed in the CD8<sup>+</sup> Tc cell compartment of Kras<sup>G12D</sup>-bearing PDAC and NSCLC tumors.

Extensive characterization of Kras<sup>G12D</sup>-driven PDACs, both in mouse models and human samples, has revealed the PDAC TME to be largely immunosuppressive, with high levels of myeloid cell infiltration, especially the M2 polarized TAMs and MDSCs (Mantovani et al. 2006; Clark et al. 2009; Markowitz et al. 2015). MDSC infiltration in the PanIN and PDAC microenvironment strongly correlates with paucity of infiltrating T cells, more specifically the tumoricidal CD8<sup>+</sup> Tc cells (Ino et al. 2013; Vonderheide and Bayne 2013). Furthermore, the few CD8<sup>+</sup> T cells that are present exist in a state of clonal anergy with an absence of markers of activation.

In remarkable contrast to this, the TME of Kras<sup>G12D</sup> lung cancer mouse models, although also rich in macrophages and MDSCs, display significant expansion of CD8<sup>+</sup> Tc cells (Busch et al. 2016). This observation is also corroborated in human NSCLC samples in which mu-

tant Kras tumors associate with higher CD8<sup>+</sup> T-cell infiltration in the stroma.

Mechanistically, the scarcity of tumor-infiltrating CD8<sup>+</sup> T cells in Kras<sup>G12D</sup>-driven PDAC has been attributed to the unique architecture of the PDAC stroma, characterized by intense desmoplasia, fibrosis, and presence of mutant Ras-activated PSCs. Early on, von Bernstorff and colleagues (2001) argued that tumor-infiltrating lymphocytes (TILs) become “trapped” within the peritumoral, fibrous stroma, thereby preventing them from reaching their malignant cellular targets. Ene-Obong and colleagues (2013) elaborated on these findings using the KPC PDAC mouse model and showed that Kras<sup>G12D</sup>-induced aPSCs inhibited juxtatumoral CD8<sup>+</sup> T-cell infiltration through the expression of cytokines, chemokines, and adhesion molecules that regulate T-cell migration.

The difference in CD8<sup>+</sup> T-cell infiltration in PDAC versus NSCLC significantly impacts the design of therapeutic strategies for the two diseases. Owing to the lack of infiltrating CD8<sup>+</sup> Tc cells, PDAC has proved to be a poor candidate for immune checkpoint monotherapy (Sharma et al. 2015; Topalian et al. 2015). Conversely, CD8<sup>+</sup> Tc infiltration in Kras<sup>G12D</sup>-driven lung cancer has prompted the exploration of checkpoint inhibitors as a viable therapeutic means. Consequently, the co-occurrence of Kras and p53 mutations in NSCLC is emerging as a predictive biomarker for immune checkpoint therapy, with those patients harboring both mutations showing significantly longer progression-free survival on PD-1 blockade therapy (Ji et al. 2006; Herter-Sprue et al. 2016; Dong et al. 2017).

In light of these outcomes, continued comparative analysis of oncogenic Kras’ molecular and cellular modes of action in different tumor types is clearly imperative for understanding and devising organ-specific therapeutic strategies.

## IS KRAS IMMUNE?

### An Inflamed Achilles Heel

The disappointing outcome of the attempts to directly target oncogenic Ras (Cox et al. 2014)

has generated an urgent need to develop alternative therapeutic strategies to treat mutant Ras-driven cancers. An alternative approach consists of disrupting the biological outputs influenced by oncogenic Ras in lieu of its specific molecular functions. The current chemotherapeutic treatment for pancreatic and lung cancer consists of cytotoxic drugs like gemcitabine and paclitaxel, which primarily target the high proliferative index of cancer cells and are not specific to the unique properties of Kras-mutant tumor cells. Of note, we have recently discovered an immunostimulatory effect of nab-paclitaxel on macrophages in PDAC that may prove useful in combination therapies with other immunomodulatory agents (Cullis et al. 2017). However, a single regimen with either drug so far provides only modest benefits, especially in advanced stages, because of a high degree of acquired or inherent resistance. Combinational therapy-targeting multiple facets of the tumor are therefore a need of the day.

Given the significant role of immunosuppression in promoting growth of Ras-mutant tumors, immunotherapy is an attractive therapeutic approach. Immunotherapy uses strategies aimed at activating an antitumor immune response, predominantly mediated through CD8<sup>+</sup> cytotoxic T cells, in addition to NK cells and macrophages with tumoricidal activity (Stambrook et al. 2017). These strategies can either be aimed directly at the CD8<sup>+</sup> Tc cells or indirectly lead to their activation by inhibiting the immunosuppressive cells and factors in the TME. Here, we discuss the promising potential of targeting the mutant Ras-induced immunomodulation mechanisms as a means to release the breaks on the host tumoricidal immune responses and thereby combat oncogenic Ras-driven cancers. Furthermore, we briefly describe the recent advances made in harnessing the power of the host immune system to target mutant Ras itself.

### Setting Our Cytokines High

As previously described, oncogenic Ras-dependent processes that modulate the protumorigenic immune composition of the TME in-



cludes the secretion of a considerable number of cytokines and chemokines including CSF-1, IL-6, and CCL2 (Fukuda et al. 2011; Agaloti et al. 2017). Immunotherapeutic strategies aimed at neutralizing these secreted factors or blocking their cognate receptors may therefore be an efficient strategy in combinational therapies. As a proof of principle, Mace and colleagues showed antitumor activity of a combined PD-L1 and IL-6 blockade regimen in orthotopically transplanted Panc02 PDAC cells that also resulted in the induction of tumor regression and increased survival in KPC-BRCA2 mice (Mace et al. 2016). Similar results were obtained in a mouse model of mutant Kras-driven lung cancer on monotherapy with an IL-6-neutralizing antibody (Caetano et al. 2016). IL-6 inhibition in CCSP-Kras<sup>G12D</sup> mice resulted in decreased M2 macrophages and MDSC levels in the TME and a skewing of the T-cell protumor Th17/Treg response to the antitumor Th1/CD8<sup>+</sup> Tc response, resulting in tumor regression.

Along similar lines, individual administration of small molecule inhibitors of CCR2 and CSF1R tyrosine kinase in Kras<sup>G12D</sup> orthotopic PDAC mice resulted in a significant reduction in tumor-infiltrating macrophages and monocytes (Mitchem et al. 2013). Furthermore, administration of either inhibitor with gemcitabine dramatically reduced tumor progression of both Pan02 and Kras<sup>G12D</sup>-INK orthotopic transplants. Following up on these promising results, the CCR2 inhibitor PF-04136309 in combination with the chemotherapeutic regimen FOLFIRINOX is currently in clinical trials, with phase 1b results revealing 52% partial response and 47.8% of patients showing stable disease (Wang-Gillam et al. 2016). CXCR2 has also recently been shown as a potential effective target for combination therapy in PDAC. Pharmacological ablation of CXCR2 signaling in a preclinical PDAC mouse model not only abrogated metastasis but also enhanced T-cell infiltration, thereby sensitizing the tumor to anti-PD-1 checkpoint therapy and extending survival in combination therapy (Steele et al. 2016). Whereas these results are very encouraging, more extensive investigations in larger

trials are needed for further evaluation and development.

### A New Way to Target Mutant Kras?

Although the primary objective of this article is to provide an overview of oncogenic Kras-mediated immune modulation in cancer and its implications on disease progression and therapeutic targeting, we would be remiss to not discuss the potential of mutant Kras itself as a target for emerging immunotherapeutic strategies that are showing great promise.

Oncogenic mutation of Kras can generate neoantigenic peptides with the potential to generate a cytotoxic T lymphocyte (CTL) response against the mutated tumor cells. Activated CD8<sup>+</sup> Tc cells express TCRs, which specifically recognize these neoantigens. They are typically 8- to 11-amino-acid-long mutated Ras peptides presented in complex with host-specific MHC class I molecules by antigen-presenting cells (APCs) or tumor cells (Maher and Davies 2004). Mutant Ras epitopes are attractive targets for CTL-based response because Ras mutations occur early in tumorigenesis and are inherited in almost all cells within a heterogeneous tumor. Although the overall levels of tumor-reactive CTLs in oncogenic Ras-driven tumors is low, multiple studies conducted earlier have detected the presence of such autoreactive CD8<sup>+</sup> Tc populations in CRC, PDAC, melanoma, and lung cancer patients (Fossum et al. 1995; Linard et al. 2002; Trojan et al. 2003; Kubuschok et al. 2006). These observations have recently led to the first-ever successful targeting of metastatic lesions in a CRC patient by adoptive transfer of CTLs specifically against the mutant Kras<sup>G12D</sup> isoform (Rosenberg et al. 2017). TIL cultures isolated from surgically resected secondary tumors in the lung containing active CD8<sup>+</sup> Tc cells against the Kras<sup>G12D</sup> neoantigen were identified, expanded, and transplanted back into the patient. Remarkably, all metastatic lung lesions underwent regression postadoptive transfer and the treatment had no adverse side effects. In the absence of direct mutant Kras inhibitors, the development of such alternative methods to target oncogenic Ras directly is an



incredibly exciting prospect to pursue (Baines et al. 2011).

### FUTURE PERSPECTIVES

In this review, we describe the emerging role of mutant Ras in shaping the immune microenvironment. This occurs through mutant Ras-dependent transcriptional induction of chemokines, cytokines, and growth factors that recruit and functionally regulate cells of both the innate and adaptive immune system. Although many of the pathways linking mutant Ras to immune modulation have been delineated, much remains unknown about how these pathways interact combinatorially and how their output is modulated by tissue and organ context. Furthermore, although significant progress has been made on broadly defining the immune composition of the tumor microenvironment, several challenges impede a detailed molecular understanding of the interactions between tumor and immune cells. These include a scarcity of model systems that faithfully recapitulate the immune characteristics of human tumors and barriers to accessing the full complement of immune-cell subpopulations within tumors. The development of tumor mouse models with genetically humanized immune systems and the rapid advancement of imaging and sequencing techniques that enable the interrogation of immune cells at the single-cell levels offer promising prospects for deeper characterization of the cross talk between mutant Ras cancers and immune cells in the tumor microenvironment. This knowledge will undoubtedly enhance our understanding of how to harness the immune system for the treatment of mutant Ras tumors.

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