

# The nuclear receptor superfamily: A structural perspective

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**Abstract:** Nuclear receptors (NRs) are a family of transcription factors that regulate numerous physiological processes such as metabolism, reproduction, inflammation, as well as the circadian rhythm. NRs sense changes in lipid metabolite levels to drive differential gene expression, producing distinct physiological effects. This is an allosteric process whereby binding a cognate ligand and specific DNA sequences drives the recruitment of diverse transcriptional co-regulators at chromatin and ultimately transactivation or transrepression of target genes. Dysregulation of NR signaling leads to various malignancies, metabolic disorders, and inflammatory disease. Given their important role in physiology and ability to respond to small lipophilic ligands, NRs have emerged as valuable therapeutic targets. Here, we summarize and discuss the recent progress on understanding the complex mechanism of action of NRs, primarily from a structural perspective. Finally, we suggest future studies to improve our understanding of NR signaling and better design drugs by integrating multiple structural and biophysical approaches.

**Keywords:** nuclear receptor; ligand binding domain; DNA binding domain; co-regulator; transactivation; transrepression

*Abbreviations:* AF, activation function; cryo-EM, cryo-electron microscopy; DBD, DNA binding domain; ER, estrogen receptor; FXR, farnesoid X receptor; GR, glucocorticoid receptor; HDX-MS, hydrogen/ deuterium exchange coupled with mass spectrometry; H12, helix 12; LBD, ligand binding domain; LXR, liver X receptor; NR, nuclear receptor; NTD, N-terminal domain; RE, response element; SMT, single-molecule tracking; SR, steroid receptor; TR, thyroid hormone receptor; TF, transcription factor.

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## Introduction

The nuclear receptor (NR) superfamily is composed of a family of transcription factors (TFs) that play an important role in a number of biological processes including metabolism, reproduction, and inflammation.<sup>1,2</sup> The first member of this family was cloned in 1985, but today the family has expanded to include 48 members in humans.<sup>3,4</sup> Most NRs are regulated endogenously by small lipophilic ligands such as steroids, retinoids, and phospholipids, but this protein family also contains “orphan” members for which no ligand has yet been identified.<sup>5</sup> Ligand binding induces conformational changes within the receptor, which in turn binds specific DNA sequences throughout the genome.<sup>6,7</sup> Once DNA-bound, co-regulator proteins, chromatin remodelers, and the general transcriptional machinery are recruited to the DNA in order to activate or repress target gene expression.<sup>8–10</sup> Since NRs are responsible for

regulating thousands of genes, their activity is tightly controlled.<sup>11,12</sup> If left unchecked, aberrant NR activity can underlie numerous diseases such as cancer, diabetes, and chronic inflammation.<sup>13,14</sup>

Our knowledge of the NR family has drastically expanded within the last decade due to advancements in genome-wide methodologies, structural studies of receptor domains and full-length complexes, and identification of new co-regulator proteins that modulate receptor activity.<sup>15</sup> This work has laid the foundation for pharmaceutical companies and academic researchers to develop synthetic ligands that target these receptors.<sup>16,17</sup> Yet, due to the diverse array of genes regulated by these proteins, along with the fact that many drugs are not explicitly specific for one receptor, drugs that target NRs tend to have unwanted side effects.<sup>16,18</sup> For this reason, more research is required to understand all the mechanisms that guide NR regulation. Improving our understanding of NR regulation could pave the way for future therapeutics. Here, we introduce this protein family and focus on the structural mechanisms governing NR action.

### Nuclear Receptor Superfamily Classification

NRs are divided into seven subfamilies.<sup>19,20</sup> A list of receptors, subfamilies, and their ligands are shown in Table I.

*Subgroup 0:* This group includes the atypical NRs, dosage-sensitive sex reversal-adrenal hypoplasia congenital critical region on the X chromosome, Gene 1 (DAX) and small heterodimer partner (SHP).<sup>21,22</sup> These two proteins are unique in their structures and contain only a ligand-binding domain (LBD) that folds in a manner consistent with the rest of the family.<sup>23–25</sup> Their LBDs also contain motifs that are commonly seen in NR coactivators.<sup>26</sup> These motifs interact with other NR LBDs to regulate transcription.<sup>27–31</sup>

*Subgroup 1:* This large family is formed by thyroid hormone receptors (TR),<sup>32</sup> retinoic acid receptors (RAR),<sup>33</sup> peroxisome proliferator activated receptors (PPAR),<sup>34</sup> reverse-Erb receptors (REV-ERB),<sup>35</sup> retinoic acid related receptors (ROR),<sup>35</sup> farnesoid X receptors (FXR),<sup>36</sup> liver X receptors (LXR),<sup>37</sup> and vitamin D receptors (VDR).<sup>38</sup> These receptors are regulated by a variety of lipophilic signaling molecules including thyroid hormone, fatty acids, bile acids, and sterols.

*Subgroup 2:* This subfamily contains orphan receptors such as the retinoid X receptors (RXR),<sup>39</sup> chicken ovalbumin upstream promoter transcription factors (COUP-TF),<sup>40</sup> and hepatocyte nuclear Factor 4 (HNF4).<sup>41</sup> All of these orphans have been shown to bind fatty acids via structural studies. However, it is unclear whether these ligands play a role in dynamic ligand-driven regulation, as seen in other NR classes. RXR is of particular importance as it forms

heterodimeric complexes with many NRs and is the only receptor in the group with a known activating ligand, 9-*cis* retinoic acid.<sup>42</sup>

*Subgroup 3:* This group comprises the steroid receptors (SRs), which are key regulators of a host of metabolic, reproductive, and developmental processes.<sup>43</sup> The SR family includes the androgen receptor (AR),<sup>44</sup> progesterone receptor (PR),<sup>45</sup> glucocorticoid receptor (GR),<sup>46</sup> mineralocorticoid receptor (MR),<sup>47</sup> and two closely related estrogen receptors (ER $\alpha$  and ER $\beta$ ).<sup>48</sup> Cholesterol-derived hormones, like cortisol and estrogen, regulate SRs through direct binding.

*Subgroup 4:* This group contains the orphan nuclear receptors nerve growth Factor 1B (NGF1-B), nurr-related Factor-1 (NURR1), and neuron-derived orphan Receptor-1 (NOR-1). These proteins are required for neuron development and maintenance.<sup>49</sup>

*Subgroup 5:* This group contains steroidogenic Factor 1 (SF-1)<sup>50</sup> and liver receptor Homolog-1 (LRH-1).<sup>51</sup> Although generally still classified as orphan receptors, evidence suggests these proteins are regulated by phospholipids.<sup>27,52</sup> LRH-1 and SF-1 are vital for development and metabolism.<sup>51,53</sup>

*Subgroup 6:* This group contains only one receptor, germ cell nuclear factor (GCNF),<sup>54</sup> an orphan receptor that has a critical role in development.<sup>55</sup> This protein remains in its own category due to a critical difference in its LBD; it does not contain an activator function HR (AF-H) and is known to drive gene silencing.<sup>56</sup>

### Structural Insight into Nuclear Receptor Action

X-ray crystal structures of nuclear receptors, both full-length and discrete domains, have provided critical information on how ligands and DNA response elements are recognized, how they dimerize, and interact with co-regulators.

### Overall architecture

Despite diversity in the size, shape, and charges of activating ligands, almost all members of the nuclear receptor superfamily share a common modular domain structure.<sup>15,57</sup> Except for the atypical receptors SHP and DAX, the overall architecture is composed of five domains: A–E [Fig. 1(A)]. Each of these subdomains plays a specific role in receptor biology.<sup>58</sup> The mass of NRs can vary but they are generally between 66 and 100 kD [Fig. 1(B)].

*A/B: N-terminal domain (NTD):* The NTD is a highly disordered domain, which explains why the NTD is not amenable to structural analysis. Additionally, there is little sequence conservation between NR NTDs and there is a large disparity in the size of this domain [Fig. 1(B)].

The NTD contains the activator Function-1 region (AF-1), which interacts with a variety of co-regulator proteins in a cell- and promoter-specific

**Table I. Nuclear Receptor Superfamily**

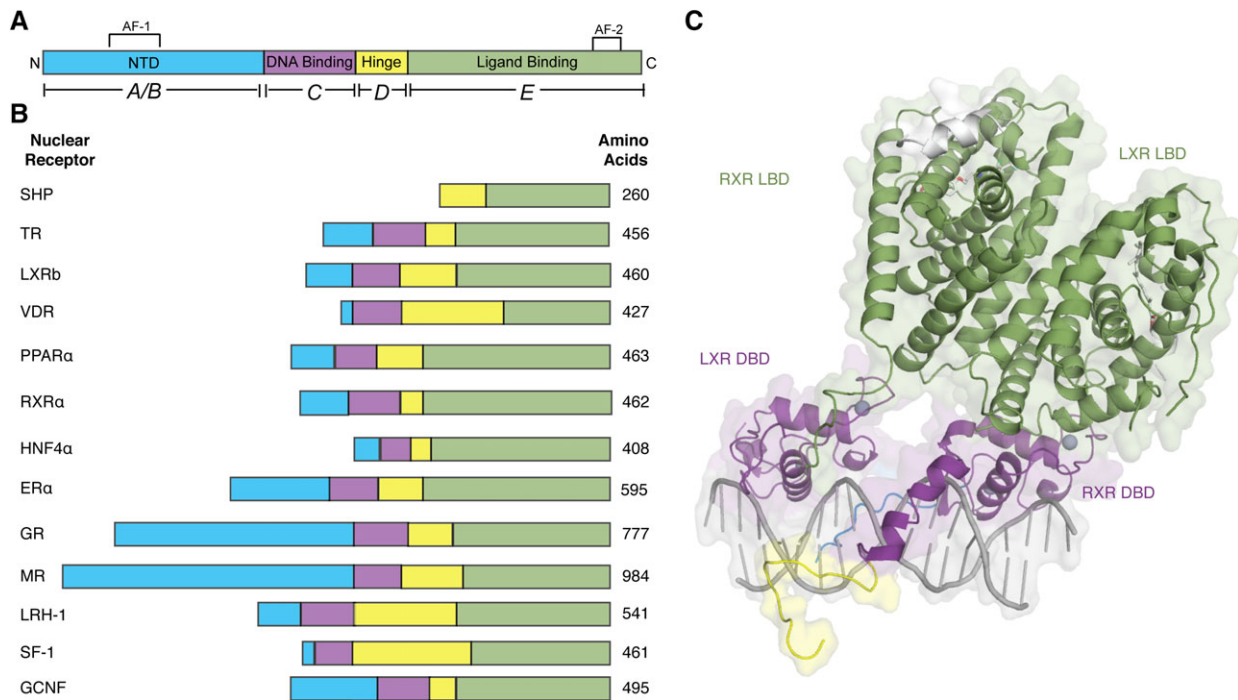
Family	Common name	Abbreviation	Gene name	Ligand
0B	Dosage-sensitive sex reversal-adrenal hypoplasia congenital critical region on the X chromosome, Gene 1	DAX1	<i>NR0B1</i>	Orphan
	Short heterodimeric partner	SHP	<i>NR0B2</i>	Orphan
1A	Thyroid hormone receptor- $\alpha$	TR $\alpha$	<i>THRA</i>	Thyroid hormones
	Thyroid hormone receptor- $\beta$	TR $\beta$	<i>THRB</i>	Thyroid hormones
1B	Retinoic acid receptor- $\alpha$	RAR $\alpha$	<i>RARA</i>	Retinoic acids
	Retinoic acid receptor- $\beta$	RAR $\beta$	<i>RARB</i>	Retinoic acids
	Retinoic acid receptor- $\gamma$	RAR $\gamma$	<i>RARG</i>	Retinoic acids
1C	Peroxisome proliferator-activated receptor- $\alpha$	PPAR $\alpha$	<i>PPARA</i>	Fatty acids
	Peroxisome proliferator-activated receptor- $\beta$	PPAR $\beta$	<i>PPARD</i>	Fatty acids
	Peroxisome proliferator-activated receptor- $\gamma$	PPAR $\gamma$	<i>PPARG</i>	Fatty acids
1D	Reverse-Erb- $\alpha$	REV-ERB $\alpha$	<i>NR1D1</i>	Heme
	Reverse-Erb- $\beta$	REV-ERB $\beta$	<i>NR1D2</i>	Heme
1F	Retinoic acid-related orphan- $\alpha$	ROR $\alpha$	<i>RORA</i>	Sterols
	Retinoic acid-related orphan- $\beta$	ROR $\beta$	<i>RORB</i>	Sterols
	Retinoic acid-related orphan- $\gamma$	ROR $\gamma$	<i>RORC</i>	Sterols
1H	Farnesoid X receptor	FXR $\alpha$	<i>NR1H4</i>	Bile Acids
	Farnesoid X receptor- $\beta$	FXR $\beta$	<i>NR1H5P</i>	Orphan
	Liver X receptor- $\alpha$	LXR $\alpha$	<i>NR1H3</i>	Oxysterols
	Liver X receptor- $\beta$	LXR $\beta$	<i>NR1H2</i>	Oxysterols
1I	Vitamin D receptor	VDR	<i>VDR</i>	1 $\alpha$ ,25-dihydroxyvitamin D $_3$
	Pregnane X receptor	PXR	<i>NR1I2</i>	Endobiotics and xenobiotics
	Constitutive androstane receptor		<i>NR1I3</i>	Xenobiotics
2A	Hepatocyte nuclear Factor-4- $\alpha$	HNF4 $\alpha$	<i>HNF4A</i>	Fatty acids
	Hepatocyte nuclear Factor-4- $\gamma$	HNF4 $\gamma$	<i>HNF4G</i>	Fatty acids
2B	Retinoid X receptor- $\alpha$	RXR $\alpha$	<i>RXRA</i>	9- <i>Cis</i> retinoic acid
	Retinoid X receptor- $\beta$	RXR $\beta$	<i>RXRB</i>	9- <i>Cis</i> retinoic acid
	Retinoid X receptor- $\gamma$	RXR $\gamma$	<i>RXRG</i>	9- <i>Cis</i> retinoic acid
2C	Testicular Receptor 2	TR2	<i>NR2C1</i>	Orphan
	Testicular Receptor 4	TR4	<i>NR2C2</i>	Orphan
2E	Tailless homolog orphan receptor	TLX	<i>NR2E1</i>	Orphan
	Photoreceptor-cell-specific nuclear receptor	PNR	<i>NR2E3</i>	Orphan
2F	Chicken ovalbumin upstream promoter-transcription factor $\alpha$	COUP-TF $\alpha$	<i>NR2F1</i>	Orphan
	Chicken ovalbumin upstream promoter-transcription factor $\beta$	COUP-TF $\beta$	<i>NR2F2</i>	Orphan
	Chicken ovalbumin upstream promoter-transcription factor $\gamma$	COUP-TF $\gamma$	<i>NR2F6</i>	Orphan
3A	Estrogen receptor- $\alpha$	ER $\alpha$	<i>ESR1</i>	Estrogens
	Estrogen receptor- $\beta$	ER $\beta$	<i>ESR2</i>	Estrogens
3B	Estrogen-related receptor- $\alpha$	ERR $\alpha$	<i>ESRRA</i>	Orphan
	Estrogen-related receptor- $\beta$	ERR $\beta$	<i>ESRRB</i>	Orphan
	Estrogen-related receptor- $\gamma$	ERR $\gamma$	<i>ESRRG</i>	Orphan
3C	Androgen receptor	AR	<i>AR</i>	Androgens
	Glucocorticoid receptor	GR	<i>NR3C1</i>	Glucocorticoids
	Mineralocorticoid receptor	MR	<i>NR3C2</i>	Mineralocorticoids and glucocorticoids
	Progesterone receptor	PR	<i>PGR</i>	Progesterone
4A	Nerve growth Factor 1B	NGF1-B	<i>NR4A1</i>	Orphan
	Nurr-related Factor 1	NURR1	<i>NR4A2</i>	Unsaturated fatty acids
	Neuron-derived orphan Receptor 1	NOR-1	<i>NR4A3</i>	Orphan
5A	Steroidogenic Factor 1	SF-1	<i>NR5A1</i>	Phospholipids
	Liver receptor Homolog-1	LRH-1	<i>NR5A2</i>	Phospholipids
6A	Germ cell nuclear factor	GCNF	<i>NR6A1</i>	Orphan

Table of human nuclear receptors, gene name, and their activating ligands.

manner.<sup>59</sup> For all NRs, the majority of the domain is disordered. However, the GR NTD can adopt a more alpha-helical structure when co-regulators are bound.<sup>60</sup> This region also gives rise to multiple isoforms through alternative splicing, as seen in TR and GR.<sup>46</sup> Finally, the NTD is the target for numerous

post-translational modifications including phosphorylation, SUMOylation, and acetylation.<sup>61</sup> These modifications have varying effects, both driving and repressing transcription.

*C: DNA binding domain (DBD):* This region is the most conserved among all nuclear receptor

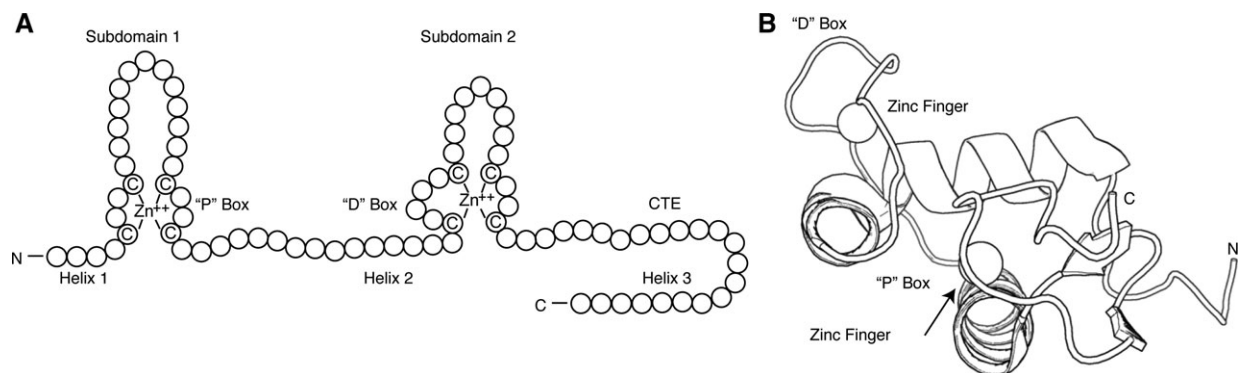


**Figure 1.** Modular domain structure of NRs. (A) Basic modular domain structure of NRs is composed of an unstructured NTD that contains the Activation Function 1 (AF-1) surface, a zinc finger DBD, a flexible hinge region, and a LBD that binds to ligands and interacts with co-regulator proteins through the Activation Function 2 (AF-2) surface. (B) General domain size and amino acid length of a variety of NRs. The DBD and LBDs are the most conserved regions where as the other domains are more variable in length and sequence composition. (C) Example of a full-length NR structure shows LXR-RXR heterodimer (PDB: 4NQA) (DBD colored purple, hinge region in yellow, and LBD in green).

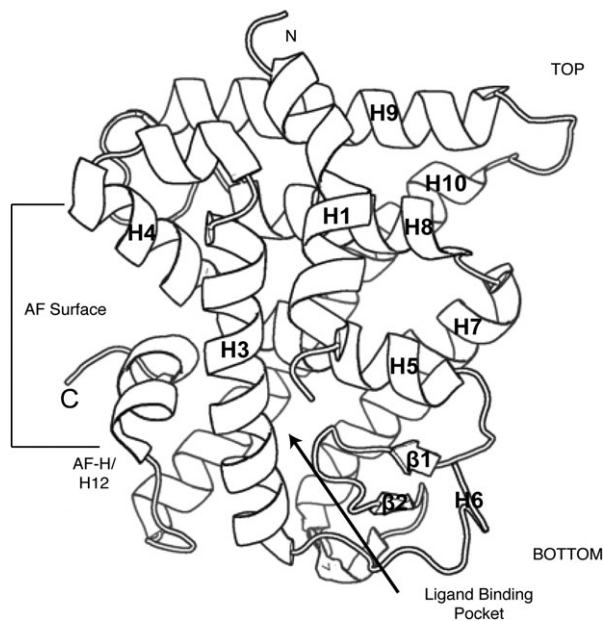
domains.<sup>62</sup> The DBD has two subdomains that each contains four cysteine residues that co-ordinate a zinc ion to create the canonical DNA-binding zinc finger motif [Fig. 2(A) and (B)].<sup>63</sup> Each zinc finger is then followed by an amphipathic helix and a peptide loop.<sup>64,65</sup> The first subdomain contains the DNA-reading helix, which interacts with the major groove to make base-specific interactions with the DNA.<sup>66</sup> The second subdomain helix makes non-specific contacts with the DNA backbone. The peptide loop in

this subdomain contains the distal box, or “D box,” that contains residues for receptor dimerization.<sup>67–69</sup> Some NRs, like LRH-1 and GCNF, contain a DBD C-terminal extension (CTE) that makes additional base-specific contacts within the DNA minor groove.<sup>70,71</sup>

*D: Hinge Region:* The hinge region is a short, flexible linker between the DBD and the LBD.<sup>58</sup> This region has the least sequence and size conservation between nuclear receptors. Like the NTD, this region



**Figure 2.** NR DNA binding domains. (A) Cartoon representation of NR DBDs indicating important motifs. This domain contains two subdomains, each containing one zinc finger. The first subdomain residues interact with the DNA major groove to make base-specific interactions on genomic response elements. The second subdomain participates in DBD dimerization and makes non-specific contacts with the DNA backbone. Some NRs, like LRH-1 and GCNF, also contain C-terminal extensions (CTEs) that make base-specific contacts with the minor groove. (B) Cartoon representation of folded GR DBD highlighting the important regions (PDB: 3FYL). Zinc atoms are represented as spheres.



**Figure 3.** NR ligand binding domains. Cartoon representation of the structurally conserved NR LBD. This domain is composed of 11  $\alpha$ -helices and 4  $\beta$ -strands that fold into three layers of a helical sandwich bundle. This fold creates a hydrophobic ligand binding pocket at the bottom of the receptor. This domain also contains the AF surface, composed of H3, H4, and the AF-H, which interacts with co-regulator proteins (PDB: 1PZL).

is also a site for regulatory PTMs. The hinge can also contain a nuclear localization signal.<sup>61,72</sup>

**E: Ligand binding domain (LBD):** The LBD is a complex allosteric signaling domain that not only binds to ligands but also interacts directly with co-regulator proteins.<sup>73,74</sup> This structurally conserved domain commonly contains 11  $\alpha$ -helices and four  $\beta$ -strands that fold into three parallel layers to form an alpha helical sandwich (Fig. 3).<sup>75</sup> This folding creates a hydrophobic ligand-binding pocket (LBP) at the base of the receptor.<sup>73,76,77</sup> Superposition of NR LBD structures reveals that the top part of the receptor is most similar whereas the base, which contains the LBP, is more variable.<sup>15,75</sup> This variability across NRs at the ligand-binding region allows NRs to recognize a diverse cadre of ligands.

The LBD contains another activation function surface (AF-2), which is composed of helices 3, 4, and 12. Helix 12, or the activation function helix (AF-H) has been shown to be conformationally dynamic upon ligand binding, altering the orientation of AF-2 to facilitate interaction with different co-regulator proteins.<sup>73,75</sup>

### NR–ligand interactions

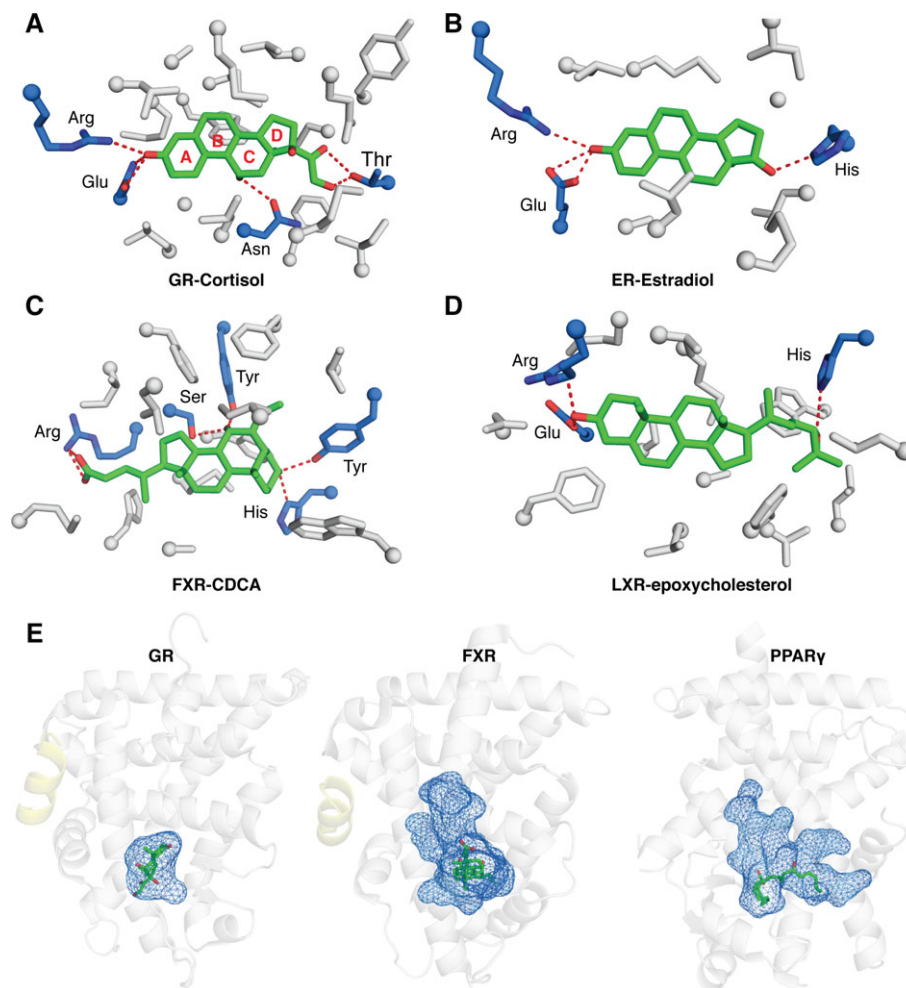
Nuclear receptors bind directly to a variety of small, lipophilic ligands such as steroids, thyroid hormone, retinoids, and lipids that either diffuse or are transported across the cell membrane.<sup>5</sup> Of the 48 human NRs, 24 have known ligands and the remaining

24 are classified as “orphans” or “adopted orphans,” meaning that a likely ligand has been identified. In the absence of ligand, NRs tend to be unstable, explaining the dearth of apo-NR LBD structures.<sup>75,78,79</sup> Ligand binding greatly increases the stability of the LBD, evidenced by changes in NMR spectra between liganded and unliganded PPARs and less proteolytic cleavage seen in the ER ligand-bound versus apo state.<sup>77,78,80,81</sup> This stabilization, among other factors, facilitates co-regulator binding.<sup>82</sup>

Ligands bind the receptor within the LBP at the base of the LBD. This pocket is composed of ~75% hydrophobic residues, but also contains critical polar residues that make key hydrogen bonding interactions to the ligand.<sup>75</sup> These hydrogen bonds help position the ligand in the correct orientation. For example, endogenous SR ligands are composed of a rigid fused 4-ring scaffold that positions various H-bond donors and acceptors to interact with the receptor [Fig. 4(A) and (B)]. SRs use a conserved glutamine on H3 and arginine on H5 to lock the ligand’s A ring in place [Fig. 4(A) and (B)].<sup>83,84</sup> A striking example of the importance of these hydrogen bond networks in the LBP is seen in FXR and LXR ligands; although similar, these ligands are bound in completely opposite orientations due to the available hydrogen bonding network within the LBP [Fig. 4(C) and (D)].<sup>85,86</sup> These differences ensure the natural ligands are bound by the correct receptor. Ligand selection is further achieved by a dramatic difference in the size of ligand binding pockets across NRs. For example, SR LBP pocket volumes tend to be 400–600  $\text{\AA}^3$  and 700–850  $\text{\AA}^3$  for FXR and LXR, and almost 1300  $\text{\AA}^3$  for PPARs [Fig. 4(E)].<sup>83,85,87</sup> The volume of the pocket generally corresponds to the size of the ligand suggesting significant component of ligand selection stems from steric selection.

### NR–DNA interactions

Nuclear receptor DBDs bind to a variety of DNA response elements (REs) whose nucleotide sequences can take the form of a palindrome, direct repeat, or extended monomeric sites (Fig. 5).<sup>63,67</sup> The SRs bind palindromic repeats [Fig. 5(A)]. These palindromes contain two AGGACA repeats that can be separated by a spacer region that varies in length. The length of this spacer has been shown to allosterically modulate SRs, resulting in varied transcriptional outputs.<sup>88–90</sup> However, the most common spacer length is 3 bp.<sup>68,91,92</sup> Receptors that bind direct repeats include the RXR-RAR heterodimer, GCNF, and VDR [Fig. 5(B)].<sup>93–95</sup> These sequences are composed of two AGGTCA sites separated by a spacer sequence from 0 to 5 bp long. Finally, LRH-1 and SF-1 are examples of receptors that bind extended monomeric sequences [Fig. 5(C)].<sup>71,96</sup> These REs contain one AGGTCA site as well as an A/T rich sequence directly upstream.



**Figure 4.** NR ligand interactions. Close up view of SR LBPs showing that (A) GR LBD-cortisol (PDB: 4P6X) and (B) ER LBD-estradiol (PDB: 1ERE) use conserved Glu and Arg residues (blue sticks) to make hydrogen bonding interactions (red) with steroid ligands. These interactions help orient the ligand within the pocket. (C) Close up views of FXR LBD-CDCA (PDB: 1OT7) and (D) LXR LBD-epoxycholesterol (PDB: 1P8D) show, despite similar ligands, the receptors orient them in opposite directions. This allows natural ligands to discriminate between NRs whose LBPs are highly conserved (E) Comparisons of ligand cavity sizes between GR (PDB: 4P6X), FXR (PDB: 1OT7), and PPAR (PDB: 5AZV).

### NRs function as monomers, homodimers, or heterodimers

NRs are generally found as monomers in solution but upon DNA binding can form higher order complexes. NRs can be monomeric on DNA but are more often found as homodimers or in heterodimeric complexes with RXR.<sup>3</sup> This increases overall size and complexity of NRs, allowing new surfaces to be accessed for PTMs or co-regulator binding.<sup>46</sup>

LRH-1, NGF1-B, and SF-1 are among the few NRs that bind DNA as monomers.<sup>71,96</sup> These receptors utilize the CTE within their DBDs to facilitate additional DNA contacts within the minor groove, expanding their DNA footprint. Members of the SR subfamily commonly form homodimers. The ER LBD structure shows H8, H9, H10, and Loops 8–9 from each monomer interact to form a homodimer [Fig. 6(A)].<sup>84</sup> This is in contrast with the GR dimer, which showed a unique dimer interface not seen in other NR structures [Fig. 6(B)].<sup>97</sup> Finally, the rest of the NR superfamily

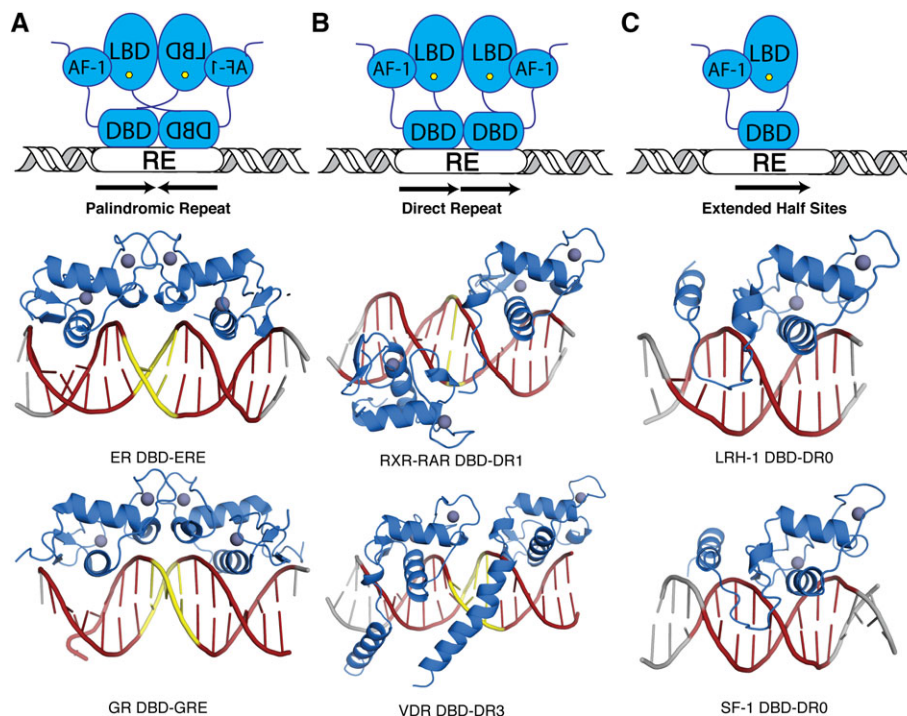
commonly forms heterodimers with RXR.<sup>3,98</sup> Similar to the ER structure, the dimer interface is formed among H7, H9, H10, H11, and Loops 8 and 9 [Fig. 6(C)].<sup>99</sup>

### NR-co-regulator interactions

After DNA binding, NRs recruit a variety of proteins collectively known as co-regulators.<sup>8,99</sup> To date, there are approximately 200 different co-regulator proteins, which fall into two main categories: co-activators and co-repressors.<sup>8,9</sup> These interact directly with NRs at the AF-1 and AF-2 surfaces.<sup>59</sup> Since the AF-1 lies within the unstructured NTD, we have not been able to obtain structural information about these interactions.<sup>58,60</sup> However, almost all NR LBD structures are co-crystallized with fragments of co-regulator NR-interaction domains at the AF-2 surface.<sup>59</sup>

Co-activator proteins interact with NRs via an alpha-helix containing a short LXXLL motif (L- leucine, X- any amino acid).<sup>26,82</sup> This motif interacts





**Figure 5.** Genomic response elements. Nuclear receptors bind to genomic response elements (RE) that come in a variety of forms. (A) Members of the SR subfamily bind to palindromic repeats (shown as red DNA cartoon). These repeats are separated by different spacer lengths (shown as yellow DNA cartoon). As examples, the ER DBD – estrogen response elements (ERE) and GR DBD – glucocorticoid response element (GRE) crystal structures are shown. (B) Most other NRs bind to direct repeats, which can also be separated by spacers from 0 to 5 bp. The structures of the RXR-RAR DBD heterodimer is shown in complex with a DR with 1 bp spacer (DR1) and the VDR homodimer DBD is shown in complex with a DR with 3 bp spacer (DR3). (C) Although rare, some NRs bind to DNA as a monomer to extended half site sequences. Examples include LRH-1 DBD and SF-1 DBD (PDBs, from left to right: top row – 4AA6, 1DSZ, and 5L0M; bottom row – 3FYL, 1KB4, and 2FF0).

with the NR AF-2 surface. The co-regulator's leucine residues lie within the hydrophobic groove of the AF-2 surface and the ends of the helical peptide are generally held in place by a charge clamp formed by a lysine on the NR's H3 and a glutamate on H12 that cap the helix dipole [Fig. 7(A)].<sup>82</sup> Co-repressors contain conserved (L/I)XX(I/V)I or LXXX(I/L)XXX(I/L) motif (referred to as CoRNR box) (L- leucine, I- isoleucine, X- any amino acid).<sup>100,101</sup> These extended motifs interact at the same hydrophobic AF surface but their length inhibits the canonical charge clamp formation [Fig. 7(B)].<sup>102,103</sup>

The discrimination between either co-activator or co-repressor binding has been linked to the conformational flexibility of H12.<sup>6,75</sup> Originally, the “mouse-trap” model was proposed. This model was based on the structures of apo RXR and ligand-bound RAR [Fig. 7(C) and (D)].<sup>104–106</sup> It was posited that upon agonist binding, there was a large structural rearrangement of H12, causing it to snap shut. However, this phenomenon was only observed for a few proteins.<sup>84</sup> Other NR LBD structures, like LRH-1 in both the apo and the ligand bound state, did not demonstrate large movements in H12.<sup>107</sup> This suggested another model was possible. The current favored model is the “dynamic stabilization model,”

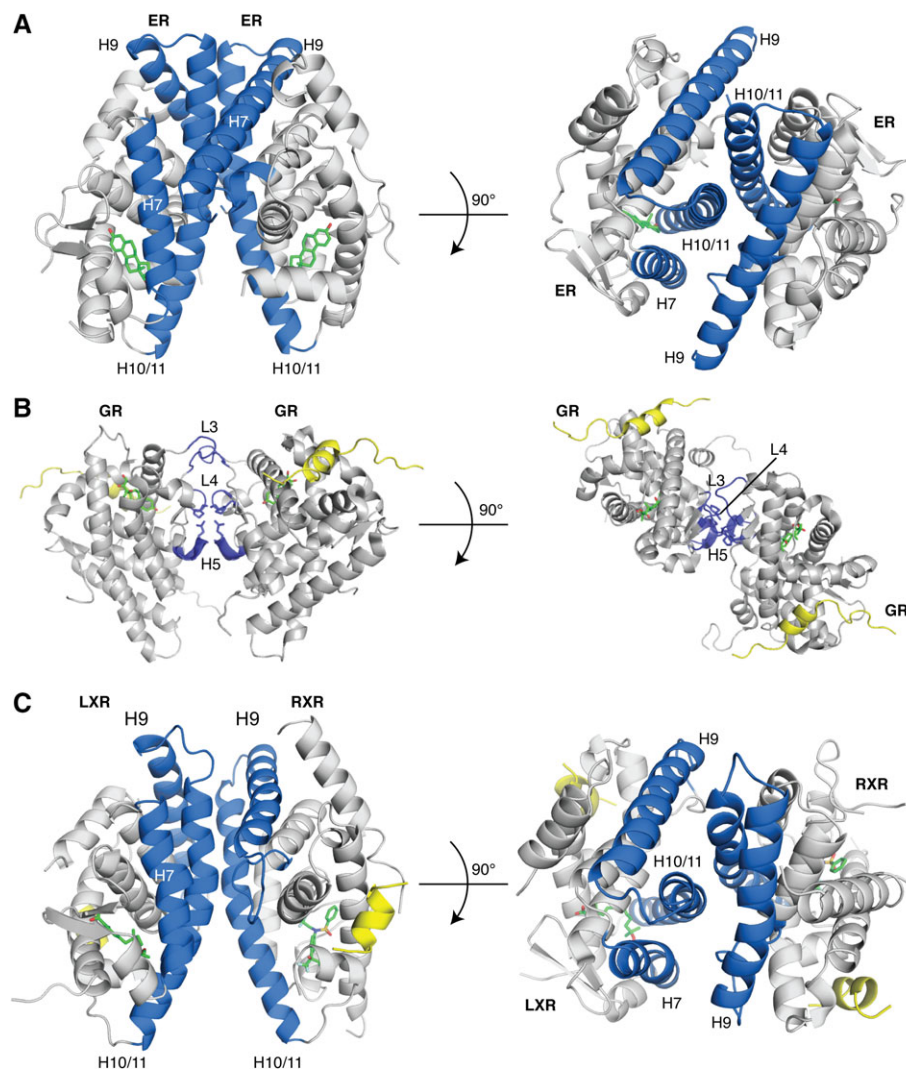
which suggests that H12 is not in one fixed position, but rather is dynamic.<sup>79</sup> Ligand binding stabilizes the helix into a more fixed conformation [Fig. 7 (E) and (F)]. Methods that measure dynamics of H12 have been pivotal in providing evidence to support this model.<sup>77,81</sup> In addition, other LBD surfaces are stabilized upon ligand binding and appear to communicate with the AF-2 surface to modulate receptor activation. Examples include LRH-1, PPAR, ER, and GR.<sup>108,109</sup>

## Nuclear Receptor Signaling

### Nuclear receptor mechanism of action

NRs have been classified as into four mechanistic Subtypes I–IV (Fig. 8):

*Type I Nuclear Receptors:* Receptors of this group are SRs and are activated by cholesterol-derived steroidal hormones such as estrogens, androgens, progestagens, and corticoids.<sup>43</sup> These receptors are sequestered to the cytoplasm bound to chaperone proteins but upon ligand activation, they exchange their chaperone proteins and undergo nuclear translocation. In the nucleus, SRs generally bind as homodimers to DNA REs that consist of two inverted repeats [Fig. 8(A)].<sup>110,111</sup>



**Figure 6.** NR dimerization interfaces. Many NRs utilize the H10/H11 surface to form homodimers or heterodimers. (A) ER LBD – estrogen homodimeric complex shows dimerization occurs between H7, H9, H10/11 (PDB: 1ERE). (B) The LXR-RXR LBD heterodimer shows a similar dimerization interface (PDB: 1UHL). (C) Unlike the other two, the GR LBD homodimer structure revealed a novel dimerization interface (PDB: 1M2Z). The dimerization interface is colored blue, ligands are shown as sticks (green) and co-regulator peptides are colored yellow.

*Type II Nuclear Receptors:* Receptors of this type, such as RAR and LXR, are often retained in the nucleus, regardless of the presence of activating ligand.<sup>10</sup> Upon ligand binding, the receptor is released from a co-repressor complex and swapped for co-activators and the transcriptional machinery. These receptors commonly form heterodimers with RXR on direct repeat DNA REs [Fig. 8(B)].<sup>3</sup>

*Type III Nuclear Receptors:* This type of NR, such as VDR, has a similar mechanism of action to Type II NRs but instead form homodimers on their REs, which are direct repeat sequences [Fig. 8(C)].<sup>63</sup>

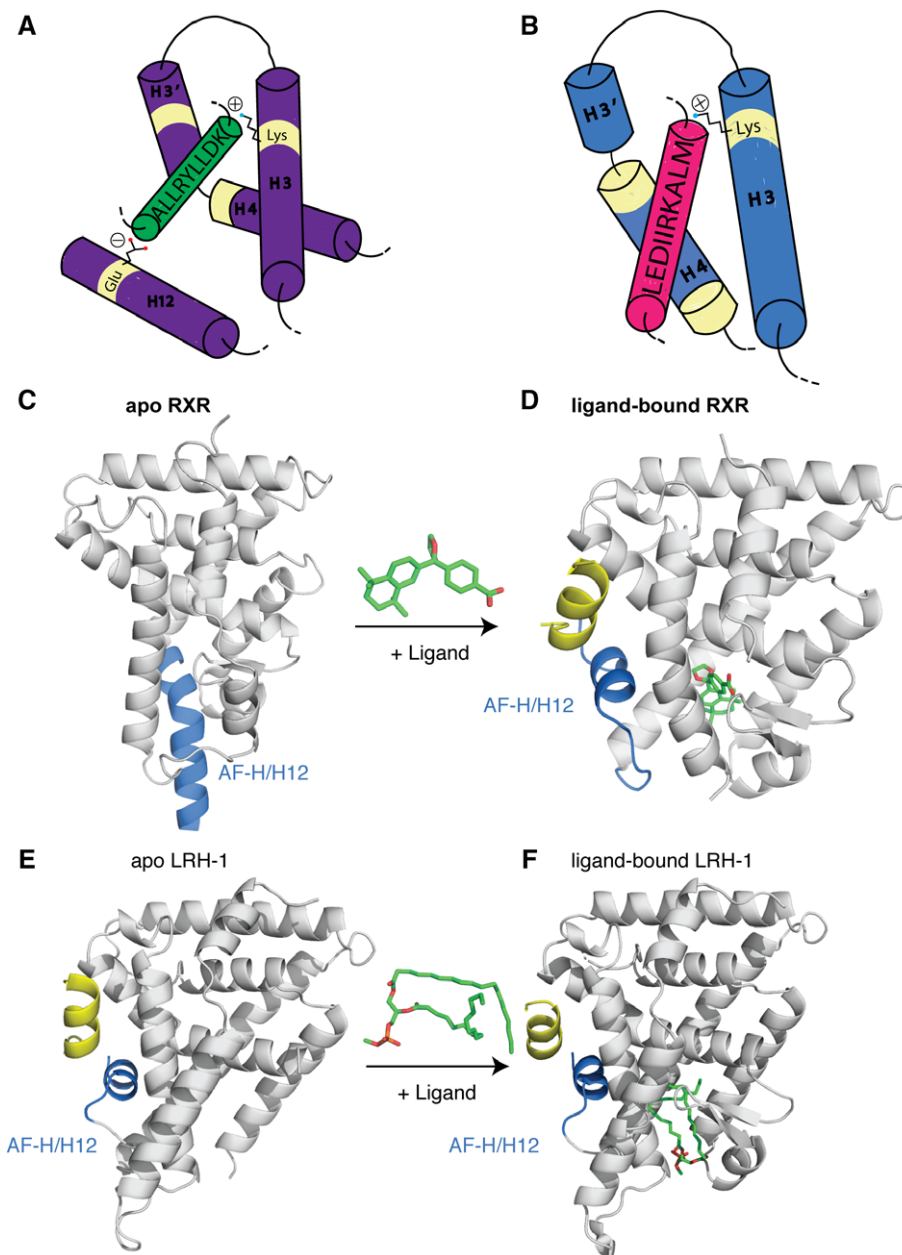
*Type IV Nuclear Receptors:* This type of NR has a similar mechanism of action to Type II NRs but instead bind to DNA as a monomer and recognize extended half-sites within REs [Fig. 8(D)].<sup>71,96</sup> Examples of Type IV include LRH-1 and SF-1.

### Transactivation and transrepression

NRs modulate transcription through many distinct mechanisms that ultimately result in either activation or repression of specific gene programs. As stated above, transcriptional activation is achieved by ligand binding stabilizing an active state.<sup>7</sup> In this state, NRs recruit co-activator proteins, which are typically scaffolds that initiate the formation of large protein complexes that harbor histone modifying enzymes such as histone acetyltransferases (HATs) or histone methyltransferases (HMTs).<sup>112,113</sup> These activities facilitate the opening of chromatin, making it accessible to additional regulatory proteins. Finally, the general transcriptional machinery and RNA Polymerase II are recruited to drive transcription [Fig. 9(A)].<sup>114</sup>

Conversely, NRs can repress transcription by two different mechanisms.<sup>115</sup> First, NRs can bind to co-





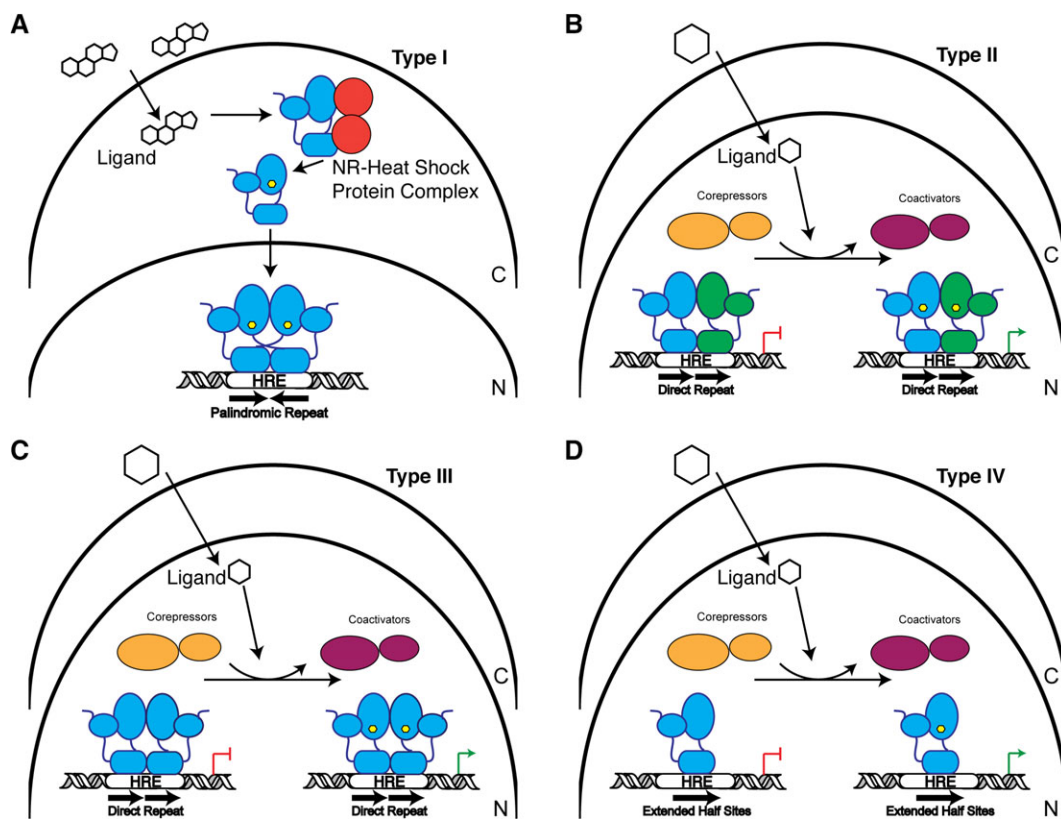
**Figure 7.** NR co-regulator interactions. (A) Cartoon representation of the co-regulator LXXLL peptide (green) interacting with the AF surface (purple). The peptide is held in place by a conserved charge clamp interaction formed by a glutamate on H12 and a lysine on H3. (B) Cartoon representation of co-repressor peptides (pink) interacting with the AF surface (blue). Co-repressors contain extended (L/I)XX(I/V)I or LXXX(I/L)XXX(I/L) motifs that do not allow for the charge clamp formation. The basis of the “mouse-trap” model was made by comparing the apo (C) and ligand bound (D) structures of RXR. Upon ligand binding a large rearrangement of H12 is seen (PDBs: 1LBD, 1MVC). (E,F) The more favored “dynamic stabilization” model of NR activation suggests H12 does not undergo such a large conformational change, but instead H12 flexible and ligand binding simply stabilizes the helix. This model was proposed after other apo NR structures, did not show H12 displaced and, upon ligand binding, there was little change in the location of this helix (PDBs: 4DOR, 4PLE). Co-regulator peptides are colored blue and ligands are shown as sticks (green).

repressors in their apo state as shown in Type II–IV receptors.<sup>115</sup> These co-repressor proteins recruit histone modifying enzymes such as histone deacetylases (HDACs),<sup>8</sup> which act in opposition of HATs to restrict chromatin and block the transcriptional machinery from accessing the DNA [Fig. 9(B)].<sup>115,116</sup> Second, NRs can interact with “negative DNA response elements.”<sup>117,118</sup> Binding to these elements results in NRs adopting

different conformations than when bound to “positive” DNA response elements and favors co-repressor recruitment to block transcription.<sup>119</sup>

### Nuclear Receptors as Critical Pharmaceutical Targets

Aberrant nuclear receptor signaling pathways contribute to numerous disease states such as cancer,



**Figure 8.** Schematic of NR signaling mechanisms. (A) Type I receptors reside in the cytoplasm (C) in complex with chaperone proteins. Upon ligand binding (hexagon), the receptor is released from this complex and is trafficked into the nucleus (N) where they typically bind to palindromic hormone response elements (HREs) as a homodimer to regulate transcription. (B) Type II receptors are localized in the nucleus. In their unliganded state, they interact with co-repressor proteins, but upon ligand binding are exchanged for co-activators. NRs in this group generally form heterodimeric complexes with RXR. (C) Similar to Type II receptors, Type III receptors reside in the nucleus and exchange bound co-repressors and co-activators. These receptors bind to direct repeat HREs as homodimers. (D) Type IV receptors are almost identical to Type III except they bind HREs that are extended half sites as monomers.

diabetes, obesity, and others.<sup>14,17</sup> For this reason, NRs are major pharmaceutical targets. Initial ligand design has been quite simple as NR LBPs are enclosed and are amenable to binding a variety of ligands.<sup>75</sup> However, due to the breadth and complexity of NR biology, designing ligands with limited cross-reactivity or partial agonism has proven quite difficult. Despite these issues, NR-targeting ligands make up 10–20% of current FDA-approved drugs have a worldwide market of 30 billion dollars per year.<sup>120</sup>

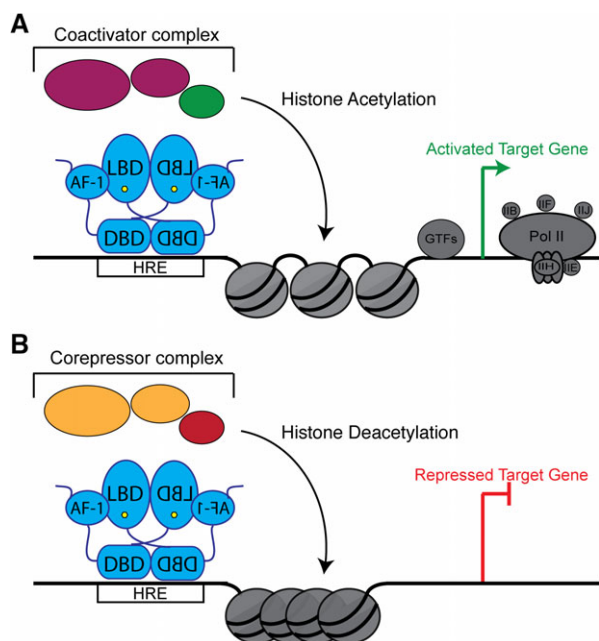
Historically, there have been two main approaches for identifying NR ligands. First, NR ligands were isolated from human tissue extracts.<sup>121</sup> For example, the study of the adrenal gland led to the discovery of a compound effective at blocking inflammation. This compound was later discovered to be cortisol, the endogenous ligand for GR.<sup>121</sup> Later, synthesis of cortisol sparked the development of the synthetic compounds dexamethasone and prednisolone.<sup>122</sup> Second, compounds were identified by connecting ligand effects with protein biology.<sup>17</sup> For example, thiazolidinediones showed promise in

treating diabetes.<sup>123</sup> These effects were later linked to PPAR $\gamma$  signaling.<sup>123</sup> The newest generation of NR ligands are termed “selective nuclear receptor modulators,” which are designed against a single NR to partially or selectively activate a subset of signaling pathways. This idea is to separate the beneficial outcomes of treatment from the less desirable side effects.<sup>124</sup> Such ligands would be highly beneficial for targeting ER, AR, and GR.<sup>125,126</sup> Due to the complexity of NR signaling, these compounds have been largely unsuccessful thus far.

## Future Perspectives

### Insights into allostery

Significant advances in understanding the mechanism of action of NR LBPs have been made by imaging static structural features of LBPs with distinct ligands and relatively short peptides derived from coregulators. However, this approach does not capture conformational and allosteric effects driven by other domains within the receptors (e.g. DNA binding domain) and other effectors (e.g. DNA). We also have



**Figure 9.** NRs both activate and repress transcription. (A) To activate gene expression, NRs (blue) interact with their DNA response elements. DNA-bound NRs recruit co-activator proteins (magenta), which in turn recruit histone-modifying enzymes. These histone-modifying enzymes are commonly histone acetyltransferases (green), which acetylate histone tails. This modification is a mark of active chromatin. Ultimately, the general transcriptional machinery and RNA Polymerase Pol II (gray) are recruited to drive gene expression. (B) To repress transcription, NRs recruit co-repressor proteins (orange). These proteins recruit other histone deacetylases (red) that reverse histone acetylation and restrict chromatin accessibility. This condensation prevents the transcriptional machinery from accessing the DNA, thus repressing gene expression.

a limited number of apo NR structures, although typically only a few conformational populations are captured in a crystalline lattice.

Solution NMR techniques are ideal for quantitatively dissecting the dynamic motions of proteins in distinct time scales, however this technique has seen limited use in studying NR LBDs. Since intrinsic dynamics has been proposed as the “carrier” for allosteric communication,<sup>127,128</sup> solution studies would greatly further our understanding of NR activation. For example, NMR studies of the PPAR $\gamma$  LBD showed half of the expected resonances in the spectrum.<sup>77</sup> These missing resonances stem from line broadening of specific regions, including the AF-2, suggesting microsecond ( $\mu$ s) to millisecond (ms) timescale dynamics in these regions. Ligand binding rigidified these motions, rendering their resonances observable. Hydrogen/ deuterium exchange coupled with mass spectrometry (HDX-MS) is another powerful technique used to experimentally characterize the conformational dynamics of NR LBDs. Similar patterns of conformational dynamics in apo and various ligand-bound states in PPAR $\gamma$

were observed by HDX-MS, consistent with solution NMR results. HDX-MS analysis also detected different dynamical patterns in PPAR $\gamma$  between full and partial agonist-bound states.<sup>109</sup> Molecular dynamics (MD) simulations are also powerful tools used to characterize LBD conformational dynamics, especially when structural information is available. A MD study revealed that the distinct allosteric communications in LRH-1 drive differential co-activator recruitment preferences (i.e. Tif2 and PCG1 $\alpha$ ), despite the same agonist being present. Moreover, these simulation data agreed with experimental HDX-MS data, providing cross-platform confirmation of different co-regulator recruitment in LRH-1.<sup>108</sup>

Different biophysical techniques may also be integrated to fully understand the conformational plasticity and intrinsic allosteric/dynamic communication pathway utilized by NR LBDs. For example, RXR $\alpha$  is known to form a heterodimer with either PPAR $\gamma$  or most of the Type II NRs, such as TR. Intriguingly, the RXR $\alpha$ -PPAR $\gamma$  heterodimer, but not the RXR $\alpha$ -TR heterodimer, can be activated by retinoic acid. This TR-mediated allosteric silencing signal is, therefore, critical for controlling the RXR $\alpha$ -driven response. Integrative studies using x-ray crystallography, NMR, and HDX-MS showed the allosteric pathway initiated from the middle of dimer interface, then propagated to the core of LBD, ultimately to Helix 12 and AF-2 to control ligand binding.<sup>129</sup> Therefore, LBD dynamics are an important component in defining the complex NR signaling code. Moreover, understanding dynamical differences within the same structural ensemble strengthens a structure–activity relationship pipeline in drug development. This combined approach has been used in drug discovery for PPAR $\gamma$  and should be used to better guide design of ‘selective nuclear receptor modulators’ targeting specific LBDs in the future.<sup>130,131</sup>

### Full length structures

Nuclear receptors contain no intrinsic activity; rather, they nucleate the formation of large transcriptional complexes that modulate gene expression. Imaging these complexes, which contain dozens to hundreds of individual proteins, would shed tremendous light on NR function.

So far, there are only three such crystal structures available: PPAR $\gamma$ -RXR $\alpha$  heterodimer, HNF-4 $\alpha$ , and RXR $\alpha$ -LXR $\beta$  heterodimer.<sup>132–134</sup> These structures provide information about the inter-domain interactions between NR dimers and organization of each domain in full-length NRs when bound to DNA response elements. The small number of available crystal structures reflects the challenge of obtaining crystal structures. Inspection of these structures shows that HNF-4 $\alpha$ , PPAR $\gamma$ , RXR $\alpha$ , and LXR $\beta$  all have relatively short A/B and hinge regions [Fig. 1 (B)], which are known to be highly disordered and

disturb crystal packing. For this reason, crystal structures of intact NRs with longer A/B region (such as MR and GR) or hinge region (such as SF-1 and LRH-1) would be extremely challenging. Indeed, the A/B region in PPAR $\gamma$  is highly dynamic based on HDX-MS analysis and cannot be visualized in any three solved structures with different ligands.<sup>132</sup> The A/B regions were not included in the construct design for HNF-4 $\alpha$ , RXR $\alpha$ , and LXR $\beta$  used in the crystallization study.

To bypass the crystallization hurdles associated with full-length structures, cryo-electron microscopy (cryo-EM) and small angle X-ray scattering (SAXS) have been used. With recent advances in the direct electron detection devices, single particle cryo-EM can achieve atomic resolution and is currently well-poised to determine large complex structures.<sup>135,136</sup> To date, three cryo-EM studies of human NRs, focusing on ER<sup>137,138</sup> and RXR/VDR heterodimer,<sup>139</sup> have been reported. To obtain a large complex for cryo-EM studies, full-length co-regulators rather than short peptides can be utilized. Therefore, conformation of full-length co-regulators with NRs will be visualized in atomic detail.<sup>137</sup> Using different co-activators, a recent cryo-EM study revealed the recruitment order of co-activators and how this controlled epigenetic regulation on histones.<sup>138</sup> Likewise, orthologous proteins of human NRs have also been studied. For instance, cryo-EM structure of USP and EcR heterodimer, the insect orthologs of the human RXR and FXR, respectively, has been determined providing first insight into the orientation of LBD on an inverted repeat DNA sequence.<sup>140</sup> Interestingly, the A/B regions and most of the hinge regions are omitted in the constructs used in these cryo-EM studies due to their intrinsic disorder.<sup>139,140</sup> Given that most human transcription factors contain a significant fraction of unstructured regions, this remains a major hurdle in their structural characterization. This further reinforces the importance of including co-regulatory proteins to help order otherwise disordered structural elements in the context of transcriptional complexes.

### Single-molecule studies

Another central question is how to combine high-resolution structural and dynamical information to advance our understanding of the biophysical basis that permits NRs (and other TFs) to control gene expression. Studies have linked DNA affinity or receptor dimerization to transcriptional output but given the complex landscape of a transcribing promoter it is still challenging to link these *in vitro* observations to the direction and magnitude of gene expression. Can we have a more continuous picture of NR function *in vivo*, capturing both association with DNA and recruitment of co-regulators, beyond the discrete structural snapshots we currently have?

Recent technological advances in live-cell microscopy and fluorescent labeling are now being leveraged to study NRs as TFs in real-time.<sup>141</sup> By combining fluorescence correlation spectroscopy, fluorescence recovery after photobleaching, and single-molecule microscopes, two unique binding events were found in the AR-DNA recognition process.<sup>142</sup> The first binding event spans only hundreds of  $\mu$ s and is characterized by brief, stochastic DNA interaction, whereas the second event spans several seconds indicating longer, sequence-specific DNA association. This study provided the first glimpse of NR action, following ligand activation, dynamically associating and dissociating with DNA to search for the target sequence. Rather than integrating different complementary methods, single-molecule tracking (SMT)-based direct measurement permits the quantification of both the dwell time and the fraction of NR molecules on target DNA in live cells.<sup>143,144</sup> By utilizing GFP-labeled polymerase II, only a small fraction of GR (~10%) was found to reside at sites with active transcription. The dwell time of GR at these sites were ~10 s.<sup>143</sup> SMT microscopy also permits characterizing highly dynamic interactions of ER, GR and their pioneer factors, such as FoxA1, with chromatin.<sup>145</sup> Interestingly, FoxA1 does not present a DNAase footprint, reinforcing the advantage of monitoring fast and transient interactions by SMT. A recent SMT report focusing on GR and various co-factors further corroborated these studies and showed that GR-chromatin association was dominated by transient interactions characterized by low populations (5–10%) of the receptor on chromatin for only short times (<ms).<sup>146</sup>

SMT is technically challenging as there is a trade-off between delivering enough photons over time to permit accurate measurements and capturing inherently fast ( $\mu$ s) binding events.<sup>141</sup> Even with this challenge, single-molecule experiments hold the potential to revolutionize how we define TF-chromatin interactions. For example, conventional studies performed by ensemble biochemistry (such as *in vivo* ChIP-seq collected via millions of cells), give the impression of widespread NR-chromatin occupancy with long residence time (min–hr timescale). Single-molecule experiments revealed that only a small fraction of NRs are functionally bound to their response elements in a given cell with rather short residence time ( $\mu$ s–s timescale). Therefore, single-molecule studies support the notion of dynamic and stochastic assembly of transcriptional complexes and offers a new paradigm of our mechanistic understanding of transcription initiation mediated by NRs.<sup>147</sup> One important question that remains to be addressed is what portion of sequence-specific DNA binding results in transcriptional activation. This requires imaging multiple factors at a single-copy of a specific promoter. With advanced super resolution microscopes, improved image acquisition techniques

and better statistical algorithms, single-molecule studies in live cells will simultaneously track the 3D spatial distribution of NRs over time and monitor 3D enhancer organization. This requires multi-fluorescence channel SMT images and provides 5D trajectories of NRs during transcription. This has tremendous potential to uncover the particularly dynamic interactions of NRs with their co-regulators and chromatin at a spatiotemporal resolution to understand the detailed mechanism of NRs in controlling gene expression.<sup>141,148,149</sup>

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