

# Rpd3L HDAC links H3K4me3 to transcriptional repression memory

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## ABSTRACT

**Transcriptional memory is critical for the faster re-activation of necessary genes upon environmental changes and requires that the genes were previously in an active state. However, whether transcriptional repression also displays ‘memory’ of the prior transcriptionally inactive state remains unknown. In this study, we show that transcriptional repression of ~540 genes in yeast occurs much more rapidly if the genes have been previously repressed during carbon source shifts. This novel transcriptional response has been termed transcriptional repression memory (TREM). Interestingly, Rpd3L histone deacetylase (HDAC), targeted to active promoters induces TREM. Mutants for Rpd3L exhibit increased acetylation at active promoters and delay TREM significantly. Surprisingly, the interaction between H3K4me3 and Rpd3L via the Pho23 PHD finger is critical to promote histone deacetylation and TREM by Rpd3L. Therefore, we propose that an active mark, H3K4me3 enriched at active promoters, instructs Rpd3L HDAC to induce histone deacetylation and TREM.**

## INTRODUCTION

Post-translational modifications, including acetylation, methylation, phosphorylation and ubiquitination, of histone tails play important roles in eukaryotic transcription (1,2). Histone acetylation directly promotes RNA polymerase II (RNA Pol II) transcription by dis-

rupting histone–DNA interactions and by recruiting additional factors that control local chromatin structure. Histone acetylation is dynamically controlled by both histone acetyltransferases (HATs) and histone deacetylases (HDACs).

A conserved HDAC, Rpd3 is the catalytic subunit of at least two distinct HDACs (3,4). Rpd3 large (Rpd3L) HDAC includes more than 10 subunits and presumably deacetylates histones at promoter regions (5,6). Rpd3L is targeted to inactive promoters by sequence-specific repressors including Ume6 (7). Interestingly, genome-wide chromatin immunoprecipitation–DNA microarray (ChIP–ChIP) analyses revealed that Rpd3L also associates with actively transcribed genes (5,8). Consistent with this data, the loss of Rpd3L increases histone acetylation at active promoters (6,9). In contrast, Rpd3 small (Rpd3S) HDAC primarily deacetylates histones within coding regions to suppress initiation from cryptic promoters, and histone exchange within coding regions, and RNA Pol II elongation (3,4,10–12).

Histone deacetylation by Rpd3L or Rpd3S at distinct regions is likely mediated by co-transcriptional methylations on histone tails (13). During the early stage of transcription, the Set1 methyltransferase interacts with the serine 5 phosphorylated C-terminal domain (CTD) of Rpb1, the largest subunit of RNA Pol II, and/or nascent RNA transcripts to localize H3K4me3 in promoter regions, followed by H3K4me2 in 5′ regions and H3K4me1 in 3′ ends of genes (14–16). Two subunits of Rpd3L, Pho23 and Cti6/Rxt1, can directly bind to trimethylated K4 of histone H3 *in vitro* (17,18), but whether these interactions contribute to chromatin binding and/or enzyme activity of Rpd3L has not yet been determined. Both Set1 and Rpd3L mediate repression of genes involved in ribosome biogenesis suggesting a link

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between H3K4 methylation and Rpd3L (19). During transcription elongation, the Set2 methyltransferase interacts with phosphorylated CTD at both serines 5 and 2 to target H3K36me<sub>2/3</sub> in the body of genes (20–22). This modification is recognized by the chromodomain of Eaf3 within Rpd3S HDAC. An additional subunit, Rco1, interacts with unmodified histone tails. These two interactions are critical for chromatin binding and histone deacetylation by Rpd3S (3,4,23,24).

During natural growth, cells are exposed to rapidly changing environments and must accordingly reprogram their gene expression patterns for cellular differentiation, development, and adaptation. In addition, they are often re-exposed to the same or different stimuli and can rapidly re-induce the genes required for cellular functions. This response is known as ‘transcriptional memory’ and increases the kinetics of reactivation (25,26). In yeast, transcriptional memory involving inducible *GAL* genes and *INO1* has been extensively studied and the factors involved in have been identified. Multiple mechanisms appear to contribute. For example, transcriptional memory of *GAL* genes requires the SWI/SNF chromatin remodeling complex and the Htz1 histone variant and is negatively regulated by the Set1 methyltransferase (25,27–29). In addition, long-term transcriptional memory of *GAL* genes which persists for >6 cell divisions, is positively regulated by Gal1 and Gal3 metabolic proteins (27,30). *INO1* memory is also positively regulated by Htz1 (29). Furthermore, the nuclear pore complex that targets active genes to the nuclear periphery also contributes to transcriptional memory of *GAL* genes and *INO1* (31,32).

Although cells must repress unnecessary genes upon environmental changes, whether a gene remembers its previous transcriptionally inactive state remains unclear. In this study, we show that more than 540 yeast genes exhibit ‘memory’ of their preceding inactive states during carbon-source shifts. These genes were slightly repressed during the first galactose incubation, but strong and rapid suppression was seen upon the second galactose pulse. This novel response has been named ‘Transcriptional Repression Memory’ (TREM). Interestingly, Rpd3L bound to active promoters plays important roles in TREM. Whereas loss of Rpd3L had a lesser effect on the kinetics of the first repression, Rpd3L mutants, but not other HDAC mutants tested, displayed a significant delay in the kinetics of the second repression. Surprisingly, the interaction between the Pho23 plant homeodomain (PHD) finger and an active mark, H3K4me<sub>3</sub> is critical for histone deacetylation by Rpd3L and is sufficient to promote TREM.

## MATERIALS AND METHODS

### Yeast strains and culture conditions

Yeast strains used in this study are listed in Supplementary Table S1. The time course experiments were carried out with the indicated strains as previously described (33,34). To generate *pho23* (W305A) and *cti6* (W98A) strains, the *kanMX4* and *KIURA3* CORE cassette was integrated 915 or 294 bp downstream from the *PHO23* ATG or the *CTI6* ATG, respectively. The resulting strains were transformed with the

100 bp oligonucleotides to introduce *pho23* (W305A) or *cti6* (W98A) mutation.

### Reverse transcription and qPCR analysis

RNA was isolated from cells with hot acidic phenol/chloroform (1:1) (Sigma). 0.78 μg of total RNAs treated with RNase-free DNaseI (Thermo Fisher Scientific) were reverse-transcribed to complementary DNA (cDNA) using PrimeScript II first-strand cDNA Synthesis Kit (TaKaRa). The resulting cDNAs were analyzed by real-time quantitative PCR (RT-qPCR) using CFX96 cyclor (Bio-rad) and iQ SYBR Green Supermix (Bio-rad). The sequences of oligonucleotides used in this study are listed in Supplementary Table S2.

### Chromatin immunoprecipitation (ChIP)

Chromatin immunoprecipitations (ChIP) was carried out as previously described (9) with oligonucleotides as listed in Supplementary Table S2. 2.0 μl of anti-Rpb3 (BioLegend 626802), 1.0 μl of anti-acetyl H4 (Upstate 06-598), 1.0 μl of anti-H3 (Abcam 1791), or 2.0 μl of anti-myc (BioLegend 65004) was bound to either Protein G or Protein A agarose beads. Binding for anti-Rpb3, anti-H3 and anti-myc or for anti-acetyl H4 was done overnight in FA lysis buffer containing 275 mM NaCl or in FA lysis buffer with 1 M NaCl, respectively. Precipitates were washed with the same buffer, once with FA lysis buffer containing 500 mM NaCl for anti-Rpb3, anti-H3 and anti-myc or with FA lysis buffer containing 1.5 M NaCl for anti-acetyl H4, once with 10 mM Tris-HCl (pH 8.0), 0.25 M LiCl, 1 mM EDTA, 0.5% NP-40, 0.5% sodium deoxycholate, and once with TE (10 mM Tris-HCl [pH 8.0], 1 mM EDTA). Precipitated DNAs were analyzed by real-time quantitative PCR using iQ SYBR Green Supermix (Bio-rad) and CFX96 cyclor (Bio-Rad).

### Western blot analysis

Cells expressing TAP-tagged or myc-tagged proteins were grown in YPD at 30°C or SC-glucose at 22°C to mid-log phase. Cell were lysed using lysis buffer (50 mM Tris, pH 7.5, 150 mM NaCl, 0.1% NP-40) with protease inhibitors (Pepstatin A 1 mM, Aprotinin 0.3 mM, Leupeptin 1 mM, PMSF 100 mM) and glass beads. Protein concentration was quantitated by Bradford assay. For SDS-PAGE and western blot analyses, 15–30 μg of protein was used. Proteins were separated in SDS-PAGE and transferred onto a PVDF membrane (Millipore). Membranes were incubated with primary antibody for 3 h and then washed with PBST. After incubation with HRP-conjugated secondary antibody for 1 h, membranes were washed three times with PBST. The blots were visualized on film with SuperSignal West Pico Chemiluminescent Substrate (Thermo Fisher Scientific).

### Northern blot analysis

Total RNA was isolated from cells with hot acidic phenol/chloroform (1:1) (Sigma) and 10 μg of total RNA was separated on agarose gel. The RNA was transferred onto membranes and hybridized to radioactive probes

as described previously (35). Strand-specific radioactive probes were generated by unidirectional PCR with [ $\alpha$ - $^{32}$ P] dATP with only one primer. Hybridization was carried out in a buffer containing 1% BSA, 7% SDS, 1 mM EDTA (pH 8.0) and 300 mM sodium phosphate buffer (pH 7.2).

### Histone peptide pulldown assay

Cells expressing myc-tagged or TAP-tagged proteins were grown at 30°C to mid-log phase in YPD. Total proteins were extracted using binding buffer (50 mM Tris, pH 7.5, 500 mM NaCl, 0.05% NP-40) with protease inhibitors (Pepstatin A 1 mM, Aprotinin 0.3 mM, Leupeptin 1 mM, PMSF 100 mM). The extracts were diluted to 150 or 300 mM NaCl and then incubated with 50  $\mu$ l of dynabeads and 1  $\mu$ g of biotinylated histone peptides at 4°C overnight. Beads were washed five times with 1 ml of binding buffer and separated by SDS-PAGE followed by western blot analysis.

### RNA sequencing and data analysis

Sequencing libraries were prepared using the TruSeq Stranded Total RNA Library Prep Kit (Illumina) after ribosomal RNA was depleted using the Ribo-Zero yeast kit (Epicenter), as recommended by manufacturers. Paired-end sequencing of 101-mer read length was carried out on an Illumina NextSeq 500 system. Raw sequencing data were preprocessed using the fastx toolkit ([http://hannonlab.cshl.edu/fastx\\_toolkit/](http://hannonlab.cshl.edu/fastx_toolkit/)) which included `fastq_quality_filter` to remove low quality reads and `fastx_artifacts_filter` to remove homopolymeric sequencing artifacts. Resulting reads were then aligned to the *S. cerevisiae* S288C reference genome (EF4.74 downloaded from Ensembl) using TopHat version 2.0.13 (<https://ccb.jhu.edu/software/tophat/index.shtml>) (36). For strand-specific alignment, we used the option of `-fr-firststrand` and supplied the sample-specific values of insert size (i.e. average and standard deviation) that were obtained from the Bowtie alignment of paired-end reads on the same scaffold with concordant directions. Transcript abundance in FPKM (Fragments Per Kilobase of exon per Million mapped reads) was calculated by Cufflinks v2.2.1 (<http://cole-trapnell-lab.github.io/cufflinks/>) (37) followed by differential expression analysis using Cuffdiff with the FDR value < 0.05.

### Gene ontology (GO) analysis

TREM genes were analyzed for their functional enrichment in the GO terms using 'GOSlim Process' annotation in the GeneCodis3 (<http://genecodis.cnb.csic.es/>) (38). Significant terms were obtained with FDR < 0.05.

### ChIP-ChIP data analysis

The raw ChIP-ChIP data on myc-tagged Rpd3 and Sds3 were downloaded from the GEO database (accession number: GSE22636) (5). Ringo (R Investigation of ChIP-chip Oligoarrays) (39) and limma (Linear Models for Microarray Data) R/Bioconductor packages (<https://bioconductor.org/>) were used for background intensity correction and

lowess normalization, respectively. The normalized intensity profiles across the gene from -500 bp from the transcription start site to +500 bp from the transcription end site were obtained by fitting the probe intensities using the lowess fit function in R for each gene. The normalized intensities at 11 points over the gene were estimated using fitted function and the averaged binding profiles for total genes, Rpd3L-sensitive genes, or Rpd3L-insensitive genes were shown in Figure 4C and Supplementary Figure S4D.

### ChIP-Seq analysis for H3K4me3 pattern

The raw MNase ChIP-Seq data on histone modifications were downloaded from the GEO database (accession number: GSE61888) (40). All sequencing reads were mapped to the *S. cerevisiae* genome using Bowtie2 ver. 2.2.3 (41) with the default parameters except the '-very-sensitive' option. DANPOS2 ver. 2.2.2 (<https://sites.google.com/site/danposdoc/>) (42) was used to identify the nucleosome positions enriched with H3K4me3 relative to the input DNA. Specifically, the nucleosome positions were initially identified using the 'Dpos' function of DANPOS and then H3K4me3 profiles were retrieved around the transcription start site (from -500bp to +1500bp) using the 'profile' function of DANPOS (Figure 5B).

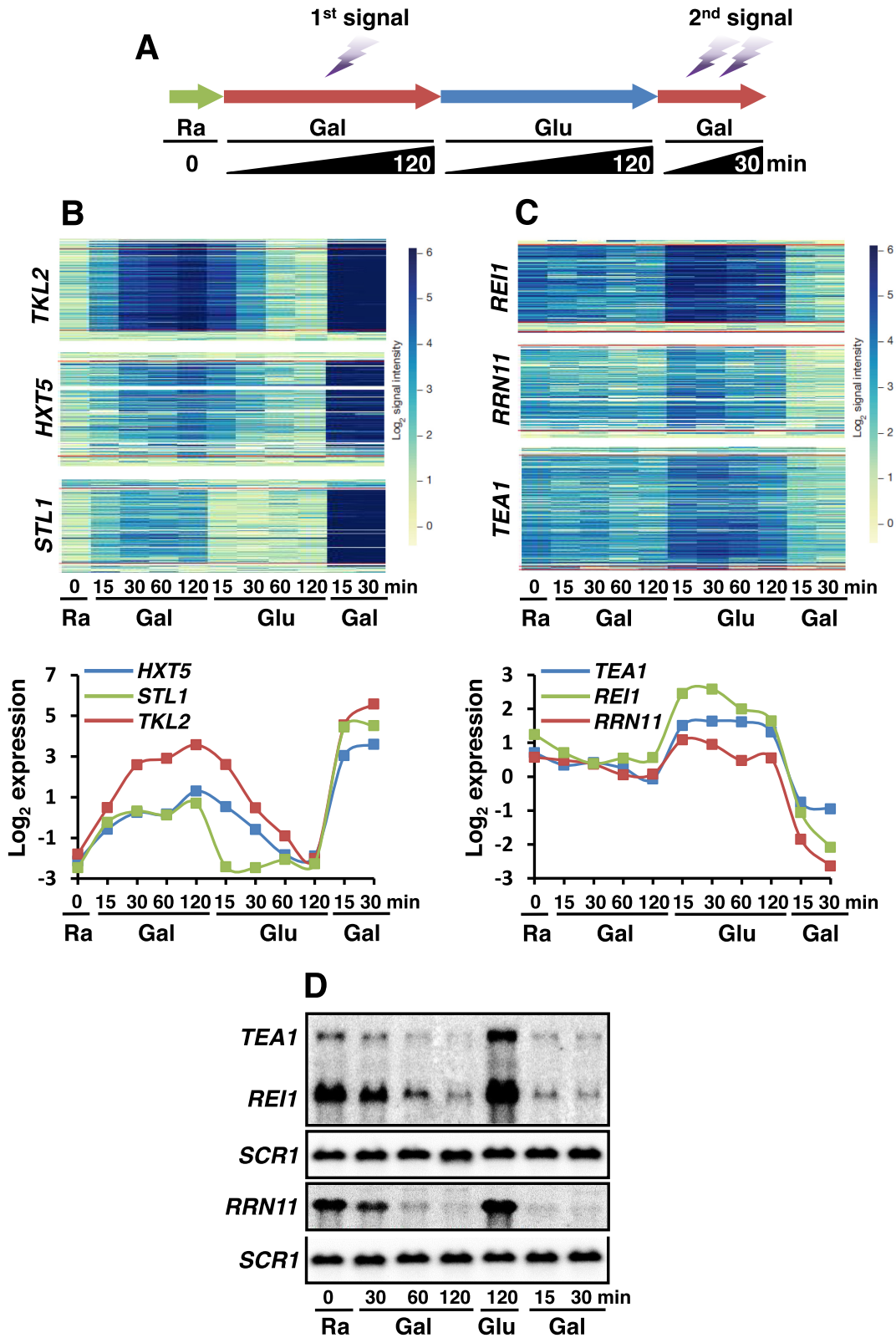
## RESULTS

### Transcriptional REpression Memory: TREM

To investigate global transcriptional memory, the high-density tiled array datasets generated from yeast cells undergoing a series of carbon source shifts were analyzed (33,34). These datasets have been generated from cells that were initially grown in synthetic complete (SC) medium containing raffinose (0 time point), shifted to a medium containing galactose (for 15, 30, 60 and 120 min), then shifted to a medium containing glucose (for 15, 30, 60 and 120 min), and then shifted back to a medium containing galactose (for 15 and 30 min) (Figure 1A). Under these conditions, ~1000 genes responded differentially to the distinct carbon sources (33). Many genes including *TKL2*, *STL1* and *HXT5*, were induced by galactose and then repressed gradually when shifted to medium containing glucose. Interestingly, stronger and faster reactivation was seen upon the second exposure to galactose (Figure 1B). Although these genes are not directly involved in galactose metabolism, they showed memory of their prior active states during the first galactose incubation.

More than 500 genes were down-regulated during the first galactose incubation and re-induced in medium containing glucose (33). Surprisingly, the rate of transcriptional repression for some of these genes was much more faster upon the second galactose pulse (Figure 1C). *REI1*, *TEA1* and *RRN11* were slightly repressed during the first galactose exposure. In contrast, the second repression was not only faster, but much stronger (Figure 1C). To further confirm the microarray data, we performed northern blot analyses. *SCR1* transcript levels were not changed during carbon-source shifts. *TEA1*, *REI1* and *RRN11* transcript levels were down-regulated for 120 min during the first galactose incubation and were almost completely repressed within 15-





**Figure 1.** Transcriptional activation memory versus transcriptional repression memory. (A) Schematic representation of the time course experiments to monitor changes in transcript levels during carbon-source shifts. (B) Transcriptional activation memory. The microarray hybridization signals for each probe arrayed at positions along the galactose-induced genes, *TKL2*, *HXT5* and *STL1* from Kim *et al.* (33). Increased blue color indicates more transcription. Red lines show the annotated start and stop of the mRNAs. The time course as in (A) is arrayed from left to right. Bottom graph shows normalized, log<sub>2</sub>-transformed mRNA expression levels at each time point. (C) Transcriptional repression memory. The microarray hybridization signals and log<sub>2</sub>-transformed expression levels are shown for the three TREM genes, *REI1*, *RRN11* and *TEA1* as in (B). (D) Northern blot analyses of the TREM genes, *TEA1*, *REI1* and *RRN11* upon carbon-source shifts. Cells were grown in SC-raffinose media and then shifted to galactose, glucose, and then back to galactose media for the indicated times. *SCR1* was used for a loading control.

30 min of the second galactose pulse (Figure 1D). Since the rate of transcriptional repression could differ when cells were transferred from raffinose (the first repression) or from glucose (the second repression) to galactose, we monitored the kinetics of repression when cells were shifted from raffinose to galactose for the second repression. *REI1* and *TEA1* still exhibited memory of their prior inactive states (Supplementary Figure S1A and B). Furthermore, two genes, *TKL2* and *HXT5* repressed by glucose also showed more rapid repression during the second glucose incubation (Supplementary Figure S1C and D). Although Set3 HDAC and Set2 HMT have been shown to delay mRNA and long non-coding RNA (lncRNA) induction under the same conditions (33,34), the kinetics of repression for *REI1* and *TEA1* was not affected by the loss of these factors (Supplementary Figure S1E and F).

These findings indicate that the genes remember their previous transcriptionally inactive states so that they are more efficiently repressed upon re-exposure to the same stimuli, which is termed TREM. Furthermore, our results suggest that there are the two distinct types of transcriptional memory: one for activation and the other for repression.

### Rpd3L HDAC induces TREM

TREM may be induced by rapid dissociation of activators from promoters. Alternatively, repressors that bind to active promoters could induce TREM. Since Rpd3 is known to associate with active genes, it may induce TREM (5,8). To test this, we therefore analyzed TREM in *RPD3* and *rpd3Δ* cells by quantitative real-time PCR (Figure 2A). The internal control *SCR1* was not changed during carbon-source shifts or in the presence or absence of Rpd3. Steady-state transcript levels of three TREM genes, *REI1*, *TEA1* and *RRN11*, were not affected strongly by the loss of Rpd3 (Supplementary Figure S2A). Furthermore, the kinetics of gene repression during the first galactose incubation changed only slightly in *rpd3Δ* cells. Surprisingly, the rate of the second repression was significantly delayed in *rpd3Δ* cells when compared to wild type cells (Figure 2A and Supplementary Figure S2A). The ratio of transcript levels in *rpd3Δ* over those in wild type showed a strong increase in the mutant during the second repression indicating that the loss of Rpd3 delays TREM (Figure 2A).

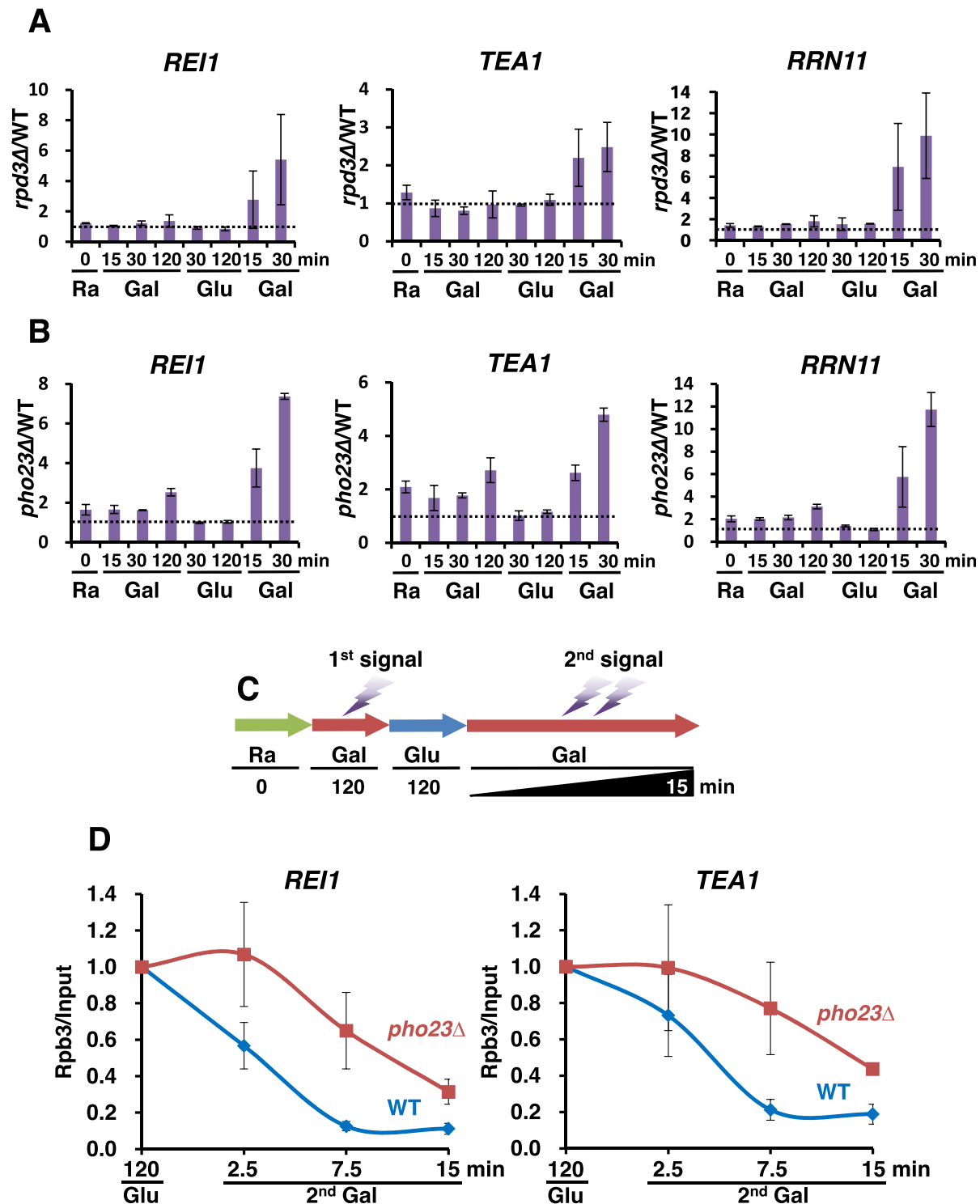
Rpd3 is the catalytic subunit of both Rpd3S and Rpd3L HDACs (3,4). To determine which HDAC induces TREM, we analyzed gene expression patterns in the Rpd3L mutants, *dep1Δ* and *pho23Δ*, and in the Rpd3S mutant, *rcol1Δ*. Loss of the Rpd3L-specific subunits, Pho23 and Dep1 significantly delayed TREM as seen in *rpd3Δ* cells (Figure 2B and Supplementary Figure S2B), but no effect was seen in *rcol1Δ* cells (Supplementary Figure S2C) suggesting that Rpd3L specifically promotes TREM. We then carried out RNA Pol II ChIP during the second galactose pulse to determine whether Rpd3L promotes dissociation of RNA Pol II. Wild type and *pho23Δ* cells were grown in raffinose medium and then shifted to a galactose medium for 120 min, then to a glucose medium for 120 min, and then back to a galactose medium for 2.5, 7.5 or 15 min (Figure 2C). Consistent with changes in transcript levels, Rpb3 occupancy decreased rapidly in wild type cells during the sec-

ond galactose incubation (Supplementary Figure S2A and Figure 2D). In contrast, dissociation of Rpb3 from *REI1* and *TEA1* genes was delayed in *pho23Δ* cells (Figure 2D). These data therefore suggest that Rpd3L, but not Rpd3S, induces TREM by promoting dissociation of RNA Pol II or by preventing RNA Pol II recruitment.

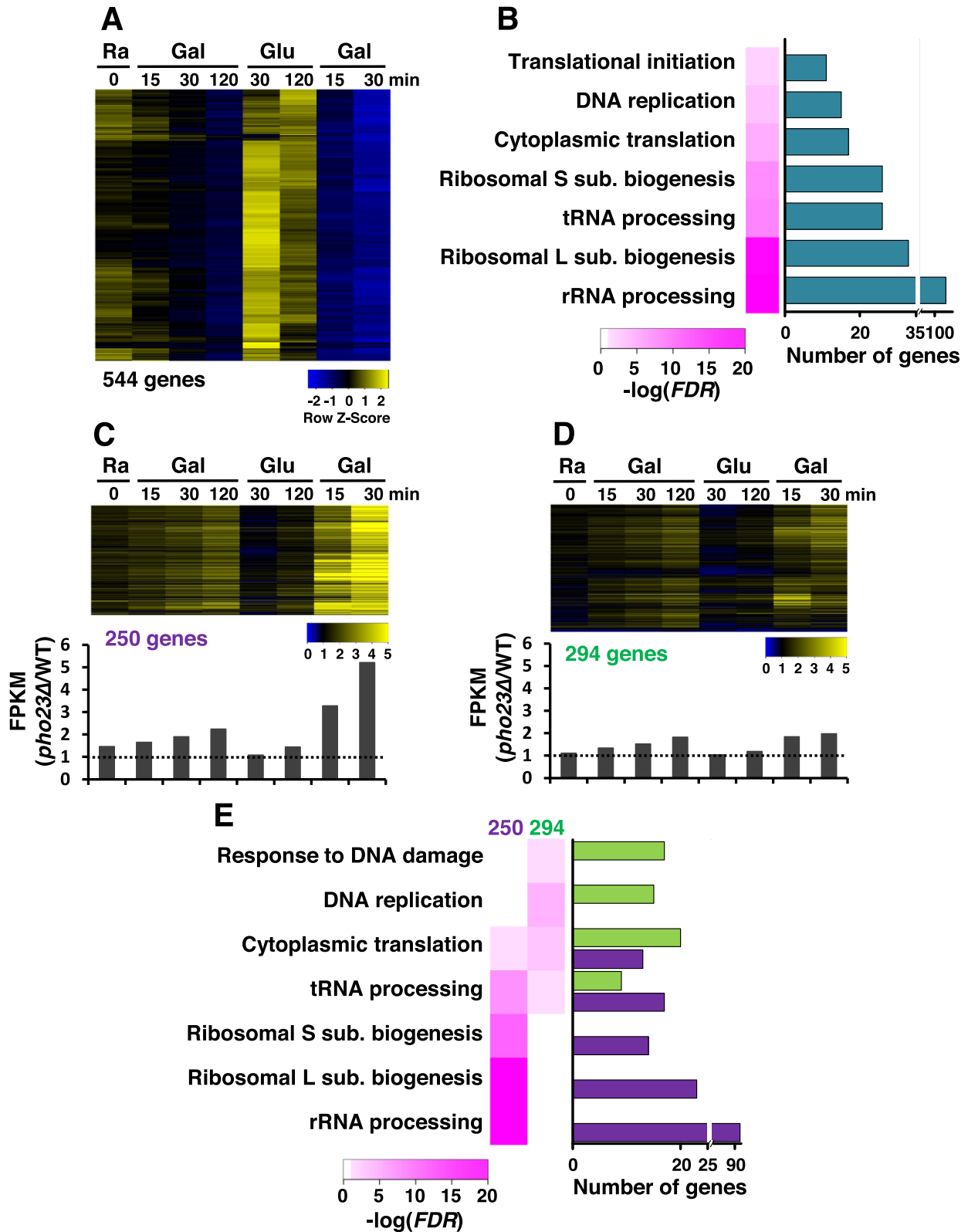
### Rpd3L HDAC controls global TREM

To further investigate the function of Rpd3L in TREM, total RNAs isolated from wild type and *pho23Δ* cells during carbon-source shifts were analyzed by strand-specific RNA sequencing (Figure 2B). We initially identified TREM genes that satisfied the following three requirements: (i) transcript levels in raffinose > transcript levels after 120 min of the first galactose incubation, (ii) transcript levels after 30 or 120 min of incubation in glucose > transcript level after 15 or 30 min of the second galactose incubation, (iii) transcript level after 15 or 30 min of the first galactose incubation > transcript level after 15 or 30 min of the second galactose pulse. 544 TREM genes were slightly downregulated during the first 120 min galactose incubation but were strongly or completely repressed during the second galactose pulse (Figure 3A). Gene ontologies (GO) of these TREM genes were then analyzed to categorize their cellular functions. Signatures of TREM genes were enriched for rRNA processing, ribosome biogenesis, tRNA processing, or DNA replication (Figure 3B). Furthermore, ~60% (142 out of 236) of genes involved in ribosome biogenesis (RiBi) and only 19% (26 out of 137) of ribosomal protein (RP) genes appear to show TREM (Supplementary Figure S3A).

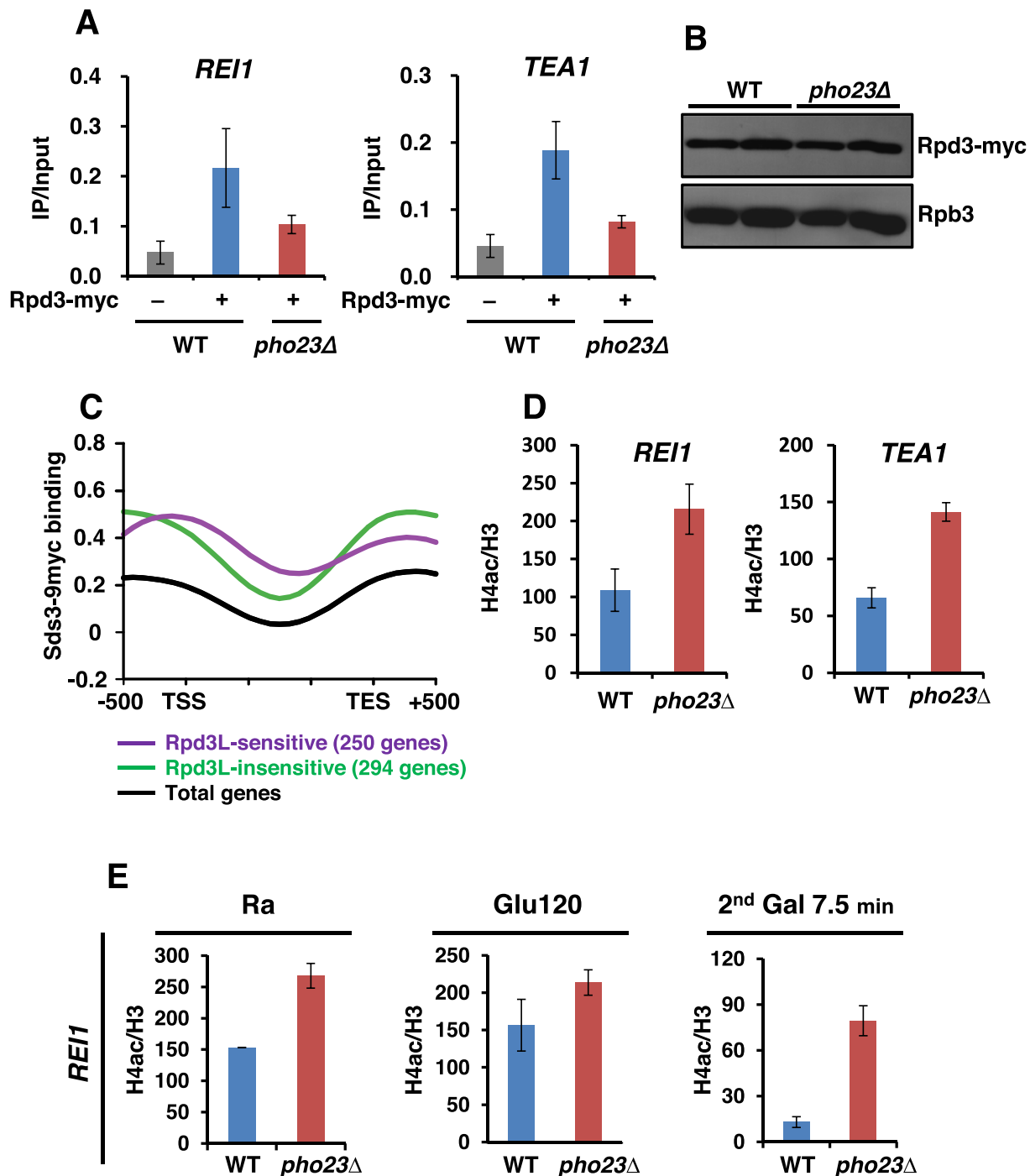
We next identified Pho23-regulated TREM genes that satisfied the following two conditions: (i) a 2-fold increase of transcript levels in *pho23Δ* at 30 min of the second galactose incubation, (ii) (transcript levels in *pho23Δ*/transcript levels in wild type) at 30 min of the second galactose pulse/those at 120 min of the first >1.5. The second requirement was used to identify the genes strongly repressed by Rpd3L during the second galactose incubation. Although all of the TREM genes showed slightly delayed repression during the first repression in *pho23Δ* cells, the maximum differences in transcript levels in wild type and *pho23Δ* cells were ~2-fold at 120 min of the first galactose incubation (Figure 3C and D). In contrast, 46% (250 out of 544 genes) of the TREM genes showed an ~6-fold increase at 30 min of the second repression indicating that Pho23 is important for TREM of these genes (Figure 3C). The kinetics of the first and second repression of the other 294 genes were very similar in *pho23Δ* cells suggesting that TREM of these genes is not directly regulated by Rpd3L (Figure 3D). GO analysis of the Pho23-sensitive and Pho23-insensitive gene categories revealed their distinct functions in cellular processes. Whereas the 250 Pho23-sensitive genes were enriched for rRNA processing and ribosome biogenesis, the other 294 genes were enriched for DNA replication and DNA damage response (Figure 3E). Rpd3L is known to repress transcription of RiBi and RP genes, but ~50% of the target genes (127 out of 250 genes) do not encode RiBi proteins or RP suggesting additional roles for Rpd3L (Supplementary Figure S3A and B) (19,43,44).



**Figure 2.** Rpd3L induces transcriptional repression memory. (A) *RPD3* and *rpd3Δ* strains were grown in SC medium containing raffinose and then sequentially shifted to SC media containing the indicated carbon sources for the times specified in (A). The mRNA levels were determined by RT-PCR with two independent RNA samples. The ratios of transcript levels in *rpd3Δ* over those in *RPD3* were plotted. The original data appear in Supplementary Figure S2A. Error bars show the standard deviation (S.D.) calculated from two biological replicates, each with three technical replicates. (B) *pho23Δ* shows a significant delay of TREM. Transcript levels of TREM genes were analyzed as in (B). (C) Schematic representation of the time course experiments to monitor changes in RNA Pol II occupancy at TREM genes during carbon-source shifts. (D) Loss of Pho23 delays dissociation of RNA Pol II. *PHO23* and *pho23Δ* strains were grown in SC medium containing raffinose and then sequentially shifted to SC media containing the indicated carbon sources for the times specified under the graphs. Crosslinked chromatin was precipitated with anti-Rpb3 and PCR analysis of the precipitated DNA was carried out on promoters of *REI1* and *TEA1*. A non-transcribed region near the telomere of chromosome VI was used for an internal control. The signals for Rpb3 were quantitated and normalized to the input signal, and the ratios were graphed. Error bars show the standard deviation (S.D.) calculated from two biological replicates, each with three technical replicates.

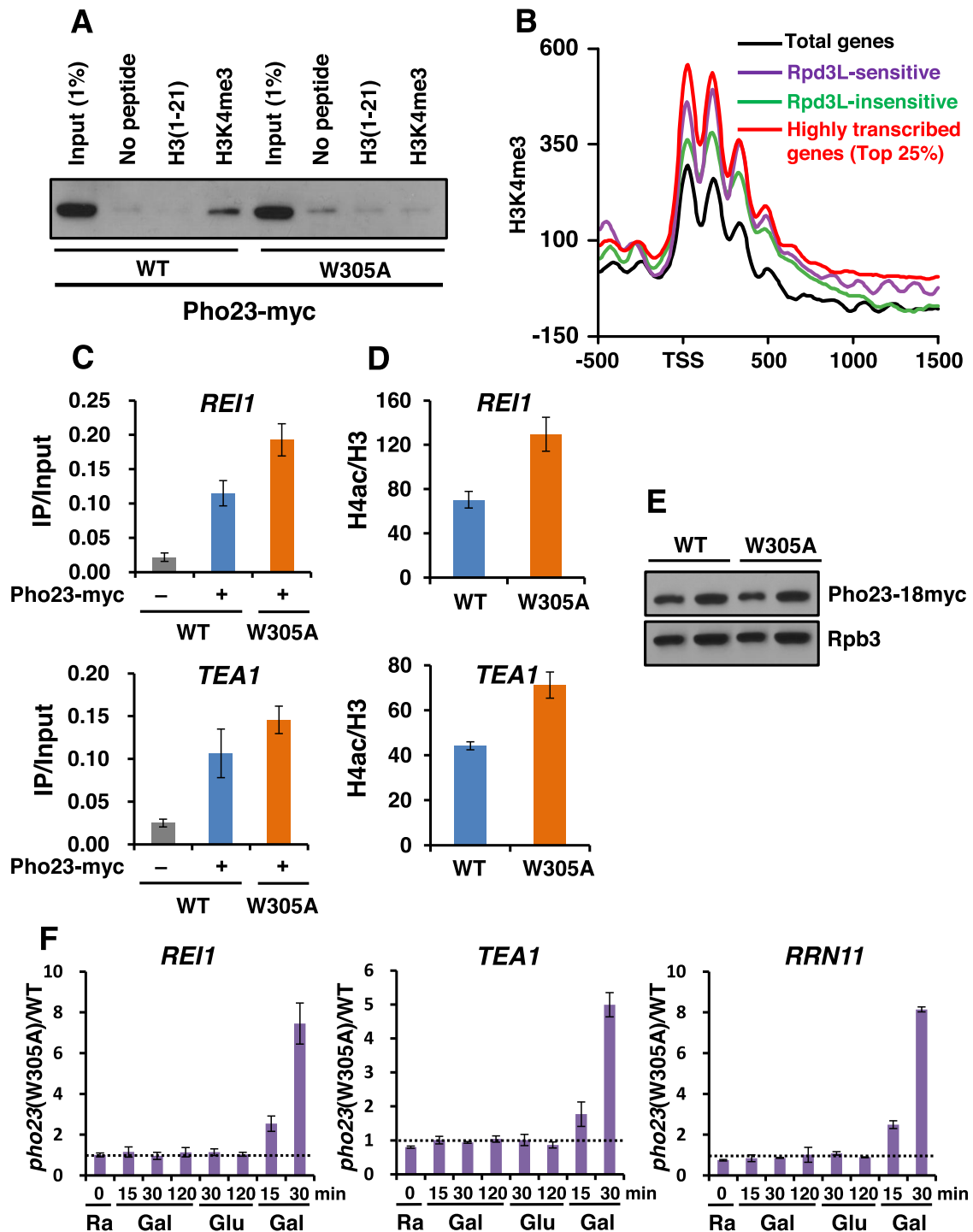


**Figure 3.** Rpd3L is required for global TREM. (A) Expression profiles of 544 TREM genes. RNA samples from *PHO23* and *pho23Δ* strains in Figure 2C were analyzed by strand-specific RNA sequencing. The TREM genes identified in *PHO23* strains are visualized. (B) GO analysis of TREM genes. The number of genes and false discovery rates (FDRs) obtained from GeneCodis3 (<http://genecodis.cnb.csic.es/>) showed that many TREM genes are involved in ribosomal RNA processing and ribosomal large or small subunit biogenesis. (C) TREM of 250 genes is significantly delayed in *pho23Δ* cells. The ratio of transcript levels for 250 genes in *pho23Δ* over those in *PHO23* are visualized. Bottom panel shows the averaged expression ratios at each time point. A strong difference in transcript levels is seen during the second galactose pulse. (D) TREM of 294 genes is not regulated by Pho23. The ratio of transcript levels is shown as in (C). (E) GO analysis of Pho23-sensitive and Pho23-insensitive genes. Whereas Pho23-sensitive genes are enriched for rRNA processing and ribosomal subunit biogenesis, Pho23-insensitive genes are mostly involved in DNA replication and DNA damage response.



**Figure 4.** Rpd3L binds to active promoters to deacetylate histones. (A) Rpd3 binds to promoters of TREM genes. Crosslinked chromatin from the indicated strains grown in SC medium containing glucose was precipitated with an anti-myc antibody. PCR analysis of the precipitated DNA was carried out on the promoter regions of *REI1* and *TEA1*. The signals for Rpd3-myc were quantitated and normalized to the input signal, and the ratios were graphed. Error bars show the S.D. calculated from two biological replicates, each with three technical replicates. (B) Deletion of *PHO23* did not affect Rpd3 protein levels. Total proteins extracted from wild type and *pho23Δ* cells grown in YPD were separated by SDS-PAGE and probed with antibodies indicated on the right. Rpb3 was used as a loading control. (C) Rpd3L occupancy of TREM genes was analyzed using the data sets from Drouin *et al.* (5). The graph shows the average occupancy of Sds3, a component of Rpd3L, for total genes (black), for 250 Rpd3L-sensitive genes (purple), and for 294 Rpd3L-insensitive genes (green). (D) Rpd3L deacetylates histones at TREM gene promoters. Crosslinked chromatin from the indicated strains grown in SC medium containing glucose was precipitated with anti-H3 or anti-acetyl H4 as indicated. PCR analysis of the precipitated DNA was carried out on the promoters of *REI1* and *TEA1* as in (A). A non-transcribed region near the telomere of chromosome VI was used for an internal control. The signals for acetyl H4 were quantitated and normalized to the total H3 signal, and the ratios were graphed. Error bars show the standard deviation (S.D.) calculated from two biological replicates, each with three technical replicates. (E) Loss of Pho23 delays deacetylation at the *REI1* promoter upon the second galactose incubation. Histone acetylation at the indicated time points was analyzed as in (D).





**Figure 5.** The interaction between the Pho23 PHD finger and H3K4me3 induces deacetylation and TREM. (A) The Pho23 PHD finger binds to H3K4me3. Histone peptide pull-down assays were performed with whole cell extracts expressing Pho23-myc or a PHD finger mutant, *pho23W305A-myc*, and 1  $\mu$ g of the indicated histone peptides immobilized on magnetic beads. Precipitated Pho23 protein was analyzed by immunoblot analyses with an anti-myc antibody. (B) H3K4me3 patterns of TREM genes were analyzed using the data sets from Weiner et al., (40). The graph shows the average enrichment of H3K4me3 for total genes (black), for Rpd3L-sensitive genes (purple), for Rpd3L-insensitive genes (green), and for top 25% highly transcribed genes (red). (C) Pho23 occupancy to two TREM genes was analyzed as in Figure 4A. Crosslinked chromatin from the indicated strains grown in SC medium containing glucose was precipitated with an anti-myc antibody. PCR analyses of the precipitated DNA was carried out on the promoter regions of *REI1* and *TEA1*. (D) The interaction between the Pho23 PHD finger and H3K4me3 promotes deacetylation by Rpd3L. Histone H4 acetylation was analyzed as in Figure 4D. (E) A mutation in the Pho23 PHD finger does not affect its protein levels. Total proteins extracted from wild type (WT) and a PHD finger mutant, *pho23* (W305A) cells grown in YPD were separated by SDS-PAGE and probed with the antibodies indicated on the right. Rpb3 was used as a loading control. (F) Pho23 binding to H3K4me3 is crucial for TREM. Transcript levels from *PHO23* and *pho23* (W305A) cells during carbon-source shifts were determined by RT-PCR as in Figure 2B. The ratio of transcript levels in *pho23* (W305A) cells over those in *PHO23* cells were plotted. Error bars show the standard deviation (S.D.) calculated from two biological replicates, each with three technical replicates.

Since the Set2 HMT and Set3 HDAC affected the induction kinetics of mRNAs and lncRNAs under the same conditions (33,34), we therefore analyzed the tiling-array datasets to determine whether Set2 or Set3 was involved in the regulation of TREM. Loss of Set2 resulted in rapid and strong repression during the first galactose incubation, but no difference in TREM was seen (Supplementary Figure S3C). In addition, Set3 did not play a role in the induction of TREM (Supplementary Figure S3D). These results indicate that Rpd3L is a key regulator in global TREM.

RNA sequencing also identified 408 genes that are induced more quickly during the second galactose pulse than during the first galactose incubation (Supplementary Figure S3E and F). Although most of these genes are not directly involved in galactose metabolism and were only transiently induced by galactose, they appeared to show memory of their preceding active states under these conditions (45,46). Interestingly, loss of Rpd3L resulted in defects of optimal gene activation (Supplementary Figure S3E and F), but the mechanism by which Rpd3L promotes gene activation remains to be determined.

### Rpd3L deacetylates histones at active promoters

Rpd3L is known to bind to both active and inactive promoters (5,8). To test whether Rpd3L directly binds to promoters of TREM genes, a ChIP assay was carried out using a Rpd3-18myc strain. Compared to an untagged strain, an approximately four-fold increase in Rpd3 binding was seen at the promoters of two TREM genes, *REI1* and *TEA1* (Figure 4A). This binding was also observed at the *INO1* promoter, a known target of Rpd3 (Supplementary Figure S4A). Although previous studies and our results indicate that Rpd3 directly binds to promoters of actively transcribed genes, the factors that recruit this complex to active genes have not yet been identified. Interestingly, although the Rpd3 protein level was not affected, Rpd3 occupancy was slightly reduced upon loss of Pho23 (Figure 4A and B). Within Rpd3L HDAC, Pho23 is known to interact directly with Rxt2 and Rxt3. Upon deletion of *PHO23*, these two proteins dissociated from Rpd3L (47). These findings suggest that Pho23, Rxt2, and Rxt3 proteins may contribute to Rpd3L binding to active genes. Rpd3 occupancy was also slightly reduced when *SET1* was deleted (Supplementary Figure S4B), but loss of Set2 had no effect on Rpd3 binding (Supplementary Figure S4C).

We then analyzed a published dataset to monitor global promoter occupancy by Rpd3L (5). Sds3, a component of Rpd3L, strongly bound to the 250 Rpd3L-sensitive genes in comparison to total yeast genes or to the 294 Rpd3L-insensitive genes (Figure 4C and Supplementary Figure S4D). Whereas Sds3 occupancy was the strongest at promoter regions, Rpd3 binding was seen throughout the genes, perhaps because Rpd3S binds downstream transcribed regions. To further explore the function of Rpd3L on TREM genes, acetylation of histone H4 (with an antibody that recognizes tetra-acetyl H4) was assayed by ChIP. Levels of acetylation were normalized to total histone content as measured by H3 levels. Deletion of *PHO23* increased acetylation of histone H4 at the promoters of two TREM genes, *REI1* and *TEA1* (Figure 4D). These data suggest that

Rpd3L binds directly to the promoters of TREM genes to deacetylate histones.

Next, histone acetylation patterns were analyzed in cells undergoing carbon-source shifts (Figure 4E). In wild type cells, histone acetylation at *REI1* and *TEA1* promoters was similar in raffinose and in glucose (after 120 min). At 7.5 min after the second galactose pulse, a strong reduction in histone acetylation was observed suggesting that histone deacetylation at promoters rapidly prevents recruitment or induces dissociation of RNA Pol II (Figures 2D, 4E and Supplementary Figure S4E). In raffinose and in glucose (after 120 min), acetylation at *REI1* and *TEA1* promoters was slightly higher in *pho23* $\Delta$  cells (Figure 4D, E and Supplementary Figure S4E). Interestingly, an ~6-fold increase in acetylation was seen at 7.5 min after the second galactose pulse in *pho23* $\Delta$  cells (Figure 4E and Supplementary Figure S4E). These results suggest that histone deacetylation by Rpd3L rapidly prevents RNA Pol II binding to promoters to induce TREM.

### The Pho23 PHD finger-H3K4me3 interaction induces deacetylation and TREM by Rpd3L

The Pho23 subunit of Rpd3L is known to preferentially bind H3K4me3 via its PHD finger domain *in vitro* (17,18). Peptide pulldown assays using yeast total extracts were done to confirm this interaction. Total extracts prepared from Pho23-18myc cells were incubated with beads coupled with H3 tail peptides methylated on K4 or not. Pho23 from wild type cells bound to H3K4me3, but this binding was abrogated when a tryptophan within the methyl-binding pocket of the PHD finger was mutated to alanine (W305A) indicating that the Pho23 PHD finger directly recognizes H3K4me3 (Figure 5A). We then analyzed the H3K4me3 pattern of TREM genes using a published dataset (40). Consistent with previous reports, H3K4me3 was enriched at transcription start sites. Interestingly, the 250 TREM genes regulated by Rpd3L showed high levels of H3K4me3 relative to total genes or the 294 Rpd3L-insensitive genes (Figure 5B). Although transcript levels of the 250 Rpd3L-sensitive genes were lower than those of highly transcribed genes (top 25%, 1545 genes), H3K4me3 levels were comparable at these two gene sets (Supplementary Figure S5A and Figure 5B). This suggests that elevated levels of H3K4me3 at TREM genes may contribute to Rpd3L function.

To test whether the interaction between the Pho23 PHD finger and H3K4me3 affects chromatin binding and/or the deacetylase activity of Rpd3L, ChIP assay was carried out with a Pho23-18myc or a *pho23* (W305A)-18myc strain. Interestingly, although deletion of *PHO23* reduced Rpd3 binding to TREM genes, no effect or slightly increased Rpd3 binding was seen in the *pho23* (W305A) mutant (Figure 5C). Surprisingly, increased acetylation was still observed in this mutant even though the mutation did not affect Pho23 protein levels suggesting that the interaction between the Pho23 PHD finger and H3K4me3 may stimulate the deacetylase activity of Rpd3L (Figure 5D and E). We then analyzed gene expression patterns during carbon-source shifts to investigate the effect of the Pho23 PHD finger-H3K4me3 interaction on TREM. As seen in *pho23* $\Delta$  cells, there was a significant delay in TREM in the

*pho23* (W305A) mutant indicating that Pho23 binding to H3K4me3 via its PHD finger is critical for TREM (Figures 2B and 5F).

An additional subunit, Cti6 of Rpd3L, also binds H3K4me3 via its PHD finger, but disruption of this interaction by the *cti6* (W98A) mutation had no effect on TREM (Supplementary Figure S5B and C). A recent study revealed that Rpd3L was globally re-localized to suppress RNA Pol II transcription during the entry into quiescence by gene specific repressors, including Stb3, Tod6, and Xbp1 (48). However, these factors were not required for Rpd3L-mediated TREM (Supplementary Figure S5D). Taken together, our findings indicate that the interaction between the Pho23 PHD finger and H3K4me3 induces histone deacetylation and TREM by Rpd3L.

## DISCUSSION

Upon environmental changes, cells must fine-tune their gene expression patterns to adapt. If cells have been previously exposed to the same stimulus, transcriptional memory promotes faster reactivation of required genes (Figure 6A). In addition, it is also critical for cells to effectively suppress the expression of unnecessary genes. In this study, we show, for the first time, that many yeast genes exhibit ‘memory’ of their preceding transcriptional inactive state, and this phenomenon is termed TREM (Figure 6A and B). Enhancement of transcriptional repression via TREM may save cellular energy and resources including transcription machinery by rapidly turning off unnecessary genes for cellular functions. How Rpd3L is recruited to the promoters of TREM genes remains elusive, but we found that the interaction between the Pho23 PHD finger and H3K4me3 enriched at active promoters enhanced deacetylation by Rpd3L to induce TREM (Figure 6C and D). Loss of this interaction may result in hyperacetylation and sustained expression of unnecessary genes (Figure 6E). Recent studies have suggested that transcriptional/epigenetic memory plays an important role in innate immunity. Innate Lymphoid Cells (ILCs) mediate non-specific responses to allergens and were found to be hyperactivated upon re-exposure to the same or unrelated allergens (49). Importantly, a small portion of previously trained cells will become naïve cells once the allergen is eliminated. Repression of unnecessary or hyperactivated genes by TREM is likely important to generate naïve cells from trained cells.

Many chromatin regulators, including histone acetyltransferases (HATs), HDACs, or histone methyltransferases (HMTs), do not significantly affect the steady-state levels of transcripts (50). Instead, they likely function to modulate the kinetics of gene activation or repression (13). Although Rpd3L HDAC downregulates some genes in steady-state conditions, delayed repression of many genes was observed in mutants for Rpd3L during carbon-source shifts (Figure 3C and D). Consistent with these findings, Rpd3L HDAC has been shown to affect global gene expression dynamics upon stresses. Furthermore, mutants for this complex exhibited sensitivity to heat shock and salt stress (51–53). In this study, we showed an additional role of Rpd3L inducing TREM that effectively suppresses the transcription of unnecessary genes. The greatest differences

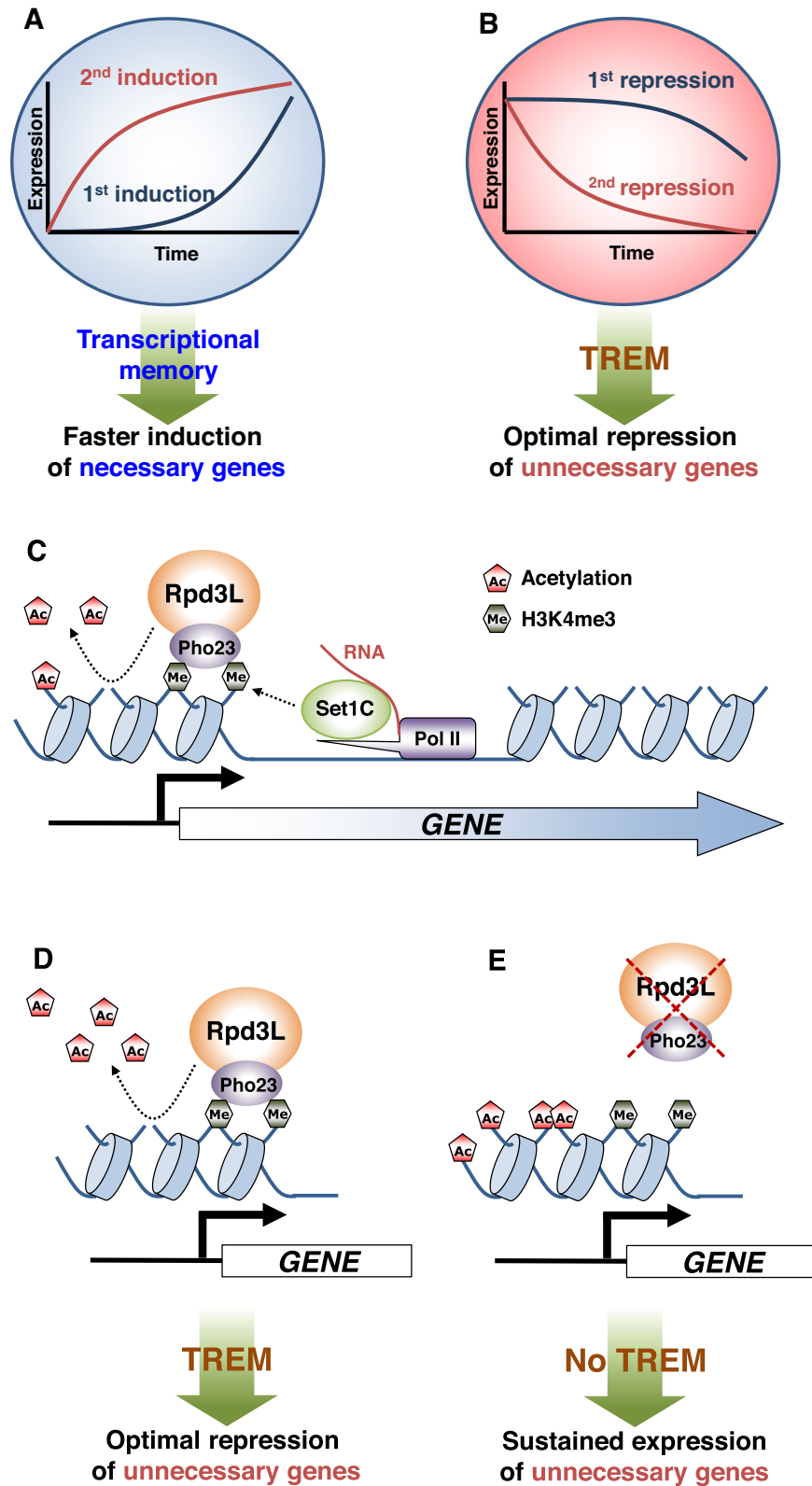
in transcript and acetylation levels between wild type and mutants for Rpd3L were seen only when the cells had been exposed previously to the same carbon-source (Figures 2, 3C and 4E).

A recent study revealed that the Rpd3L complex was required for global shut-down of transcription during quiescence entry (48). Sequence-specific transcription factors, including Xbp1 and Stb3 mediate global relocalization of Rpd3 and transcriptional shutoff. Interestingly, these factors were not required for TREM (Supplementary Figure S5D) indicating that Rpd3L uses a distinct mechanism involving the interaction between H3K4me3 and the Pho23 PHD finger to induce TREM. Although the interaction between the Pho23 PHD finger and H3K4me3 did not affect Rpd3L occupancy, the level of acetylation was higher in a Pho23 PHD finger mutant. Furthermore, loss of the interaction delayed TREM suggesting that this interaction enhances TREM by promoting HDAC activity of Rpd3L (Figure 5). Interestingly, disruption of the interaction between the Cti6/Rxt1 PHD finger and H3K4me3 had no effect on TREM (Supplementary Figure S5B and S5C). This finding suggests that the two proteins (Pho23 and Cti6) within the Rpd3L complex that bind to the same histone mark may have distinct functions in transcription.

Although H3K4me3 correlates highly with transcription frequency and is enriched at active promoters, requirement of H3K4me3 for TREM and histone deacetylation by Rpd3L suggests its negative role in transcription (15,54,55). Several previous reports have also supported a repressive role for H3K4me3 in transcription. ING2, a human homologue of Pho23, directly binds to H3K4me3 and contributes to active gene repression by recruiting mSin3-HDAC1 (56). Loss of Set1 also increases the rate of *GALI* reactivation and derepresses *RiBi* and *RP* genes during diamide stress (19,28).

H3K4me3 also acts as a binding site for the positive regulators of transcription. TAF3, a general transcription factor, binds to H3K4me3 via its PHD finger to promote transcription (57). Furthermore, several HATs, including NuA3, NuA4, and SAGA in yeast and HBO1 HAT in mammals, have H3K4me3 binding modules (17,58). Yng1 and Yng2, yeast homologues of human inhibitor of growth (ING) proteins, are subunits of NuA3 and NuA4 HATs, respectively, that have PHD fingers that specifically binds to H3K4me3 (13,17). The interaction between H3K4me3 and the Yng1 PHD finger is required for H3K14 acetylation by NuA3 and transcription of a subset of genes (59). ING4 and ING5 are subunits of HBO1 HAT that also binds to H3K4me3 via their PHD fingers to increase histone H3 acetylation (58). The dual functions of H3K4me3 in histone acetylation/deacetylation suggest that TREM may be modulated by the antagonistic functions of the HATs and HDACs that bind to H3K4me3.

An important question is why Rpd3L more actively represses transcription during the second galactose pulse compared to the first. We showed clearly that deletion of *PHO23* had a much more stronger effect on histone acetylation during the second repression (Figure 4E and Supplementary Figure S4E), perhaps due to on-going transcription in *pho23Δ* as a result of the delay in RNA Pol II dissociation (Figure 2D). Alternatively, it is also possible that



**Figure 6.** Transcriptional memory and models for regulation of TREM by Rpd3L. (A) When cells are exposed to a stimulus, the genes necessary for cellular functions are induced (first induction). Transcriptional memory upon re-exposure to the same stimulus significantly increases the rate of gene activation (second induction). (B) In contrast, unnecessary genes are repressed by a stimulus (first repression). TREM upon re-exposure to the same stimulus promotes optimal gene repression (second repression). (C) During early stage of transcription, Set1C, also termed Set1/COMPASS, is recruited by RNA Pol II and/or mRNA transcripts to methylate histone H3 at K4. The Pho23 PHD finger binds to H3K4me3 to enhance histone deacetylation by Rpd3L. (D) Hypoacetylation mediated by the Rpd3L–H3K4me3 interaction results in optimal repression of unnecessary genes by TREM. (E) Hyperacetylation by the loss of Rpd3L or by the loss of the Pho23 PHD finger–H3K4me3 interaction result in sustained expression of unnecessary genes.



Rpd3L activity could be modulated by post-translational modifications resulting from environmental changes. A recent study showed that at least two components, Sds3 and Rxt2 of Rpd3L, were extensively sumoylated upon KCl or Sorbitol stress (60). It will be interesting to determine whether this modification modulates Rpd3L function and TREM.

Studies on transcriptional memory have mostly focused on highly inducible genes including *GAL* genes and *INO1*. Here we showed that 408 genes exhibited memory of their previous active states upon galactose exposure (Supplementary Figure S3E and F). Most of these genes seem to be transiently induced by galactose as only 10 genes, *GAL1*, *GAL2*, *GAL3*, *GAL7*, *GAL10*, *GAL80*, *GCY1*, *MTH1*, *PCL10* and *FUR4*, are constitutively activated by the Gal4 activator in the presence of galactose (46). Therefore, transcriptional memory for most of the genes identified in this study likely require a distinct mechanism. Interestingly, Rpd3L promotes gene repression upon stresses and functions to induce many stress response genes (51,53). In this study, we identified 408 genes that required Rpd3L for optimal induction during the first and the second galactose incubations (Supplementary Figure S3E and F). It will be interesting to determine how Rpd3L differentially affects the two distinct transcriptional responses.

Although transcriptional memory is important for optimal induction of necessary genes or repression of unnecessary ones, some genes should not be hyperactivated or hyperrepressed by transcriptional memory. For example, circadian clock genes and cell cycle regulators are periodically induced and then repressed with the exact same kinetics. Dysregulation of these genes by transcriptional memory may result in abnormal cell cycle patterns, thus it is important to understand the mechanism that removes and/or erases transcriptional memory of these genes.

## DATA AVAILABILITY

RNA sequencing data that support the findings of this study have been deposited in ArrayExpress with the accession code E-MTAB-6551.

## SUPPLEMENTARY DATA

Supplementary Data are available at NAR Online.

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