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How does a Helicase Unwind DNA? Insights from RecBCD helicase

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Summary

DNA helicases are a class of molecular motors that catalyze processive unwinding of double stranded DNA. In spite of much study, we know relatively little about the mechanisms by which these enzymes carry out the function for which they are named. Most current views are based on inferences from crystal structures. A prominent view is that the canonical ATPase motor exerts a force on the ssDNA resulting in “pulling” the duplex across a “pin” or “wedge” in the enzyme leading to a mechanical separation of the two DNA strands. In such models, DNA base pair separation is tightly coupled to ssDNA translocation of the motors. However, recent studies of the *E. coli* RecBCD helicase suggest an alternative model in which DNA base pair melting and ssDNA translocation occur separately. In this view, the enzyme-DNA binding free energy is used to melt multiple DNA base pairs in an ATP-independent manner, followed by ATP-dependent translocation of the canonical motors along the newly formed ssDNA tracks. Repetition of these two steps results in processive DNA unwinding. We summarize recent evidence suggesting this mechanism for RecBCD helicase action.

Keywords

DNA helicase; translocase; kinetics; mechanism; allostery; motor protein; nuclease

1. Introduction

The term “helicase” was coined by Hoffmann-Berling nearly 40 years ago to describe a class of enzymes that catalyze the ATP-dependent unwinding of duplex DNA¹. The first enzyme referred to as a helicase, Helicase I, was isolated from *E. coli*², but later shown to be encoded by the TraI gene of the F episome³. In fact, the RecBCD enzyme, which is the focus of this review, was the first enzyme shown to have ATP-dependent DNA unwinding activity⁴, although this preceded the use of the term helicase. Helicases are ubiquitous in all organisms and have been studied intensively since their discovery; however, we know relatively little about the mechanisms by which these enzymes catalyze duplex DNA unwinding.

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The focus of this review is the *E. coli* RecBCD helicase/nuclease that is involved in degradation of foreign DNA as well as repair of chromosomal double stranded DNA breaks that are lethal if not repaired^{5,6}. RecBCD binds to the ends of a double stranded DNA break and initiates unwinding of the duplex using its ATP-dependent helicase activity. RecBCD also contains a single stranded (ss)DNA exonuclease activity that initially degrades the unwound ssDNA from both the 3' and 5' ends. If the DNA is of foreign origin (e.g., a bacteriophage), degradation will continue. However, if RecBCD is acting on *E. coli* chromosomal DNA, it will eventually come upon an over-represented DNA sequence, called "chi" (Chromosomal Hotspot Initiator)^{7,8} which signals to RecBCD that the DNA is from *E. coli* and should be set up for repair rather than continued to be degraded. As such, after "chi" recognition by RecBCD, only the 5'-ended ssDNA continues to be degraded resulting in a stable 3' ended ssDNA onto which RecBCD loads a RecA filament that then initiates a homologous recombination event resulting in eventual repair of the double stranded DNA break^{5,6}.

In spite of much research, the mechanisms by which helicases unwind duplex DNA are not understood. It is clear from studies of the SF1 helicases, Rep, UvrD and PcrA, that ATP-dependent single stranded DNA translocase activity is not sufficient to yield helicase activity⁹⁻¹⁴. Here we discuss recent evidence suggesting that processive DNA unwinding by the *E. coli* RecBCD helicase is a two-step process involving ATP-independent DNA melting due to the favorable free energy of the protein-DNA interaction, followed by ATP-dependent ssDNA translocation.

2. Structures of RecBCD-DNA complexes

The RecBCD hetero-trimeric enzyme contains two canonical superfamily 1 (SF1) ATPase motors. The canonical SF1A RecB motor has 3' to 5' ssDNA translocase activity^{15,16}, whereas the canonical SF1B RecD motor has 5' to 3' ssDNA translocase activity¹⁶⁻¹⁹. The RecC subunit interacts with both RecB and RecD, enhances DNA unwinding processivity and is involved in chi recognition²⁰. A crystal structure of RecBCD bound to DNA duplex ends has been solved²¹ and is shown in Figure 1. This structure was determined with a fully blunt-ended DNA in the absence of nucleotide cofactors (no ATP), yet four bp at the end of the duplex are melted in the RecBCD complex. This is a demonstration that RecBCD is able to melt out four bp using only its binding free energy, consistent with results from biochemical studies²². Subsequent structures with a longer DNA duplex show that six bp can be melted out by RecBCD²³, consistent with DNA binding studies^{24,25}. The 3'-ssDNA is observed to be bound to the RecB motor domain, whereas the 5'-ssDNA is directed toward the RecD motor domain, although it is not long enough to make contact with RecD in that structure²¹. Consistent with these findings, RecBC and RecBCD bind with highest affinity to DNA ends with pre-melted ssDNA ends. RecBC binds with highest affinity to a ss/dsDNA end possessing twin ssDNA tails that are six nucleotides long (a 3'-(dT)₆ tail and a 5'-(dT)₆ tail)²⁴. However, RecBCD binds with highest affinity to a ss/dsDNA end possessing a 3'-(dT)₆ tail and a 5'-(dT)₁₀ tail²⁴. The longer 5'-(dT)₁₀ tail is needed to optimize interactions with the RecD subunit^{18,19,24,26}.

Other features to note in the RecBCD-DNA crystal structure are the arm domain of RecB that interacts with the duplex DNA ahead of the fork and the nuclease domain of RecB that is attached by a long (~70 amino acids) tether to the RecB motor domain and is located far from the duplex DNA at a position that would be ready to accept the unwound 3'-terminal ssDNA for degradation²¹.

3. A mechanical model for RecBCD-catalyzed helicase activity

Our focus is on the mechanism of DNA unwinding catalyzed by RecBCD helicase. Based on crystal structures of RecBCD bound to a DNA end, a mechanical model for how RecBCD might unwind DNA has been proposed²¹. Although the ssDNA directionalities of the RecB (3' to 5') and RecD (5' to 3') motors are opposite, they interact with the two unwound antiparallel strands of the DNA and thus move in the same net direction towards the duplex. Based on this, it was proposed that duplex disruption during helicase activity is the consequence of each of the two motors moving directionally along the two complementary ssDNA tails (RecB moving 3' to 5' and RecD moving 5' to 3') while simultaneously pulling the duplex DNA across a "pin" or a "wedge" within the RecC subunit²¹. This model implies that duplex unwinding is tightly coupled to ssDNA translocation by the two canonical SF1 motors and that helicase activity requires movement of the ssDNA translocase motors. This type of mechanical "wedge" model has been invoked as a general mechanism for helicase activity²⁷.

However, a series of biochemical and biophysical studies^{15,19,26,28-30} support an alternative mechanism in which DNA melting of multiple base pairs occurs in an ATP-independent reaction and that ATP hydrolysis is used primarily for directed ssDNA translocation of the enzyme. We first summarize the evidence that leads to this model.

4. Passive vs. Active Helicases

DNA helicase mechanisms can be classified as either "passive" or "active"³¹⁻³³. In a passive mechanism, the enzyme uses its uni-directional ssDNA translocation motor activity to capture and stabilize ssDNA that is formed transiently due to thermal fraying of the duplex base pairs. In an active mechanism, the helicase plays a direct role in destabilizing the base pairs. In addition to the two extremes there exists a continuous gradient of energetic contributions of the helicase to duplex unwinding³⁴. One criteria for a passive helicase is that the rate of ssDNA translocation is expected to be much faster (~7-fold) than the rate of DNA unwinding^{34,35}. The rate of ssDNA translocation by RecBC is only ~2-fold faster than its rate of DNA unwinding²⁸. By this and several other criteria, both RecBC and RecBCD are active helicases^{19,28}.

5. RecBCD has three translocase activities

The RecB subunit contains the canonical superfamily 1A (SF1A) ATPase motor that displays 3' to 5' directional ssDNA translocation^{15-17,19,36} as well as a nuclease domain³⁷. The RecD subunit contains the canonical SF1B motor that displays 5' to 3' ssDNA translocase activity^{16,17,19}. The RecC subunit stimulates the helicase activity and

processivity of RecB¹⁵, and is involved in “chi” recognition²¹. Even without the RecD motor, RecBC is a rapid and processive helicase, although slower than RecBCD^{15,19,36}. A secondary ssDNA translocase activity, that operates within both RecBC^{15,28} and RecBCD¹⁹ and is controlled by the ATPase activity of the RecB motor^{15,28,38,39}, is associated with the RecB arm region³⁰ that is observed to contact duplex DNA in crystal structures^{21,40}. However, this secondary translocase activity shows no polarity preference on ssDNA and thus may actually reflect a double stranded DNA translocase activity^{15,28,30}. Hence, RecBCD possesses, not two, but three translocase activities, the RecB motor regulates its 3' to 5' ssDNA translocase and the secondary translocase activities, whereas the RecD motor regulates translocation only in the 5' to 3' direction along the 5'-ended ssDNA^{15,19,28}.

6. RecBC and RecBCD melt out 4–6 base pairs upon binding to blunt-ended DNA in the absence of ATP

To initiate DNA unwinding, RecBCD binds to blunt or nearly blunt-ended DNA and melts (separates) 4–6 base pairs in a reaction that uses only its favorable binding free energy. i.e., it does not require ATP binding or hydrolysis^{21,22,24}. RecBC displays the same ability^{24,25}. The fact that DNA melting to form an initiation complex does not require ATP raises the possibility that DNA melting during processive DNA unwinding may also not require ATP²⁸.

7. DNA Unwinding by RecBC and RecBCD occurs with a kinetic step size of ~4 base pairs

Analysis of transient DNA unwinding kinetics experiments indicates that RecBCD and RecBC catalyzed unwinding of DNA can be described by a mechanism in which DNA unwinding occurs with a “kinetic step size” of 4 ± 1 bp. That is, 4 ± 1 bp are unwound between successive rate-limiting steps that are repeated during the unwinding process^{19,26,41–43}. The molecular interpretation of a kinetic step size is subject to numerous caveats⁴⁴; however, one interpretation is that DNA unwinding occurs by successive steps involving rapid melting of $\sim 4\pm 1$ bp, followed by a rate-limiting step^{41,43,45}. The observation that the kinetic step size is independent of temperature and ATP concentration supports this interpretation⁴². This observation is also consistent with the proposal that processive DNA unwinding can be viewed as occurring in two steps, ATP-independent melting of 4–6 bp, followed by ATP-dependent ssDNA translocation along the newly formed ssDNA.

8. The same amount of ATP is hydrolyzed by RecBC during duplex DNA unwinding and ssDNA translocation

As for all processive helicases, DNA unwinding by RecBCD is coupled to ATP binding and hydrolysis. Estimates of the ATP coupling found ~ 2 ATP per bp unwound for RecBCD^{46–48} and one ATP per bp unwound for RecBC^{28,48} and RecBCD^{K177Q}, where RecD^{K177Q} is an ATP-deficient mutant of the RecD motor⁴⁸. Hence DNA unwinding is coupled to hydrolysis of one ATP per motor per bp. In addition, the same amount of ATP is hydrolyzed by RecBC during processive DNA unwinding (1 ATP/bp) (ssDNA translocation plus DNA melting) as

during ssDNA translocation alone (1 ATP/nucleotide translocated)²⁸. This suggests that most, possibly all ATP hydrolysis is used for ssDNA translocation rather than DNA melting and that DNA unwinding may occur separately from ssDNA translocation²⁸, although it is also consistent with models in which DNA unwinding and ssDNA translocation occur simultaneously^{21,49}.

9. Processive duplex DNA unwinding by RecBCD can be uncoupled from ssDNA translocation by the canonical RecB and RecD motors

The mechanical model for DNA helicase activity by RecBCD²¹ implies that processive DNA unwinding is tightly coupled to ssDNA translocation. That is, processive DNA unwinding should not occur without ssDNA translocation. Simon et al.³⁰ recently tested whether RecBCD could unwind duplex DNA in the absence of ssDNA translocation by its canonical RecB and RecD motors. DNA duplexes were designed with a unique initiation site for RecBCD, but contained so-called “reversed polarity” (RP) linkages within each DNA strand just after the RecBCD binding site. The RP linkages consist of ssDNA that contains a single 3’–3’ phosphodiester linkage or a single 5’–5’ phosphodiester linkage³³. These are positioned within each strand at the same position in the duplex DNA. Since SF1 ssDNA translocases are strictly directional, a 3’–3’ linkage will stop the translocation of a canonical 3’ to 5’ translocase motor, and a 5’–5’ linkage will stop the translocation of a canonical 5’ to 3’ translocase motor^{15,30}. Hence, the RecB and RecD canonical motors are unable to move past the point in the duplex DNA that contains the RP linkages. Fluorophore pairs that undergo fluorescence resonance energy transfer (FRET) when in close proximity were incorporated into the DNA beyond the RP linkages in order to detect DNA unwinding. Surprisingly, RecBCD was able to processively unwind duplex DNA for at least 80 bp beyond the RP linkages with rates only half (~400 bp/s) those during unwinding of natural DNA (~800 bp/s). Although the canonical RecB and RecD motors are unable to bypass the RP linkages, another region of the RecBCD helicase must be capable of moving along and unwinding the duplex DNA in an ATP-dependent process³⁰. Although RecBCD transiently unwinds the duplex DNA, once all of the ATP is hydrolyzed, the DNA substrate reanneals to its original state as long as the RecBCD enzyme remains bound to the DNA³⁰. These results indicate that RecBCD, as well as RecBC, is able to unwind DNA processively without the need for translocation of the canonical RecB and RecD motors. A RecBCD-DNA intermediate that is suggested by these results is depicted in Figure 3.

10. The RecB arm may function as a double stranded DNA translocase

The ability of RecBCD to unwind DNA past the RP linkages is dependent upon an active RecB ATPase motor and the secondary translocase activity³⁰. This is consistent with the fact that the secondary translocase activity is controlled by the RecB canonical motor^{15,19,28}. Furthermore, a variant of RecBCD in which the RecB arm domain has been deleted has very poor helicase activity and is unable to unwind DNA beyond the RP linkages³⁰. This suggests that the RecB arm is important for DNA unwinding and may be directly involved in the secondary translocase activity. Two other observations support the suggestion that the RecB arm may operate as a double stranded DNA translocase controlled by the RecB ATPase. The

first is that the secondary translocase activity shows no polarity preference on ssDNA¹⁵. Hence movement could occur along either strand within the duplex DNA.

The second observation suggesting that the RecB arm may function as a double stranded DNA translocase is that in a crystal structure²¹ (see Figure 1), the RecB arm makes direct contact with the duplex DNA ahead of the fork. Additional support comes from structural studies of the *B. subtilis* AddAB helicase/nuclease⁴⁰, that is structurally similar to *E. coli* RecBC. Krajewski et al.⁴⁰ proposed a model for DNA unwinding that involves the AddA “arm” domain, that is similar to the RecB “arm” domain. In a crystal structure, the AddA arm and the C-terminal nuclease domain of AddB contact the duplex DNA ahead of the fork as does the RecB arm in the RecBCD-DNA structure²¹. Based on this it was proposed that in an ATP-coupled reaction, the AddA arm pulls on the duplex DNA in the opposite direction, but in concert with the AddA canonical motor that pulls on the 3'-ended ssDNA. This is proposed to create stress in the duplex DNA that results in base pair opening. Krajewski et al.⁴⁰ further suggest that this proposed role for the arm domain may provide a basis for the RecBC secondary translocase activity that also functions within RecBCD¹⁹. This supports the suggestion that the arm domain may actually operate as a double stranded DNA translocase driven by the ATPase activity of the RecB (or AddA, or motor).

Another finding that was a major surprise was that the ability of RecBCD to unwind DNA past the RP linkages is also dependent on the RecB nuclease domain³⁰. It is not dependent on the nuclease activity since a RecB(D1040A) mutation that knocks out nuclease activity still enables RecB^{D1040A}CD to unwind DNA past the RP linkages. However, a complete deletion of the RecB nuclease domain to form RecB^{Nuc}CD does not support this activity³⁰. The molecular basis for this intriguing result remains obscure. However, since the RecB nuclease domain is attached to the RecB motor by a long (~70 amino acid) tether, and there is good evidence that the nuclease domain must detach from the position that it occupies in a crystal structure^{21,50,51}, there are many interesting possibilities that need to be tested.

11. RecBCD is able to transiently invade duplex DNA at a junction to unwind an additional ~4 bp in an ATP-independent reaction

Recent single molecule optical trapping experiments from the Perkins lab²⁹ have shown very interesting dynamics within a RecBCD-DNA complex even in the absence of ATP. These experiments show that RecBCD is able to invade duplex DNA to transiently unwind an additional ~4 bp in an ATP-independent reaction, even when RecBCD is bound to a fully pre-melted ss/ds DNA junction. This activity is only observed when RecBCD is bound to a pre-melted ss/ds junction with 3'-(dT)₆ and 5'-(dT)₁₀ tails. If the 5'-tail is shortened to 5'-(dT)₆, the transient melting is no longer observed. Since a 5'-ssDNA tail of at least ten nucleotides (5'-(dT)₁₀) is needed to contact the RecD motor in an initiation complex^{24,26}, this implicates the RecD motor in this activity²⁹. This activity is abolished upon interstrand DNA crosslinking of the first base pair within the duplex which supports the conclusion that the transient movement of RecBCD is coupled to base pair melting that initiates at the ss/ds DNA junction²⁹. These results indicate that RecBCD is able to melt out multiple base pairs of the duplex in an ATP-independent reaction in further support of the proposal that DNA

melting and directed ssDNA translocation are separate processes in processive helicase activity.

12. A model for RecBCD-catalyzed processive DNA unwinding

Based on the observations summarized above, we have proposed a mechanism for processive DNA unwinding by RecBCD^{28,30} that is depicted schematically in Figure 2. In this mechanism, the free energy of RecBCD binding to DNA is used to melt multiple (4–6) DNA bp as in its initiation complex. The newly formed ssDNA then provides the tracks along which the RecB and RecD motors translocate. Translocation proceeds, hydrolyzing 1 ATP per nucleotide moved per motor, until the new ss/ds DNA junction is reached. ATP binding/hydrolysis then resets the enzyme so that it can melt out another stretch of duplex DNA and continue the unwinding process. The DNA melting process might involve the type of reaction observed by Carter et al.²⁹, such that RecBCD at a junction can transiently invade and melt ~4 bp in an ATP-independent process. Subsequent ATP-dependent ssDNA translocation of RecBCD would rectify the enzyme towards the junction so that the melting of the 4 bp becomes irreversible. Our results suggest that ATP hydrolysis by the RecB motor not only drives ssDNA translocation of that motor, but also transmits conformational changes allosterically to the RecB arm to generate a force that enables the arm region to move along the DNA ahead of the fork. This secondary (double stranded DNA?) translocase activity associated with the RecB arm within RecBC has also been implicated in the DNA melting process³⁰. The dsDNA translocase activity might create strain during the “reeling in” of the dsDNA resulting in DNA melting to form ssDNA loops.

13. Conclusions

Additional studies are needed to further test the details of this proposed mechanism. Structural studies are important in this regard, but studies of the mechanisms and dynamics of these enzyme machines will be required to address most of the details. Important information remains to be determined. Direct measurements of the distribution of step sizes during DNA unwinding are needed using single molecule approaches. How does the nuclease domain regulate DNA unwinding? How does interaction with a chi sequence allosterically regulate the RecB and RecD motors?

Might the mechanistic conclusions summarized above for the RecBCD helicase be general? Are aspects of this proposed mechanism shared by other similar helicases⁵², such as AdnAB^{53,54} and AddAB^{40,55}. Are other SF1 helicases able to melt duplex DNA using only their binding free energy and can processive DNA unwinding be uncoupled from ssDNA translocation by their canonical SF1 motors? In this regard, there are other SF1 helicases for which it has been shown that single stranded DNA translocase activity can be separated from DNA helicase activity. The SF1 enzymes, Rep, UvrD and PcrA are rapid, directional and processive ssDNA translocases as monomers, but have no detectable helicase activity^{9,12,56,57} unless the DNA is under tension⁵⁸. The helicase activity of these enzymes needs to be activated either by dimerization^{14,56,57}, interaction with an accessory protein, removal of the auto-inhibitory 2B sub-domain¹¹, or crosslinking of the 2B sub-domain into its closed conformation⁵⁹. In fact, it was demonstrated 25 years ago³³ that a dimeric Rep

helicase is able to unwind a short duplex DNA beyond a 5'–5' RP linkage in the 3' ssDNA tail loading site, thus showing a similar ability to that of RecBCD³⁰. It will be interesting to test these ideas with other helicases.

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Abbreviations:

RP reversed polarity.

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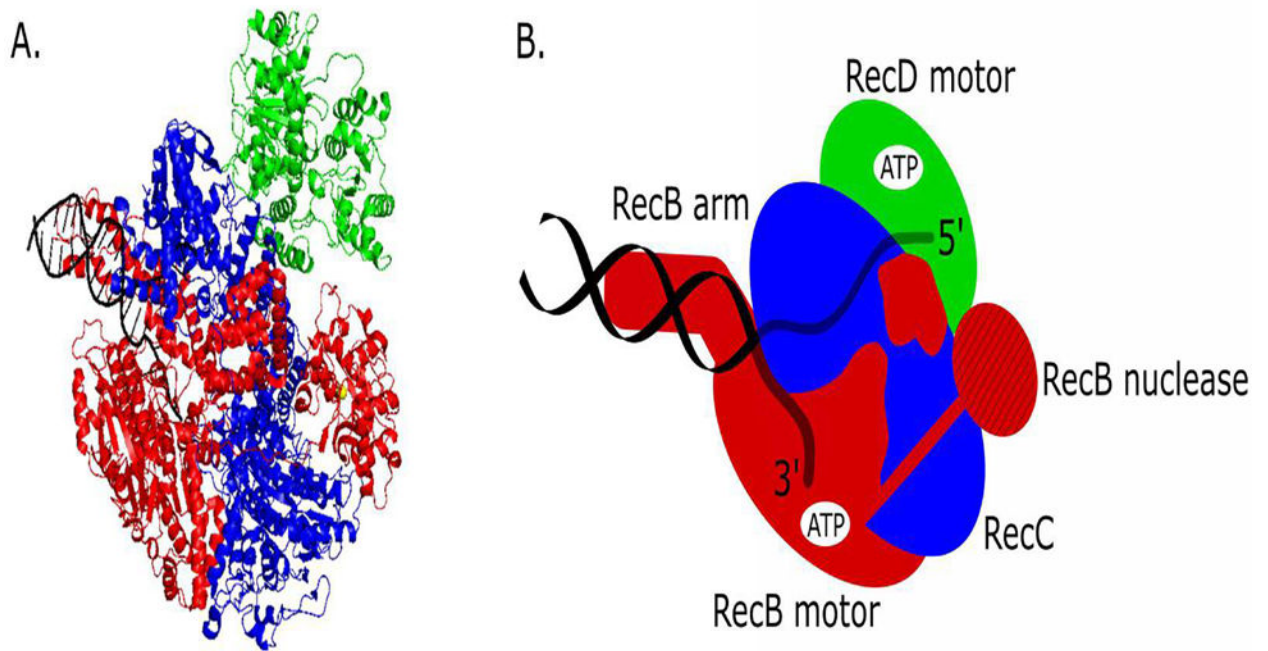


Figure 1.

RecBCD-DNA structure. A. Crystal structure (PDB 1W36) of RecBCD bound to a DNA duplex. RecB subunit is red, RecC subunit is blue, RecD subunit is green, and DNA is black. B. Stylized RecBCD structure with the subunit colors as in A. The positions of the RecB SF1A translocase motor, the SF1B RecD translocase motor, the RecB arm domain, the RecB nuclease domain and the RecC subunit are noted. The approximate positions of the ATP binding sites within the RecB and RecD motors are shown in white. The RecB arm domain interacts with the duplex DNA ahead of the fork. The nuclease domain is attached to the RecB motor domain via a long (~70 amino acid) linker.

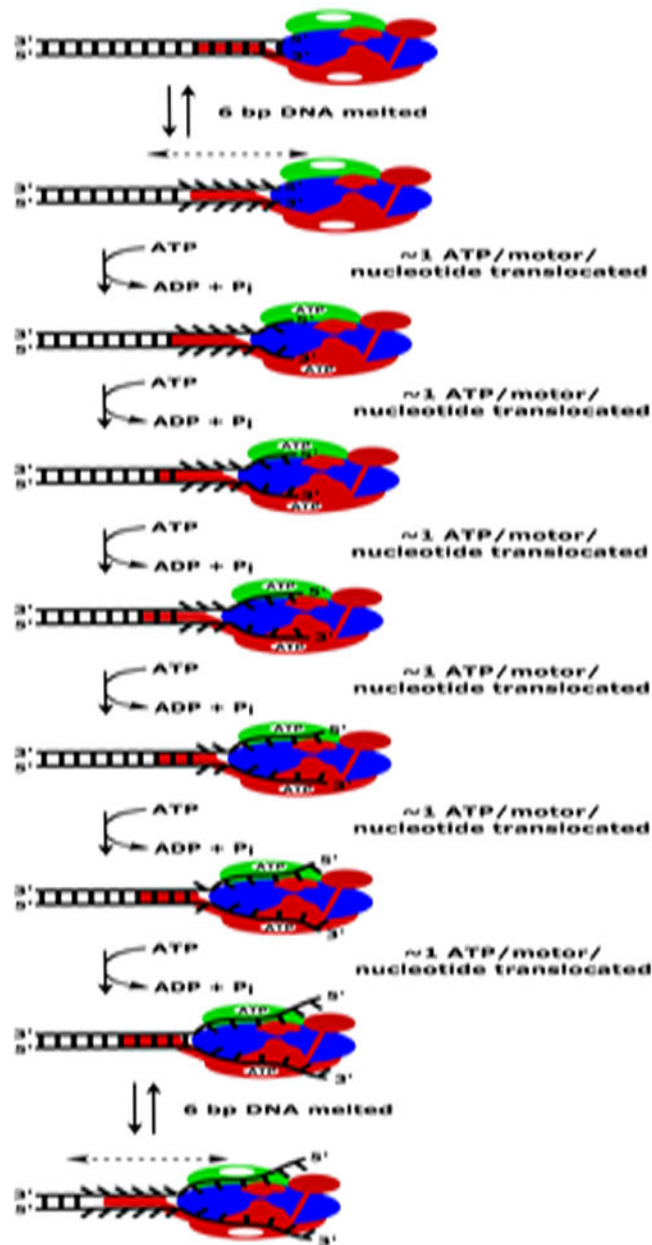


Figure 2.

Proposed mechanism for processive DNA unwinding by RecBCD helicase. RecBCD initially binds to the blunt end of a duplex DNA and couples its favorable free energy of binding to melt 4–6 bp of the duplex DNA in an ATP-independent manner. RecBCD then translocates along the resulting ssDNA tracks hydrolyzing 1 ATP/motor/nucleotide until it reaches the new ss/ds DNA junction. ATP binding/hydrolysis resets the enzyme so that RecBCD can melt another 4–6 bp and then translocate along the newly formed ssDNA tracks. These DNA melting and ssDNA translocation steps are repeated during processive DNA unwinding.

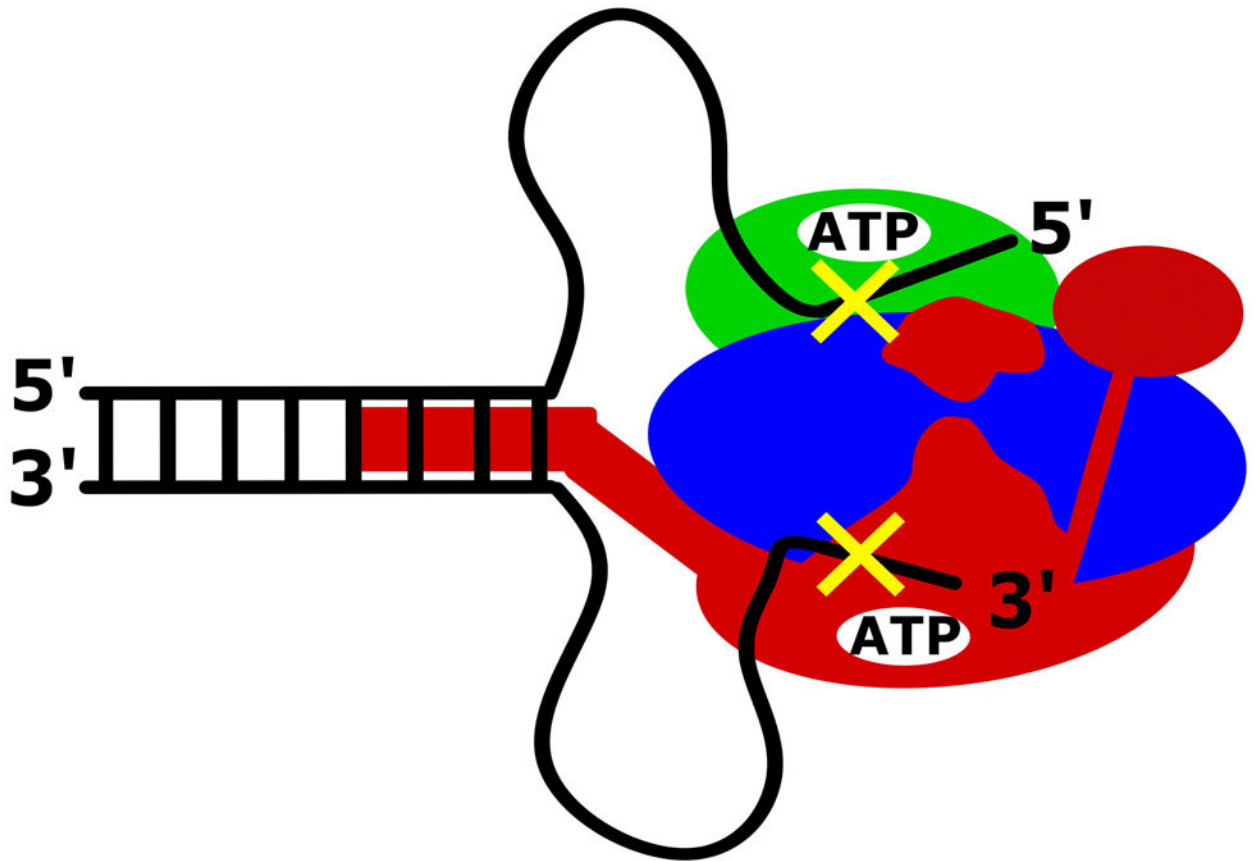


Figure 3. **RecBCD can unwind DNA processively in the absence of ssDNA translocation by the canonical RecB and RecD motors.** RecBCD can transiently unwind duplex DNA beyond the reverse polarity switches in the DNA backbone (indicated here by yellow x's), which prevent the canonical RecB and RecD motors from translocating along the ssDNA. This activity is dependent upon the secondary translocase activity of RecBC that is fueled by the RecB ATPase activity and requires both the RecB arm domain and the RecB nuclease domain, but not nuclease activity. It is possible that the RecB arm act as a dsDNA translocase, pulling the dsDNA towards RecBCD, resulting in the formation of ssDNA loops.