REVIEW

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Precancer in ulcerative colitis: the role of the field effect and its clinical implications

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Abstract

Cumulative evidence indicates that a significant proportion of cancer evolution may occur before the development of histological abnormalities. While recent improvements in DNA sequencing technology have begun to reveal the presence of these early preneoplastic clones, the concept of 'premalignant field' was already introduced by Slaughter more than half a century ago. Also referred to as 'field effect', 'field defect' or 'field cancerization', these terms describe the phenomenon by which molecular alterations develop in normal-appearing tissue and expand to form premalignant patches with the potential to progress to dysplasia and cancer. Field effects have been well-characterized in ulcerative colitis, an inflammatory bowel disease that increases the risk of colorectal cancer. The study of the molecular alterations that define these fields is informative of mechanisms of tumor initiation and progression and has provided potential targets for early cancer detection. Herein, we summarize the current knowledge about the molecular alterations that comprise the field effect in ulcerative colitis and the clinical utility of these fields for cancer screening and prevention.

Introduction

Cancers evolve through an iterative process of mutation, selection and clonal expansion [\(1\)](#page-6-0). Most tumor types take multiple years to develop, during which time preneoplastic cells clonally expand and progressively acquire the molecular alterations necessary to allow them to fully escape growth control checkpoints and invade surrounding tissues. Precisely how much of this process occurs prior to the development of morphologically recognizable malignancy is unknown, but it has been estimated that cancer cells accumulate about half of their mutational load before tumor initiation ([2\)](#page-6-1). This implies that a substantial proportion of a cancer's development entails precancerous clonal evolution within histologically normal-appearing tissue.

During the last several years, new and extremely sensitive DNA sequencing technologies have begun to directly reveal the presence of these early clones [\(3](#page-6-2),[4\)](#page-6-3). However, the notion that preneoplastic changes precede cancer was recognized more than a half century ago. Prior to even the modern understanding of DNA as the genetic material, Slaughter *et al.* proposed

the concept of field cancerization to describe "preconditioned epithelium activated over an area in which multiple cell groups undergo a process of irreversible change towards cancer". This preneoplastic condition was originally described in oral carcinoma on the basis of subtle morphological abnormalities in surrounding tissue. It was postulated that this abnormal "field" underlied the relatively common finding of multiple synchronous primary tumors and the high frequency of local recurrence in head and neck squamous cell cancers, despite apparent complete tumor resection ([5](#page-6-4)).

Although Slaughter and colleagues recognized field cancerization as an important clinical phenomenon, they lacked a mechanistic explanation. The following decades revealed examples of field cancerization associated with many other epithelial cancers and demonstrated that the fields could be characterized by defined molecular aberrations present in histologically normal tissue ([6](#page-6-5)). Contemporary molecular tools have provided important insights into the basis of the cancer-prone phenotype

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of these fields. In a variety of examples ([6](#page-6-5)[,7](#page-6-6)), peritumoral fields were shown to encompass populations of clonally related cells that bear some, but not all, of the genetic changes of the tumor itself. The relative ease with which a second tumor or tumor relapse can occur within such a field simply reflects the relatively low evolutionary hurdle for one of these partially dysregulated cells to acquire the last molecular change(s) needed for full-blow malignancy.

While the specific nature of the fields, and the molecular mechanisms that initiate them, are likely to be different in different tissue types, the field concept illustrates the general notion of multistep carcinogenesis, wherein ancestral populations of preneoplastic cells can both precede and co-exist with a cancer ([6\)](#page-6-5). Such a field effect may be thought of as an early stage of the neoplastic process where selected mutant cells can incrementally enhance growth properties. Because these preneoplastic populations are often morphologically normal in appearance and may be very small, they frequently go undetected in sporadic tumors. Several preneoplastic diseases, however, often exhibit large preneoplastic fields and offer an excellent opportunity to study the initial stages of tumor development. Ulcerative colitis (UC) and Barrett's esophagus (BE) are among the best characterized. Fields can expand several centimeters in BE $(8,9)$ $(8,9)$ $(8,9)$ and practically the entire length of the colon (-150 cm) in UC ([10](#page-6-9)). In both diseases, chronic inflammation generates extensive damage to epithelial cells, leading to increased cell replication and/or direct DNA damage. Subsequent mutations that alter growth control genes enable clonal expansions, which result in patches of cells that share identical mutations. In some cases, a single genetic change can be found in all cells within an entire field many centimeters in size, indicating a single clonal founder cell. In other cases, multiple independent clones with distinct genetic signatures evolve simultaneously in response to the inflammatory environment.

A further advantage of the study of these preneoplastic diseases is that, in addition to non-dysplastic fields and overt cancer, intermediate degrees of dysplasia are routinely found during endoscopic surveillance. These intermediate stages help delineate with even finer precision the multistep sequence of tumor progression and provide a unique longitudinal window of opportunity to study early cancer and its patterns of evolution ([11,](#page-6-10)[12](#page-6-11)).

In this review, we focus on UC as a model of inflammationmediated tumorigenesis in which the field effect has been extensively characterized. The search terms used to query the literature include field effect, field defect and field cancerization in UC. We prioritized a broad discussion on the concept of field effect and its implications as opposed to a detailed recollection of articles describing precancerous fields. We first describe the disease's epidemiology, histologic sequence of neoplastic progression and the types of molecular alterations that have, thus far, been identified in these fields. We then discuss the clinical implications of these fields with a special focus on their use as biomarkers of early or imminent cancer. Field effects have been identified in a growing variety of cancers including: breast

[\(13,](#page-6-12)[14](#page-6-13)), head and neck [\(15\)](#page-6-14), bladder ([16,](#page-6-15)[17](#page-6-16)), colorectal [\(18\)](#page-6-17), gastric [\(19–21\)](#page-6-18), prostate ([22](#page-7-0)[,23](#page-7-1)), lung [\(24,](#page-7-2)[25](#page-7-3)), skin ([26–28](#page-7-4)), liver [\(29](#page-7-5)), ovarian ([30](#page-7-6)) and cervical ([31](#page-7-7)). Although UC is responsible for only a small fraction of the global burden of colon cancer, we posit that the lessons learned from studying tumor progression in this unique disease can be applied to the understanding, prevention and clinical management of many other malignancies associated with field effects.

Colorectal cancer risk in UC

Ulcerative colitis is one of the two major types of inflammatory bowel disease and is characterized by uninterrupted stretches of chronic inflammation of the colon mucosa. It affects roughly one million patients in the United States and its prevalence is increasing worldwide ([32](#page-7-8)[,33](#page-7-9)). The cause of UC remains to be fully determined, but a preponderance of evidence suggests that it is the result of a complex interaction between a dysregulated host immune system, the gut microbiome and diet ([34–38\)](#page-7-10). A significant aspect of the management of UC is that it elevates the risk of colorectal cancer (CRC) ([39](#page-7-11)) and cancer-related deaths, although improvements in surveillance methods appear to have decreased both the incidence ([40\)](#page-7-12) and mortality [\(41](#page-7-13)[,42](#page-7-14)) of CRC in UC in recent years. The increased risk of CRC is attributable to multiple aspects of chronic inflammation and immune dysregulation [\(43,](#page-7-15)[44\)](#page-7-16). Patients whose inflammation is more severe ([45\)](#page-7-17) and more extensive [\(39,](#page-7-11)[46\)](#page-7-18) are more likely to develop CRC. Other risk factors include prolonged disease duration [\(46–49](#page-7-18)), concurrent diagnosis of primary sclerosing cholangitis, an autoimmune disorder of the biliary system [\(50–52](#page-7-19)), a family history of sporadic CRC [\(44,](#page-7-16)[53,](#page-7-20)[54](#page-7-21)), early age of UC onset [\(55–57](#page-7-22)) and extent of dysplasia ([58](#page-7-23)).

Colorectal cancer development in the setting of UC differs from that of sporadic CRC in several respects ([59](#page-7-24)). Histologically, adenomatous polyps typically precede sporadic CRC whereas UC-associated CRC (UC-CRC) often arises from flat dysplasia. Sporadic CRC is believed to be initiated by mutations in *APC*, followed by mutations in *KRAS* and *TP53* ([60](#page-7-25)), although it is currently appreciated that this progression does not necessary follow a linear sequence ([61\)](#page-7-26). In UC, *TP53* mutations appear to be the initiating mutation in most lesions, although mutations in *KRAS* have also been identified as a founder event in a minority of cases ([62\)](#page-7-27). Recently, next generation sequencing-based studies confirmed a higher frequency of *TP53* mutations and lower frequency of *APC* and *KRAS* mutations in UC-CRC compared to sporadic CRC [\(63](#page-7-28),[64\)](#page-7-29). Epidemiologically, the mean age for CRC development in the general population is 64 ([65](#page-7-30)) versus 43 years for UC-CRC ([66](#page-7-31)). In addition, the prognosis of UC-CRC is poorer than sporadic CRC, although it is unclear if this reflects the tumor biology itself, the average stage of disease at diagnosis, or other health challenges faced by UC patients ([67](#page-7-32)) including those related to immunosuppressive therapies.

A common trait between sporadic and UC-associated CRC appears to be the pivotal role of an abnormal intestinal microbiota as an initiating mechanism. In the last 10 years, a large body of evidence has accumulated linking CRC with a dysbiotic gut microbiota and dysregulated immunity, both in the context of sporadic CRC and inflammatory bowel diseases ([68–70](#page-7-33)). A dysbiotic microbiota contributes to tumor progression directly by generating reactive metabolites and carcinogens, and indirectly by disrupting the epithelial cell barrier in the host [\(70\)](#page-7-34). This causes local intolerance to antigens of normal flora and leads to dysregulation of the adaptive and innate immune response and subsequent chronic inflammation [\(68](#page-7-33)). Diet appears to be an important contributor in this process, as it has a major influence on the gut flora and is transformed into metabolites that can have protective or promoting roles in tumor progression ([71\)](#page-7-35). In the case of patients with UC, genetic predisposition might contribute to a dysbiotic gut flora, causing the extensive chronic inflammation characteristic of this disease ([68\)](#page-7-33) and increasing the risk of tumor progression through the extensive cell proliferation required in repeated cycles of wound and repair ([12](#page-6-11)[,72\)](#page-7-36).

The sequence of tumor progression in UC

Tumor progression in UC is clinically described as a multistep process defined by increasing degrees of histological abnormalities, progressing from no dysplasia, to low-grade dysplasia, high-grade dysplasia and finally cancer [\(Figure 1\)](#page-2-0). Although often represented linearly and sequentially, it is important to recognize that tumor evolution is usually branched, not linear [\(73\)](#page-8-0) and, in the case of UC tumorigenesis, not every dysplastic stage may be observed. This sequence might also occur independently in multiple locations in the colon. It is well established that in UC patients, multiple areas of the colon can simultaneously develop dysplastic changes and that independent synchronous cancers can evolve in parallel within these fields ([74](#page-8-1)).

Recent mounting evidence has led to the appreciation that clinical patterns of progression to CRC in UC may differ based on the age of disease onset. UC has two peaks of incidence: early onset occurs between 25 and 35 years of age while late onset arises between 55 and 65 years of age [\(55](#page-7-22)[,75\)](#page-8-2). Clinical studies have recognized for some time that patients with late onset tend to have less extensive disease and a lower risk of CRC development ([76–78](#page-8-3)). Interestingly, Brackmann *et al.* [\(57,](#page-7-37)[58\)](#page-7-23) reported that among UC patients with CRC, those with late age of onset tended to develop cancer without widespread dysplasia. Conversely, patients with early age of onset typically exhibited extensive dysplasia at CRC diagnosis. The presence of extensive dysplasia was also associated with longer disease duration prior to CRC development, higher probability of presenting with active inflammation [\(57](#page-7-37)) and worse CRC prognosis [\(58\)](#page-7-23). These findings suggested that the development of CRC in patients with early onset disease is related to long exposure to inflammation and subsequent development of dysplasia. However, in patients with late onset of

disease, CRC might arise independently of observable dysplasia or, alternatively, tumors might be fast growing and displace their original localized dysplastic fields in a clonal sweep. Our group has identified molecular evidence further supporting fundamental differences between early and late onset disease. We demonstrated that UC-cancer patients with early onset of disease have extensive fields of molecular abnormalities throughout their colons compared to UC-cancer patients who have late onset of disease. Specifically, large clonal populations with shortened telomeres could be found in multiple non-dysplastic areas of the colon of early onset UC Progressors (patients with high-grade dysplasia or cancer), but this was almost never the case in the Progressors who had late onset of UC [\(79\)](#page-8-4). While more research is needed to better distinguish these two modes of CRC progression in UC, their recognition as distinct entities has important clinical implications. Since patients with late onset of disease appear to develop cancer without a widespread field effect, these patients might benefit from partial colon resection instead of full colectomy, which is the current standard-of-care. The ability to safely use segmental resection of the colon in older UC patients would spare them significant morbidity.

Molecular alterations characterize preneoplastic fields in UC

The field effect was originally described based on regions of tissue sharing histological abnormalities [\(5](#page-6-4)), but the concept was later broadened to include clonal molecular abnormalities in otherwise histologically normal-appearing tissue, as it was recognized that multiple molecular changes produce Slaughter's original observation [\(6\)](#page-6-5). More recently, the term has been used more broadly to describe an "etiologic" field effect, which takes into consideration the contribution of environmental and genetic factors that produce cancer susceptibility ([80](#page-8-5)). The genetic component of UC is well established as well as the critical role of an altered microbiome, which deregulates immunity and triggers inflammation. While the presence of these factors is not indicative of cancer progression, they contribute to the neoplastic process by producing a predisposing microenvironment ([80\)](#page-8-5).

Figure 1. Proposed model of carcinogenesis in UC. This model integrates the etiological, molecular and dysplastic field effects with known cellular and molecular events that contribute to the different stages of carcinogenesis in UC. The arrow indicates the temporal direction of dysplastic progression and the color gradient reflects the increasing risk of cancer at the different stages of the process. Cancer arises within dysplastic and/or molecular fields. Molecular fields precede dysplastic fields and are more extensive, thus offering an excellent opportunity for precancer detection.

[Figure 1](#page-2-0) illustrates the three conceptual types of field effects etiological, molecular and morphological—in the context of UC cancer progression. These fields represent increasing levels of cancer susceptibility that are operative in the colons of patients with UC, each level contributing to the development of the next. However, only molecular and dysplastic fields are indicative of an underlying preneoplastic process. Here we focus on molecular fields due to their importance to understand tumor progression and their potential for early cancer detection.

In this section, we first summarize the genetic alterations that have provided evidence of clonal field effects in UC. These alterations include somatic point mutations, chromosomal alterations and passenger mutations in polyguanine tracts. We then describe a second set of molecular alterations that define preneoplastic fields in UC without an implicit assumption of clonality. These include telomere shortening, mitochondrial alterations and epigenetic changes. Some of these alterations have provided meaningful clues in terms of the underlying molecular mechanisms that may drive tumor evolution in UC and for a more extensive review on that topic the readers are referred to a recent excellent article by Choi *et al*. ([12\)](#page-6-11). Of note, many of the molecular alterations discussed here, both clonal and nonclonal, harbor potential as UC cancer biomarkers. However, our goal is not the description of the biomarker value of each alteration, which has been previously done by us and others ([81–83\)](#page-8-6), but the review of the evidence for a field effect based on those alterations and the discussion of the clinical applicability of those fields for optimal cancer surveillance.

Clonal alterations

Point mutations

Mutations in *TP53* are the most common and best characterized single nucleotide variants in UC-associated preneoplastic fields. *TP53* mutations ([84](#page-8-7)) and loss of heterozygosity ([84](#page-8-7)[,85](#page-8-8)) occur early in UC neoplastic development. UC patients with *TP53* mutations in non-dysplastic biopsies are four times more likely to progress to dysplasia and cancer [\(86\)](#page-8-9). Additionally, there is a strong correlation between mutations in highly conserved regions of *TP53* and the histological progression from lowgrade dysplasia to cancer in UC patients ([82](#page-8-10)). In an early study by our group, we examined alterations in *TP53* by fluorescence in situ hybridization (FISH) in order to characterize the spatial pattern of these mutational events in individual cells within crypts ([87\)](#page-8-11). A detailed analysis of multiple crypts demonstrated that most *TP53* FISH abnormalities are shared by all the crypts within a colonic region, indicating monoclonality. The observation of the same *TP53* alterations in the two branches of a crypt in fission strongly suggested that clonal expansion of mutated cells occurs by crypt fission and provided direct observation of how clonal fields propagate themselves in UC. In a later study, Leedham *et al.* [\(62](#page-7-27)) identified several molecular alterations, including *TP53* and *KRAS* mutations, in individual, microdissected dysplastic crypts and adjacent non-dysplastic crypts. In one UC Progressor case, the same founding mutation was found in spatially separated tumors 14 cm apart from each other and in the nondysplastic surrounding tissue, clearly demonstrating field cancerization [\(62](#page-7-27)). The authors also found clonally disparate tumors in another UC Progressor, supporting the idea that a common etiologic risk factor, i.e. inflammation, has sufficient carcinogenic potential to facilitate the emergence of multiple synchronous clonal fields throughout the colon.

Although it is rapidly becoming the new technical standard, we are aware of only two studies that have yet applied Next Generation Sequencing to the study of somatic mutations in

UC, and both were limited to the interrogation of tumors, rather than of preneoplastic fields [\(63,](#page-7-28)[64\)](#page-7-29). While these reports provide a useful baseline from which to compare the mutational landscape of sporadic versus UC-associated CRC, additional knowledge remains to be generated from applying this technology to a comprehensive study of the spatial and temporal pattern of mutation accumulation in preneoplastic fields.

Aneuploidy and chromosomal alterations

For more than 25 years, aneuploidy has been recognized as an early occurring alteration in UC carcinogenesis, often found even before the appearance of dysplasia [\(88,](#page-8-12)[89](#page-8-13)). The presence of aneuploid fields is associated with a higher risk of progression to dysplasia [\(90\)](#page-8-14), histological grade [\(90\)](#page-8-14), disease duration [\(90\)](#page-8-14) and the presence of primary sclerosing cholangitis ([91\)](#page-8-15). More sensitive technologies, including comparative genomic hybridization [\(92\)](#page-8-16) and FISH, revealed that chromosomal alterations occur early in UC tumorigenesis, often preceding histologically defined dysplasia [\(93\)](#page-8-17) and affecting the entirety of the colon [\(10\)](#page-6-9). The relative timing and frequency of numerical chromosomal alterations in UC differs significantly from those of sporadic CRC, supporting the conclusion that neoplastic progression follows distinct pathways in these diseases [\(93\)](#page-8-17). More recently, CGH-array studies have demonstrated that in UC Progressors, chromosomal alterations can be found in distant normal-appearing biopsies [\(94\)](#page-8-18) and the same alteration can be shared by multiple biopsies spanning most of the length of the colon [\(95\)](#page-8-19). This indicates that the field effect can be very large and raises the fundamental question of how these clones propagate. The fields also appeared to be graded in nature, as copy number alterations increased in frequency and magnitude with proximity to dysplasia.

While the mechanisms for localized clonal expansions have been well characterized ([12](#page-6-11)), it is unclear how a clone can generate the extensive pancolonic fields described above. Clones originate from stem cells that expand to occupy the whole crypt via niche succession and then crypts laterally expand by crypt-fission to generate monoclonal patches ([12](#page-6-11)). Niche succession might occur by neutral drift [\(96\)](#page-8-20), but the expansion of certain mutations beyond a crypt appears to involve selection $(97,98)$ $(97,98)$ $(97,98)$. This process can generate clones of >10 cm in size (62) (62) , but it appears unlikely that the same process would extent throughout the whole organ. Alternative hypotheses are convergent evolution and long-distance stem cell migration aimed at mucosal healing, as proposed in Choi *et al.* [\(12\)](#page-6-11). Further investigation in this area is highly needed and would greatly benefit from more advanced methods of lineage detection, as explained in the next section.

Clonal expansions detected by passenger mutations

The ability to recognize clonal expansions requires the presence and identification of one or more genetic changes that identically mark the clone's progeny as related to each other, yet distinct from adjacent cells. This lineage marker is typically a putative molecular driver of the clonal outgrowth. The challenge is, however, that just as with tumors, multiple genetic changes can drive the clonal expansion. These changes vary from one person to another, and even among different clones within a single individual. Thus, many of these fields might be undetectable if only screening for known driver mutations. An alternative approach is to focus on passenger mutations. As cells divide, replication errors produce mutations, the vast majority of which are functionally neutral and offer no selective advantage or disadvantage ([7\)](#page-6-6). These mutations are carried in the daughter cells

as 'passenger' mutations and offer a much larger repertoire of somatic variants to enable the detection of clonal fields and elucidate lineage relationships.

Our group pioneered an approach for clone detection based on passenger mutations in polyguanine tracts (PolyG), which are highly mutable repetitive DNA sequences interspersed throughout the genome [\(99,](#page-8-23)[100](#page-8-24)). We demonstrated that extensive clonal fields defined by PolyG mutations were present in histologically normal colonic biopsies of UC Progressors, but were almost entirely absent in UC Non-progressors (patients without dysplasia). These fields were composed of thousands of cells that appeared microscopically normal, but had aberrantly proliferated from an original precursor cell, indicating the presence of an 'occult' process of neoplastic evolution. In a later study, these clonal fields were only found in patients with early onset of disease, suggesting that alternative pathways of progression without extensive clonal expansions might be operative in patients that develop UC later in life ([100](#page-8-24)).

Other molecular alterations identified in preneoplastic fields

Telomere shortening

Telomere shortening is another well-documented, early event in UC tumorigenesis. While it is not informative about clonality, telomere shortening has provided insight about the extent of the field effect and mechanisms of tumor progression in UC ([101–106](#page-8-25)). The colonic epithelium of patients with UC has shorter telomeres than age-matched non-UC patients ([102](#page-8-26)[,107\)](#page-8-27). This shortening appears to occur within the first 8 years of disease duration ([102](#page-8-26)) which, interestingly, coincides with the time at which clinical risk of CRC for UC patients increases. This suggests that the onset of cancer may depend upon telomeres becoming critically short. Telomere shortening occurs diffusely in the colonic epithelium of UC patients ([102](#page-8-26)), especially in those with more severe clinical phenotypes ([108](#page-8-28)). Additionally, telomere shortening is more common in biopsies closer to dysplasia [\(103\)](#page-8-29) and is more extreme in UC Progressors compared to Non-progressors ([103](#page-8-29)[,105,](#page-8-30)[106](#page-8-31)).

Mitochondrial dysfunction

Growing evidence indicates that epithelial cell mitochondrial dysfunction is present in UC [\(109\)](#page-8-32), although it remains unclear whether it is a cause or consequence of the disease and whether it contributes to cancer progression. The role of mitochondria in cancer, in general, is controversial. A prevailing hypothesis was that mutations in mitochondrial DNA were somehow advantageous to tumors and clonally expanded into fields under positive selection [\(110\)](#page-8-33). This was proposed to be the basis of the Warburg effect—the observation that most cancers use glycolysis for energy production ([111–113](#page-8-34)). This idea has been challenged, however, by more recent studies arguing that many cancers do, in fact, rely on oxidative phosphorylation and mitochondrial function [\(114–116](#page-8-35)) and that mitochondrial DNA mutations either accumulate randomly and clonally expand as passengers without selective pressure, or are selected against [\(117–119](#page-8-36)).

In UC, genetic ([120](#page-8-37)), proteomic [\(37,](#page-7-38)[121–123\)](#page-8-38) and metabolic [\(124–126](#page-8-39)) studies have identified mitochondrial alterations in colonic biopsies, both in non-dysplastic mucosa and in UC-associated cancers. Unfortunately, some results are contradictory and the relevance of these findings is still unclear. Our group previously demonstrated that in UC Progressors, the levels of cytochrome C oxidase subunit I (COX), a protein of complex I of the electron transport chain, decreased with proximity to dysplasia, indicating the presence of a gradient field effect.

COX staining was completely absent in some dysplastic areas but, remarkably, was typically high in high-grade dysplasia and cancer [\(127\)](#page-9-0). These results are concordant with mitochondrial dysfunction as a feature of UC colonic epithelium [\(109\)](#page-8-32), but suggest that function might be restored later in progression to allow for the metabolic demands of cancer cells [\(116\)](#page-8-40).

The mechanisms that trigger these processes are unknown. Field effects that include mitochondrial dysfunction can sometimes arise from clonal expansions of mitochondrial DNA mutations through crypt conversion and crypt fission, as previously characterized in the aging colon ([128](#page-9-1)). Additionally, *PGC1*α, the master regulator of mitochondrial biogenesis, might mediate mitochondrial dysfunction. *PGC1*α expression is decreased in the intestinal epithelium of patients with UC. Notably, in mice, its deletion confers susceptibility to colitis, whereas restoration of the protein ameliorates the disease and restores mitochondrial integrity [\(129\)](#page-9-2). *PGC1*α also offers a potential link between telomeres and mitochondria: in telomerase knockout mice, shortened telomeres trigger mitochondrial dysfunction via *TP53* and *PGC1*α signaling [\(130,](#page-9-3)[131](#page-9-4)). This intriguing connection deserves further investigation in UC tumorigenesis, especially since both alterations exhibit a similar pattern of initial dysfunction followed by later recovery.

Epigenetic changes

Chronic inflammation is well known to play a role in the progression of cancer—UC-mediated CRC being only one of many examples [\(132\)](#page-9-5). As noted above, the inflammatory state can mutate DNA and lead to disruption of growth control genes as well as accelerate mutation acquisition by increasing cell turnover. Additionally, chronic inflammation can epigenetically alter epithelial cells without a clonal relationship [\(132\)](#page-9-5). Gloria *et al.* ([133\)](#page-9-6) first identified DNA methylation changes in the setting of UC by measuring the incorporation of labeled methyl groups into DNA. They demonstrated that UC colonic DNA is globally hypomethylated compared to normal controls and suggested that epigenetic changes in UC colonic mucosa contribute to cancer progression. Since then, epigenetic changes have been more extensively studied as precursor lesions in UC. It has been observed that histone modification genes are overexpressed in UC and that the level of overexpression correlates with both disease extent and duration ([134](#page-9-7)). In non-dysplastic, but inflamed, UC tissue, hypomethylation of bivalent H3K27me3-associated promoters facilitates the upregulation of cancer progression associated genes, including those associated with cell movement, death, survival and proliferation. These inflammation-induced changes create a field of susceptibility that might predispose to cancer progression ([135\)](#page-9-8). Additionally, both dysplastic and normal-appearing UC Progressor epithelium features hypermethylation at CpG islands, similar to what is seen with aging, and might contribute to increased susceptibility [\(136–138\)](#page-9-9). Other studies have observed significantly higher methylation in genes associated with UC inflammation from UC Progressor non-dysplastic tissues when compared with UC Non-progressor tissue [\(139\)](#page-9-10). Collectively, these findings in preneoplastic fields are consistent with the epigenetic alterations found in CRC, in which both global hypomethylation and regional hypermethylation are observed ([140\)](#page-9-11). Thus, the current view is that epigenetic alterations play a role in the development and progression of UC ([141](#page-9-12)). These alterations might create an epigenetic field effect as a result of the clonal expansion of stem cells that carry epigenetic changes or non-clonally as a result of environmental exposures and inflammation ([132](#page-9-5),[141](#page-9-12),[142](#page-9-13)).

Model of cancer progression in UC: accelerated colon aging?

The molecular alterations that define preneoplastic fields in UC are remarkably similar to the changes that occur in normal, aging tissue. Based on this, our group proposed the idea that UC can be thought of as a disease of accelerated colon aging. In normal individuals, telomere length declines progressively with age ([81](#page-8-6)), but in UC the rate of decline is accelerated, especially within the first 8 years after disease diagnosis ([102](#page-8-26)). On average, individuals with UC at age 40 carry colonocyte telomeres as short as non-UC individuals at age 60. This finding fits with the epidemiological observation that CRC develops at a mean age of 64 in the general population, but a mean age of 43 in UC patients—about 20 years earlier [\(66](#page-7-31)).

Similarly, chromosomal alterations ([96](#page-8-20)[,143\)](#page-9-14), mitochondrial loss [\(144,](#page-9-15)[145\)](#page-9-16) and DNA methylation ([146](#page-9-17)) changes have been reported in both normal aging colon and preneoplastic fields in UC. In the normal colon, these age-related alterations are attributed to the increased load of somatic mutations and molecular damage over time. While somatic mutations can lead to clonal fields through biased competition and expansion of mutated intestinal stem cells [\(147\)](#page-9-18), extensive molecular damage can lead to non-clonal fields of cancer predisposing alterations, such as telomere shortening caused by oxidative damage [\(148\)](#page-9-19).

We have made an effort to integrate the findings described above in our proposed model of carcinogenesis in UC ([Figure 1](#page-2-0)). We postulate that in UC, genetic susceptibility and an altered microbiome and immunity lead to chronic inflammation and concomitant increased cell turnover and oxidative damage. This accelerates the rate of mutation accumulation and molecular damage, thus effectively producing accelerated aging of the colon. When telomeres become critically short, uncapped chromosome ends trigger a DNA damage response [\(149\)](#page-9-20). In the presence of proficient *TP53*, this response results in the activation of cellular senescence; however, if *TP53* is mutated, cells bypass senescence and cell division continues, producing preneoplastic fields in which dysfunctional telomeres trigger end-to-end fusions and chromosomal instability [\(106\)](#page-8-31). This instability and the progressive exhaustion of telomeres eventually leads cells to crisis and death [\(150\)](#page-9-21). During this process, however, additional genetic and epigenetic alterations accumulate and might disrupt other tumor suppressors genes and activate proto-oncogenes. One of the many consequences of this can be mutational or epigenetic reactivation of telomerase. Reactivated telomerase rescues the cells from crisis, allows further proliferation under the drive of mutated oncogenes, and eventually results in the development of invasive malignancy ([151](#page-9-22)). At that step cancer cells might also acquire proficient mitochondria to cover the metabolic needs to rapid growth ([116](#page-8-40)).

Field effect implications: opportunities for early cancer detection

Preneoplastic fields are an indication of an emerging neoplastic process and, therefore, they could be used to improve cancer detection in UC. The current cancer surveillance system is based on colonoscopic screening for dysplasia and it is likely to be one of the factors contributing to the decrease in UC-associated CRC in recent years [\(43](#page-7-15)). However, this approach has limited sensitivity [\(152\)](#page-9-23), fails to detect every patient at risk ([153](#page-9-24)), and it is time consuming and expensive. A more efficient and sensitive system would be highly desirable, especially in view of the worldwide overall increase in UC incidence [\(154\)](#page-9-25).

The American Gastroenterology Association recommends that colonoscopic surveillance should begin 8–10 years after disease diagnosis and should occur every 1–2 years depending on dysplasia findings ([155](#page-9-26)[,156\)](#page-9-27). Until recently, the guidelines included collection of at least 32 random quadrant biopsies to achieve 90% sensitivity for histological identification of dysplasia [\(90\)](#page-8-14). However, gastroenterologists' non-adherence to colonoscopy guidelines, patients' non-compliance to the surveillance plan, and a lack of agreement between pathologists upon histological assessment reduce the efficacy of this surveillance approach [\(56](#page-7-39),[157](#page-9-28)[,158\)](#page-9-29). Over the last decade, the superiority of chromoendoscopy (CE) for the detection of dysplasia has been established ([159–161](#page-9-30)). Whereas standard colonoscopic methods rely on the use of white light to visualize areas of dysplasia, CE uses dyes that stain the mucosa, increasing the contrast and the sensitivity to find dysplasia. CE is the method currently recommended for colonoscopic surveillance ([162](#page-9-31)), but it is still limited by the requisite detection of morphological changes that are visible by endoscopy.

As described above, cumulative evidence demonstrates that molecular changes precede the morphological changes associated with dysplasia. Thus, focusing on the identification of preneoplastic fields may prove to be the most sensitive approach for identifying patients at risk of CRC progression. [Figure 2](#page-6-19) illustrates the potential use of the field effect to improve cancer colonoscopic surveillance in patients with UC. The premise is that CE with targeted biopsies is performed, in accordance to current recommendations ([162](#page-9-31)), but in addition, two biopsies are collected at each colon segment for molecular analysis of field effects. The extension of the fields, as well as the degree of molecular alterations, might reflect the likelihood of cancer progression and could potentially be used to personalize colonoscopic surveillance, even in the absence of dysplastic findings. This hypothesis is based on the fact that cancer is a probabilistic process that depends not only on the rate of mutation, but also on the number of cells at risk ([163\)](#page-9-32). Thus, patients with pancolonic and multifocal fields might benefit from closer monitoring as compared with patients with localized, unifocal fields. Given the vast heterogeneity of genetic changes that can lead to UC-CRC ([64](#page-7-29)), traditional genetic markers such as *TP53* mutations are unlikely to be universal detectors of preneoplastic fields. Passenger mutations, such as indels in PolyG tracts, overcome this problem and might offer a promising solution for the identification of preneoplastic progression in UC. This information could be integrated into predictive statistical models to identify patients at risk. In addition, studies of the field effect and its role in early cancer detection in UC could be supported by the use of mathematical modeling to quantify the extent and behavior of these fields and identify at-risk patients [\(164](#page-9-33)[,165](#page-9-34)). Such computational approaches have been successfully applied to predict the size, shape and distribution of fields ([164](#page-9-33)), to predict cancer risk in Barrett's esophagus [\(166](#page-9-35)) and head and neck premalignant lesions [\(167\)](#page-9-36), and to evaluate the prognostic value of putative biomarkers ([165](#page-9-34)).

Moving forward, large studies are required to establish the value of molecular fields for cancer detection and prediction in a clinical setting. Fortunately, large repositories of archival UC biopsies already exist, which include multiple longitudinal colonoscopies for each patient, each containing multiple random colonic biopsies. Such repositories are an excellent resource for determining the biomarker potential of molecular fields for cancer prediction.

Figure 2. Implications of the field effect on UC colonoscopic surveillance. By analyzing several screening biopsies procured along the colon, field effects can be identified and could possibly be used to predict cancer progression risk. The colon diagrams represent four clinical scenarios in which dysplasia may not be detected by chromoendoscopy but in which screening biopsies could identify molecular fields: (**A**) pancolonic field, (**B**) multifocal fields, (**C**) extensive field and (**D**) localized field. Cancer is a probabilistic process that depends on the rate of mutation and the number of cells at risk. Thus, we postulate that large, multifocal fields are likely to be associated with higher risk of cancer progression compared to small, unifocal fields. Studies with large numbers of patients are needed to validate this model, quantify the progression risk accordingly, and integrate it with other known cancer risk factors in UC. If such approach was developed, it would facilitate tailoring the time interval between colonoscopies to the level of risk for each patient.

Conclusions

Here, we have discussed the concept of the field effect, the molecular alterations that define these fields and their implications for early cancer detection in UC. Preneoplastic fields precede cancer progression in UC and have been characterized through the analysis of *TP53* mutations, chromosomal alterations, telomere shortening, mitochondrial dysfunction and epigenetic alterations. Biologically, these fields reflect the early events that lead to tumor progression in UC and enable accurate spatial and temporal characterization of *in vivo* tumor evolution. Clinically, preneoplastic fields provide an opportunity to improve early cancer detection in UC and to personalize colonoscopic surveillance. Beyond its applications in UC, the study of the field effect is highly relevant to current efforts to understand 'precancer' in order to improve early detection and prevention of cancer ([168](#page-9-37)).

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