

Plasmodium vivax Infections in Duffy-Negative Individuals in the Democratic Republic of the Congo

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Abstract. Although *Plasmodium vivax* has been assumed to be absent from sub-Saharan Africa because of the protective mutation conferring the Duffy-negative phenotype, recent evidence has suggested that *P. vivax* cases are prevalent in these regions. We selected 292 dried blood spots from children who participated in the 2013–2014 Demographic and Health Survey of the Democratic Republic of the Congo (DRC), to assess for *P. vivax* infection. Four *P. vivax* infections were identified by polymerase chain reaction, each in a geographically different survey cluster. Using these as index cases, we tested the remaining 73 samples from the four clusters. With this approach, 10 confirmed cases, three probable cases, and one possible case of *P. vivax* were identified. Among the 14 *P. vivax* cases, nine were coinfecting with *Plasmodium falciparum*. All 14 individuals were confirmed to be Duffy-negative by sequencing for the single point mutation in the GATA motif that represses the expression of the Duffy antigen. This finding is consistent with a growing body of literature that suggests that *P. vivax* can infect Duffy-negative individuals in Africa. Future molecular and sequencing work is needed to understand the relationship of these isolates with other *P. vivax* samples from Asia and South America and discover variants linked to *P. vivax* virulence and erythrocyte invasion.

INTRODUCTION

Of the five species causing malaria in humans, *Plasmodium falciparum*, *Plasmodium malariae*, and *Plasmodium ovale* are the only species of *Plasmodium* that have been considered to be endemic to sub-Saharan Africa. Individuals of western, central, and eastern African descent have long been considered refractory to infection by *Plasmodium vivax*. Resistance to *P. vivax* was demonstrated through a series of observations and experiments that found that the absence of the Duffy-antigen blood group, also known as the Duffy antigen/receptor for chemokines (DARC), prevented *P. vivax* merozoites from invading reticulocytes and erythrocytes.^{1–6} This Duffy-negative phenotype results from a single nucleotide polymorphism within the promoter region of the DARC gene (nucleotide position –33 T:C) that disrupts the GATA-1 transcription factor binding process and negates DARC gene expression on the erythroid surface.³

Despite a high prevalence of Duffy negativity in western, central, and eastern African populations, the risk of autochthonous *P. vivax* infections has become more appreciated across Africa and incorporated into *P. vivax* prevalence maps.^{7–9} Transmission of *P. vivax* in these high Duffy-negative regions has been attributed to *P. vivax* strains circulating among Duffy-positive residents and travelers, or possibly an ape reservoir.^{10–12} However, recent studies have demonstrated *P. vivax* infections in Duffy-negative individuals across Africa, including Angola and Equatorial Guinea,¹³ Benin,¹⁴ Botswana,¹⁵ Cameroon,^{16,17} Ethiopia,^{18,19} Western Kenya,²⁰ Madagascar,⁶ Mauritania,²¹ and central Sudan²² (reviewed recently by Zimmerman et al.).²³ In addition, standard blood smear microscopy has demonstrated ring-stage formation in

infected reticulocytes from Duffy-negative patients, confirming that the *P. vivax* detected in some cases was invasive and not merely transient merozoites in the blood.^{6,19} Vivax malaria has also been identified in mosquitoes, suggesting active transmission.¹³ Given these findings, a better understanding of vivax biology in Africa is needed.

Using samples from a large population representative survey, the 2013–2014 Demographic Health Survey (DHS), we present evidence of *P. vivax* infection among Duffy-negative individuals in the Democratic Republic of the Congo (DRC). These individuals represent asymptomatic children found to be parasitemic by PCR-based methods within tested DHS clusters. We found that *P. vivax* infection was not uncommon in the cluster and that it most often presents as a coinfection with *P. falciparum*.

MATERIAL AND METHODS

Description of study participants and sample processing.

As part of the DRC DHS-II, clinical samples were collected from children surveyed across 536 distinct geographic clusters between November 2013 and February 2014 as part of DHS.²⁴ Identified household participants were consented and then provided clinical samples that were evaluated for malaria infection. This evaluation included a rapid diagnostic test targeting *P. falciparum* histidine-rich protein II antigen (HRP2; SD BIOLINE Malaria Ag P.f., Standard Diagnostics, Inc.®, Gyeonggi-do, Republic of Korea) and light microscopy. Microscopy was conducted by two expert microscopists; however, species-level reporting was not included in the DHS. Each clinical sample was also used to prepare a dried blood spot, which were shipped to the University of North Carolina-Chapel Hill for DNA extraction and laboratory analysis. DNA was extracted using Chelex® and tested for *P. falciparum* with a real-time PCR assay targeting the *P. falciparum* lactate dehydrogenase gene (*pfldh*) as previously described.^{25,26}

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Approval for this study was granted by the institutional review boards of the University of North Carolina-Chapel Hill and the University of Kinshasa. Informed consent was obtained for all participants from a parent or guardian.

Plasmodium vivax initial screening. Using the DRC DHS-II as the source population, we wanted to test a subset of samples for *P. vivax* infection across the country.²⁴ In addition, based on previous work comparing the sensitivity and specificity of the various detection methods for *P. falciparum*²⁷ and screens for *P. ovale* and *P. malariae* in the same sample bank,²⁸ we hypothesized that the prevalence of *P. vivax* would vary among the different strata of *P. falciparum* diagnostic results (i.e., *pfdh* real-time PCR, HRP2-rapid diagnostic test [RDT], and microscopy). As a result, to maximize potential identification of both mono-species *P. vivax* infections and *P. vivax/P. falciparum* coinfections, we selected 292 primary samples across 208 clusters from the DRC DHS-II with a range of *P. falciparum*

detection results (Figure 1; for a map with all DRC DHS-II clusters, see Supplemental Figure 1).

These 292 primary samples then underwent testing for *P. vivax* using a diagnostic nested PCR that targeted the small subunit (18S) ribosomal ribonucleic acid (rRNA) gene. In the first round, a *Plasmodium* genus-specific 18S rRNA region was amplified, which then underwent a species-specific second round of PCR (protocol modified from Singh et al.,²⁹ Supplemental Table 1). Amplification was confirmed by visualization of the nested product on gel electrophoresis.

In addition, for clusters that contained *P. vivax* potential infections, all remaining samples within that cluster were screened as secondary samples using the nested PCR approach described previously. The testing characteristics and algorithm for the samples included in this study are outlined in Figure 1.

Plasmodium vivax confirmatory screening. To define the likelihood that a potential infection represented a true vivax

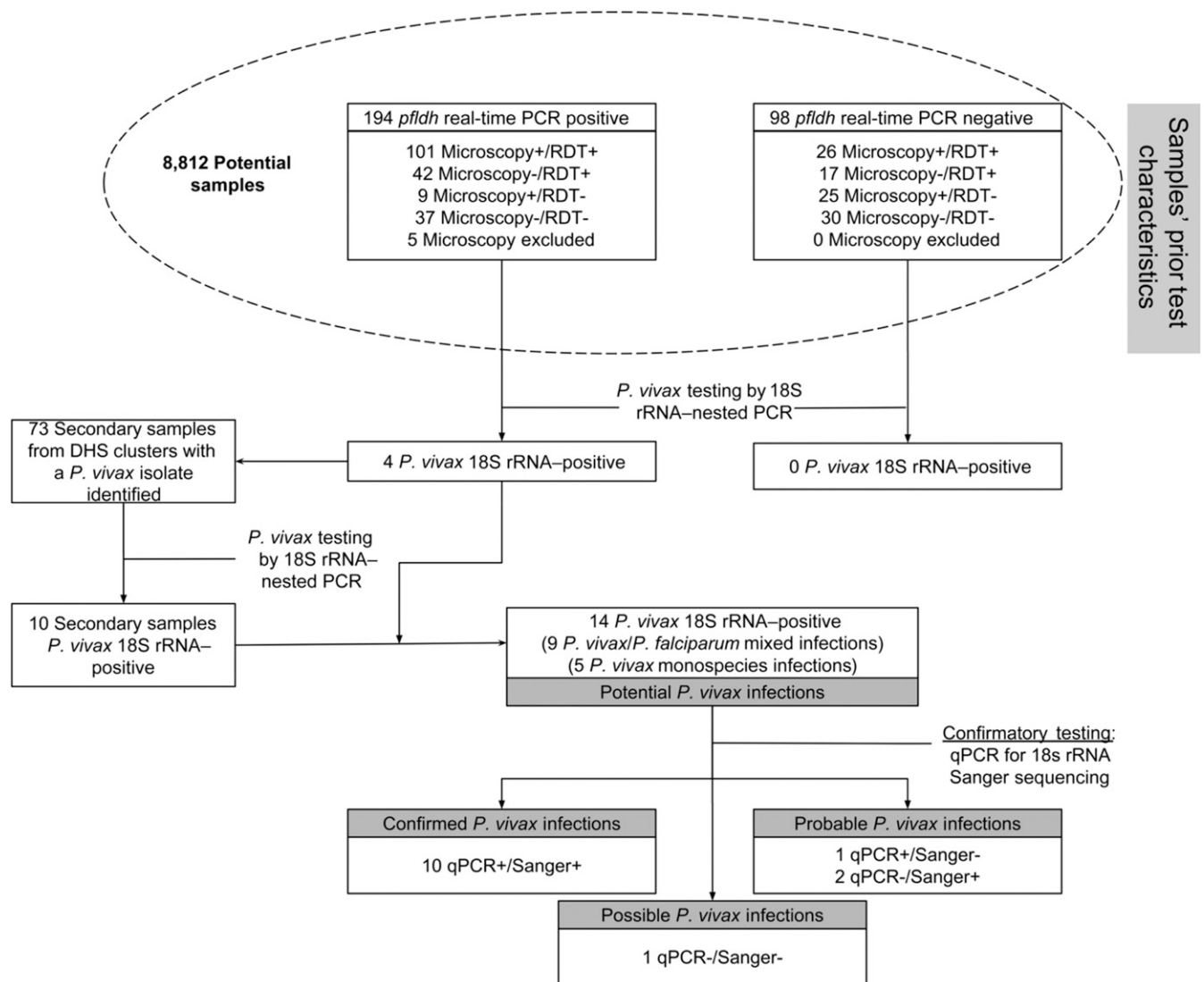


FIGURE 1. Prior sample testing characteristics for included samples and diagnostic algorithm for *Plasmodium vivax* infections. To screen for potential *P. vivax* infections that were potentially coinfections with both *Plasmodium falciparum* and *P. vivax*, we selected 292 samples with various prior results by three different detection methods. Samples first underwent 18S rRNA-nested PCR to identify potential cases. Cases were confirmed by both qPCR of the 18S rRNA gene and Sanger sequencing of the 18S rRNA fragment from the nested PCR.

infection, we used a multistep testing approach with two confirmatory assays after the initial screening by 18S rRNA-nested PCR. The confirmatory tests included a real-time PCR assay targeting the *P. vivax* 18S rRNA gene (protocol modified from Veron et al.;³⁰ Supplemental Table 1) and Sanger sequencing of the 18S rRNA-nested PCR products. Potential infections were considered “Confirmed” if they were positive by both confirmatory assays, “Probable” if positive by one, and “Possible” if negative by both confirmatory assays.

The quantitative PCR was conducted on a Bio-Rad CFX384™ real-time PCR detection system (Bio-Rad, Hercules, CA) in 25- μ L reactions (Supplemental Table 1). Samples were run alongside appropriate controls, to ensure only *P. vivax* was amplified (Table 1). Samples were considered positive if they had a cycle threshold of less than or equal to 38 cycles.

Nested PCR products of the 18S rRNA assay from the potential *P. vivax* infections were submitted for Sanger sequencing (Eton Bioscience, Inc., Durham, NC) with approximately 3 μ L of 20 μ M of the rViv 1 primer (Supplemental Table 1) and analyzed using Geneious® 10.1.3 (Biomatters Limited, Auckland, New Zealand). Sequences underwent pairwise alignment with ClustalW (IUB cost matrix, gap open cost: 15, gap extend cost: 6.66) and the ends of all sequences were trimmed for low-quality bases using the Geneious default settings. Two sequences did not align with the other samples in regions where base pairs could be accurately called, and on visual inspection, the sequences were determined to have too much noise and low-quality bases to accurately call a genotype. As such, these two samples were excluded from subsequent analysis (and considered negative by Sanger confirmation). After trimming the ends for low-quality bases, the remaining 12/14 samples had sequence lengths ranging from 28 to 83 bp (Supplemental Table 2). These sequences were aligned to the *P. vivax* and *P. falciparum* 18S rRNA genes (GenBank accession nos. U03079.1 and M19173.1, respectively) using the Highest Sensitivity/Slow Geneious mapper with up to five iterations to confirm identity.

Duffy genotyping. To determine host susceptibility to *P. vivax* infection, the promoter region of the DARC gene was amplified with a hemi-nested PCR approach (Supplemental Table 1). Nested PCR products were then submitted to Sanger sequencing (Eton Bioscience, Inc.) with approximately 3 μ L of

360 nM of the Duffy GATA1 reverse primer (Supplemental Table 1). The resulting Sanger sequences were trimmed and then aligned with the Highest Sensitivity/Slow Geneious mapper to the *Homo sapien* DARC gene (GenBank accession no. X85785.1) using Geneious 10.1.3 (Biomatters Limited).

Descriptive statistics and spatial analyses. Finally, we calculated descriptive statistics for *P. vivax* potential infections (hereafter called cases), *P. falciparum* cases (previously identified in Meshnick et al.²⁴), and non-cases. All covariates were taken from the DRC DHS-II dataset and used the DHS coding/categorization structure.^{31,32} Categorical covariates were summarized as counts and within-case-status percentages, whereas continuous covariates were summarized with mean and standard deviation calculations. Analyses were conducted using R-statistical software (version 3.4.1) with the base and tidyverse packages.^{33,34} We then used ArcGIS (version 10.4.1; ESRI, Redlands, CA) and the global positioning system coordinates for each cluster from the DHS to create a map of the DRC to examine the spatial distribution of the *P. vivax*-positive clusters (Figure 2). In addition, nonhuman ape territories downloaded from the International Union for Conservation of Nature were plotted to determine the overlap between nonhuman ape ranges and *P. vivax* cases.³⁵

RESULTS

Of the initial 292 samples tested for *P. vivax*, we identified four potential infections by 18S rRNA-nested PCR, each from a different geographical cluster (Table 1, Figure 1). Geographical clusters were dispersed across the central western region of the country with largely varying latitudes (Figure 2). These four potential infections were used as an index to screen the rest of the samples from each respective cluster. This secondary screen resulted in the identification of 10/73 additional potential infections.

To confirm *P. vivax* infections, we used two confirmatory approaches: qPCR for 18S rRNA and Sanger sequencing of the 18S rRNA fragment from the nested PCR. Of the 12 Sanger sequences aligned to the *P. vivax* 18S rRNA reference gene (GenBank accession no. U03079), 10/12 sequences demonstrated 100% identity, whereas the other two sequences had 1–2 single nucleotide polymorphisms (SNPs) at the end of their

TABLE 1

Summary of the *Plasmodium vivax* potential and confirmed cases identified in the Democratic Republic of the Congo during the 2013 DHS

| Sample name | Cluster | <i>Pf</i> <i>dh</i> | Microscopy | RDT | <i>P. vivax</i> -nested 18s rRNA PCR | <i>P. vivax</i> by RT-PCR | <i>P. vivax</i> by Sanger sequencing | Duffy -33 T:C mutation |
|-------------|---------|---------------------|------------|-----|--------------------------------------|---------------------------|--------------------------------------|------------------------|
| B5A7N | 69 | N | N | N | P | P | P | P |
| J6V8T | 69 | P | P | N | P | P | P | P |
| M2B5U* | 69 | P | N | P | P | P | P | P |
| T6B8J | 69 | P | P | P | P | P | P | P |
| Z6W9M | 69 | P | N | P | P | P | P | P |
| X3M5S* | 144 | P | N | N | P | N | P | P |
| Q8J6O | 144 | N | N | N | P | P | P | P |
| D9U3K | 144 | N | N | N | P | P | P | P |
| G0R3B | 238 | P | P | P | P | N | N | P |
| U1P9U* | 238 | P | P | P | P | P | N | P |
| O6Y4X | 238 | N | N | N | P | P | P | P |
| P8A6E* | 298 | P | N | P | P | N | P | P |
| I4G3V | 298 | P | N | P | P | P | P | P |
| N4M7L | 298 | N | N | P | P | P | P | P |

DHS = Demographic Health Survey; *pf**dh* = *P. falciparum* lactate dehydrogenase; RDT = rapid diagnostic test; * = index cases; P = positive; N = negative. The columns above provide information on the DHS cluster the case was identified in, whether the case was coinfected with *Plasmodium falciparum* as identified with real-time PCR for the *pf**dh* gene, and whether the patient was considered positive for malaria by microscopy and RDT. In addition, each isolate has results for nested 18s rRNA *P. vivax* PCR, *P. vivax* real-time PCR, and *P. vivax* Sanger sequencing. Finally, the host genotype was sequenced for the -33 T:C mutation that predicts the Duffy-negative phenotype.³

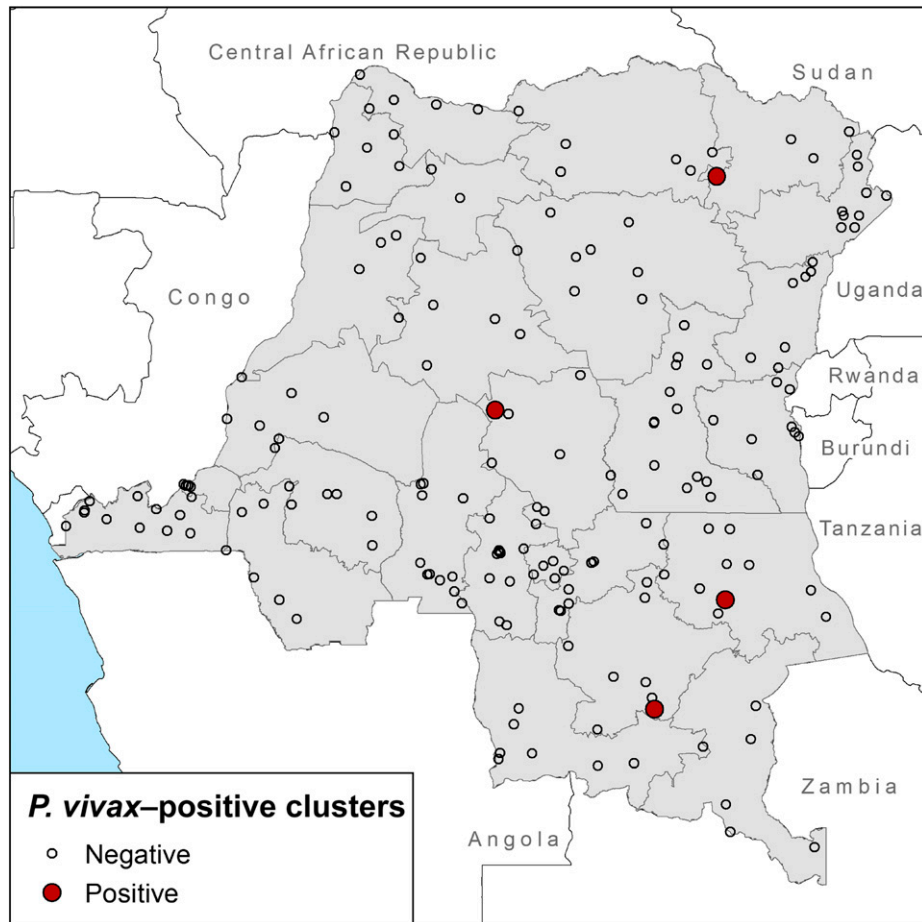


FIGURE 2. Map of the Democratic Republic of the Congo (DRC) with clusters that screened negative (unfilled) and positive (red) for *Plasmodium vivax*. In total, 208 geographical clusters were screened for *P. vivax*; however, 19 clusters ($N = 32$ samples) were missing coordinate data from the Demographic Health Survey database and are not displayed on the above map. Shapefiles for the DRC were downloaded from the Database of Global Administrative Areas (<http://www.gadm.org/>) and plotted with ArcGIS (version 10.4.1). This figure appears in color at www.ajtmh.org.

alignments. These SNPs were likely because of conservative end trimming. By contrast, all 12 of the Sanger sequences aligned to the *P. falciparum* 18S rRNA reference gene (GenBank accession no. M19173.1) as a “negative control” showed approximately 65–40% identity because of numerous SNPs throughout the sequence. As a result, the 12 Sanger sequences preferentially aligned to the *P. vivax* 18S rRNA reference gene, which is strong evidence that these are true *P. vivax* infections. Overall, based on our testing algorithm (Figure 1), we found 10 confirmed infections, three probable infections, and one possible infection of *P. vivax* (Table 1). Among the *P. vivax* cases, coinfection by *P. falciparum* based on *pfdh*-PCR was common (9/14, 64%). However, microscopy, RDT, and *pfdh* real-time PCR results demonstrated several discordant results from both each other and *pfdh* real-time PCR (Table 1).

We then evaluated host, behavioral, and environmental characteristics associated with *P. vivax* infection and *P. falciparum* infection, respectively (Table 2). Among the 14 hosts of the *P. vivax* cases, all were homozygous for the –33 T: C mutation, suggesting they all expressed a Duffy-negative phenotype.³ All of the *P. vivax* cases occurred in rural households, and only 5/14 cases were recorded as using long-lasting insecticidal bed nets (Table 2). In terms of socioeconomic status, 9/14 *P. vivax* cases were classified in the poorest wealth index bracket. Of the 11 *P. vivax* cases with

hemoglobin data, three were classified with moderate anemia and two were classified with mild anemia, whereas the remaining six were not anemic. Overall, 9/14 *P. vivax* cases were coinfecting with *P. falciparum*. Finally, among the four clusters that screened positive for *P. vivax*, two clusters were within known nonhuman ape ranges (Supplemental Figure 1). In addition, a third cluster that screened positive for *P. vivax* in the southeast region of the DRC was located near known nonhuman ape ranges.

Among the 221 *P. falciparum* cases, 19 occurred in rural households, 117 used long-lasting insecticidal nets, 111 were female, and only 73 were in the poorest wealth index bracket. When data were available, 11 individuals were classified with severe anemia, 81 were classified with moderate anemia, and 36 were classified with mild anemia, whereas the remaining 48 were not anemic.

DISCUSSION

We identified 14 potential infections of *P. vivax* in Duffy-negative individuals residing in the DRC. To our knowledge, this is the first report of *P. vivax* infection in Duffy-negative subjects from the DRC. *Plasmodium vivax* infections were first identified with a nested PCR and confirmed with qPCR and Sanger sequencing.^{29,30} We identified 10 confirmed

TABLE 2

Characteristics of *Plasmodium vivax* cases, *Plasmodium falciparum* cases, and non-cases from the 365 individuals sampled

| | <i>P. vivax</i> cases | <i>P. falciparum</i> cases | Non-cases |
|---------------------------------------|-----------------------|----------------------------|-----------------|
| Observations | 14 | 221 | 139 |
| Urban setting (%) | 0 (0) | 40 (18.10) | 19 (13.67) |
| Cluster altitude (SD) | 648.86 (88.27) | 664.24 (287.62) | 726.15 (297.68) |
| Socioeconomic status (%) | | | |
| Poorest | 9 (64.29) | 73 (33.03) | 50 (35.97) |
| Poorer | 0 (0) | 47 (21.27) | 42 (30.22) |
| Middle | 4 (28.57) | 58 (26.24) | 28 (20.14) |
| Rich | 1 (7.14) | 35 (15.84) | 14 (10.07) |
| Richer | 0 (0) | 8 (3.62) | 5 (3.60) |
| Female (%) | 7 (50.0) | 111 (50.23) | 70 (50.36) |
| Age in months (SD) | 40.27 (16.13) | 36.28 (14.08) | 34.09 (14.80) |
| Missing | 3 | 45 | 9 |
| Anemia status (%) | | | |
| Severe | 0 (0) | 11 (4.98) | 5 (3.60) |
| Moderate | 3 (21.43) | 81 (36.65) | 47 (33.81) |
| Mild | 2 (14.29) | 36 (16.29) | 35 (25.18) |
| Not anemic | 6 (42.86) | 48 (21.72) | 43 (30.94) |
| Missing | 3 (21.43) | 45 (20.36) | 9 (6.47) |
| Long-lasting insecticidal net use (%) | 5 (35.71) | 117 (52.94) | 72 (51.80) |

SD = standard deviation. Given that 9/14 of the *P. vivax* cases were coinfecting with *P. falciparum*, these individuals are considered in both the *P. vivax* and the *P. falciparum* columns. Individuals were only considered as non-case if they were not infected by *P. vivax* or *P. falciparum*. For categorical covariates, the count and percentage (%) were reported, whereas the mean and SD were reported for continuous covariates.

infections, three probable infections, and one possible infection among the 14 isolates. Given that speciation information and parasite characterization by light microscopy were not available, it is possible that the *P. vivax* detected by PCR methods in this study were transient pre-erythrocytic parasites.³⁶ Future work will need to rely on microscopy data to confirm parasite invasion, as has been done previously in Madagascar.⁶

The relatively few infections and sampling strategy used in this study prohibited robust analysis of epidemiologic factors associated with *P. vivax* infection in the DRC. However, a minority of participants with *P. vivax* infection in this study were using long-lasting insecticidal nets. Most were in the poorest income strata and, when data were available, none had severe anemia. A similar behavioral and demographic profile was found for participants infected with *P. falciparum*, although the distribution of wealth appeared to be wider among the *P. falciparum* cases as compared with the *P. vivax* cases.

Of the clusters that screened positive for *P. vivax*, three occurred within or near known nonhuman ape ranges. This suggests that there may be potential zoonotic transmission, as has been previously suggested in the Central African Republic.¹² However, DNA sequencing is needed to determine if our samples cluster with the proposed nonhuman ape *P. vivax* lineages or fall within the monophyletic human clade and associate more closely with contemporary, circulating strains of *P. vivax*.^{10–12} As such, this high degree of spatial overlap is interesting but cannot be considered causative.

This study was largely limited by its sampling strategy, which was designed to optimize *P. vivax* detection among potential monoclonal *P. vivax* infections and coinfection with *P. falciparum*, and not with external validity and generalizability in mind. As a result, our study sample may suffer from

selection bias and the results are not necessarily generalizable to the entire DRC population. Given this, we only presented descriptive statistics and did not perform association analyses. The main strength of this study lies with its robust approach for confirming the *P. vivax* cases with multiple modalities.

Future work is needed to screen the DRC for additional cases of *P. vivax*. A large survey will provide a systematic estimation of the prevalence of *P. vivax* in the DRC and allow for a robust risk factor analysis. In addition, incorporation of next-generation sequencing efforts to determine the geographical and etiological origins of these infections is needed. Given the breadth of publicly available contemporary *P. vivax* whole-genome sequences from Asia and South America, future work will be able to readily identify the population genetic structure and origin of these sub-Saharan Africa *P. vivax* cases.^{37–39} Next-generation sequencing can also be leveraged to identify potential selective sweeps or variants associated with red blood cell invasion that may shed light on the mechanism of Duffy-negative erythrocyte invasion.^{19,40} This work will be important to characterize the *P. vivax* population in sub-Saharan Africa and to assess the risk of infection among Duffy-negative individuals. If *P. vivax* has returned to Africa, malaria elimination campaign efforts will need to shift to include this restored threat.

Received April 1, 2018. Accepted for publication July 20, 2018.

Published online September 10, 2018.

Note: Supplemental tables and figure appear at www.ajtmh.org.

Acknowledgments: We would like to thank our excellent field team in the Democratic Republic of the Congo and the numerous participants in the Demographic Health Surveys.

Financial support: This work was supported by the National Institutes of Health (grant numbers R21AI111108, R01TW010870, and R01AI107949) and National Science Foundation (BSC-1339949). Nicholas Ford Brazeau was supported by the Triangle Center for Evolutionary Medicine (TriCEM), which is supported by Duke University, University of North Carolina-Chapel Hill, North Carolina Central University, and North Carolina State Universities.

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