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# **Next-generation proteasome inhibitors for cancer therapy**

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# **Abstract**

Over two decades ago, the proteasome was considered a risky or even untenable therapeutic target. Today proteasome inhibitors are a mainstay in the treatment of multiple myeloma (MM) and have sales in excess of three billion US dollars annually. More importantly, the availability of proteasome inhibitors has greatly improved the survival and quality of life for patients with MM. Despite the remarkable success of proteasome inhibitor therapies to date, the potential for improvement remains and the development and optimal use of proteasome inhibitors as anticancer agents continues to be an active area of research. In this review, we briefly discuss the features and limitations of the three proteasome inhibitor drugs currently used in the clinic and provide an update on current efforts to develop next-generation proteasome inhibitors with the potential to overcome the limitations of existing proteasome inhibitor drugs.

# **Introduction**

The proteasome is a large multi-protease complex and is responsible for the controlled degradation of more than 80% of cellular proteins (1). As such, the proteasome plays a key role in maintaining cellular protein homeostasis and regulates numerous biological processes, such as cell survival, DNA repair, apoptosis, signal transduction, and antigen presentation. Structurally, the 20S mammalian proteasome consists of a cylinder made of four stacked rings: two identical outer α-rings and two identical inner β-rings, each containing seven distinct but related subunits (Figure 1). In mammalian proteasomes, each β-ring harbors three catalytic β-subunits (β1, β2 and β5) which display different substrate preferences, referred to as caspase-like (C-L), trypsin-like (T-L) and chymotrypsin-like (CT-L) activities, respectively (2). The active sites of these catalytic subunits face inward,

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accepting peptide substrates from the proteasome's hollow inner chamber. By controlling which proteins enter its inner chamber, the proteasome is able to degrade proteins in a highly-regulated fashion (3). Proteins targeted for proteasome-mediated degradation are typically tagged by the covalent attachment of polyubiquitin chains ("ubiquitination") before being recognized and degraded by the proteasome complex. The concerted action of ubiquitination by a series of enzymes and proteolysis by the proteasome complex is collectively known as the ubiquitin-proteasome system (UPS). Over the past three decades, the UPS has been extensively explored as a target for drug discovery (4, 5), culminating in the remarkable clinical success of proteasome inhibitor (PI) drugs in the treatment of hematological malignancies including multiple myeloma (MM). Although a great amount of effort has been made to develop agents which target other UPS components such as ubiquitin ligases and deubiquitinases, to date only the proteasome has been successfully exploited as a therapeutic target to treat human disease.

Following the clinical success of proteasome-targeted therapies for cancer treatment, much effort has been made to address the limitations associated with existing PI drugs. Like almost all cancer therapeutics, cancer resistance, either acquired or de novo, is a major hurdle for PI drugs. So far, various resistance mechanisms have been reported for PI drugs in preclinical and clinical settings (6, 7) but remain unsettled. In recent years, there have been increasing attempts to design novel PIs that can overcome resistance or bypass crossresistance to existing PI drugs (8). In addition, PI drugs have shown exquisite efficacy in treating MM and other hematological malignancies, but not solid cancers (9). The lack of therapeutic efficacy of PI drugs against solid cancers has often been attributed in part to their poor pharmacokinetic (PK) profiles including their short circulation time and insufficient distribution to proteasome targets located in solid tumor tissues (10). Moreover, our understanding remains limited on how the kinetics (both the magnitude and duration) and mode of proteasome inhibition can impact the pharmacodynamic (PD, such as efficacy and safety) profiles of PI drugs. Moving forward, an enhanced the understanding of the PKs and PDs of PI drugs and of the relationship between them is needed. In this review, we provide a brief overview of three clinically used PI drugs for cancer therapy focusing on PK/PD considerations and also summarize current efforts to develop next-generation PI drugs.

# **Proteasome inhibitor drugs in clinical use**

Currently, three PIs are in clinical use, bortezomib (BTZ, Velcade®, the first-in-class PI drug with US FDA approval in 2003), carfilzomib (CFZ, Kyprolis®, the second-in-class PI drug with US FDA approval in 2012) and ixazomib (IXZ, Ninlaro®, the first oral PI drug with US FDA approval in 2015) (Figure 2). Although these PI drugs have brought tremendous improvements to the treatment of MM, earlier efforts to develop therapeutics targeting the proteasome had received considerable skepticism. This skepticism was not unreasonable, given the fundamental roles and abundant presence of the proteasome in all types of cells. Despite such skepticism, early preclinical results in models of human cancer were very promising, especially for MM and other hematological malignancies (11, 12). Propelled by exemplary academic-industrial partnerships, BTZ was successfully developed as the first-inclass PI drug with record efficiency in drug development and became a blockbuster drug in cancer therapy (13). The clinical success of BTZ has prompted the development of CFZ and

IXZ soon after. Below is a brief account of discovery and development efforts of these clinically used PI drugs.

## **Bortezomib (BTZ, PS-341, Velcade®): Rise of proteasome inhibitors as an anticancer agent**

The earliest efforts to identify specific PIs began in the late 1980's (14, 15). These early inhibitors were used to probe the function of the proteasome itself and to examine its biological role within the cell. The path towards PIs as therapeutic agents began with research into the role of the UPS in muscle wasting. Goldberg *et al.* proposed that upregulation of the UPS could explain the muscle wasting phenomenon observed in conditions such as sepsis, cancer, and burn injuries (16). They further suggested that muscle wasting could be treated with PIs by suppressing excessive proteolysis of muscle proteins. In subsequent efforts, a highly potent PI, PS-341, now known as BTZ, was identified (17). Preclinical studies soon revealed that BTZ is highly effective against various types of cancers (12, 18).

Structurally, BTZ is a dipeptide boronic acid that forms a coordinate covalent bond with the catalytic threonine residue of the proteasome's  $\beta$ 5 and  $\beta$ 1 subunits (19). As a result, BTZ displays a potent inhibitory effect on the CT-L activity and to a lesser extent on the C-L activity of the 20S proteasome (20) (Table 1). In addition to its high affinity binding to the proteasome, BTZ also demonstrated nanomolar cytotoxic potencies against a variety of cancer cell lines, in particular, those derived from MM (12, 21). These in vitro findings also translated into promising in vivo efficacies in mouse xenograft models of both hematological and non-hematological malignancies (12, 18, 22).

Prompted by strong preclinical data, several early phase clinical trials had investigated BTZ for its safety and tolerability in over 200 cancer patients by late 2001 (23). BTZ was relatively well tolerated with adverse events consisting of low-grade fever, fatigue, thrombocytopenia, and in some patients, peripheral neuropathy. BTZ soon received US FDA fast-track approval for the treatment of relapsed and refractory MM in 2003, based on the outstanding efficacy results from the phase II open-label SUMMIT trial (24). BTZ's clinical efficacy was further proven in combination with other therapeutic agents, leading to a full US FDA approval in 2005 as a second-line MM therapy (25) and in 2008 as a first-line therapy for patients with newly diagnosed MM (26). BTZ also received approval for use in patients with previously-treated mantle cell lymphoma from the US FDA in 2014 and from the European Medicines Agency in 2012 (27). Today BTZ is commonly used as a first-line agent in combination with other anti-myeloma agents, for example, immunomodulatory agents such as thalidomide or lenalidomide, cytotoxic drugs like melphalan, and glucocorticoids such as dexamethasone or prednisone. BTZ has also served as a proof-ofconcept paving the way for two additional US FDA-approved PI drugs. While a number of clinical trials have investigated the possibility of extending the therapeutic effects of BTZ beyond MM, the results so far have been disappointing (10, 28).

BTZ is currently formulated for intravenous or subcutaneous injections (as a lyophilized powder with mannitol). An earlier study explored the possibilty of oral administration (18), but this approach was not further pursued due to low bioavailability  $(\sim 11\%$  in mice (29)). BTZ was shown to have rapid and wide biodistribution profiles in preclinical studies (12).

Interestingly, a recent publication reported that the biodistribution of BTZ in various tissues is impacted by the tissue density of the proteasome which BTZ tightly and reversibly binds to (30). This study further demonstrated that saturation of proteasome binding sites at high doses of BTZ can contribute to non-dose-proportional PK behaviors of BTZ. Similar to these preclinical findings, the results from a phase I clinical trial also indicated that BTZ displays a large volume of distribution (> 400 L) in patients with solid cancers (31). Subsequent clinical trials reported similar findings on the PK profiles of BTZ (detailed reviews available (32), Table 2). When the metabolism of BTZ was investigated using human liver microsomes, BTZ was converted to pharmacologically inactive metabolites primarily via oxidative deboronation, mediated by multiple cytochrome P450 enzymes (CYPs) with their relative contribution in the following order, CYP3A4, CYP2C19, CYP2D6, CYP1A2 and CYP2C9 (33, 34). Consistent with these results, the systemic exposure of BTZ was increased and decreased with co-administration of ketoconazole (a CYP3A4 inhibitor) and rifampicin (a potent CYP3A4 inducer), respectively (35, 36). On the other hand, co-administration of omeprazole (a CYP2C19 inhibitor) had only a minimal impact on the PK profiles of BTZ in patients with advanced solid cancers (37). Given the importance of hepatic metabolism in the elimination of BTZ, patients with hepatic dysfunction may require dose adjustment, but no guideline or recommendation is available yet. In preclinical studies, the majority of the radio-labeled BTZ was excreted into bile duct  $(-66%)$  with the remainder excreted into the urine (12). In a clinical study, patients with renal impairment responded to BTZ therapy similar to those with normal renal function (38).

Being the first-in-class PI drug, BTZ also became the first to be explored for the relationship between proteasomal inhibition (both the magnitude and duration) and anticancer efficacy in vivo. When the PK/PD profiles were compared in mouse xenograft models which responded differently to BTZ, the results indicated that both drug penetration and proteasome inhibition were much attenuated in mice carrying poorly perfused xenograft tumors which did not respond to BTZ treatment (39). These findings were applied to the development of nextgeneration PI drugs as well as novel drug delivery systems. For example, in order to modulate the magnitude and duration of proteasome inhibition by BTZ, several groups investigated the potential utility of nanoformulations including the design of prodrugs or bone-targeting moieties (40–42). However, the results from these efforts have yet to be translated into clinical application.

Despite the remarkable clinical success achieved by BTZ, several limitations have emerged. Like many other cancer therapies, a subset of patients responds to BTZ therapy while others do not. Even those who initially respond to BTZ therapy almost inevitably develop resistance over time (43). The median duration of clinical response was typically about 12 months (44, 45). The mechanisms underlying cancer resistance to BTZ have been actively investigated, yielding various potential strategies to overcome resistance including the development of PI drugs based on novel structural scaffolds (46). In addition to drug resistance, BTZ therapy is associated with the severe adverse effect of peripheral neuropathy, which was later attributed to its off-target interactions with a serine protease (HtrA2/Omi) involved in neuronal survival (47, 48). This dose-limiting toxicity of BTZ was substantially alleviated by administering the drug via subcutaneous injection (49) or by

implementing once-weekly dosing (50, 51). These issues prompted the development of nextgeneration PIs with more favorable safety profiles and fewer off-target interactions.

# **Carfilzomib (CFZ, PR-171, Kyprolis®): Novel mode of proteasome inhibition**

The second-in-class PI drug CFZ (Kyprolis®, developed by Proteolix/Onyx Pharmaceuticals and now available through Amgen) received its fast-track US FDA approval in 2012, based on its efficacy and safety results in patients with relapsed and refractory MM (52). The development of CFZ was initiated by the identification of the proteasome as the major target of the natural product epoxomicin (53). The design and synthesis of a biotinylated chemical probe led to the discovery that the epoxyketone group of epoxomicin covalently binds to the proteasome with an exceptional selectivity over other types of proteases. Subsequent efforts were made to build a library of epoxomicin analogs and identified a lead candidate, YU-101, based on their potent anticancer activities (54, 55). Later, YU-101 was further modified to yield CFZ which displayed very promising preclinical results (56).

Structurally, CFZ is a tetrapeptide harboring an epoxyketone as its pharmacophore and it forms an irreversible, covalent bond with proteasome catalytic subunits, predominantly β5 (Table 1). The exquisite selectivity of CFZ toward the proteasome is achieved by the formation of two covalent bonds, one with the catalytic Thr1Oγ nucleophile and a second with the adjacent Thr1N amino group. Based on high-resolution co-crystal structures between the proteasome and various epoxyketone-based inhibitors, the formation of a 1, 4 oxazepano adduct has been identified between the epoxyketone of these inhibitors and the catalytic threonine residue within the  $β5$  active site (57, 58). Due to this proteasomeselective mechanism of action, CFZ has afforded much improved safety profiles. Additionally, the irreversible nature of the interaction between CFZ and the proteasome allows it to achieve sustained and durable proteasome inhibition, which may contribute to its efficacy even in the presence of resistance to BTZ (59). Of note, the irreversible modification of the proteasome target by CFZ or other peptide epoxyketones have also been exploited to develop activity-based probes (ABPs) that allow for covalent labeling of functional proteasomes or profiling of proteasome activity under diseased conditions or in response to cellular stimuli (60). Such ABPs may be potentially used as diagnostics to detect disease or monitor response to therapy (61–63).

In 2005, phase I clinical trials with CFZ began and successfully identified the phase II recommended doses and dosing schedules that were further investigated in subsequent clinical trials (64, 65). From early on, it was observed that a subset of patients who did not respond to BTZ-based therapy could still benefit from CFZ. Recently completed phase III clinical trials provided further evidence that CFZ-containing regimens can be effective against relapsed MM, including those patients who relapsed after receiving prior therapies including BTZ (66, 67). In particular, the phase III ENDEAVOR trial was a head-to-head comparison of CFZ and BTZ in patients with relapsed or refractory MM (67). In this trial, CFZ was shown to be superior to BTZ in extending overall survival of patients in the relapsed setting. In addition to its superior efficacy, the CFZ-containing regimen showed much improved safety profiles, especially in terms of peripheral neurotoxicity. While cardiovascular events were observed in CFZ-treated patients, no evidence was found of

cumulative cardiac injury or ventricular dysfunction in the CFZ group. With these outstanding outcomes, CFZ is now part of a standard of care for relapsed or refractory MM and will likely evolve as part of frontline therapy in the near future.

When the PK profiles of CFZ were initially assessed in rats, the results indicated very rapid clearance, short circulation time (plasma half-lives less than 1 h) and wide biodistribution (56, 68). At all dose levels tested, the clearance of CFZ exceeded rat hepatic flow. In line with these *in vivo* results, CFZ was found to be rapidly metabolized in rat hepatocytes, but also in rat blood and in homogenates prepared from other tissues (68). The major metabolites of CFZ were peptide fragments and the diol of CFZ, formed via peptidases and epoxide hydrolases, respectively. Similar to these preclinical results, early phase clinical trials also indicated that CFZ displays very short half-lives  $(12 \sim 40 \text{ min})$ , rapid systemic clearance (116 ~ 263 L/h) and large volumes of distribution at steady state (9 ~ 28 L) at all dose levels tested (11, 15, 20 and 27 mg/m<sup>2</sup>) (65, 69) (Table 2). Plasma clearance of CFZ in humans also exceeded hepatic blood flow, further indicating a considerable contribution of extrahepatic mechanism to the overall elimination of CFZ (70). Consistent with in vitro results showing only minor roles of CYP-mediated metabolism or renal excretion in the overall disposition of CFZ, the PK profiles of CFZ were not impacted by co-administration with CYP inhibitors or inducers (70) or by renal impairment (71, 72).

Along with its structural and mechanistic differences from BTZ, CFZ offers a treatment option with greatly reduced risk of peripheral neuropathy. CFZ treatment is associated with different types of adverse effects including cardiovascular complications, hypertension, and heart failure, but overall these adverse effects are reversible and manageable with careful monitoring (73). CFZ shares several adverse events with BTZ such as anemia, fatigue, and diarrhea. One potential downside of CFZ is its poor aqueous solubility. Despite the incorporation of a N-terminal morpholine ring to improve solubility, CFZ remains practically insoluble and the current formulation requires the use of a 50-fold excess of a  $\beta$ cyclodextrin derivative to prepare an injectable solution. As with BTZ, CFZ is not suitable for oral administration and is susceptible to drug resistance in clinical use. These problems have prompted the development of additional next-generation PIs.

#### **Ixazomib (IXZ, MLN9708, Ninlaro®): First oral proteasome inhibitor drug**

With both BTZ and CFZ being administered only via intravenous or subcutaneous injection, there has been an unmet need for orally available PI drugs. In 2015, IXZ (Ninlaro®, Takeda Pharmaceuticals Limited) received its US FDA approval as the first orally bioavailable PI drug. Based on the promising efficacy observed in preclinical studies, IXZ rapidly advanced to clinical trials (74, 75). IXZ, orally administered once a week (4 mg on days 1, 8, and 15 of 28-day cycles) in combination with lenalidomide plus dexamethasome, has now been approved in 40 countries including USA and the EU for the treatment of MM patients who have received one prior therapy, based on the superior results in clinical trials (76, 77). IXZ also displayed a good safety profile with no significant inhibitory effect on HtrA2/Omi, a non-proteasomal target of BTZ previously linked to peripheral neuropathy (74, 77, 78). IXZ is currently being investigated in several clinical trials as a single agent and in combination with other agents against multiple types of cancer [\(https://clinicaltrials.gov\)](https://clinicaltrials.gov).

Structurally, IXZ is a capped dipeptide boronic acid and preferentially and reversibly inhibits the CT-L activity of the proteasome as well as the C-L and T-L activities at high concentrations with potencies similar to BTZ (74). However, the dissociation half-life of IXZ was significantly shorter than that of BTZ (18 vs. 110 min), which may account for the faster recovery of proteasome activity (IXZ vs. BTZ, 69 vs. 20%) in cell-based assays and its larger volume of distribution in mice (IXZ vs. BTZ, 20.2 vs. 4.3 L/kg) (75). Although not examined, some of these differences may have contributed to the improved safety profiles of IXZ over BTZ, despite sharing the boronic acid residue as their pharmacophore.

For oral administration, IXZ is formulated as a citrate ester prodrug (MLN9708) which is rapidly hydrolyzed to the pharmacologically active form (MLN2238) under physiological conditions (75). In phase I clinical trials, orally administered IXZ was rapidly absorbed (mean T<sub>max</sub>,  $0.5 \sim 1$  h) and had a long terminal half-life (mean T<sub>1/2</sub>,  $3.3 \sim 7.4$  days in twiceweekly dosing;  $3.3 \sim 11.3$  days in weekly dosing) (76, 79) (Table 2). When tested using recombinant CYP enzymes in vitro, IXZ was metabolized by multiple CYPs at concentrations exceeding those observed clinically and deemed unlikely to incur potential drug-drug interactions (80). Yet, co-administration with rifampin, a strong CYP3A inducer, led to substantial changes in the PK profiles of IXZ ( $C_{\text{max}}$  and AUC decreased by 54% and 74%, respectively) (80). Overall, the PK profiles of IXZ showed dose-proportional behaviors. Using the compiled clinical data from 755 patients treated with IXZ, Gupta et al. conducted population PK analyses and reported the following average estimates for PK parameters: absolute bioavailability (58%), volume of distribution (543 L), terminal phase half-life (9.5 days), and systemic clearance (1.86 L/h) (81). Systemic exposure to IXZ was affected by moderate or severe hepatic impairment (82), but not by renal impairment (81). While IXZ has the potential to greatly improve the quality of life for patients with MM, its therapeutic advantages over BTZ or CFZ have yet to be investigated in randomized clinical trials.

# **Proteasome inhibitors in clinical and pre-clinical development**

Following the huge clinical success of existing PI drugs, there have been extensive efforts to develop PIs with improved efficacy and pharmaceutical properties. Towards the goal of developing additional FDA-approved PIs, a number of PIs have been identified over the years but only three PIs are currently under evaluation in clinical trials (Figure 3).

### **Oprozomib (OPZ, ONX-0912, PR-047)**

Oprozomib (OPZ) is a structural homologue of CFZ and is currently being investigated in several clinical trials including a multicenter phase Ib/II trial for patients with MM. The development of OPZ was conceived with the intent of developing an orally available PI drug by modifying the chemical structure of CFZ. During preclinical development, in addition to standard 20S proteasome inhibition assays, compounds were evaluated for their ability to kill cells expressing the P-glycoprotein efflux transporter from an early stage (83). In vivo inhibitory assays of tissue CT-L activity in mice following oral dosing were also utilized, as were *in vitro* metabolic stability assays using mouse and human liver microsomes. With guidance from these assays, CFZ was truncated to a tripeptide epoxyketone and its three

amino acid residues were subsequently optimized to yield OPZ, a compound which maintained its selective inhibitory effect on the CT-L activity of purified human 20S proteasomes and which had an antitumor efficacy equivalent to CFZ in mouse xenograft models (84).

Following these initial findings, the therapeutic potential of OPZ was further examined in various in vitro and in vivo models. When tested using two different human MM cell line models, OPZ showed proteasome inhibitory potency similar to CFZ. OPZ was effective in decreasing the viability of MM cells in vitro and effectively suppressed the growth of in vivo xenograft tumors containing human MM cells (85). Subsequent to these positive preclinical results, OPZ has advanced to early stage clinical development and the results from the published phase Ib/II clinical trials have indicated efficacy in patients with hematologic malignancies; overall response rates of 25% and 27.3% were observed in patients with MM relapsed after receiving BTZ- and CFZ-based therapies, respectively (86, 87). During the phase Ib trial, adverse events such as nausea and vomiting were also noted and these likely arise from high concentrations of OPZ in the gastrointestinal tract, potentially resulting in proteasome inhibition in non-targeted tissues (88). To alleviate such side effects, an extended release formulation of OPZ is currently being utilized for the phase Ib/2 clinical study (86).

Being developed as an orally available PI drug, the intestinal absorption profiles of OPZ were investigated in preclinical species. The absolute oral bioavailability of OPZ was assessed to be as high as 39% in rodents and dogs (84) and OPZ was found to be rapidly absorbed from the duodenum and jejunum of rodents and dogs  $(T_{max}: 2~3~min)$ . Once OPZ reaches systemic circulation, it is rapidly cleared via hepatic and extrahepatic metabolism, displaying a plasma half-life of less than 1 h typically (89). Using liver microsomes, microsomal epoxide hydrolase (mEH) was found to be the major enzyme responsible for the metabolic clearance of OPZ (90). However, the expression of mEH is not limited to the liver, but is found in many other tissues. As was noted for CFZ, the plasma clearance of OPZ (~210 mL/min/kg in rats) was found to exceed hepatic blood flow. These results indicate significant extrahepatic contribution to the metabolism of OPZ (90).

Successful development of OPZ may yield a second orally-available PI therapy. Initial results from a phase I clinical trial however indicated that OPZ may have minimal efficacy in patients with solid cancers (89). Similar to other PI drugs, novel drug delivery systems may be implemented to alter the pharmacokinetics of OPZ in vivo and broaden its therapeutic utility.

### **Delanzomib (CEP-18770)**

Delanzomib is a reversible and orally bioavailable structural analogue of BTZ with the boronic acid as its pharmacophore (Figure 3). Delanzomib mainly inhibits the CT-L activity of the proteasome and to a lesser extent the C-L activity (91). Delanzomib displayed slightly reduced potency against a panel of MM and solid cancer cell lines as compared to BTZ, but was more selective to cancerous cells over normal epithelial cells than BTZ (91). Potent anti-MM efficacy was observed via both intravenous and oral administration of delanzomib as a single agent and in combination with other anti-myeloma agents (e.g., BTZ, melphalan, or dexamethasone plus lenalidomide) in mice bearing xenograft tumors composed of human

MM cells (91–93). In an initial phase I clinical trial (0.1  $\sim$  1.8 mg/m<sup>2</sup>, intravenously administered on days 1, 4, 8 and 11 in 21-day cycle), no significant peripheral neuropathy was observed in patients with advanced solid cancers or MM. However, severe skin toxicity was observed as a dose-limiting side effect in a number of patients (53%, any grade; 31%, Grade 3) (94). In a separate phase I/II clinical trial, a higher dose and more frequent dosing schedule  $(2.1 \text{ mg/m}^2)$ , intravenously administered on days 1, 8, and 15 in 28-day cycle) was investigated, but led to no significant improvement in clinical efficacy. As a result, further development of delanzomib for MM therapy was discontinued (95). The reasons for apparent inconsistencies between preclinical and clinical studies remain unclear. However, a possible opportunity remains in identifying specific patient populations or alternative diseases for which delanzomib therapy could prove useful. For example, delanzomib could be a potent antiangiogenic agent or an inhibitor of RANKL-induced osteoclastogenesis based on available *in vitro* data (91).

One notable feature of delanzomib is its long duration of proteasomal inhibition in tumoral tissues when evaluated in mice carrying MM xenografts, potentially indicating enhanced distribution to tumor tissues and/or slow dissociation from tumor proteasome target sites. After intravenous administration at the maximum tolerated dose, delanzomib achieved a greater magnitude of proteasome inhibition and a slower recovery of tumoral CT-L activity than BTZ. The extent of proteasomal inhibition in tumoral tissues exceeded 50% in delanzomib-treated mice at 72 h post-dose (91). This is in contrast to clinical observations in which maximal proteasome inhibition (54% at 1.8 mg/m<sup>2</sup>) was achieved within 1 h and recovered to the baseline within 24 h in the dose range tested  $(0.4 \sim 1.8 \text{ mg/m}^2)$  (94).

With regards to its PK profiles, delanzomib was quite comparable to BTZ in preclinical species (29). Delanzomib was slowly eliminated ( $T_{1/2}$ , 71 h and 86 h in rats following intravenous and oral administration, respectively; 15 h and 53 h in mice following intravenous and oral administration, respectively). Delanzomib is highly protein-bound across species (mouse, rat, dog: 99.9%; human: 99.8%), and was found to have oral bioavailability of 54% and 39% in rats and mice, respectively (29). In a clinical trial, intravenously administered delanzomib showed a multi-exponential decay with a rapid initial distribution phase, followed by a slow elimination phase (mean  $T_{1/2}$ , 34 ~ 100 h) with a large volume of distribution (mean  $V_d$ , 55~ 106 L/m<sup>2</sup>) in the dose ranges tested (0.40 ~ 1.5 mg/m<sup>2</sup>) (94). The low microsomal stability of delanzomib ( $T_{1/2}$ , > 40 min in mouse, dog, and human; 15 min in rat) suggests the involvement of phase I metabolism, but delanzomib itself did not inhibit major CYPs at concentrations up to 30 μM (94).

#### **Marizomib (NPI-0052, Salinosporamide A)**

Marizomib (salinosporamide A) is a natural product derived from marine actinomycete bacteria (Salinospora tropica) and is currently under development as a novel orally active PI (96). Unlike other peptide-based PIs, marizomib has a  $\beta$ -lactone- $\gamma$ -lactam bicyclic ring structure without a linear peptide backbone (97, 98). Marizomib irreversibly inhibits proteasome activities at nanomolar concentrations (preferentially inhibiting the CT-L activity, followed by the T-L activity and to a much lesser extent the C-L activity) in MM cells and purified proteasomes (Table 1) (99–101). In in vivo studies with intravenously

administered marizomib, proteasome activities were irreversibly inhibited and slowly recovered. The time courses of recovery varied among various tissues, with inhibition persisting for as long as 72 h in blood (100, 102).

Marizomib more effectively induced apoptosis in tumor cells from MM and chronic lymphocytic leukemia (CLL) patients, while displaying a lower toxicity to normal cells than BTZ (100, 103). Additionally, marizomib was highly potent in MM cells from patients who were refractory to BTZ and was found to act synergistically with BTZ and lenalidomide in vitro and in vivo  $(100, 104)$ . While the overall response rate of marizomib as a single agent was merely 11% in phase I clinical trials (105), the response rate substantially improved to 53% when combined with pomalidomide and low-dose dexamethasone in patients with refractory or relapsed MM, without significantly increasing the incidence of adverse events (106). Marizomib has however been associated with CNS adverse events (e.g. visual and auditory hallucination, unsteady gait, confusion), suggesting its ability to penetrate the blood-brain barrier (107). As a single or combination agent with a weekly or twice weekly dosing schedule, marizomib is being investigated in phase I/II trials for use in a broad range of advanced hematological malignancies including MM and refractory lymphoma, as well as solid cancers (<https://clinicaltrials.gov>).

When marizomib was orally administered using a formulation intended for intravenous administration, the bioavailability was  $30 \sim 40\%$  in monkeys (98). In a phase I clinical trial  $(0.075 \sim 0.6 \text{ mg/m}^2)$ , intravenous infusion over 120 min on days 1, 4, 8, 11 in 21-day cycle,), marizomib showed a short half-life ( $2 \sim 33$  min) with a large volume of distribution (18  $\sim$ 129 L) and rapid clearance  $(54 \sim 1339 \text{ L/h})$  (105), which indicates the involvement of extrahepatic clearance in the overall elimination of marizomib. Careful examination may be warranted to gain a better understanding of PK/PD profiles of marizomib in normal vs. tumor tissues. Overall, marizomib displayed dose-proportional PK profiles (98, 105), but detailed information on its metabolism and excretion is not available yet.

In another interesting line of investigation, marizomib induced apoptosis in glioma cells with minimal cytotoxic effects on normal neuronal cells (108). Although intravenously administered marizomib (0.15 mg/kg) did not result in any significant proteasomal inhibition in the brain of mice and rats (102), orally administered marizomib ( $0.55$  mg/m<sup>2</sup> twice weekly and  $0.64 \text{ mg/m}^2$  weekly) effectively inhibited proteasome activities in the CNS of rats and monkeys (108). Using  ${}^{3}$ H-labeled marizomib, it was shown that marizomib concentrations in the CNS were approximately one-third of the steady-state blood concentration. These results suggest that marizomib can penetrate the blood-brain barrier in multiple species, providing a rationale for further exploring its potential to treat brain cancer (108). Marizomib is currently being assessed in a phase III trial for the treatment of malignant glioblastoma in combination with temozolomide and radiotherapy (NCT03345095, [https://clinicaltrials.gov\)](https://clinicaltrials.gov).

# **Drug resistance (acquired or de novo): Major hurdles in improving PI therapy**

Common in many cancer therapies, the issues of drug resistance also pose major hurdles for PI therapies. MM patients who initially respond to PI therapy almost inevitably develop resistance over time (acquired resistance). Once patients relapse with MM refractory to PIbased therapy, there are currently few effective treatment options left. While a subset of MM patients responds well to PI therapy, others do not (de novo resistance). Several potential mechanisms for resistance to PI therapy have been proposed using cell-based model systems. Yet, those mechanisms await further validation in patients with MM and also in patients with solid cancers. For the lack of clinical benefits of PI therapy for solid cancers, it has been postulated that active PI drugs may have insufficient access to the proteasome target located in solid cancer cells (related to the PK issues). This possibility was supported in part by the preclinical results showing effective tumor growth suppression following direct intratumoral injection of PI drugs (12, 109). In addition, intravenous dosing of BTZ was effective in mice harboring highly perfused xenograft tumors, but not poorly perfused ones (39). Alternatively, it was also proposed that solid cancer cells may be inherently less sensitive to PI therapy than MM cells known for their elevated levels of proteotoxic stress or ER stress (110, 111). To tease out why patients with solid cancers do not benefit from PI therapy, it would be necessary to develop PI drugs that can afford sufficient access to the proteasomes in solid cancer cells and/or to develop targeted drug delivery systems.

Current understanding of resistance mechanisms for PI drugs, although not complete, has provided important platforms to screen for PI drugs that can potentially overcome resistance to existing PI drugs. Several reports observed the presence of mutations in the PSMB5 gene encoding the β5 catalytic subunit from cancer cell line models resistant to BTZ and low levels of Xbp1, a key regulator of one arm of the unfolded protein response (UPR), in primary cells isolated from MM patients following BTZ therapy (83, 112–114). For cancer cell line models resistant to CFZ and epoxomicin, the upregulation of P-glycoprotein was reported to be causally linked to drug resistance (115, 116). This information provided important guidance during the development of another epoxyketone-based PI, OPZ (83, 84). The screening and optimization processes for OPZ and related compounds included the testing in cell lines expressing P-glycoprotein.

# **Development strategies for next-generation proteasome inhibitors**

As discussed above, the discovery of next-generation PIs with improved PK/PD profiles could improve clinical outcomes for MM patients (especially those with resistance to existing PI therapy) and extend therapeutic benefits to patients with solid cancers where existing PI drugs have proved largely ineffective. To achieve this goal, the following development strategies have been actively explored. Given that comprehensive reviews are already available on the first two strategies, we focused on the recent efforts to develop nonpeptide-based PIs.

# **Immunoproteasome-selective inhibitors**

The immunoproteasome (iP) is a variant of the constitutive proteasome in which the constitutive catalytic subunits β1, β2 and β5 are replaced by their respective inducible counterparts β1i, β2i and β5i, under inflammatory conditions and certain pathological states including cancer. By targeting the iP, it may achieve more selective inhibition of the proteasomal activity in cancer cells, thereby widening the therapeutic window. Although iP inhibitors have been studied in the preclinical setting, to date none have entered clinical trials (117). As the iP is strongly implicated in inflammatory pathways, iP-selective inhibitors are currently being investigated as potential anti-inflammatory agents. Detailed reviews on iP inhibitors are already available (118–120)).

#### **Peptide-based proteasome inhibitors**

The vast majority of existing PIs utilize a peptide backbone and an active warhead that interacts with the catalytic Thr residues of β-subunits with different mechanisms of action (e.g., aldehydes, vinyl sulfones or esters, boronates, epoxyketones, β-lactones). With the successful clinical development of the peptide boronates (BTZ and IXZ) and epoxyketone (CFZ), intense efforts have been underway to further refine the structure-activity relationship (SAR) and to identify compounds with optimal pharmacological profiles among peptidebased proteasome inhibitors. For further information on peptide-based PIs, comprehensive reviews are already available (121, 122).

#### **Non-peptide-based proteasome inhibitors**

From one of the earliest efforts to identify structurally-novel PIs via high-throughput screening, PI-083 was identified as a non-peptide PI (Figure 4) (123). Utilizing a 2 chloro-1,4-naphthoquinone scaffold, PI-083 preferentially inhibited the CT-L activity of the 20S proteasome ( $IC_{50}$ : 1.0 µM) and inhibited T-L and C-L activities at slightly higher concentrations (IC<sub>50</sub>: 4.5  $\mu$ M for both). When tested against a panel of 10 solid cancer cell lines, PI-083 exerted cytotoxic effects with  $IC_{50}$  values ranging from 1.7 to 11  $\mu$ M. PI-083 was also effective in suppressing in vivo tumor growth in mouse xenograft models at a dose of 1 mg/kg twice weekly. Based on docking results and the compound's SAR, it is postulated that PI-083 may act as a covalent PI with the chlorinated 2-carbon undergoing nucleophilic attack by the proteasome's catalytic threonine residue (124). Recovery of proteasome activity following incubation with PI-083 was slow, with only partial recovery of activity after 18 h. Attempts to improve PI-083's inhibitory potency were generally unsuccessful and the SAR was highly sensitive to modification.

A subsequent report from the same group identified PI-1840 (Figure 4), a structurallyunrelated non-peptide compound which potently and selectively inhibited the CT-L activity of the 20S constitutive proteasome (IC<sub>50</sub>: 27 nM) (125). PI-1840 showed no appreciable inhibition of 20S proteasome T-L or C-L activity and had an  $IC_{50}$  value of greater than 1  $\mu$ M against the CT-L activity of the iP. Analysis via mass spectrometry and dialysis confirmed that PI-1840 acts as a fully-reversible inhibitor. A panel of solid cancer cell lines displayed varying degrees of sensitivity to PI-1840 (IC<sub>50</sub>:  $2.2 \sim 45.2 \mu M$ ), and the cytotoxic potency appeared to correlate with the degree of proteasome inhibition achieved by PI-1840. When tested in mice bearing MDA-MB-231 human breast cancer xenografts, PI-1840 (150 mg/kg

daily via intraperitoneal injection) effectively suppressed tumor growth, in contrast to no appreciable suppression in the control groups that received either BTZ (1 mg/kg twice weekly via intraperitoneal injection) or the vehicle only. No observable toxicity was noted in animals receiving high doses of PI-1840. The safety profiles observed with PI-1840 may be related to its high degree of selectivity for the constitutive β5 subunit relative to the iP subunit β5i and its lack of inhibition of T-L or C-L activities. Given that the existing PI drugs tend to target both β5 and β5i subunits with relatively low selectivity, it awaits further investigations to determine whether the selective inhibition of β5 by PI-1840 may be advantageous or disadvantageous in terms of anticancer efficacy. The PK profiles of PI-1840 have not yet been published.

Another non-peptide PI dubbed G4-1, based on a tri-substituted pyrazole scaffold, was reported by our own research group (Figure 4) (126). Identified via the combination of structure-based virtual screening and *in vitro* kinetic assays, G4-1 inhibits both  $\beta$ 5 and  $\beta$ 5i catalytic activities with IC<sub>50</sub> values of 1.6 and 2.4 μM, respectively. β1 and β1i subunits (C-L activity) were also inhibited at low micromolar concentrations, with minimal inhibition of T-L activity. G4-1 exerted cytotoxic effects against a variety of solid cancer and MM cell lines, regardless of acquired resistance to BTZ and CFZ. Further structural analyses indicated that G4-1 is a reversible, non-covalent inhibitor. As expected from its non-peptidebased structure, G4-1 displayed much improved in vitro metabolic stability over BTZ or CFZ when tested using mouse and human liver microsomes. In a mouse xenograft model of human prostate cancer, G4-1 (5 mg/kg, twice-weekly) was effective in suppressing tumor growth with no overt signs of toxicity. Additional PK or PD profiles of G4-1 have not yet been published.

In addition to those described above, there have been several other recent reports of efforts to develop non-peptide PIs but further investigations are still needed to validate their mode of interaction with the proteasome, their extent of interaction with non-proteasomal targets and their in vivo efficacy. While there is also a body of research covering peptide-based noncovalent PIs, such as those described by Blackburn *et al.* (127, 128), it is expected that these compounds will be susceptible to the same rapid, often extrahepatic, clearance as existing peptide-based PIs. Peptide-based PIs may also be less likely to penetrate poorly-perfused tumors due to either their physiochemical properties or their interactions with efflux transporters (129). Moving forward, significant research efforts will be required to identify non-peptide PIs which display optimal PK/PD profiles and suitability for clinical use.

# **Conclusion**

With the successful development of BTZ, CFZ, and IXZ, proteasome inhibition has been firmly established as an effective treatment strategy for hematological malignancies and for MM, in particular. While these PI drugs have dramatically improved outcomes for numerous patients with MM, extensive clinical data also indicate that there remains much room for improvement, especially with regards to drug resistance, rapid metabolic inactivation and short circulation time, dose-limiting toxicities and poor efficacy in other cancer types. To improve upon existing PI drugs, a number of next-generation PIs are currently being investigated in multiple clinical trials. With data accumulating from new PI drug candidates,

it has become increasingly evident that the clinical efficacy of PI drugs is impacted not only by their inhibitory potency, but also by the mode, extent and duration of proteasome inhibition. Moving forward, it is critical to carefully examine the PK and PD profiles of PI drug candidates in order to successfully to bridge the gap between initial preclinical results and eventual clinical outcomes. So far, early clinical data with next-generation PI drug candidates suggest that novel approaches including previously unexplored structural scaffolds may be needed to address the limitations and to expand the utility of existing PI drugs. In addition, alternative targets in the UPS (other than the catalytic subunits of the proteasome) have presented promising therapeutic potential. Preclinical evaluation of compounds targeting other broadly acting components of the UPS is underway (130, 131). In particular, deubiquitinases (DUBs), an essential component in the UPS, have emerged as a novel target in cancer therapy, especially for cancers refractory to PI drugs. Further investigations are ongoing to develop therapeutic agents targeting non-proteasomal components of the UPS, on their own or in combination with PI drugs. With continuing efforts, it is hoped that next-generation PIs with improved PK/PD profiles and novel therapeutic agents targeting the UPS will eventually be developed to treat patients with MM as well as those with other types of cancer.

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# **Abbreviations**





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# **Figure 1.**

The structure and function of 26S proteasome in the ubiquitin-proteasome system (UPS). Proteins targeted for proteasome-mediated degradation are typically tagged by the covalent attachment of polyubiquitin chains of at least 4 ubiquitin (Ub) moieties ("ubiquitination"). This ubiquitination is carried out by the concerted action of three distinct enzymes, E1 (Ub activation), E2 (Ub conjugation), and E3 (Ub ligation). Subsequently, ubiquitinated proteins are recognized, unfolded and de-ubiquitinated by the lid of 26S proteasome (19S regulatory particles composed of ATPase and non-ATPase subunits). The proteolysis takes place at the inner chamber inside the 20S core, generating short peptide fragments of typically 2 to 24 amino acid residues. The 20S core consists of two outer α rings and two inner β rings, each containing seven distinct subunits. Each β ring harbors three catalytic β-subunits (β1, β2 and β5) which display different substrate preferences and their activities are commonly referred to as caspase-like (C-L), trypsin-like (T-L) and chymotrypsin-like (CT-L) activities, respectively. Among the three catalytic β-subunits, β5 subunit is the major target of current proteasome inhibitor drugs via their interactions with the catalytic threonine (Thr) residue.



# **Figure 2.**

Structures of proteasome inhibitors in clinical use





Structures of proteasome inhibitors undergoing clinical trials



**Figure 4.** 

Structures of non-peptide proteasome inhibitors

# **Table 1**

Proteasome inhibitors in clinical use or under clinical development: their interactions with the proteasome target Proteasome inhibitors in clinical use or under clinical development: their interactions with the proteasome target



 $^2\!$  Purified human ery<br>throcyte 20S proteasomes (100) Purified human erythrocyte 20S proteasomes (100)

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 $^3\!$  Purified human 20S prote<br>asomes (84) Purified human 20S proteasomes (84)

 $\rm 4$  purified human 20S prote<br>asomes (56) Purified human 20S proteasomes (56)

5MM.1S cells were treated with ixazomib for 3 hrs and harvested. Cell extracts were then analyzed for CFL (Chymotrypsin-like), C-L (Caspase-like), and T-L (Trypsin-like) activity assay (74) MM.1S cells were treated with ixazomib for 3 hrs and harvested. Cell extracts were then analyzed for CT-L (Chymotrypsin-like), C-L (Caspase-like), and T-L (Trypsin-like) activity assay (74)

 $6^{\circ}$  RPMI-8226 MM cell lysates (91) RPMI-8226 MM cell lysates (91)

 $7$  Rabbit 20S prote<br>asome  $(99)$ Rabbit 20S proteasome (99)



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**Table 2**

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AUClast, area under the concentration-time curve from time 0 to the last time point; BA, bioavailability; RRMM, refractory or relapsed multiple myeloma; D, Day(s); C, cycle(s) Values reported as means AUClast, area under the concentration–time curve from time 0 to the last time point; BA, bioavailability; RRMM, refractory or relapsed multiple myeloma; D, Day(s); C, cycle(s) Values reported as means (standard deviation, % coefficient of variation( $\dot{t}$ ) or % standard error( $\dot{t}$ )) except for Tmax and  $t_{1/2}$ , which are expressed as median (range).

l.

 $\ddot{x}$ )) except for  $T_{\text{max}}$  and  $t_{1/2}$ , which are expressed as median (range).

(standard deviation, % coefficient of variation(

†) or % standard error(

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