

ORIGINAL ARTICLE

A phase II study of tivozanib in patients with metastatic and nonresectable soft-tissue sarcomas

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[†]Prior presentations: Presented in part at the 2015 American Society of Clinical Oncology Annual Meeting, Chicago, IL, USA.

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Background: Soft tissue sarcomas (STSs) overexpress vascular endothelial growth factors (VEGF) and VEGF-receptors (VEGFR) activation have been associated with tumor aggressiveness. Tivozanib is a potent small molecule tyrosine kinase inhibitor against VEGFR1–3, with activity against PDGFR α/β and cKIT. The primary endpoint of this study was progression free survival (PFS) rate at 16 weeks. Secondary end points were overall survival (OS), response rate, safety and correlative studies.

Patients and methods: A Simon two-stage phase II trial was performed using tivozanib given orally at 1.5 mg daily, 3 week on 1 week off on a 28 day cycle until disease progression or intolerable toxicity.

Results: Fifty-eight patients were enrolled and treated with tivozanib. Leiomyosarcoma was the most common STS histological type in our cohort (47%) and 27 patients (46%) had received at least 3 lines of therapy prior to study entry. Up to 24 patients (41%) had prior VEGF targeted therapies. Partial response and stable disease were observed in 2 (3.6%) and 30 (54.5%) patients. The 16 week PFS rate was 36.4% [95% confidence interval (CI) 23.7–49.1] and a median PFS of 3.5 months (95% CI 1.8–3). Median OS observed was 12.2 months (95% CI 8.1–16.8). The most frequent all grade toxicities were fatigue (48.3%), hypertension (43.1%), nausea (31%) and diarrhea (27.6%). The most common grade three toxicity was hypertension (22.4%). Correlative studies demonstrate no correlation between the expression of VEGFR 1, 2 or 3, PDGFR α/β or FGF, and activity of tivozanib.

Conclusion: Tivozanib was well tolerated and showed antitumor activity with a promising median PFS and PFS rate at 4 months in a heavily pretreated population of metastatic STSs. Our results support further studies to assess the clinical efficacy of tivozanib in STS.

Clinical Trial Number: NCT01782313

Key words: sarcoma, phase II, tivozanib, tyrosine kinase inhibitor

Introduction

Soft tissue sarcomas (STSs) are malignant tumors of mesenchymal origin with a wide range of pathological and clinical behaviors. Approximately 15 000 cases of soft tissue and bone sarcomas are diagnosed annually in the United States, accounting for approximately 1% of all malignancies [1]. For patients presenting with advanced nonresectable or metastatic sarcoma, palliation is

the main objective of treatment. The prognosis remains dismal for patients with STS treated with doxorubicin alone or in combination with median overall survival ranging from 12 to 16 months [2–5]. Given lack of durable efficacy of chemotherapy for patients with advanced and metastatic disease, clinical trials are considered standard of care for the treatment of STS.

Angiogenesis plays an important role in the control of cancer progression and metastasis [6, 7]. STSs are known to overexpress

vascular endothelial growth factor (VEGF) and VEGF-receptors (VEGFR) 1 and 2 [8, 9]. VEGFR pathway activation has been associated with tumor aggressiveness and chemotherapy resistance in STSs [10–12].

Pazopanib is a tyrosine kinase inhibitor (TKI), which targets VEGFR 1-3 and platelet derived growth factor receptor alpha and beta (PDGFR α/β), among many others [13]. The PALLETTE trial met its primary endpoint for progression free survival (PFS) improvement in patients with metastatic nonadipocytic STSs. [14] This randomized phase III placebo controlled study led to Food and Drug Administration approval of pazopanib for non-adipocytic and non-GIST STSs.

Tivozanib is potent oral TKI, which abrogates the VEGF pathway through inhibition of VEGFR1-3 signaling [15, 16]. Other established targets of Tivozanib include PDGFR α/β and cKIT, albeit at higher concentrations. All targets of this kinase inhibitor have not been clearly identified. Phase I studies demonstrated that tivozanib is well tolerated at dose and schedule of 1.5 mg po daily for 3 weeks followed by 1 week break in 28 day cycles [17, 18]. Herein we report the results of a phase II open label study, which aims to evaluate the antitumor activity and tolerability of tivozanib in patients with metastatic and nonresectable STSs.

Patients and methods

Patients

The study population consisted of patients with metastatic, locally advanced or locally recurrent STS. The patients must have had a minimum of 1 and a maximum of 4 prior therapeutic regimens for recurrent/metastatic disease measurable by Response Evaluation Criteria in Solid Tumors (RECIST) 1.1. [19] Other inclusion criteria included age ≥ 18 years, ECOG performance status < 2 , preserved marrow and organ function including absolute neutrophil count $\geq 1.5 \times 10^9/l$, platelets $\geq 75 \times 10^9/l$, total bilirubin $\leq 1.5 \times$ upper limit of normal (ULN), AST/ALT $\leq 2.5 \times$ ULN, creatinine $\leq 1.5 \times$ ULN, ejection fraction $> 50\%$ or above the institutional limit of normal. Exclusion criteria included uncontrolled hypertension (systolic blood pressure of ≥ 140 mmHg or diastolic blood pressure of ≥ 90 mmHg), and electrocardiogram showing corrected QT interval (QTc) > 480 ms using Bazett's formula (QT Interval/RR interval). The following sarcoma histological subtypes were excluded from the study: alveolar soft-part sarcoma, chondrosarcoma, dermatofibrosarcoma, Ewing sarcoma, GIST, Kaposi sarcoma, mixed mesodermal tumor/carcinosarcoma, osteosarcoma, and other low-grade (grade 1) sarcomas, including well differentiated liposarcoma. Patients with active bleeding or clinically significant gastrointestinal (GI) abnormalities that may increase the risk for GI bleeding were ineligible for participation.

Study design and treatment

The study was an open label single arm phase II clinical trial based on Simon Optimal two-stage design [20]. It was conducted in agreement with the Declaration of Helsinki and the International Conference on Harmonization of Good Clinical Practice guidelines. The study protocol was approved by the institutional review board for each site, and all patients provided written informed consent for their participation. This trial was conducted through the Midwest Sarcoma Trials Partnership (MSTP) and funded by Aveo pharmaceuticals. All patients were treated with tivozanib 1.5 mg oral daily for 21 days followed by 7 days off (cycle = 28 days). Treatment was continued until disease progression, unacceptable toxicity, or until discontinuation per patient preference or physician recommendation.

Assessment of efficacy and adverse effects

The primary end point of this study was to determine PFS rate at 16 weeks to assess the efficacy of tivozanib in advanced and metastatic STS. PFS was defined as the duration of time measured in months from start of treatment to death or progressive disease (PD). CT scans were performed every 2 cycles (8 weeks). In addition to a baseline scan, confirmatory scans were obtained a minimum of 4 weeks following initial documentation of objective response. Tumor response, stability and progression assessments were evaluated in this study using the RECIST criteria, version 1.1 [19]. Safety and drug related toxicities were assessed every 4 weeks at scheduled outpatient visits and at the end of study. The incidence, nature and severity of adverse events (AEs) were determined according to the National Cancer Institute Common Terminology Criteria for Adverse Events (NCICTCAE) version 4. All patients who receive at least one dose of tivozanib were evaluated for this endpoint. Secondary endpoints were overall survival (OS) measured from the first dose of therapy until death from any cause; overall response rate (ORR) defined as sum of partial response (PR) and complete response (CR) rates. The clinical benefit rate (CBR) defined as the ORR summed to the stable disease (SD) rate was also assessed. Patients who completed less than 2 weeks of treatment were replaced in the final analyses and were not evaluable for response. Patients for whom tissue slides and serum samples were available were included in the correlative analysis.

Correlative studies

Five micron sections of pretreatment archival tumor tissue were evaluated for VEGFR1, VEGFR2, VEGFR3, PDGFR α and PDGFR β expression by standard immunohistochemistry. Peripheral blood was collected at baseline and at 30 days of treatment to assess for circulating levels of VEGF, PDGF and FGF2 by standard Elisa measurements.

Immunohistochemistry

The VEGFR1 (PA5-32408, Thermo Scientific Fredrick, MD, USA) VEGFR2 (PA5-16487 Thermo Scientific Fredrick, MD, USA), VEGFR3 (PA1-37712, Thermo Scientific Fredrick, MD, USA), PDGFR α (7HCLC, Thermo Scientific Fredrick, MD, USA) and PDGFR β (06-495, Millipore, Temecula, CA, USA) antibodies were used to determine protein expression using standard immunohistochemical (IHC) immunoperoxidase protocols. Slides were blindly assessed by two investigators that were blind to the specific antibody and the clinical data. Slides were scored on a 0–3+ standard scoring scale with 0 being negative, +1 being 1–25% staining, +2 being 26–50% staining and +3 being 50% or greater staining. Kaplan–Meier analysis was then performed using MedCalc Statistical Software version 15.11.1 (MedCalc Software bvba, Ostend, Belgium; <https://www.medcalc.org>; 2015).

Elisa analysis

Total VEGF (ENZ-KOT156-001 (Enzo, Farmingdale, NY USA), PDGFR α (EHPDGFRBA, Thermo Scientific, Fredrick, MD, USA), PDGFR β (EHPDGFRB, Thermo Scientific, Fredrick, MD, USA) and FGF2 (AB999-FGF Basic (FGF2), Cambridge, UK) were measured by Elisa from serum using by manufactures protocol. The difference between day 30 and day 1 was plotted against days on trial and a linear regression was performed using MedCalc Statistical Software version 15.11.1 (MedCalc Software bvba, Ostend, Belgium; <https://www.medcalc.org>; 2015).

Statistical analyses

Based on historical controls, a PFS of $> 40\%$ at 4 months is considered promising for a second line STS therapy, and a 4 month PFS of $< 20\%$ is considered not promising [21]. The type I error was set at 0.05 and type II error was set at 0.10. If 5 or more of the first 19 patients were alive and progression-free at 4 months, the trial would continue accrual to a total of 54 evaluable patients. After full accrual, 16 or more patients alive and

Table 1. Demographics and disease characteristics of enrolled patients

| Characteristic | Patients (n = 58) | |
|----------------------------|-------------------|------|
| | n | % |
| Sex | | |
| Male | 24 | 41.4 |
| Female | 34 | 58.6 |
| Age, years | | |
| Median | 56.5 | — |
| Range | 21–82 | — |
| ECOG | | |
| 0 | 42 | 72.4 |
| 1 | 16 | 27.6 |
| Histology | | |
| Leiomyosarcoma | 27 | 46.6 |
| MPNST | 5 | 8.6 |
| Liposarcoma | 4 | 6.9 |
| Angiosarcoma | 3 | 5.2 |
| Other | 19 | 32.8 |
| Number of prior treatments | | |
| 1 | 20 | 34.5 |
| 2 | 11 | 19.0 |
| 3 | 13 | 22.4 |
| 4 | 14 | 24.1 |
| Prior anti-VEGF therapy | 24 | 41.4 |

VEGF, endothelial growth factors and receptors; MPNST, malignant peripheral nerve sheath tumor.

progression-free at 4 months would indicate a true 4 month PFS of 40% or more. The probability of stopping the study early is 67% under the null hypothesis.

With a 4 month PFS of 40%, the median PFS may be expected to be 3.6 months. A sample of 54 patients evaluable for response would have 80% power to detect a median progression-free survival of 2.3 months versus 3.6 months assuming a one tailed test and a Type I error rate of 5%. Time to event variables (PFS and OS) distributions was estimated using Kaplan–Meier curves. All other patients will be evaluable for toxicity only.

Results

Patients and characteristics

From February 2013 until January 2015, 58 patients were enrolled in the study and 55 were evaluable for response to treatment. Three patients were excluded from the efficacy analysis due to withdrawal of tivozanib within 14 days (stroke, gastrointestinal hemorrhage and posterior reversible encephalopathy syndrome). Forty-two patients had preserved PS (ECOG 0) and 27 had received at least 3 lines of prior treatment for metastatic or advanced STSs. Demographics and tumor characteristics are described in Table 1. A median number of 3 cycles (range 0–28) of tivozanib was administered.

Responses, PFS and OS

Seven of the first 19 patients were alive and progression-free at 4 months allowing expansion of accrual to 58 patients. With a

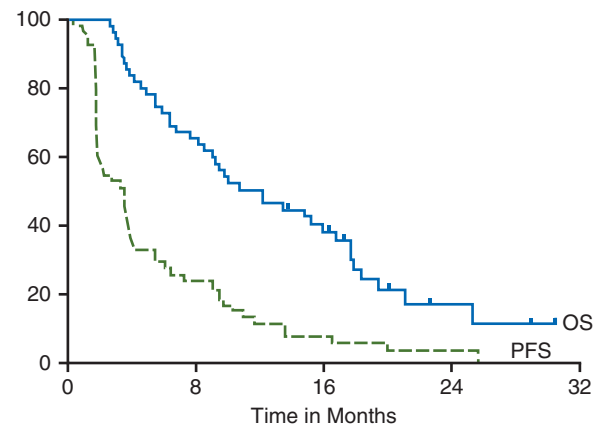


Figure 1. Kaplan–Meier curve of progression free survival (—) and overall survival (---) for all evaluable patients on study (n = 58). Median progression free survival was 3.5 months, (95% CI 1.8–3), and the median OS was 12.2 months, (95% CI 8.1–16.8).

median follow-up time of 9.6 months (range 0.9–30.5), of the 55 patients evaluable for progression, 19 patients were alive and progression free at 4 months with a 4-month PFS rate of 36.4% (95% CI 23.7–49.1). The endpoint to declare the true rate of being alive and progression-free at 4 months to be at least 40%, as given by the Simon design, was met. The estimated median PFS was 3.5 months, (95% CI 1.8–3). The median OS was 12.2 months, (95% CI 8.1–16.8) (Figure 1).

PR and SD were observed in 2 (3.6%) and 30 (54.5%) patients with clinical benefit seen in 32 participants (58.2%). The two partial responses were observed in patients with leiomyosarcoma and MPNST. Stable disease was seen in 14 patients with leiomyosarcoma, 3 with liposarcoma, 2 with synovial sarcoma, 2 with pleomorphic; the remaining 9 patients with stable disease were distributed among other histological subtypes. No CR was appreciated. SD \geq 48 weeks was achieved in 7 patients. Study participants with history of prior treatment with anti-VEGF pathway therapy (bevacizumab, pazopanib, sorafenib and/or sunitinib) had a 16 week PFS rate of 38.1% compared to 35.3% among anti-VEGF naive participants ($P=0.98$). Response was not related to number of prior treatments (12/19 PR/SD [63.2%] with 1 prior treatment versus 20/36 (55.6%) with 2–4 prior treatments, $P=0.77$ by Fisher's exact test).

Safety

Tivozanib was well tolerated with four patients discontinuing treatment due to toxicity (posterior reversible encephalopathy syndrome, reduced ejection fraction, elevation of alkaline phosphatase, and fatigue). There were no grade five toxicities. The most frequent all grade toxicities were fatigue, hypertension, nausea and diarrhea (Table 2). The most common treatment related grade 3 events were hypertension, decreased ejection fraction, and fatigue. Overall grade 3, AEs were observed in 25 patients (43%) treated with tivozanib. With the exception of hypertension, all grade 3 AEs had a frequency of the less than 10% of the cohort (Table 3).

Correlatives

To determine if there was a correlation between response to tivozanib and VEGFR expression, we performed IHC analysis

Table 2. Frequency of treatment-related adverse events $\geq 10\%$

| Adverse event | Toxicity grade | | | | | |
|--------------------------------------|----------------|------|-----|------|-------|------|
| | 1–2 | | 3–4 | | Total | |
| | N | % | N | % | N | % |
| Fatigue | 24 | 41.4 | 4 | 6.9 | 28 | 48.3 |
| Hypertension | 11 | 19 | 14 | 24.1 | 25 | 43.1 |
| Nausea | 18 | 31 | 0 | 0.0 | 18 | 31.0 |
| Diarrhea | 16 | 27.6 | 0 | 0.0 | 16 | 27.6 |
| Headache | 13 | 22.4 | 0 | 0.0 | 13 | 22.4 |
| Hyperglycemia | 12 | 20.7 | 0 | 0.0 | 12 | 20.7 |
| Anorexia | 12 | 20.7 | 0 | 0.0 | 12 | 20.7 |
| Lymphopenia | 10 | 17.2 | 1 | 1.7 | 11 | 19.0 |
| Hoarseness | 11 | 19.0 | 0 | 0.0 | 11 | 19.0 |
| Aspartate aminotransferase increased | 9 | 15.5 | 1 | 1.7 | 10 | 17.2 |
| GGT increased | 10 | 17.2 | 0 | 0.0 | 10 | 17.2 |
| Vomiting | 9 | 15.5 | 0 | 0.0 | 9 | 15.5 |
| Dyspnea | 9 | 15.5 | 0 | 0.0 | 9 | 15.5 |
| Cough | 9 | 15.5 | 0 | 0.0 | 9 | 15.5 |
| Alkaline phosphatase increased | 8 | 13.8 | 1 | 1.7 | 9 | 15.5 |
| Myalgia | 8 | 13.8 | 1 | 1.7 | 9 | 15.5 |
| Constipation | 8 | 13.8 | 0 | 0.0 | 8 | 13.8 |
| Thrombocytopenia | 7 | 12.1 | 1 | 1.7 | 8 | 13.8 |
| Dyspnea | 8 | 13.8 | 0 | 0.0 | 8 | 13.8 |
| Weight loss | 6 | 10.3 | 1 | 1.7 | 7 | 12.1 |
| Oral mucositis | 6 | 10.3 | 0 | 0.0 | 6 | 10.3 |
| Hyponatremia | 6 | 10.3 | 0 | 0.0 | 6 | 10.3 |

GGT, γ -glutamyltransferase.

on archival tumor tissue. We first examined VEGFR1, 2, and 3 expressions and found no correlation between PFS and expression levels (Figure 2A–C). Since PDGFR α/β has also been implicated in sarcoma response, we then tested PDGFR α/β expression and again demonstrated no correlation between expression and response (Figure 2D and E). Finally, given tumor heterogeneity, we attempted to associate response with circulating levels of VEGF, PDGF α , PDGF β and FGF2. Again we found no correlation between PFS and circulating ligands (Figure 2F–I).

Discussion

The primary objective of this study was to assess the antitumor efficacy of tivozanib in patients with metastatic STS. This was a histologically heterogeneous cohort with undifferentiated sarcomas comprising 16% of the patients and up 20% with undefined tumor histology. This trial enrolled a heavily pretreated population with progressive STS, in which 46% of the patients had at least 3 lines of previous systemic therapy. Tivozanib was well tolerated with manageable grade 3 toxicities, most common being hypertension (22.4%) and reduced ejection fraction (8.6%). The toxicity profile was in line with expected results from studies in renal cell carcinomas [22, 23].

The estimated 16-week PFS rate in our study was 36.4% (95% CI 23.7–49.1) and the study met its primary endpoint for

Table 3. Frequency of treatment related all grade 3–4 AEs

| Toxicity event | Grade 3 | | Grade 4 | |
|--|---------|------|---------|-----|
| | n | % | n | % |
| Hypertension | 13 | 22.4 | 1 | 1.7 |
| Ejection fraction decreased | 5 | 8.6 | 0 | 0.0 |
| Fatigue | 4 | 6.9 | 0 | 0.0 |
| Anemia | 1 | 1.7 | 0 | 0.0 |
| Stomach pain | 1 | 1.7 | 0 | 0.0 |
| Lower gastrointestinal hemorrhage | 1 | 1.7 | 0 | 0.0 |
| Gait disturbance | 1 | 1.7 | 0 | 0.0 |
| Alanine aminotransferase increased | 1 | 1.7 | 0 | 0.0 |
| Alkaline phosphatase increased | 1 | 1.7 | 0 | 0.0 |
| Aspartate aminotransferase increased | 1 | 1.7 | 0 | 0.0 |
| Lymphocyte count decreased | 1 | 1.7 | 0 | 0.0 |
| Platelet count decreased | 1 | 1.7 | 0 | 0.0 |
| Weight loss | 1 | 1.7 | 0 | 0.0 |
| Hypokalemia | 1 | 1.7 | 0 | 0.0 |
| Hypophosphatemia | 1 | 1.7 | 0 | 0.0 |
| Myalgia | 1 | 1.7 | 0 | 0.0 |
| Reversible posterior leukoencephalopathy syndrome | 1 | 1.7 | 0 | 0.0 |
| Urinary retention | 1 | 1.7 | 0 | 0.0 |
| Pneumothorax | 1 | 1.7 | 0 | 0.0 |

AEs, adverse events.

rejection of null hypothesis. PFS rate was chosen as primary endpoint of this study given its known positive correlation between PFS rate and OS improvement in STSs phase II trials [24]. We also considered the 16-week time point would discriminate between drug efficacy and slow disease growing disease when compared to earlier assessments of disease control.

Results of the EORTC database analysis of 380 cases of previously treated STSs showed that a 12-week PFS rate of 39% in phase II trials indicate an active drug [21]. The corollary to this paradigm is that tivozanib showed promising antitumor activity in our study with a Kaplan–Meier curve estimated 12-week PFS rate of 52.7% (95% CI 39.6–65.8). Furthermore, the phase II study of pazopanib in pretreated patients with STSs showed a 12-week PFS rate of approximately 40% in STSs prior to meeting its primary end point of PFS improvement in randomized phase III trial (hazard ratio of 0.31, 95% CI 0.24–0.40; $P < 0.0001$) [14, 25]. With respect to median PFS, the PALETTE trial showed a median progression-free survival of 4–6 months (95% CI 3.7–4.8) for pazopanib [14], while in our study the median PFS of tivozanib was 3.5 months, (95% CI 1.8–3). Similarly, other phase II studies with TKIs (sorafenib, sunitinib and cediranib) showed promising antitumor activity in STSs indicating kinase signaling abrogation correlates with improvement of outcomes [26–28]. It is important to highlight that in exploratory analysis patients with history of previous exposure to anti-VEGF treatment derived benefit from tivozanib treatment and patients progressing on anti-angiogenic treatment can be considered for tivozanib treatment. This benefit may be a reflection of the multiple targets being inhibited by these individual agents.

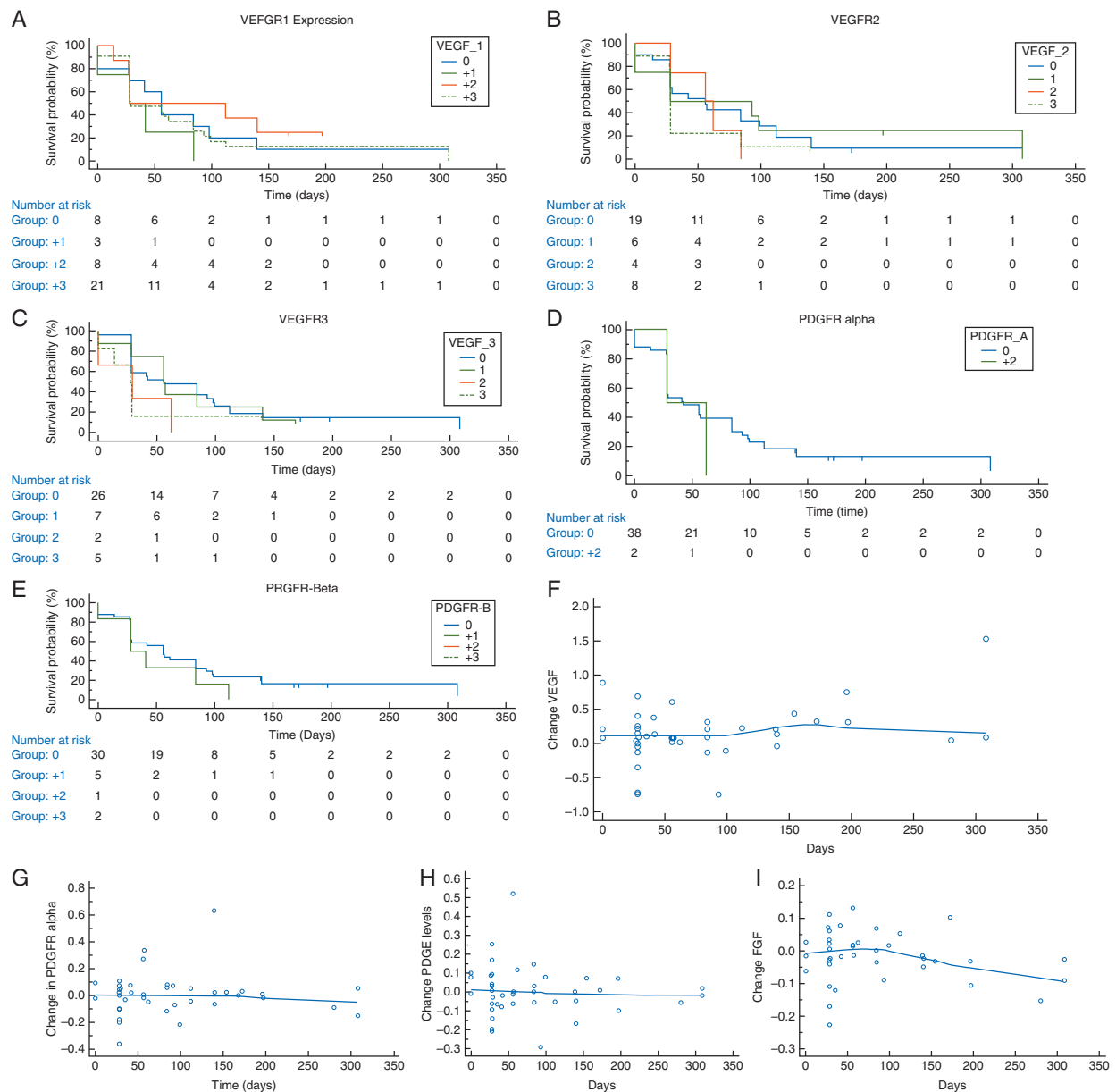


Figure 2. Kaplan-Meier curves demonstrating no correlation between expression of (A) VEGFR1 (B) VEGFR2 (C) VEGFR3 (D) PDGFR α (E) PDGFR β with days on trial. The changes in circulating expression between day 30 minus day 1 of (F) VEGF (G) PDGFR α (H) PDGFR β (E) VEGF2 did not correlate with time on trial.

The correlative analysis demonstrated no association between response expression of VEGFR 1,2,3, PDGFR α/β as seen by IHC, or circulating VEGF, PDGF or FGF levels. With over 90 receptor tyrosine kinases and the promiscuity of most TKIs across the kinome, our finding that tivozanib response did not correlate with the expected targets of VEGFR 1,2 or 3 is not all that surprising. Given the large number of subtypes of sarcoma (75+), it would be unusual for all the subtypes of sarcoma to be dependent on the same TKIs. Additionally, tivozanib may be inhibiting a key kinase that has not been clearly implicated in STS at this time. Alternatively, there are possible limitations to the use of archival tissue and IHC for the detection of a biomarker which could alter the interpretation of the IHC presented as correlates [29–31]. First, protein expression, as detected by IHC, must reach a threshold of expression for detection that may well

above that needed for function, as there are interlaboratory variation that seen with the same antibodies. Second, even with modern antigen retrieval methods, cross linking by different methods at different sites may mask signal. Finally, even with well accepted antibodies, we are unable to validate that they are hitting their protein of interest in fixed section due to cross-reactivity. A good example of this is from the Olartumab trial where the first antibody used was not specific to PDGFR α , and upon replacement and reinterpretation with a second antibody there was still no correlation between PDGFR α expression and outcomes {Tap, #2295}. Future developments of TKIs in STS depend on either elucidating the oncogenic TK or broadening the number of tyrosine kinases that are inhibited by a molecule without increasing toxicity. Further research in this area is needed.

In conclusion, tivozanib demonstrated antitumor activity in patients with metastatic refractory STS and was well tolerated. Henceforth, further clinical trials are needed to assess the definitive clinical activity of tivozanib. For instance, a maintenance design trial after metastatectomy or completion of standard chemotherapy would allow randomization to placebo. Currently no TKIs are approved for the treatment of liposarcoma. This study showed SD as the best result in 3 of the 4 liposarcoma patients, which may merit further exploration in an expansion cohort. Finally, looking at novel combinations with this agent is of particular interest inasmuch as it could lead to significant improvement in outcomes.

Acknowledgements

We would like to thank the Northwestern Memorial Foundation DeBoer Family Research Initiative for funding the correlatives in this trial.

Funding

Supported by AVEO Oncology, One Broadway, 14th Floor Cambridge, MA 02142 USA and Northwestern Memorial Foundation, DeBoer Family Sarcoma Research Grant Initiative (no grant number is applicable).

Disclosure

MA: Consulting or Advisory Role: EMD Serono, Janssen Pharmaceuticals, Eisai, Novartis. Speaker's Bureau: Novartis, GlaxoSmithKline. Mohammed Milhem: Consulting or Advisory Role: EMD Serono, Amgen, Eisai, Novartis, Genentech, BMS. Scott Okuno: Consulting or Advisory Role: EMD Serono. Brian Van Tine: Consulting or Advisory Role: Advaxis, GlaxoSmithKline, EMD Serono, Novartis, Lilly/ImClone, Johnson & Johnson, Caris Life Sciences, DFINE, Threshold Pharmaceuticals, Karyopharm Therapeutics. Speaker's Bureau: GlaxoSmithKline, Caris Life Sciences, DFINE, Johnson & Johnson. Research Funding: Polaris, Morphotek. All remaining authors have declared no conflicts of interest.

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