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Lipoprotein(a)-related risk of heart failure is evident in Caucasians but not in other racial/ethnic groups: The Multi-Ethnic Study of Atherosclerosis

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Abstract

Objective: Lipoprotein(a) [Lp(a)] levels vary by race/ethnicity and were recently found to be associated with risk of heart failure (HF). We aimed to determine whether $Lp(a)$ -related risk of HF is similar across different races and whether $Lp(a)$ may further be related to HF with reduced (HFrEF) or preserved ejection fraction (HFpEF).

Approach and Results: In 6,809 participants of the Multi-Ethnic Study of Atherosclerosis, aged 45–84 years and free of cardiovascular disease (CVD), 308 incident HF events occurred over a median 13-year follow-up. Baseline Lp(a) concentrations were determined by immunoassay. Incident HF was adjudicated, distinguishing HFrEF ($EF < 45\%$) from HFpEF ($EF < 45\%$). Cox regression assessed relations between $Lp(a)$ and HF risk among four races/ethnicities. $Lp(a)$ was examined as a continuous variable (per log unit) and using clinical cutoff values, 30 mg/dL and 50 mg/dL. Lp(a) was related to greater risk of HF in Caucasians alone: per log unit Lp(a): (HR: 1.20; $p=0.02$; Lp(a) 30 mg/dL: (HR: 1.69; $p=0.01$); Lp(a) 50 mg/dL: (HR: 1.87; $p=0.006$). No significant relations were found in Black, Hispanic, or Chinese participants, and significant race interactions were observed. Lp(a) was additionally related to greater risk of HFpEF in Caucasian participants: per log unit Lp(a): (HR: 1.48; $p=0.001$); Lp(a) 30 mg/dL: (HR: 2.15; $p=0.01$); Lp(a) 50 mg/dL: (HR: 2.60; $p=0.004$). Lp(a)-related risk of HF and HFpEF in Caucasians were

independent of aortic valve disease.

Conclusions: In a multi-ethnic sample, Lp(a)-related risks of HF and HFpEF were only evident in Caucasian participants. If confirmed, these findings have implications in further $Lp(a)$ research and clinical practice.

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Keywords

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Keywords

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INTRODUCTION

Heart failure (HF) represents a major health-economic burden and, with their aging populations, Western nations are poised for a dramatic increase in rates of incident HF $(1-3)$. Considering the deleterious effects of HF on mortality, morbidity, and quality of life (4, 5), the identification and characterization of new HF risk factors is vital. Among these, lipoprotein(a) [Lp(a)] is a genetically-determined low density lipoprotein subclass that may represent one such target.

Lp(a) has been well-characterized for its causal role in coronary heart disease and aortic valve stenosis (6, 7), but was only recently shown to be associated with greater risk of incident HF (8) and HF hospitalizations (9) . Potentially complicating this observation, $Lp(a)$ distributions are known to vary widely based on race/ethnicity. Indeed, race-based differences in circulating Lp(a) concentrations are well documented—with Black individuals having, on average, 2–3-fold higher levels than Caucasians (10, 11) and Hispanics (12, 13). Additionally, racial/ethnic differences in Lp(a)-related risk of disease incidence have been suggested in recent studies of coronary heart disease (12) and aortic valve calcification (AVC) (11)—although counter evidence has also been reported (10). It remains unknown whether Lp(a)-related risk of incident HF may differ across race/ethnicity, and no study has examined Lp(a) and risk of HF categorized by ejections fraction.

Overall this study aimed to determine whether Lp(a)-related risk of incident HF is modified by race/ethnicity in Multi-Ethnic Study of Atherosclerosis participants. Analyses were further conducted to determine whether observed relationships were mediated by ischemicrelated events. Finally, previous studies (8, 9) have been unable to interrogate HF categorized by reduced ejection fraction (HFrEF) and preserved EF (HFpEF), and these outcomes were examined here.

MATERIALS AND METHODS

The authors declare that all supporting data are available within the article.

Study population

MESA was initiated to investigate the prevalence and progression of subclinical CVD in people initially free of overt clinical CVD, radiation or chemotherapy-treated cancer, other serious major illness, or cognitive impairment in the judgment of the screening interviewer prior to baseline (14). Between 2000 and 2002, 6,814 men and women aged 45–84 years, of Caucasian, Black, Hispanic, or Chinese racial/ethnic backgrounds, and without clinical

evidence of overt cardiovascular disease were enrolled from six communities: Baltimore, MD; Chicago, IL; Forsyth County, NC; Los Angeles County, CA; New York, NY; and St. Paul, MN. The institutional review boards at all participating centers approved the study, and all participants signed informed consent. Additional study protocol information is available online at [www.mesa-nhlbi.org.](http://www.mesa-nhlbi.org/)

Laboratory measurements

Fasting blood was drawn and EDTA-anticoagulant tubes were processed using a standardized protocol (15). Samples were aliquoted then stored at −70^oC until analyte measurements were conducted. Fasting triglyceride, total cholesterol, high density lipoprotein cholesterol (HDL-C) concentrations were measured as described previously (16). Lp(a) mass concentrations served as the exposure variable and were measured at baseline in 6,700 MESA specimens by Health Diagnostics Laboratory (Richmond, Virginia) using a latex-enhanced turbidimetric immunoassay (Denka Seiken, Tokyo, Japan) that controls for the heterogeneous sizes of apo(a) (17). Total imprecision was $\langle 5\% \rangle$.

Follow-ups for event outcomes

Every 9 to 12 months, telephone interviewers called each participant to inquire about interim hospital admissions, cardiovascular outpatient diagnoses, and deaths. Inpatient and outpatient medical records and information were received in approximately 98% and 95%, respectively. HF events were adjudicated by physicians based on medical records. We used EF reported in the hospital record to define HFrEF $<$ 45% vs. HFpEF $\,$ 45% (18). Definite and probable myocardial infarction (MI) cases were identified based on combinations of symptoms, ECG, and cardiac biomarker levels. In most cases, definite or probable MI required either abnormal cardiac biomarkers (2 times upper limits of normal) regardless of pain or ECG findings; evolving Q waves regardless of pain or biomarker findings; or a combination of chest pain, and ST-T evolution or new LBBB, and biomarker levels 1–2 times upper limits of normal.

Aortic Valve Calcification

AVC was identified at baseline by computed tomography. The imaging protocol has been described previously (19). Briefly, computed tomography imaging with either electron beam or multi-detector scanners was used to detect aortic valve calcification. Each participant was scanned twice, and the scans were interpreted at the core laboratory at the Los Angeles Biomedical Research Institute at Harbor-UCLA (University of California Los Angeles) Medical Center by experienced readers who were blinded to the clinical information. Any calcified focus that extended to the aortic root was considered aortic valve calcium.

Statistical analysis

Statistical analysis was conducted using STATA, version 15.0 (StataCorp, College Station, Texas). Baseline characteristics are presented as means (SD) for continuous variables and frequencies (%) for categorical variables. Missing data were excluded when calculating frequencies. Tukey-Kramer HSD was used to test differences between groups. Cox regression determined associations between $Lp(a)$ and incident heart failure across the four

races/ethnicities. The proportional hazards assumption was determined using Schoenfeld residuals. To fully interrogate Lp(a) as a clinical CV risk marker, it was treated as both a logtransformed continuous variable and categorized variable using clinical cutoffs. US and European clinics use different Lp(a) clinical cutoff values (30 mg/dL and 50 mg/dL, respectively), so both values were examined here. The regression model was adjusted for age, sex, BMI, field center, education, smoking (pack years), lipid lowering medication, hypertension medication, systolic blood pressure, diastolic blood pressure, baseline glomerular filtration rate, diabetes, HDL-C, total cholesterol, log-transformed triglycerides and AVC at baseline (absent or present). Alcohol intake and baseline income were not related to the exposure or outcome variables and were excluded as covariates. Race/ethnicity was tested as a modifying variable. Unadjusted Nelson–Aalen hazard curves were generated to show differences in cumulative hazards of heart failure between individuals with Lp(a) above and below clinical cutoff values within each race/ethnicity. A secondary analysis assessed whether incident MI or ischemia-related events mediate any observed relationships between Lp(a) and HF. Participants who suffered events were excluded as follows: model 1) incident MI; model 2) incident MI, coronary artery bypass grafting, or percutaneous transluminal coronary angioplasty. Associations between Lp(a) and HFrEF and HFpEF were tested using the above Cox regression model. P-values <0.05 were deemed significant.

RESULTS

In this sample of 6809 adults, there were 308 incident HF cases (3.9 per 1000 person years). Table 1 shows demographic and clinical characteristics of study participants categorized by HF cases and non-cases over the median 13-year follow-up period. Compared to non-cases, individuals who experienced incident heart failure were more likely to be elderly $(p<0.001)$, male ($p<0.001$), current or former smokers ($p=0.03$), diabetic ($p<0.001$), have a higher BMI ($p<0.001$) and higher systolic and diastolic blood pressure (both $p<0.001$), taking hypertension medication ($p<0.001$), have lower levels of total cholesterol ($p=0.02$) and HDL-C ($p=0.005$), have suffered an MI over the follow-up period and have AVC at baseline (both $p<0.001$). Lp(a) levels did not differ between HF cases and non-cases ($p=0.29$). Lp(a) distributions for each race/ethnicity are presented in Supplemental Figure I.

Cox regression analysis estimated Lp(a)-related risk of HF with adjustments for age, sex, field center, education, BMI, smoking (pack years), systolic blood pressure, diastolic blood pressure, hypertension medication use, baseline glomerular filtration rate, HDL-C, total cholesterol, log triglycerides, lipid lowering medication use, diabetes, and prevalent AVC (Table 3). Lp(a) was found to be related to greater risk of HF over the median 13-year follow-up period in Caucasians alone. Per log unit of Lp(a) was associated with 20% greater risk of HF ($p=0.02$). Caucasians with Lp(a) levels $30mg/dL$ and $50 mg/dL$ showed 69% $(p=0.01)$ and 87% ($p=0.006$) greater risks of HF, respectively. Significant race interactions were observed but depended upon the $Lp(a)$ variable: for per log unit of $Lp(a)$, the raceinteraction was not significant ($p=0.20$); categorizing Lp(a) by 30 mg/dL or 50 mg/dL cutoff values revealed differential associations of $Lp(a)$ and HF risk across race/ethnicity that were suggestive of a race interaction ($p=0.03$ and $p=0.08$, respectively). Between races/ethnicities, it was found that associations in Caucasians with $Lp(a)$ 30mg/dL were different than those in Black ($p=0.006$) and Hispanic participants ($p=0.04$). Likewise, associations in Caucasians

Unadjusted Nelson–Aalen analysis comparing cumulative hazards of HF for individuals above and below the 50 mg/dL Lp(a) cutoff are presented for each race/ethnicity in Figure 1 (panels A-D). A higher cumulative hazard for incident heart failure was observed in Caucasians with $Lp(a)$ 50 mg/dL over the study follow-up period. Similar results were found using the 30 mg/dL cutoff value (Supplemental Figure II).

A secondary analysis evaluated whether $Lp(a)$ -related risk of HF was mediated through ischemia-related events in Caucasian study participants, presented in Table 4. In model 1, individuals who suffered an MI event were excluded from the analysis $(n=124)$. Per log unit of Lp(a) was associated with 37% greater risk of HF ($p=0.002$). Those with Lp(a) levels 30mg/dL and 50 mg/dL showed 97% ($p=0.006$) and 143% ($p=0.001$) greater risks of HF, respectively. In model 2, in addition to excluding those who suffered an MI, we further excluded participants who underwent coronary artery bypass grafting (n=69) or percutaneous transluminal coronary angioplasty (n=137). Per log unit of Lp(a) was associated with 29% greater risk of HF ($p=0.02$). Those with Lp(a) levels 30mg/dL did not show a significantly greater risk of HF than those below this level ($p=0.14$), while individuals with $Lp(a)$ levels $\frac{50 \text{ mg}}{d}$ showed an approximate two-fold greater risk of HF than those below 50 mg/dL ($p=0.03$).

In Table 5, HF outcomes were subdivided in to HFrEF \ll 45%) and HFpEF (\approx 45%) cases, and Cox regression estimated hazard ratios in Caucasian participants. Per log unit Lp(a) was associated with a 48% greater risk of HFpEF ($p=0.002$). Those with Lp(a) levels $30mg/dL$ and 50 mg/dL showed approximate 2-fold ($p=0.02$) and 2.5-fold ($p=0.004$) greater risks of HFpEF than those below these levels, respectively. No significant relations were found between Lp(a) and risk of HFrEF. No significant risk associations were observed between Lp(a) and HFpEF or HFrEF within any of the other racial/ethnic groups (data not shown).

DISCUSSION

In this multi-ethnic cohort, Lp(a) was found to be a significant risk factor for incident HF in Caucasian individuals alone. Race interactions suggested that Lp(a)-related risk of HF is modified by race/ethnicity. Lp(a)-related risk of HF was found to independent of the known influence of Lp(a) on aortic valve disease and may also be independent of ischemic-related events. Further categorizing HF by EF revealed that higher $Lp(a)$ levels were related to significantly greater risk of HFpEF in Caucasian participants.

To date, two previous cohort studies have examined Lp(a) and HF-related outcomes. Combining two Danish Copenhagen-based cohorts, Kamstrup and Nordestgaard (2016) showed that increasing $Lp(a)$ levels were associated with greater risk of incident HF (p for trend<0.001) (8). Excluding individuals with previous MI or aortic valve stenosis showed that they mediated approximately two-thirds of HF risk, yet the association between Lp(a) and HF remained significant. Similarly, in Atherosclerosis Risk in Communities (ARIC) participants (9), Agarwala et al. (2017) showed that individuals in the top quintile of $Lp(a)$

(23.1–108.2 mg/dL) were at greater risk of HF hospitalization compared to those in the referent quintile (0.02–2.4 mg/dL); however, upon excluding those with prevalent or incident MI, the relationship was rendered non-significant. In addition, and in stark contrast to our findings, ARIC investigators found no evidence of a race interaction with Lp(a) and HF hospitalization (p for race interaction=0.65).

A number of factors may have contributed to the above differential findings. First, the HF outcome variables were distinct—HF hospitalizations were examined in ARIC in contrast to HF incidence in MESA and in the Copenhagen cohorts study. In terms of the exposure variable, Lp(a) was examined using different approaches. The Danish cohort investigators examined Lp(a) based on percentile categories, i.e. (1–33), (34–66), (67–90), (91–99), and (>99). Since MESA did not have the statistical power to mimic this approach, Lp(a) was examined as both a continuous variable and by clinical cutoffs while stratifying by race/ ethnicity. In contrast, the ARIC study combined Black and Caucasian individuals and categorized Lp(a) by quintiles. This approach may have biased the results in the ARIC sample considering that there are substantial differences in Lp(a) distributions between Black and Caucasian groups which results in unequal representations of Black and Caucasian individuals across quintiles. Furthermore, we observed no relation between Lp(a) and HF risk in Black MESA participants; therefore, combining these two race groups in ARIC may have weakened Lp(a)-related HF risk in upper quintiles. Ultimately, it may be speculated that the findings in ARIC may have been more consistent with MESA and the Copenhagen cohorts study had the sample been stratified by race and Lp(a) analyzed by a clinical cutoff(s). Supporting this assertion, we applied the statistical approach of the ARIC study to Black and Caucasian MESA participants, and no significant relationship was observed between Lp(a) and incident HF across quintiles (data not shown).

The mechanism through which $Lp(a)$ may influence HF remains unknown, but it was hypothesized that ischemia-related events such as MI would have a mediating influence on Lp(a)-related risk of HF. In a secondary analysis, we used an approach similar to that of previous investigators (8, 9) and excluded individuals who suffered an MI (Table 3, model 1). In a subsequent model (Table 3, model 2), we next excluded those who suffered an MI, underwent coronary artery bypass grafting or percutaneous transluminal coronary angioplasty. With one exception, Lp(a)-related risk of HF was stronger in terms of the magnitudes of associations for Lp(a) exposure variables. While these findings suggest that associations are independent of MI or ischemia-related events in this sample, it must be acknowledged that statistical power was reduced in these analyses. Additional studies are warranted to determine whether $Lp(a)$ is a causal risk factor for HF as found for coronary heart disease and aortic valve stenosis and, if the case, to identify the pathways that are promoting disease development.

Future Research

Despite the thousands of studies conducted on Lp(a) since its identification in 1963 (20, 21), its physiological and pathophysiological mechanisms remain ill-defined, and clinical cutoff values have not been standardized (22) . The current finding that $Lp(a)$ -related risk of HF varies across race/ethnicity suggest that the pathogenicity of the Lp(a) particle may be race-

dependent or is otherwise heterogeneous across populations. Given the possibility of racebased differences in Lp(a)-related risk, further biomolecular-, genetic-, and cohort-based research is warranted. Specifically, future studies that focus on identifying inter-race differences in the LPA gene [in addition to the well-described KIV type II domain (23)] and in Lp(a) particle components and structure may reveal functions and pathophysiological mechanisms of Lp(a) that remain uncharacterized. The present findings also support the need for standardized, race-dependent clinical cutoffs to discriminate disease risk.

Strengths and Limitations

This study represents the largest evaluation of Lp(a) and incident HF categorized by EF and is the first to show that Lp(a)-related risk of HF is modified by race/ethnicity. The prospective study design and extended follow-up period allowed for the examination of temporality of associations between $Lp(a)$ and HF outcomes. In terms of limitations, the definition of AVC was restricted to the presence of aortic valve calcification at baseline, and we could not evaluate the potential influence of subsequent AVC development on HF incidence. It must also be acknowledged that statistical power may have been limited in analyses of HF categorized by EFs excluding individuals with ischemia-related events; these findings should be interpreted with caution until replicated in other cohorts. MESA is a U.S.-based cohort, and the present results may not be generalizable to other populations. Finally, multiple demographic and clinical adjustments were made within the statistical model, but the possibility of residual confounding cannot be excluded.

Conclusions

In a multi-ethnic cohort, Lp(a) was found to be an independent risk factor for HF and HFpEF in Caucasian individuals alone. Confirmation in other cohorts is warranted, but a race-dependent Lp(a)-related risk of HF has implications in future research and clinical practice.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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NONSTANDARD ABBREVIATIONS AND ACRONYMS

Lp(a) Lipoprotein(a)

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Highlights

- **•** In the Multi-Ethnic Study of Atherosclerosis cohort, Lp(a)-related risk of incident heart failure was only evident in Caucasian study participants
- **•** Significant overall race interactions were observed, and Lp(a)-related risk of heart failure was significantly different in Caucasians compared to Black study participants
- **•** Categorizing heart by ejection fraction, Lp(a) levels were shown to be related to heart failure with preserved, but not reduced, ejection fraction in Caucasian study participants

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Figure 1.

(panels A-D). Unadjusted Nelson-Aalen cumulative hazards curves for incident heart failure in: A) Caucasian; B) Black; C) Chinese-American; and D) Hispanic MESA participants dichotomized by the 50 mg/dL Lp(a) cutoff value.

Table 1.

Demographic and clinical characteristics of 6,809 MESA participants dichotomized by incident HF over the median 13-year follow-up period.

Definitions: HF=heart failure; SBP=systolic blood pressure; DBP=diastolic blood pressure; BMI=body mass index; diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; AVC=aortic valve calcification

Table 2.

Demographic and clinical characteristics of MESA participants stratified by Lp(a) categories.

Definitions: HF=heart failure; SBP=systolic blood pressure; DBP=diastolic blood pressure; BMI=body mass index; diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; AVC=aortic valve calcification

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Table 3.

95% confidence intervals are shown (p-values where significant). Lp(a) was modeled as a continuous variable (per log unit) and by clinical cutoff values, 95% confidence intervals are shown (p-values where significant). Lp(a) was modeled as a continuous variable (per log unit) and by clinical cutoff values, 30 and 50 mg/dL. Covariate adjustments were made for age, sex, field center, education, BMI, smoking (pack years), systolic blood pressure, diastolic 30 and 50 mg/dL. Covariate adjustments were made for age, sex, field center, education, BMI, smoking (pack years), systolic blood pressure, diastolic blood pressure, hypertension medication use, baseline glomerular filtration rate, HDL-C, total cholesterol, log triglycerides, lipid lowering medication Lp(a)-related risk of heart failure in Multi-Ethnic Study of Atherosclerosis participants (N=6,638; 298 HF cases). Cox proportional hazards ratios and blood pressure, hypertension medication use, baseline glomerular filtration rate, HDL-C, total cholesterol, log triglycerides, lipid lowering medication Lp(a)-related risk of heart failure in Multi-Ethnic Study of Atherosclerosis participants (N=6,638; 298 HF cases). Cox proportional hazards ratios and use, diabetes, and prevalent AVC. Interactions with race/ethnicity were tested both across race and between individual races/ethnicities. use, diabetes, and prevalent AVC. Interactions with race/ethnicity were tested both across race and between individual races/ethnicities.

aortic valve calcification Definitions: BMI=body mass index; diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; AVC=aortic valve calcification cases; HDL-∪≡hign density lipoprotein-cholesteroi; AV∪ unureateu reated and index; diab Definitions: BMI=body mass

 $*$ Indicates that the association was significantly different than that in Caucasian participants (p for interactions <0.05) Indicates that the association was significantly different than that in Caucasian participants (p for interactions <0.05)

Table 4.

Lp(a)-related risk of heart failure in Caucasian participants, excluding individuals who experienced a myocardial infarction or ischemia-related events using a multi-model approach. Lp(a) was modeled as a continuous variable (per log unit) and by clinical cutoff values, 30 and 50 mg/dL. Models were adjusted for age, sex, field center, education, BMI, smoking (pack years), hypertension medication use, lipid lowering medication use, systolic blood pressure, diastolic blood pressure, baseline glomerular filtration rate, HDL-C, total cholesterol, log triglycerides, diabetes, and prevalent AVC.

Model 1: Excluded individuals with incident myocardial infarction (N=2,414, 82 HF cases)

Model 2: model 1 + excluded individuals who underwent percutaneous transluminal coronary angioplasty or coronary artery bypass grafting (N=2,305, 66 HF cases)

Definitions: BMI=body mass index; diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; AVC=aortic valve calcification

Table 5.

Lp(a)-related risk of incident HFrEF or HFpEF^{*} in Caucasian MESA participants (N=2,516)[†]. Cox proportional hazards ratios and 95% confidence intervals are shown (p -values where significant). Lp(a) was modeled as a continuous variable (per log unit) and by clinical cutoff values, 30 and 50 mg/dL. Covariate adjustments were made for age, sex, field center, education, BMI, smoking (pack years), systolic blood pressure, diastolic blood pressure, hypertension medication use, lipid lowering medication use, baseline glomerular filtration rate, HDL-C, total cholesterol, log triglycerides, diabetes, and prevalent AVC.

Definitions: HFrEF=heart failure with reduced ejection fraction; HFpEF=heart failure with preserved ejection fraction; BMI=body mass index; diabetes=treated and untreated cases; HDL-C=high density lipoprotein-cholesterol; AVC=aortic valve calcification

*
HFpEF = ejection fraction 45%

 $\dot{\tau}$ Individuals with missing covariates or EF data were excluded from the analysis