

Surveillance of Genetic Variations Associated with Antimalarial Resistance of Plasmodium falciparum Isolates from Returned Migrant Workers in Wuhan, Central China

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ABSTRACT Antimalarial drug resistance developed in Plasmodium falciparum has become a problem for malaria control. Evaluation of drug resistance is the first step for effective malaria control. In this study, we investigated the gene mutations of P. falciparum using blood samples from returned Chinese migrant workers in order to identify drug resistance-associated molecular markers. These workers returned from Africa and Southeast Asia (SEA) during 2011 to 2016. Polymorphisms in pfcrt, pfmdr1, and $k13$ -propeller genes and the haplotype patterns of Pfcrt and Pfmdr1 were analyzed. The results showed the presence of four haplotypes of Pfcrt codons 72 to 76, including CVMNK (wild type), SVMNT and CVIET (mutation types), and CV M**/**I N**/**E K**/**T (mixed type), with 50.57%, 1.14%, 25.00%, and 23.30% prevalence, respectively. For Pfmdr1, N86Y (22.28%) and Y184F (60.01%) were the main prevalent mutations (mutations are underlined). The prevalence of mutation at position 550, 561, 575, and 589 of K13-propeller were 1.09%, 0.54%, 0.54%, and 0.54%, respectively. These data suggested that Pfcrt, Pfmdr1, and K13-propeller polymorphisms are potential markers to assess drug resistance of P. falciparum in China, Africa, and SEA.

KEYWORDS Plasmodium falciparum, antimalarial drug, artemisinin, resistance, polymorphism, haplotype

Malaria is a life-threatening infectious disease caused by Plasmodium parasites. It is **I** prevalent in the tropics and subtropics, especially in sub-Saharan Africa and Southeast Asia (SEA). It is estimated that approximately 216 million cases and 445,000 deaths due to malaria occurred worldwide in 2016, the majority of which were found in Africa and SEA [\(1\)](#page-8-0). Although the global incidence and mortality of malaria were decreased in recent years [\(1\)](#page-8-0), potential threats of pathogenic Plasmodium infections are persistent due to increasing population mobility [\(2](#page-8-1)[–](#page-8-2)[4\)](#page-8-3). In China, imported malaria has been increased in recent years, mainly due to returning overseas workers from the regions of Africa and SEA [\(5\)](#page-8-4). This is a challenge for the 2020 goal of eliminating malaria in China [\(2,](#page-8-1) [3\)](#page-8-2). Emerging drug resistance/tolerance in Plasmodium falciparum has posed an additional threat. Surveillance of multidrug-resistant falciparum malaria would be a critical step to control malaria [\(6,](#page-8-5) [7\)](#page-8-6).

It has been documented that P. falciparum has developed drug resistance/tolerance to nearly all currently used antimalarial drugs, including chloroquine (CQ) and artemis-inin (ART) [\(8](#page-8-7)-[10\)](#page-8-9). Isolates with P. falciparum CQ-resistance (CQR) were originally de**Received** 23 November 2017 **Returned for modification** 30 December 2017 **Accepted** 15 June 2018

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tected in Thailand and Columbia in the early 1960s [\(8\)](#page-8-7) and then in Africa [\(11\)](#page-8-10). Currently, artemisinin-based combination therapies (ACTs) are considered the first-line antimalarial drugs for malaria treatment. Although ACTs are commonly used in Africa, SEA, South America, and China, various antimalarial resistances are not to be ignored. Recently, several studies detected ART resistance in SEA [\(12,](#page-8-11) [13\)](#page-8-12). In comparison with P. falciparum isolates from SEA, the isolates from the China-Myanmar border are probably much more resistant [\(14\)](#page-8-13). As a potential molecular marker for ART resistance in SEA, the k13-propeller gene (PlasmoDB [PF3D7_1343700\)](http://eupathdb.org/gene/PF3D7_1343700) was also reported in Africa [\(13,](#page-8-12) [15\)](#page-8-14). Surveillance of imported malaria, particularly multidrug-resistant falciparum malaria, would be a primary mission in the process of controlling and eliminating malaria [\(6,](#page-8-5) [7\)](#page-8-6).

Genetic alterations, such as those in *pfcrt* and *pfmdr1* genes, have been used as drug resistance molecular markers [\(3,](#page-8-2) [16](#page-8-15)[–](#page-8-16)[18\)](#page-8-17). Several Pfcrt mutations at codons 72 to 76 are associated with CQR in P. falciparum isolates from Africa, SEA, and South America [\(18](#page-8-17)[–](#page-8-18)[20\)](#page-8-19). Some Pfmdr1 mutations are associated with the resistance to CQ [\(17\)](#page-8-16), mefloquine, quinine, and halofantrine [\(21\)](#page-8-20). Polymorphisms of K13-propeller, among which four drug resistance-associated mutations (C580Y, R539T, I543T, and Y493H) have been verified in Asia [\(12\)](#page-8-11), are associated with drug resistance [\(12,](#page-8-11) [13\)](#page-8-12). K13-propeller has been identified as a key causal determinant of ART resistance in SEA.

In this study, we investigated the mutations/polymorphisms in *pfcrt, pfmdr1*, and k13-propeller genes of P. falciparum imported from Africa and SEA to Wuhan, Central China. Our findings may provide a clue to prevent the spread of drug-resistant P. falciparum in Africa, SEA, and China.

RESULTS

General information. A total of 230 migrant workers returned from Africa and Southeast Asia were diagnosed as malaria patients during 2011 to 2016, 211 with uncomplicated P. falciparum infections, 8 with Plasmodium vivax infections, 7 with Plasmodium ovale infections, 3 with Plasmodium malariae infections, and 1 P. falciparum and Toxoplasma gondii mixed infection from Uganda. Blood samples from 211 uncomplicated P. falciparum infections were collected from 85, 49, 47, 20, 4, and 6 patients returning from West Africa, South Africa, Central Africa, East Africa, North Africa and SEA, respectively. The samples from the area where malaria is endemic in West Africa, South Africa, Central Africa and East Africa accounted for 95.26% (201/211) of the samples, and a combination of Angola (13.74%, 29/211), Nigeria (13.74%, 29/211), Congo (10.90%, 23/211), and Liberia (9.95%, 21/211) was responsible for 48.34% (102/211) of the samples. The parasitemia of P. falciparum isolates ranged from 100 to 501,300 asexual parasites/ μ l, with a geometric mean of 76,181.25 parasites/ μ l.

Mutation prevalence of Pfcrt and Pfmdr1. We obtained 209 PCR products for the pfcrt gene in genomic DNA (gDNA) and 176 sequencing results (84.21%, 176/209) from 211 malaria patients with uncomplicated P. falciparum malaria infections (for a list of primers used, see [Table 1\)](#page-2-0). The results showed the presence of polymorphisms in Pfcrt at codon 72 to 76 [\(Fig. 1\)](#page-2-1). Collectively, 73.86% (130/176) of isolates carry the Pfcrt K76 allele in Africa [\(Table 2](#page-3-0) and [3\)](#page-4-0). There were four haplotypes of Pfcrt coding amino acids 72 to 76, including CVMNK (wild type), SVMNT and CVIET (mutation types), and CV M**/**I N**/**E K**/**T (mixed type), with 50.57%, 1.14%, 25.00%, and 23.30% prevalence, respectively (mutations are underlined). For patients with cerebral malaria, the haplotypes of Pfcrt were 62.5% (5/8) CVMNK, 25% (2/8) CVIET, and the haplotypes of 12.5% (1/8) were undetected. The Pfcrt haplotype CVIET was identified in three out of four samples from patients with recrudescence; the haplotype of the remaining one sample was undetected. For the only death case, the haplotype of Pfcrt was wild type. A considerably decreasing trend in prevalence of the Pfcrt CVIET haplotype ($Z = 2.724$, $P = 0.006$) was observed over the survey schedule [\(Table 3\)](#page-4-0). Prevalence of the CVIET haplotype decreased from 57.14% in 2011 to 28% in 2012 but later increased to 52.17% in 2013 and then finally reduced to 12.24% in 2016 [\(Table 3\)](#page-4-0).

We successfully obtained sequences of 91.47% (193/211) of pfmdr1-N1 and 98.58% (208/211) of pfmdr1-N2 nested PCR products, which were generated from 211 isolates.

For Pfmdr1, 77.72% (150/193) of isolates carried the N86 wild-type allele. N86Y and Y184E in Pfmdr1-N1 were the main prevalent mutations detected at 22.28% and 60.01%, respectively. No mutations in Pfmdr1-N2 at positions 1034, 1042, 1109, or 1246 were detected [\(Tables 2](#page-3-0) and [3\)](#page-4-0). Six haplotypes coding amino acids 84 and 184 of Pfmdr1, including NY (wild type), YY , NE, and YF (mutation type), N Y/E and Y Y/E (mixed type), were found [\(Tables 2](#page-3-0) and [3\)](#page-4-0). The haplotypes of Pfmdr1 from the patients with recrudescence were NY (25%, 1/4), YY (25%, 1/4), NE (25%, 1/4), and YF (25%, 1/4). For individuals with cerebral malaria, the haplotypes of Pfmdr1 were 50% (4/8) NY, 37.5%

FIG 1 Sequence profile of PCR products from pfcrt (A) and k13-propeller (B) genes. Shading with blue and red arrows represents the nucleotide wild type and mutation, respectively.

TABLE 2 Haplotypes of Pfcrt and Pfmdr1 in different countries^a **TABLE 2** Haplotypes of Pfcrt and Pfmdr1 in different countriesa bWA, SA, CA, EA, NA, and SEA represent West Africa, South Africa, Central Africa, East Africa, North Africa, and Southeast Asia, respectively.

cAmino acid mutations are underlined.

dWT, wild type. e—, no data. fEG, Equatorial Guinea; CAR, Central African Republic.

^{e___,} no data.
'EG, Equatorial Guinea; CAR, Central African Republic.

 \overline{a}

bWT, wild type.

^bWT, wild type.
<Amino acid mutations are underlined.
<The difference is statistically significant. dThe difference is statistically significant. cAmino acid mutations are underlined.

TABLE 4 Polymorphisms of Pfmdr1 and K13-propeller in Plasmodium falciparum isolates

aReference sites are underlined.

bMutation sites are underlined.

c AA, amino acid residue.

^dCI, confidence interval.

(3/8) YF , and haplotypes of 12.5% (1/8) were undetected. For the death case, the Pfmdr1 haplotype was wild type. Considerably increasing trends in the prevalence of Pfmdr1 NY ($Z = 2.274$, $P = 0.023$) and N Y/<u>F</u> ($Z = 2.397$, $P = 0.017$) were observed during 2011 to 2016 [\(Table 3\)](#page-4-0). Pfmdr1 NY was maintained at 33.33% in 2011 and decreased to 23.81% in 2013 but later increased to 51.16% in 2016. Pfmdr1 N Y**/**F decreased from 16.67% in 2011 to 2.38% in 2013 but later increased to 11.43% in 2015 and finally increased to 13.95% in 2016 [\(Table 3\)](#page-4-0). Novel mutations, including nonsynonymous and synonymous mutation of Pfmdr1 in P. falciparum isolates, were identified [\(Table 4\)](#page-5-0).

Analysis of mutation in the *k13-propeller* **gene.** We obtained 199 (94.31%, 199/211) PCR products from the k13-propeller gene in gDNA and 184 sequencing results (92.46%, 184/199) from 211 malaria patients with uncomplicated P. falciparum infections. The results showed that there were single-nucleotide polymorphisms (SNPs) in k13-propeller [\(Table 4\)](#page-5-0), including SNPs 550, 561, 575, and 589 [\(Fig. 1\)](#page-2-1). Synonymous mutations at position 550 were found in samples from Liberia (0.54%, 1/184), West Africa, and Mozambique (0.54%, 1/184), South Africa. The nonsynonymous mutations R561H and V589I were found in samples from Rwanda, East Africa (0.54%, 1/184), and Ivory Coast, West Africa (0.54%, 1/184). For mixed types, the R575R**/**K mutation was found in samples from Gabon, Central Africa (0.54%, 1/184). No mutations were detected at positions 474, 476, 493, 508, 527, 533, 537, 539, 543, 553, 568, 574, 578, and 580 of the K13-propeller gene.

DISCUSSION

In the current study, we investigated the drug resistance-associated mutations of P. falciparum from Chinese migrant workers returned from Africa and SEA to Wuhan, Central China during 2011 to 2016, using genomic DNA from their blood samples. We found the presence of four haplotypes coding amino acids 72 to 76 of Pfcrt, including CVMNK (wild type), SVMNT and CVIET (mutation types), CV M/I N/E K/T (mixed type), with 50.57%, 1.14%, 25.00%, and 23.30% prevalence, respectively. NY (33.68%) and NE (36.79%) were the main prevalent haplotypes in the Pfmdr1 gene. The prevalence of mutations at position 550, 561, 575, and 589 of K13-propeller was 1.09%, 0.54%, 0.54%

and 0.54%, respectively. These findings provide information on Pfcrt, Pfmdr1, and K13-propeller polymorphisms from imported P. falciparum isolates in Wuhan to assess drug resistance-associated molecular markers in China, Africa, and SEA, leading to control of imported P. falciparum malaria in Wuhan, Central China.

In the study, we found four haplotypes coding amino acids 72 to 76 of Pfcrt, CVMNK, SVMNT, CVIET and CV M**/**I N**/**E K**/**T, with a moderately high (51.46%) prevalence of Pfcrt mutations SVMNT, CVIET, and CV M**/**I N**/**E K**/**T, suggesting high levels of in vivo resistance to CQ in Africa. Thus, CQ is no longer a priority to treat falciparum malaria. For CQR P. falciparum, two principal haplotypes, with the amino acid sequences CVIET and CV M**/**I N**/**E K**/**T, are widely distributed. The SVMNT haplotype is particularly resistant to amodiaquine (AQ), while CVIET is less resistant to AQ. According to the variation in SVMNT prevalence and the decreasing trend of CVIET prevalence during 2011 to 2016 in our study, AQ remains an effective antimalarial drug. Furthermore, AQ is extensively used as a portion of artesunate-amodiaquine (AS-AQ) in Africa [\(22\)](#page-8-21). It seems that the AS-AQ will be highly efficacious against malarial infections.

Five mutations of Pfmdr1 prevalent worldwide, N86Y, Y184F, S1034C, N1042D, and D1246Y, have been identified. The first two mutations are most prevalent in Asia and Africa, whereas the last three alleles are detected frequently in South American [\(23\)](#page-8-22). In the present study, we found a predominance of Pfmdr1 N86Y (22.28%) and Y184F (60.01%) mutations, which is consistent with existing data on those of Africa. Furthermore, we found novel nonsynonymous mutations at position 130, 156, 1113, 1196 and 1226, and several synonymous mutations, including 102, 182, 1069, 1142, 1157, and 1230. The observed predominance of the N_E and Y_F haplotypes in Africa, especially in West Africa, South Africa, and Central Africa, could be a result of selective pressure by treatment of severe malaria with CQ. N86 Y might be more important because it is associated with resistance to AQ [\(23\)](#page-8-22). A total of 77.72% (150/193) of isolates carry the N86 allele in Africa, indicating that these isolates are sensitive to AQ. Based on the alteration of NF and increasing prevalence of NY and N Y**/**F during 2011 to 2016, AS-AQ can be a recommended drug combination for malaria treatment. Artemether-lumefantrine (AL) has the best efficacy against isolates carrying the Pfcrt K76 I mutation and the Pfmdr1 N86 Y mutation. Both wild-type alleles (Pfcrt K76 and Pfmdr1 N86) are selected for reinfections after AL treatment [\(24,](#page-8-23) [25\)](#page-8-24). In our study, these samples retained a high level of wild-type alleles in Pfcrt K76 and Pfmdr1 N86. In Africa, dihydroartemisinin-piperaquine (DHA-PIP), AS-AQ, and AL are the commonly used ACTs [\(24,](#page-8-23) [25\)](#page-8-24). Such a strategy should be considered for treatment of imported falciparum malaria patients in China.

Based on use of a whole-genome high-throughput sequencing platform, the relationship between the mutations in K13-propeller and ART resistance has been estab-lished in vivo and in vitro [\(12\)](#page-8-11). The polymorphisms in K13-propeller associated with ART resistance were surveyed in SEA, including the China-Myanmar border [\(26\)](#page-8-25), Cambodia [\(27\)](#page-8-26), Myanmar [\(28\)](#page-8-27), Vietnam [\(29\)](#page-9-0), Thailand [\(30,](#page-9-1) [31\)](#page-9-2), and Bangladesh [\(32\)](#page-9-3), and in Africa, including Equatorial Guinea [\(15\)](#page-8-14), Senegal [\(33\)](#page-9-4), Uganda [\(34\)](#page-9-5), Western Kenya [\(35\)](#page-9-6), Sub-Saharan Africa [\(36,](#page-9-7) [37\)](#page-9-8), and Mayotte [\(38\)](#page-9-9). These data indicate that the mutation profiles of K13-propeller are inconsistent between SEA and Africa [\(13\)](#page-8-12). The major mutation in SEA is C580 Y [\(12\)](#page-8-11) and in Africa is A578 Y [\(13,](#page-8-12) [15\)](#page-8-14). Although K13-propeller has been considered a marker of ART resistance in SEA, no ART resistance was found in Africa [\(13\)](#page-8-12). In the present study, the hotspot mutations found at positions 493, 539, 543, and 580 in isolates from SEA [\(12\)](#page-8-11) were not detected. Only two nonsynonymous mutations (R561H and V589I**)**, one synonymous mutation (S550S), and one mixed mutation (R575R**/**K) in K13-propeller were found. The common African nonsynonymous A578S mutation [\(13,](#page-8-12) [15\)](#page-8-14) was not detected either. Although only limited polymorphisms in P. falciparum K13-propeller from African countries were reported in the study, more data from continuous molecular surveillance is beneficial to prevent the spread of ART/ACT resistance and improve clinical malaria treatment in these countries and in China.

There are several limitations in this study. First, the data have limited information in terms of predicting drug response due to no data on posttreatment genotyping and in vitro susceptibility testing. Second, the majority of the samples were collected in Nigeria and Liberia in West Africa, followed by Angola in South Africa and Congo in Central Africa. The haplotype profiles of Pfcrt and Pfmdr1 are partially altered compared to those in the previous studies of Nigeria, Angola, and Congo [\(39](#page-9-10)[–](#page-9-11)[42\)](#page-9-12). There were also several novel mutations and haplotypes found in these countries.

Conclusions. The present study shows that the moderately prevalent polymorphism mutations of Pfcrt and Pfmdr1 linked to resistance to CQ and AQ and limited mutations of K13-propeller, which are potentially associated with ART resistance, are obviously observed from migrant workers in Wuhan, Central China. DHA-PIP and AS-AQ are recommended drugs for malaria treatment. Continuous surveillance with molecular markers from *pfcrt, pfmdr1*, and k13-propeller genes for CQ, AQ, and ART resistance is highly recommended.

MATERIALS AND METHODS

Collection of samples. Blood samples (2 to 5 ml) were collected from patients with malaria in Wuhan Medical Treatment Center, Center for Disease Prevention and Control (Wuhan, China), and 14 hospitals in Wuhan from August 2011 to December 2016. Approximately 400 μ l of blood was spotted on Whatman 3MM filter paper, air dried, and stored in an individually sealed polyethylene bag containing silica desiccant beads. The bags were stored at -20° C. These samples were subjected to One Step Malaria HRP2/pLDH (P.f/Pan) (Wondfo, Guangzhou, China) and Giemsa-stained thick and thin peripheral blood smear examination. Parasitemia (parasites/ μ l) was determined by counting the parasites during the erythrocytic stage against 200 leukocytes in the thick smears and multiplying by 8,000 as an estimated average total number of peripheral leukocytes for the individuals. The identities of Plasmodium spp. were confirmed by real-time fluorescent quantitative PCR. All positive cases with imported malaria were treated according to the malaria control manual compiled by the Ministry of Health Disease Control Bureau in China. This study was approved by the ethics committees of Hubei University of Medicine and Wuhan City Center for Disease Prevention and Control. Informed consent was obtained from all participating individuals.

Determination of *P. falciparum* **gene mutations.** Genomic DNA (gDNA) from blood sample spots in filter papers was extracted using a TIANamp blood DNA kit (Tiangen Biotech Co., Ltd., Beijing, China) following the manufacturer's instruction with minor modification. Briefly, two pieces of 6 mm \times 6 mm blood spot (approximately 130.6 μ l) were used for gDNA extraction. The gDNA was eluted with 40 μ l of elution buffer. Nested PCR was performed using the genomic DNA as the templates to amplify a 145-bp fragment of the pfcrt gene (PlasmoDB [PF3D7_0709000\)](http://eupathdb.org/gene/PF3D7_0709000) [\(3\)](#page-8-2), two fragments (N1, 526 bp, and N2, 799 bp) of the pfmdr1 gene (PlasmoDB [PF3D7_0523000\)](http://eupathdb.org/gene/PF3D7_0523000) [\(43\)](#page-9-13), and one fragment (501 bp) from the k13-propeller gene (PlasmoDB [PF3D7_1343700\)](http://eupathdb.org/gene/PF3D7_1343700) [\(15\)](#page-8-14) in order to examine the mutations of C72S, M74I, N75E, and K76T in PfCRT, the mutations of N86Y, E130K, Y184E, S1034C, V1109I, N1042D, and D1246Y in Pfmdr1, and K13-propeller mutations at codons T474l, M476l, A481V, Y493H, T508N, P527I, G533S, N537l, R539I, I543T, P553L, R561H, V568G, P574L, A578S, and C580Y. The PCR primers for pfcrt, pfmdr1, and k13propeller genes are listed in [Table 1.](#page-2-0) The PCRs were set up following the published procedures [\(15,](#page-8-14) [43\)](#page-9-13) with minor modifications. Briefly, for the first round of PCR, 1 μ l gDNA template, 10 μ l 2 \times Phusion PCR master mix (40 units/ml Phusion DNA polymerase, 400 μ M deoxynucleoside triphosphate [dNTP] mixture, 2 \times Phusion high-fidelity [HF] buffer, and 3 mM Mg²⁺), 1 μ l forward primer (10 μ M), 1 μ l reverse primer (10 μ M), and 7 μ l sterile ultrapure water were mixed and subjected to the following program: initial denaturation at 94°C for 3 min, followed by 30 cycles of 94°C for 30 s, 56°C for 30 s, and 60°C for 1 min, and then a final extension at 60°C for 5 min. For the second round of PCR, 2.0 μ l products from the first round of PCR, 25 μ l 2 \times Phusion PCR master mix, 2.0 μ l forward primer (10 μ M), 2.0 μ l reverse primer (10 μ M), and H₂O (up to 50 μ l) were mixed and subjected to the following program: initial denaturation at 95°C for 3 min, followed by 30 cycles of 95°C for 30 s, 55°C for 30 s, and 72°C for 30 s, and then a final extension at 72°C for 5 min. Then, 5- μ l products from the second round of PCR were analyzed using 1.0% agarose gel electrophoresis. The major bands were isolated and purified for DNA sequencing by Genewiz (Soochow, China). The data were analyzed using DNAstar (DNAStar Inc., Madison, WI). The nucleotide and amino-acid sequences of Pfcrt, Pfmdr1, and K13-propeller from P. falciparum strain 3D7 were used as the references for alignment. Each novel mutation was confirmed by two additional independent PCRs and by bidirectional DNA sequencing.

Data analysis. Data were analyzed using SPSS 18 (SPSS Inc., Chicago, IL). The number of samples with wild-type and mutant alleles was used to calculate allele frequency. The percentages were calculated using a 95% confidence interval calculator for proportions, as described previously [\(43\)](#page-9-13). The variation tendency for haplotypes of Pfcrt and Pfmdr1 over the study period was evaluated by a Cochran-Armitage trend test using the XLSTAT software (Addinsoft, New York, NY). A P value of <0.05 was considered significant.

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