



Is It Possible to Develop Cancer Vaccines to Neoantigens, What Are the Major Challenges, and How Can These Be Overcome?

Neoantigens as Vaccine Targets for Cancer

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Recent work by several groups has undoubtedly shown that we can produce cancer vaccines targeting neoantigens. However, each vaccine is essentially a single-use, patient-specific product, making this approach resource-intensive. For this reason, it is important to ask whether this approach will be any more successful than what has been attempted during the last 30 years using vaccines targeting self-epitopes. Here, we discuss what might be expected from neoantigen vaccines based on our experience in chronic viral infections, and how this new approach may be applied to cancer immunotherapy.

GREAT DEBATES

What are the most interesting topics likely to come up over dinner or drinks with your colleagues? Or, more importantly, what are the topics that *don't* come up because they are a little too controversial? In *Immune Memory and Vaccines: Great Debates*, Editors Rafi Ahmed and Shane Crotty have put together a collection of articles on such questions, written by thought leaders in these fields, with the freedom to talk about the issues as they see fit. This short, innovative format aims to bring a fresh perspective by encouraging authors to be opinionated, focus on what is most interesting and current, and avoid restating introductory material covered in many other reviews.

The Editors posed 13 interesting questions critical for our understanding of vaccines and immune memory to a broad group of experts in the field. In each case, several different perspectives are provided. Note that while each author knew that there were additional scientists addressing the same question, they did not know who these authors were, which ensured the independence of the opinions and perspectives expressed in each article. Our hope is that readers enjoy these articles and that they trigger many more conversations on these important topics.

Editors: Shane Crotty and Rafi Ahmed

Additional Perspectives on Immune Memory and Vaccines: Great Debates available at www.cshperspectives.org

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IMMUNOTHERAPY AND VACCINATION

Using the immune system has long been proposed as a method to treat cancer, although the field only really gained momentum in the late 1980s and early 1990s when specific mechanisms underpinning this approach were established. The identification of tumor-associated antigens began with MAGE-A1 in melanoma and was then followed by the discovery of additional antigens in several other cancers, which highlighted that T cells could recognize and respond to self-antigens in tumors (van der Bruggen et al. 1991; Vigneron et al. 2013). Concurrent work from Rosenberg and team at the National Institutes of Health (NIH) showed that the adoptive transfer of large numbers of tumor antigen-specific T cells could cause regression of widely metastatic disease (Rosenberg et al. 1988; Hinrichs and Rosenberg 2014). Finally, a number of key experiments showed T-cell recognition and killing of tumor cells (Dighe et al. 1994; Engel et al. 1997; Shankaran et al. 2001; Dunn et al. 2004). Together, these studies established the theoretical basis required for a viable therapeutic vaccine; tumor-specific antigens existed, and the immune system could kill cancer when tumor antigen-specific T cells were present in sufficient numbers.

Following these discoveries, several approaches have been pursued in the development of vaccines against various cancers with many recently completing phase III trials, although recent results from these trials have been less successful than expected. Patients treated with Provenge, an autologous dendritic cell vaccine loaded with the prostate cancer antigen prostatic acid phosphatase (PAP), showed an improved overall survival of 4 months in three separate phase III trials (Small et al. 2006; Higano et al. 2009; Kantoff et al. 2010a). The only other cancer vaccine to show positive results in a phase III trial is a peptide derived from the melanoma antigen, gp100 (premelanosone protein [PMEL]). However, this vaccine only generated a small improvement in a progression-free survival of 0.6 months ($p = 0.008$), and did not show any significant improvement in overall survival (Schwartzentruber et al. 2011). Most recently, vaccines targeting the

MAGE-A3 antigen for melanoma and lung cancer also showed no significant improvement in survival (Vansteenkiste et al. 2016). Although there are many factors that could be contributing to this, ultimately these vaccines were only able to induce a relatively poor T-cell response against the cancer antigens. Provenge, which showed the best performance in clinical trials, only generated a fivefold increase in antigen-specific T cells to 20 cells per million PBMCs (peripheral blood mononuclear cells) (Fong et al. 2014). Prostavac, a modified vaccine virus targeting prostate-specific antigen (PSA), also increased antigen-specific T cells by fivefold to produce 30 vaccine-specific cells per million PBMCs (Kaufman et al. 2004; Gulley et al. 2014). Similarly, the maximum response observed for the gp100 peptide vaccine for melanoma was a twofold increase in antigen-specific T cells, and this was only achieved by a small percentage of patients (Sosman et al. 2008). The message from these trials is clear. Although we can induce an immune response against cancer epitopes with various vaccine strategies, the response to these self-epitopes in cancer patients is simply not at the magnitude needed for a consistent antitumor effect.

CAN TARGETING NEOANTIGENS OVERCOME THE PROBLEMS FACED BY SELF-ANTIGEN CANCER VACCINES?

Although the previously tested cancer vaccines target a normally occurring peptide (self-antigen) that is present in cancer, targeting of neoantigens has been less well studied. Neoantigens are the epitopes generated by mutations in tumors. Mutations are a universal feature of cancer, and tumors can have anywhere from a hundred to several thousand protein-coding mutations (Vogelstein et al. 2013). Each of these mutations is a potential nonself epitope that could be recognized by T cells. The appeal of targeting neoantigens for vaccination is clear; because these epitopes are nonself, central tolerance mechanisms will not limit the affinity and number of T cells responding, as is the case with vaccines targeting self-epitopes. Additionally, as the mutations are limited to the tumor, off-target autoimmune side effects will be reduced.



Because of these potential benefits, significant work has been conducted to determine the value of targeting neoantigens to enhance the efficacy of anticancer vaccines. Several groups have recently found T cells specific to neoantigens in cancer patients (Robbins et al. 2013; Gros et al. 2016; McGranahan et al. 2016; Parkhurst et al. 2016; Stronen et al. 2016). Additionally, vaccines targeting neoantigens can induce an immune response in melanoma patients (Ott et al. 2017; Sahin et al. 2017). Although encouraging, significant challenges remain. In particular, the relative rarity of common mutations between individual patients coupled with differences in human leukocyte antigen (HLA) types and T-cell repertoire means that each vaccine has to be truly personalized. Several excellent reviews have discussed how these problems are being addressed and the potential benefits of doing so, but it is reasonable to say that a substantial effort would be required to produce neoantigen-specific vaccines for every patient (Schumacher and Hacohen 2016; Tran et al. 2017; Yarchoan et al. 2017). As the feasibility of this approach has become clearer, the question now is not whether we can develop vaccines against neoantigens, but whether the substantial effort required to make a personalized vaccine is reflected in a significantly better outcome than that observed with self-antigen vaccines.

WHAT TO EXPECT FROM A NEOANTIGEN VACCINE

Before discussing the benefits of neoantigens as cancer vaccine targets, it is worth considering the features of an ideal cancer vaccine. First, the vaccine should induce a significant increase in the number of antigen-specific T cells, as it is reasonable to assume that the antitumor effect will be greater when more antigen-specific T cells are present. Second, it is also important for high-quality T cells to be produced, as we know that higher-affinity T cells generally show a higher efficacy (Varela-Rohena et al. 2008; Zhong et al. 2013). Finally, it would theoretically be ideal for the antigen to be highly tumor-specific to minimize the off-target effects of the vaccine. Although we do not know yet how neoantigens

may affect these parameters of a vaccine, we can make some inferences from observations made in chronic viral infections. Epitopes of chronic viral infections are somewhat analogous to neoantigens in cancer in the sense that they are all nonself, but have been present for a long period leading to T-cell exhaustion, and the disease is often spread throughout the body (summarized in Fig. 1).

WILL NEOANTIGEN VACCINES PRODUCE A LARGER ANTITUMOR T-CELL RESPONSE?

A key determinant of the size of an immune response generated is the number of T cells specific for the vaccine. Although central tolerance mechanisms are not 100% effective at deleting self-reactive T cells, they do reduce the frequency of these cells (Zhen et al. 2006; Enouz et al. 2012; Yu et al. 2015). Therefore, one advantage of neoantigens may be the potential for a higher frequency of T cells specific to the nonself-epitopes. Significant investigation of therapeutic vaccine strategies to expand T-cell epitopes against chronic viral infections like human immunodeficiency virus (HIV) and hepatitis C virus (HCV), along with extensive investigation of therapeutic vaccines in animal models of chronic viral infections, have been conducted.

Vaccines are generally ineffective at expanding T cells during a chronic viral infection. For example, immunization of mice chronically infected with the clone 13 strain of lymphocytic choriomeningitis virus (LCMV) using a modified vaccinia virus containing the LCMV glycoprotein (GP) epitope fails to induce significant expansion of the T-cell population (Wherry et al. 2005; Ha et al. 2008). Likewise, simian immunodeficiency virus (SIV)-infected primates do not respond to any epitopes in a modified poxvirus vaccine containing gag, pol, and env proteins. In these animals, only 1% of the T cells in the blood are specific for the gag protein, no different from unimmunized animals. In contrast, prior reduction of the viral load by administration of antiretroviral therapy led to 7% of the total CD8 T cells in the animals being gag-specific after vaccination (Hel et al. 2000). Similar effects are seen in human subjects with

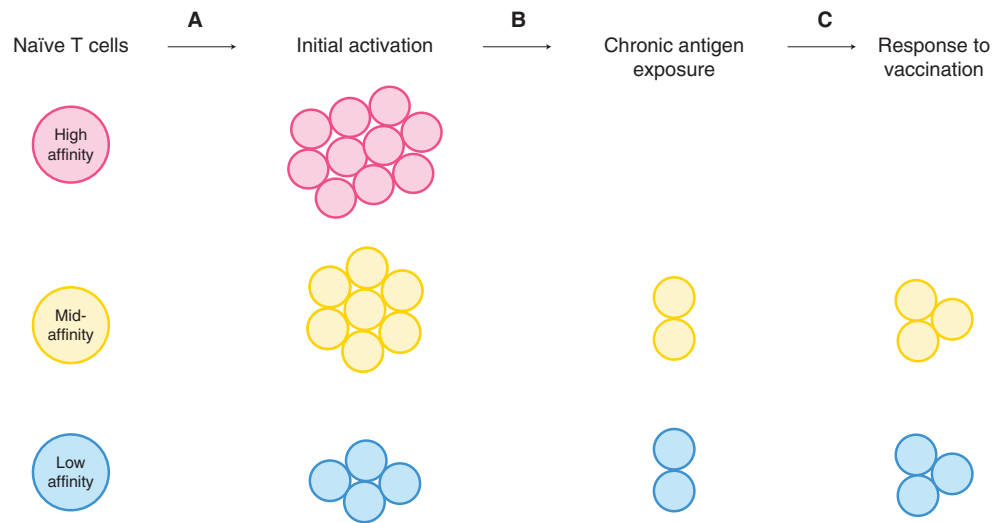


Figure 1. Loss of T-cell proliferative capacity after long-term antigen exposure. (A) Activation of naïve T cells results in different levels of expansion based on many factors, including affinity. Generally, this is more than a 1×10^4 -fold increase in the number of CD8 T cells specific for any epitope. (B) If the antigen is not cleared, over time, the numbers of T cells specific for an epitope declines. In some cases, T cells responding to high-affinity epitopes are completely deleted after long-term exposure. (C) Vaccination after chronic exposure to antigen, even in the case of viral nonself epitopes, is ineffective. High-affinity cells may not be present to respond to the vaccine, and expansion ranges from a two- to fivefold increase in epitope-specific cells. The number of T cells after vaccination is never restored to the number of T cells induced by the initial activation.

chronic viral infections receiving vaccinations. A peptide vaccine against the hepatitis B virus (HBV) core protein was only able to induce a threefold increase in the HBV-specific response in HBV-infected patients, but a more than 30-fold increase in uninfected patients (Heathcote et al. 1999). In human HCV infections, a modified vaccinia virus containing HCV proteins was also unable to induce a detectable response in most patients, with those that did respond only showing a minimal increase in T-cell numbers (Habersetzer et al. 2011). Another approach in patients with HCV using a multivalent peptide vaccine found that less than a quarter of the targeted peptides induced a detectable immune response against HCV with the number of induced T cells being very low (Klade et al. 2008). These results, in addition to the poor responses observed in the studies of cancer vaccines, show that the frequency of the T cells responding to the vaccine is not a key factor in determining the subsequent expansion of the population. Instead, it is simply very difficult

to expand T cells using vaccines against chronically stimulated epitopes. Because viral antigens and self-epitope vaccines for cancer suffer from similarly low rates of T-cell expansion, and tumor-associated neoantigens are often present in the body for a long time leading to exhaustion of the immune response, it seems unlikely that neoantigen vaccines would be able to generate a much stronger response.

WILL NEOANTIGEN VACCINES PRODUCE A HIGHER QUALITY ANTITUMOR T-CELL RESPONSE?

Many studies in both cancer and viral infections have indicated that higher-affinity T cells generally have a better capacity to kill target cells (Varela-Rohena et al. 2008; Zhong et al. 2013). As central tolerance mechanisms delete the highest-affinity T cells against self-antigens, it is reasonable to assume that the population of T cells expanded by self-antigen-targeting vaccines are generally lower affinity. In contrast, the

targeting of neoantigens may allow the expansion of a higher-affinity T-cell population. Although this is an entirely logical hypothesis in a healthy individual, this may not be the case in patients who have experienced long-term exposure to the tumor. Again, we can learn a lot about what to expect from previous analyses of chronic viral infections.

It is a well-described feature of chronic viral infections that high-affinity strongly activated CD8 T cells persist for the shortest time. For example, in the chronic infection clone 13 LCMV model, the highest-affinity epitope, NP396, is deleted after long-term exposure to the virus, while the lower-affinity GP33 epitope persists in an exhausted state (Gallimore et al. 1998; Zajac et al. 1998). Similar observations are reported in chronic γ -herpesvirus, MHV-68, in which the higher-affinity p56 epitope undergoes a large expansion in the initial phase of the virus but then declines to very low levels after long-term stimulation. Meanwhile, the lower-affinity epitope p79, expands much less in the early phase of the infection, but is maintained at a comparable level even in the late stages of the infection (Stevenson et al. 1998). Similarly, loss of the highest-affinity epitopes in the chronic stages of HIV has also been reported (Lichterfeld et al. 2007). These findings indicate that in a chronic antigen setting, although T cells targeting the highest-affinity epitopes will activate very strongly in the initial phases of the response, they often drop far below the levels of T cells targeting lower-affinity epitopes and, in many cases, are deleted entirely. The implications for this in developing a vaccine to treat cancer is that by the time a vaccine is administered, it is likely that the highest-affinity epitopes will be significantly reduced in number or deleted. The result being that neoantigen vaccines may be just as unlikely to induce a high-affinity T-cell response as self-epitope vaccines.

WILL NEOANTIGENS BE ABLE TO PRODUCE A MORE TUMOR-SPECIFIC T-CELL RESPONSE?

The final potential advantage of using neoepitopes for cancer immunotherapy is to reduce the

chance of off-target effects on other tissues expressing the target antigen. Because mutations are entirely specific to the tumor, it is fair to assume that vaccines targeting neoantigens should generate a highly tumor-specific response with minimal off-target effects. Although chronic viral infections offer minimal insight in this case, we do have extensive experience with this problem in cancer patients.

Autoimmunity has certainly had some devastating effects on patients receiving transgenic T-cell therapies. In particular, chimeric antigen receptor (CAR) T cells, and affinity-matured T-cell receptors (TCRs) have shown off-target effects in several trials, and in some cases have caused deaths (Johnson et al. 2009; Morgan et al. 2010; Parkhurst et al. 2011; Lamers et al. 2013). In an extreme case, a patient suffered respiratory distress caused by pulmonary infiltrate only 15 min after receiving 1×10^{10} CAR T cells recognizing the ERB-B2 antigen. This patient died after 5 days, presumably the result of the off-target effect of the cells against the low levels of ERB-B2 expressed by the lung tissue (Morgan et al. 2010). Patients with melanoma who were treated with T cells selected for having the highest-affinity endogenous TCR against the MART1 epitope also suffered severe, although manageable, off-target autoimmune side effects (Morgan et al. 2006). In comparison, noncellular vaccine strategies targeting self-epitopes have shown minimal evidence of autoimmune side effects. For example, patients in the final phase III trial of sipuleucel-T only had a minor increase in grade 3 adverse events more than placebo controls (Kantoff et al. 2010a). A phase II trial of the modified vaccinia virus ProstateVax also showed a mostly safe profile with only two out of 88 patients having to stop treatment because of adverse events (recurrent lip edema; myocardial infarction) (Kantoff et al. 2010b).

The different prevalence and severity of autoimmune side effects between the endogenous response targeted by vaccination as compared with ex vivo expanded affinity-matured receptor therapies can be explained by cell affinity and cell number, as we have discussed above. The affinity of CAR T cells is 3 to 4 orders of magnitude higher than normal endogenous T cells.

Herceptin, which is used as the receptor for the ERB-B2 trial (causing liver toxicity) has a K_d value of 100 pM, whereas natural TCR affinities are $\sim 100,000$ times higher, ranging from 1 to 100 μM (Matsui et al. 1991; Carter et al. 1992). Meanwhile, the T cells used in the high-affinity MART-1 trial discussed above had an affinity within the normal range, but toward the higher end of TCR affinities at 6 μM (Malecek et al. 2014). However, patients that suffered autoimmunity had up to 5×10^{10} of these cells circulating in their blood, far beyond what would be expected even in a powerful viral infection. Simply put, the adoptive T-cell therapies generate an immune response that is not remotely physiological. This effect can be beneficial in terms of the anticancer effect generated, but it is also responsible for the severe side effects observed. It remains unclear whether this nonphysiological level of immune response is required for an effective antitumor response. However, positive results from checkpoint blockade trials, which rely on the endogenous response, suggest that normal-affinity T cells at a normal physiological number are sufficient to cause an antitumor effect. Nonetheless, if a large number of very high-affinity T cells provide the best response in patients, it is almost certain that selecting patient-specific neoantigens would significantly improve the safety of this approach.

WILL NEOANTIGEN VACCINES COMBINE MORE EFFECTIVELY WITH CHECKPOINT BLOCKADE STRATEGIES?

As our therapeutic options to use the immune system to treat cancer expand, it has become clear that combining therapies will yield more successful results. Although checkpoint blockade has been extremely successful to treat cancer, it is not without side effects. As we start to combine more treatments, the side effects will likely be a limiting factor to the types of combinations that can be administered. For this reason, it is important to consider how these treatments may interact with cancer vaccines.

The side effects of these checkpoint inhibitors are ultimately the result of a reduced activation threshold against antigens that we are

mostly tolerant to. This complication is well illustrated in transplant recipients who have received checkpoint blockade therapies to treat various tumor types. Cases have been reported of heart, corneal, renal, and liver transplant recipients who have acutely rejected the transplanted organs after receiving checkpoint blockade therapies (Le Fournis et al. 2016; Spain et al. 2016; Friend et al. 2017; Owonikoko et al. 2017). Because almost every self-antigen vaccine targets a molecule expressed elsewhere in the body, these vaccines rely on a therapeutic window in which the antigen is expressed more in the tumor than other locations of the body. In early clinical trials, checkpoint blockade seems to enhance vaccine-induced responses (Madan et al. 2012; van den Eertwegh et al. 2012; Romano et al. 2014). However, based on the rejection of transplanted organs, it is certainly plausible that checkpoint blockade may close that therapeutic window so that T cells induced by vaccines may be just as likely to hit peripheral tissue as the tumor. For this reason, it may be that because of the highly tumor-specific nature of neoantigens, these targets become much more able to be combined with checkpoint blockade as a result of the reduced side effects of the combination.

CONCLUSION

The ideal cancer vaccine would induce large numbers of T cells with high affinity and specificity for tumor antigens, but standard therapeutic vaccines aiming to boost the endogenous response by targeting self-antigens have been unable to achieve this goal. Data from chronic viral infections also indicate that vaccines targeting nonself viral epitopes will face similar challenges trying to expand T-cell populations. For this reason, we predict that targeting neoantigens will not generate a response far exceeding current self-epitope vaccines. However, targeting neoantigens may be an effective strategy to circumvent the autoimmunity caused by high-affinity T cells introduced via CAR methods or adoptive transfer of genetically modified TCRs that have currently only been tested against self-antigens. Furthermore, combination therapy of

checkpoint blockade and vaccines targeting neoantigens may have reduced side effects allowing more aggressive combination therapy. Ultimately, neoantigen-targeting cancer vaccines are unlikely to be widely adopted unless they can induce more T cells that can more effectively kill tumor cells, without causing off-target effects. If the vaccines achieve a comparable response to what can already be achieved using self-epitopes, it will certainly not be worth the significant extra effort required to generate these individualized vaccines.

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