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# Species dispersal and biodiversity in human-dominated metacommunities

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### Abstract

The concept of the Anthropocene is based on the idea that human impacts are now the primary drivers of changes in the earth's systems, including ecological systems. In many cases, the behavior that causes ecosystem change is itself triggered by ecological factors. Yet most ecological models still treat human impacts as given, and frequently as constant. This undermines our ability to understand the feedbacks between human behavior and ecosystem change. Focusing on the problem of species dispersal, we evaluate the effect of dispersal on biodiversity in a system subject to predation by humans. People are assumed to obtain benefits from (a) the direct consumption of species (provisioning services), (b) the non-consumptive use of species (cultural services), and (c) the buffering effects of the mix of species (regulating services). We find that the effects of dispersal on biodiversity depend jointly on the competitive interactions among species, and on human preferences over species and the services they provide. We find that while biodiversity may be greatest at intermediate levels of dispersal, this depends on structure of preferences across the metacommunity.

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## 1 Introduction

In the age of the Anthropocene, humans have impacted almost all of the world's ecosystems (Crutzen and Stoermer, 2000; Lewis and Maslin, 2015; Steffen et al., 2007). Among the most important anthropogenic drivers of ecosystem change is globalization, or the closer integration of the world economy (Perrings, 2014). Trade and travel have connected ecosystems far beyond the natural dispersal of species (Costello et al., 2007; Hulme, 2009; Lenzen et al., 2012; Mack et al., 2000). At the same time, the way people have exploited ecosystems has fundamentally altered their vulnerability to introduced species (Dalmazzone, 2000; Hanspach et al., 2008; Pyšek et al., 2010; Vila and Pujadas, 2001). Yet most ecological models abstract from human impacts, treating them as given, constant, or ignoring them completely. There is a need for a "new ecology" that treats people's actions as part of the system (Schmitz, 2016). In this article we revisit the theory of species dispersal in metacommunities to take into account the feedbacks between dispersal, biodiversity, and human exploitation in model systems. In particular, we revisit the theoretical link between dispersal and species diversity in metacommunities subject to human exploitation.

A central result in the theory of species dispersal is that very low or very high rates of dispersal tend to reduce diversity, whereas intermediate rates of dispersal tend to increase diversity (Amarasekare and Nisbet, 2001; Loreau and Mouquet, 1999; Loreau et al., 2003; Mouquet and Loreau, 2003). Intermediate dispersal provides source-sink and rescue effects that replenish locally threatened populations, so maintaining species diversity without leading to the competitive exclusion that drives down diversity when dispersal rates are extreme (Brown and Kodric-Brown, 1977; Holt, 1985; Pulliam, 1988). There are some exceptions to this. For example, Haegeman and Loreau (2014) identify conditions in which resource and consumer dispersal can exhibit strictly increasing relationships with diversity.

Results from experimental and field research are not decisive. Some experimental studies have found support for the intermediate dispersal hypothesis (Howeth and Leibold, 2010; Kneitel and Miller, 2003; Venail et al., 2008), but others conclude that the relationship between diversity and dispersal depends on the type of organism and spatial scale of the study (Cadotte, 2006; Cadotte and Fukami, 2005; Cadotte et al., 2006). For example, in a meta-analysis of experimental studies of the impact of dispersal on species diversity, Cadotte (2006) argues that the "hump" shaped relationship between dispersal and diversity is specific to the animal kingdom. Other research supports a strictly increasing relationship between dispersal and diversity, such as in microcosm communities (Gilbert et al., 1998; Gonzalez and Chaneton, 2002; Gonzalez et al., 1998; Holyoak, 2000; Thompson and Shurin, 2012; Warren, 1996). In contrast, field studies often find that dispersal has only negative effects on species diversity. Invasion biologists, for example, routinely document cases where the effect of dispersal is strongly negative, even at large spatial scales (Chisholm, 2012; Ehrenfeld, 2010; McKinney and Lockwood, 1999; Rhymer and Simberloff, 1996a; Vilà et al., 2011).

An important omission in the understanding of species dispersal is the role of human intervention. Seemingly natural systems are subject to a range of interventions that alter their responses to dispersal. Crops are promoted while crop competitors, predators, and pathogens are suppressed. Charismatic mega-fauna are often protected while inconspicuous plants or insects are ignored. People indirectly select for or against species as in, for example, the consequences of nutrient deposition from agriculture into aquatic ecosystems or the accidental introduction of invasive species (Chisholm, 2012). The observed mix of species in actual ecosystems reflects the joint effects of human control and natural ecological dynamics (Horan et al., 2011; Liu et al., 2007).

We consider interactions between dispersal, competition, predation, and species diversity in the presence of human preferences for particular ecological states. We take metacommunities that are subject to anthropogenic predation (e.g. harvest) and ask how dispersal affects species diversity when humans alter relative abundances by promoting or suppressing species. We build on the metacommunity models of Loreau et al. (2003) and Gonzalez et al. (2009) to investigate the relationship between biodiversity and dispersal when people derive consumptive benefits from harvesting individual species and non-consumptive benefits from species abundance and richness (mix of species) (Barbier, 2007; Bertram and Quaas, 2016). Non-consumptive benefits from species abundance refer to benefits arising directly from species' biomass, such as carbon sequestration or aesthetic and spiritual values. Non-consumptive benefits from richness or the mix of species refer to benefits such as the regulation of water quality or soil erosion.

We hypothesize that the relationship between diversity and dispersal depends not only on the competitive interactions between species, but also on patterns of harvest/control that reflect human preferences for species and the benefits that they provide. We expect harvest/control to alter the ecological dynamics of the system, resulting in a different diversity-dispersal relationship than occurs in an ecological model that ignores (or assumes fixed) human behaviors. Human harvest alters species abundances, and this can change the source-sink dynamics created by species dispersal between patches. If people value one species over another, we expect harvest/control to promote more preferred species while suppressing less preferred species. Similarly, if people derive non-consumptive benefits from species we expect harvest/control to increase the biomass of preferred species in the patch or maintain even abundances. We find that while the intermediate dispersal hypothesis holds in some cases, diversity can be monotonically increasing in dispersal depending on ecological competition parameters and human preferences across species.

## 2 The ecological model

We adapt the model developed by Loreau et al. (2003) and Gonzalez et al. (2009) which has a metacommunity comprising three communities, each initially made up of three species. Within each ecological community, all species compete for a single limiting resource. Species consume a deterministically variable quantity of resource depending on environmental conditions and time. Communities are coupled together through dispersal.

Changes in species biomass N and resource biomass R in the *j*th community are described by the equations:

$$\frac{dN_{ij}}{dt} = N_{ij}(t) \left( ec_{ij}(t)R_j(t) - m \right) - aN_{ij}(t) + \frac{a}{M-1} \sum_{k \neq j}^M N_{ik}(t) \quad (1)$$

$$\frac{dR_j}{dt} = I - lR_j(t) - R_j(t) \sum_{i=1}^{S} c_{ij}(t) N_{ij}(t) \quad (2)$$

for species i = 1, 2, 3 and communities j = 1, 2, 3 at time *t*. Species are assumed to consume resources at rate  $c_{ij}(t)$ , convert resources to new biomass with efficiency *e*, and die at rate *m*. The limiting resource is assumed to increase in all communities by a fixed amount, *I*, and be lost at a constant rate *I*. The system assumes a Holling type I predator response, where all species have the same conversion efficiency but differ in their consumption (predation) rates. Species disperse among communities at a constant proportion or rate *a*.

Species consumption of the resource is a non-linear function of species-specific competitive ability and environmental variation fluctuating over time for each species in each community such that:

$$c_{ij}(t) = \frac{1.5 - \left| H_i - F_j(t) \right|}{10} \quad (3)$$

$$F_{j}(t) = \frac{1}{2} \left[ \sin(x_{j} + 2\pi t/T_{F}) + 1 \right] \quad (4)$$

Consumption rates are constrained to lie within the range [0.05, 0.15].  $H_i$  is a dimensionless, species-dependent competition parameter such that  $H_1 = 1$ ,  $H_2 = 1/2$ , and  $H_3 = 0$ . It is assumed that environmental conditions,  $F_j$ , fluctuate over time as a sinusoidal function. A phase parameter ( $x_1 = \pi/2$ ,  $x_2 = 0$ ,  $x_3 = -\pi/2$ ) shifts the environmental variation along its horizontal axis (Fig. 1). The period of environmental variation and hence consumption rates is given by  $T_F$ . Depending on the length of each phase  $T_F$ , in the absence of human intervention or dispersal a single species will exclude all others in a given community. If  $T_F$  is sufficiently large, this will be the set of species that possess the highest initial consumption rate,  $c_{ij}(t)$ . If  $T_F$  is small, this will be the set of species whose consumption rates are closest to the average across all communities (a "generalist" species). In our model, having consumption rates close to the average across communities is equivalent to the characteristics of generalist species in ecology - the ability to occupy a broad range of environments (Futuyma and Moreno, 1988; Poisot et al., 2012; Schluter, 2000).<sup>1</sup>

The ecological model depends on several assumptions. First, it is assumed that communities initially contain the same set of species and differ only in their environmental variation over time as defined by the phase parameter  $x_i$ . Second, species differ only in their consumption rates, which vary by community as a function of  $H_i$  and  $x_i$ . This implies that species are more or less similar in their ecological function and exist within a single trophic level. Third, species compete for a single limiting resource whose natural influx and loss rates are constant and independent across time and communities. Fourth, species competition arises solely from resource consumption. There is no direct interaction between individuals within and across patches (e.g. local competition for light or nutrients in plant systems). Finally, we assume that dispersal is density-independent and occurs at a constant rate of dispersal. These assumptions, while restrictive, simplify the analysis while providing a structure for analyzing competition over a range of environmental conditions, and the effect of harvest on species composition. These assumptions, and how they relate to the economic model, are discussed in more detail in Supplementary Appendix A. For a detailed analysis and extension of the Loreau spatial insurance model, see Loreau et al. (2003), Gonzalez et al. (2009), Urban (2006), Shanafelt et al. (2015), Thompson and Gonzalez (2016) and Thompson and Gonzalez (2017).

## 3 The bioeconomic model

Consider a managed ecosystem of three communities, where each patch can be thought of as an independent management area containing three species. We assume that people obtain benefits from the direct consumption of species (harvest), from non-consumptive benefits arising from species abundance (stocks), and from biodiversity (the composition of those stocks). The benefits from consumption include the provisioning services of the ecosystem (e.g. the production of foods, fuels, fibers etc). The non-consumptive stock benefits of species abundance include, for example, cultural and regulating services such as the value of biomass for carbon sequestration, and the aesthetic, totemic or spiritual values of species. The non-consumptive stock benefits of diversity include the stabilizing effects of biodiversity on ecosystem functioning and the subsequent flow of ecosystem services (regulating services) (Millennium Ecosystem Assessment, 2005). We assume that all species are positively valued in consumption, and so do not consider cases where species are a direct source of disutility (e.g. pests or pathogens).

We may rewrite (1) and (2) to reflect the impact of harvest, equal to  $qE_{ij}(t)N_{ij}(t)$ , on the abundance of species and the resource:

$$\frac{dN_{ij}}{dt} = N_{ij}(t) \left( ec_{ij}(t)R_j(t) - m \right) - qE_{ij}(t)N_{ij}(t) - aN_{ij}(t) + \frac{a}{M-1} \sum_{k \neq j}^M N_{ik}(t)$$
(5)

<sup>&</sup>lt;sup>1</sup>We would expect our results to hold in a time-invariant environment, e.g. in the absence of temporal variability in environmental conditions. Loreau et al. (2003) and Gonzalez et al. (2009) use temporal variability in species biomass as a mechanism for local species coexistence, sensu the paradox of the plankton (Hutchinson, 1961). However, other models of the intermediate dispersal hypothesis find non-monotonic relationships between biodiversity and dispersal in systems where species growth rates are held constant (Haegeman and Loreau, 2014; Haegeman and Loreau, 2015; Wang and Loreau, 2016).

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$$\frac{dR_j}{dt} = I - lR_j(t) - R_j(t) \sum_{i=1}^{S} c_{ij}(t) N_{ij}(t) \quad (6)$$

where effort in harvesting species ion patch *j* is given by  $E_{ij}$  (0  $E_{ij}$   $E_{max}$ ) and *q* is the constant efficiency of effort. This is a Schaefer harvest function, common in economics and fisheries science (Schaefer, 1957). We arbitrarily set the maximum harvest effort such that it is possible to maintain species biomass at any chosen level.<sup>2</sup>

We further assume that in each community a resource manager harvests species in that community in order to maximize an index of net social benefits, ignoring the actions of managers in other communities connected by species dispersal. That is, managers act independently, and do not condition their decisions on the harvest decisions of others. Formally, we define the *j*th manager's problem as:

$$\begin{split} V_{j} \Big( N_{j}, \boldsymbol{R}_{j}, t \Big) &= \max_{E_{ij}} \int_{0}^{\tau} \left[ \sum_{i=1}^{S} \left( p_{ij} q E_{ij}(t) N_{ij}(t) - w q E_{ij}(t) + \alpha_{ij} N_{ij}(t) \right) + \beta_{j} \left( 1 - \sum_{i=1}^{S} \left( \frac{N_{ij}(t)}{N(t)} \right)^{2} \right) \right] \\ &= \left[ e^{-\delta t} dt \right] \end{split}$$

(7)

subject to: (5) and (6)

 $N_{ij}(0), R_{j}(0)$ 

$$N_{ij}(t) \ge 0, R_j(t) \ge 0$$

<sup>&</sup>lt;sup>2</sup>Our choice of harvest function assumes perfect targeting of species - a standard assumption in the literature (Clark, 2010; Conrad and Clark, 1987). In reality managers face problems with imperfect selection. For example, in fisheries different types of fishing practices - hook lines, nets, or trawling - result in different rates of by-catch (the capture of non-target species) (Davies et al., 2009; Hall et al., 2000). This means that the effect of harvest between species need not always be independent, e.g. the harvest of one species may directly affect the abundance of another species. In general this idea is treated implicitly. For example, in a fisheries context Abbott and Wilen (2009) utilize a separate function to account for by-catch in setting stock quotas. Mesteron-Gibbons (1988), Fenichel and Horan (2007), and Fenichel et al. (2010) explicitly take into account the indirect effects of harvesting one or more interacting species in predator-prey, host-pathogen, and invasive species contexts. Traditional optimal control problems in economics generally assume the existence of control variables for each state variable and that each control variable perfectly controls a different state variable at every moment in time (Conrad and Clark, 1987; Clark, 2010). Violating this assumption results in an 'imperfect control', which has been shown to lead to complex feedback rules for efficient management (Fenichel et al., 2010; Fenichel et al., 2011; Fenichel and Horan, 2007; Horan and Wolf, 2005). Indeed, in our context relaxing this assumption will result in a complex interplay between ecological, economic, and spatial dynamics to determine the relationship between biodiversity and dispersal. We leave this for future work.

where  $p_{ij}$  is the unit price of each species harvested (a measure of the marginal social benefit of harvest of species *i*) and *w* is the marginal cost of harvest effort. The marginal nonconsumptive benefits of species abundance are given by the parameter  $a_{ij}$ . The total social non-consumptive benefit of species biomass is taken to be a non-saturating, linear function which - as is the case for an ecosystem service such as carbon sequestration - scales with the biomass of species *i* on patch *j*. The parameter  $\beta_j$  is a measure of the non-consumptive benefits of biodiversity. It represents the value of ecosystem functioning and regulating services that increase with biodiversity. For simplicity  $\beta_j$  is taken to be a weighted Simpson's index of diversity (Simpson, 1949).<sup>3</sup> Total benefits from biodiversity are maximized when there is an even number of species abundances. N(t) measures the biomass of all species in the community.  $\delta$  is the discount rate, and  $\tau$  is the time horizon over which harvest is determined. In addition, at the terminal time, the transversality condition requires that the social (shadow) value of an extra unit of each species and the resource are constrained to zero.

The full optimal solution to the system (5)–(7) is set of feedback responses that approach the optimal harvest at the most rapid rate possible (Clark, 2010; Conrad and Clark, 1987). This approach path is optimal because the system is linear in the control variables and there exists a separate control for each state variable. We may formally write the complete solution of the optimal choice of harvest as a feedback rule dependent on the stock of each species:

$$E_{ij} = \begin{cases} E_{\min} & if \ N_{ij} < N_{ij}^* \\ E_{ij}^* & if \ N_{ij} = N_{ij}^* \\ E_{\max} & if \ N_{ij} < N_{ij}^* \end{cases}$$
(8)

If the marginal net benefit of harvest effort is positive for a species, then harvest effort is set to its maximum level,  $E_{\text{max}}$ . If the marginal net benefit of harvest effort for a species is negative, then harvest effort is set to zero. If the marginal net benefit of harvest effort is zero, then harvest effort is equal the 'singular solution' - the optimal level of harvest effort at equilibrium,  $E_{ii}^*$ .

At the singular solution,  $E_{ij}^*$ , harvest balances the marginal benefits and costs of a change in stock size (Clark, 2010; Conrad and Clark, 1987):

<sup>&</sup>lt;sup>3</sup>The Simpson's index can be interpreted as the probability that two individuals selected at random with replacement from a population will not belong to the same type. A number of indices exist to measure biodiversity, many of which are strongly correlated (Bandeira et al. 2013). See Humphries et al. (1995) for a review of diversity metrics used in conservation ecology. In using a Simpson's index, as opposed to species richness, we assert that people value species abundances as well as species presence or absence.

$$E_{ij}^{*} = \frac{N_{ij}}{Wq} \begin{bmatrix} \frac{ec_{ij}R_{j}\sum_{k=1}^{S} \left(p_{kj}N_{kj}c_{kj} - wc_{kj}\right)}{1 + \sum_{k=1}^{S} \left(c_{kj}N_{kj}\right) + \delta} + \left(p_{ij} - \frac{w}{N_{ij}}\right) \left(m + a - ec_{ij}R_{j} + \delta\right) \\ -\alpha_{ij} - \beta_{j} \left(\frac{2\left(\sum_{k\neq i}N_{kj}^{2} - N_{ij}\sum_{k\neq i}N_{kj}\right)}{\left(\sum_{k=1}^{S}N_{kj}\right)^{3}}\right) \tag{9}$$

Eq. (9) implies that if the optimal solution is jointly optimal for all species, then there are separate optimal harvest policies for each species and patch in each moment of time (Fenichel and Horan, 2007a; Fenichel et al., 2011). The first term in the square brackets is the present value of marginal benefits from preserving the resource to be consumed by species in the future (Melstrom and Horan, 2013). The second term represents the marginal user cost of harvest: the forgone future growth in the abundance of all species as a result of harvesting now. The final two terms are the marginal non-consumptive benefits of species abundance and biodiversity, respectively. See Supplementary Appendices B–D for its derivation and more detailed discussions of the economic model.<sup>4</sup>

It is worth re-emphasizing that the decision-maker in each social-ecological community focuses only on conditions in that social-ecological community. They do not take into account the harvest of species in other patches, nor is there trade of harvested resources among social-ecological systems. Decision-makers also take the dispersal of species between communities as given and at a constant proportion. Thus the harvest regime in a particular community is optimal only with respect to conditions in that community. Any impacts that local decisions have on other communities are 'external effects' of those decisions. This is in contrast to the aggregate social-planner problem in which an overarching decision-maker coordinates local decisions and selects harvest rates of species across all communities to maximize aggregate system-level social welfare (Clark, 2010; Conrad and Clark, 1987). However, solving the social-planner problem requires restructuring the maximization problem in Eq. (7) and is left for future work.

We consider three preference structures: 1) people derive utility from the direct consumption of species only (provisioning services secured by harvest), 2) people derive utility from the direct consumption of species and from the non-consumptive use of aggregate biomass (provisioning services from harvest plus regulating services from standing biomass), and 3) people derive utility from the direct consumption of species and from the non-consumptive benefits of the composition of species (provisioning services from harvest plus cultural and/or regulating services from biodiversity).

We solve the general version of the maximization problem numerically in (7) using the forward-backward sweep method of Lenhart and Workman (2007). This method exploits the fact that the optimal control problem is constrained to a set of initial conditions for the state

 $<sup>^{4}</sup>$ Note that the singular solution in [9] is a simplification. Due to the complex nature of the problem, we assume a global interior solution of the state variables. We evaluate the validity of this assumption in Appendices C and D.

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variables, and a set of terminal conditions for the co-state variables (transversality conditions)—variables accounting for the value of an extra unit of each species and the resource. Given initial conditions for species and resource biomass and an initial guess as to the harvest trajectory, the state variables are solved forward to the terminal time. Using the transversality conditions and the values of the state and control variables, the co-state variables are solved backwards to the origin. Harvest is updated, and the procedure repeated until the solution converges.<sup>5</sup> We adopted a time horizon of 100 time steps. We allowed environmental variation to cycle with a period of 25 time steps. For a full list of parameter values, see Table 1.

## 4 Results

We present our findings as a progression–reporting the results of models of increasing complexity. We begin by describing the behavior of the system without people. This most closely tracks the case discussed by Loreau et al. (2003) and Gonzalez et al. (2009). We then present the results of the bioeconomic model, starting with the case in which all species respond to environmental conditions in the same way, and concluding with the case in which all species are different. Our results are summarized in Table 2.

#### 4.1 Dispersal in the absence of human predation

Our baseline is a system without humans. The main finding of Loreau et al. (2003) and Gonzalez et al. (2009) is that intermediate rates of species dispersal between communities maximize community-level (local) and metacommunity-level (global) biodiversity, productivity, and stability. At low dispersal rates, each community functions as a separate closed system, and the species with the highest initial consumption rate competitively excludes all others. At high dispersal rates, the system functions as a single community and the species with the highest average consumption rate dominates. At intermediate dispersal rates immigration maintains local biodiversity while preserving global biodiversity and maximizing ecological productivity and stability of productivity.

We assume the same structure of three communities in the coupled system, each composed of three species. The species with the greatest average consumption rate in each community and across all three communities (the "generalist" species) competitively excluded other species in the system regardless of the natural dispersal rate. This is because populations of the generalist species were never driven down enough in adverse environmental conditions to prevent them from suppressing other species under favorable environmental conditions.

## 4.2 No dispersal—harvest of functionally identical species for consumptive and nonconsumptive benefits in isolated communities

We next considered the impact of anthropogenic predation or harvest in each community without dispersal. All species within each patch were assumed to be functionally identical. All species experienced the same response to environmental conditions and possessed the

<sup>&</sup>lt;sup>5</sup>The system of equations was solved numerically using a 4th order Runge-Kutta ODE estimator with an adjustable step size. It should be noted that this estimator allows for infinitely small population sizes. A species population will never reach zero and be extirpated from the patch or system. Further, a species cannot be eradicated by harvest because of the nature of the Schaefer harvest function.

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same resource consumption rate curves. We present results for  $H_i = 1/2$  for i = 1, 2, 3. See Supplementary Appendix E for the outcomes under other species competition parameters and environmental conditions. We take three cases.

**4.2.1 Case 1: harvest for consumptive benefits**—When benefits were obtained solely through species harvest ( $p_{ij} > 0$ ;  $a_{ij}$ ,  $\beta_j = 0$ ), managers initially drove the stock to its equilibrium value by setting harvest effort to the maximum. Managers then maintained the equilibrium stock via harvest effort at the singular solution, which fluctuated over time by species and patch. In our case the equilibrium was a stationary cycle that oscillated deterministically according to a sine function. As in other studies of stochastic (Clark, 1976; Parma, 1990; Reed, 1979) and fluctuating (Carson et al., 2009; Costello et al., 1998; Costello et al., 2001) growth rates, we found that species harvest rates fluctuated with species consumption rates, with more valuable species being extracted at higher rates than less valuable species (Fig. 2a; Supplementary Appendix G).

In choosing the level of harvest, managers balanced current net benefits of harvest against the benefits of future harvests. Since species compete for resources within the ecological community, managers suppressed less valuable species in order to relieve competitive pressure on more valuable species. This effect involved a high initial pulse of harvest that drove down the biomass of all species, but particularly the biomass of the least valued species. The result was that abundance of the least valued species was reduced, and abundance of the more valued species was increased (Fig. 2d; Supplementary Appendix G). The lower the price of a species, the greater its initial suppression. See Supplementary Appendix F for examples when the price is low or negative (a pest species).

While suppression of the less valued species increased growth of the most profitable species, it also reduced biodiversity (Fig. 2g; Supplementary Appendix G). Biodiversity, as measured by a Simpson's index, declined and became more variable over time. As less profitable species were suppressed, fluctuations in the proportion of species biomasses reside increasingly in the single, most profitable species.

#### 4.2.2 Case 2: harvest for consumptive and non-consumptive benefits

(abundance)—If people derived benefits from both harvest (a flow benefit) and abundance (a stock benefit) ( $p_{ij}$ ,  $a_{ij} > 0$ ;  $\beta_j = 0$ ), managers harvested less at lower rates and more evenly across species (Fig. 2b, e; Supplementary Appendix G), and biodiversity increased (Fig. 2h). As stock benefits exceeded market prices, species became more valuable if left in the "wild" than for consumption. Holding harvest price constant and increasing  $a_{ij}$  resulted in the aggregate benefit of all species approaching the same value. Managers maximized net benefits by balancing the marginal net benefits of harvesting and abundance - which depends on the ratio of  $p_{ij}$  to  $a_{ij}$ . A given species was harvested only if harvest benefits exceeded abundance benefits (Hartman, 1976). If a desirable species was threatened by competitive exclusion, and the benefits from suppressing the competing species exceeded benefits from its abundance, then the competing species would be suppressed.

**4.2.3 Case 3: harvest for consumptive and non-consumptive benefits** (biodiversity)—When people derived benefits both from harvest and from the mix of species ( $p_{ij}$ ,  $\beta_j > 0$ ;  $a_{ij} = 0$ ), we found that harvest effort resulted in an even distribution of species abundances (Fig. 2c, f; Supplementary Appendix G). The Simpson's biodiversity index increased with the benefits from biodiversity, though differences were found to be negligible at high values of  $\beta_j$  (Fig. 2h; Supplementary Appendix G). While the most desirable species stock was maintained at a higher level than other species, we did not observe suppression of less valuable species.

#### 4.3 Dispersal—harvest of functionally identical species for consumptive benefits

Beyond the baseline we considered two additional scenarios. In the first we assumed species in the different communities to be functionally identical, and explored the implications of uniform and non-uniform preferences over species. If preferences are uniform, the value of each species is identical across communities ( $p_{i,1} = p_{i,2} = p_{i,3}$  for all *i*). This means that in the absence of dispersal, each community would harvest species in the same fashion. Differing environmental conditions affect fluctuations in species biomass but not harvest decisions (Supplementary Appendix E). In the presence of dispersal, optimal harvest patterns change. As dispersal rates increase we observed a shift in harvest away from the suppression of less valuable species and towards identical harvest rates for all species (Fig. 3a, b). As a consequence, species populations converged to similar levels of biomass (Fig. 3c, d). As expected the Simpson's index also increased with dispersal (Fig. 3e). The increase in harvest with dispersal is due to the fact that the marginal benefits of conserving species falls with the inflow of species—which is taken as exogenous in the harvest regime. Since managers fail to internalize the effects that their harvest decisions have on other communities, harvest drives down the size of breeding stocks retained in each community limiting local growth in each community.

If preferences are not uniform, species are valued differently in each community. That is, the set of relative prices for each unit of species harvested varied between communities ( $p_{i, 1}$   $p_{i, 2}$   $p_{i, 3}$  for all *i*). The most highly valued species in one community was taken to be the least valued in another. Harvest regimes, and by extension the abundance of species, differed between communities. At low and intermediate dispersal rates, we found the same harvest strategies as when preferences for species were the same between patches (Fig. 4a, c). However, at high dispersal rates, we found a strong effect on harvest. The greater the rate of dispersal between communities, the stronger the source-sink effect—the rate at which depleted populations were replenished. This additional biomass was harvested depending on its relative value: the highest valued species being harvested the most, the lowest valued being harvested the least (Fig. 4b, d). The Simpson's index was maximized at an intermediate dispersal rate, although the difference in the index "over the hump" was found to be negligible (Fig. 4e).

## 4.4 Dispersal—harvest of functionally different species for consumptive benefits

In our second scenario we assumed all species within each community to be functionally unique and to respond to environmental conditions differently ( $H_1 = 1$ ;  $H_2 = 1/2$ ;  $H_3 = 0$ , Fig. 1). We further assumed all species to be positively valued ( $p_{ij} > 0$ ) for their consumptive

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benefits only, and again explored the implications of uniform and non-uniform preferences over species. Recall that in the *absence of harvest* the generalist species, or the species with the highest average consumption rate, dominates the system. At low rates of dispersal, we found that the combination of harvest and competition allowed the generalist species to dominate the system even if it was the least valued (Figs. 5d and 6d).

As before, we first considered the case where preferences for species were the same across communities ( $p_{i,1} = p_{i,2} = p_{i,3}$  for all *i*). In this case, increasing dispersal rates caused harvest to decline, particularly for the least valued species (Fig. 5a–c). The most valued species were heavily harvested, while the generalist species were partially suppressed. What is particularly interesting is that at intermediate dispersal rates harvest relieved competitive pressure on the least valued species, allowing for a more even distribution of species abundances. However, at high dispersal rates the least valueble species was able to dominate the system (Fig. 5d–f).

Harvest and abundance were jointly determined by harvest price and species growth. These in turn depended on resource consumption, harvest and dispersal (mortality is held constant). When multiple species are considered, competitive pressure from the generalist species plays a large role in determining abundances. The effect of harvest is twofold. Harvest can suppress highly competitive species but can also place additional pressure on species biomass. In our case, the least valuable species was not valuable enough to be harvested, nor are the benefits great enough to justify suppression. In contrast, the generalist species was harvested for its benefits and, particularly at high dispersal rates, suppression.

Biodiversity measured by a Simpson's index first rose and then fell due to two shifts in the ratio of species abundances (Fig. 5g). At low dispersal rates generalist species dominated. At intermediate dispersal rates the least valuable species and the generalist species coexisted. At high dispersal rates the least valuable species dominated.

We finally considered the case where preferences for species were different between patches. In particular, species 1 was assumed to be the highest valued species in patch 1, species 2 the highest valued species in patch 2, and species 3 the highest valued species in patch 3. We found that as dispersal rates increased, harvest increased in the most valuable species. For the less valuable species, we observed two simultaneous shifts in harvest. Specifically, we observed declining rates of pulsed (on-off) harvest, and increasing rates of initial suppression. After the initial suppression, competition and dispersal maintained a more even ratio of species abundances (Fig. 6a–f), implying that biodiversity, as measured by the Simpson's index, increased with dispersal (Fig. 6g). However, aggregate species biomass declined as the metacommunity became more connected (Figs. 6d–f).

# 5 Discussion

In ecological systems without people, the spatial insurance hypothesis predicts a nonmonotonic relationship between biodiversity and dispersal (Gonzalez et al., 2009; Loreau et al., 2003; Mouquet and Loreau, 2003). However, in a social-ecological system the effect of dispersal on biodiversity depends only partly on the competitive interactions between

species. Just as important is the structure of human preferences for species within and across locations. Since the structure of preferences determines the rate at which each species is harvested, it also determines relative abundances. The consequence is that background species dispersal plays a different role than it does in a pure ecological model. Specifically, we found that biodiversity increased monotonically with dispersal *either* if species possessed the same ecological competition parameters *and* preferences were identical across communities, *or* if species possessed different ecological competition parameters *and* preferences were different across communities. Biodiversity was maximized at intermediate dispersal rates only if ecological competition parameters and preferences were different between communities.

The difference between our findings and those that bound the system in a way that excludes humans is due to the non-random pressure harvest places on particular species. Indeed, what determines the relative abundances of species in a social-ecological system are the interactions between competition, dispersal, and harvest. If people elect to specialize in the consumption of a single highly-valued species, then dispersal of competitors is undesirable. Indeed, this is often the case in agriculture where people select for particular crops in monocultures and competitors (weeds) are controlled. The rate at which any one species is harvested depends on the relative value of the benefits it offers. If only the direct benefits from consumption are considered, we frequently observe the suppression of less valuable species—a specialization effect of the sort identified by Brock and Xepapadeus (2002). Other joint-harvest models have found that extirpation of the least valuable species may be privately optimal (Clark, 1973; Hilborn, 1976; Mesterton-Gibbons, 1996), particularly if the manger can sufficiently target the low or negatively valued species (Fenichel and Horan, 2007b; Fenichel and Horan, 2016). In contrast, considering benefits other than direct consumption leads to the preservation of species (Bertram and Quaas, 2016).

If people's preferences are for services supported by aggregate biomass, such as carbon sequestration, or for services supported by the diversity of species in the system (e.g. the regulation of soil erosion or water quality), then the degree of connectivity that leads to the greatest biodiversity is less clear. In practice, species deliver a mix of benefits depending of their traits and abundances. These characteristics determine the degree to which different species are complements or substitutes in the provision of ecosystem services. System management in such cases reflects the ecological interactions between species, and species dispersal from other locations can either be beneficial or harmful. In cases where a species might not naturally persist, dispersal can either accelerate or slow the process. Whether dispersal is beneficial or not then depends on the value attached to the various services that such a species provides.

One of the stylized facts reflected in this paper is that resource managers in each community do not consider the effects of dispersal to other communities. The impacts of their decisions on other communities are 'external effects' of those decisions (Bird, 1987; Brock and Xepapadeus, 2010; Fenichel et al., 2014; Shogren and Crocker, 1991; Smith et al., 2009). By changing the abundance of species in each communities, but ignore the consequences of this. This allows us to explore the unanticipated effects of dispersal. These effects may be

positive or negative. Mass and rescue effects (Brown and Kodric-Brown, 1977; Shmida and Wilson, 1985) can prevent extinction of at-risk species, and source-sink effects can maintain spatially distinct populations of species (Holt, 1985; Pulliam, 1988), but these effects are only a benefit if the target populations are positively valued. There are certainly empirical examples of dispersal replenishing depleted but valuable stocks (Brown and Roughgarden, 1997; Sanchirico and Wilen, 1999), and the relation between harvest and the dispersal of harvested species is one of the main motivations for establishing marine protected areas (Gell and Roberts, 2003; Lubchenco et al., 2003) or wildlife management areas (Johannesen and Skonhoft, 2005; Schulz and Skonhoft, 1996). There are also empirical examples of dispersal causing changes in species composition and/or ecosystem dynamics (Chisholm, 2012; Ehrenfeld, 2010; McKinney and Lockwood, 1999; Rhymer and Simberloff, 1996b). The dispersal of non-native species, for example, is argued to be among the greatest threats to local biodiversity (Gurevitch and Padilla, 2004; Sax and Gaines, 2008). From an economic perspective it could be a form of "biological pollution" with potentially harmful species damaging valued species through either predation or competition (Horan et al., 2002). Whether dispersal has positive or negative effects for the social system therefore depends on the social value attaching to the species impacted by it.

If resource managers in each community take no account of the effects of their decisions on others, their actions may harm the metacommunity as a whole. In such cases there notionally exists an aggregate social-planner problem in which an overarching decision-maker, possessing perfect information about the states of the world, coordinates local decision-makers and selects harvest rates of species across all communities to maximize aggregate system-level social welfare (Clark, 2010; Conrad and Clark, 1987). The role of the ecological analysis is then to identify the cross-community consequences of dispersal, and hence provide the scientific basis for developing corrective measures to protect the public interest.

There are many possible extensions to the model including solving the aggregate socialplanner problem or allowing decision-makers to take account of the states of other patches. Decision-makers could also form coalitions, cooperating to jointly maximize the benefits of their group. By eliminating the externality of species dispersal a social planner will provide the highest social welfare. Increasing coordination between decision-makers or information on the states of other patches will increase welfare compared to our baseline case, though it will be second best to the social planner. Further, while we only considered benefits from harvest with species dispersal, there are many extensions regarding the types and distribution of preferences across the metacommunity.

The relationship between dispersal and the pattern of species diversity in a social-ecological system depends both on the competitive interactions between species, and the preferences that determine human interventions in the system. In many real systems, the central driver of anthropogenic biodiversity change is the production of foods, fuels, and fibers from a limited set of plants and domesticated animals. This has led to a reduction in species diversity, and with it the capacity of the system to accommodate changing environmental conditions. In the language of the Millennium Ecosystem Assessment, it has led to a reduction in the buffering or regulating services (Millennium Ecosystem Assessment, 2005; Perrings, 2014). In this

paper, we see the same effect when the resource-manager values only the consumptive benefits of individual species. As in real systems, the effect is the result of feedbacks between the values that determine harvest, and the dynamic interactions between harvested species. The scientific challenge is to bring feedbacks of this kind into the analysis of ecosystem dynamics in a routine way. We have focused on dispersal as one of the main drivers of ecological change, but the point applies to all anthropogenic stressors equally. Our results, for example, imply that accounting for only ecological and environmental conditions is insufficient to accurately predict community assemblages in response to climate change. Modeling ecological dynamics in the Anthropocene requires that human behavior be integrated into the analysis of species interactions more generally.

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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#### Fig. 1.

Community environmental variation (a) and species consumption (b) curves over time. In (a), color denotes community number: black (community 1,  $x_1 = \pi/2$ ), blue (community 2,  $x_2 = 0$ ), red (community 3,  $x_3 = -\pi/2$ ). The phase parameter,  $x_j$ , shifts environmental variation along its x-axis. In (b), species consumption rates are for community 1 and species is indicated by color: black ( $H_1 = 1$ ), charcoal ( $H_2 = 1/2$ ), and light gray ( $H_3 = 0$ ). Consumption rate is determined by the interaction between the species competition parameter and environmental variation. Reproduced from Shanafelt et al. (2015). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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#### Fig. 2.

Effect of harvest price when benefits are obtained from harvest only (a, d), harvest and abundance (b, e), and harvest and the mix of species (c, f). Harvest effort (a–c), species biomass (d-f), and biodiversity (g, h). In (a–f) color indicates harvest effort and species biomass for species 1 (red, highest harvest price), species 2 (green), and species 3 (blue, lowest harvest price). Black shows aggregate species biomass. In (g, h) color indicates the types of benefits: harvest only (black), harvest and abundance (blue), harvest and the mix of species (red). Note the difference in the y-axes in (g) and (h). The dynamics when benefits are derived solely from harvest take longer to reach equilibrium than when benefits are also derived from abundance and the mix of species, or when the system is coupled via dispersal. For the sake of comparison we present results for a 100 step time horizon here (a, d, g). We present results for a longer timescale in Supplementary Appendix G. The dynamics follow the same trajectory as here, saturating and settling into a persistent, fluctuating equilibrium. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



#### Fig. 3.

Effect of dispersal when species have *identical* ecological parameters, benefits are obtained through harvest only, and preferences for species are *identical* across patches. Environmental conditions *differ* between patches. Harvest effort (a, b), species biomass (c, d), and biodiversity (e). In (a–d) dispersal rate is indicated by column: a = 0.07(a, c), and a = 0.40(b, d). Color indicates harvest effort and species biomass for species 1 (red, highest harvest price), species 2 (green), and species 3 (blue, lowest harvest price). Black shows aggregate species biomass. In (e) color indicates the dispersal rate: intermediate (blue, a = 0.07), high

(red, a = 0.40). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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#### Fig. 4.

Effect of dispersal when species have *identical* ecological parameters, benefits are obtained through harvest only, and preferences for species *differ* across patches. Environmental conditions *differ* between patches. Harvest effort (a, b), species biomass (c, d), and biodiversity (e). In (a-d) dispersal rate is indicated by column: a = 0.04(a, c), and a = 0.70(b, d). Color indicates harvest effort and species biomass for species 1 (red, highest harvest price), species 2 (green), and species 3 (blue, lowest harvest price). Black shows aggregate species biomass. In (e) color indicates the dispersal rate: intermediate (blue, a = 0.04), high

(red, a = 0.70). Results are presented for patch 1. Other patches are symmetric with respect to the preferences for each species. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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## Fig. 5.

Effect of dispersal when species have *different* ecological parameters, benefits are obtained through harvest only, and preferences for species are *identical* between patches. Environmental conditions are the *same* across patches. Harvest effort (a–c), species biomass (d–f), and biodiversity (g). In (a–f) dispersal rate is indicated by column: a = 0(a, d), a = 0.07(b, e), and a = 0.40(c, f). Color indicates harvest effort and species biomass for species 1 (red, highest harvest price), species 2 (green), and species 3 (blue, lowest harvest price). Black shows aggregate species biomass. In (g) color indicates the dispersal rate: low (black, a = 0), intermediate (blue, a = 0.07), high (red, a = 0.40). For visualization we present results with a 100 step time horizon. At longer timescales the dynamics follow the same trends and trajectories (Supplementary Appendix G). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

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## Fig. 6.

Effect of dispersal when species have *different* ecological parameters, benefits are obtained through harvest only, and preferences for species *differ* between patches. Environmental conditions *differ* across patches. Harvest effort (a–c), species biomass (d–f), and biodiversity (g). In (a–f) dispersal rate is indicated by column: a = 0(a, d), a = 0.10(b, e), and a = 0.70(c, f). Color indicates harvest effort and species biomass for species 1 (red, highest harvest price), species 2 (green), and species 3 (blue, lowest harvest price). Black shows aggregate species biomass. In (g) color indicates the dispersal rate: low (black, a = 0), intermediate (blue, a = 0.10), high (red, a = 0.70). Results are presented for patch 1. Other patches are symmetric with respect to the preferences for each species. For visualization we present results with a 100 step time horizon. At longer timescales the dynamics follow the same trends and trajectories (Supplementary Appendix G). (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

				Table '	1
Ecological	and eco	nomic	model	paramete	ers.

Variable	Value	Interpretation
	value	Trache and an francisco
3	3	Total number of species
Μ	3	Total number of patches (communities)
$c_{ij}(t)$	Variable [0, 0.15]	Species consumption rate of resource biomass
Ε	0.2	Resource to species biomass conversion efficiency
М	0.2	Natural mortality rate
Ι	165	Patch resource influx
1	10	Rate of resource loss
а	Variable [0, 1]	Species dispersal rate
$H_i$	Variable 1, 1/2, 0	Species competition parameter
Xj	Variable 1, 0, -1	Environmental phase parameter
T <sub>F</sub>	25	Period of environmental variation
Economic	e parameters	
Variable	Value	Interpretation
<i>p<sub>ij</sub></i>	Variable 14, 15, 16 25, 5, 1	Price per unit species harvested
q	0.2	Efficiency of harvest effort
W	Variable 45, 65	Cost per unit of species harvest
a <sub>ij</sub>	Variable [0, 1.5]	Marginal social benefits of species abundance
$\beta_j$	Variable [0, 50]	Social benefits of biodiversity
	0.01	Discount rate
Т	100	Terminal time

*Note* that "*ij*" indicates species *i* on patch *j* where i = 1, 2, 3 and j = 1, 2, 3.

#### Table 2

# Summary of results.

Species	Benefits	Dispersal	Prices between patches	Result
Same $H_i = 1/2$	Harvest	No	_	<ul><li>Harvest of all species.</li><li>Suppression of lesser valued species.</li></ul>
	Harvest abundance	No	-	<ul> <li>Harvest declines with benefits from abundance.</li> <li>If benefits from abundance greatly exceed benefits from harvest, then harvest ceases.</li> </ul>
	Harvest biodiversity	No	-	<ul> <li>As benefits from biodiversity increase, species are harvested to maintain more even abundances.</li> <li>Levels of biomass depend on the set of relative prices.</li> </ul>
	Harvest	Yes	Same	<ul> <li>Quantity and evenness of species harvested increases with dispersal.</li> <li>Diversity increases with dispersal.</li> </ul>
	Harvest	Yes	Different	<ul> <li>Harvest rates for each species converge at intermediate dispersal, then diverge at high dispersal.</li> <li>Quantity of species harvested increases with dispersal.</li> <li>Diversity maximized at intermediate dispersal.</li> </ul>
Different $H_i$ = 1, 1/2, 0	Harvest	Yes	Same	<ul> <li>At low (high) dispersal, the generalist (least valuable) species dominates.</li> <li>Diversity maximized at intermediate dispersal.</li> </ul>
	Harvest	Yes	Different	<ul> <li>At low dispersal, the generalist species dominates.</li> <li>Initial suppression of species increase with dispersal, leading to greater coexistence but lower biomass.</li> <li>Diversity increases with dispersal.</li> </ul>