



Fork pausing allows centromere DNA loop formation and kinetochore assembly

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De novo kinetochore assembly, but not template-directed assembly, is dependent on COMA, the kinetochore complex engaged in cohesin recruitment. The slowing of replication fork progression by treatment with phleomycin (PHL), hydroxyurea, or deletion of the replication fork protection protein Csm3 can activate de novo kinetochore assembly in COMA mutants. Centromere DNA looping at the site of de novo kinetochore assembly can be detected shortly after exposure to PHL. Using simulations to explore the thermodynamics of DNA loops, we propose that loop formation is disfavored during bidirectional replication fork migration. One function of replication fork stalling upon encounters with DNA damage or other blockades may be to allow time for thermal fluctuations of the DNA chain to explore numerous configurations. Biasing thermodynamics provides a mechanism to facilitate macromolecular assembly, DNA repair, and other nucleic acid transactions at the replication fork. These loop configurations are essential for sister centromere separation and kinetochore assembly in the absence of the COMA complex.

kinetochore | centromere | COMA

De novo establishment of centromeres is a critical function for kinetochore assembly and ensuring the fidelity of chromosome segregation (1). Centromeres can be lost from chromosomes through a variety of mechanisms, including chromosome breakage, translocation, and deletion (2–4). Cells have the ability to build neocentromeres from new sites in the genome. Analysis of the preferred sites for neocentromere formation indicates that the chromatin environment, in addition to DNA sequence, factors into where centromeres are built (5). Once the centromere specific histone H3 variant CENP-A is deposited, the centromere can be propagated in successive generations independent of the particular organization of pericentric heterochromatin (6).

De novo kinetochore assembly has been studied in budding yeast through the use of a conditionally functional centromere (GALCEN). Induction of transcription on galactose inactivates the adjacent centromere, while repression on glucose is permissive for centromere function and kinetochore formation (7). Chromosomes with two centromeres undergo a breakage–fusion–bridge cycle that persists until stable monocentric derivative chromosomes are generated (2, 4, 8). Divalent chromosomes with one of the two centromeres as GALCEN can be stably maintained in the population by growth on galactose. Upon GALCEN centromere activation (+glucose), chromosome breakage ensues (2, 9, 10). Several components of the constitutive centromere associated protein network (CCAN in mammals, COMA in yeast) (11–15) have been identified that are required for de novo kinetochore formation at GALCEN (Chl4, Mcm21, Iml3/Mcm19; ref. 10). Chl4 (CENP-N) and Iml3/Mcm19 interact and function with COMA (16). Chl4/CENP-N has been identified as a CENP-A reader involved in CENP-A assembly and structural transitions (17, 18). Ctf19, the receptor for cohesin (19), Chl4, Iml3/Mcm19, and Mcm21 are all required for the enrichment of cohesin in the pericentromere [Ctf19 (20), Chl4 (21), Iml3/Mcm19 (21), and Mcm21 (22)].

Cohesin is loaded at centromeres in late G1 and early S phase where it functions to compact DNA loops between sister centromeres and maintain kinetochore clustering (23–27). Cohesin is enriched about 3× in the 30- to 50-kb pericentric region relative to the chromosome arm (28–30). The pericentric cohesin links adjacent DNA loops into a bottle-brush configuration that functions to stiffen the chromatin axis between sister centromeres (23). The stiffening between sister centromeres provides a means to facilitate sister kinetochore biorientation.

Delaying the rate or duration of DNA replication has been reported to rescue cohesion defects in the pericentromere in select COMA mutants (21). Replication delay allows the cell to restore cohesion in a mechanism independent of kinetochore-mediated cohesin enrichment (21). Thus, replication intermediates may provide key structural templates within the pericentromere that compensate for the reduction of cohesin. This finding highlights the potential role of DNA topology or other structural modalities such as looping in centromere function.

In this report we demonstrate that de novo kinetochore assembly can be restored through exposure to low levels of DNA damaging agents and in mutants exhibiting delays in DNA replication or nucleosome assembly. Direct measure of DNA looping together with simulations of chain motion reveal how a kinetic delay allows time for thermal DNA fluctuations to separate sister centromeres and bias conformation toward kinetochore assembly.

Results

COMA Mutants Are Defective in de Novo Centromere Assembly. We used a highly sensitive dicentric chromosome breakage assay to

Significance

There is an epigenetic requirement for de novo kinetochore assembly in budding yeast. Perturbations that delay S phase suppress assembly defects. We propose a role for replication fork progression in allowing DNA to adopt configurations conducive for centromere function. The function of replication fork stalling upon encounters with DNA damage or other blockades is to allow time for thermal fluctuations of the DNA chain to explore numerous configurations. Biasing thermodynamics provides a mechanism to facilitate macromolecular assembly, DNA repair, and other nucleic acid transactions at the replication fork. These loop configurations are essential for sister centromere separation and kinetochore assembly in the absence of the COMA complex.

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quantitate de novo kinetochore assembly. Rad52 is the major component required for double-strand DNA break repair (31). Activation of the GALCEN centromere in *rad52Δ* mutants with a single chromosome containing GALCEN at HIS4 and endogenous CEN3 leads to lethal chromosome breakage events (Fig. 1A, dicentric *rad52Δ*, YPG vs. YPD). The ability of *chl4Δ* mutants to suppress centromere activation and kinetochore assembly (10) can be seen in the increased viability of *rad52Δ*, *chl4Δ* mutants with a dicentric chromosome on glucose (Fig. 1A, YPG vs. YPD). Dicentric *rad52Δ*, *chl4Δ* mutants exhibit growth out to an approximately eightfold dilution (87.5% viability, Fig. 1B) and 65% viability in single colony assays (Fig. 1B). Other members of the COMA complex including Iml3/Mcm19 and Mcm21 are also required for de novo kinetochore assembly (*mcm21Δ* and *iml3Δ*, Fig. 1A, rows 5 and 6; YPG vs. YPD) (10).

To distinguish whether suppression of dicentric chromosome breakage was dependent on cohesin loading, we utilized a mutation in the cohesin loading protein, Scc4 (32). Scc4 is required for cohesin loading, but is not physically part of the kinetochore (33). Reduction of pericentric cohesin in an *scc4^{m7}* mutant does not prevent de novo kinetochore assembly (Fig. 1B, inviability of dicentric *rad52Δ*, *scc4^{m7}*). The assay also discriminates functional subdomains within the COMA complex as deletion of the cohesin receptor, Ctf19 (19) does not prevent de novo kinetochore assembly (SI Appendix, Fig. S1, *ctf19Δ*, *rad52Δ* dicentric cells). Thus, loss of members of the COMA complex, and not reduction of pericentric cohesin, accounts for the defect in de novo kinetochore assembly.

Growth on Sublethal Levels of Phleomycin or Hydroxyurea Bypasses Defects in de Novo Kinetochore Assembly in a Subset of COMA Mutants. The cohesion defect in *chl4Δ* and *iml3Δ* can be rescued through replication delays invoked by low concentrations of hydroxyurea (HU) (21). To examine whether similar treatments might rescue the kinetochore assembly defect, we treated COMA, *rad52Δ* mutants containing the conditionally dicentric chromosome with sublethal concentrations of phleomycin (PHL) or HU. In the presence of 0.2 μg/mL PHL or 5 mM HU, *rad52Δ* mutants were viable (Fig. 1A, row 2 YPG, YPD, YPD + PHL, YPD + HU). Quantitation from single colony plating of *rad52Δ* mutants revealed one break/every two cells (~50% viability) on 0.2 μg/mL PHL, and one break/every three cells (66% viability) on 5 mM HU (Fig. 1B). Wild-type (WT) cells containing the dicentric chromosome (Fig. 1A, row 3 dicentric) exhibit a similar spectrum of sensitivity to PHL and HU as *rad52Δ* mutants on glucose (Fig. 1A, compare rows 2 and 3 YPD, +PHL, +HU). PHL treatment may be sensitizing WT cells to the dicentric chromosome, evidenced by their reduction in viability relative to YPD. In contrast, *chl4Δ*, *rad52Δ* mutants containing the conditional dicentric chromosome are highly sensitized to PHL and HU relative to YPD alone (Fig. 1A, row 7 YPD, +PHL, +HU). Two other COMA components, *iml3Δ* and *mcm21Δ*, exhibit decreased viability on glucose in the presence of PHL and HU (*mcm21Δ*, *iml3Δ/mcm19Δ*, Fig. 1B, rows 5 and 6, YPD vs. YPD + PHL, +HU).

To address whether drug treatments extend the cell cycle, thereby bypassing the defect in de novo kinetochore assembly,

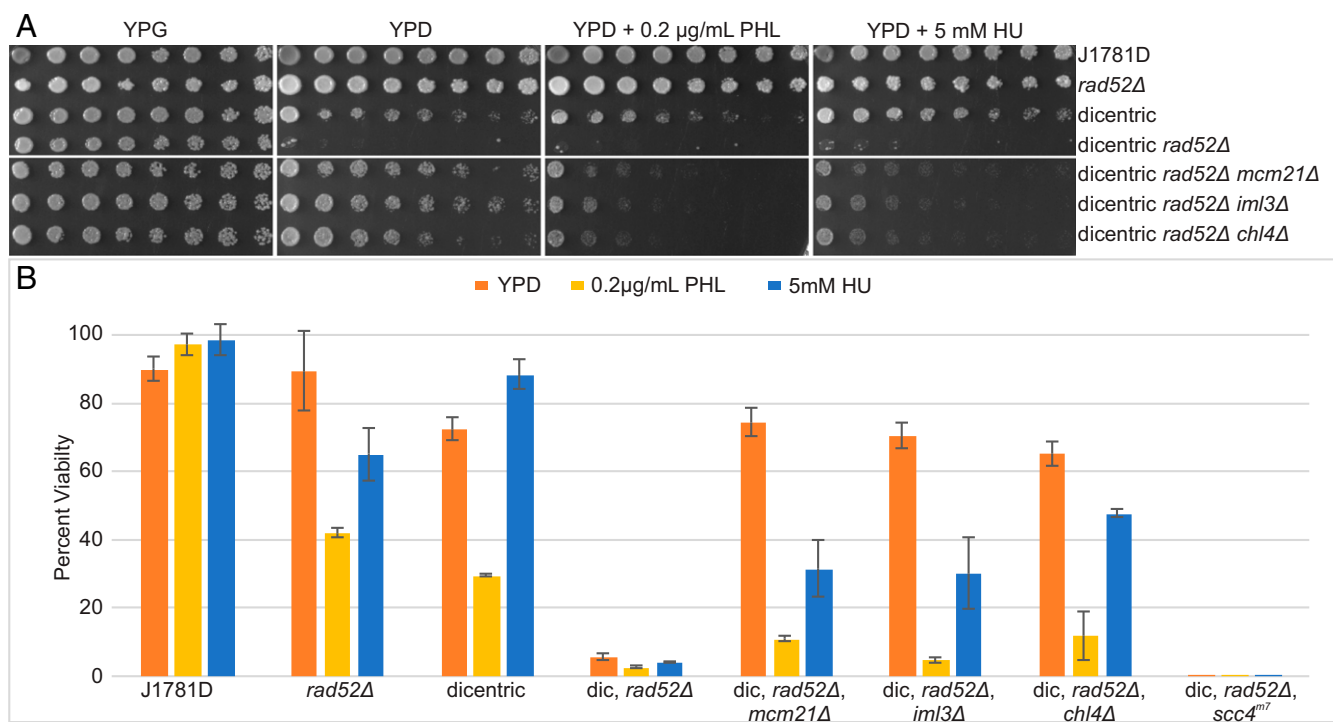


Fig. 1. Viability assay for cells containing an active dicentric chromosome. (A) Serial dilutions of WT and various COMA mutants were pronged on galactose (YPG), glucose (YPD), glucose + phleomycin (PHL), and glucose + hydroxyurea (HU) plates. Strains with a dicentric chromosome are indicated. Dilutions are twofold from *Left to Right*. Prong plates were repeated in triplicate with identical results. Individual plates and treatments are separated by spaces. (B) Quantitative analysis of cell viability expressed as a percent of single colony growth on galactose (inactive GALCEN). WT cells (J1781D) are not sensitive to low levels of PHL or HU. *rad52Δ* mutants exhibit reduced viability in the presence of PHL and HU. WT cells containing a dicentric chromosome exhibit reduced viability on YPD due to chromosome breakage. WT cells containing the dicentric chromosome are sensitized to PHL but not HU. Dicentric, *rad52Δ* viability is dramatically reduced on YPD, PHL, and HU. Dicentric, *rad52Δ* with *mcm21Δ*, *iml3Δ*, or *chl4Δ* exhibit increased viability on YPD relative to dicentric, *rad52Δ* cells, indicating suppression of dicentric breakage in these COMA mutants. Dicentric, *rad52Δ* with *mcm21Δ*, *iml3Δ*, or *chl4Δ* exhibit reduced viability relative to YPD in the presence of PHL and HU, indicative of chromosome breakage. Error bars are SEM. Student's *t*-test values can be found in SI Appendix, Table S1. Dilution plating numbers can be found in SI Appendix, Table S2.

we measured the growth rates and distribution of cell cycle phases in various conditions [phleomycin 0.2 $\mu\text{g}/\text{mL}$, HU 5 mM, and benomyl (ben) 0.5 $\mu\text{g}/\text{mL}$, *SI Appendix*, Fig. S2]. Exposure to 0.5 $\mu\text{g}/\text{mL}$ benomyl does not activate the GALCEN centromere in *mcm21 Δ* , *iml3 Δ* , or *chl4 Δ* mutant cells (*SI Appendix*, Fig. S3A). As shown in *SI Appendix*, Fig. S2, there are statistical differences in growth rate and distribution of growth phase in the various strains or treatments. However, there are no delays in S phase (as evidenced by reduced unbudded or small budded cells) in WT dicentric \pm PHL (columns 1 and 2) or *chl4 Δ* \pm PHL (columns 5 and 6). There are a comparable number of small budded cells (22–24%) among *chl4 Δ* untreated, *chl4 Δ* + PHL and *chl4 Δ* + ben. At these concentrations of PHL or HU, there is no effect on cell growth in inactive GALCEN dicentric COMA mutants (*SI Appendix*, Fig. S3C) or monocentric single mutants (*SI Appendix*, Fig. S3D).

The statistical differences in the growth curves (*SI Appendix*, Fig. S2B) do not correlate with centromere activation. The slowest growing cells were WT dicentrics treated with HU or ben. HU treatment activates the GALCEN centromere (*SI Appendix*, Fig. S3B, 5 and 10 mM HU) while benomyl does not. The fastest growing cells were *chl4 Δ* dicentrics on glucose (centromere inactive), *chl4 Δ* dicentric + PHL (cen active), and WT dicentric glu (cen active). There is no systematic delay in cell cycle progression or growth that accounts for the ability to activate the GALCEN centromere in COMA mutants.

Generation of Monocentric Derivatives Following Replication Delay.

The fraction of viable cells remaining after activation of the conditional centromere in a *rad52 Δ* mutant will become monocentric through nonhomologous recombination (9). To examine the consequences of drug treatment on the physical stability of the dicentric chromosome, we performed PCR analysis on DNA extracted from wild-type and mutant cells grown on glucose in the presence or absence of drug treatment. In viable cells following dicentric chromosome activation, >90% of cells have lost either GALCEN3 or CEN3 (Fig. 2B) (9). In *rad52 Δ* , *chl4 Δ* mutants only 7/30 cells have lost either of the two centromeres (23% *chl4 Δ /rad52 Δ* , Fig. 2B, chart). Upon exposure to HU or PHL, there is an increase in the number monocentric derivatives in *rad52 Δ* , *chl4 Δ* mutants, predominately retaining the endogenous CEN3 (Fig. 2B, from 7/30 in glucose to 18/25 PHL and 19/30 HU). The physical analysis confirms and extends the viability assay, demonstrating centromere activation and kinetochore assembly upon exposure to PHL. Exposure to sublethal concentrations of PHL or HU allow *chl4 Δ* , *iml3 Δ* , and *mcm21 Δ* mutants to assemble kinetochores at the GALCEN3 locus.

An alternative pathway to monocentric derivative chromosomes is through homologous recombination within the conditional dicentric chromosome in radiation resistant cells (*SI Appendix*, Fig. S4A) (2). In wild-type cells containing the dicentric chromosome, ~70% of cells harbor the monocentric derivative chromosome generated by GALCEN3–CEN3 recombination (WT dicentric, *SI Appendix*, Fig. S4C). In *iml3 Δ* mutants containing the dicentric chromosome, the suppression of dicentric breakage is evident by the lack of monocentric derivatives (*SI Appendix*, Fig. S4B and C). There is elevated centromere rearrangement product upon exposure to PHL vs. no drug (~20% CEN rearrangement product/LEU2, *SI Appendix*, Fig. S4B and C).

Deletion of Csm3 Rescues de Novo Kinetochore Assembly. Cohesion can be reestablished in *iml3 Δ* and *chl4 Δ* COMA mutants without additional cohesin through a kinetic mechanism that depends on replication fork delay (21). The notion that the timing of replication contributes to the cohesive mechanism comes from analysis of Csm3. The Csm3/Tof1 complex travels with the replication fork and is required for fork rotation and stalling. Csm3 is also required for the replication fork to reach its maximal

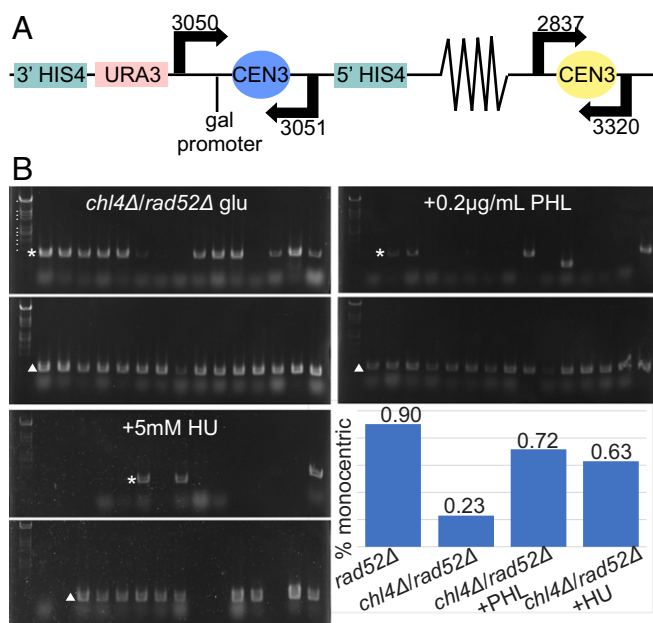


Fig. 2. Physical analysis of dicentric chromosome III. (A) Schematic of dicentric chromosome showing locations of GALCEN3 at the HIS4 gene and relevant primers used in PCR assays. (B) DNA was isolated from single colonies of dicentric *chl4 Δ* , *rad52 Δ* cells grown on YPD, YPD + PHL (0.2 $\mu\text{g}/\text{mL}$), and YPD + HU (5 mM). PCR products for GALCEN3 (primers 3050, 3051; 865-bp product indicated with asterisk *) and CEN3 (primers 2837, 3320; 625-bp product indicated with Δ) were subjected to gel electrophoresis. Individual gels were cropped and separated by spaces. On glucose (YPD), only 7/30 *chl4 Δ* , *rad52 Δ* colonies contained chromosome III rearrangements (lacking one of the two centromeres). On PHL, 18/25 were rearranged and on HU, 19/30 were rearranged. In a *rad52 Δ* strain containing the dicentric chromosome, 28/31 colonies exhibited rearrangements. Standard marker sizes in descending order are as follows: 7.8 kb, 4.3 kb, 3.7 kb, 3.3 kb, 2.3 kb, 2.0 kb, 1.8 kb, 1.5 kb, 1.4 kb, and 1.1 kb. Standard marker sizes are identical throughout.

velocity (34). Csm3, like COMA, is required for cohesin enrichment in the pericentromere (21). However, unlike COMA mutants, replication fork delay through HU treatment does not suppress the cohesion defect in *csm3 Δ* mutants (21).

To test whether Csm3 participates in de novo kinetochore assembly, we deleted *CSM3* from *iml3 Δ* mutants containing the conditional dicentric chromosome. The fraction of *iml3 Δ* , *rad52 Δ* , *csm3 Δ* viable cells on glucose dropped to 10% compared with 70% viability for the double mutant (*iml3 Δ* , *rad52 Δ*) (Fig. 3A, row 4). Mutants in replication fork passage rescue de novo kinetochore assembly in *iml3 Δ* mutants as evidenced by dicentric chromosome breakage and cell inviability in the absence of DNA repair. Further treatment with HU or PHL only marginally enhances the *csm3 Δ* rescue phenotype (Fig. 3B). This result, together with the rescue of de novo kinetochore assembly in *chl4 Δ* , *iml3 Δ* , and *mcm21 Δ* mutants upon HU or PHL treatment (Fig. 1), indicates that delays in replication fork progression may provide a kinetic mechanism for promoting de novo kinetochore assembly in the absence of the COMA complex.

If the rate of fork progression contributes to de novo kinetochore assembly, then deletion of the helicase that removes roadblocks might also suppress the kinetochore assembly deficiency in *iml3 Δ* mutants. To test this hypothesis, we deleted the *RRM3* helicase in *iml3 Δ* , *rad52 Δ* dicentric strains. As predicted, the triple mutant was more sensitive to glucose (40% viable) than the double mutant (70%) (Fig. 3A, rows 3 and 5, and Fig. 3B). Thus, slowing the fork down due to loss of the fork

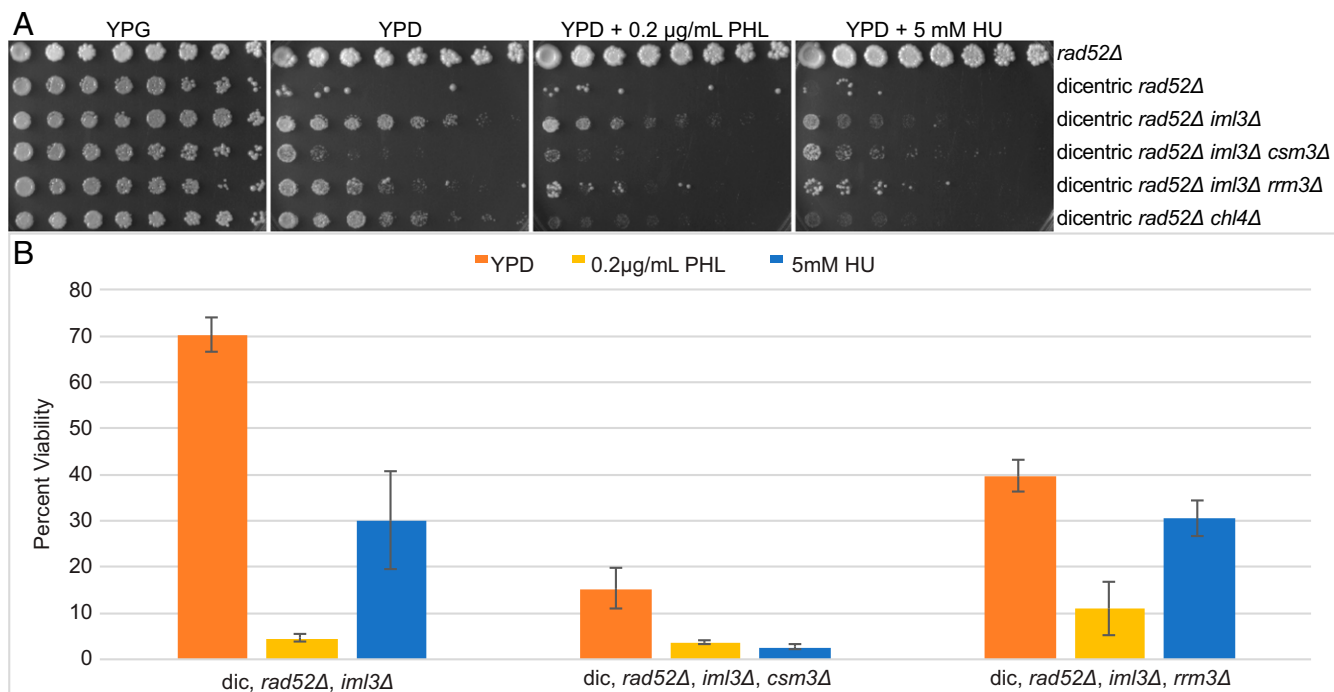


Fig. 3. Rescue of de novo kinetochore assembly in COMA mutants through *csm3Δ* and *rrm3Δ*. (A) Serial dilutions of *rad52Δ*, dicentric *rad52Δ*, and dicentric *rad52Δ iml3Δ* mutants with *csm3Δ* or *rrm3Δ*. Dilutions (twofold) from Left to Right, pronged on galactose (YPG), glucose (YPD), glucose + phleomycin (PHL), and glucose + hydroxyurea (HU) plates. Deletion of the replication fork protection protein Csm3 rescues de novo kinetochore assembly in *iml3Δ* mutants. Deletion of the Rrm3 helicase has a similar but less dramatic effect. Prong plates were repeated in triplicate with identical results. (B) Quantitative analysis of cell viability expressed as a percent of single colony growth on galactose (inactive GALCEN). Error bars are SEM. Student's *t*-test values can be found in *SI Appendix, Table S1*. Dilution plating numbers can be found in *SI Appendix, Table S2*.

complex, *csm3Δ*, or the helicase, *rrm3Δ*, confer varying degrees of de novo assembly function to *iml3Δ* mutants.

Deletion of *Cac1* (of the Chromatin Assembly Complex, CAF1) Suppresses the Defect in de Novo Kinetochore Assembly. An alternative mechanism for promoting de novo kinetochore assembly could be complexes that modulate histone incorporation. To test the role of replication coupled nucleosome assembly on de novo kinetochore assembly, we constructed *chl4Δ*, *cac1Δ* dicentrics (*SI Appendix, Fig. S5A*). Colonies were heterogeneous on glucose, indicative of dicentric breakage. In *cac1Δ* mutants, the viability was 67%, comparable to the viability of WT cells containing a dicentric chromosome. To quantitate the extent of breakage, we determined the viability of *chl4Δ*, *cac1Δ*, *rad52Δ*, mutants containing the dicentric chromosome. The viability of the triple mutants dropped to less than 20%, indicative of GALCEN activation on glucose and chromosome breakage. Thus, loss of the chromatin assembly factor (*Cac1*) bypasses defects in de novo kinetochore assembly. We further examined these cells for monocentric derivative chromosomes and found the diagnostic rearrangement product in 10/10 cells (*SI Appendix, Fig. S5B*). Loss of *Cac1* allows kinetochore assembly as evidenced by dicentric chromosome breakage.

Chromosome Conformation at the Centromere. We had previously shown that upon kinetochore formation, a DNA loop with the endogenous centromere at its apex is formed (30). To examine DNA loops at the newly assembled centromere, we utilized an inverse-PCR strategy to capture chromosome conformation (3C) (35). Inverse primer pairs are shown in Fig. 4A for GALCEN3 at the *HIS4* locus and a distal arm locus 165 kb from GALCEN3. Chromatin was fixed, digested with *NsiI*, and ligated under dilute conditions to minimize intermolecular reactions. Each primer lies between 250 and 450 bp from the *NsiI* restriction enzyme site

resulting in PCR products of ~600 bp when the sites are in ligation proximity. For quantitation of random association due to thermal motion, ligation was performed on DNA isolated from cells in the absence of cross-linking (naked DNA). The ratio of GALCEN3 to the distal arm locus is comparable in cells grown on galactose and uncross-linked, naked DNA samples. There is no tendency for DNA loops at either of these positions in the absence of centromere function. To examine the conformation of functional GALCEN3, cells were switched from galactose to glucose for 3 h. There is a 20% increase in ligation efficiency of loops at GALCEN3 in cells containing the dicentric chromosome (Fig. 4B, WT, glu, 1.2).

To examine the conformation of inactive GALCEN3 in *chl4Δ* mutants, cells were grown on glucose. There is no increase in ligation efficiency at GALCEN3 in *chl4Δ* mutants relative to GALCEN3 in WT cells grown on galactose (Fig. 4B, *chl4Δ* glu vs. WT gal). Any remaining structural components at the inactive kinetochore in *chl4Δ* mutants on glucose (36) are unable to convert centromere DNA into a looped organization.

To test whether there is a conformational change in the presence of PHL or HU as predicted from the restoration of kinetochore function, cells were grown on glucose with PHL or HU for 3 h. There is a 40% increase in contact frequency 3.5 kb surrounding GALCEN3 upon drug treatment (Fig. 4B). Licensing kinetochore assembly at a de novo centromere is accompanied by organizing the centromere into a loop.

Discussion

The specification of centromere function varies from sequence based in budding yeast to epigenetic inheritance in mammals and a variety of other species. The finding that sequence identity was not sufficient in COMA mutants in budding yeast to build a kinetochore (10, 37) raised the prospect that there are

Formation of a centromere DNA loop is likely the physical catalyst for kinetochore assembly. Based on the studies herein, we conclude that the COMA complex is integral to mechanisms required for loop formation of the centromere. Based on the hypothesis that the kinetics of chain motion is a key feature in assembly, we propose a function for COMA in remodeling the centromere into an assembly-conducive conformation. One possibility is that COMA is the centromere–CTCF factor, analogous to the mechanism by which CTCF leads to increased cohesin concentration at the base of loops (51). Interestingly, the centromere DNA binding factor Cbf3 bends centromere DNA as probed with atomic force microscopy (52). Centromere bending may reflect the cumulative function of inner kinetochore complexes

that act synergistically to mold the centromere into a kinetochore competent precursor.

Materials and Methods

Yeast cells were cultured and imaged under conditions as described in ref. 25. Simulations were performed in ChromoShake (50), using the geometries of bead spring polymers as shown in *SI Appendix, Fig. S5D*. In the “pinned” simulation, the end beads remained two orders of magnitude larger vs. the “unpinned” simulation, the mass of the two end beads was reduced to that of a standard bead. Chromosome conformation (3C) was performed as outlined in ref. 30.

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