



Antigen-specific primed cytotoxic T cells eliminate tumour cells in vivo and prevent tumour development, regardless of the presence of anti-apoptotic mutations conferring drug resistance

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Abstract

Cytotoxic CD8⁺ T (Tc) cells are the main executors of transformed and cancer cells during cancer immunotherapy. The latest clinical results evidence a high efficacy of novel immunotherapy agents that modulate Tc cell activity against bad prognosis cancers. However, it has not been determined yet whether the efficacy of these treatments can be affected by selection of tumoural cells with mutations in the cell death machinery, known to promote drug resistance and cancer recurrence. Here, using a model of prophylactic tumour vaccination based on the LCMV-gp33 antigen and the mouse EL4 T lymphoma, we analysed the molecular mechanism employed by Tc cells to eliminate cancer cells in vivo and the impact of mutations in the apoptotic machinery on tumour development. First of all, we found that Tc cells, and *perF* and *gzmB* are required to efficiently eliminate EL4.gp33 cells after LCMV immunisation during short-term assays (1–4 h), and to prevent tumour development in the long term. Furthermore, we show that antigen-pulsed chemoresistant EL4 cells overexpressing *Bcl-X_L* or a dominant negative form of caspase-3 are specifically eliminated from the peritoneum of infected animals, as fast as parental EL4 cells. Notably, antigen-specific Tc cells control the tumour growth of the mutated cells, as efficiently as in the case of parental cells. Altogether, expression of the anti-apoptotic mutations does not confer any advantage for tumour cells neither in the short-term survival nor in long-term tumour formation. Although the mechanism involved in the elimination of the apoptosis-resistant tumour cells is not completely elucidated, neither necroptosis nor pyroptosis seem to be involved. Our results provide the first experimental proof that chemoresistant cancer cells with mutations in the main cell death pathways are efficiently eliminated by Ag-specific Tc cells in vivo during immunotherapy and, thus, provide the molecular basis to treat chemoresistant cancer cells with CD8 Tc-based immunotherapy.

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Introduction

Cytotoxic CD8⁺ T—Tc cells and Natural Killer—NK cells kill tumoural cells by death ligands (i.e. FasL and TRAIL) or by granule exocytosis, in which the pore-forming protein

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perforin (perf) facilitates the delivery of the serine-proteases granzymes (gzms) into the cytosol of the target cells [1, 2]. This mechanism is involved in tumour immunosurveillance and in cancer immunotherapy [2].

From in vitro studies, it seems clear that gzmB presents the highest cytotoxic potential among all gzms and readily induces apoptosis in most types of target cells [1–4]. Several gzmB substrates have been identified in vitro, but only a few of them have been confirmed to be relevant during Tc-mediated and/or NK cell-mediated cell death [3, 5, 6]. GzmB activates apoptosis in vitro by direct cleavage of procaspase 3 to generate the active effector enzyme [7], and by activating the intrinsic mitochondrial pathway, initiated after cleaving Bid to generate the truncated active form tBid [8, 9]. The ability of other major gzms such as gzmA or gzmK to induce cell death is still a matter of controversy [6, 10–13], and rather seem to regulate inflammatory pathways [14–20].

Using ex vivo LCMV-specific Tc cells from perf and/or gzm KO mice, we found that perf and gzmB were still able to kill by a caspase-independent and mitochondrial-independent, not yet characterised, pathway [21]. These findings were recently confirmed in humans employing allogeneic-activated NK cells, haematological cancer cell lines and patient-derived samples [22, 23]. Thus, all the in vitro results suggest that activated Tc cells are able to kill tumour cells with acquired drug resistance due to mutations in the apoptotic machinery, and therefore indicate that Tc cell based-immunotherapy would be very useful to overcome drug resistance in cancer cells. However, since this hypothesis still has not been tested in vivo, its clinical usefulness remains unclear. Seeking to shed more light on this matter, we have used a model of prophylactic tumour vaccination employing the LCMV-derived tumour antigen (Ag) gp33 to precisely dissect in vivo the cell death mechanism used by primed Ag-specific Tc cells that can only kill through the concerted action of perf and gzmB. We found that, under the conditions where cell death is exclusively executed by perf and gzmB, Tc cells are able to fast and efficiently kill EL4 lymphoma cells overexpressing the anti-apoptotic protein Bcl-X_L or a dominant negative form of caspase-3. Moreover, Ag-specific Tc cells were able to prevent long-term tumour development, irrespective of the alterations of the apoptotic machinery.

Our results help to understand the mechanism of Tc cell-mediated tumour cell death in vivo during tumour vaccination, indicating that neither the mitochondrial apoptotic pathway nor caspase-3 are required during perf/gzmB-mediated tumour cell death in vivo. Furthermore, they provide the first rational physiological basis to treat tumour cells with acquired drug resistance by using immunotherapy based on Tc cells.

Results

Gp33-specific Tc cells require Perf and gzmB to efficiently eliminate EL4 tumour cells in vivo

First, a detailed analysis of the relative roles of perf, gzmA and gzmB during cell killing mediated by vaccine-primed gp33-specific Tc cells on EL4 cells in vivo was performed. We employed a well-established model of peritoneal killing in vivo to analyse the relative roles of perf, gzmA and gzmB during cell killing mediated by vaccine-primed gp33-specific Tc cells on EL4 cells (Fig. 1a). Elimination of gp33-pulsed EL4 cells was significantly reduced in mice treated with anti-CD8 β mAb (in which CD8⁺ T cells were drastically reduced. Figure 1b), indicating that tumour cells were mostly eliminated by gp33-restricted CD8⁺ T cells.

Once we confirmed that the model was suitable to analyse the elimination of EL4 cells by Ag-specific Tc cells, we sought to establish the minimal immunisation dose of LCMV required to promote the elimination of gp33-pulsed EL4 cells. As shown in Fig. 1c, all animal strains immunised with doses between 10² and 10⁴ pfu, irrespective of the presence of Perf, gzmA and/or gzmB, were able to eliminate EL4^{gp33,CTG^{hi}} cells to some extent. Perf alone was not enough to induce CTG release in the gp33-pulsed EL4 cells incubated in vitro with gp33-specific Tc cells (data not shown), however, elimination of EL4 cells was less efficient in mice deficient in Perf and both Gzms (PAB^{-/-}). Notably, elimination of EL4 cells was almost impaired in PAB^{-/-} immunised with 10¹ pfu of LCMV, and was also significantly reduced in mice deficient in gzmB (gzmB^{-/-}), and gzmA and B (gzmAxB^{-/-}). In contrast, mice deficient in gzmA (gzmA^{-/-}) eliminated gp33-pulsed EL4 cells at the same level as wild-type (wt) animals. In light of these results, we decided to analyse the elimination of gp33-pulsed EL4 cells at shorter times in mice immunised with 10¹ pfu of LCMV. As shown in Fig. 1d, gzmA^{-/-} mice eliminated most target cells already after 1 h of inoculation. In contrast, elimination of EL4 cells was significantly delayed in gzmB^{-/-} and gzmAxB^{-/-} mice. Elimination of gp33-pulsed EL4 cells in PAB^{-/-} mice was impaired at all time points. Gld mice, expressing a mutated inactive form of FasL, eliminated gp33-pulsed EL4 cells at the same level as wt mice (data not shown), confirming the critical and predominant role of granule exocytosis in the elimination of EL4 cells.

The percentage of gp33-specific Tc cells (tetramer) and gp33-specific degranulating cells (CD107a; Fig. 1e) was not significantly different in the peritoneal cavity of the different immunised mouse strains, discarding that gzmB plays a role during Tc cell migration in this model [24].

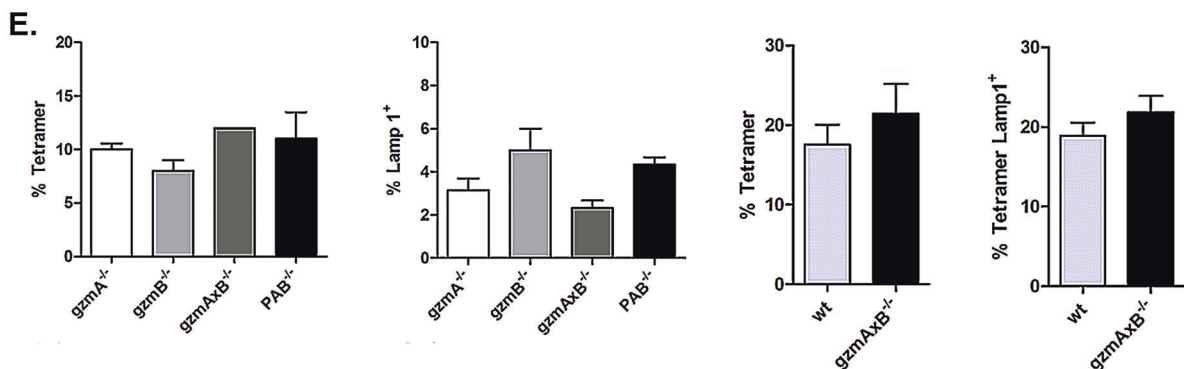
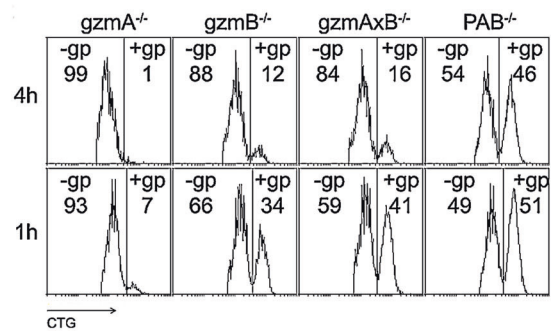
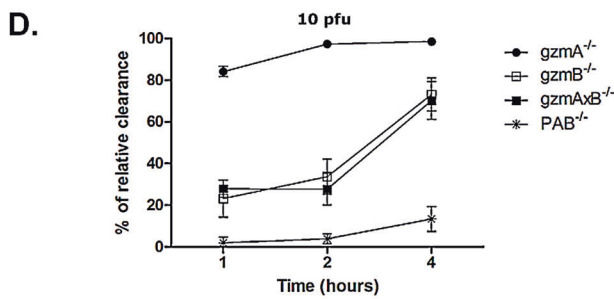
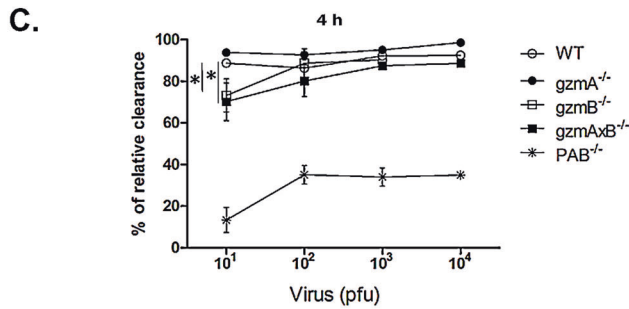
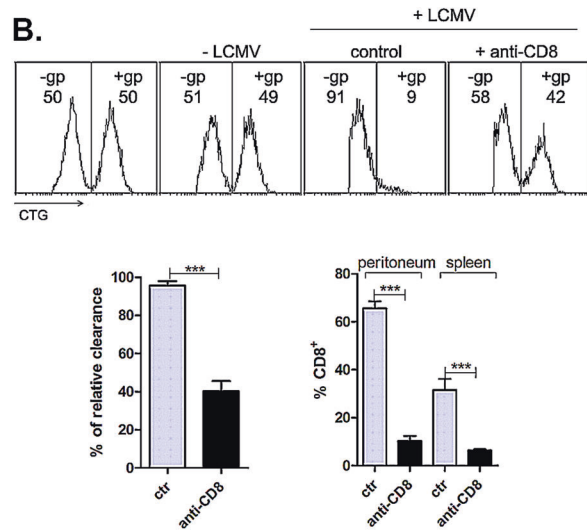
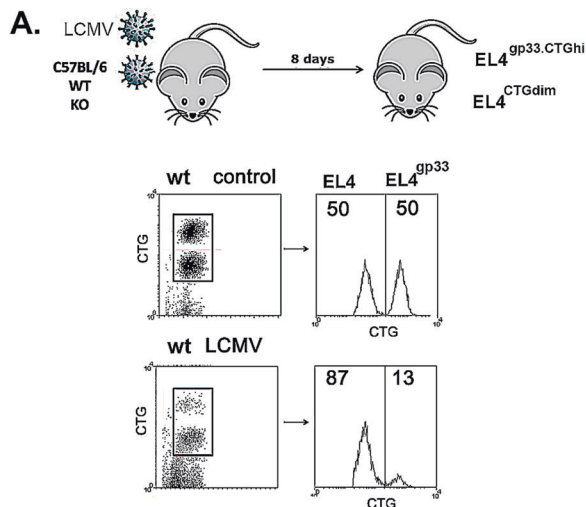


Fig. 1 Perf and gzmB are required for optimal elimination of EL4 tumour cells in vivo by gp33-specific primed Tc cells. **a** schematic representation of the in vivo peritoneal killing assay. C57Bl/6 (B6) wt. or KO ($gzmA^{-/-}$, $gzmB^{-/-}$, $gzmAxB^{-/-}$ and $PAB^{-/-}$) mice are vaccinated or not (control) with LCMV. After 8 days, EL4 cells labelled with two different concentrations of CTG cell tracker, pulsed or not with the LCMV Ag gp33 ($EL4^{gp33,CTG^{hi}}$ and $EL4^{CTG^{dim}}$), are inoculated at a ratio 1:1 in the peritoneal cavity (1×10^6 cells). Mice are sacrificed at different time points and the relative elimination of $EL4^{gp33,CTG^{hi}}$. A representative example of the analysis in one control (non vaccinated) and one vaccinated (LCMV) mouse is shown. Numbers indicate the percentage of cells, as gated by the vertical bar. The ratio between $EL4^{gp33,CTG^{hi}}$ and $EL4^{CTG^{dim}}$ cells remains approximately 1:1 in non-immunised (Control) animals, meanwhile the peak corresponding to $EL4^{gp33,CTG^{hi}}$ cells is reduced in LCMV-immunised animals. **b** wt mice were vaccinated (+LCMV) or not (-LCMV) with 10 pfu LCMV at day -8. Anti-CD8b (100 μ g) antibody was injected i.p. at days -4, -2 and 0. At day 0, in vivo peritoneal killing of EL4 cells was analysed after 4 h, as described above. Representative histograms are shown indicating the % of cells, as gated by the vertical bar. The graph represents the percentage of relative clearance, calculated using the formula $1 - (\% EL4^{gp33,CTG^{hi}} / \% EL4^{CTG^{dim}}) \times 100$. In addition, the percentage of $CD8^+$ cells in the peritoneal cavity and spleen was analysed. Data are represented as the mean \pm SD of three independent experiments. $***P < 0,001$. ns no significant; analysed by unpaired *t*-test. **c**, wt and the indicated KO mice were vaccinated with decreasing concentrations of LCMV (pfu), and 8 days later in vivo peritoneal killing of EL4 cells was analysed at 4 h, as described above; Data are represented as the mean \pm SD of four mice from two independent experiments. $*P < 0,05$, analysed by unpaired *t*-test. **d**, wt and the indicated KO mice were vaccinated with 10 pfu of LCMV, and 8 days later in vivo peritoneal killing of EL4 cells was analysed at different time points, as described above. Data are represented as the mean \pm SD of six mice from two independent experiments. $*P < 0,05$, analysed by unpaired *t*-test. **e**, wt and the indicated KO mice were vaccinated with 10 pfu of LCMV. After 8 days, the labelled EL4 cells were inoculated and the presence of Ag-specific $CD8^+$ T cells (tetramer); degranulating $CD8^+$ T cells (Lamp1) and degranulating Ag-specific $CD8^+$ T cells (Tetramer Lamp1) was analysed in the peritoneal cavity after 1 h. Data are represented as the mean \pm SD of at least three mice from the two independent experiments

In the absence of *gzmA*, Ag-specific Tc cells control EL4 tumour development through *perf* and *gzmB*

Our results indicate that under vaccination conditions (10 pfu LCMV), at which wt and $gzmA^{-/-}$ mice completely clear tumour cells, *perf* and *gzmB*, but not *gzmA*, are required for optimal elimination of EL4 cells by Ag-specific Tc cells in vivo at short times. However, it would still be possible that these mice eliminated the remaining gp33-pulsed EL4 cells at longer times by alternative mechanisms. To confirm that *perf* and *gzmB* are also critical at longer times, we analysed long-term tumour development in mice immunised with 10 pfu of LCMV. Peritoneal gp33-pulsed EL4 tumours developed in all mouse strains that had not been immunised with LCMV, except for $gzmB^{-/-}$ mice (Fig. 2a). This result is in line with previous findings showing that $gzmB^{-/-}$, but not $gzmAxB^{-/-}$, mice are more resistant to syngeneic grafted tumours due to enhanced anti-

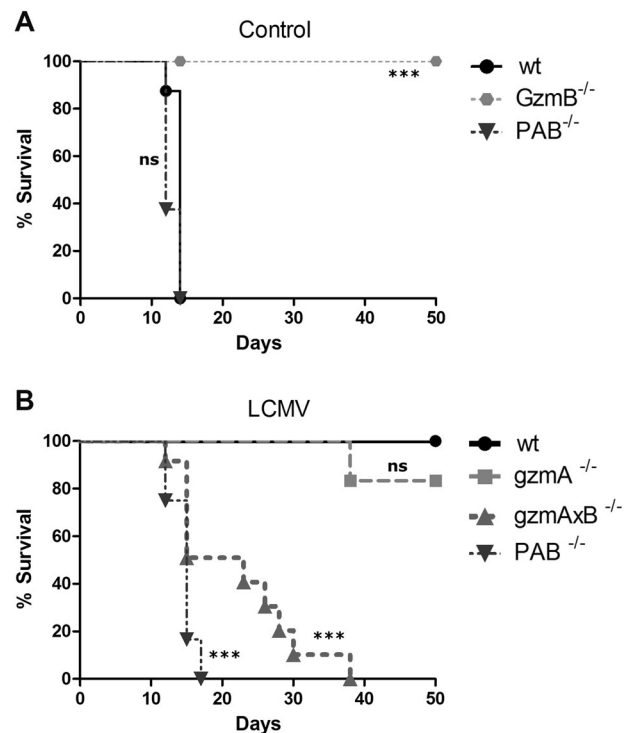


Fig. 2 Intraperitoneal EL4 tumour development in wt and KO mice vaccinated or not with LCMV. wt and the indicated KO mice were non-treated (a) or vaccinated (b) with 10 pfu of LCMV, and 8 days later gp33 pulsed EL4 cells (5×10^5) were inoculated i.p. Tumour development was monitored over 50 days, as described in materials and methods. The data correspond to eight (control) and 12 (LCMV) mice from two and three independent experiments, respectively. $***P < 0,001$, ns: no significant; analysed by log-rank survival test, comparing each mouse strain to wt mice

tumour Tc cell response, in the absence of Treg-derived *gzmB* [25]. As shown in Fig. 2b, no tumours were developed in LCMV-immunised wt and $gzmA^{-/-}$ mice ($gzmB^{-/-}$ animals were excluded because of the indicated spontaneous tumour rejection), confirming the results obtained in Fig. 1 at shorter times. In contrast, all $gzmAxB^{-/-}$ and $PAB^{-/-}$ mice developed tumours, and were sacrificed between day 15 and 30. Some LCMV-immunised wt and $gzmA^{-/-}$ mice inoculated with gp33-pulsed EL4 cells were left for 2 months without presenting tumours in the peritoneal cavity or other organs, confirming a complete elimination of tumoural cells (data not shown).

These results indicate that, at least in the absence of *gzmA*, *perf* and *gzmB* are required for the control of EL4 tumour development by primed Ag-specific Tc cells. Notably, they also show that although $gzmAxB^{-/-}$ mice eliminate a great proportion of gp33-pulsed EL4 cells at short term (Fig. 1d), elimination is not complete and the remaining tumour cells are enough to promote tumour development. Thus, a complete clearance of the tumour

cells at short times is required to prevent long-term tumour development.

Gp33-specific Tc cells eliminate EL4 cells overexpressing Bcl-X_L or DNC3 in vivo at short time and prevent long-term peritoneal tumour development

Next, we proposed to find out whether gp33-specific Tc cells were able to overcome in vivo alterations in the apoptotic machinery conferring drug resistance. Thus, by employing the model optimised and characterised above and *gzmA*^{-/-} mice (to focus on the contribution of the *perf/gzmB* pathway), we employed EL4 cells overexpressing anti-apoptotic protein Bcl-X_L or expressing a dominant negative form of caspase 3 (DNC3), which confer multidrug resistance and refractoriness, and have been involved in apoptosis induced by *gzmB* in vitro [1–3]. As shown in Fig. 3a, EL4.Bcl-X_L and EL4.DNC3 cells were completely resistant to doxorubicin, a well-known apoptotic inducer, which correlated with the higher expression of Bcl-X_L analysed by western blot or with an impairment to cleave PARP1, a known substrate of caspase-3 (Fig. 3b). EL4 cells transfected with empty vectors behaved similarly as non-transfected cells (data not shown).

Once confirmed their functional drug-resistance phenotype, we analysed in vivo the elimination of the modified cells in the peritoneal cavity of LCMV-immunised mice. As shown in Fig. 3c, gp33-pulsed EL4 cells were almost completely eliminated from the peritoneum of LCMV immunised mice, irrespective of the presence of Bcl-X_L or DNC3. Notably, the pan caspase inhibitor ZVAD-fmk did not reduce the level of cell killing, even when EL4.Bcl-X_L cells were used (data not shown).

Next, we wondered whether these mutations would help EL4 cells to overcome Tc cell-mediated cancer immunotherapy during long-term tumour development. To test the hypothesis, we analysed the long-term peritoneal EL4 tumour development in *gzmA*^{-/-} mice vaccinated with 10 pfu of LCMV and challenged with gp33-pulsed EL4 cells. As shown in Fig. 3d, no tumour was detected in any vaccinated animal, and all mice survived irrespectively of whether they had been inoculated with parental EL4 cells or with EL4.Bcl-X_L or EL4.DNC3 cells. Notably, EL4 modified cells retained their functional apoptotic resistance during tumour growth, since cells recovered from the peritoneal tumours after 3 weeks were still resistant to doxorubicin (Fig. 3e). Moreover, EL4.Bcl-X_L tumours also presented resistance to doxorubicin treatment in vivo (Fig. 3f). These results confirm that Ag-specific Tc cells eliminate chemoresistant EL4 cells in vivo at short term and prevent long-term tumour development.

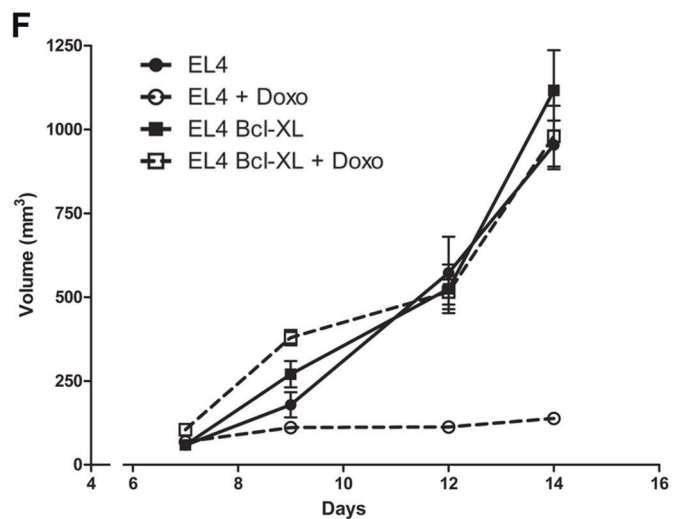
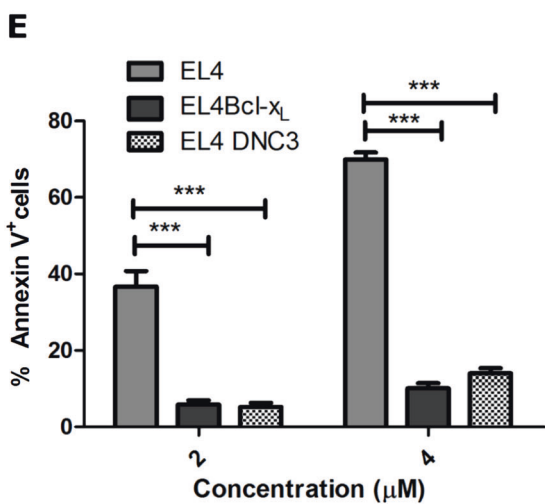
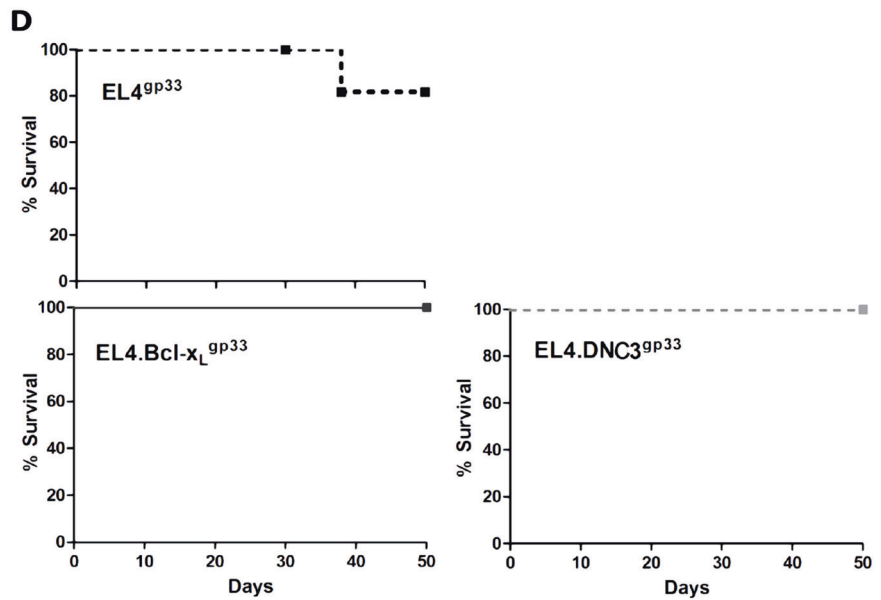
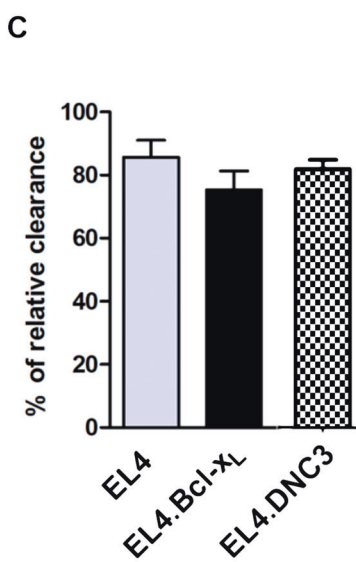
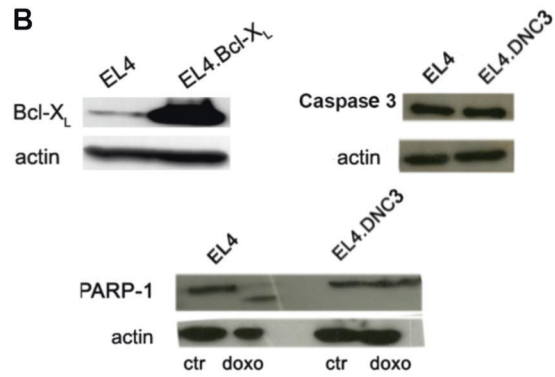
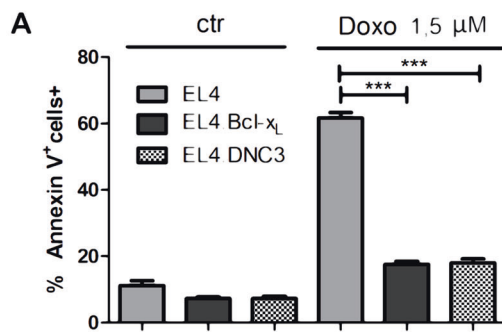
Neither inhibition of necroptosis nor inhibition of pyroptosis protects EL4 cells from cell death induced by ex vivo gp33-specific Tc cell

To find out the mechanism by which Ag-specific Tc cells eliminate apoptosis-resistant tumour cells, we analysed the possible involvement of other types of cell death under those circumstances. Gp33-specific Tc cells were able to induce caspase 3 activation, as well as mitochondrial membrane depolarisation ($\Delta\Psi_m$ loss) in EL4.wt cells, confirming that cells were dying by the classical pathways activated by *gzmB* (Fig. 4a, b, low panel) [26]. Apoptosis induced by gp33-specific *gzmB*⁺ (*gzmA*^{-/-}) Tc cells was not affected by Bcl-X_L overexpression or by the presence of DNC3 (Fig. 4b), indicating that gp33-specific Tc cells were also able to overcome anti-apoptotic drug resistance mutations in vitro. Neither Bcl-X_L overexpression nor the presence of DNC3 affected mitochondrial depolarisation (Fig. 4b, low panel), confirming that cell death induced by gp33-specific Tc cells was independent of the mitochondrial-apoptotic pathway.

It should be noted that, in our model, Tc cell-mediated cell death in vitro and in vivo, as well as control of tumour development strictly depends on *perf* expression, which discards necroptosis induced by death ligands as a relevant mechanism during the elimination of apoptosis-resistant EL4 cells. Thus, our hypothesis was that a non-apoptotic *perf/gzmB*-dependent cell death pathway would be activated during tumour elimination mediated by Ag-specific Tc cells. To address that, we first analysed the effect of the RIP1 kinase inhibitor necrostatin-1 (Nec-1) that blocks necrosome formation [27] and of the caspase-1 inhibitor VX-765 (inhibitor of pyroptosis [28]) on cell death induced by LCMV-specific Tc cells ex vivo. As shown in Fig. 4c, the general caspase-inhibitor Q-VD-OPh shows a slight effect on cell death induced by Tc cells on gp33-pulsed EL4 cells. This effect was time dependent, since after 20 h, Q-VD-OPh has no effect on Tc cell-induced cell death (Data not shown). Nec-1 did not show any protective effect, even when it was combined with Q-VD-OPh. It has been recently suggested that RIPK1 could block RIPK3-dependent necroptosis under certain circumstances. To confirm the efficacy of Nec-1 in our model, we analysed TNF α -induced cell death in L929 cells, a cell type that preferentially undergoes necroptosis in response to this cytokine [29]. TNF α was combined with the small molecule SM83, a known inhibitor of XIAP and cIAP1/2, which has been shown to favour necroptotic cell death [30]. As previously found in other cell types [30, 31], SM83 alone induced a significant level of cell death in L929 cells. As shown in Fig. 4c (right panel), the combination of SM83 with TNF α induced high level of cell death in L929 cells that predominantly presented a necrotic phenotype (7AAD⁺;

compare TNF α /SM83 vs. SM83 alone). The presence of necrotic 7AAD⁺ cells was almost completely inhibited by Nec-1, both in the absence or presence of the general caspase inhibitor Q-VD-OPh. In contrast to L929 cells,

necroptosis was not induced in EL4 cells, even at very high doses of TNF α (500 ng/ml) in the presence of SM83. Thus, Tc cells do not induce necroptosis on apoptosis-resistant EL4 cells



◀ **Fig. 3** Overexpression of Bcl-X_L or a dominant negative mutant of caspase 3 does not confer resistance to gp33-specific primed Tc cells in EL4 cells *in vivo*. **a** and **b** Parental EL4 cells or EL4 cells overexpressing Bcl-X_L or a dominant negative mutant of caspase 3 (DNC3) were non-treated (control) or incubated with doxorubicin 1.5 μM (dox) for 24 h. Subsequently (**a**), PS traslocation was analysed by Annexin V staining, as described in materials and methods. Data are represented as the mean ± SD of three independent experiments. ****P* < 0,001 analysed by unpaired *t*-test. In parallel (**b**), Bcl-X_L, caspase 3 (zymogen) and PARP-1 were analysed by western blot, as indicated in materials and methods. b-Actin was used as loading control. **c** *gzmA*^{-/-} mice were vaccinated with 10 pfu of LCMV, and 8 days later *in vivo* peritoneal killing of parental EL4, EL4.Bcl-X_L and EL4.DNC3 cells was analysed at 4 h, as described in Fig. 1; Data are represented as the mean ± SD of four mice from two independent experiments. **P* < 0,05 analysed by unpaired *t*-test. Representative histograms are shown on the right panels. Numbers correspond to the percentage of cells, as gated by the vertical bars. **d**, *gzmA*^{-/-} mice were vaccinated with 10 pfu of LCMV, and 8 days later gp33-pulsed EL4 cells (5 × 10⁵) were inoculated *i.p.* Tumour development was monitored over 50 days, as described in materials and methods. The data correspond to 12 mice from three independent experiments. **e**, Cells were recovered from the peritoneal tumours of non-vaccinated mice that had been previously inoculated with parental EL4, EL4.Bcl-X_L and EL4.DNC3 cells. Subsequently, they were incubated with 2 or 4 μM doxorubicin for 24 h, and PS traslocation was analysed by Annexin V staining. Data are represented as the mean ± SD of two independent experiments. The control values for the % Annexin V⁺ cells in non-treated cultures (less than 10% in all cases) were subtracted. ****P* < 0,001. Analysed by unpaired *t*-test. F, 1 × 10⁵ EL4 and EL4.Bcl-X_L cells were inoculated *s.c.* in C57Bl/6 (B6) wt mice. When tumours reached a volume of 50 mm³, they were treated with intratumoural doxorubicin (4 mg/kg; days 7, 9 and 12), and tumour growth was monitored daily, as described in materials and methods. Mice were sacrificed when control groups reached the humane endpoint (2 weeks). The data correspond to 9 (EL4), 12 (EL4.Bcl-X_L; EL4/Doxo) or 14 (EL4.Bcl-X_L/Doxo) mice

As shown in Fig. 4d, IL1β release was significantly increased in the supernatant of gp-33 pulsed EL4 cells after 20 h of incubation with LCMV-specific Tc cells, which was significantly reduced by the caspase-1 inhibitor VX-765. However, cell death was not affected by VX-765, indicating that although caspase-1 is activated, pyroptosis is not involved in cell death induced by *ex vivo* Ag-specific Tc cells.

Gp33-specific Tc cells prevent long-term EL4 tumour development after subcutaneous (SC) inoculation

Finally, we confirm our results *in vivo* on a SC tumour model as shown in Fig. 5a. In order to rule out a possible contribution of FasL to tumour control, we also included *gld* mice that express FasL in an inactive mutated form.

As shown in Fig. 5a, non Ag-pulsed EL4 tumours developed in all mouse strains, irrespective of the presence of *perf* and/or *gzms*. *GzmB*^{-/-} mice did not develop tumours after *s.c.* inoculation (data not shown), as shown in the *i.p.* route. A similar result was found when B16

melanoma cells were used, confirming that this effect was not restricted to EL4 tumours (data not shown). In contrast to non-pulsed tumours, gp33-pulsed EL4 tumours did not develop in wt, *gld* and *gzmA*^{-/-} mice. In contrast, all *gzmAxB*^{-/-} and *PAB*^{-/-} mice developed tumours and were sacrificed at around day 17. A similar result was found using B16 melanoma cells (figure S1). Overall, these results confirm that the control of EL4 (and B16) tumours *in vivo* by Ag-specific Tc cells is mainly mediated by *perf* and *gzmB*, whereas *gzmA*, FasL and other death ligands are dispensable.

As shown in Fig. 5b, LCMV vaccinated mice were successfully able to reject tumour growth of gp33-pulsed EL4, EL4.Bcl-X_L or EL4.DNC3 cells, whilst all animals developed tumours in the flank inoculated with non-pulsed cells. These results confirmed that Ag-specific Tc cell-mediated elimination is not prevented by expression of drug resistance mutations such as Bcl-X_L or by the loss of caspase-3 activity.

Discussion

Cytotoxic T (Tc) cells are crucial for an efficient elimination of tumour cells in both immunosurveillance and immunotherapy. Employing an immunotherapy model of tumour vaccination, we show here that primed Ag-specific Tc cells efficiently eliminate tumour cells *in vivo* and prevent long-term tumour development, even in those cells expressing multidrug resistance due to alterations in the apoptotic machinery such as overexpression of Bcl-X_L or expression of a dominant negative form of caspase-3. Thus, our results bear high biological and clinical relevance, demonstrating in *in vivo* settings the Tc cell-mediated immunotherapy, and more specifically cell death induced by *perf* and *gzmB* secreted by those Tc cells, are useful to treat apoptosis-resistant tumour cells, and could be clinically exploited to treat tumours with acquired drug resistance.

Although we have employed a viral Ag-based tumour model, our results could be extrapolated to other cancer immunotherapy approaches based on viral infections (i.e. oncolytic viruses), since the affinity of the antigen T cell receptor for viral gp33 is similar to common tumour antigens such as MelanA, NYESO or gp100 [32, 33]. This affinity is even higher for engineered, high-affinity T cell receptors that could be used to create CAR-T cell therapies against tumour neoantigens.

We haven't been able so far to completely decipher the specific molecular mechanism underlying this type of non-apoptotic cell death, we can affirm that neither necroptosis nor pyroptosis are involved. In fact, cell death induced by Tc cells *ex vivo* on apoptosis-deficient cells was not

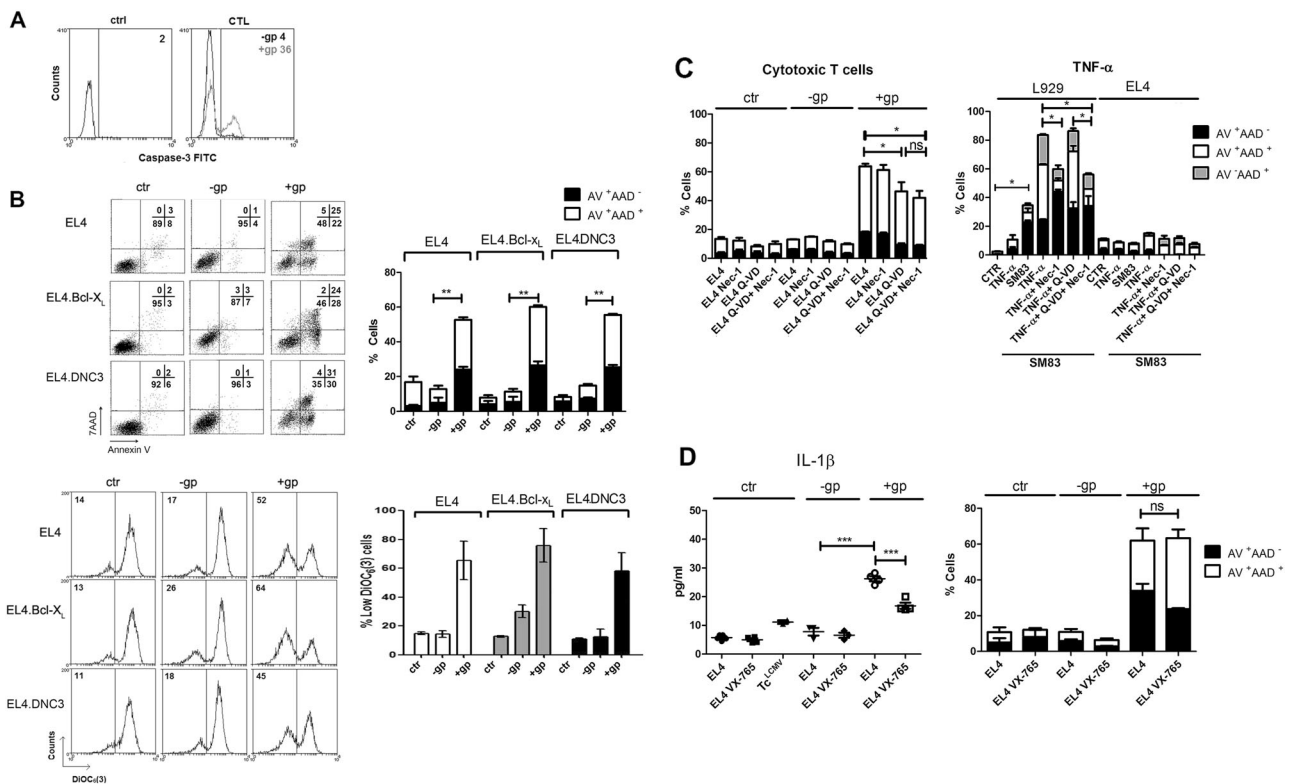


Fig. 4 Cell death induced by gp33-specific primed Tc cells on EL4 cells is not affected by Bcl-XL overexpression or caspase and RIPK1 inhibition. **a** Parental EL4 cells were incubated with ex vivo virus immune CD8⁺ cells from *gzmA*^{-/-} mice in the presence (+gp) or absence (-gp) of the peptide gp33 for 2 h at a *e:t* ratio 10:1. Activation of caspase 3 was monitored with an FITC-labelled mAb against the active form of the enzyme. Histograms show a representative experiment. Numbers correspond to the percentage of cells, as indicated by the horizontal bars. **b** Parental EL4, EL4.Bcl-X_L and EL4.DNC3 cells were incubated with ex vivo virus immune CD8⁺ cells from *gzmA*^{-/-} mice in the presence (+gp) or absence (-gp) of the peptide gp33 for 2 h at a *e:t* ratio 10:1. Subsequently, PS exposure on plasma membrane (annexin-V-FITC), 7AAD uptake (upper panel) and $\Delta\Psi_m$ loss (DiOC6 (3); lower panels) were analysed by three-colour flow cytometry in the target cells (CTG negative), as described in Materials and Methods. Representative dot plot and histogram analysis are shown. Numbers correspond to the percentage of cells in each quadrant or gated by the vertical bars. Data are represented as the mean \pm SD of three (annexinV/7AAD) and two (DiOC6(3); lower panels) independent experiments. $**P < 0.01$. Analysed by unpaired *t*-test. **c**, Left panel. EL4 cells were incubated with ex vivo virus immune CD8⁺ cells, as

indicated in A in the absence or presence of the pan caspase inhibitor QVD (30 μ M), the RIPK1 inhibitor necrostatin-1 (30 μ M) or a combination of both. PS exposure on plasma membrane (annexin-V-FITC) and 7AAD uptake were analysed by three-colour flow cytometry in the target cells (CTG negative), as described in Materials and Methods. Right panel, L929 and EL4 cells were non-treated (ctr) or incubated with TNF α (50 ng/ml L929; 500 ng/ml EL4) in the absence or presence of the SMAC mimetic SM-83 (100 nM) alone or in combination with QVD and/or Nec-1. After 20 h, PS exposure on plasma membrane (annexin-V-FITC) and 7AAD uptake were analysed by flow cytometry, as described in Materials and Methods. Data are represented as the mean \pm SD of three independent experiments. $*P < 0.05$, ns: no significant; Analysed by unpaired *t*-test. **d**, EL4 cells were incubated with ex vivo virus immune CD8⁺ cells, as indicated in A in the absence or presence of the caspase 1 inhibitor VX-765 (30 μ M). After 4 h, PS exposure on plasma membrane (annexin-V-FITC) and 7AAD uptake (right panel) were analysed by three-colour flow cytometry in the target cells (CTG negative) and IL1 β release (left panel) in the cell supernatant by ELISA, as described in Materials and Methods. $**P < 0.001$. Analysed by unpaired *t*-test

affected by neither inhibition of necroptosis nor inhibition of pyroptosis. In vitro *gzmB* is able to degrade dozens of substrates [1, 3, 21, 34–39]. Although most of them have not been validated employing intact cytotoxic effector cells, this pleiotropism would support our results indicating that primed Ag-specific Tc cells control the development of tumours overexpressing proteins that block the mitochondrial-dependent (Bcl-X_L) or caspase-dependent (DNC3 or caspase inhibitors) cell death pathways. In this regard, our data are in line with previous ex vivo findings, indicating that Tc cell-derived Perf and *gzmB* are able to

activate cell death pathways independently on the mitochondria and caspases [1, 3, 21, 37, 38].

Other *gzms* such as *gzmA* and *gzmK* could contribute to the control of drug-resistant tumour cells although its ability to induce cell death is highly controversial [1, 2, 11, 13, 39, 40]. Our in vivo data employing several KO mice and two different tumour models, confirm previous in vitro findings suggesting that mouse *gzmA* and *gzmK* present very low cytotoxicity against tumour cells and are dispensable for tumour control [1, 2, 11, 13, 15, 16, 36, 39, 40]. Moreover, although contradictory results have

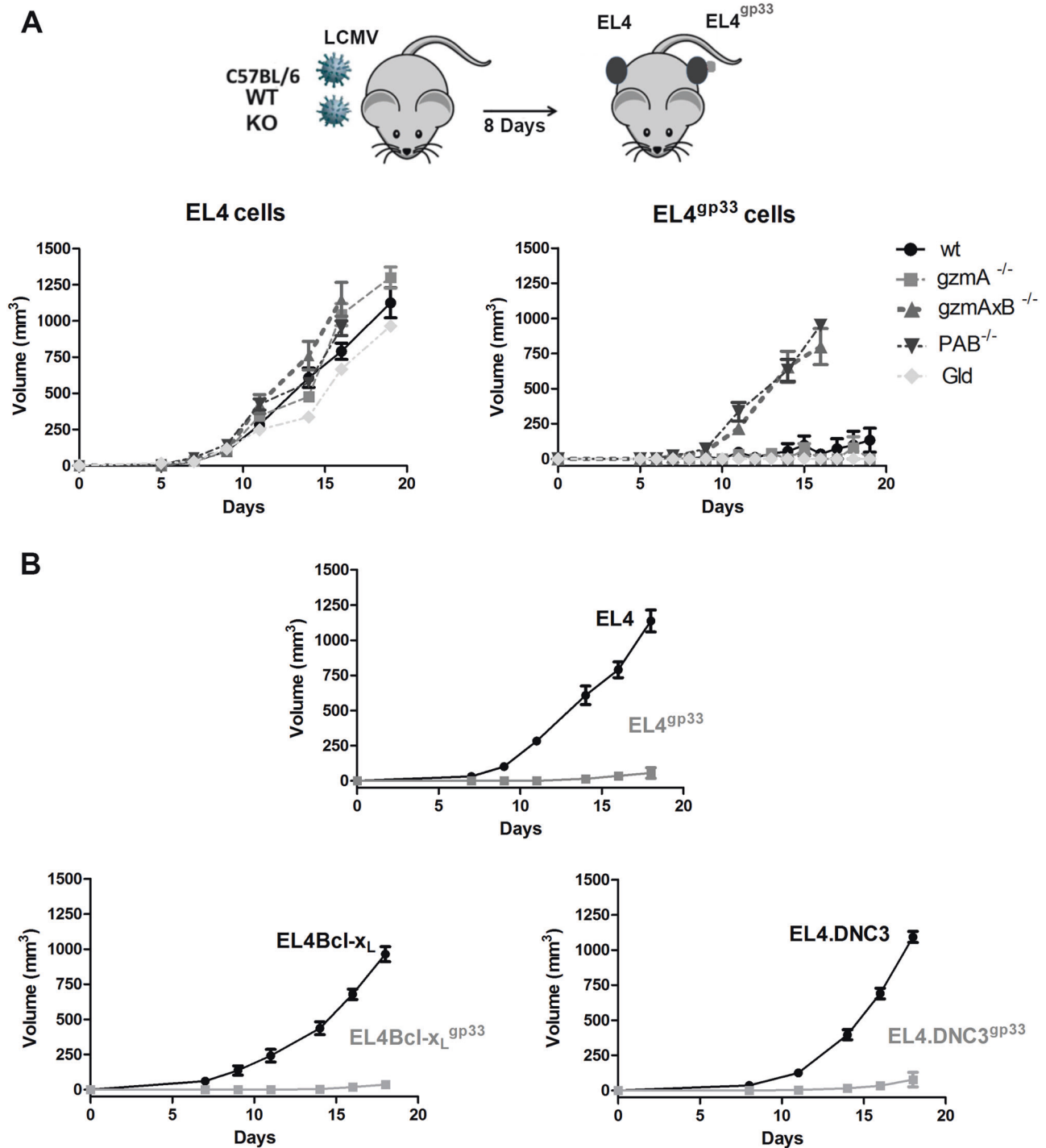


Fig. 5 gp33-specific primed Tc cells prevent development of subcutaneous EL4 tumours overexpressing Bcl-XL and DNC3 mutations. **a** The upper panel shows a schematic representation of the subcutaneous tumour model. To confirm that the elimination of EL4 cells was mediated by Ag-specific Tc cells and to reduce the number of animals, we employed LCMV-immunised mice, in which EL4 and gp33-pulsed EL4 cells were inoculated in the left and right flanks, respectively. C57Bl/6 (B6) wt, KO (*gzmA*^{-/-}, *gzmB*^{-/-}, *gzmAxB*^{-/-} and *PAB*^{-/-}) or mutated (*gld*) mice are vaccinated with 10 pfu LCMV. After 8 days, 2×10^5 EL4 cells pulsed or not with the LCMV Ag gp33

(EL4^{gp33} and EL4) are inoculated on the left and right flanks of mice, respectively. Tumour development was monitored over 20 days, as described in materials and methods. The data correspond to 12 mice from three independent experiments. **b** parental EL4, EL4.Bcl-X_L and EL4.DNC3 tumour development were analysed in vaccinated mice, as described in A. Since wt and *gzmA*^{-/-} mice are indistinguishable when inoculated with EL4 tumours i.p or s.c and following the guidelines of our institutional ethics committee, we only employed wt mice as control

been reported concerning the role of gzmA and gzmB in tumour immunosurveillance [25, 41–44], the role of these proteases during tumour vaccination has not been previously tested. In this line, we have found that gzmB^{-/-} and gzmAxB^{-/-} mice are not able to control long-term tumour development

In contrast to our results, employing a human colorectal cancer (HCT116 cells) xenograft model in nude mice, Ravi et al reported that overexpression of Bcl-X_L conferred resistance to immune cell-mediated elimination of tumour cells in vivo [45]. However, that study analysed the elimination of human tumour cells employing adoptive cell therapy with mouse splenocytes as effector cells. Unfortunately, the findings have not been confirmed employing human immune effector cells in vivo or humanised mice and are not directly comparable with our results. Importantly, it should be noted that human and mouse gzmB presents different substrate affinity [1, 3, 21, 34–39]. Meanwhile, mouse purified gzmB has preferential affinity for caspase 3, human purified gzmB preferentially activates the mitochondrial cell death pathway via Bid [9, 36, 46–48]. Thus, different results could be found when using human and mouse Tc cells. However, and supporting our findings in mice, it has been found that human Tc and NK cells are also able to kill tumour cells in vitro overexpressing Bcl-X_L or Bcl-2 [22, 49], although in this case the specific contribution of gzmB was not addressed.

During the last years, new immunotherapy protocols have been developed to re-activate the anti-tumoural CD8⁺ T cell response by blocking the inhibitory immune checkpoints CTLA4 and PD1/PDL1 [50, 51]. These protocols have shown unprecedented high efficacy against bad prognosis cancers such as melanoma or lung carcinoma [52–55]. Of note these mAb are used as first-line treatment in melanoma and non-small cell lung carcinoma (NSCLC). Although the whole picture of the cellular mechanism induced by these mAb is not completely clarified [56], CD8⁺ T cells are the main effector cells responsible of tumour elimination during these treatments. Curiously, in both types of cancer melanoma and NSCLC, overexpression of Bcl-X_L has been shown to be a bad prognosis factor and a determinant of drug resistance [57–63], although the correlation of Bcl-X_L overexpression and mAb therapy efficacy has not been analysed. Our results indicate that an efficient priming of an Ag-specific CD8⁺ T cell response against tumour cells is able to overcome overexpression of Bcl-X_L, explaining in part why despite overexpressing Bcl-X_L, these cancer types respond very well to CTLA4 and/or PD1/PDL1 mAb therapy. In this line a recent study found a positive correlation between PDL1 and Bcl-X_L expression in tumour cells, in patients with NSCLC [64]. Although this correlation had no impact in the prognostic value, this study did not include the response to PDL1/PD1 therapy. Since

PDL1 expressing tumour cells are the main targets eliminated by CD8⁺ Tc cells during anti-PDL1 mAb therapy, it could be speculated that endogenous activated Tc cells are able to overcome Bcl-X_L overexpression in NSCLC cells. However, more studies will be required to formally show the correlation between Bcl-X_L overexpression in tumour cells and the response to CD8⁺ T cell-mediated immunotherapy in humans.

In conclusion, we show that vaccination against a tumour Ag model generates a CD8⁺ Tc cell response that controls development of the EL4 lymphoma model by a mechanism dependent on perforin and, probably, granzyme B, independently of granzyme A and death ligands. Primed Ag-specific CD8⁺ Tc cells are able to overcome mutations in cell death pathways known to confer multidrug resistance and prevent the generation of tumours in vaccinated animals. Pending of validation employing conventional clinically-adopted immunotherapy protocols like DC vaccination or immune checkpoint mAbs and other tumour models our findings provide the first molecular basis for the rational use of immunotherapy in multidrug resistant tumours due to mutations in the apoptotic machinery.

Materials and methods

Mouse strains

Inbred C57Bl/6 (B6), and mouse strains deficient for gzmA (gzmA^{-/-}), gzmB (gzmB^{-/-}), gzmAxB (gzmAxB^{-/-}) and perfxgzmAxgzmB (PAB^{-/-}), bred on B6 background were maintained at the Centre for Biomedical Research of Aragon (CIBA), and analysed for their genotypes as described [42]. Mice of 8–10 weeks of age were used in all experiments and were performed in accordance FELASA guidelines under the supervision and approval of Comité Ético para la Experimentación Animal (Ethics Committee for Animal Experimentation) from the University of Zaragoza (number: PI33/13).

Cell lines, cell culture and reagents

EL4, EL4.DNC3 and EL4.Bcl-X_L cells were cultured in RPMI with 5% heat-inactivated fetal bovine serum (FBS) at 37 °C. EL4 cells overexpressing Bcl-X_L or expressing a dominant negative mutant of caspase-3 (Cys285Ala; DNC3; Genscript) [65] were generated by lentiviral infection employing the pBAGE-puro vector containing the cDNA of the proteins and the psPAX and pMD2.G vectors containing the HIV-1 Gag and Pol and VSV Env proteins, respectively. Transfected cell clones were selected by limiting dilution employing conditioned medium and puromycin as antibiotic of selection.

For induction of cell death, EL4 cells and mutants thereof or L929 cells were incubated with doxorubicin (Sigma) or TNF α for 24 h and cell death was analysed by phosphatidyl serine (PS) exposure on plasma membrane (Annexin V staining) and incorporation of 7-AAD by flow cytometry with a FACSCalibur (BD Pharmingen) and CellQuest software as described previously [66]. In some cases, the general caspase inhibitors Z-VAD-fmk (Bachem; 100 mM) or Q-VD-OpH (Tonbo Biosciences; 30 μ M) or the RIPK1 inhibitor Nec-1 (Sigma; 30 μ M) were added to cell cultures 1 h before the specific stimulus.

Mouse vaccination and isolation of ex vivo CD8⁺ Tc cells

Mice were immunised with different amounts of LCMV-WE i.p. (10–10⁵ pfu) according to established protocols [66]. On day 8 postinfection, CD8⁺ cells were positively selected from spleen using α -CD8-MicroBeads (Miltenyi Biotec, Germany) with a MACS (Miltenyi Biotec) and resuspended in RPMI 5% heat-inactivated FBS before use in cytotoxic assays. Purity of selected CD8⁺ cells was assessed by FACS staining and found to be between 95 and 98%.

Ex vivo cytotoxicity assays

Target cells were pre-incubated with the LCMV-derived peptide gp33 (Neosystem Laboratoire) and MACS-enriched ex vivo virus immune CD8⁺ T cells were stained with CellTracker Green (CTG; Invitrogen). Effector and target cells were incubated at a ratio of 10:1 (effector:target) at 37 °C. Subsequently, PS exposure on plasma membrane (Annexin V staining) and incorporation of 7-AAD were measured by three-colour flow cytometry in the target population (CTG negative) with a FACSCalibur (BD Pharmingen) and CellQuest software described previously [66].

IL1 β release in cell culture supernatants was quantified using a Ready-SET-Go! ELISA Set from eBioscience

In vivo peritoneal killing assay

For in vivo cytotoxicity assays, 5 \times 10⁵ cells were pre-incubated with or without the peptide gp33 (Neosystem Laboratoire). Gp33⁻ (EL4^{CTGdim}) and gp33⁺ (EL4^{gp33.CTGhi}) cells were labelled with 0.5 μ M and 5 μ M CTG, respectively. Subsequently, a 1:1 mixture of EL4^{CTGdim} and EL4^{gp33.CTGhi} cells (1 \times 10⁶ cells in total) were injected i.p. in 200 μ l RPMI 2% heat-inactivated FCS in mice non-immunised or immunised with LCMV as indicated. Mice were sacrificed at different time points and peritoneal cells were collected, washed in PBS, and analysed on a FACSCalibur flow cytometer (BD Biosciences). The relative

elimination of EL4^{gp33.CTGhi} was analysed using the formula: $1 - (\% \text{ EL4}^{\text{gp33.CTGhi}} / \% \text{ EL4}^{\text{CTGdim}}) \times 100$. In some experiments mice were injected with 100 μ g of anti-CD8 β mab (clon H35–17.2) or the same amount of Rat Isotype control at days –4, –2 and 0 before injecting EL4 cells.

Tumour development

Non-pulsed or gp33-pulsed EL4 cells were inoculated intraperitoneally (ip; 5 \times 10⁵ cells) or subcutaneously (sc; 2 \times 10⁵ cells) in mice that had been previously vaccinated or not with 10 pfu of LCMV as indicated.

i.p. tumour development was analysed by weighting the animals and monitoring development of ascites every second day. Mice were sacrificed when they reach the humane endpoint as established by the Animal Ethics Committee (10% increase in weight or presenting signs of morbidity).

s.c. tumour development was analysed by measuring tumour volumes every second day. Volume was calculated using the equation formula, where W, L and H represents the width, length and height of the tumour. Mice were sacrificed when they reach the humane endpoint as established by the Animal Ethics Committee (Volume larger than 1 cm³ or presenting signs of ulceration).

Analysis of protein expression by flow cytometry

The percentage of LCMV-immune CD8⁺T cells (H2Kb-gp33 tetramer, APC, Beckman and Coulter) and the percentage of Lamp1⁺ (CD107a + . BD Biosciences) CD8⁺ T cells in the peritoneal lavage were analysed as described previously [21]. To analyse gzmA, gzmB and gzmK intracellular expression after LCMV vaccination, CD8⁺ T cells were enriched from the spleen and fixed in 4% PFA. Subsequently, cells were permeabilized with 0.1% saponin and 5% FBS in PBS and incubated with the α -gzmA, α -gzmB or α -gzmK antibodies or with rabbit IgG isotype control, followed by α -rabbit-Alexa 488 secondary antibody (Invitrogen) [21]. Cells were resuspended in 1% PFA and gzm expression was analysed by FLOW CYTOMETRY.

Analysis of protein expression by immunoblot

5 \times 10⁵ cells were washed in PBS and lysed in a buffer containing 1% Triton X-100 during 15 min on ice. Soluble protein fractions were recovered by centrifugation and separated by sodium dodecyl sulphate–polyacrylamide gel electrophoresis. Then, proteins were transferred to a PVDF membrane and blocked with 5% fat-free milk. Anti-Bcl-X_L polyclonal (1/1000; Santa Cruz), Anti-PARP-1 monoclonal (1/1000; Enzo) and anti-b-actin monoclonal antibodies (1/10000; Sigma) were incubated overnight at 4 °C. Then, after a washing step, membranes were incubated during 1 h

at room temperature with secondary α -rabbit or α -mouse (respectively) IgG antibodies conjugated with HRP (Sigma) at a 1/20000 dilution.

Statistical analysis

Statistical analysis was performed using GraphPad Prism software. The difference between means of unpaired samples was performed using two-way analysis of variance with Bonferroni's post-test or using unpaired *t*-test as indicated. Survival curves were compared using Log-rank test (Mantel–Cox). The results are given as the confidence interval with *P* values and are considered significant when *P* < 0.05.

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Compliance with ethical standards

Conflict of interest The authors declare that they have no conflict of interest.

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