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## Impaired development and expansion of germinal center follicular T helper cells in SIV-infected neonatal macaques

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### Abstract

Germinal center follicular CD4+ T helper (GC Tfh) cells are critical for cognate B-cell help in humoral immune responses to pathogenic infections. Although Tfh cells are expanded or depleted in HIV/SIV-infected adults, the effects of pediatric HIV/SIV infection on Tfh cells remain unclear. Here we examined changes in lymphoid follicle formation in lymph nodes focusing on GC Tfh, B-cell development and differentiation in SIV-infected neonatal rhesus macaques (*Macaca mulatta*) compared with age-matched cohorts. Our data showed that follicles and GC of normal infants rapidly formed in the first few weeks of age, in parallel with increasing GC Tfh cells in various lymphoid tissues. In contrast, germinal center development and GC Tfh cells were markedly impaired in SIV-infected infants. There was a very low frequency of GC Tfh cells throughout SIV infection in neonates and subsequent infants, accompanied by high viremia, reduction of B-cell proliferation/resting memory B-cells, and displayed proinflammatory unresponsiveness. These findings indicate neonatal HIV/SIV infection compromises the development of GC Tfh cells, likely contributing to ineffective antibody responses, high viremia, and eventually rapid disease progression to AIDS.

### Keywords

Lymph node; Follicle; Follicular CD4 T helper cells; SIV; Neonates; pediatric AIDS; HIV

### Introduction

At birth, the newborn infant undergoes a rapid transition from the “sterile” environment of the womb to an external surrounding with abundant foreign antigens, and thus immune development and responses must be prepared to immediately cope with the plethora of foreign antigens suddenly encountered (1). Although neonatal and infant immune systems are thought to be functionally “immature” (1), it has been reported that broadly neutralizing

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antibodies (bnAb) against HIV can develop quickly in infants (2). Further, our previous studies showed that CD4<sup>+</sup> T cells in mucosal tissues of newborn macaques have a “memory” phenotype, and higher rates of proliferation than adults. These cells are the primary targets for early HIV/SIV replication in infants, which may be the reason infants have markedly higher and more sustained levels of viral replication than HIV-infected adults (3, 4).

In neonates, organized lymphoid tissues are not fully developed, and early immune protection initially relies on IgG antibodies of maternal origin, levels of which decline after birth, with a half-life of 21–30 days (5). Once exposed to antigens, naïve B cells are initially primed, and then migrate to the border of the T cell and B cell zones where they proliferate and form stable interactions with antigen-specific T cells to become fully activated (6, 7). A pool of antigen-specific B cells with the highest relative affinity then gain access to the newly forming germinal centers (GCs) (8–11), further proliferate, undergo random Ig somatic hypermutation (SHM), and rearrange and diversify their IgV genes, resulting in mutant GC B cell clones with a broad repertoire of potentially neutralizing antibodies (12, 13), and generation of high-affinity antibody-secreting plasma cells and long-lived memory B cells (14–18). The germinal center (GC) reaction that occurs in organized lymphoid tissues including lymph nodes, spleen, and mucosa-associated lymphoid tissues are critical for developing effective humoral immune responses. Within mature GCs, signaling from GC Tfh cells, such as CD40, IL-4, IL-9, IL-21 and ICOS, play a pivotal role in the GC reaction during intermittent cognate engagements between GC B and Tfh cells (19–21). These GC Tfh and B cell interactions facilitate several re-iterative rounds of B cell mutation and selection, result in the terminal differentiation and generation of memory B cells and plasma cells secreting high affinity antibodies (15, 22).

Tfh cells provide help for cognate B-cell maturation, and promote potent primary antibody responses to infections (14, 23). Absence of Tfh cell help results in B-cell apoptosis, and prevents B cell differentiation and development of effective humoral immune responses (24). We previously reported that GC Tfh cells, defined as CXCR5+PD-1<sup>HIGH</sup> CD4<sup>+</sup> T cells in GC of follicles in macaques (25), are expanded in asymptomatic stages of SIV infection, yet are depleted in adults with AIDS. These Tfh cells harbored within what has been referred to as “sanctuary sites” have also been reported to be major reservoirs of HIV (26–28), and infected Tfh cells have been linked with abnormal B-cell responses (29–33).

Notably, more rapid disease progression and higher mortality rates are observed among HIV/SIV infected infants than adults (34). However, the effects of SIV infection on Tfh cells in developing neonates are still unknown. Understanding aspects of the pathogenesis of HIV infection that are unique to neonates and young children are essential for optimizing prevention and treatment strategies for pediatric HIV patients.

Here, we examined the development of lymph node follicle formation in normal and SIV-infected neonatal macaques. We monitored dynamics of GC Tfh cell development, and analyzed levels of viral loads and proinflammatory responses in plasma of SIV-infected neonates, compared with age-matched neonatal cohorts throughout neonatal development. The results showed that lymphoid follicles in organized lymphoid tissues of normal neonates

rapidly developed in the first few weeks after birth. However, in contrast to age-matched controls, SIV infection in newborns resulted in marked impairment of lymphoid follicle development, abrogation of germinal center development, and eventually, complete obliteration of normal lymphoid tissue architecture. We also show severely impaired GC development with significantly fewer numbers of GC Tfh cells, and impaired proliferation of B cells from lymph nodes of infants, accompanied by high viremia, yet decreased proinflammatory responses that are typical of primary infection in adults. These findings suggest SIV infection in newborn prevents GC Tfh cell development and differentiation, resulting in rapid impairment of humoral immune responses, which likely contributes to the accelerated disease progression in pediatric hosts.

## Materials and Methods

### Ethics statement

All animals in this study were housed at the Tulane National Primate Research Center in accordance with the Association for Assessment and Accreditation of Laboratory Animal Care International standards. All studies were reviewed and approved by the Tulane University Institutional Animal Care and Use Committee. Animal housing and studies were carried out in strict accordance with the recommendations in the Guide for the Care and Use of Laboratory Animals of the National Institutes of Health (NIH, AAALAC #000594) and with the recommendations of the Weatherall report; “The use of non-human primates in research”. All clinical procedures were carried out under the direction of a laboratory animal veterinarian. All procedures were performed under anesthesia using ketamine or tiletamine/zolazepam, and all efforts were made to minimize stress, improve housing conditions, and to provide enrichment opportunities (e.g., objects to manipulate in cage, varied food supplements, foraging and task-oriented feeding methods, interaction with caregivers and research staff).

### Animals and virus

In this study, total of 85 Indian-origin rhesus macaques (*Macaca mulatta*; RMs) were utilized to examine Tfh cells and other cell subsets from lymph nodes. The ages of infant macaques were characterized as previously reported (35) as: neonate (0–1 month); infant (1–6 months), juvenile (6 months–3yrs) and adult (> 3yrs). Infant animals were intravenously inoculated with SIVmac251 (100 TCID<sub>50</sub>) either at birth (n=28) or at 4-months of age (n=6). Lymph nodes were collected at day 0, 3, 7, 14, 21, 28 and month 2–3 post SIV infection. The animals grouped based on specific timepoints after early SIV infection, but chronically infected infants that developed illnesses attributed to AIDS were combined since all infected infants developed clinical signs within 2–3 months of infection. Tissues from SIV naive, age-matched neonates/infants (n=35) and adults (n=16, from 3–12 years of age) were examined as controls. Numbers of animals and tissues used for individual experiments are provided in the figure legends.

### Tissue collection and phenotyping

Blood and lymph nodes were collected at necropsy from uninfected controls, or in acute (7–28 days), or AIDS animals with defined opportunistic infections and/or neoplasm/

lymphoma. Lymph nodes were collected and fixed in formalin, frozen for immunohistochemistry to detect specific lymphocyte subsets *in situ*, and another section was processed into viable single cell suspensions for flow cytometry. Plasma was collected to monitor viral loads and cytokine/chemokine responses.

Flow cytometry for surface and intracellular antigens was performed on viable cell suspensions using standard protocols (36). Cells were stained with: CD3 (SP34), CD4 (SK3), CD20 (2H7), CXCR5 (MU5UBEE, eBioscience), PD-1 (EH12.2H7, BioLegend), Ki67 (B56), IgD (Southern Biotech), CD27 (O323, BioLegend) and LIVE/DEAD Fixable Aqua Dead Cell Stain Kit (Invitrogen, Grand Island, NY). All antibodies and reagents were purchased from BD Biosciences Pharmingen (San Diego, CA) unless otherwise noted. Isotype-matched controls were included in all experiments. After staining, samples were resuspended in BD Stabilizing Fixative (BD Biosciences) and acquired on a FACS FORTESSA (Becton Dickinson, San Jose, CA). Data were analyzed with Flowjo software (Tree Star, Ashland, OR).

### Multi-color confocal microscopy analysis and immunohistochemistry

Lymph node tissues were processed for immunohistochemistry as previously described (37). In brief, tissues were fixed in formalin, embedded in paraffin, sectioned and stained as below. Other sections were snap frozen in optimum cutting temperature compound (OCT) and 7  $\mu\text{m}$  frozen sections were stained using unconjugated primary antibodies including CD20, PD-1, and CD68, followed by appropriate secondary antibodies conjugated to the fluorescent dyes Alexa 488 (green), Alexa 568 (red) or Alexa 633 (blue) (Molecular Probes, Eugene, OR). Confocal microscopy was performed using a Leica TCS SP2 confocal microscope equipped with three lasers (Leica Microsystems, Exton, PA). Individual optical slices representing 0.2  $\mu\text{m}$  and 32 to 62 optical slices were collected at  $512 \times 512$  pixel resolution. NIH Image (version 1.63, Bethesda, MD) and Adobe Photoshop CS5 (San Jose, CA) were used to assign colors to the channels collected. To detect PD-1+, CD20+, or CD68+ cells in lymph nodes by immunohistochemistry, paraffin-embedded sections were deparaffinized, and antigens were unmasked using high-temperature antigen retrieval by heating slides in a steam bath chamber (Flavor Scenter Steamer Plus; Black and Decker, Hunt Valley, MD) with 0.01 M citrate buffer pH 6.0 for 20 minutes. Slides were then cooled, washed twice in phosphate-buffered saline (PBS), and blocked with peroxidase blocking reagent (Dako, Glostrup, Denmark) for 10 minutes, washed again in PBS, and further blocked with serum-free protein block (Dako) for 30 minutes. Sections were then incubated with the purified anti-PD-1, CD20, or CD68 Ab for 1 hour at room temperature, washed (PBS), and developed using a Vectastain ABC peroxidase kit (Vector Laboratories, Burlingame, CA) and 3,3-diaminobenzidine DAB (Biocare Medical, Concord, CA).

### Detection of SIV-infected cells in lymph nodes

To identify numbers and distribution of productively-infected cells in lymph nodes of chronically SIV-infected macaques, a nonradioactive *in situ* hybridization for viral RNA was performed on formalin-fixed, paraffin-embedded sections of mesenteric lymph nodes as previously described (35). Briefly, 5- $\mu\text{m}$  sections were cut and adhered to silanized glass slides. After deparaffinization in xylene, rehydration in PBS, and antigen retrieval with

steam, sections were acetylated and hybridized with digoxigenin-labeled antisense SIV riboprobes (Lofstrand Labs, Gaithersburg, MD) encompassing essentially the entire SIV genome. Labeled cells were visualized using fluorescent dyes Alexa 568 (red)-conjugated sheep anti-digoxigenin antibodies. The plasma viral load and cell-associated viral RNA and DNA were measured as we previously described (26). In brief, total RNA or DNA was extracted from plasma or GC Tfh cells sorted from lymph nodes. Reverse transcription (RT) was performed to synthesize cDNA from RNA samples using the commercial kit (Cat. # 18080044, ThermoFisher Scientific). Amplification and detection of SIV DNA/RNA were determined by TaqMan real-time PCR (ABI 7900HT sequence detection system, Life Technologies) targeting conservative region of SIV gag with SIV-specific primer and probe (38). Program was run with a 40 cycles at 95°C for 15 seconds and 60°C for 1 minute. Viral copy numbers were determined by plotting Cycle quantification (Cq) values obtained from unknown (i.e. test) samples against the exogenous calibration curves generated from known amounts of RNA or DNA standard, and finally normalized by known copies of spiked RNA or cell numbers.

### **Plasma cytokines/chemokines quantification, viral p27 antigen and anti-SIV gp120 measurement**

Proinflammatory cytokines in plasma were measured by Luminex 200 systems (Bio-Rad Inc., Hercules, CA, USA) according to the manufacturer's instructions. Prior to assays, plasma samples were thawed and centrifuged. Cytokine levels were measured using the ProcartaPlex NHP cytokine/GF37plex (Invitrogen) according to manufacturer's instructions. The reactions in microtiter plates were read on a Bioplex-200 system instrument and results were calculated using BioPlex software version 6 (BioRad, Hercules, CA). Plasma p27 and anti-SIV gp120 were measured with standard ELISA (p27 ELISA kit, Zeptometrix Corp., Buffalo, NY; native SIV gp120, ABL, Rockville, MD).

### **Statistics**

Statistical analyses were performed using a non-parametric Mann-Whitney *t* test (two tailed) and GraphPad Prism 4.0 software (GraphPad Software, SanDiego, CA). The data are presented as the mean  $\pm$  standard error of the mean (s.e.m.) and P values  $<0.05$  were considered statistically significant.

## **Results**

### **B-cell follicle formation and GC Tfh cell development in lymph nodes of neonatal macaques with age**

In developing neonates, lymphoid follicles and germinal center structures are essentially absent at birth, but these structures rapidly develop within the first month of life, as indicated by detection of CD20+ B cell follicles and well organized lymphoid follicle and distinct GC formation clearly visible within the first few weeks of age. Accordingly, very few PD-1high cells were detected in lymph nodes at birth, consistent with the absence of GC at this stage (Fig. 1A). However, Tfh cells rapidly increase in follicles with age in normal infants (Figs. 1B and 1C), accompanied by lymphoid follicle formation, and persistent elevation of CXCL13 in plasma through 21 days after birth (Fig. 1D). As shown in Fig. 1E, GC Tfh

(CXCR5+PD-1<sup>high</sup> CD4<sup>+</sup> T) cells in lymph nodes were rare in newborn lymph nodes (~0.25%), but rapidly increased within 1–4 weeks of age, and reached “normal” adult levels (2~6%) after the first month. Similar changes were observed in other lymphoid tissues such as the spleen and gut associated lymphoid tissues (colon) of normal infants. These data suggest that fully functional lymphoid tissues are rapidly established within the first few weeks of normal neonatal development.

### **Sustained viremia and virus-infected cells in lymph nodes of SIV-infected neonatal macaques**

High sustained plasma viral loads are observed in infants infected with SIV at birth, compared with SIV-infected adults in which plasma viral loads “peak” 12–14 days post SIV infection, and then decline to a lower viral “set point” within 2–3 months of infection (4, 39, 40). To explore the effects of immune development on viremia and virus control in SIV-infected neonates, we compared plasma viral loads and SIV RNA<sup>+</sup> cells in lymph nodes of infants infected with SIV within 24 hours of birth, to those infected at 4-months of age. In contrast to infants infected with the identical stock and dose at 4-months of age, marked numbers of SIV RNA<sup>+</sup> cells in lymph nodes were detected in lymph nodes of neonates infected at birth, as SIV-infected cells emerged at 7 dpi, and their numbers continually expanded throughout the entire lymph node through the development of AIDS, including in the follicles, interfollicular region, paracortex, and medulla (Fig. 2A). Measurements of proviral DNA and SIV RNA in sorted GC Tfh cells from SIV-infected infants at day 21 post SIV infection showed these cells were heavily infected, and the levels of SIV-DNA and -RNA in GC Tfh in the infants infected at birth are equivalent to those infected in adults (Fig. 2D), verifying that lymphoid tissues are also major sites for HIV/SIV infection and replication in infants (41). These infants also had sustained high viremia, with no apparent “set point”, compared with infants infected at 4 months of age or adults post SIV infection, in which a clear peak of SIV RNA and p27 antigens occurs in plasma around day 14, followed by a decline and establishment of a lower viral “set point”, similar to SIV infection in adults (42, 43) (Fig. 2B and 2C). This age-dependent difference in viremia demonstrated that even 4 month-old infants demonstrate better virologic control compared to newborns, suggesting the pediatric immune system rapidly develops to generate effective immune responses soon after birth (44). Thus, establishment of functional pediatric immune responses in the first few weeks of neonatal development may be critical for protection from infection by vaccination.

### **Impairment of GC Tfh cell development, B-cell proliferation and differentiation in SIV-infected neonatal macaques**

As shown in Fig.1, lymphoid follicles were rapidly formed in lymph nodes of normal developing neonates in the first few weeks of birth, accompanied by increased numbers of PD-1<sup>high</sup> cells. To investigate the effects of SIV infection on GC Tfh cells of neonates, we compared the dynamics of CXCR5+PD-1<sup>high</sup> CD4<sup>+</sup> T-cells (GC Tfh), CD20<sup>+</sup> B-cells, B-cell proliferation and B-cell differentiation in lymph nodes of SIV-infected and age-matched normal neonates. Immunohistochemistry demonstrated that in normal (uninfected) infants, CD20<sup>+</sup> B cells in lymph nodes rapidly increased with age, and aggregated to form distinct follicles, containing progressively increasing numbers of PD-1<sup>+</sup> cells in the center of



follicles which were clearly distinguishable by 7 days of age (Fig. 3A). In stark contrast, PD-1+ cell populations were essentially absent in lymph nodes of any SIV-infected neonates, accompanied by severely impaired lymphoid follicle development (Figs. 3A and B). Histological assessments clearly showed that follicle structure formation was disrupted in all SIV-infected infants (Fig. 3C). In normal developing infants, 80% of lymphoid follicles were secondary, having prominent germinal centers with light and dark zones, and a thick paracortex densely packed with small lymphocytes in the lymph nodes of SIV naïve infants by 21 to 90 days of age (Fig. 3C). In contrast, numbers of follicles were markedly decreased in age-matched infants post SIV infection, and identifiable follicles completely lacked germinal center formation (Fig. 3D). In all infants that were euthanized after 30 days of infection, there was severe to complete disruption of lymph node follicular architecture. Most infants euthanized due to disease progression had massive T and B cell depletion, disruption of follicular architecture, and hypocellular, dilated medullary sinuses (sinus ectasia) and thickened medullar cords lined with hypertrophic endothelial cells. Sinuses also contained increased numbers of band cells, all consistent chronic, aberrant, immune stimulation with terminal lymphoid depletion/exhaustion. Flow cytometry of LN cell suspensions also indicated marked an absence of GC Tfh cells in SIV-infected infants (Fig. 4A). In contrast, there were no significant changes in frequencies of CD20+ B cells in lymph nodes between SIV-infected and SIV naïve age-matched infants (Fig. 4B) this was likely due to the equal depletion of other cell subsets in these preparations, as B cells were clearly depleted in lymphoid tissues of all SIV-infected infants by immunohistochemistry (Fig. 3D). Further, LN B cells of SIV-infected infants showed significantly reduced levels of B-cell proliferation as indicated by lower levels of Ki67+ B-cells, which are the dominant subset among germinal center B cells of naïve, uninfected infants (45) (Fig. 4C). Resting memory B-cells (IgD+CD27+) also decreased in lymph nodes of infected infants compared to age-matched controls (Fig. 4D), which corresponded with markedly increased levels of memory B cell (IgD-CD27+) generation in infected infants. Note that at 14 dpi (peak viremia) memory B cells (IgD-CD27+) were already significantly increased in infected neonates (Fig. 4E). Consistent with lower numbers of GC Tfh cells, and the reduced B cell proliferation in lymph nodes, levels of plasma anti-SIV gp120 in SIV-infected infants were also significantly lower than SIV+ adults after 3 months of SIV infection (Fig. 4F), suggesting humoral immune responses in HIV/SIV-infected infants were severely impaired, especially when compared to SIV-infected adults. These findings indicate that SIV infection of neonates at birth results in markedly compromised lymphoid follicle formation, impaired GC Tfh cell development, and subsequent severe defects in B-cell differentiation, proliferation, and maturation.

### Limited proinflammatory responses in SIV-infected neonatal macaques

Prior studies have shown a “cytokine storm” is induced in acute SIV infection of adult macaques (46) and HIV-infected humans (47, 48), and the proinflammatory cytokines produced may contribute to altered development and differentiation of GC Tfh cells, and over-activity of GC in pathologic conditions (26). Here we analyzed levels of plasma proinflammatory cytokines in neonatal animals infected with SIV at birth. In contrast to SIV-infected adults, proinflammatory cytokine responses were not significantly increased in SIV infected infants compared to age-matched normal controls. In fact, samples compared

from naïve infants 2–3 months of age consistently showed higher constitutive levels of several pro-inflammatory cytokines compared to SIV-infected infants (Fig. 5). This suggests In addition to other effects of SIV, this lack of proinflammatory responses in neonates post HIV/SIV infection may play a causative role in the lack of lymphoid follicle, germinal center, reaction, as well as Tfh cell development and maturation, resulting in pathogen-specific unresponsiveness, clonal anergy/tolerance, and more rapid disease progression in pediatric HIV infection.

## Discussion

T follicular helper (Tfh) cells play an essential role in B-cell proliferation, differentiation, and generating antigen-specific antibody responses. Here we compared B-cell follicle formation and GC Tfh and B cell development in germinal centers of normal and SIV-infected neonates *in situ*. The results indicate that lymph node follicles are rapidly formed in the first few weeks of birth, accompanied with increases GC Tfh cells with age. However, SIV infection in neonates at birth essentially prevented the development and differentiation of germinal centers and GC Tfh and B-cells, as indicated by markedly lower levels of GC Tfh cells in lymph nodes, reduced B-cell proliferation and differentiation, and limited proinflammatory cytokine responses throughout SIV infection, compared with age-matched controls. Thus HIV/SIV infection in neonates clearly leads to rapid impairment of lymph node structural, and functional development, preventing normal maturation of the humoral immune system. These early immunologic deficits likely contribute to the sustained high viremia, and rapid disease progression typical of pediatric AIDS.

The systemic immune system of infants is relatively immature, with few to no mature memory cells, and infants typically do not respond as well to systemic infections as adults (3, 49). In fact over 3 million neonatal deaths per year are attributed to various infectious diseases (50). The maturation of the immune system in neonates is partially controlled by environmental and intrinsic factors (51–53), as evidenced by changes in phenotype and numbers of neonatal Tfh and B-cells in response to different environments (53–55). In developing neonates, B cell follicles are rapidly formed in the first few days to weeks of birth, concomitant with increased numbers of GC Tfh cells that reside within GCs of follicles (Fig.1). It is reported the chemoattractant CXCL13 plays a central role in homing of Tfh and B cells (56), and in organizing both B-cell follicles and GCs in lymphoid tissues (57, 58). Thus plasma levels of CXCL13 play a role in the generation of neutralizing antibodies (nAbs) and may be used as a biomarker of GC activity (59). As shown in Fig. 1D, levels of plasma CXCL13 gradually increased through the first 21 days of age in normal infants, consistent with the timing of follicle formation and the peak of GC Tfh cells in LNs (Figs. 4A). However, the levels of plasma CXCL13 decreased afterwards, probably correlating with sufficient GC induction and maturation to antigens exposed after birth. These findings suggest the immune system rapidly develops in the early life of neonates to facilitate neonatal immune maturation and host immunity. To compare host immunity in developing neonates, we compared levels of plasma viral RNA and viral antigen (p27) in animals that were inoculated by SIV at birth to those infected at 4-months of age. The results showed that neonatal macaques maintained high viremia post SIV infection at birth, compared with infants infected later who showed a clear viral “peak” and subsequent lower



“set point” (Fig. 2). In fact, the dynamics of viremia in infants infected at 4 months of age to those of adults. Although it has been reported that bNabs are observed in HIV-infected children (2), this does not appear to result in better containment of infection in infants without therapy. Notably, the levels of plasma p27 showed some differences compared to plasma viral load between SIV-infected cohorts (43, 60), probably reflecting higher and earlier viral replication, and delayed viral protein clearance due to immature immune systems in HIV/SIV-infected infants. Consistent with this, studies of human infants that distinguished in utero, intrapartum and postnatal infection show median survival times from infection of 208, 380, and >500 days respectively (61). Combined, these data demonstrate the neonatal immune system rapidly develops with age to defend against potential pathogens encountered soon after birth.

In T-cell dependent (primary) antibody responses, Tfh cells fine-tune B-cell development through the release of specific cytokines that trigger B cell expansion and differentiation as well as through direct yet intermittent cell-to-cell interactions between GC Tfh and B cells within GC. These interactions eventually result in full somatic hypermutation of germline antibody genes, and expanded Ig repertoires with nAb potential (19–21, 62). Neonatal B cells display a naïve phenotype with only a partially developed repertoire of surface immunoglobulins, thus their capacity for generating antibodies is limited. However, our results clearly showed that SIV infection in newborns delayed formation of follicles and GC structures, albeit we detected no changes in percentages of total CD20+ B cells in lymph nodes (Fig. 4B). Importantly, very few GC Tfh cells were detected in lymph nodes of SIV-infected neonatal macaques throughout SIV infection compared with their rapid development and progressive increase in age-matched controls (Figs. 3 and Fig. 4A). We recently reported that persistent HIV/SIV infection results in expansion of GC Tfh cells in chronic SIV infection of adult macaques (26), which correlated with higher viremia (63). This is in stark contrast to the significant reduction of GC Tfh cells observed in SIV-infected infants here, which may be the result of limited or persistent proinflammatory responses to infection (Fig. 5) or potentially other yet unknown factors. In addition, frequencies of both proliferating (Ki-67+) B cells, (representing functional GC B-cells), and resting memory B cells in lymph nodes are also significantly lower in SIV-infected infants than controls (Figs. 4C and 4D), indicating differentiation of B-cells is also severely impaired in SIV-infected neonatal macaques. Although total memory B cells in lymph nodes increased in acute infection (day 14) (Fig. 4E), accompanying peak viremia, likely probably reflecting expansion of short-lived memory B cell differentiation responding to viral antigens yet these were not sustained thereafter, reflecting lack of GC Tfh cell help. These findings are consistent with other reports showing decreased frequency and number of resting memory B cells, and increased percentages of switched memory B cells are in HIV-infected children (64, 65). Further, persistent B-cell defects are often observed in HIV-infected children, as indicated by subclinical immune abnormalities and defective B-cell maturation in HIV-1-infected children even when receiving antiretroviral therapy (ART) from birth (65–68). We propose that the damage to B-cell development in SIV-infected neonates occurs early, and is mainly attributed to direct infection and dysregulation of Tfh cells (Fig. 2D), resulting in impaired B cell maturation. This is supported by reports of impaired Tfh cells which correlate with lower levels of B-cell differentiation, including reduced BCR/co-stimulatory

signaling, and lower frequencies of resting memory B cells in HIV infected children (53, 65). Indeed, Tfh cell loss or dysfunction during HIV infection impairs B-cell responses to HIV in adults (30), and in HIV+ children, it even impairs their responses to other vaccines (69). It is known that the safety and effectiveness of vaccines in HIV-infected children varies with age at vaccination and their immune status. For example, infants with symptomatic HIV infection should not receive live attenuated vaccine such as attenuated BCG and yellow fever vaccine. In general, antibody responses to vaccines are lower in HIV-infected children than age-matched uninfected children, albeit occasionally protective levels to some vaccines develop. However, HIV-infected children who do respond to vaccines also have a more rapid decline in antibody titres than uninfected children (70, 71). Thus, strategies for treatment and vaccination should be optimized for HIV-infected infants after initiation of ART (72).

Combined, we hypothesize HIV infection of neonates compromises humoral immune responses with regard to breadth, magnitude and specificity, all due to impairment of organized lymphoid tissue development and loss of Tfh cells. Although it has been reported that bNabs are observed in HIV-infected children (2), this does not appear to result in better containment of infection in infants without therapy. We and others previously reported that persistent SIV infection in adults is responsible for differentiation and aberrant expansion of GC Tfh cells in lymph nodes mediated through the production and elevation of proinflammatory cytokines including IL-4, IL-6 and IFN- $\gamma$  (19, 26, 73–80). Here we examined the levels of plasma proinflammatory cytokines in SIV-infected neonatal macaques, and compared their levels with age-matched controls. However, as indicated in Fig. 5, SIV infection of neonates did not induce significant increases in proinflammatory responses in the first several weeks of infection, which is in stark contrast to the “cytokine storm” rapidly induced in SIV-infected adults (46). Since cytokines, especially IL-4 and IL-6, play major roles in the differentiation and localization of Tfh cells in GC of neonatal lymphoid tissues and B-cell function (65, 81), the lower levels of proinflammatory responses in neonates may play a role in the lack of GC Tfh development in acute stages of SIV infection. Notably, there were no significant differences in levels of proinflammatory cytokines between SIV naïve and SIV-infected infants until 3 months age. Thus, these particular proinflammatory cytokines may not be dominant factors in the initial GC Tfh cell development and differentiation, but likely play a role afterwards. The cellular and molecular mechanisms behind GC Tfh cell development and differentiation, especially in neonates needs to be further investigated.

In conclusion, HIV/SIV infection of neonates prevents the development and differentiation of normal organized lymphoid tissue development, and corresponding generation of GC Tfh cells and mature B cells, resulting in inadequate antibody responses, and rapid disease progression in HIV/SIV-infected neonates. Further understanding of neonatal immunological development may lead to improved treatments for combatting pediatric AIDS and other infectious diseases of infants and children.

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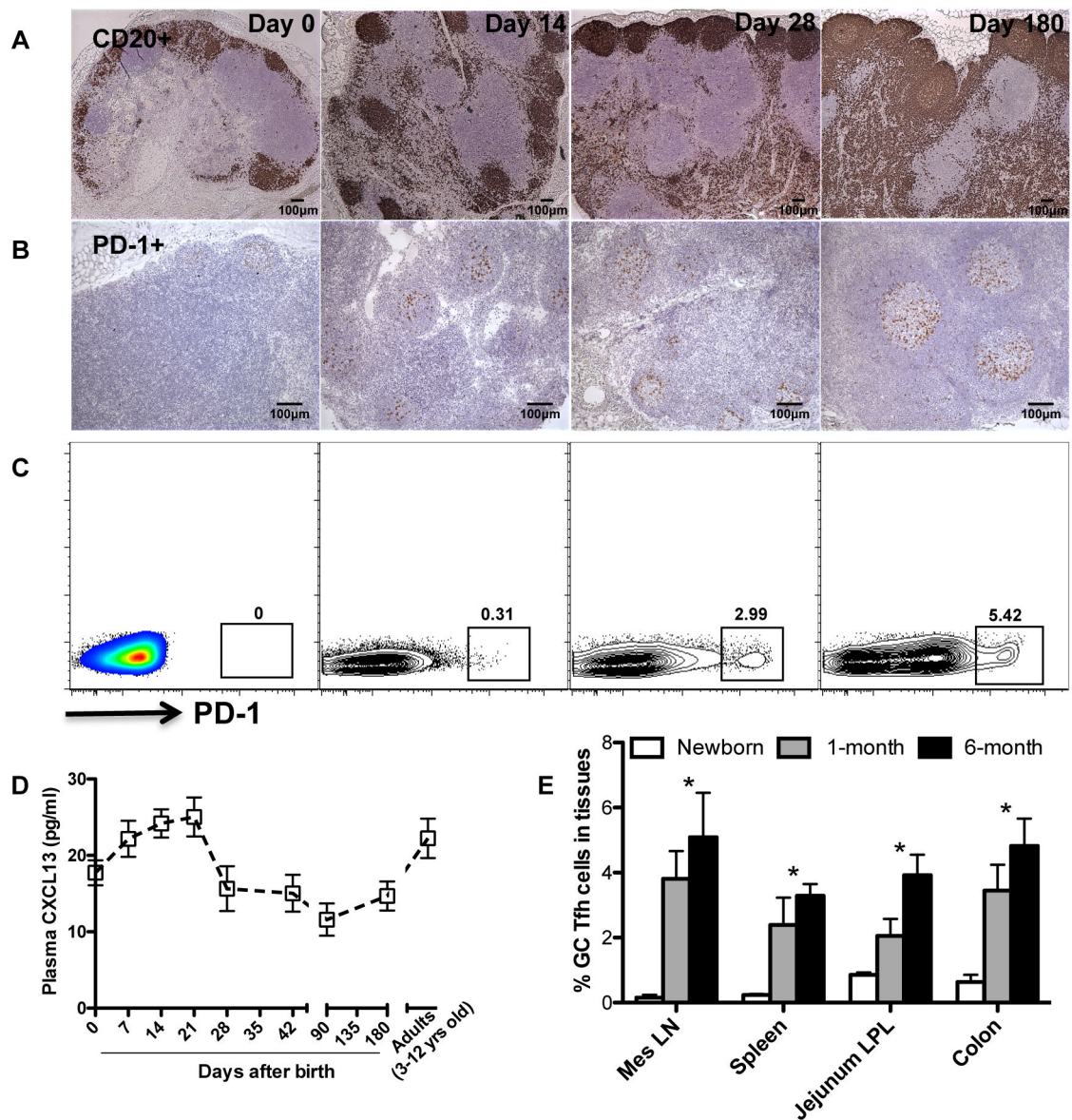
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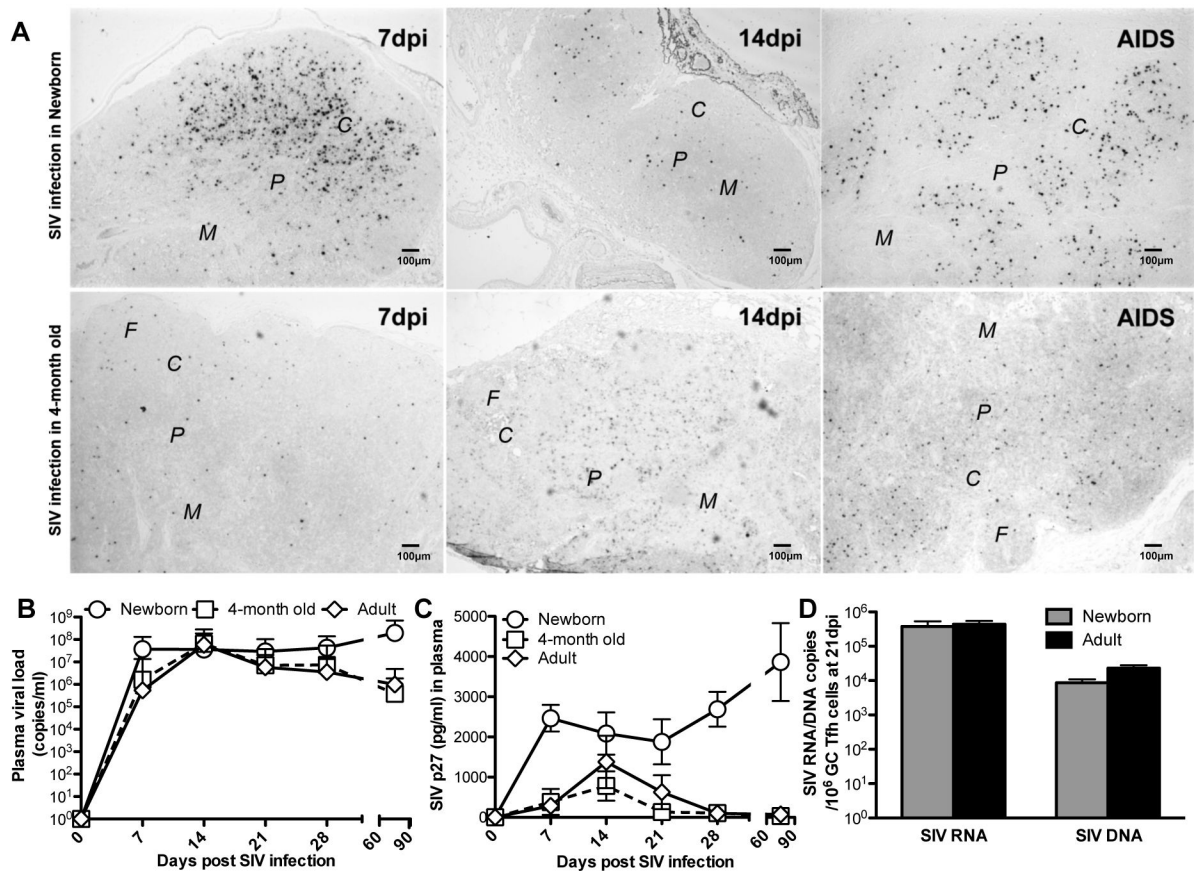


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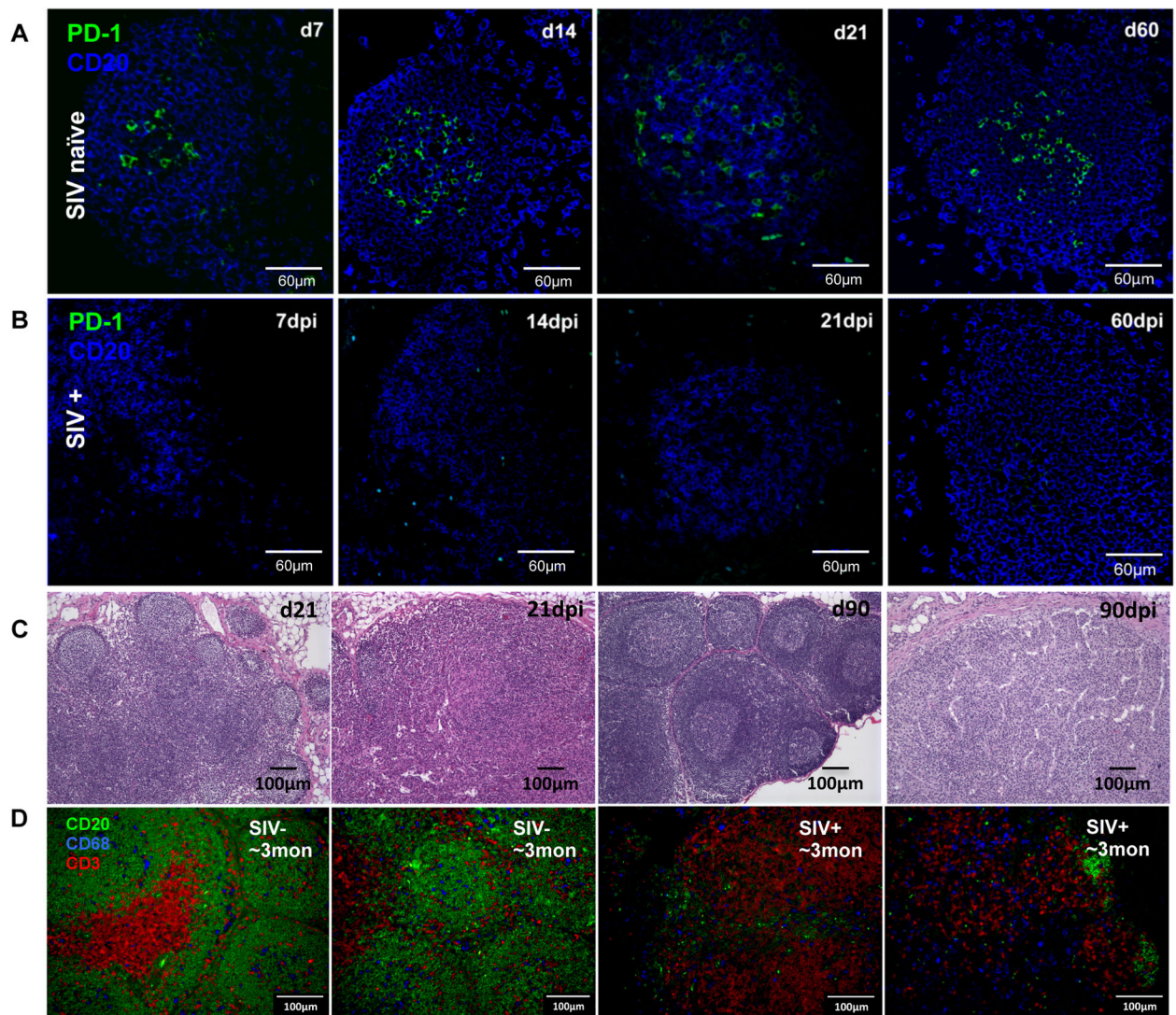
**Figure 1. Rapid follicle formation and development of GC Tfh cells in lymph nodes of normal neonatal macaques.**

(A) B-cell follicle formation in lymph nodes of normal developing infants as detected by immunohistochemistry for CD20 (B cells); (B) Distribution and dynamics of PD-1 positive cells (Tfh) in germinal centers of lymph nodes of normal neonates with age; (C) Representative flow cytometry dot plots of PD-1<sup>high</sup> gated CD4<sup>+</sup> T cells obtained from lymph nodes of normal infants at 0, 14, 28 and 180 days of age; (D) Levels of plasma CXCL13 in infants with age at day 0 (n=5), 7 (n=3), 14 (n=5), 21 (n=5), 28 (n=4), 42 (n=4), 90 (n=4), 180 (n=5) after birth, compared to adults (n=16). (E) Distribution and localization of GC Tfh cells (CXCR5<sup>+</sup>PD-1<sup>high</sup> CD4<sup>+</sup> T cells) in developing neonates with age showing lymph nodes from newborns (n=5), 1 month (n=4) and 6 months (n=5) after birth. \**p*<0.05, as compared with newborns. Data are presented as means ± s.e.m (C and D).



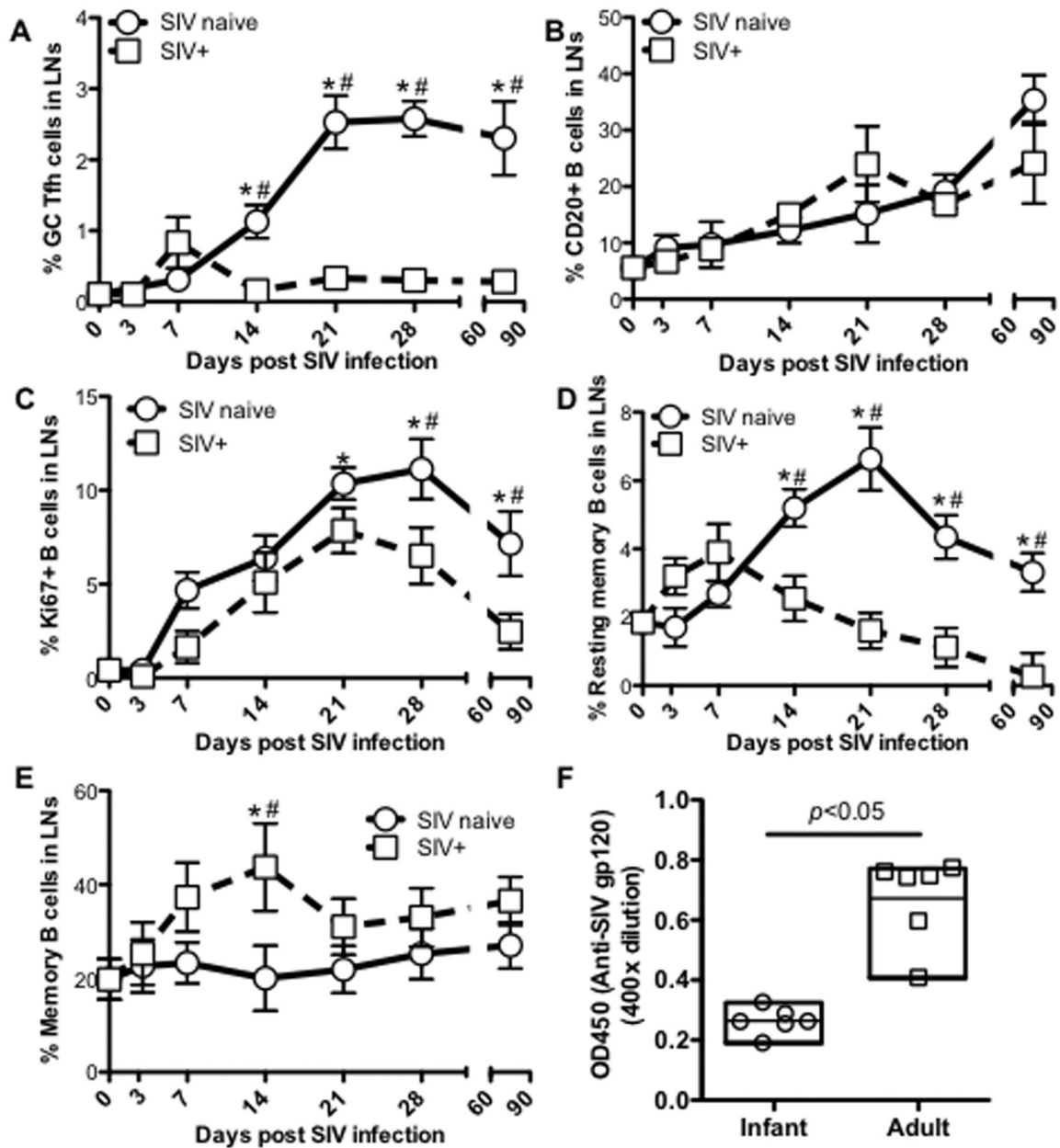
**Figure 2. Viral loads and detection of SIV-infected cells in neonatal and infant macaques.** (A) SIV-infected cells in follicle (F) cortex (C), paracortex (P) and medulla (M) of lymph nodes of neonatal macaques post SIV infection, as demonstrated by SIV RNA *in situ* hybridization. Levels of plasma viral load (B) and SIV p27 antigen (C) in infants infected with SIV either at birth (n=28), 4-months of age (n=6), or adults (n=12). Note viral loads do not reach a “peak” in infants infected at birth and demonstrate sustained high levels. In contrast, macaques infected at 4 months of age showed declines in viremia after 14 days, and had set points similar to adult infection. \*,#  $p < 0.05$ , compared with SIV naïve newborn (\*) or 4-month age/adults (#). (D) Levels of proviral DNA and SIV RNA in sorted GC Tfh cells at day 21 post SIV infection were from the infants at birth (gray bars) or adult (black bars) infected by SIV.





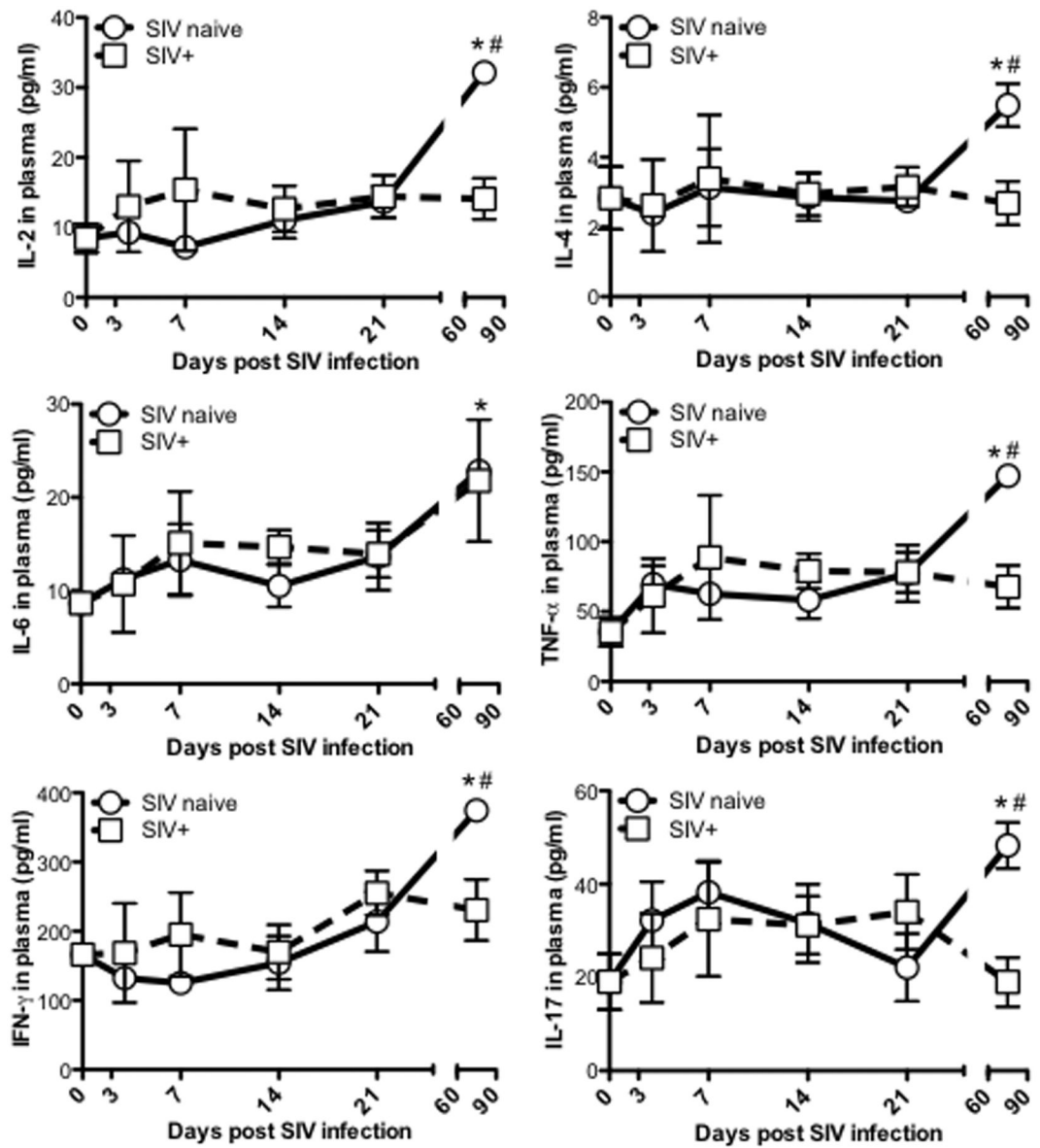
**Figure 3. Effects of SIV infection on follicle structure of lymph nodes in neonatal macaques infected with SIVmac251.**

Confocal microscopy of PD-1<sup>+</sup> cells in follicles of lymph nodes in SIV naïve age-matched (A) and SIV-infected neonates post SIV infection (B). CD20, blue; PD-1, green. (C) Histopathological examination of follicles in the lymph nodes of infants with or without SIV infection at birth. The numbers of follicles were decreased and remaining of follicles were primary (lacked germinal center formation) in SIV-infected infants at 21 and 90 dpi, compared with age-matched controls. Severe lymphoid depletion and impaired follicle formation observed throughout SIV infection in infants. All photomicrographs were taken from H&E stained slides at an original magnification of 100 $\times$ . (D) Confocal image analysis of B/T-cell and macrophages in lymph nodes in SIV naïve or SIV-infected infants with ~3 months age, as shown CD20 (green), CD3 (red) positive cells and macrophage (blue).



**Figure 4. Effects of SIV infection on GC Tfh cell and B-cell differentiation in neonatal macaques infected with SIVmac251.**

(A) Changes in GC Tfh cells (CXCR5+PD-1<sup>high</sup> CD4<sup>+</sup> T-cell) in lymph nodes of infants infected with SIV at birth and examined at day 3 (n=3), 7 (n=3), 14 (n=6), 21 (n=5), 28 (n=3) and 2~3 months (n=8) post SIV infection, compared with age-matched uninfected infants (n=35); (B) Percentage of CD20<sup>+</sup> B cells in lymph nodes of infants post SIV infection; (C) Proliferation of B cells in lymph nodes of neonates post SIV infection; and (D and E) changes of resting memory B-cell (IgD+CD27<sup>+</sup>) and memory B-cell in lymph nodes of infants post SIV infection; (F) Levels of plasma anti-SIV gp120 in infants and adults after 3 months SIV infection. \*.# *p* < 0.05, compared with newborn (\*) or age-matched normal infants (#).



**Figure 5. Proinflammatory responses in SIV-infected neonatal macaques.**

Levels of plasma proinflammatory cytokines in infants infected with SIV infection at birth (n=28), compared with age-matched uninfected infants (n=35). \*,#  $p < 0.05$ , compared with SIV naive newborn (\*) or age-matched controls (#). Data are presented as mean  $\pm$  s.e.m.