



# Functional and evolutionary characterization of a secondary metabolite gene cluster in budding yeasts

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**Secondary metabolites are key in how organisms from all domains of life interact with their environment and each other. The iron-binding molecule pulcherrimin was described a century ago, but the genes responsible for its production in budding yeasts have remained uncharacterized. Here, we used phylogenomic footprinting on 90 genomes across the budding yeast subphylum Saccharomycotina to identify the gene cluster associated with pulcherrimin production. Using targeted gene replacements in *Kluyveromyces lactis*, we characterized the four genes that make up the cluster, which likely encode two pulcherriminic acid biosynthesis enzymes, a pulcherrimin transporter, and a transcription factor involved in both biosynthesis and transport. The requirement of a functional putative transporter to utilize extracellular pulcherrimin-complexed iron demonstrates that pulcherriminic acid is a siderophore, a chelator that binds iron outside the cell for subsequent uptake. Surprisingly, we identified homologs of the putative transporter and transcription factor genes in multiple yeast genera that lacked the biosynthesis genes and could not make pulcherrimin, including the model yeast *Saccharomyces cerevisiae*. We deleted these previously uncharacterized genes and showed they are also required for pulcherrimin utilization in *S. cerevisiae*, raising the possibility that other genes of unknown function are linked to secondary metabolism. Phylogenetic analyses of this gene cluster suggest that pulcherrimin biosynthesis and utilization were ancestral to budding yeasts, but the biosynthesis genes and, subsequently, the utilization genes, were lost in many lineages, mirroring other microbial public goods systems that lead to the rise of cheater organisms.**

secondary metabolism | gene cluster | siderophore | budding yeasts | cyclodipeptide synthase

The production of secondary metabolites is found in organisms from all domains of life (1–3). Fungi are particularly well known for their production of secondary metabolites, whose functions can include antibiotics, virulence factors, pigments, toxins, quorum-sensing molecules, and siderophores (chelators produced by organisms to capture extracellular iron) (3, 4). These molecules are generally synthesized by proteins encoded in gene clusters, typically containing a synthase protein belonging to one of several families: a nonribosomal peptide synthetase (NRPS), polyketide synthase (PKS), terpene cyclase, dimethylallyl tryptophan synthetase, or cyclodipeptide synthase (CDPS) (2, 5–7). The absence of known genes encoding any of these functions in sequenced budding yeast genomes has precluded an understanding of secondary metabolism in the budding yeast subphylum Saccharomycotina (8).

The pigment pulcherrimin has long been known to be synthesized by a small number of budding yeast species, including various *Metschnikowia* spp. and some *Kluyveromyces* spp. (9–12). Pulcherrimin is a red iron-containing pigment composed of two cyclized and modified leucine molecules (13). The ecological

role of pulcherrimin is not well understood, but several studies have shown it to mediate interspecific antagonistic interactions, possibly due to its ability to sequester ferric iron from the growth medium (14–16). The ability to sequester free iron from the environment from competitors could be counterproductive if the producer species were also unable to utilize the pulcherrimin-bound iron, raising the possibility that pulcherrimin producers can also reuse the compound as a siderophore. Pulcherrimin may not be the only secondary metabolite produced by budding yeasts; there is evidence for ferrichrome production by some *Lipomyces* and *Dipodascopsis* spp. (17), but the genes responsible for production of both secondary metabolites in yeasts are unknown. Biochemical work in the pulcherrimin-producing bacterium *Bacillus subtilis* has characterized a two-step biosynthetic pathway: Two leucine molecules are cyclized via a CDPS, and the resulting diketopiperazine is oxidized by a cytochrome P450 oxidase to generate pulcherriminic acid (PA), which can then spontaneously bind iron to form pulcherrimin (18–20). The formation of diketopiperazines, such as the cyclo-Leu-Leu precursor to PA, is often catalyzed by biochemical pathways containing NRPS or CDPS proteins (21). Thus, the absence of known homologs of these genes in budding yeasts raises the

## Significance

Evolutionary and comparative genomics, combined with reverse genetics, have the power to identify and characterize new biology. Here, we use these approaches in several nontraditional model species of budding yeasts to characterize a budding yeast secondary metabolite gene cluster, a set of genes responsible for production and reutilization of the siderophore pulcherrimin. We also use this information to assign roles in pulcherrimin utilization for two previously uncharacterized *Saccharomyces cerevisiae* genes. The evolution of this gene cluster in budding yeasts suggests an ecological role for pulcherrimin akin to other microbial public goods systems.

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Data deposition: Genome assemblies for *Zygorulasporea mrakii*, *Zygorulasporea florentina*, *Kluyveromyces nonfermentans*, and *Komagataella pseudopastoris* can be found under National Center for Biotechnology Information (NCBI) accession nos. PPHZ000000000, PPJY000000000, PPKX000000000, and QYLQ000000000.

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possibilities of highly divergent homologs or novel biochemical pathways for pulcherrimin production.

Here, we use a comparative genomic approach to identify a putative gene cluster involved in pulcherrimin production. Using targeted gene replacements in *Kluyveromyces lactis*, we characterize this four-gene cluster, finding genes responsible for the biosynthesis and reutilization of pulcherrimin. We also find that several species, including *Saccharomyces cerevisiae*, contain a partial gene cluster comprised of only the two utilization genes. Using targeted gene replacements in *S. cerevisiae*, we assign functions and standard names to these previously uncharacterized genes. Finally, we infer that the gene cluster was ancestral to all budding yeasts, but repeated gene loss led to its patchy species distribution, as well as to the rise of cheater organisms that exploit the public good of pulcherrimin without the cost of production.

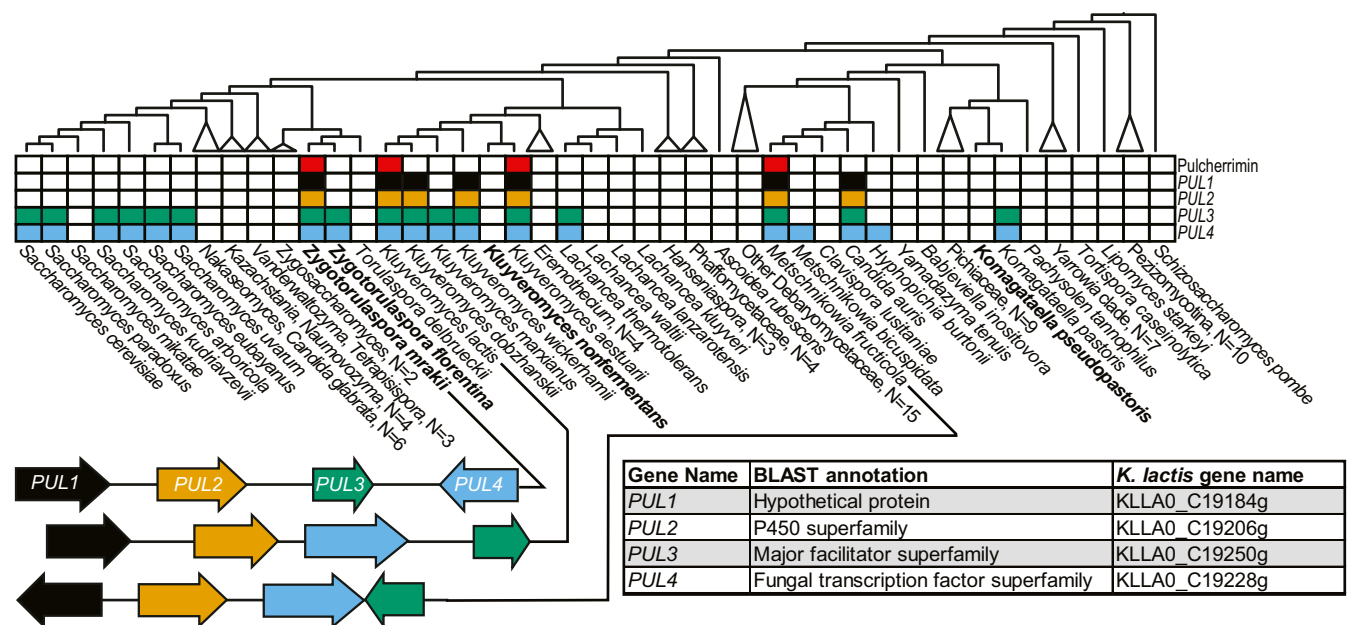
## Results

**A Secondary Metabolite Gene Cluster Is Responsible for Production of the Siderophore Pulcherrimin.** Pulcherrimin biosynthesis in *B. subtilis* consists of the cyclization of two leucine molecules via a CDPS encoded by *yvmC*, followed by oxidation via a cytochrome P450 oxidase encoded by *cypX* (18–20). When we searched a custom BLAST database composed of all publicly available Saccharomycotina genome assemblies ([y1000plus.org/blast](http://y1000plus.org/blast)) (22), we found no homologs for *yvmC* and only one significant hit ( $e < 0.001$ ) for *cypX*: the widely conserved sterol biosynthesis gene *ERG11* (23). Thus, the *B. subtilis* genes did not suggest candidates for the budding yeast pathway. We reasoned that a more divergent cytochrome P450 oxidase could be involved in pulcherrimin biosynthesis, so we searched the genome of the pulcherrimin producer *K. lactis* for proteins with a P450 conserved domain using NCBI's CDD tool and BLASTp. This approach yielded five P450 superfamily genes, one of which had no homologs in *S. cerevisiae* and was annotated in GenBank as a “*gliC* homolog.” *gliC* encodes a cytochrome P450 oxidase involved in secondary metabolism in some species of filamentous fungi, including the biosynthesis of gliotoxin by *Aspergillus* spp. (24, 25). We searched the Saccharomycotina BLAST database for

homologs of this protein and found significant hits ( $e < 0.001$ ) in the genomes of several *Kluyveromyces* spp., *Candida auris*, and *Metschnikowia fructicola*.

Among these species, we also found conservation of three genes surrounding the cytochrome P450 oxidase homolog, hereafter termed the *PUL* (*PUL*cherrimin) gene cluster, with genes labeled *PUL1-4* (Fig. 1 and *SI Appendix*, Fig. S1). In addition to *K. lactis* (10), *Kluyveromyces aestuarii* and *M. fructicola* had been described as producing pulcherrimin (11, 12). We sequenced the genomes of two more budding yeast species: one previously described as producing an unknown red pigment, *Zygorulasporea mrakii* (26), from which we identified a *PUL* gene cluster; one from the other recognized species of this genus, *Zygorulasporea florentina*, a nonpigmented strain that did not contain a complete *PUL* cluster. Thus, in each of the three genera, *Kluyveromyces*, *Metschnikowia*, and *Zygorulasporea*, we found that red-pigmented species always have complete *PUL* clusters, whereas species lacking the complete *PUL* cluster were never pigmented (Fig. 1). A handful of species have complete *PUL* clusters but did not readily produce pulcherrimin during laboratory growth, nor did their species descriptions include a positive pulcherrimin trait. These species may be cryptic producers that make pulcherrimin under specific, yet untested, conditions (e.g., *C. auris*, and some *Kluyveromyces* spp.).

To directly test whether this gene cluster was responsible for pulcherrimin production, we generated targeted gene replacements in the genetically tractable, pulcherrimin-producing yeast *K. lactis* (27, 28). We replaced each of the four *PUL* genes individually with an antibiotic-resistance cassette and tested the mutant strains for pulcherrimin production on a defined, synthetic complete (SC) agar medium. Under these conditions, the wild-type strain produced a red pigment, a trait that was abolished in *pul1Δ*, *pul2Δ*, and *pul4Δ* mutants (Fig. 2A). Complementation by reinsertion of each deleted gene restored pigmentation to all mutants (*SI Appendix*, Fig. S2). To further characterize these genes, we performed cross-feeding experiments between mutants. A *pul2Δ* strain constitutively expressing *PUL1* conferred pigmentation to a *pul1Δ* strain constitutively expressing *PUL2*, but



**Fig. 1.** The *PUL* gene cluster and its phylogenetic distribution. The genome-scale cladogram was constructed using alignments of 1,037 conserved BUSCO genes (22) with newly sequenced genomes in bold. Collapsed clades with multiple (*N*) species are shown as triangles, and the complete tree, with bootstrap values, is shown in *SI Appendix*, Fig. S1. Red filled boxes indicate pulcherrimin production has been observed in that species, and filled boxes for *PUL1-4* indicate presence or absence of the corresponding *PUL* gene. Gene arrow diagrams show the arrangement of the four *PUL* genes in representative species that produce pulcherrimin. The gene table contains putative annotations for the four genes before this study.

not vice versa, strongly suggesting that *PUL1* is upstream of *PUL2* in the biochemical pathway (SI Appendix, Fig. S3). Further, while *pul4Δ* strains constitutively expressing *PUL1* or *PUL2* were not pigmented on their own, we observed the same cross-feeding relationship in this deletion strain, indicating that *PUL4* is not required for pulcherrimin biosynthesis when *PUL1* or *PUL2* are expressed heterologously (SI Appendix, Fig. S3). We also attempted to express the *B. subtilis ymcC* and *cypX* genes in *pul1Δ* and *pul2Δ* strains, respectively, but they did not successfully complement the *K. lactis* mutants (SI Appendix, Fig. S4). Given these data and the putative annotations (Fig. 1), we hypothesize that *PUL4* encodes a transcription factor that regulates *PUL1* and *PUL2*, which we hypothesize encode the enzymes responsible for the two-step pulcherrimin biosynthesis pathway (Fig. 2D).

**The *PUL* Cluster also Contains Genes Responsible for Pulcherrimin-Complexed Iron Utilization.** While the *pul3Δ* mutant still produced pulcherrimin, it grew poorly on SC (Fig. 2). Several observations led us to hypothesize that the *pul3Δ* mutant was unable to reutilize the pulcherrimin it produced: growth on SC often gave rise to  $\text{Pul}^-$  suppressors that grew normally but did not produce pulcherrimin, and a *pul1Δ pul3Δ* double mutant grew normally but did not produce pulcherrimin (SI Appendix, Fig. S5). Since the *pul3Δ* mutant strains grew poorly when they produced pulcherrimin, we hypothesized that the *PUL3* gene was required to bring pulcherrimin-complexed iron back into the cell, perhaps by a mechanism similar to the siderophore-iron transporters characterized in *S. cerevisiae*, which are encoded by genes that include *ENB1*, *SIT1*, *ARN1*, and *ARN2* (29).

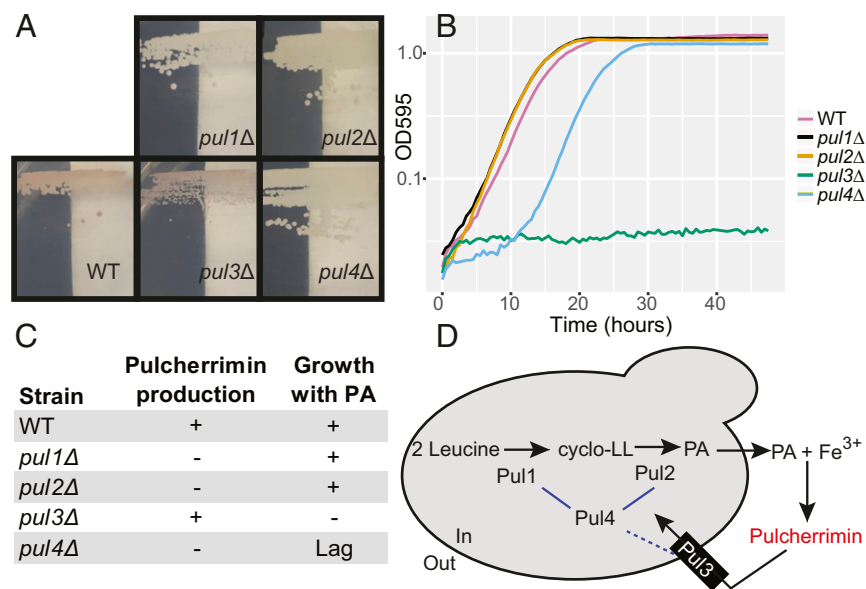
To test the hypothesis that *PUL3* is required for the uptake of iron using pulcherrimin, we devised a growth assay where strains were grown in liquid SC, with and without the addition of exogenous PA. PA is pulcherrimin that has been extracted away from iron using strong base and readily binds iron in growth media. Thus, addition of PA to growth medium sequesters available iron in the form of pulcherrimin. Growth rates for wild-type *K. lactis* in PA-treated medium were not significantly different from growth rates in untreated control medium (SI Appendix, Fig. S6). In PA-treated medium, wild-type, *pul1Δ*, and *pul2Δ* mutants grew normally, implying that *PUL1* and *PUL2* play a role specific to pulcherrimin biosynthesis and not reutilization (Fig. 2). Although the *pul3Δ* mutant grew normally in YPD liquid, it had a lower maximum cell density in SC than the wild-type strain, in line with the observations on solid medium (SI Appendix, Fig. S6). The *pul3Δ* strain grew negligibly compared with wild type in PA-treated medium (Student's

*t* test,  $P = 0.001$ ; Fig. 2B), demonstrating that *PUL3* is required for utilization of pulcherrimin-complexed iron.

Along with the role of *PUL4* in pulcherrimin biosynthesis (Fig. 2D), the *pul4Δ* mutant also showed a significant lag during growth in PA-treated medium compared with wild-type cells (Student's *t* test,  $P = 0.04$ ). After the lag, the *pul4Δ* strain did not show a significant growth decrease compared with wild-type cells (Student's *t* test,  $P = 0.15$ ; Fig. 2B). These results suggest that *PUL4* also positively regulates *PUL3*, but there are likely other ways for the cells to activate *PUL3* expression in *K. lactis* (Fig. 2B).

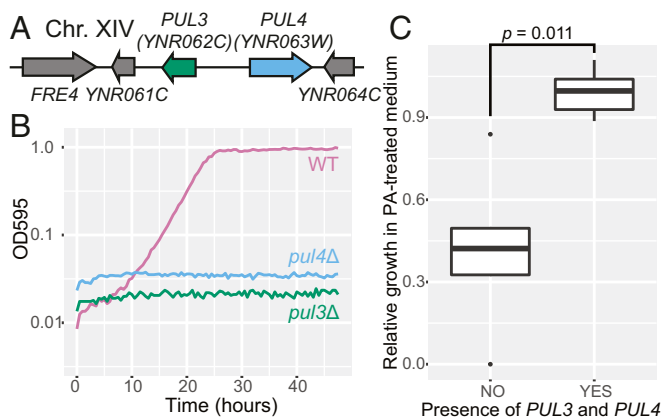
***S. cerevisiae* and Some Other Yeast Species Have Pulcherrimin-Complexed Iron Utilization Genes.** In addition to the complete *PUL* clusters present in all pulcherrimin-producing yeast species, we also found that 12 species contain partial *PUL* gene clusters composed of *PUL3* and *PUL4*, or only *PUL4* (Fig. 1). We hypothesized that organisms containing *PUL3*, all of which also contain *PUL4*, might be able to utilize exogenous pulcherrimin, but not make their own. One such species is the model yeast *S. cerevisiae*, and its *PUL3* and *PUL4* homologs are the adjacent and previously uncharacterized genes *YNR062C* and *YNR063W*, respectively (Fig. 3A). To test whether these genes also play a role in pulcherrimin-complexed iron utilization in *S. cerevisiae*, we individually deleted *YNR062C* and *YNR063W* and grew both mutant strains in PA-treated media. Wild-type *S. cerevisiae* grew similarly in PA-treated and untreated growth media (SI Appendix, Fig. S7), but both the *pul3Δ* and *pul4Δ* mutant strains failed to grow in PA-treated medium (Fig. 3B). *PUL4* has been shown to bind DNA in *S. cerevisiae* via protein-binding microarrays (30), and we therefore hypothesize, as in *K. lactis*, that *PUL4* encodes a transcription factor that regulates expression of the putative transporter *PUL3*.

To determine whether the presence or absence of *PUL3* and *PUL4* homologs was correlated with growth in PA-treated medium for other, less genetically tractable yeast species, we examined several monophyletic genera where species varied in the presence of *PUL3* and *PUL4*. Five genera contain examples of species that contain *PUL3* and *PUL4* and species that lack both genes, or at least *PUL3*. For each genus, we grew one species containing *PUL3* and *PUL4* and one species lacking these genes in media with and without PA treatment. Species lacking *PUL3* and *PUL4* showed significantly slower growth in PA-treated medium, relative to growth in untreated medium, compared with closely related species containing the genes (Student's *t* test,  $P = 0.011$ ; Fig. 3C), suggesting that these genes enable pulcherrimin-mediated iron uptake across a broad range of budding yeast taxa.



**Fig. 2.** Characterization of the *PUL* genes. (A) Cells grown on defined medium produced a red pigment when the complete *PUL* pulcherrimin pathway was present, but they lacked pigmentation when key genes were deleted. Complementation tests can be found in SI Appendix, Fig. S2. (B) Representative growth curves of individual *pul* mutants in PA-treated medium, where cells must uptake pulcherrimin to grow. Complementation tests can be found in SI Appendix, Fig. S5B. (C) Summary table of pulcherrimin production and growth in PA-treated medium. (D) Model of the putative roles of the *PUL* genes in siderophore production and reuptake based on our genetic data and previous biochemistry data (18–20); however, the yeast proteins currently lack direct biochemical assays. Blue lines represent regulation of *PUL* gene expression by *Pul4*.





**Fig. 3.** (A) Gene arrow diagram depicting the location and arrangement of the partial *PUL* gene cluster in *S. cerevisiae*. (B) Representative growth curves of *pul* mutants in PA-treated medium, where cells must uptake pulcherrimin to grow. (C) PA-treated:untreated growth rate ratios for pairs of congeneric species containing or lacking *PUL3* and *PUL4* homologs. Boxplots contain the mean growth rate ratio from three biological replicates for each of the five species in each group. The “YES” group is composed of species containing *PUL3* and *PUL4*, and the “NO” group is comprised of closely related species lacking one or both genes. *P* is the result of a paired Student’s *t* test.

### The Rarity of Pulcherrimin Production Is the Result of Pervasive Gene Loss.

The sparse distribution of the *PUL* gene cluster within budding yeasts implied either that the cluster was ancestral to the subphylum Saccharomycotina and lost in most extant lineages or that it was gained via several horizontal gene transfer events. Horizontal gene transfer, including transfer of entire metabolic gene clusters, has been observed in both budding yeasts and fungi in general, but it is considered to be quite rare (31–34). We identified significant ( $e < 0.001$ ) BLAST hits in the GenBank nonredundant (nr) protein sequence database for all four *PUL* genes in the Pezizomycotina and Basidiomycota, ranging between 22 and 30% identity at the amino acid level. In other fungi, the gene neighborhoods of *PUL1* homologs were often predicted to encode cytochrome P450 oxidases, MFS transporters, and transcription factors, indicating that the *PUL* cluster may exist in other fungi (*SI Appendix*, Fig. S8). When we constructed maximum-likelihood phylogenies for each of the fungal *PUL* genes, all four gene trees supported a single Saccharomycotina clade with 100% bootstrap support, showing a shared origin for all Saccharomycotina *PUL* gene copies (*SI Appendix*, Figs. S9–S12). Thus, there is no evidence that individual species within Saccharomycotina obtained the *PUL* genes from a known source outside of the subphylum.

We also tested for HGT within the subphylum by using one-sided Kishino–Hasegawa (35) and approximately unbiased tests (36) to test for significant differences between likelihood values for the gene trees and corresponding trees constrained on the genome-wide species topology (Fig. 1). None of these tests identified significant differences between the gene trees and species tree (*SI Appendix*, Table S3), meaning the phylogenies are fully compatible with accepted yeast relationships and suggest no HGT within the subphylum. In the absence of evidence for HGT, and given the rarity of HGT in fungi, we infer that the *PUL* gene cluster was present in the common ancestor of Saccharomycotina. Its patchy distribution is best explained by parallel loss events during evolution, a feature commonly observed in secondary metabolite gene clusters (33, 34), rather than rare acquisitions via HGT.

### Discussion

**The Power of Nontraditional Model Systems.** We have discovered and characterized a secondary metabolite gene cluster in budding yeasts. This gene cluster is responsible for the production of the siderophore pulcherrimin to capture iron from the environment. The rarity of pulcherrimin production among yeast species made

the identification and characterization of the underlying genes dependent on knowledge of the genome sequences of diverse yeast species for comparative genomics and, fortunately, a genetically tractable system in *K. lactis*. Our study underscores the importance of efforts to sequence the genomes of diverse species (37–39), as well as to explore the biology of both established and nontraditional model systems. In fact, understanding the pulcherrimin system in *K. lactis* was essential to characterizing *PUL3* and *PUL4* (*YNR062C* and *YNR063W*) in *S. cerevisiae*, two genes that had previously evaded characterization by several high-throughput screens of gene function (40, 41).

Unlike the other three genes required for pulcherrimin biosynthesis, the predicted coding sequence of *PUL1* lacks obvious sequence similarity to known families. The biochemical function of Pul1 remains unverified, as direct complementation of *pul1Δ* by expressing bacterial *yimC* was unsuccessful and attempts at recombinant protein expression for biochemical characterization so far have been unsuccessful. The lack of complementation observed from the bacterial genes could result from lack of recognition of yeast tRNA-Leu, different cofactor requirements, or trivial differences that prevent protein expression, stability, or function. The possibility also remains that the biochemistry of the yeast pulcherrimin biosynthesis pathway differs from that of bacteria, and further work will be required to elucidate this.

We have also identified homologs of *PUL1* in filamentous fungi, where their gene neighborhoods frequently resemble the *PUL* clusters characterized in this study and many other fungal secondary metabolite gene clusters. It is unknown whether these *PUL1*-containing clusters in diverse fungi produce pulcherrimin, but it seems likely that they are involved in secondary metabolite production. Searching for secondary metabolite gene clusters is often centered around identifying genes encoding NRPS or PKS using computational programs, such as antiSMASH (42) and SMURF (43), and genes encoding CDPS have not been comprehensively incorporated into these tools. Incorporating known CDPS and the *PUL1* gene into future scans could help identify new secondary metabolite gene clusters (42, 44).

**The Ecological Role of Pulcherrimin.** The rarity of pulcherrimin production among budding yeast species has also made understanding its ecological role difficult. However, by characterizing the genes in the *PUL* locus, we have identified several cryptic producers that have full *PUL* clusters but do not produce pulcherrimin in laboratory conditions, including some *Kluyveromyces* spp. and the emerging pathogen *C. auris*. Also, several species contain partial clusters that confer the ability to utilize pulcherrimin without producing it. Ultimately, we infer that the ancestor of all budding yeasts contained a complete *PUL* cluster, but the genes were lost in parallel in most lineages during yeast evolution.

Pulcherrimin has been previously described as mediating antagonistic interactions toward nonproducing yeast species, a property proposed to be linked to the sequestration of free iron from the environment (14, 15). However, without the ability to reutilize this pulcherrimin-bound iron, producers would inhibit their own growth, as observed in the *K. lactis pul3Δ* mutant. Therefore, in this study, we have expanded the ecological and functional role of pulcherrimin from solely an antagonistic compound to also include a role as an iron-binding siderophore. The pulcherrimin iron-chelation system is peculiar in budding yeasts; most characterized bacterial siderophores are used to scavenge for scarce iron in the environment (45), but many yeasts produce pulcherrimin even under high-iron conditions. Thus, pulcherrimin’s role in budding yeasts may not be in iron scavenging, but rather iron monopolizing.

**Gene Loss and the Public Goods Problem.** We have inferred that the *PUL* gene cluster was present in the common ancestor of Saccharomycotina, and the resulting sparse distribution of species containing the *PUL* genes, including the presence of partial *PUL* gene clusters, was the result of many gene loss events. We identified four different genotypes: (i) the presence of all four



generated with ggplot2 (56). Spline-fitted values were used when growth was negligible, causing the model to fail. Representative growth curves shown in *Results* are the biological replicate whose growth rate is closest to the mean growth rate for the set of replicates. Statistical comparisons of growth parameters were performed using paired Student's *t* tests with all pairs grown concurrently on the same plate using the same reagent batch.

**Sequence Alignment, Phylogeny Construction, and Topology Testing.** Phylogenetic analyses were performed according to standard methods. Details can be found in *SI Appendix, SI Methods*.

**Data Availability.** Genome assemblies for *Z. mrakii*, *Z. florentina*, *Kluyveromyces nonfermentans*, and *Ko. pseudopastoris* can be found under NCBI accession numbers PPHZ00000000, PPJY00000000, PPKX00000000, and QYLQ00000000.

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