

Reirradiation for locoregionally recurrent non-small cell lung cancer

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Abstract: Locoregional failure in non-small cell lung cancer (NSCLC) remains high, and the management for recurrent disease in the setting of prior radiotherapy is difficult. Retreatment options such as surgery or systemic therapy are typically limited or frequently result in suboptimal outcomes. Reirradiation (reRT) of thoracic malignancies may be an optimal strategy for providing definitive local control and offering a new chance of cure. Yet, retreatment with radiation therapy can be challenging for fear of excessive toxicities and the inability to safely deliver definitive (≥ 60 Gy) doses of reRT. However, with recent improvements in radiation delivery techniques and image-guidance, dose-escalation with reRT is possible and outcomes are encouraging. Here, we present a review of various radiation techniques, clinical outcomes and associated toxicities in patients with locoregionally recurrent NSCLC treated primarily with reRT.

Keywords: Reirradiation (reRT); non-small cell lung cancer (NSCLC); intensity modulated radiation therapy (IMRT); proton beam therapy (PBT); stereotactic body radiation therapy (SBRT)

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Introduction

Lung cancer remains the leading cause of cancer death in the United States (US) and worldwide (1). Over 85% of lung cancers diagnosed in the US are non-small cell lung cancer (NSCLC) and a quarter of patients will present with locally advanced disease (1). Despite improvements in treatment paradigms and advancements in technology, overall survival (OS) for stage III NSCLC remains poor, with 5-year survival rates ranging from 19–36% (2). Current National Comprehensive Cancer Network (NCCN) guidelines advocate the use of concurrent chemotherapy and definitive doses of radiation therapy (CRT) for the majority of locally advanced NSCLC patients. Although patients treated with CRT for stage III NSCLC often fail distantly, local failure rates in the thorax can be as high as 30–50% at 2 years (3–6), with a 25% risk of isolated

locoregional recurrences (7).

Local control in NSCLC is critical and directly impacts OS. A study done by Machtay *et al.* reviewed prospective data from seven Radiation Therapy Oncology Group (RTOG) trials and found that with every 1-Gy BED (biologically effective dose) increase in dose given to stage III NSCLC patients, there was a 4% relative increase in survival (6). In a noteworthy meta-analysis examining six randomized trials to determine the benefit of concurrent over sequential CRT, concurrent CRT had a 4.5% absolute benefit in OS. That analysis also revealed a strong correlation between local control and OS, with no difference in metastatic rates in these stage III NSCLC patients (4). Therefore, maximizing locoregional control in stage III NSCLC can translate into an OS advantage, particularly if the tumor recurs in or near prior radiation

fields with no evidence of distant metastatic disease.

Treatment of locally recurrent NSCLC after definitive doses of radiotherapy (RT) is challenging. Chemotherapy used as monotherapy in recurrent NSCLC generally shows poor response rates, with progression-free survival (PFS) of only about 4–6 months (8,9), and while immunotherapy following recurrence is an exciting newer treatment strategy, response rates for NSCLC to immune checkpoint inhibitors are limited in the recurrent setting (10). Consequently, alternative methods are often considered as a means to attain durable locoregional control, including surgery or reirradiation (reRT). Surgical resection after definitive CRT as part of trimodality therapy is feasible only in carefully selected patients with stage III NSCLC (11,12), but it is not routinely pursued after high (≥ 60 Gy) doses of neoadjuvant CRT (3) and is most optimally performed within 8–10 weeks of completing RT given the increasing difficulty and morbidity of thoracic surgery after this time period (13–16). Similarly, radiation oncologists often hesitate to reirradiate after definitive CRT beyond palliative doses due to concerns of excess toxicities to surrounding organs-at-risk (OARs), which include the heart, lungs, spinal cord and esophagus (17,18).

However, with recent advances in external beam radiotherapy (EBRT) delivery, dose escalation of reRT treatments have been possible (19–24) with increased conformality and a decrease in dose to surrounding OARs. The focus of this review article is to summarize studies published that report on clinical outcomes and treatment-related toxicities in patients with NSCLC who developed locoregionally recurrent disease and were retreated predominantly with RT. The benefits of intensity-modulated radiation therapy (IMRT), proton beam therapy (PBT), and stereotactic body radiotherapy (SBRT) over 3D-conformal radiotherapy (3D-CRT) techniques will also be discussed, as well as future directions to improve clinical outcomes in this patient population.

Conventional photon reRT therapy

Early reRT studies in NSCLC utilized conventional (2D) or 3D-CRT delivery techniques and seldom provided treatment beyond palliative doses (up to 30 Gy). Outcomes were poor with a median survival of approximately 5 months (Table 1). Some institutional experiences whose median reRT dose was >30 –35 Gy, resulted in an observable improvement in survival using 3D-CRT. For example, Wu *et al.* reported on a prospective phase I–II study at

the Fudan University in China. The majority of patients were initially diagnosed with either stage II (n=7) or stage III (n=16) NSCLC and were originally treated with a median dose of 66 (range, 30–78) Gy. ReRT doses (range, 46–60 Gy) were chosen based on the first course of radiotherapy; if patients received >50 Gy primarily, then 46–50 Gy was given as a reRT dose at 2 Gy per fraction, with sequential chemotherapy only offered to patients with a good performance status (23). While maintaining strict OAR constraints during their retreatment plan optimization (i.e., spinal cord <25 Gy, minimizing lung V20), they managed to observe a locoregional progression free survival of 52% at 1 year and a median survival of 14 months, nearly 3 times longer than what was seen with palliative reRT doses delivered in earlier studies (Table 1). Toxicity was also minimal, with no grade 3 or greater acute toxicity reported at last follow up (23).

While 3D-CRT uses patient-specific geometry as a means to deliver RT, limited beam arrangements and a uniform dose in each beam results in simple, large fields with higher doses to OARs and subsequently more toxicity compared to more conformal techniques (25–28). IMRT is now a more common method for delivering radiation for thoracic malignancies. Unlike 3D-CRT, treatment plans using IMRT are inversely optimized generally to deliver a more conformal dose distribution to the tumor along with a sharper dose falloff, thus typically sparing high-dose radiation to nearby OARs (29). Furthermore, the intensity of each photon beam in an IMRT plan can be adjusted via field modulation using multileaf collimators (MLCs) or through dose-rate alterations (29–34). These treatment characteristics can be desirable in patients with locoregionally recurrent NSCLC, especially if definitive doses of RT were given for the initial course of treatment.

A retrospective study at the University of Wisconsin reported on a total of 37 NSCLC (54% stage III) patients who developed recurrent disease, the majority of whom (95%) were retreated using IMRT. Half of the cohort was retreated with palliative intent to a median of 30 (range, 12–60) Gy in the reRT setting, consequently leading to a poor median survival of 5 months (35). On multivariate analysis (MVA), a higher dose at time of reRT ($P=0.007$) and performance status ($P=0.01$) were associated with improved survival in this cohort (35). One of the largest institutional experiences with reRT of recurrent NSCLC was reported by investigators from MD Anderson, where 102 patients underwent retreatment with highly conformal modern techniques of either IMRT or PBT to definitive doses (19).

Table 1 Summary of conventionally fractionated 2D or 3D-CRT reirradiation studies

Study	No. patients	Histology	Staging	Median interval to reRT (mo)	Initial RT dose Gy (median)	ReRT dose Gy (median)	Local control	Median OS mo (range)	Other therapies	Toxicities
Green & Melbye [1982]	29	NSCLC (94%), SCLC (6%)	Not described	10	53	35	Not described	5 [1–54]	24% of patients received chemotherapy after initial RT	<10% with “none-serious” complications
Jackson & Ball [1987]	22	NSCLC (86%), other (14%)	Not described	15	55	30	Not described	5.4 (NS)	Not described	One patient with spinal cord injury, no other serious complications
Montebellow <i>et al.</i> [1993]	30	NSCLC (80%), other (20%)	I: 6/30; II: 1/30; III: 23/30	12	60	30	Not described	5 (NS)	27% of patients received chemotherapy after initial RT	Esophagitis (20%), symptomatic pneumonitis (3.3%)
Gressen <i>et al.</i> [2000]	23	NSCLC (73%), other (27%)	Not described	15	59	30	Not described	4.9 (NS)	17% of patients received chemotherapy after initial RT	≥ acute grade 3 toxicity in one patient
Okamoto <i>et al.</i> [2002]	34	NSCLC (68%), other (32%)	I–II: 2/34; III: 19/34; IV: 13/34	23	60	50	Not described	8 [1–58]	Not described	Symptomatic pneumonitis (56%), esophagitis (17.6%)
Wu <i>et al.</i> [2003]	23	NSCLC (70%), SCLC (30%)	II: 7/23; III: 16/23	13	66	51	51% locoregional PFS at 1 year	14 [2–37]	Chemotherapy with reRT was optional; median of one cycle given (range, 1–3) sequentially	Grade 1–2 esophagitis (9%), grade 1–2 pneumonitis (22%), grade 2–3 pulmonary fibrosis (26%)
Tada <i>et al.</i> [2005]	19	NSCLC (95%), other (5%)	All stage III	17	50–70	50	Not described	7 (0–56)	Not described	Grade 3 pneumonitis (5.3%), grade 2 esophagitis (15.8%)
Ebara <i>et al.</i> [2007]	44	NSCLC (69%), SCLC (20%), other (11%)	Not described	12.6	60	40	Not described	6.5 [1–50]	Not described	Grade 2–3 toxicities (13.6%)
Ohguri <i>et al.</i> [2012]	33	NSCLC	I: 2/33; II: 4/33; III: 17/33; IV: 4/33; post-op: 6/33	7.9	70	50	Median LC of 12.1 months	18.1 (NS)	All patients received concurrent hyperthermia with reRT; 46% of patients received hyperthermia with initial RT; 46% of patients received concurrent CRT with reRT	Acute grade 3 toxicities seen in 9% of patients; no grade 4 or 5 toxicities; three patients experiences thermal burns

NSCLC, non-small cell lung cancer; SCLC, small cell lung cancer; NS, not stated; EBRT, external beam radiation therapy; IMRT, intensity modulated radiation therapy; PBT, proton beam therapy; SBRT, stereotactic body radiation therapy; RT, radiation therapy, reRT, reirradiation therapy, PFS, progression-free survival; LC, local control.

Once again, higher EQD2 dose at time of retreatment was predictive for improved OS on MVA [hazard ratio (HR) =0.246, 95% CI, 0.075–0.86, P=0.021] (19). These data suggest that increasing dose of RT in the recurrent setting may improve clinical outcomes in patients with NSCLC. However, one must consider the possible toxicities that may diminish a potential OS benefit with definitive doses of reRT. As such, continued dose reductions to OARs are necessary with newer EBRT innovations.

Conventional proton reRT therapy

Proton therapy is an ideal treatment modality for re-irradiation in NSCLC (36), based upon the physical property of the Bragg peak, where the majority of energy deposition occurs at a set distance with minimal to no “exit dose” beyond this point, thus sparing nearby thoracic OARs (37). There are two predominant types of proton therapy utilized in the US: passive scatter proton therapy (PSPT) and pencil-beam scanning proton therapy, which allows for intensity-modulated proton therapy (IMPT). PSPT uses a 3D treatment planning technique with the aid of one or two scatterers to expand the width of the proton beam delivery depending upon the width of the target. Following scatter of the proton beam, a range compensator is uniquely made for individual tumors to shape the proton therapy dose to the distal edge of the tumor. In order to shape the beam laterally, an aperture (usually made of brass) is used (38,39). On the other hand, IMPT uses magnets and a narrow proton beam to deliver discrete spots (about 4–9 mm in diameter) of protons in a 2D-plane, painting the tumor target layer by layer. This method also allows for the modulation of the weight of the individual beamlets in each layer, which gives a higher dose conformality to the target, analogous to IMRT, but with a lower integral dose to nearby OARs (40,41). IMPT does not require the patient-specific aperture or range compensator devices needed in PSPT, often making treatment planning more streamlined.

While IMPT offers more conformal therapy and better OAR sparing, there is increased risk of “interplay effect” with tumor motion, which could lead to over- or under-dosing of targets depending upon intra-fractional tumor motion (42–45) and modulation of the proton beamlets. This “interplay effect” can degrade the quality and robustness of an IMPT plan (42,46–48). Use of 4D-CT, repainting techniques, and worst-case-scenario-based optimization are some of the techniques that have been utilized to improve robustness of IMPT thoracic radiation

delivery (49–52).

Two early studies by investigators at MD Anderson characterized reRT of NSCLC using PSPT. The first evaluated reRT (20), where a quarter of the patients received concurrent chemotherapy. The median dose of initial radiation was 63 (range, 40–74) Gy, with a median EQD2 of 62.2 (range, 39–155) Gy. The study population received various treatment strategies prior to reRT, including chemotherapy (45%), surgery (6%), or both surgery and chemotherapy (6%). The majority of the tumors (85%) were centrally located, and with a median reRT dose of 66 (range, 16.4–75) Gy (RBE), median OS was 11.1 months, with 6-, 12-, 18-, and 24-month OS of 75%, 47%, 37%, and 33%, respectively. Grade 3 or greater toxicities were observed in one-third of patients (9% esophageal, 21% pulmonary), and no grade 5 events occurred. Administration of concurrent chemotherapy did not improve local control, nor did it increase grade 3 or higher toxicity overall (P=0.218).

The second MD Anderson analysis included 102 patients undergoing reRT with either IMRT or PSPT to report outcomes, define dose constraints, and provide guidance as to which candidates are optimal for definitive doses of reRT (19). Slightly higher than the prior study, the median EQD2 for initial radiation was 70 (range, 33–276) EQD2 Gy, with a median time to tumor recurrence and reRT of 11 (range, 0–375) and 17 (range, 0–376) months, respectively. Median retreatment dose was 60.5 (range, 25.2–155) EQD2 Gy. At a median follow up of 6.5 months, median OS was 14.7 (range, 10.3–20.6) months. Six, twelve, eighteen and twenty-four months OS were 80%, 52.8%, 41.4%, and 32.6%, respectively. Only 17% of patients had any acute grade ≥ 3 toxicity (7% esophageal, 10% pulmonary), and concurrent chemotherapy was associated with higher acute grade ≥ 2 esophageal toxicity (P=0.029). However, location of tumor, iGTV, ITV, IMRT *vs.* PSPT, and EQD2 at retreatment were not associated with higher rates of grade 2 or higher esophageal toxicity, and only lung V10, V20, and mean lung doses were associated with risks of grade 2 or higher pulmonary toxicities. On MVA, receipt of combined modality therapy predicted for better local control (HR =6.48; 95% CI, 2.28–18.36, P=0.0004), as did having greater than a 6-month interval between irradiation courses (HR =0.374; 95% CI, 0.173–0.806; P=0.012). Adenocarcinoma histology (HR =0.383; 95% CI, 0.2–0.735; P=0.004), concurrent chemotherapy (HR =2.613; 95% CI, 1.348–5.066; P=0.0045), and higher EQD2 at reRT (HR =0.246; 95% CI, 0.075–0.86; P=0.021) all independently

predicted for OS.

Chao *et al.* recently reported on a multi-institutional prospective trial of 57 patients with recurrent NSCLC retreated with PSPT or IMPT (10.6% of patients) (21). With a median time between radiation courses of 19 (range, 3.5–151) months, 68% of patients received concurrent chemotherapy with reRT, and a median reRT dose of 66.6 (range, 30–74) Gy was delivered. Reported toxicities were notable, with grade 3 or higher toxicities (acute or late) occurring in 42% of patients, including four patients with grade 4 and 6 with grade 5 toxicities. Factors associated with higher rates of toxicities included greater than the median amount of central region overlap (acute grade ≥ 3 toxicity 64% *vs.* 14%, $P < 0.001$) and greater than the median dose to the esophagus (acute grade ≥ 3 toxicity 64% *vs.* 22%, $P = 0.003$) and heart (acute grade ≥ 3 toxicity 60% *vs.* 26%, $P = 0.02$). At a median follow up of 7.8 (range, 1–40) months, median survival was 14.9 months. Although central overlap was associated with increased acute toxicity, decreased overlap did not translate into an OS benefit (63% *vs.* 55%, $P = 0.3$). Similarly, lower mean heart dose (59% *vs.* 57%, $P = 0.8$) and concurrent chemotherapy (66% *vs.* 43%, $P = 0.3$) did not translate to increased OS. However, patients with a lower mean esophageal dose did have significantly improved 1-year OS when compared to those with higher mean esophageal dose (74% *vs.* 38%, $P = 0.007$).

Most recently, Ho *et al.* has published the first IMPT reRT series on 27 patients treated with definitive retreatment doses in the thorax (53). The majority of patients 81% (22/27) had NSCLC histologies, and prior retreatment in this series included 2D, 3DCRT, SBRT, or proton radiation. Most patients (85%) had their prior radiation fields overlapped within the 100% isodose line of the retreatment plan, and 81% of patients had centrally located tumors. The median time between initial radiation and reRT with IMPT was 29.5 (range, 0.1–212.3) months, and the median prior RT dose was 60.0 (range, 36–226.8) EQD2 Gy. The median reRT dose given was 66 (range, 43.2–84) EQD2 Gy and 48% (13/27) of patients underwent concurrent chemotherapy, most commonly with carboplatin and paclitaxel. At a median follow up of 11.2 months, median OS was 18.0 months, with 6-, 12-, and 18-month OS of 89%, 54%, and 54%, respectively. reRT in this series was well tolerated, with only 7% of patients developing a grade 3 pulmonary toxicity and no grade 4 or 5 toxicities recorded (Table 2).

A recent study utilizing the Proton Collaborative Group (PCG) prospective database reported on reRT

outcomes in 67 patients who were primarily diagnosed with NSCLC ($n = 60$). The majority of these patients received chemotherapy (86%) prior to reRT and 30% ($n = 20$) received concurrent chemotherapy with retreatment, with a median reRT dose of 60 (range, 30–74) Gy. Median survival for the entire cohort was 13.2 and 14.2 months for those treated definitively. Toxicities in this series seemed manageable with only 3% of the patients experiencing an acute grade 3 toxicity (pneumonia and neck pain) and 1% having late grade 3 fatigue. There were no acute or late grade 4 toxicities observed and one patient died 4.5 months after reRT of an unclear cause (54).

Proton therapy is an ideal treatment modality for reRT in NSCLC patients, potentially allowing for full dose (≥ 60 Gy) retreatment to enhance local control while mitigating toxicities through a decrease in integral dose to surrounding OARs, all of which may lead to improvements in OS. Although most reports to date are retrospective experiences, the above studies collectively suggest that more conformal radiation techniques using proton therapy (i.e., IMPT) could afford better normal-tissue sparing and could possibly improve clinical outcomes. Future studies should be aimed at the evaluation and inclusion of IMPT for patients requiring thorax reRT, which can allow for safer dose escalation and utilization of concurrent CRT, with a theoretical improvement in toxicity when compared to other conventional EBRT techniques.

reRT using SBRT

Stereotactic body radiation therapy (SBRT), also termed stereotactic ablative radiotherapy (SABR), is a highly conformal radiation technique that delivers a high dose of radiation per fraction that can treat various tumors throughout the body. SBRT for the primary treatment of early stage NSCLC in medically inoperable patients is well-established and results in excellent local control with a low toxicity profile (55–59).

With the use of SBRT, a higher biologic effective dose (BED) to the tumor has also been indicated to improve outcomes (56,60,61). Onishi and colleagues demonstrated a decrease in local failure (8% *vs.* 26%) and improved OS (70.8% *vs.* 30.2%) among early stage NSCLC patients who received a BED ≥ 100 Gy compared to a BED ≤ 100 Gy (56). A meta-analysis by Zhang *et al.* subdivided BED into quartiles and found patients who received a BED ranging from 83.2–146 Gy also had improved OS (60). Additionally, higher BED regimens have also correlated with excellent

Table 2 Summary of conventionally fractionated IMRT or PBT reirradiation studies

Study	No. patients	Histology	Staging	Median interval to reRT (mo)		Initial RT dose Gy (median)	reRT dose Gy (median)	Local control	Median OS mo (range)	Other therapies	Toxicities
				to reRT	to reRT						
Centingoz et al. [2009]	38	NSCLC	Not described	9	30	25	(10x2.5 Gy)	Not described	3 (NS)	Not described	≥ acute or late grade 3 toxicity (42%), acute toxicity (39%), late toxicity (12%), grade 5 (17.6%)
McAvoy et al. [2013]	33	NSCLC	I: 7/33; II: 5/33; III: 20/33; IV: 1/33	36	63	60	54% locoregional control at 1 year	11.1 [1–32]	45% of patients received chemotherapy prior to reRT; 6% underwent surgery and chemotherapy; eight patients received concurrent chemotherapy with reRT;	≥ acute grade 3 toxicities, 9% esophageal, 21% pulmonary	
Kruser et al. [2014]	37	NSCLC	I: 2/37; II: 5/37; III: 20/37; IV: 10/37	11.2	57	30	Not described	5.1 (0.5–42)	Concurrent chemotherapy given in 33% of patients; palliative RT to non-thoracic sites in 16% of patients; 81% of patients had chemotherapy with first course of RT	Grade 2 pulmonary toxicity of 8.1%, grade 3 pulmonary toxicity of 5.4%; grade 2 esophagitis—10%; late grade 2 pulmonary toxicity of 5.5% and a grade 4 bronchostenosis	
McAvoy et al. [2014]	102	NSCLC	I: 29/102; II: 16/102; III: 45/102; IV: 9/102	17	70	60.5	Median local failure free survival of 11.4-month	14.7 (10.3–20.6)	45% of patients received chemotherapy; 5% underwent surgery; 3% underwent surgery and chemotherapy prior to reRT;	≥ acute grade 3 toxicities, 7% esophageal, 10% pulmonary	
Chao et al. [2016]	57	NSCLC	I: 12/57; II: 35/57; IV: 6/57;	19	Not described	66.6	PFS 58% at 1 year	14.9 (NS)	67% of patients received concurrent chemotherapy with reRT	≥ acute grade 3 toxicities in 39% of patients, ≥ late grade 3 toxicities reported in 12% of patients; 6 grade 5 toxicities observed	
Ho et al. [2017]	27	NSCLC (81.4%), Other (18.6%)	I: 7/27; II: 4/27; III: 16/27;	29.5	60	66	Median freedom from local failure of 78% at 1 year	18 (NS)	48% of patients received concurrent chemotherapy with reRT	Acute grade 3 pulmonary toxicity in 7% of patients, no ≥ acute grade 3 esophageal toxicity	
Badiyan et al. Abstract [2017]	67	NSCLC (90%), SCLC (10%)	Not described	21	59.4 or 50 SBRT (3–5 fractions)	60	Median PFS of 7.9 months and 26% at 1 year	13.2 (NS)	84% of patients received chemotherapy prior to reRT; 30% received concurrent chemotherapy with reRT	Acute grade 3 toxicity in 3% of patients (pneumonia and neck pain), 1% late grade 3 fatigue; no grade 4 toxicities and one death 4.5 months from reRT	

NSCLC, non-small cell lung cancer; SCLC, small cell lung cancer; NS, not stated; EBRT, external beam radiation therapy; IMRT, intensity modulated radiation therapy; PBT, proton beam therapy; SBRT, stereotactic body radiation therapy; RT, radiation therapy; reRT, reirradiation therapy; PFS, progression-free survival; LC, local control.

local control rates in the treatment of oligometastatic disease (62,63). Unlike conventional photon fractionation, SBRT delivers ablative doses to the tumor with a steep dose falloff, therefore, protecting OARs from high doses of radiation (64). In the treatment of early stage lung cancer, SBRT can significantly reduce the rate of esophagitis, pneumonitis and dyspnea, and also significantly improve quality of life (QoL) compared to conventionally fractionated regimens (65).

The improved therapeutic ratio that can be achieved with SBRT is particularly appealing in the reRT setting, where minimizing dose to previously irradiated normal tissue is critical. There have been several small studies that have assessed the efficacy and safety of SBRT with promising results (Table 3). Overall, clinical outcomes appear to be favorable, with local control rates ranging from 50–95% and median survivals ranging from 14–40.9 months (Table 1) (66–77). These results are encouraging when compared to historic, palliative outcomes using conventional radiation, as noted previously (23,24).

In concordance with SBRT for early stage lung cancer, higher BED appears to correlate with improved local control, as was demonstrated by a report from investigators at MD Anderson (67,70). In that report, local control was 92%, and upon further analysis of patients who received optimal BED (≥ 100 Gy) without compromising PTV coverage, local control was 96% with a 2-year OS of 59% (67). An updated analysis of a larger cohort of patients reported local control that exceeded 95% and 2-year OS of 74% after SBRT reRT most commonly to 50 Gy in 4 fractions (70). Of note, only 30% of these patients were treated for an in-field relapse as defined by the target within the 30 Gy isodose line of the prior field. A study by Killburn *et al.* specifically addressed outcomes in patients with in-field relapses and reported a 2-year LC of 67% with a prescription BED of 75 Gy (50 Gy in 10 fractions) delivered for the majority of cases (69).

Higher BED has also been associated with improved survival using SBRT in the reRT setting. Reyngold *et al.* analyzed 39 patients with a prior history of conventional radiotherapy to the thorax (74). They delivered a median BED of 48 Gy in patients who had overlap of their prior radiation field ($n=22$), compared to 106 Gy when there was no overlap (17). With a median follow up of 12.6 months, local-progression free survival (LPFS) was 64% at 2 years and the median OS was 22 months. Notably, patients treated with a BED of ≥ 100 Gy had improved LPFS, recurrence free survival and OS (74). Similarly, Parks and

colleagues reported the results of 29 patients, where 45% ($n=13$) had in-field failures and 59% ($n=17$) were centrally located (72). A BED ≥ 100 Gy was delivered to 20 patients (69%). They reported a median survival of 40.9 (range, 4.6–77.1) months, with a 2-year OS of 65%. There was a significant improvement in 2-year survival among patients that received BED ≥ 100 Gy compared to those who received BED < 100 Gy, 91% vs. 55%, respectively. This is similar to the findings of Seung *et al.*, in which 7 out of 8 of the patients received BED ≥ 100 Gy, all of which were alive with a median follow up of 18 months, and they had a local regional control of 86% (75).

Despite the dosimetric advantages that SBRT can offer in a reRT scenario, retreatment toxicity can be severe, particularly when delivered to tumors near central structures like the bronchial airways. Dose escalation studies have guided our understanding of maximum-tolerated dose for both conventional radiotherapy and SBRT treatment, respectively (5,78). However, large prospective studies to assess the safety and efficacy of SBRT in the reRT setting are lacking, and dosimetric data are not consistently reported. In select studies in which high median BED was delivered (≥ 100 Gy), the rate of grade 3 toxicities range from 5–33%, with pulmonary toxicities being the most common (67,70,77). A Swedish study reported a 48% incidence of acute grade 3–4 toxicities, including 10% grade 5 toxicities, in which all grade 4–5 toxicity (5) events occurred in centrally located tumors (68). Trovo *et al.* assessed outcomes of centrally located recurrences using a dose of 30 Gy delivered in 5–6 fractions and reported a 23% incidence of grade 3 pneumonitis and 12% incidence of grade 5 toxicity (76). Parks *et al.* treated 17 centrally-located tumors in their cohort of 29 patients and although they did not report any grade 4–5 toxicities, the rate of grade 2–3 pulmonary complications was 63% (72).

In the MD Anderson experience, investigators reported a 19% incidence of grade 3 radiation pneumonitis (RP) (67), and the incidence of RP was significantly associated with out-of-field relapse but did not correlate with tumor size, location, SBRT dose or interval from prior treatment. In their updated report, they found pre-SBRT performance status, FEV1 $\leq 65\%$, V20 $\geq 30\%$ in the combined plan, and previous bilateral mediastinal PTV predicted for the development of grade 3–5 RP (70). They determined that the composite plans (initial RT course + reRT dosimetry) were the most predictive of RP incidence. A scoring system was developed based on these factors to potentially identify patients that would benefit most from reRT (70). In contrast,

Table 3 Summary of SBRT and hypofractionated reirradiation studies

Study	No. patients	Histology	Staging	Median interval to reRT (mo)	Initial RT dose Gy (median)	ReRT dose Gy (median)	Local control	Median OS mo (range)	Other therapies	Toxicities
Kramer <i>et al.</i> [2004]	28	NSCLC	Not described	17	40–60	16 (2×8 Gy)	Not described	5.6 [1–20]	No chemotherapy was given with reRT	One patient with grade 2 esophagitis, 17% grade 5 tumor-related hemoptysis, one patient with grade 5 bronchoesophageal fistula
Chang <i>et al.</i> [2008]	14	NSCLC	Not described	Not described	Not described	50 (4×12.5 Gy)	89%	NS	Not described	Grade 2 pneumonitis (28.6%), grade 2–3 dermatitis and chest wall pain (11.1%), one patient with brachial plexopathy, no esophagitis
Kelley <i>et al.</i> [2010]	36	NSCLC (94%), other (6%)	I–II: 16/36; III: 17/36; IV: 3/36	22	62	50	92%	25 [5–42]	After relapse, 15 patients received salvage chemotherapy, one salvage RT, one salvage RT + chemotherapy	Acute grade 3 dyspnea (19%), chest wall pain (30%), grade 3 esophagitis (8%); no grade 4 or 5 toxicities
Peulen <i>et al.</i> [2011]	29	NSCLC (83%), SCLC (1%), other (66%)	Mixture of stage I–III patients or lung metastases	14	40	30 (most common regimen 2×15 Gy)	52% at 5-month	19.3 [1–98]	41% of patients received chemotherapy at some point, but never concurrent with RT	Grade 3–4 toxicities reported 14 times in eight patients, three patients with grade 5 (massive hemorrhage)
Seung <i>et al.</i> [2011]	8	NSCLC (87.5%), SCLC (12.5%)	I: 4/8; II/III: 3/8; limited stage SCLC: 1/8	36	60–63	50 (10 Gy ×5)	86%	NS	None	No grade 3 or higher toxicity; all patients reported grade 2 dyspnea
Liu <i>et al.</i> [2012]	72	NSCLC (70.8%), NSCLC NOS (27.8%), other (1.4%)	Mixture of Stage I–III patients	21	63	50 (12.5 Gy ×4)	98.6%	2-year OS 74.4%	None	15 patients (20.8%) developed severe radiation pneumonitis (14 with grade 3, one with grade 5); Pt with grade 5 RP had severe chronic infectious pulmonary disease
Trakul <i>et al.</i> [2012]	15	Primary lung tumors (71%), metastases (29%)	Not described	16	BED 87.5	BED 80 (60–112.5 Gy)	65.5% at 1 year	1 year OS 80%	Not described	No grade 4/5; grade 3; pneumonitis (28%), esophagitis (0.9%), skin (6%), cough (3%)

Table 3 (continued)

Table 3 (continued)

Study	No. patients	Histology	Staging	Median interval to reRT (mo)	Initial RT dose Gy (median)	ReRT dose Gy (median)	Local control	Median OS mo (range)	Other therapies	Toxicities
Reyngold et al. [2013]	39	Not described	Mixture of Stage I-IV metachronous primary lung cancer (44%) and recurrent lung cancer (46%)	37	61	48 (12 Gy x4)	Local PFS of 64% at 2-year	22 (NS)	Not described.	No grade 5 toxicities; grade 2 pulmonary toxicity in seven patients (18%) and grade 3 in two patients (5%); grade 2-3 chest wall pain in seven patients (18%); Grade 4 skin/soft tissue toxicity in one patient
Meijneke et al. [2013]	18	NSCLC (15%), clear cell (0.5%), not available (70%)	I: 9/18; II: 1/18; III: 8/18	17	Not described	51 (17 Gy x3)	50% at 2-year	15 (NS)	2 patients received chemotherapy prior to re-irradiation	No acute and late grade 3-5 toxicity; four patients had dyspnea, two had acute pain, one late pain and two acute dysphagia
Trovo et al. [2014]	17	Centrally located NSCLC	Stage III	18	55	30 (5-6 fractions)	86% at 1 year	19 (NS)	Not described	Grade 3 radiation pneumonitis in four patients (23%); grade 5 toxicity in two patients (pneumonitis and hemorrhage)
Patel et al. [2014]	26	NSCLC (88%), NSCLC (12%)	I-II: 8/26; III: 15/26; IV: 3/26	8	61.2	30 (6 Gy x5)	65% at 2-year	14-month	After reRT, 50% of patients received chemotherapy	No grades 3-5 toxicity; 55% of patients with acute/late grades 1 and 2 symptoms
Kilburn et al. [2014]	30	NSCLC (75%), SCLC (12%), non-lung primary (12%)	I: 9/30; II: 5/30; III: 13/30; IV: 3/30;	18	66 EBRT; 50 (10 Gy x5) SBRT	50 (5 Gy x10)	67% at 2-year	21 [15-51]	Not described	Ten patients (30%) experienced grade 2 (six chest wall pain, three dyspnea, one esophagitis), one grade 3 RP and one grade 5 toxicity (aorta-esophageal fistula after 54Gy in 3 fractions for central tumor)
Parks et al. [2016]	27	NSCLC	II: 7/26; III: 19/26	13.4	64.8	50 (10 Gy x5)	89%	40.9 (4.6-77.1)	None	No grade 5 toxicities; grade 3 pneumonitis, 6 (22%); grade 2 pneumonitis, 11 (40.7%); grade 2 esophagitis, 2 (7.4%); chest wall pain, 26% (grade 2-2, grade 3-1, grade 4-1)

NSCLC, non-small cell lung cancer; SCLC, small cell lung cancer; NS, not stated; EBRT, external beam radiation therapy; IMRT, intensity modulated radiation therapy; PBT, proton beam therapy; SBRT, stereotactic body radiation therapy; RT, radiation therapy; reRT, reirradiation therapy; PFS, progression-free survival; LC, local control.

Kilburn *et al.* reported a reasonable toxicity profile among 33 patients treated with SBRT, where only 30% experienced a grade 2 toxicity and one patient a grade 3 RP (69). A more conservative dose of 50 Gy in 10 fractions was the most common regimen given in this experience since the majority of patients were treated for an in-field recurrence. They reported one grade 5 event resulting from exsanguination of an aorta-esophageal fistula after 54 Gy in 3 fractions to a centrally-located tumor in which the aorta was within the 100% isodose line for both treatment plans (69).

Data reported using SBRT in reRT NSCLC are limited to retrospective experiences, small patient numbers, short follow-up times and a fairly heterogeneous patient population. Additionally, dosimetric and clinical details are not consistently reported, which makes definitive retreatment guidelines challenging to develop. However, these studies have suggested several clinical parameters that should be considered to aid in identifying ideal patients for reRT using SBRT. Factors such as performance status, pre-treatment lung function (70,74), smaller PTV volume (74) and a BED >100 Gy (72,74) have been predictive of improved outcomes. SBRT reRT is a reasonable salvage option in well-selected patients with a history of prior thoracic radiation treatment and can be associated with high rates of local control and favorable survival in comparison to palliative doses of conventional radiation therapy. However, treatment-related toxicities can be severe, especially in centrally-located recurrences (68,76,79) and, therefore, careful patient selection is critical.

Discussion

There have been many studies looking at the feasibility and safety of reRT in recurrent NSCLC; however, the majority of these experiences using conventional photon therapy have prescribed palliative retreatment doses, resulting in poor survival and local control. 3D-CRT has been shown to be an excellent choice for palliative reRT in patients with recurrent NSCLC, providing symptomatic relief in approximately 70–80% of cases with a low rate of retreatment toxicities (13,18,80,81). However, in the context of definitive retreatment, increasing reRT dose can potentially improve OS and offer a chance of cure, particularly in patients with limited locoregionally recurrent disease (19,35). While a higher BED can conceivably improve local control and OS in the initial diagnosis of NSCLC (6), elevated doses in the reRT setting are perhaps more important given the hypoxic conditions generated after prior treatment leading to more

radioresistant tumors (82).

Advancements of EBRT delivery and improvements in image-guidance have allowed radiation oncologists to feel more comfortable delivering definitive doses of reRT (≥ 60 Gy) for recurrent NSCLC. The MD Anderson series (19,20) utilized IMRT or proton therapy to a median retreatment dose of 60 Gy in recurrent NSCLC patients and had comparable treatment-related toxicities to older series that only used palliative doses, while improving median survival by 3-fold. In the Chao *et al.* study, long-term survival was also achieved, although nearly two-thirds of their patients received concurrent chemotherapy with their reRT (median, 66.6 Gy), which expectantly had an increase rate of toxicity (21). Concurrent CRT can possibly improve survival in the recurrent NSCLC setting (19), and further studies are needed to confirm the benefit of concurrent over sequential CRT in the recurrent setting. Furthermore, dose to the heart, esophagus and lungs are all important and correlate with toxicities in the more recent reRT studies (19-21); thus, further dosimetric improvements are necessary. The majority of the proton therapy experiences in the reRT NSCLC setting have used PSPT technology as mentioned previously (19-21). IMPT provides a dosimetric advantage over PSPT and IMRT (32,41,51,83) and over PSPT (84) which can potentially reduce toxicities associated with reRT in the thorax that may in turn lead to improvements in clinical outcomes (53). Indeed, the only published institutional experience using IMPT comes from MD Anderson, and although such a retrospective study is subject to selection bias with a fairly heterogeneous patient population, a median survival of 18 months in recurrent NSCLC using conventional fractionation should stimulate the development of future treatment studies (53).

SBRT has also been shown to be an effective retreatment strategy in NSCLC, particularly in patients with peripherally located lesions. Local control rates using a higher dose per fraction in patients with recurrent NSCLC disease are impressive, ranging from 60–90% in most studies. However, the location of disease recurrence plays a critical role in the likelihood of development of treatment-induced toxicities after reRT with SBRT. SBRT for centrally located tumors near critical airway structures can lead to excessive toxicity and mortality (78,85), a trend that continues to be important in the reRT setting (68,86). As noted by Peulen *et al.*, 34% of patients had centrally located recurrences, and this subset composed all grade 4–5 toxicities in their experience. Interestingly, the most common dose per fraction

in their study was 15 Gy, which could have contributed to the morbidity and mortality seen (68). However, even when utilizing more fractionated SBRT regimens that have been deemed safe in the *de novo* setting for centrally located early stage NSCLC tumors (78), there remains a severe toxicity concern associated with retreating these tumors with a hypofractionated approach (76). Hence, for the majority of centrally-located recurrent cases, conventional fractionation or a more mild (≥ 8 –10 fractions) hypofractionation paradigm should be considered.

Although there have been improvements in radiation delivery and precision, with any NSCLC locoregional recurrence, there is always a concern for distant failures. In that regard, there have been a number of systemic advances in NSCLC, especially through the use of immunotherapy (10,87–89). Nivolumab and pembrolizumab, both of which are programmed cell death receptor-1 (PD-1) inhibitors, have been shown to improve PFS and OS in patients with progressive NSCLC as a second-line systemic options over single-agent docetaxel (87,88,90). Pembrolizumab was also found to be superior to other chemotherapeutic agents as first-line treatment in metastatic NSCLC (10). The synergistic potential between RT and immunotherapy (91,92) is actively being investigated for lung cancer (93–95). Currently, there are no open clinical trials in the US looking at the combination of RT and immunotherapy in recurrent NSCLC, but such a novel approach is sure to be investigated in future studies.

Conclusions

Locoregional recurrences remain common in patients with locally advanced NSCLC, and reRT options have historically been limited to palliative doses. Modern RT techniques have allowed for dose-escalated, definitive doses of reRT to be safely given in select patients with recurrent disease and resulted in improved clinical outcomes. Nonetheless, with definitive retreatment in the thorax comes the risk of significant toxicities, and patient selection is critical in order to maximize the benefits of reRT. Prospective clinical studies are needed to optimize patient selection and to facilitate the integration of these different radiation modalities into the management of locally recurrent lung cancer.

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Footnote

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