



Published in final edited form as:

Wiley Interdiscip Rev RNA. 2018 November ; 9(6): e1501. doi:10.1002/wrna.1501.

Glycogen Synthase Kinase-3 and Alternative Splicing

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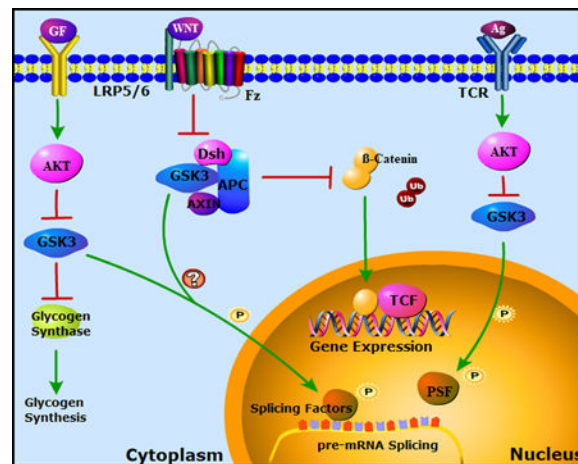
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Abstract

Glycogen Synthase Kinase-3 (GSK-3) is a highly conserved negative regulator of receptor tyrosine kinase, cytokine, and Wnt signaling pathways. Stimulation of these pathways inhibits GSK-3 to modulate diverse downstream effectors that include transcription factors, nutrient sensors, glycogen synthesis, mitochondrial function, circadian rhythm, and cell fate. GSK-3 also regulates alternative splicing in response to T-cell receptor activation, and recent phosphoproteomic studies have revealed that multiple splicing factors and regulators of RNA biosynthesis are phosphorylated in a GSK-3 dependent manner. Furthermore, inhibition of GSK-3 alters the splicing of hundreds of mRNAs, indicating a broad role for GSK-3 in the regulation of RNA processing. GSK-3 regulated phosphoproteins include SF3B1, SRSF2, PSF, RBM8A, nucleophosmin 1 (NPM1), and PHF6, many of which are mutated in leukemia and myelodysplasia. As GSK-3 is inhibited by pathways that are pathologically activated in leukemia and loss of *Gsk3* in hematopoietic cells causes a severe myelodysplastic neoplasm in mice, these findings strongly implicate GSK-3 as a critical regulator of mRNA processing in normal and malignant hematopoiesis.

Graphical/Visual Abstract and Caption



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Caption: Signaling Pathways that Regulate GSK-3 and Splicing Factor Phosphorylation

Introduction

Advances in DNA sequencing have revealed that the complexity of gene expression is enhanced by multiple regulatory modalities, including context-dependent changes in mRNA splicing. The identification of splicing variants has improved recently because of advances in sequencing and, importantly, in algorithms to analyze local splice variants. Recent analyses estimate that up to 95% of expressed genes undergo alternative splicing (Heyd & Lynch, 2011; Pan, Shai, Lee, Frey, & Blencowe, 2008; E. T. Wang et al., 2008), an observation that underscores the need to define the functional significance and mechanisms of alternative splicing. Diverse intercellular signaling pathways regulate pre-mRNA splicing through post-translational modifications of splicing factors that include phosphorylation, acetylation, methylation, sumoylation, and hydroxylation (Edmond et al., 2011; Fu & Ares, 2014; Katzenberger, Marengo, & Wassarman, 2009; Lin & Fu, 2007; Lynch, 2007; Lynch & Weiss, 2000; Stamm, 2008). Recent work from several groups has identified glycogen synthase kinase-3 (GSK-3), a core modulator of multiple signaling pathways, as a key regulator of signal-dependent mRNA splicing (Hernandez et al., 2004; Heyd & Lynch, 2010; Martinez et al., 2015; Shinde et al., 2017). This review will focus on the roles of GSK-3 in mRNA splicing, addressing splicing factors that are phosphorylated in a GSK-3-dependent manner, the impact of GSK-3 inhibition on both global and specific mRNA splicing, and possible roles for GSK-3 in aberrant splicing associated with malignancy.

WHAT IS GSK-3? BACKGROUND:

The observations that inhibition of GSK-3 alters the splicing of mRNAs that encode Tau protein in neurons (Hernandez et al., 2004) and CD45 in human T-lymphocytes (Heyd & Lynch, 2010) raised the possibility that GSK-3 could be a broad regulator of alternative splicing in diverse contexts and in a manner that responds to extracellular signaling. This was supported by subsequent observations that pharmacologic and genetic inhibition of GSK-3 alters the splicing of hundreds of mRNAs in a cell-type specific manner (Martinez et al., 2015; Shinde et al., 2017). As GSK-3 functions in diverse signaling pathways and is itself subject to diverse modes of regulation, we will briefly summarize the functions and regulation of GSK-3.

GSK-3 is a constitutively active, ubiquitously expressed protein kinase that regulates multiple signaling pathways, frequently as a pathway antagonist that must be inhibited by upstream signaling (Figure 1) (B. W. Doble & Woodgett, 2003; Kaidanovich-Beilin & Woodgett, 2011; Sutherland, 2011; Valvezan & Klein, 2011). GSK-3 is highly conserved in species from *Dictyostelium discoideum* to humans. In vertebrates, GSK-3 is typically encoded by two similar genes, *Gsk3a* and *Gsk3b*, which encode the proteins, GSK-3 α and GSK-3 β . The two isoforms share 98% amino acid sequence identity in their catalytic domains and function redundantly in many but not all pathways (for example see (X. Chen et al., 2017; B.W. Doble, Patel, Wood, Kockeritz, & Woodgett, 2007; B. W. Doble & Woodgett, 2003; Hoeflich et al., 2000)). Here we will refer to GSK-3 α and GSK-3 β collectively as GSK-3 unless otherwise specified.

GSK-3 was first identified as a serine/threonine kinase that phosphorylates and inhibits glycogen synthase (GS), the rate-limiting enzyme in glycogen synthesis (B. W. Doble & Woodgett, 2003; Picton, Woodgett, Hemmings, & Cohen, 1982). Phosphorylation of GS by GSK-3 requires pre-phosphorylation by a priming kinase at a position 4 amino acids C-terminal to the GSK-3 phosphorylation site, representing a frequent but not universal consensus (S/TXXXXS/T) for GSK-3 phosphorylation (Fiol, Mahrenholz, Wang, Roeske, & Roach, 1987; Frame, Cohen, & Biondi, 2001; Kaidanovich-Beilin & Woodgett, 2011; Sutherland, 2011; C. Xu, Kim, & Gumbiner, 2009; W. Zhang, DePaoli-Roach, & Roach, 1993). Receptor tyrosine kinase (RTK) signaling (as well as cytokine, T cell receptor, and other signaling pathways) inhibits GSK-3 activity through activation of AKT, which phosphorylates a conserved N-terminal serine in GSK-3 α and GSK-3 β , creating a pseudosubstrate/autoinhibitory domain that mimics these primed phosphorylation sites (Frame et al., 2001). GSK-3 phosphorylates multiple substrates in addition to GS to regulate diverse processes that include cell growth and survival, cytoskeletal organization, protein stability, circadian rhythm, immune responses, and development (B. W. Doble & Woodgett, 2003; Kaidanovich-Beilin & Woodgett, 2011; Sutherland, 2011; Valvezan & Klein, 2011). N-terminal phosphorylation is therefore one of several mechanisms to block GSK-3 activity and activate downstream signaling through diverse effectors. However, GSK-3 also phosphorylates substrates that lack the +4 priming site, including cyclin D1, Tau, and protein phosphatase-1 inhibitor-2 (Shinde et al., 2017; Sutherland, 2011).

As part of a multiprotein “destruction complex”, GSK-3 also antagonizes the canonical Wnt pathway by phosphorylating β -catenin and targeting it for proteosomal degradation. Wnt signaling modulates this cytosolic complex to inhibit GSK-3 (Piao et al., 2008; Tran & Polakis, 2012; Valvezan, Zhang, Diehl, & Klein, 2012) and stabilize β -catenin, which then activates Wnt target genes such as c-myc, cyclin D1, and axin-2 (Polakis, 2000). Wnt-mediated inhibition of GSK-3 also activates other downstream pathways, including mTOR and Hippo signaling (Inoki et al., 2006; Myers, Yousefi, Lengner, & Klein, 2018). Importantly, Wnt inhibition of GSK-3 is mechanistically distinct from RTK/AKT signaling, as Wnt signaling is unaffected by mutating the GSK-3 N-terminal serines to alanine (Ding, Chen, & McCormick, 2000; B.W. Doble et al., 2007; McManus et al., 2005). Nevertheless, direct GSK-3 inhibitors activate signaling downstream of GSK-3 in both pathways, mimicking Wnt and RTK signaling.

Gsk3 loss of function disrupts cell fate in diverse organisms from protozoans like *Dictyostelium* to invertebrate and vertebrate animals including *Drosophila*, amphibians, fish, and mice (B. W. Doble & Woodgett, 2003). *Gsk3b* loss of function mutations in mice are embryonic or neonatal lethal (Hoeflich et al., 2000; Liu, Arron, Stankunas, Crabtree, & Longaker, 2007), and the *Gsk3a;Gsk3b* double knockout (*Gsk3* DKO) is lethal in early embryogenesis (Barrell, Szabo-Rogers, & Liu, 2012; B.W. Doble et al., 2007). Furthermore, DKO mouse embryonic stem cells (mESCs) are unable to differentiate into most embryonic lineages either in vitro or in vivo, and instead maintain an undifferentiated state characterized by the persistent expression of pluripotency markers (B.W. Doble et al., 2007).

Although GSK-3 was initially characterized as a cytosolic protein kinase, nuclear functions for GSK-3 β have been well documented, notably for directing the export of NFAT (Beals,

Sheridan, Turck, Gardner, & Crabtree, 1997) and cyclin D1 (Alt, Cleveland, Hannink, & Diehl, 2000) as well as for the regulation of transcription factors including Rev-erba (Yin, Wang, Klein, & Lazar, 2006) and CREB (Sutherland, 2011). These observations identify GSK-3 β activity within the nucleus (Beurel, Grieco, & Jope, 2015; Bijur & Jope, 2001), suggesting that it is therefore capable of phosphorylating splicing factors in the nucleus. In contrast to GSK-3 β , the nuclear access of GSK-3 α appears to be more restricted and dependent on calcium signaling (Azoulay-Alfaguter et al., 2011). However, splicing factors that shuttle between the nucleus and cytoplasm may still be accessible to both GSK-3 isoforms.

SPLICING REGULATION

Signaling pathways that regulate splicing.—Splicing requires multiple protein–protein and protein–RNA interactions and is regulated by trans-acting proteins that are also subject to regulation by post-translational modifications for normal function. Splicing is primarily a co-transcriptional process regulated by the spliceosome, a mega-dalton complex comprising five short nuclear ribonucleoprotein particles (snRNPs), which in turn are composed of specific uridine-rich small nuclear RNAs (U1, U2, U4, U5, and U6 snRNAs), Sm proteins, and hundreds of auxiliary proteins known collectively as splicing factors (reviewed in (Dvinge, Kim, Abdel-Wahab, & Bradley, 2016; Fu & Ares, 2014; Wahl, Will, & Luhrmann, 2009)). Basic mechanisms for constitutive RNA splicing require cis-regulatory elements and trans-acting factors that bind to these elements to promote engagement with the spliceosome and modulate splicing. Exonic and intronic splicing enhancers (ESEs and ISEs) and splicing silencers (ESSs and ISSs) are sequence elements in the pre-mRNA that bind regulatory proteins which in turn modulate the binding and activity of splicing factors at distal splice sites. Splice site recognition is pivotal to determining the final combination of exons included in each complete mRNA transcript. Serine-arginine rich (SR) splicing factors typically bind ESEs to promote exon inclusion (although they may also bind intronic sequences), whereas heterogeneous nuclear ribonucleoproteins (hnRNPs) typically bind ESSs and/or ISSs to promote exon exclusion. However, these patterns are not absolute, and the function of hnRNPs, as well as SR proteins, may vary with cell context (Fu & Ares, 2014). More specific, tissue restricted factors also function as enhancers or suppressors of splicing in a context specific manner (Heyd & Lynch, 2011).

Signal-induced alternative splicing is a primary mechanism for regulating protein isoform expression in response to changing cellular environmental cues (Lynch, 2007; Shin & Manley, 2004). For example, antigen induced signaling through the T-cell receptor (TCR) regulates the splicing of hundreds of genes involved in multiple T-cell effector functions, including the secretion of cytokines and cytotoxins (Martinez & Lynch, 2013; Martinez et al., 2012). Additionally, RTK signaling through AKT induces phosphorylation of the splicing factor SRSF1 to regulate alternative splicing of fibronectin in fibroblasts (Blaustein et al., 2005; White et al., 2010) and caspase-9 in lung cancer cells (Shultz et al., 2010). As discussed below, insulin and Wnt signaling also modulate the splicing of multiple genes in *Drosophila* S2 cells (Hartmann et al., 2009). However, in most cases, the mechanisms by which signaling pathways regulate pre-mRNA processing remain to be elucidated.

GSK-3 dependent phosphorylation of splicing factors—Pharmacologic inhibition of GSK-3 mimics activation of diverse signaling pathways and alters the splicing of specific mRNAs in lymphocytes, neurons, cardiomyocytes, and embryonic stem cells. GSK-3 also phosphorylates specific splicing factors in these settings, suggesting a direct role for GSK-3 in alternative splicing.

PSF in alternative splicing of *CD45*—Activation of the T-cell receptor (TCR) by antigen presentation induces alternative splicing of the receptor tyrosine phosphatase *CD45*, resulting in expression of isoforms that exclude various combinations of exons 4, 5, and/or 6 (Figure 2) (Hermiston, Xu, Majeti, & Weiss, 2002; Heyd & Lynch, 2010). Induction of *CD45* lacking exons 4–6 provides negative feedback to reduce sensitivity to additional antigen stimulation (Hermiston et al., 2002). *CD45* alternative splicing is regulated by two factors, the polypyrimidine tract-binding protein (PTB)-associated splicing factor (PSF, also known as splicing factor-proline/glutamine rich (SFPQ)) and hnRNP L-like (hnRNP LL). Both factors bind to ESS elements in *CD45* pre-mRNA to promote variable exon exclusion. However, TCR activation regulates *hnRNPLL* by increasing its transcription and regulates PSF by modulating its phosphorylation state. In the absence of TCR signaling, PSF is phosphorylated by GSK-3 at threonine-687, which promotes interaction with thyroid-hormone receptor associated protein 150 (TRAP150) and prevents PSF from binding to ESS elements in *CD45* pre-mRNA. TCR activation inhibits GSK-3, alleviating the phosphorylation and sequestration of PSF, allowing it to bind *CD45* ESS elements in variable exons 4–6 and repress exon inclusion (Heyd & Lynch, 2010). Small molecule inhibitors of GSK-3 mimic TCR activation by similarly inhibiting phosphorylation of PSF at Thr-687. As PSF has additional functions in the nucleus, including roles in transcription and nuclear retention of RNA (Shav-Tal & Zipori, 2002), the regulation of PSF by GSK3 may influence a wider spectrum of PSF-mediated gene expression events.

SRSF2 and alternative splicing of *Tau/MAPT* exon 10—Tau is a microtubule-associated protein expressed predominantly in the brain that promotes microtubule stability. Tauopathies are a group of neurodegenerative disorders in which tau protein accumulates in the brain to form neurofibrillary tangles composed of tau protein aggregates organized in paired helical filaments. These neurofibrillary tangles develop through hyperphosphorylation of tau, missense mutations in the gene that encodes tau (*MAPT*), or aberrant splicing of *MAPT* pre-mRNA. GSK-3 is one of several protein kinases that phosphorylate tau at sites associated with paired helical filaments, but an additional role for GSK-3 in modulating tau/*MAPT* splicing has also been described (Hernandez et al., 2004). The *MAPT* gene contains 16 exons and is subject to extensive alternative splicing that generates 6 tau isoforms in the adult brain. The inherited tauopathy frontotemporal dementia with parkinsonism associated with chromosome-17 (FTDP-17) is caused by both exonic and intronic mutations in *MAPT* (Poorkaj et al., 1998; Spillantini et al., 1998). Most of the intronic mutations disrupt *MAPT* pre-mRNA processing in a manner that increases inclusion of exon 10, which encodes one of four repeat sequences involved in microtubule binding and is believed to promote accumulation of paired helical filaments and ultimately neurodegeneration (Hernandez et al., 2004). Inhibition of GSK-3 in cultured neurons increases inclusion of exon 10 in tau mRNA (Hernandez et al., 2004). GSK-3 can also phosphorylate the splicing factor SRSF2 (SC35)

(Hernandez et al., 2004; S. Wang et al., 2018) and GSK-3 inhibition was reported to promote colocalization of GSK3 and SRSF2 in the nucleus (Hernandez et al., 2004). Although a causal relationship between GSK-3 activity, SRSF2 phosphorylation, and exon 10 inclusion has not yet been shown, these findings support a role for GSK-3 in regulating tau via alternative splicing.

Phosphoproteomic identification of GSK-3 substrates—A comprehensive analysis of GSK3 substrates recently performed in mouse embryonic stem cells (mESCs) using stable isotope labeling of amino acids in cell culture (SILAC) and mass spectroscopy identified multiple phosphoproteins involved in RNA biosynthesis and processing (Shinde et al., 2017). Previous work using genetic approaches, in vitro kinase assays, and small molecule GSK-3 inhibitors had identified over 100 proposed GSK-3 substrates in diverse cell types, but the spectrum of endogenous GSK-3 substrates in a given cell type had not been determined. mESCs were selected for this analysis because of the availability of a complete knockout (DKO) of both *Gsk3a* and *Gsk3b* in a uniform population of cells (B.W. Doble et al., 2007). The comparison of wild-type and *Gsk3* DKO ESCs identified multiple splicing factors (Table 1), including RBM8A (RNA binding protein 8A, also known as Y14), SRSF9 (serine-arginine rich splicing factor-9), and the nucleolar proteins NPM1 (nucleophosmin 1) and PHF6 (plant homeodomain finger protein 6) (Shinde et al., 2017).

Although the SILAC approach does not distinguish direct phosphorylation from indirect dependence on GSK-3 activity, direct phosphorylation of RBM8A, SRSF9, PSF, NPM1, and PHF6 was confirmed by in vitro kinase reactions with recombinant GSK-3 β . Significant GSK-3-dependent phosphorylation of additional SR proteins, including Tra2b/SFRS10, CELF1 (CUGBP Elav-like family member 1), SRRM1, SRRM2, SRSF7, RBM39, and snRNP70 was also observed, with phosphorylation predominantly in SR domains (Figure 3).

Additional proteins associated with pre-mRNA processing that demonstrated GSK-3-dependent phosphorylation included PPP4R2, a regulatory subunit of protein phosphatase 4 (PP4) that interacts with the SMN complex and regulates the maturation of spliceosomal snRNPs; WBP11/SIPP1 (splicing factor that interacts with PQBP-1 and PP1); NCBP3 and UIF, both involved in RNA export; the pre-mRNA 3'-end processing factor FIP1; and the 7SK snRNA methylphosphate capping enzyme (Mepce). A high level of GSK-3 dependent phosphorylation was also observed for the RNA binding proteins eIF3b, Rps28, Rpl18, and Rsl1d1. Although a S/TXXXXS/T consensus site has been identified for several well-characterized GSK-3 substrates (B. W. Doble & Woodgett, 2003; Kaidanovich-Beilin & Woodgett, 2011; Sutherland, 2011), this motif was present in a minority of substrates identified in the SILAC analysis (Shinde et al., 2017). As discussed below, *Gsk3* DKO also disrupted the splicing of ~190 pre-mRNAs.

A recent study identified site-specific S-nitrosylation as a novel post-translational modification of GSK-3 β in cell lines, primary cardiomyocytes, and hearts from a guinea pig model of heart failure (S. Wang et al., 2018). This study showed that S-nitrosylation inhibits the phosphorylation of cytosolic GSK-3 substrates such as glycogen synthase and appears to alter the substrate specificity of GSK-3 for nuclear substrates, reducing phosphorylation of some targets and increasing the phosphorylation of others. Furthermore, S-nitrosylated

GSK-3 β is enriched in the nucleus and phosphoproteomic analysis of nuclear proteins identified multiple splicing factors, including SF3B1, SCAF1, SRSF2, SRSF4, SRSF11, NONO (Non-POU domain-containing octamer-binding protein), CSTF2 (cleavage stimulation factor 2), and CDC40 (Pre-mRNA-processing factor 17), as nitrosylation-dependent nuclear GSK-3 substrates; additional splicing factors were phosphorylated in a GSK-3 dependent manner that was independent of nitrosylation. Similar to the findings of Shinde et al, the majority of nuclear GSK-3 substrates lacked the S/TXXXS/T consensus; however, phosphorylated serines or threonines were frequently adjacent to proline, consistent with the known enrichment of proline adjacent to GSK-3 phosphorylation sites. Furthermore, tandem affinity purification (TAP-tag) of GSK-3 followed by mass spectrometry of the immune complex demonstrated that GSK-3 interacts with the spliceosome C complex (S. Wang et al., 2018). These findings are consistent with the prior studies in mESCs, human T-cells, and mouse neurons and together provide strong support for a broad role for GSK-3 in modifying splicing factors and other proteins involved in RNA biosynthesis and processing.

SR domain proteins—A major class of splicing proteins identified in the above phosphoproteomic analyses were SR proteins. SR proteins are highly conserved trans-acting mRNA binding proteins that play critical roles in splicing typically by binding to splicing enhancer elements in a sequence and context specific manner to modulate splicing (Busch & Hertel, 2012; Shepard & Hertel, 2009). The arginine-serine (RS) domains of SR proteins consist of multiple consecutive RS dipeptide repeats that undergo extensive phosphorylation by multiple kinases, including members of the SR protein kinase (SRPK) family (SRPK1 and SRPK2), hPRP4, topoisomerase I and the CDC2-like kinase (CLK) family (CLK1 to CLK4) (Giannakouros, Nikolakaki, Mylonis, & Georgatsou, 2011; Stamm, 2008; Zhou & Fu, 2013). GSK-3 phosphorylates multiple SR family protein splicing factor members within the RS motifs (Figure 3)(Shinde et al., 2017), which could modulate RNA binding, protein-protein interactions, and/or subcellular localization of RS proteins (Prasad, Colwill, Pawson, & Manley, 1999). For example, phosphorylation of SRSF1 (SF2/ASF) increases its binding to U1 70-kDa snRNP and regulates SRSF1 shuttling to the spliceosome from nuclear speckles (a subnuclear compartment enriched in small ribonucleoprotein particles and splicing factors) (Misteli et al., 1998; Stamm, 2008; Xiao & Manley, 1997, 1998).

GSK3 phosphorylates RBM8A (Y14), a component of the exon-junction complex involved in both splicing and nonsense mediated mRNA decay, at serines 166 and 168, which lie within adjacent RS motifs. Phosphorylation of these residues inhibits RBM8A interaction with other exon-junction complex components (Hsu et al., 2005; Ishigaki, Nakamura, Tatsuno, Ma, & Tomosugi, 2015), although these interactions have not yet been shown to be regulated specifically by GSK-3. SRSF10 is dephosphorylated in response to heat shock and plays an essential role in cell survival under stress conditions by inhibiting the splicing machinery (Shin, Feng, & Manley, 2004). Phosphorylation of the SR motifs also modulates the interaction of SR proteins with other splicing factors, as well as with pre-mRNAs during spliceosome assembly (Xiao & Manley, 1998).

GSK-3 dependent phosphorylation of other RNA binding proteins—In addition to spliceosomal proteins, GSK-3 regulates the phosphorylation of multiple RNA binding proteins that have been implicated in splicing as well as additional RNA processing functions. Most prominent among the proteins identified in the phosphoproteomic studies are NPM1 and PHF6, which were phosphorylated in a GSK-3 dependent manner and demonstrated in vitro phosphorylation by recombinant GSK-3 β (Shinde et al., 2017). NPM1 is a nucleolar protein with diverse functions in the cell, including ribosome biogenesis, chromatin remodeling, and mRNA processing, and has been reported to function as a negative regulator of alternative splicing (Box et al., 2016; Tarapore et al., 2006). NPM1 post-translational modifications regulate both subcellular localization and function (Murano, Okuwaki, Hisaoka, & Nagata, 2008; Shandilya et al., 2014). NPM1 phosphorylation at serine 225 in mouse ESCs (ser-227 in human NPM1) is blocked by *Gsk3* DKO and GSK-3 β phosphorylates human NPM1 in vitro at serine 222 (Shinde et al., 2017). PHF6 regulates chromatin architecture, suppresses ribosomal RNA synthesis and, when overexpressed, interacts with splicing factors and components of the NuRD complex (Soto-Feliciano et al., 2017; Todd, Ivanochko, & Picketts, 2015; Todd & Picketts, 2012; J. Wang et al., 2013). PHF6 is highly phosphorylated at serines 154 and 155 in a GSK3 dependent manner (Shinde et al., 2017). As with NPM1 and the SR proteins, the functional significance of PHF6 phosphorylation by GSK-3 has not yet been addressed, but these serines are adjacent to a nuclear localization signal and their phosphorylation may therefore regulate the subcellular localization of PHF6.

GSK-3 can also phosphorylate the AU-rich element binding protein p40^{AUF1} to regulate mRNA conformation in vitro. Some growth regulating mRNAs contain AU-rich elements in the 3'-untranslated regions that destabilize these mRNAs. p40^{AUF1} has an SXXXS motif that can be phosphorylated by GSK-3 in vitro. Phosphorylation of ARE-bound p40^{AUF1} causes remodeling of the protein-ARE complex and increases susceptibility to degradation. Conversely, when p40^{AUF1} is dephosphorylated the ARE/protein complex assumes a condensed conformation that correlates with increased stability. Hence GSK-3 may regulate mRNA turnover by remodeling the ARE-ribonucleoprotein complex (Wilson et al., 2003). GSK-3 also phosphorylates and destabilizes the RNA demethylase FTO (fat mass and obesity-associated protein); inhibition of GSK-3 causes accumulation of FTO which reduces overall levels of methylated (N⁶-methyladenosine or m⁶A) mRNAs (Faulds et al., 2018). In turn, m⁶A may regulate mRNA splicing, export, stability, or translation (Covelo-Molares, Bartosovic, & Vanacova, 2018).

It is important to note that the cell-based studies described above cannot distinguish whether the identified proteins are phosphorylated directly by GSK-3 or indirectly by a GSK-3 regulated protein kinase. For example, inhibition of GSK-3 activates the mechanistic target of rapamycin complex 1 (mTORC1) (Inoki et al., 2006), which was recently shown to activate the SR protein kinase SRPK2 and regulate splicing of lipogenic pre-mRNAs (G. Lee et al., 2017). Conversely, inhibition of GSK-3 β causes alternative splicing of *RAC1* pre-mRNA in colorectal cancer cells indirectly through reduction of SRPK1 levels and reduced phosphorylation of SRSF1 (Goncalves et al., 2014). GSK-3 inhibition could therefore modulate splicing indirectly through the regulation of SRPK1, SRPK2, or other downstream

kinases. Although in vitro phosphorylation experiments (Heyd & Lynch, 2010; Shinde et al., 2017) show that GSK-3 *can* directly phosphorylate SRSF2, SRSF9, NPM1, PHF6, RBM8A, and PSF, this supports but does not prove that the phosphorylation occurs directly in vivo. Similarly, functional experiments involving mutation of phosphorylation sites within putative substrates may support a role for a given serine or threonine, but do not generally identify the protein kinase or prove that phosphorylation per se regulated the observed function. Thus, validation of a direct in vivo phosphorylation by a given protein kinase is especially challenging and is usually inferred from multiple, orthogonal approaches.

GSK-3 regulates splicing—Several groups using varied approaches have established that GSK-3 phosphorylates factors involved in pre-mRNA splicing and more generally in mRNA processing. However, with the exception of PSF regulation of *CD45* splicing, how these phosphorylation events affect splicing factor function is yet to be investigated in most cases. Addressing the functional impact of GSK-3 on splicing, high throughput sequencing analyses have suggested a broad role for GSK-3 in the regulation of alternative splicing (Martinez et al., 2015; Shinde et al., 2017). To investigate the effect of complete genetic loss of both *Gsk3* isoforms on global splicing, *wild-type* and *Gsk3* double knockout (DKO) mouse embryonic stem cells (mESCs) were subjected to high depth RNA-sequencing followed by analysis through the MAJIQ (Modeling Alternative Junction Inclusion Quantification) algorithm (Vaquero-Garcia et al., 2016) to identify local splice variants (LSVs) and to measure the relative LSV abundance (change in percent spliced in (dPSI)) in DKO compared to *wild-type* (Martinez et al., 2015; Shinde et al., 2017). This identified 194 significant splicing differences (dPSI >20) in 188 genes, including genes involved in the regulation of DNA methylation such as *TET2*, which is also commonly mutated in leukemia and myelodysplasia. When stringency was reduced to dPSI > 10, 620 splicing events were altered in *Gsk3* DKO compared to *wild-type* mESCs. The most common changes observed were cassette exons, intron retention, and alternative first exon use. Additionally, human T-cells were treated with the GSK-3 inhibitor SB216763 and then a high throughput method termed RNA-mediated oligonucleotide annealing, selection, and ligation coupled with sequencing (RASL-seq) was used to identify multiple LSVs that were sensitive to GSK-3 inhibition (Martinez et al., 2015; Shinde et al., 2017). Similarly, T-cell derived Jurkat cells treated with the GSK-3 inhibitor demonstrated splicing variants in >200 pre-mRNAs, including genes that regulate apoptosis, splicing, and, intriguingly, *RUNX1* and *ASXL1*, which are associated with human acute myeloid leukemia and carcinomas, as described below (Kristen Lynch, personal communication). Taken together, these high throughput analyses of local splicing variants demonstrate a broad role for GSK-3 in context specific alternative splicing of multiple pre-mRNAs.

Wnt and RTK pathways may regulate splicing through inhibition of GSK-3—

Wnt, cytokine, and receptor tyrosine kinase (RTK) pathways inhibit GSK-3 to activate downstream signaling (Figure 1), albeit through biochemically distinct mechanisms. Therefore, activation of these pathways might be predicted to alter splicing in part through inhibition of GSK-3. This mode of signal dependent regulation was demonstrated for TCR activation in human T-cells, as described for alternative splicing of *CD45*. In addition, both insulin and Wnt signaling alter splicing of 149 (insulin) and 85 (Wnt/wingless) genes in

Drosophila S2 cells (Hartmann et al., 2009). The involvement of GSK-3 was not investigated in that study, but these pathways could, in principle, regulate alternative splicing through inhibition of GSK-3. Although the sets of alternatively spliced mRNAs were distinct of the two pathways, this could reflect the distinct mechanisms of GSK-3 inhibition or that each pathway signals through multiple effectors in addition to GSK-3. However, the regulation of splicing by these pathways is likely to be complex and involve multiple mechanisms. For example, the Wnt pathway also activates the transcription of *SRSF3* which in turn modulates the splicing of *CD44* mRNA (Bordonaro, 2013; Goncalves, Matos, & Jordan, 2008).

SPLICING MUTATIONS IN CANCER

Leukemia—Although alternative splicing provides cells with a means to diversify the proteome, pathological disruption of splicing factors may also promote the initiation and/or maintenance of cancer. The importance of differential splicing in normal and malignant hematopoiesis is supported by evidence showing distinct patterns of alternative splicing at each step of the hematopoietic hierarchy (L. Chen et al., 2014; Edwards et al., 2016; Inoue, Bradley, & Abdel-Wahab, 2016; Pimentel et al., 2016; J. J. Wong et al., 2013). The observations from the Cancer Genome Atlas (TCGA) that 14% of AML patients have mutations in spliceosomal factors focused attention on the potential oncogenic roles of splicing factors (Cancer Genome Atlas Research, 2013; Kandoth et al., 2013). Splicing factors are also frequently mutated in myelodysplastic syndromes (MDS), a group of clonal disorders of hematopoiesis characterized by hypercellular bone marrow, morphological dysplasia, ineffective hematopoiesis leading to anemia, thrombocytopenia, and/or neutropenia, and a high risk of progression to AML. The role of splicing and splicing factor mutations in cancer is covered in more detail in several recent, comprehensive reviews (Dvinge et al., 2016; Goncalves, Pereira, & Jordan, 2017; A. C. H. Wong, Rasko, & Wong, 2018), and here we will focus on splicing factors that are phosphorylated by GSK-3 and that may play a role in leukemogenesis associated with disruption of GSK-3 activity. These include SF3B1, SRSF2, PSF, NPM1, PHF6, and other factors identified in the phosphoproteomic analyses described above.

Although *GSK3* mutations have not been identified in TCGA analyses of acute leukemias or solid tumors, GSK-3 is both a target and a negative regulator of pathways that are commonly activated by somatic mutations in malignancies, including RTK/PI3K/AKT and Wnt signaling. Thus, upstream pathway stimulation inhibits GSK-3 and activates signaling downstream of GSK-3. The rarity of *GSK3* mutations in cancer may be explained by the presence of two, mostly redundant *GSK3* genes, *GSK3A* and *GSK3B*, so that oncogenic mutations would require mutation of 3 to 4 separate loci. Nevertheless, a strong argument for GSK-3 regulated pathways in oncogenic transformation can be made for colorectal carcinoma (e.g. Wnt signaling) and leukemias (e.g. RTK signaling) based on known mutations and data from animal models.

RTK signaling is activated in a high frequency of AML cases, for example due to mutations in *FLT3* or *c-KIT* (Cancer Genome Atlas Research, 2013). RTKs activate multiple cytosolic effectors, including phosphatidylinositol-3 kinase (PI3K) and AKT (Q. Xu, Simpson, Scialla, Bagg, & Carroll, 2003), which in turn phosphorylates and inhibits GSK-3 (Cross,

Alessi, Cohen, Andjelkovich, & Hemmings, 1995) and enhances survival signaling (Beurel et al., 2015; Beurel & Jope, 2006). Hematopoietic cytokines, including thrombopoietin and erythropoietin, can also activate AKT and inhibit GSK-3 (Soda, Willert, Kaushansky, Geddis, & Ibarra, 2008; Somerville, Linch, & Khwaja, 2001). Although a role for AKT regulation of GSK-3 in AML has not been established, AKT is constitutively active in primary AML cells from a majority of patients (Martelli et al., 2011; Q. Xu et al., 2003). The activated mutant of FLT3, FLT3-ITD, may also inhibit GSK-3 through a non-canonical mechanism to activate β -catenin in primary AML cells (Jiang & Griffin, 2010; Tickenbrock et al., 2005).

A role for Wnt signaling in leukemia is supported by evidence from patient samples and experimental models of AML (Morgan et al., 2013; Simon, Grandage, Linch, & Khwaja, 2005; Y. Wang et al., 2010; Ysebaert et al., 2006), chronic myeloid leukemia (CML) (Abrahamsson et al., 2009; Heidele et al., 2012; Jamieson et al., 2004), and mixed lineage leukemia (MLL) (Yeung et al., 2010);(reviewed in (McCubrey et al., 2014; Mikesch, Steffen, Berdel, Serve, & Muller-Tidow, 2007; Staal & Clevers, 2005)). β -catenin protein levels are elevated in human AML (Morgan et al., 2013; Simon et al., 2005), and a Wnt/ β -catenin transcription reporter showed increased activity in AML patient samples (Simon et al., 2005). β -catenin protein expression also correlates with increased colony formation and serial replating in human AML and is associated with poor overall survival (Ysebaert et al., 2006). β -catenin is also required for leukemogenesis induced in mice by overexpression of HoxA9/Meis1a or MLL-AF9 (Y. Wang et al., 2010).

Adenomatous polyposis coli (APC) is a tumor suppressor and core component of the Wnt pathway that enhances GSK-3 activity (Valvezan et al., 2012) to inhibit Wnt signaling. Loss of *APC*, as observed in the majority of colorectal cancers, inhibits GSK-3 and constitutively activates downstream Wnt/ β -catenin signaling (Polakis, 2000). The *APC* gene lies within a region of chromosome 5q that is frequently deleted in MDS and in AML and haploinsufficiency for *Apc* in mice causes an MDS/myeloproliferative phenotype (Lane et al., 2010; J. Wang, Fernald, Anastasi, Le Beau, & Qian, 2010), consistent with a role for GSK-3 inhibition in myeloid neoplasms. Interestingly, casein kinase 1 α cooperates with GSK-3 to suppress β -catenin; the CK1 α gene (*CSKN1A1*) also lies within the 5q commonly deleted region, and *CSKN1A1* haploinsufficiency has been strongly implicated in the pathogenesis of 5q- associated MDS (Kronke et al., 2015; Schneider et al., 2014). A direct role for GSK-3 in CML is also supported by the observation of a splice deletion in *GSK3B* mRNA specifically within granulocyte macrophage progenitors in a series of patients in blast crisis (Abrahamsson et al., 2009; Jamieson et al., 2004). Finally, the GSK-3 interacting protein and inhibitor *GSKIP* is present in a 700bp duplication within chromosome 14q in four families with inherited myeloproliferative disorder and predisposition to AML. Overexpression of *GSKIP*, together with *ATG2B*, in iPSC derived hematopoietic precursor cells and in primary cells from affected patients correlated with enhanced differentiation of hematopoietic progenitor cells, consistent with the hypothesis that inhibition of GSK-3 promotes myeloid neoplasia (Saliba et al., 2015), although it remains possible that other genes within the duplicated region contribute to this familial MPN.

Primary AML blasts from patients are difficult to maintain in culture, and their ex vivo viability can be substantially improved by adding a GSK-3 inhibitor or knocking down *Gsk3a/b* expression (Bhavanasi et al., 2017). Furthermore, combined inhibition of GSK-3 and mTORC1 maintains cells capable of initiating leukemia in mouse xenografts (“leukemia initiating cells”). These observations are consistent with the hypothesis that the survival of AML cells in vivo requires endogenous signals that act through pathways that inhibit GSK-3, such as RTK, cytokine, or Wnt signaling; poor ex vivo survival would then be due in part to the lack of these signals, and GSK-3 inhibitors would then mimic the endogenous signals to improve AML survival ex vivo (Bhavanasi et al., 2017). The downstream effectors of GSK-3 in this context are not known, but splicing factors, NPM1, and PHF6 are plausible candidates.

Additional evidence for a direct role for GSK-3 in myelodysplasia and leukemia comes from work with GSK-3 inhibitors and *Gsk3* knockouts in mice (Guezguez et al., 2016; Huang et al., 2009; McCubrey et al., 2014). Pharmacological inhibition or RNAi-mediated knockdown of *Gsk3* in mouse bone marrow causes a transient myeloproliferative state (Focosi, Azzara, Kast, Carulli, & Petrini, 2009; Huang et al., 2009), similar to *Pten* knockout in hematopoietic cells (Banerji et al., 2012; Yilmaz et al., 2006; J. Zhang et al., 2006), and complete knockout of *Gsk3a* and *Gsk3b* in mouse bone marrow causes a more severe myeloproliferative and dysplastic phenotype with marked increase in mature and immature granulocytes and dysplasia, as well as an increase in myeloid blasts that was suggestive of AML (Guezguez et al., 2016). The mechanism downstream of GSK-3 appears to be in part through enhanced Wnt/ β -catenin but additional downstream effectors of GSK-3 likely contribute to this dramatic phenotype. An intriguing possibility is that loss of *Gsk3* in mouse hematopoietic cells alters splicing factor phosphorylation and function, leading to myelodysplasia in mice that mimics human MDS and AML associated with mutations in RNA splicing/processing factors. Furthermore, activation of pathways that inhibit GSK-3, such as FLT3 and c-Kit, or pharmacological inhibition of GSK-3, may similarly enhance AML cell viability by regulating pre-mRNA splicing or other aspects of RNA processing through GSK-3 inhibition.

It is therefore intriguing that GSK-3 regulates the phosphorylation of splicing factors that are recurrently mutated in MDS and AML, including SF3B1 and SRSF2, as well as other factors implicated in RNA biosynthesis that are frequently mutated in AML and other malignancies, including NPM1 and PHF6.

Mutations in *SF3B1* and *SRSF2*, which encode proteins involved in 3'-splice site recognition during pre-mRNA processing, are the most common class of mutations in patients with MDS and occur across the entire spectrum of myeloid malignancies, including in 10–25% of patients with AML and in a higher proportion of patients with AML transformed from MDS (Cancer Genome Atlas Research, 2013; Gelsi-Boyer et al., 2009; Inoue et al., 2016; Papaemmanuil et al., 2011; Yoshida et al., 2011). These mutations occur early in the evolution of MDS, based on clonal architecture, consistent with driver mutations (Dvinge et al., 2016; Kandath et al., 2013; Lindsley et al., 2015; Mian et al., 2015; Papaemmanuil et al., 2011).

Mutations in *SF3B1* are common in a form of MDS termed refractory anemia with ringed sideroblasts (RARS) and also occur in other myeloid malignancies, as well as uveal melanoma, bladder, breast, and pancreatic cancers. Hotspots for recurrent mutations in *SF3B1* in myeloid neoplasms as well as breast and pancreatic cancers include codons for V600, K666, and other sites that vary in frequency depending on the type of malignancy, with R625 more commonly mutated, for example, in uveal melanoma and bladder cancers. These missense mutations are exclusively heterozygous, suggesting a dominant effect rather than loss of function (Dvinge et al., 2016; Papaemmanuil et al., 2011). As SF3B1 is a highly conserved, integral component of U2 snRNPs, these mutations could have far reaching global effects on splicing. It is not yet clear how the recurrent mutations in *SF3B1* affect splicing but may involve disruption of SF3B1 interaction with pre-mRNAs (Jenkins & Kielkopf, 2017). SF3B1 phosphorylation is coupled with splicing catalysis and occurs at multiple sites throughout the molecule (C. Wang et al., 1998). Thus, GSK-3 or other protein kinases might regulate SF3B1 function by modulating protein-RNA interaction as well as protein-protein interactions (Boudrez, Beullens, Waelkens, Stalmans, & Bollen, 2002) or subcellular localization (Eto, Sonoda, & Abe, 2011).

SRSF2 mutations are present in up to 47% of cases of the myelodysplastic/myeloproliferative disorder chronic myelomonocytic leukemia (CMML) (Meggenorfer et al., 2012) and also occur at lower frequency in RARS, other forms of MDS, and AML. *SRSF2* is recurrently mutated at proline-95, which alters the specificity of SRSF2 binding to ESEs, changing the splicing of hundreds of mRNAs. Interestingly, *SRSF2^{P95}* alters the splicing pattern of *EZH2*, which is also frequently mutated in MDS, to generate a poison exon containing a premature termination codon that in turn leads to nonsense mediated decay of *EZH2* mRNA (Kim et al., 2015). Furthermore, knock-in of *SRSF2^{P95H}* in mouse hematopoietic cells drives hyperproliferation of hematopoietic precursor cells, anemia, leukopenia, and dysplasia, mimicking human MDS (Kim et al., 2015). SRSF2 is known to be phosphorylated by multiple kinases, but it is not known whether inhibition of GSK-3 mediated SRSF2 phosphorylation would mimic the specific effects of the *SRSF2^{P95}* mutation.

NPM1, a nucleolar protein with multiple proposed functions including roles in ribosome biogenesis and splicing, is mutated or rearranged in 35% of AML patients (Falini et al., 2005). NPM is highly phosphorylated by multiple protein kinases, including GSK-3. NPM1 phosphorylation in general is required for centrosome duplication, promotes NPM1 localization to nuclear speckles (Tarapore et al., 2006), and regulates NPM1 binding to RNA (Okuwaki, Tsujimoto, & Nagata, 2002). Oncogenic mutations in NPM1 disrupt the C-terminal domain resulting in sequestration of NPM1 protein in the cytoplasm, effectively inhibiting nuclear functions of NPM1. Thus, while the specific role of phosphorylation by GSK-3 is not known, loss of GSK-3 phosphorylation could affect subcellular localization or otherwise interfere with any of the nuclear functions of NPM1.

PHF6 is mutated in 3% of AML (Cancer Genome Atlas Research, 2013). Germline mutations in *PHF6* causes the X-linked neurodevelopmental disorder Borjeson-Forssman-Lehmann syndrome (Lower et al., 2002), whereas somatic mutations of *PHF6* were first identified in human T-ALL and myeloid leukemias, suggesting a role of *PHF6* as tumor

suppressor gene (Todd et al., 2015; Van Vlierberghe et al., 2010; Van Vlierberghe et al., 2011). On the other hand, knockdown of *PHF6* impairs growth of BCR-ABL1⁺ B-ALL cells in vivo (Meacham et al., 2015), raising the possibility of context dependent roles for PHF6 as both tumor suppressor and oncogene in hematopoietic neoplasms.

RBM8A (Y14) is a core component of the exon junction complex that also regulates pre-mRNA splicing (Chuang, Lee, & Tarn, 2015; Michelle et al., 2012). Heterozygous loss of *RBM8A* is associated with the rare hematopoietic disorder thrombocytopenia with absent radius (TAR) syndrome. *RBM8A* mutations have not been associated with AML or MDS, but may affect cell proliferation or viability of hematopoietic cells through the alternative splicing of pre-mRNAs encoding p53, Bcl-x, Bim, and other regulators of apoptosis. Depletion of *RBM8A* increases the expression of the proapoptotic *Bcl-x* splice variant *Bcl-x(S)* (Michelle et al., 2012). Phosphorylation of RBM8A promotes dissociation from the exon junction complex and may therefore mimic *RBM8A* depletion to promote apoptosis. If GSK-3 phosphorylation of RBM8A similarly impairs its interaction with the exon junction complex, this could suggest a mechanism for the known propapoptotic function of GSK-3 (Beurel et al., 2015; Bijur, De Sarno, & Jope, 2000; Pap & Cooper, 1998), and conversely, explain enhanced cell survival commonly observed with GSK-3 inhibitors (Nonaka, Hough, & Chuang, 1998).

How might inhibition of GSK-3 dependent splicing factor phosphorylation contribute to leukemogenesis? As discussed above, endogenous signaling through pathological activation of RTK or Wnt signaling could alter splicing factor function and affect global splicing patterns or splicing of specific genes known to drive leukemia when mutated. Based on RASL-seq analysis, inhibition of GSK-3 in human T-cell derived Jurkat cells disrupts the splicing of *RUNX1*, *ASXL1*, *CD47*, and other pre-RNAs. *RUNX1* (also known as AML1) is a transcription factor crucial for hematopoietic cell development and a frequent target of translocations and mutations in MDS, AML, and ALL (Lam & Zhang, 2012). Inhibition of GSK-3 in Jurkat cells caused alternative splicing of *RUNX1* to generate *RUNX1a*, a dominant negative form of *RUNX1* (Komeno et al., 2014; Ran et al., 2013; Tanaka et al., 1995), in 25% of transcripts. GSK-3 inhibition also induced alternative splicing of *ASXL1*, a polycomb group gene frequently mutated in MDS and AML, to generate a splice form with an early premature termination codon in 80% of transcripts. Although these RASL-seq data have not yet been validated in primary cells, *ASXL1* was also found to be alternatively spliced in hematopoietic stem and progenitor cells of MDS patients with mutations in *SF3B1* (Dolatshad et al., 2015). Additionally, knockout of *Gsk3* in mESCs caused alternative splicing of *TET2*, a DNA demethylating enzyme frequently mutated in MDS and AML (Shinde et al., 2017). These observations with *RUNX1*, *ASXL1*, and *TET2* are based on RASL-seq or RNA-seq data and are yet to be confirmed in primary cells, but raise interesting questions about the role of alternative splicing in response to GSK-3 inhibition by small molecule inhibitors, genetic loss of function, or endogenous signals that normally signal by inhibiting GSK-3.

Alternative splicing as a therapeutic target in cancer—Targeting splicing may have therapeutic use in cancers that are driven by splicing factor mutations and/or pathologic splicing of specific oncogenic mRNAs. However, despite recurrent mutations in core

splicing factors, transcriptome analyses in *SF3B1*, *SRSF2*, and *U2AF1* mutated MDS have not revealed a unifying pattern of alternative splicing (Qiu et al., 2016). Other explanations could be that oncogenic mRNAs are mis-spliced a manner specific to each mutation, that integration of global changes in splicing enhance malignant transformation in a way that is not evident from bioinformatic analysis, or that the splicing factors serve additional functions apart from splicing per se, such as regulation of DNA damage or chromatin architecture.

Recurrent mutations in *SF3B1* and *SRSF2* (as well as *U2AF1*) are exclusively heterozygous missense mutations, suggesting that cells are extremely sensitive to perturbations in core splicing factor function and that maintaining a wild-type copy is essential for viability. Thus, pharmacological inhibition of these factors may be lethal for heterozygous cells and, at an optimal level of inhibition, spare wild-type cells (Yoshida et al., 2011). In support of this hypothesis, leukemias with spliceosomal gene mutations are preferentially susceptible to additional splicing perturbations in vivo compared to leukemias without such mutations (Cancer Genome Atlas Research, 2013). Furthermore murine myeloid leukemias treated with the spliceosome inhibitor E7107 demonstrate preferential cell death of leukemia cells bearing the *Srsf2*^{P95/+} mutation compared to isogenic leukemias with wild-type *Srsf2* (S. C. Lee et al., 2016). Similar synthetic-lethal interactions between mutated *U2AF1* and exposure to spliceosome inhibitors have also been reported (Shirai et al., 2015). These results highlight the possibility that manipulation of splicing might provide therapeutic benefit in leukemia.

Although the precise physiologic function of phosphorylation of most SR proteins is not well defined, inhibition of phosphorylation of SR proteins has emerged as a potential means to modulate splicing by altering the function and localization of splicing factors. A variety of screens have been performed to identify compounds that inhibit splicing factor protein kinases such as SRPK and/or CLK. Conversely, factors that inhibit GSK-3 improve AML cell viability in culture (Bhavanasi et al., 2017), and thus agents that increase GSK-3 activity could, in principle, impair AML cell survival in vivo by disrupting splicing factor function or by mimicking mutations in *NPM1* and *PHF6*. However, further studies are needed to understand the potential therapeutic relevance of phosphorylation inhibitors and whether any genetic or histologic cancer subtypes are susceptible to these compounds (Araki et al., 2015; Muraki et al., 2004).

Conclusion

CONCLUSIONS AND FUTURE DIRECTIONS

Focused examination of signal regulated splicing as well as unbiased proteome-wide analyses have revealed that multiple RNA processing proteins are phosphorylated in a GSK-3 dependent manner, with biochemical evidence for direct phosphorylation by GSK-3 in several of these. Functional data support global regulation of mRNA splicing by GSK-3 based on *Gsk3* knockout and inhibitor studies in diverse cell types. A detailed mechanism has been worked out for one of these examples, the TCR-dependent inhibition of GSK-3 mediated phosphorylation of PSF in the regulation of *CD45* splicing in human T-cells, whereas mechanistic understanding of the impact of GSK-3 on splicing factor function in

other contexts remains to be worked out in detail. Nevertheless, these findings point to RNA processing factors as new effectors of GSK-3 dependent signaling pathways.

The observations that: 1) GSK-3 regulated factors, including *SF3B1*, *SRSF2*, *NPM1*, and *PHF6*, are frequently mutated in AML and MDS, 2) mutational activation of pathways that inhibit GSK-3 occurs frequently in AML, and 3) inhibition of GSK-3 in normal hematopoietic cells causes a myeloproliferative/myelodysplastic neoplasm, raise the intriguing possibility that GSK-3 plays a central role in leukemia pathogenesis through the regulation of RNA splicing/processing enzymes. Future research will need to define in detail the molecular and functional impact of GSK-3 phosphorylation of these factors and establish a mechanistic link between GSK-3, RNA processing, and leukemia pathogenesis, but this is an attractive and testable hypothesis that will be an exciting area for future study.

Acknowledgments

The authors thank Kristen Lynch, Yoseph Barash, and Martin Carroll for helpful discussions. PSK was supported by grants from the National Institutes of Health (1R01MH100923 and 1R01GM115517), the Penn-CHOP Blood Center for Patient Care and Discovery at the University of Pennsylvania Perelman School of Medicine, and an ASH Bridge Award from the American Society of Hematology.

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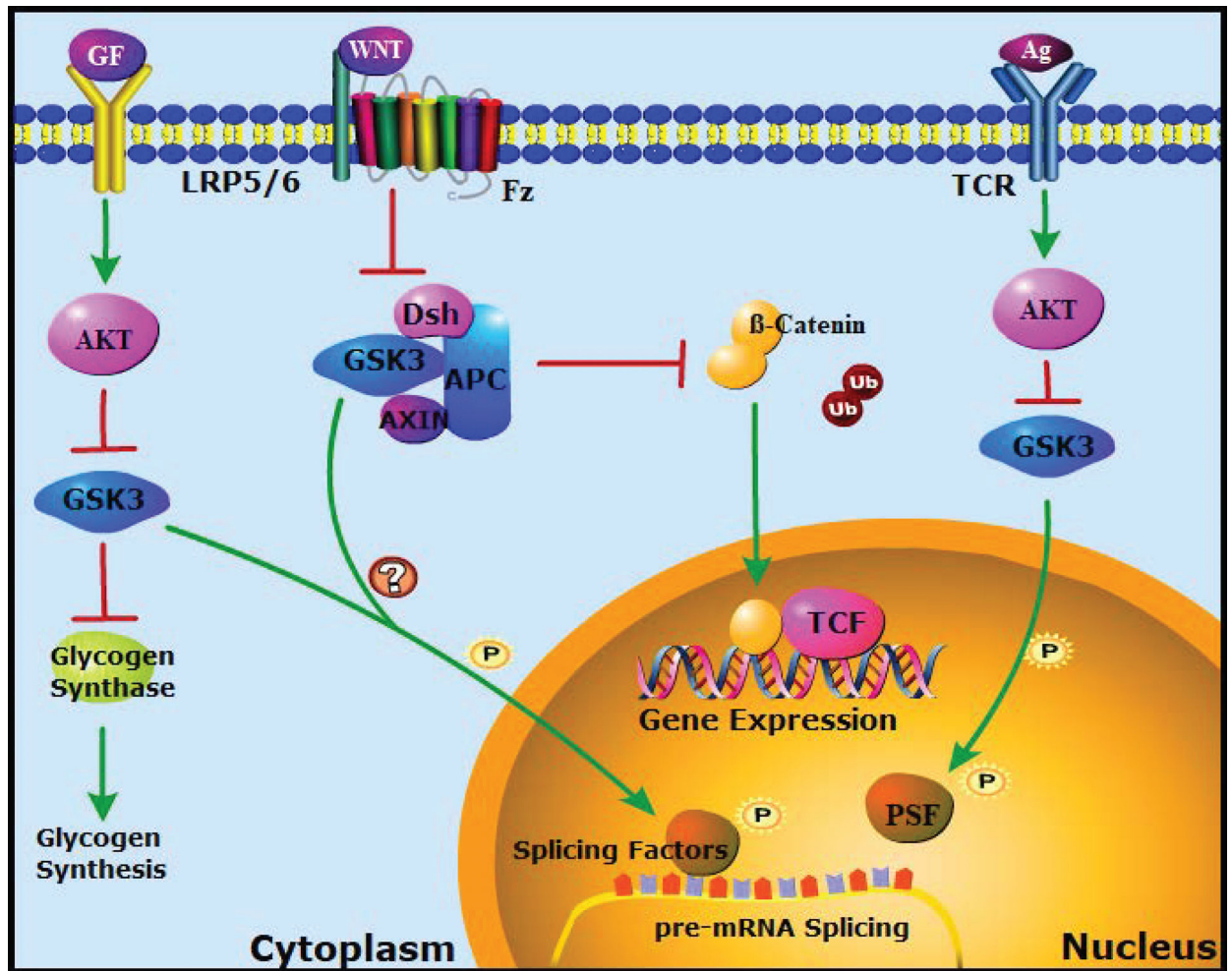


Figure 1. GSK-3 regulated signaling pathways and splicing factor phosphorylation.

Antigen stimulation of the T cell receptor (TCR) activates the cytosolic protein kinase AKT, which inhibits GSK-3 by phosphorylation at a conserved N-terminal serine. Inhibition of GSK-3 allows the polypyrimidine tract-binding protein (PTB)-associated splicing factor (PSF) to modulate the splicing of *CD45* pre-mRNA, as described in detail in Figure 2 (Heyd & Lynch, 2010). Growth factor signaling through receptor tyrosine kinases (RTKs) also activates AKT and inhibits GSK-3, allowing activation of diverse downstream effectors, including glycogen synthase. (Both Akt and GSK-3 have multiple targets not shown here for the sake of clarity). This pathway could also modulate GSK-3-dependent phosphorylation of splicing factors in the cytosol or within the nucleus (Hartmann et al., 2009). The Wnt pathway is also suppressed by GSK-3. In the absence of Wnts, GSK-3 phosphorylates β-catenin, promoting its proteosomal degradation. Wnt signaling inhibits GSK-3 through a distinct, AKT independent mechanism, stabilizing β-catenin, which then activates Wnt target gene expression. Wnts could also regulate splicing factor phosphorylation through inhibition of GSK-3 as well as through other downstream effectors of Wnt signaling (Goncalves et al., 2008; Hartmann et al., 2009).

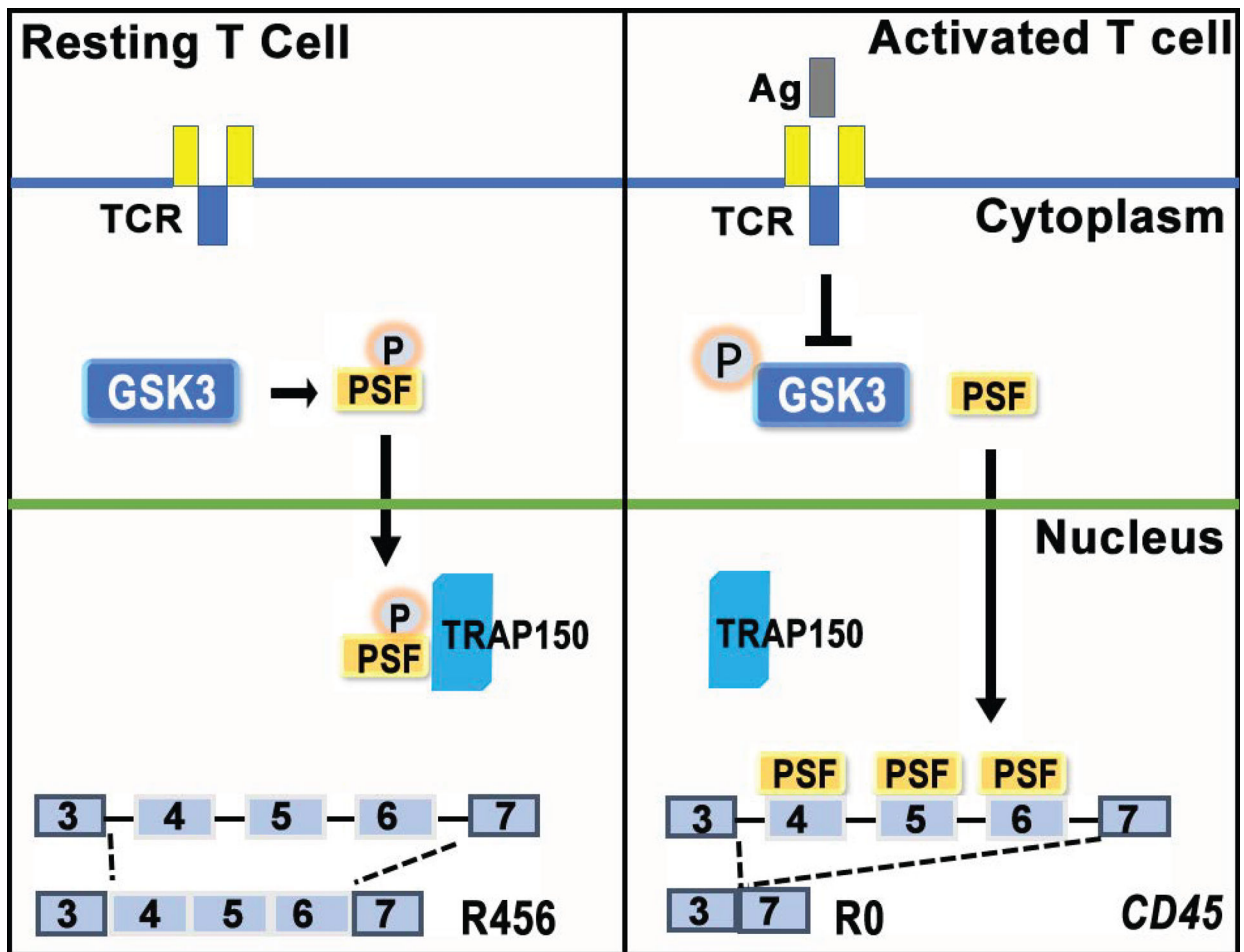


Figure 2. Role of GSK-3 in TCR regulation of CD45 splicing.

CD45 encodes a receptor tyrosine phosphatase that serves as a feedback inhibitor of TCR signaling. In the absence of TCR activation, GSK-3 phosphorylates PSF, which is sequestered by the thyroid hormone receptor associated protein (TRAP)-150. Under these conditions, the variable exons 4, 5, and 6 are retained (R456), extending the sequence and reducing the activity of CD45 (only exons 3–7 are shown here). Upon antigen engagement of the TCR, GSK-3 is phosphorylated and inhibited; newly translated PSF binds to exonic splicing silencer (ESS) elements in exons 4, 5, and 6 of the *CD45* pre-mRNA and promotes exon exclusion, leading to expression of a more active PTPase (Heyd & Lynch, 2010, 2011). Multiple splice variants are expressed; for clarity only the R0 form, lacking all 3 variable exons, is shown.

Splicing Factor	Site	Sequence
SRRM2	S1864	RRR RS SRAS
SRSF9	S190	PER ST SYGY
RBM8A	S166, 168	RRR RS SPDR
TRA2B	S83, 85, 87	RS RS YSR
TRA2B	S39	PAR RS KED
RBM39	S125	RRR RS SKSP
snRNP70	S268	RRR RS RDK
SRRM2	S700	RRR RS SRSL
SRSF7	S194	PRR RS VSL

Figure 3. GSK-3 phosphorylation of RS motif.

GSK-3 dependent phosphorylation of serine-arginine (SR) rich splicing factors identified by SILAC/MS comparing wild-type and *Gsk3* KO mouse embryonic stem cells (Shinde et al., 2017). Phosphorylated residues are in red. RS motif is in bold.

Table 1.
GSK-3-dependent phosphorylation of RNA binding/processing proteins.

RNA processing factors, primarily splicing factors, phosphorylated in a GSK-3 dependent manner. Specific examples include PSF/SFPQ, SRSF2, p40AUF1, and FTO. RNA binding and processing factors identified in mESCs by SILAC are also shown. GSK-3 phosphorylation in vitro was reported for a subset of target proteins, as indicated by “Y” in that column. The asterisk indicates proteins that demonstrate multiple sites of GSK-3-dependent phosphorylation in mESCs. Specifically: SRRM2 is phosphorylated at ser-1535 and 1864; RBM8A is phosphorylated at ser-166 and 168; SRSF9 is phosphorylated at ser-190, 205, and 212; Tra2b is phosphorylated at ser-29, 39, 83, 85, 87, 95, 99, 264, 270, 366, and thr-201.

Protein	Gene name	Function	Phosphorylation by GSK3 in vitro	Reference
PSF/SFPQ (T cells)	Sfpq	Splicing	Y	Heyd and Lynch, 2010
SRSF2/SC35 (neurons)	Srsf2	Splicing	Y	Hernandez et al., 2004
AU-rich element binding protein AUF1/HNRNPD	Hnmpd	RNA stability	Y	Wilson et al., 2003
Fat mass and obesity-associated protein	FTO	RNA demethylase	Y	Faulds et al., 2018
<i>Gsk3</i> KO (mESCs)/SILAC:				Shinde et al., 2017
Eukaryotic translation initiation factor 3 subunit B	Eif3b	Translation		
PHD finger protein 6	Phf6	Nucleolar protein	Y	
40S ribosomal protein S28	Rps28	Ribosomal protein		
Protein phosphatase 4 regulatory subunit 2	Ppp4r2	Splicing		
60S ribosomal protein L18	Rpl18	Ribosomal protein		
Pre-mRNA 3'-end-processing factor FIP1	Fip111	RNA processing		
5'-3' exoribonuclease 2	Xrn2	Ribonuclease		
CUGBP Elav-like family member 1	Celf1	Splicing		
7SK snRNA methylphosphate capping enzyme	Mepce	RNA capping		
Serine/arginine repetitive matrix protein 2 (2 sites)*	Srrm2	Splicing		
RNA-binding protein 8A (2 sites)*	Rbm8a	Splicing, NMD	Y	
Serine/arginine-rich splicing factor 9 (3 sites)*	Srsf9	Splicing	Y	
Transformer-2 protein homolog beta (11 sites)*	Tra2b	Splicing		
Ribosomal L1 domain-containing protein 1	Rsl1d1	Ribosomal protein		
Ribosomal RNA processing protein 1 homolog B	Rrp1b	Splicing		
UAP56-interacting factor	Fytd1	RNA export		
Nucleophosmin	Npm1	Nucleolar	Y	
Serine/arginine repetitive matrix protein 1	Srrm1	Splicing		
ATP-dependent RNA helicase DDX24	Ddx24	RNA helicase		
Nuclear cap-binding protein 3	NCBP3	RNA export		
WW domain-binding protein 11	Wbp11	Splicing		
RNA-binding protein 39	Rbm39	Splicing		