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Distinct and Complementary Functions of Rho kinase isoforms ROCK1 and ROCK2 in Prefrontal Cortex Structural Plasticity

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Abstract

Rho-associated protein kinases (ROCK) 1 and 2 are attractive drug targets for a range of neurologic disorders; however a critical barrier to ROCK-based therapeutics is ambiguity over whether there are isoform-specific roles for ROCKs in neuronal structural plasticity. Here, we used a genetics approach to address this long-standing question by analyzing both male and female adult ROCK1^{+/-} and ROCK2^{+/-} mice compared to littermate controls. Individual pyramidal neurons in the medial prefrontal cortex (mPFC) were targeted for iontophoretic microinjection of fluorescent dye, followed by high-resolution confocal microscopy and neuronal 3D reconstructions for morphometry analysis. Increased apical and basal dendritic length and intersections were observed in ROCK1^{+/-} but not ROCK2^{+/-} mice. Although dendritic spine densities were comparable among genotypes, apical spine length was decreased in ROCK1^{+/-} but increased in ROCK2^{+/-} mice. Spine head and neck diameter were reduced similarly in ROCK1^{+/-} and $ROCK2^{+/-}$ mice; however certain spine morphologic subclasses were more affected than others in a genotype-dependent manner. Biochemical analyses of ROCK substrates in synaptic fractions revealed that phosphorylation of LIM kinase and cofilin were reduced in ROCK1^{+/-} and ROCK2^{+/-} mice, while phosphorylation of myosin light chain was decreased exclusively in ROCK1^{+/-} mice. Collectively, these observations implicate ROCK1 as a novel regulatory factor of neuronal dendritic structure and detail distinct and complementary roles of ROCKs in mPFC dendritic spine structure.

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Compliance with ethical standards

Ethical approval: All applicable international, national, and/or institutional guidelines for the care and use of animals were followed. This article does not contain any studies with human participants performed by any of the authors.

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Keywords

Rho kinase; LIM kinase; Dendritic Spines; Prefrontal Cortex; Dendritic Arbor; Morphometry; Synaptosomes

Introduction

Originally isolated as GTP-bound RhoA interacting proteins, the Rho-associated coiled-coil containing kinases (ROCK) are members of the AGO family of serine/threonine kinases and are extensively studied regulators of actin-myosin-mediated cytoskeleton contractility (Ishizaki et al. 1996; Leung et al. 1996; Leung et al. 1995; Matsui et al. 1996; Nakagawa et al. 1996). Two mammalian ROCK isoforms exist, ROCK1 and ROCK2, and share 65% similarity in their amino acid sequences and 92% identity in their kinase domains (Nakagawa et al. 1996). ROCK1 and ROCK2 expression patterns are largely similar in humans with higher transcript levels of ROCK1 in thymus and blood and ROCK2 in brain (Julian and Olson 2014). ROCKs phosphorylate a number of substrates predominantly tied to cellular morphology, adhesion, and motility. These actions implicate ROCK1 and ROCK2 as putative therapeutic targets for a variety of human conditions, such as cancer, asthma, insulin resistance, kidney failure, osteoporosis, and erectile dysfunction (Albersen et al. 2010; Komers et al. 2011; Lee et al. 2009; Olson 2008; Rath and Olson 2012; Schaafsma et al. 2008). Moreover, studies have identified pathogenic roles for ROCKs or explored the potential to repurpose ROCK inhibitors in neurologic disorders, including glaucoma, spinal cord injury, stroke, Alzheimer's disease, Frontotemporal Dementia, Parkinson's disease, and Amyotrophic Lateral Sclerosis (Challa and Arnold 2014; Duffy et al. 2009; Gentry et al. 2016; Gunther et al. 2017; Henderson et al. 2016; Herskowitz et al. 2013; Koch et al. 2014; Sellers et al. 2018; Shibuya et al. 2005; Tatenhorst et al. 2016).

Pharmacologic studies have driven much of our understanding of ROCKs in the brain, with Fasudil and Y-27632 being the most widely characterized inhibitors. However these and other current ROCK inhibitors are not isoform-specific and likely inhibit other kinases, including PKA and PKC, at higher doses used for in vivo experiments (Davies et al. 2000). Therefore, it is challenging to assign functions to ROCK1 or ROCK2 based on the kinase inhibitors, and this raises important questions about the contribution of ROCK1 or ROCK2 to the observed beneficial effects of pan-ROCK inhibitors. Although ROCKs share protein substrates, including myosin light chain (MLC), myosin light chain phosphatase, and LIM kinases (LIMK), evidence from genetic approaches in cell-based assays suggests distinct functions of ROCK isoforms (Amano et al. 1996; Kimura et al. 1996; Sumi et al. 2001). Older studies from homozygous knockout mice revealed major developmental problems or embryonic lethality in ROCK1^{-/-} or ROCK2^{-/-}, respectively; however, a different genetic background alleviated some of the effects from ROCK1 deletion (Shimizu et al. 2005; Thumkeo et al. 2003; Zhang et al. 2006). Working with $ROCK1^{+/-}$ or $ROCK2^{-/-}$ mouse embryonic fibroblasts, Shi et al. studied differential roles for ROCK1 and ROCK2 in regulating actin cytoskeleton reorganization after doxorubicin exposure. These findings suggested ROCK1 destabilizes actin via MLC phosphorylation whereas ROCK2 stabilizes actin through cofilin phosphorylation (Shi et al. 2013). Genetic exploration of ROCK1 and

ROCK2 function in brain has been limited due to the complications of homozygous knockout mice on mixed backgrounds. Yet despite reports indicating that ROCK1^{+/-} and ROCK2^{+/-} mice develop normally, *in vivo* studies of the heterozygous models are rare (Duffy et al. 2009; Zhang et al. 2006). To this end, we independently generated new ROCK1^{+/-} and ROCK2^{+/-} mice on the C57BL/6N background to define ROCK isoform-specific functions related to dendritic structural plasticity. The findings herein provide novel distinct yet complimentary roles for ROCK1 and ROCK2 in the prefrontal cortex.

Materials and methods

Animals

All experimental procedures were performed under a protocol approved by the Institutional Animal Care and Use Committee at the University of Alabama at Birmingham (UAB). Generation of ROCK1^{+/-} mice are described as follows: C57BL/6N-Rock1<tm1b(NCOM)Mfgc>/Tcp were made as part of the NorCOMM2 project with C57BL/6N-Rock1<tm1a(NCOM)Mfgc>/Tcp mice made from NorCOMM embryonic stem (ES) cells at the Toronto Centre for Phenogenomics (Bradley et al. 2012). C57BL/6N-Rock1<tm1b(NCOM)Mfgc>/Tcp mice were obtained from the Canadian Mouse Mutant Repository. ROCK2^{+/-} mice were generated as follows: C57BL/6N-Rock2tm1a(KOMP)Wtsi mice were made from ES cells purchased from the International Mouse Phenotyping Consortium at the University of California, Davis. ES cell injections were performed by the UAB Transgenic & Genetically Engineered Models Core. The C57BL/6N-Rock2tm1a(KOMP)Wtsi ES cells used for this research project were generated by the trans-NIH Knock-Out Mouse Project (KOMP) and obtained from the KOMP Repository (www.komp.org). NIH grants to Velocigene at Regeneron Inc (U01HG004085) and the CSD Consortium (U01HG004080) funded the generation of gene-targeted ES cells for 8500 genes in the KOMP Program and archived and distributed by the KOMP Repository at UC Davis and CHORI (U42RR024244). For more information or to obtain KOMP products go to www.komp.org or email service@komp.org. For all experiments, agematched and sex-matched controls were used. All mice were 5.5-6 months old when sacrificed. When necessary, additional details on mouse sex are provided in figure legends.

Genotyping.—3-4mm tail clippings were taken from 21 day old mice. Tails were digested at 55°C overnight in Proteinase K Buffer (Fisher Scientific, BP1700-100). Samples were diluted 1:50 in nuclease free water for genotyping. The PCR reaction consisted of 12.5 µl Amplitaq Gold 360 Master Mix (Life Technologies, 4398886), 8.5 µl nuclease free water (Promega, P1199), 1 µl each of the forward and reverse primers (Integrated DNA Technologies), and 2 µl diluted DNA sample. Primers used for ROCK1+/– mice were: 5'-CCATCTACACCAACGTAACC -3' and 5' CATAACCACCACGCTCATC -3'. Primers used for ROCK2+/– mice were: 5'-GGGATCTCATGCTGGAGTTCTTCG -3' and 5'-TAAGGTCCTAGGTTCAATCCCCAGC -3'.

Perfusions and tissue processing

PFA, vibratome, storage.—Animals were anesthetized with Fatal Plus (Vortech Pharmaceuticals, Catalog #0298-9373-68). The abdominal cavity and pericardium were

carefully opened to expose the heart. Mice were transcardially perfused with cold 1% paraformaldehyde (PFA) for 1 min, followed by 10 min of 4% paraformaldehyde (Sigma Aldrich, Catalog #P6148) with 0.125% glutaraldehyde (Fisher Scientific, Catalog #BP2547). Peristaltic pump (Cole Parmer) was used for consistent administration of PFA. Immediately following perfusion, the mouse was decapitated, and the whole brain was removed and drop fixed in 4% PFA containing glutaraldehyde for 8-12 hours at 4°C. After post-fixation, the brains were sliced in 250 µm coronal increments using a Leica vibratome (VT1000S) with a speed of 70, and frequency of 7. The platform was filled with cold 0.1 M PB buffer, and the brain was glued (Loctite) perpendicular to the stage, cerebellum side down. All slices were stored one slice per well in a 48-well plate containing 0.1% sodium azide (Fisher, Catalog#BP922I) in 0.1M PB. Plates covered in parafilm are stored long term at 4°C. Notably, these procedures were performed according to (Dumitriu et al. 2011).

PBS, storage.—Animals were anesthetized with Fatal Plus. The abdominal cavity and pericardium were carefully opened to expose the heart. Mice were transcardially perfused with cold 1X PBS for 2 minutes. Immediately following perfusion, the brain was extracted and dissected into two hemispheres. Each hemisphere was immediately flash frozen in 2-methylbutane (Sigma, Catalog#320404), placed on dry ice, and transferred to the -80°C for storage.

Iontophoretic microinjection of fluorescent dye

Microinjections were executed using previously described methods (Dumitriu et al. 2011). We used a Nikon Eclipse FN1 upright microscope with a 10X objective and a 40X water objective placed on an air table. We used a tissue chamber assembled in the lab consisting of a 50 \times 75 mm plastic base with a 60 \times 10 mm petri dish epoxied to the base. A platinum wire is attached in a way that the ground wire can be connected to the bath by an alligator clip. The negative terminal of the electric current source was connected to the glass micropipette filled with 2 µl of 8% Lucifer yellow dye (ThermoFisher, Catalog#L453). Micropipettes (A-M Systems, Catalog #603500) with highly tapered tips were pulled fresh the day of use. The manual micromanipulator was secured on the air table with magnets that provided a 45° angle for injection. Using a brush, the brain slice was placed into a small petri dish containing 1X PBS and 4',6-diamidino-2-phenylindole (DAPI) for 5 min at room temperature. After incubation in DAPI, the slice was placed on dental wax, and then a piece of filter paper was used to adhere the tissue. The filter paper was then transferred to the tissue chamber filled with 1X PBS and weighted down for stability. We used the 10X objective to advance the tip of the micropipette in XY and Z until the tip was just a few micrometers above the tissue. The 40X objective was then used to advance to tip into layers II and III of the prefrontal cortex. Once the microelectrode contacted a neuron, 2 nA of negative current was used for 5 min to fill the neuron with lucifer yellow. After the 5 min, the current was turned off and the micropipette was removed from the neuron. Neuron impalement within layers II and III occurs randomly in a blind manner. If the entire neuron does not fill with dye after penetration, the electrode is removed and the neuron is not used for analysis. Multiple neurons were injected in each hemisphere of the medial prefrontal cortex of each animal. After injection the filter paper containing the tissue was moved back into the chamber containing 1X PBS. Using a brush, the tissue was carefully lifted off the

paper and placed on a glass slide with two 125 µm spacers (Electron Microscopy Sciences, Catalog #70327-20S). Excess PBS was carefully removed with a Kimwipe, and the tissue was air-dried for 1 min. One drop of Vectashield (Vector Labs, Catalog #H1000) was added directly to the slice; the coverslip (Warner, Catalog #64-0716) was added and sealed with nail polish. Injected tissue was stored at 4°C in the dark.

Confocal Microscopy

Confocal microscopy was used to capture images of pyramidal cells and dendrites from prefrontal cortex layers 2 and 3 and our methods were based on (Dumitriu et al. 2011). All imaging was performed by a blinded experimenter. Images were captured with a Leica LAS AF TCS MP5, Leica Microsystems 2.6.3, and either 20X or 63X oil immersion objective (Leica HCX PL Apo CS, N.A. 1.40). The following parameters were used for all images: Argon laser: 20% power; 458 Laser: 100% power; smart offset: 1.5%; smart gain: 800 V; Pinhole: 1 airy unit; image size: 1024×1024 px. The experimenter focused on each dyeimpregnated neuron and captured three-dimensional z-stacks of those meeting criteria. Criteria for imaging of neuronal arbors included: (1) located within 80 µm working distance of microscope, (2) fully impregnated with dye, (3) unobstructed by any artifact. For each neuron, z-stacks were captured with the following parameters: z-step: 0.503 µm; image size: 1024×1024 px (0.223 µm × 0.223 µm × 0.503 µm); zoom: 3×; line averaging: 2; acquisition rate: 700 Hz. Image stacks were collected in.lif format. The experimenter identified secondary dendrites from dye-impregnated neurons and captured three-dimensional z-stacks of those meeting criteria. Criteria for imaging neuronal dendrites included: (1) within 80 µm working distance of microscope; (2) relatively parallel with the surface of the coronal section; (3) no overlap with other branches; (4) minimum of 50 µm from the soma; (5) maximum of 110 µm from the soma. Secondary dendrites of pyramidal arbors were viewed and selected at low magnification. This segment was then viewed and imaged at 63X magnification. For each neuronal dendrite, z-stacks were captured with the following parameters: z-step: 0.1259 μ m; image size: 1024 \times 1024 px (0.0501 μ m \times 0.0501 μ m \times 0.0501 µm); zoom: 4.8x; line averaging: 4; acquisition rate: 400 Hz. Images were registered in ImageJ using linear stack alignment with Scale Invariant Feature Transform (SIFT) and recommended settings. Registered images were saved in .tif format. Captured images were deconvolved using Huygens Deconvolution System (16.05, Scientific Volume Imaging, the Netherlands) and the following settings: GMLE; maximum iterations: 10; signal to noise ratio: 15; quality: 0.003. Prior to deconvolution, imaging meta-data from original .lif files were extracted to Huygens template files in .hgsm format and attributed to each corresponding registered image. Deconvolved images were saved in .tif format.

Neuronal reconstructions

Neuronal arbor and dendritic spine reconstruction and analysis were performed with Neurolucida 360 (2.70.1, MBF Biosciences, Williston, Vermont). Dendritic spine analysis was performed as previously described with the noted adjustments (Boros et al. 2017). Briefly, image stacks of neuronal dendrites were imported to Neurolucida 360, and the full dendrite length was traced with semi-automatic directional kernel algorithm. The experimenter manually confirmed that all assigned points matched dendrite diameter and position in x, y, and z planes and adjusted each reconstruction if necessary. Dendritic spine

reconstruction was performed automatically using a voxel-clustering algorithm and the following parameters: outer range: 5.0 µm; minimum height: 0.3 µm; detector sensitivity: 80%; minimum count: 8 voxels; backbone length. Next, the experimenter manually verified that the classifier correctly identified all protrusions. When necessary, the experimenter added any semi-automatically by increasing detector sensitivity. The morphology and backbone points of each spine were verified to ensure a representative spine shape, and merge and slice tools corrected inconsistencies. Each dendritic protrusion was automatically classified as a dendritic filopodium, thin spine, stubby spine, or mushroom spine based on morphological measurements using previously described parameters (Boros et al. 2017). Reconstructions were collected in Neurolucida Explorer (2.70.1, MBF Biosciences, Williston, Vermont) for branched structure analysis, and then exported to Microsoft Excel (Redmond, WA). Spine density was calculated as the number of spines per um of dendrite length. To characterize neuronal arbors, image stacks were imported to Neurolucida 360. First, the soma was detected in three-dimensions semi-automatically using sensitivity at 40-50%. Neuronal arbors were automatically detected using the following parameters: seeding: medium density: smallest segment: 30 um; sensitivity: 75-100%. Then, the experimenter closely examined the accuracy of each arbor reconstruction in each x, y, and z dimension. When necessary, the experimenter semi-automatically joined, separated, or extended segments using detach, connect, and remove tools. The experimenter ensured that the base of each arbor was as near to the soma as possible. All extensions from the soma were manually classified as apical dendrites, basal dendrites, or axons. Apical and basal arbor reconstructions were imported to Neurolucida Explorer and separately analyzed. Sholl analysis characterized the number of intersections or length using 10 µm concentric shells (radii), and these results were exported and collected in Microsoft Excel. The number of intersections and length between Sholl radii were calculated.

Biochemistry

Brain region subdissection.—Hemibrains were bathed in a petri dish of ice-cold PBS with protease (Sigma S8820) and phosphatase inhibitors (Thermo Scientific 1861277). Using a dissecting microscope, brain anatomical atlas, and surgical instruments, the medial prefrontal cortex was isolated from each hemibrain. All brain regions were stored at -80° C.

Synaptosomal preparations.—Synaptosomes were prepared using the following biochemical fractionation protocol as previously described (Hallett et al. 2008; Warmus et al. 2014). Sub-dissected tissue samples were bathed and homogenized for 30 seconds in TEVP buffer (10 mM Tris base, 5 mM NaF, 1 mM Na₃VO₄, 1 mM EDTA, 1 mM EDTA) with 320 mM sucrose and protease and phosphatase inhibitors. A small volume was saved as whole homogenate (WH). Remaining sample was centrifuged at $800 \times g$ for 10 min at 4°C. The supernatant (S1) was removed, and the pellet (P1) was stored in TEVP + inhibitors. S1 was centrifuged at $9200 \times g$ for 10 minutes at 4°C. The supernatant (S2) was removed and stored. The pellet (P2) was resuspended in TEVP + 32 mM sucrose + inhibitors and centrifuged at $25000 \times g$ for 20 minutes at 4°C. The supernatant (LS1) was removed and stored. The pellet (synaptosome fraction) was resuspended in TEVP + inhibitors and stored. The pellet (synaptosome fraction) was resuspended in TEVP + inhibitors and stored. The pellet (synaptosome fraction) was resuspended in TEVP + inhibitors and stored.

and Western blots were performed to quantify protein content according to our previously described methods (Herskowitz et al. 2011).

Western blotting.—Twenty-five micrograms of protein per sample were loaded into each well. Primary antibodies were incubated overnight at 4°C. Primary antibodies include: ROCK1 (Abcam, 45171), ROCK2 (Santa Cruz 5561), LIMK (Cell Signaling 3842S), Phospho-LIMK (Cell Signaling 3841), PAK1 (Cell Signaling 2602), Phospho-Myosin Light Chain (Cell Signaling 3671), Myosin Light Chain (Cell Signaling 3672), Phospho-Cofilin (Cell Signaling 3313), and Cofilin (Cell Signaling 3318). Secondary antibodies include: AlexaFluor 680 goat anti-rabbit (Life Technologies A21109) and Goat anti-mouse (Li-Cor 926-32210). Odyssey Image Station (Li-Cor) captured images, and Odyssey Application Software (3.0, Li-Cor) quantified band intensities.

Statistical analysis

The effect of ROCK1 or ROCK2 heterozygosity was determined by comparing neuronal structure or biochemistry with ROCK1 or ROCK2 homozygotes, respectively. Experimental power and sample sizes per condition were determined by referencing similar studies (Anderson et al. 2014; Radley et al. 2015). Pair-wise comparisons were separately performed for ROCK1^{+/+} and ROCK1^{+/-} and for ROCK2^{+/+} and ROCK2^{+/-}. All analyses were conducted with Prism 6.0 (GraphPad Software, La Jolla, CA). Significance level was set at P < 0.05, and data were reported as mean \pm standard error of the mean. For spine density or biochemical comparisons, animal means were averaged to generate a genotype mean. Comparison of genotype means was conducted by two-tailed student's t-test, unless non-normally distributed or otherwise indicated. Comparisons of spine densities and morphology distributions were performed as previously described (Boros et al. 2017). Spines of apical and basal dendrites were analyzed separately. Two-way analysis of variance (ANOVA) was used to compare densities of morphological classes between genotypes (factors: spine class and genotype). Morphological spine characteristics were compared using cumulative frequency distributions of individual spines from each genotype. Normality of distribution was assessed by D'Agostino & Pearson omnibus normality test. If non-normally distributed, a non-parametric two-sample Kolmogorov-Smirnov test compared whether genotypes segregated in each spine morphology distribution. Sholl analysis was performed in Neurolucida Explorer by drawing concentric shells at 10 µm intervals from the soma. Apical and basal arbors were analyzed separately. The arbor lengths and number of intersections for each individual neuron and radius were averaged to generate means for each genotype-radius pair. Two-way ANOVA (factors: genotype and radius from soma) compared arbor length or number of intersections between genotypes.

Results

ROCK1 reduction alters prefrontal dendritic morphology

To begin the investigation, we focused on the prefrontal cortex because it is a region tightly linked to cognitive performance, including working memory, and is highly vulnerable in several neurologic disorders that implicate ROCKs (Boxer et al. 2006; Gentry et al. 2016; Henderson et al. 2016; Herskowitz et al. 2013; Sellers et al. 2018; van Veluw et al. 2012;

dendritic length in ROCK1⁽¹⁾ and ROCK1⁽¹⁾ mice by sholl analysis revealed a main effect of genotype by two-way ANOVA on apical and basal dendrites ($F_{(1, 426)} = 10.89$, P = 0.0010 and $F_{(1, 370)} = 7.083$, P = 0.0081, respectively) (Fig. 1C, E). Furthermore, apical and basal dendrite intersections were increased in ROCK1^{+/-} mice ($F_{(1, 426)} = 10.86$, P = 0.0011 and $F_{(1, 370)} = 13.08$, P = 0.0003, respectively) (Fig. 1D, F). Examination of apical and basal dendritic length or intersections in ROCK2^{+/+} and ROCK2^{+/-} mice revealed no statistically significant main effects of genotype (Fig. 1, G-J). These data suggest that ROCK1 heterozygosity induces increased dendritic length and complexity at the apical and basal poles of pyramidal neurons in the mPFC. However, mPFC pyramidal neuron architecture at the dendrite level remains relatively normal with ROCK2 heterozygosity.

Effects of ROCK1 or ROCK2 heterozygosity on prefrontal dendritic spine morphology

In vitro and *in vivo* studies indicate that small molecule inhibitors of ROCKs can increase or decrease dendritic spine density, depending on the experimental paradigm (Hodges et al. 2011; Kang et al. 2009; Newell-Litwa et al. 2015). Our previous work demonstrated that pan-ROCK inhibition alters spine morphology in cultured hippocampal neurons (Swanger et al. 2015). However due to the lack of isoform-specificity with pan-ROCK inhibitors, the contribution of ROCK1 or ROCK2 to the observed structural phenotypes could not be determined. Thus, we addressed how genetic depletion of ROCK1 or ROCK2 may affect mPFC dendritic spine morphology. Architectural features of spines were delineated from high-resolution optical stacks of dendrites from the Lucifer yellow-filled pyramidal neurons that were analyzed in Figure 1 (Fig. 2).

Spine morphology influences excitatory neurotransmission and synaptic plasticity, and spines can be classified on the basis of their three-dimensional structure as stubby, mushroom, or thin (Harris et al. 1992; Hayashi and Majewska 2005; Hering and Sheng 2001). Comparison of dendritic spine density on apical and basal dendrites among ROCK1^{+/+} and ROCK1^{+/-} mice revealed no main effect of genotype by two-way ANOVA. This analysis included thin, stubby, and mushroom spine populations (Fig. 3A). To further analyze spine structure, the cumulative distributions of apical and basal spine length, head diameters, and neck diameters for $ROCK1^{+/+}$ and $ROCK1^{+/-}$ mice were plotted. The cumulative frequency plots of apical spines indicated that the distribution of lengths was decreased in ROCK1^{+/-} mice (Kolmogorov-Smirnov: D=0.06705, P<0.0001), whereas distributions of head diameter and neck diameter were similar to ROCK1^{+/+} (Fig. 3, B-D). The cumulative frequency plots of basal spines indicated that distribution of lengths was slightly decreased in ROCK1^{+/-} mice (Kolmogorov-Smirnov: D=0.0465, P=0.0372) (Fig. 3E). Distribution of head diameter was reduced significantly in $ROCK1^{+/-}$ mice (Kolmogorov-Smirnov: D=0.1382, P<0.0001) (Fig. 3F), and this effect was largely driven by robust decreases in thin spine head diameters (Kolmogorov-Smirnov: D=0.1304, P<0.0001) (Fig. 3G). Neck diameter distribution was also decreased significantly in ROCK1^{+/-} mice

(Kolmogorov-Smirnov: D=0.0879, P<0.0001) (Fig. 3H), and this effect was driven by mushroom spine populations (Kolmogorov-Smirnov: D=0.2416, P<0.0001) (Fig. 3I).

Examination of dendritic spine density, including thin, stubby, and mushroom spine populations, on apical and basal dendrites among ROCK2^{+/+} and ROCK2^{+/-} mice revealed no main effect of genotype by two-way ANOVA (Fig. 4A). To analyze spine structure, the cumulative distributions of apical and basal spine lengths, head diameters, and neck diameters were plotted. The cumulative frequency plots of apical spines indicated that the distribution of lengths was increased in ROCK2^{+/-} mice (Kolmogorov-Smirnov: D=0.0702, P<0.0001), predominantly driven by increased length of mushroom spines (Kolmogorov-Smirnov: D=0.1195, P=0.0063) (Fig. 4 B, C). Distributions of apical spine head and neck diameters were reduced significantly in ROCK2^{+/-} mice (Kolmogorov-Smirnov: D=0.0610, P=0.0002; and Kolmogorov-Smirnov: D=0.0778, P<0.0001, respectively) (Fig. 4 D, E). Thin, stubby, and mushroom spine populations contributed approximately equivalently to the effects on head and neck diameter in ROCK2^{+/-} mice. The cumulative frequency plots of basal spines indicated that the distribution of lengths was similar in ROCK2^{+/+} and ROCK2^{+/-} mice, whereas head and neck diameters were reduced in ROCK2^{+/-} mice (Kolmogorov-Smirnov: D=0.0567, P<0.0001; and Kolmogorov-Smirnov: D=0.0541, P=0.0002, respectively) (Fig. 4, F-H). Notably, thin spines drove the reduction in neck diameter (Kolmogorov-Smirnov: D=0.0653, P=0.0003), while all spine classes contributed to decreased head diameter in ROCK2^{+/-} mice (Fig. 4I).

Collectively, these findings reveal that apical spine length is decreased in ROCK1^{+/-} but increased in ROCK2^{+/-} mice. While apical spine head and neck diameters were decreased in ROCK2^{+/-} mice compared to ROCK2^{+/+}, these phenotypes were equivalent in ROCK1^{+/+} and ROCK1^{+/-} mice. Similar reductions in the distributions of head and neck diameter were observed in basal spine populations from ROCK1^{+/-} or ROCK2^{+/-} mice compared to ROCK1^{+/+} or ROCK1^{+/+}, respectively. These data support the hypotheses that 1) ROCK1 and ROCK2 form a yin and yang to regulate spine length, and 2) both ROCKs influence spine head and neck diameter but ROCK2 may have a more important role in apical arbors.

Biochemical analyses of ROCK substrates in synaptic fractions from ROCK1^{+/-} and ROCK2^{+/-} mice

Because ROCK1^{+/-} and ROCK2^{+/-} mice displayed substantial alterations in mPFC dendritic spine morphology, we explored whether there were biochemical changes in key ROCK substrates at synapses in the prelimbic mPFC. Expectedly, levels of ROCK1 or ROCK2 were decreased approximately 50% in whole homogenates (WH) and synaptosome fractions in heterozygous mice compared to ROCK1^{+/+} and ROCK2^{+/+} (Fig. 5). Moreover, ROCK1 and ROCK2 protein levels were unchanged in ROCK2^{+/-} and ROCK1^{+/-}, respectively. Previous reports strongly suggest that ROCKs can regulate actin filaments in dendritic spines by phosphorylating LIMKs at threonine 505 and/or threonine 508 (pLIMK) (Bosch et al. 2014; Sumi et al. 2001). Levels of pLIMK were decreased significantly in synaptosome fractions in ROCK1^{+/-} and ROCK2^{+/-} mice compared to ROCK1^{+/+} and ROCK2^{+/+}, respectively (P=0.0265, for ROCK1^{+/-} and P=0.0253, for ROCK2^{+/-}) (Fig. 5 B, C, E, F). In contrast, pLIMK levels were increased significantly in WH from ROCK1^{+/-} and ROCK2^{+/-} mice

compared to ROCK1^{+/+} and ROCK2^{+/+}, respectively (P=0.0355, for ROCK1^{+/-} and P=0.0004, for ROCK2^{+/-}) (Fig. 5 A, C, D, F). LIMK protein levels were similar among all genotypes in WH and synaptic fractions, indicating changes in pLIMK were not the result of LIMK fluctuations (Fig. 5 A, B, D, E). Currently, the only known substrate of LIMK is the actin depolymerizing factor cofilin (Arber et al. 1998; Yang et al. 1998). LIMK regulates actin polymerization by phosphorylating cofilin at serine 3 (pcofilin), thereby inactivating cofilin. Levels of pcofilin were decreased significantly in synaptosome fractions in ROCK1^{+/-} and ROCK2^{+/-} mice compared to ROCK1^{+/+} and ROCK2^{+/+}, respectively $(P=0.0008, \text{ for ROCK1}^{+/-} \text{ and } P=0.0067, \text{ for ROCK2}^{+/-})$ (Fig. 5 B, C, E, F). Whereas, pcofilin levels were increased significantly in WH from ROCK1^{+/-} and ROCK2^{+/-} mice compared to ROCK1^{+/+} and ROCK2^{+/+}, respectively (P=0.0315, for ROCK1^{+/-} and P=0.0136, for ROCK2^{+/-}) (Fig. 5 A, C, D, F). Cofilin protein levels were similar among all genotypes in WH and synaptic fractions, indicating that changes in pcofilin were not the result of alterations in total cofilin (Fig. 5 A, B, D, E). Not surprisingly, these findings revealed that pcofilin levels followed upstream changes in pLIMK within WH and synaptosome fractions. This suggests that ROCK1 or ROCK2 heterozygosity leads to similar downstream alterations in LIMK signaling that likely contribute to the overlapping spine morphology phenotypes among ROCK1^{+/-} and ROCK2^{+/-} mice.

ROCKs can regulate actin-myosin contractility by phosphorylating MLC at serine 19 (pMLC), and MLC can play a critical role in dendritic spine morphology and synaptic function (Amano et al. 1996; Ryu et al. 2006). Levels of pMLC were decreased significantly in synaptosome fractions of ROCK1^{+/-} mice compared to ROCK1^{+/+} (P=0.0130, for ROCK1^{+/-}) but similar in ROCK2^{+/+} and ROCK2^{+/-} mice (Fig. 5 B, C, E, F). MLC protein levels were similar among all genotypes in synaptic fractions, indicating changes in pMLC among ROCK1^{+/-} mice were not the result of MLC reduction (Fig. 5 B, E). These results indicate that heterozygosity of either ROCK isoform decreases pLIMK in synapses, correlating to reductions in dendritic spine head and neck diameter in the mPFC (Figs. 3–4). Notably, changes in pMLC were selective to ROCK1^{+/-} mice, suggesting a putative mechanism to explain spine length reduction in these animals.

Discussion

Pharmacologic inhibitor studies have provided much of what we know about ROCKs in the brain, and two pan-ROCK inhibitors, Fasudil and Ripasudil, have been used to treat human disease (Feng et al. 2015). Despite the enormous potential these compounds exhibit to modify human disease-progression, target-selectivity caveats have fogged our view of several fundamental, basic questions. Current ROCK-based therapeutics is moving rapidly toward the development of isoform-specific inhibitors, yet this promising avenue is hampered due to ambiguity over ROCK1- or ROCK2-specific functions in the brain (Feng et al. 2015; Julian and Olson 2014). Moreover, previous genetic attempts to explore ROCK1 and ROCK2 function were severely limited due to complications of knockout mice homozygosity on mixed genetic backgrounds. To address this, we generated new ROCK1^{+/-} and ROCK2^{+/-} mice on the C57BL/6N background. Through a combination of highly optimized three-dimensional modeling of dendritic structure and biochemistry, our study

revealed distinct and complementary roles for ROCK1 and ROCK2 in prelimbic mPFC structural plasticity.

The findings herein are informative given the rising need for ROCK-isoform selective inhibitors based on new research indicating isoform-specific roles of ROCKs in several human diseases (Feng et al. 2015). For instance, ROCK2-selective inhibitors would be applicable to disorders that predominantly associate with ROCK2, rather than ROCK1, including neuroblastoma, multiple sclerosis, spinal cord injury, AD, and stroke (Chan et al. 2005; Chiba et al. 2010; Herskowitz et al. 2013; Hirose et al. 1998; Lapchak and Han 2010; Rikitake et al. 2005; Street et al. 2010; Sun et al. 2006). Moreover, blood pressure reduction is an effect of systemic pan-ROCK inhibitors and is hypothesized to be caused predominantly via ROCK1 inhibition, therefore ROCK2-selective drugs may have a better safety profile (Feng and LoGrasso 2014). Consideration for ROCK-isoform selectivity may also be important for therapeutic avenues that target upstream regulators of ROCKs. For example, ROCK1 can be cleaved by caspases which removes the auto-inhibitory domain on ROCK1, while ROCK2 is similarly activated by Granzyme B-mediated cleavage when cells undergo apoptosis (Coleman et al. 2001; Sebbagh et al. 2005; Sebbagh et al. 2001). Although different protease enzymes are involved, both mechanisms result in constitutively active kinases with isoform-specific consequences in neurons.

ROCK1, but not ROCK2, heterozygosity increased dendritic length and complexity of layer 2/3 pyramidal neurons in the mPFC. This implicates ROCK1 as a novel regulatory factor of neuronal dendritic structure. Mirroring our findings in ROCK1 heterozygotes, intracerebroventricular infusion of the pan-ROCK inhibitor Fasudil increased dendrite length in CA1 hippocampal neurons (Couch et al. 2010). Moreover, overexpression of a constitutively active version of ROCK (the isoform was not defined) reduced dendritic complexity of hippocampal neurons (Nakayama et al. 2000). Together, these results suggest that Fasudil inhibition of ROCK1 contributed to the arbor effects. However, other studies using Golgi-impregnation and sholl analysis indicated that homozygous deletion of ROCK2 on the CD-1 background increased dendrite length and intersections in hippocampal neurons (Duffy et al. 2009). We observed no statistically significant changes in dendritic arbors of ROCK2 heterozygous mice, suggesting that more robust depletion of ROCK2 may be necessary or that ROCK1 can compensate under experimental conditions of ROCK2 heterozygosity. From a translational standpoint, our findings highlight that certain beneficial effects of ROCK1 inhibition may be lost with a ROCK2-specific inhibitor.

Past studies in cultured hippocampal neurons provided a starting point for assessing ROCK1 and ROCK2 specific functions. RNAi depletion of ROCK1 increased spine length and decreased spine head diameter, whereas ROCK2 knockdown increased head diameter (Newell-Litwa et al. 2015). The findings herein indicated that ROCK1 heterozygosity reduced spine length but similarly decreased head diameter, while ROCK2 heterozygosity decreased spine head diameter. Moreover, our previous *in vitro* studies revealed that treatment with Y-27632 increased spine density as well as spine length in hippocampal neurons (Swanger et al. 2015). These contrasting effects could represent *in vitro* versus *in vivo* conditions or differences in cell-type (hippocampal versus mPFC pyramidal neurons). The similar global reductions in spine head and neck diameter that were observed in

ROCK1^{+/-} and ROCK2^{+/-} mice suggest that decreased pLIMK in synaptosome fractions may explain the changes in spine head and neck morphology. Similarly, older studies demonstrated that genetic deletion of LIMK reduced spine head area in hippocampal neurons (Meng et al. 2002). The observed increase in WH pLIMK is more challenging to explain. It could reflect a dysregulation of alternative Rho-GTPase signaling, such as PAK1mediated phosphorylation of LIMK (Edwards et al. 1999), under conditions of ROCK1 or ROCK2 heterozygosity, but we did not observe changes in PAK1 among genotypes or biochemical fractions (Fig. 5 A, B, D, E). Alternatively, increased WH pLIMK may be explained by compensatory phosphorylation by ROCK1 or ROCK2 when the other isoform is depleted. That ROCK1 and ROCK2 appear as a yin and yang to regulate spine length is a fascinating observation and correlates with previous cultured neuron experiments and pan-ROCK inhibitors, demonstrating either increased or decreased spine length (Kang et al. 2009; Newell-Litwa et al. 2015; Swanger et al. 2015) (Figs. 3–4). It is possible that pan-ROCK inhibition could reflect ROCK-isoform specific effects depending on the cell-type or balance of ROCK1 and ROCK2 expression in the cells.

Concomitant alternations in dendritic spine length and head diameter among mPFC layer 2 and 3 apical and basal spine populations in ROCK1^{+/-} and ROCK2^{+/-} mice may reflect more rapid plasticity to regulate information processing (Grutzendler et al. 2002). Layer 2 and 3 neurons mediate granular cortico-cortico connections in the rodent mPFC; however interpreting the differential effects of ROCKs on spines among apical and basal dendrites is challenging (Douglas and Martin 2004; Douglas and Martin 2007). Functional distinctions between apical and basal dendrites in this region are poorly understood. Optogenetic experiments revealed that layer 2 prelimbic pyramidal neurons received functional inputs from the ventral hippocampus, basolateral amygdala (BLA), midline thalamic nucleus, and contralateral mPFC. Synapses from these inputs occurred at various dendritic locations but displayed bias toward spines with larger volumes (Little and Carter 2012). Moreover, photostimulation of BLA or contralateral mPFC neurons projecting to layer 2 or 3 pyramidal neurons indicated that projections from the BLA exhibited the strongest synaptic connections and correlated with increased spine density. Inputs from the BLA targeted spines near the soma which elicited stronger excitatory postsynaptic potentials than spines on distal dendrites (Little and Carter 2013). Notably, this interconnectivity of BLA and mPFC dendrites in layers II and III may be critical for behavioral response to emotional stimuli (Euston et al. 2012). These findings provide some circuit level context and implications for the role of ROCKs in the mPFC.

Past live imaging studies revealed that dendritic spines can change shape and size over timescales of seconds to minutes and hours to days (Parnass et al. 2000). Spine morphology is inextricably linked to spine function, and spines can be generally classified on the basis of their three-dimensional morphology as stubby, mushroom, or thin (Chang and Greenough 1984; Harris et al. 1992; Hering and Sheng 2001; Peters and Kaiserman-Abramof 1970). Stubby spines are predicted to be transitional that may enlarge, possibly to mushroom spines, which are more stable structures with a wide head and thin neck. Thin spines are more dynamic, and lack the wide, stable head indicative of mushroom spines. Spine structure strongly affects molecular diffusion with spine neck as the predominant mediator of compartmentalization, facilitating efficient regulation of synaptic biochemical and

electrical components (Tonnesen et al. 2014). Enlargement of the spine head follows induction of long-term potentiation (LTP) (Harvey and Svoboda 2007; Lang et al. 2004; Matsuzaki et al. 2004; Tanaka et al. 2008), and time-lapse STED imaging experiments revealed that spine necks are highly plastic in models of LTP (Tonnesen et al. 2014). This indicated that morphological alterations in spine necks and heads were coordinated. While spine head enlargement increased biochemical compartmentalization, neck changes preserved diffusion recovery after LTP. Additionally, structural changes in the neck may facilitate movement of molecules or secondary chemical messengers from the dendrite into the spine (Fonseca et al. 2004). Head diameter reduction in all spine types among ROCK2^{+/-} mice suggests that ROCK2 is a critical mediator of spine head diameter regardless of the structure/function state of the spine (Fig. 4), whereas ROCK1's influence on head diameter may be more important when dendritic protrusions adopted a thin spine morphology (Fig. 3G). Reductions in basal mushroom or thin spine neck diameter among $ROCK1^{+/-}$ or ROCK2^{+/-} mice, respectively, may reflect unique roles of individual ROCK isoforms during different phases of LTP in the prefrontal cortex. By modulating both head and neck diameter, it is clear that the ROCKs constitute a tightly regulated system with critical and related cell biological activities in dendritic spines.

Our investigation represents an important starting point in tackling the question of whether ROCK isoforms have specific neuroanatomical functions; however a caveat to this approach is that developmental compensation for the loss of one ROCK isoform may have contributed to observed phenotypes. Future studies of conditional ROCK1 and ROCK2 knockout mice will determine whether ROCK isoforms exhibit cell-type specific roles in brain or timedependent functions, such as in aging or development. The results herein provide clues to the translational potential of future ROCK-isoform specific inhibitors. For instance, recent studies on patients that are resilient to AD indicate that cumulative elongation of dendritic spines in the prefrontal cortex may be critical to maintain cognitive function in the presence of AD pathology (Boros et al. 2017). ROCK2 reduction increased whereas ROCK1 depletion decreased apical spine length in the mPFC (Figs. 3-4), highlighting ROCK2, but not ROCK1, as a therapeutic target for AD. Studies using chronic restraint stress paradigms in rats revealed that aging and stress can substantially reduce dendritic arbor length and complexity in the mPFC, implying adverse experience over the lifespan may interact with cognitive aging (Bloss et al. 2011; Radley et al. 2005; Radley et al. 2004). Under these circumstances, it may be more beneficial to target ROCK1 with pan-ROCK inhibitors to curb simplification and shortening of dendritic arbors (Fig. 1). Collectively, our findings highlight distinct and complementary roles for ROCK1 and ROCK2 in prefrontal cortex dendritic structure and provide an initial study of new ROCK models on the C57BL/6N background.

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Figure 1.

ROCK1 heterozygosity induces increased dendritic length and complexity. **A**. Representative maximum-intensity image of iontophoretically-filled layer 3 pyramidal neuron in the medial prefrontal cortex. Scale bar represents 50 μ m. **B**. Corresponding three-dimensional digital reconstruction generated in Neurolucida360. Apical arbors are depicted in teal; basal arbors are shown in blue; and soma and axon are in grey. Sholl analysis with 10 μ m shells was performed for apical and basal arbors from (**C-F**) ROCK1^{+/+} (N=17 neurons from 4 mice) and ROCK1^{+/-} (N=19 neurons from 6 mice) or (**G-J**) ROCK2^{+/+} (N=23

neurons from 5 mice) and ROCK2^{+/-} (N=25 neurons from 6 mice). Sholl curves for ROCK1^{+/+} and ROCK1^{+/-} indicate that genotypes segregate based on **C** apical dendrite length (Two-way analysis of variance: **P=0.0010, $F_{1,426} = 10.89$), **D** apical dendrite intersections (Two-way ANOVA: **P=0.0011, $F_{1,426} = 10.86$), **E** basal dendrite length (Two-way ANOVA: **P=0.0081, $F_{1,370} = 7.083$), and **F** basal dendrite intersections (Two-way ANOVA: **P=0.0003, $F_{1,370} = 13.08$). Sholl curves for ROCK2^{+/+} and ROCK2^{+/-} indicate that genotypes do not segregate based on **G** apical dendrite length, **H** apical dendrite intersections, **I** basal dendrite length, or **J** basal dendrite intersections. Lines represent the mean ± standard error of the mean. R1+/+, ROCK1^{+/+}; R1+/-, ROCK1^{+/-}; R2+/+, ROCK2^{+/+}; R2+/-, ROCK2^{+/-}.



Figure 2.

Representative high-resolution confocal microscope images and corresponding threedimensional digital reconstruction models of dendrites. (**A**, **C**, **E**, **G**) Representative maximum-intensity confocal images of dye-filled dendrites after deconvolution. Scale bars represent 5 μ m. (**B**, **D**, **F**, **H**) Three-dimensional digital reconstructions of dendrites depicted on the left. Colors correspond to dendritic protrusion classes: blue represents thin spines; orange, stubby spines; green, mushroom spines; and yellow, dendritic filopodia.

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Figure 3.

Comparison of apical and basal spine densities and morphology in ROCK1^{+/+} (N=36 dendrites from 5 mice) and ROCK1^{+/-} (N=40 dendrites from 6 mice). **A**. Mean number of thin, stubby, or mushroom spines per 1 µm of apical or basal dendrite was similar between ROCK1^{+/+} and ROCK1^{+/-}. Bar graphs plot the mean with standard error of the mean. (**B-I**) The cumulative frequency distributions for individual spines were plotted for each genotype and morphological parameter. Comparison of apical spine distribution plots indicate that ROCK1^{+/+} and ROCK1^{+/-} segregate based on **B** apical spine length (Kolmogorov-Smirnov [KS]: D=0.0671, ****p<0.0001); but not **C** apical head diameter or **D** apical neck diameter. Comparison of basal spine distribution plots show that genotypes segregate based on **E** basal spine length (KS: D=0.0465, *P=0.0372); **F** basal head diameter (KS: D=0.1382, ****P<0.0001); **G** basal thin spine head diameter (KS: D=0.1304, ****p<0.0001); **H** basal neck diameter (KS: D=0.0879, ****P<0.0001); and **I** basal mushroom spine neck diameter (KS: D=0.2416, ****P<0.0001). R1+/+, ROCK1^{+/+}; R1+/-, ROCK1^{+/-}.

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Figure 4.

Comparison of apical and basal spine densities and morphology in ROCK2^{+/+} (N=32 dendrites from 4 mice) and ROCK2^{+/-} (N=58 dendrites from 5 mice). **A**. Mean number of thin, stubby, or mushroom spines per 1 µm of apical or basal dendrite was similar between ROCK2^{+/+} and ROCK2^{+/-}. Bar graphs plot the mean with standard error of the mean. (**B-I**) The cumulative frequency distributions for individual spines were plotted for each genotype and morphological parameter. Comparison of apical spine distribution plots indicate that ROCK2^{+/+} and ROCK2^{+/-} segregate based on **B** apical spine length (Kolmogorov-Smirnov [KS]: D=0.0702, ****p<0.0001); **C** apical mushroom spine length (KS: D=0.1195, **P=0.0063); **D** apical head diameter (KS: D=0.0610, ***P=0.0002); and **E** apical neck diameter (KS: D=0.0778, ****P<0.0001). (**F-I**) Comparison of basal spine distribution plots show that genotypes segregate based on **G** basal head diameter (KS: D=0.0567, ****p<0.0001); **H** basal neck diameter (KS: D=0.0541, ***P=0.0002); and **I** basal thin spine neck diameter (KS: D=0.0653, ***P=0.0003); but not **F** basal spine length. R2+/+, ROCK2^{+/+}; R2+/-, ROCK2^{+/-}.



Figure 5.

ROCK1 or ROCK2 heterozygosity reduces pLIMK in synaptosome fractions. N=5-10 mice per genotype. Representative Western blots from whole homogenate (WH) and synaptosome (Syn) fractions of (**A-B**) ROCK1^{+/+} and ROCK1^{+/-} or (**D-E**) ROCK2^{+/+} and ROCK2^{+/-} mice. For densitometry analysis protein levels of WH or Syn homogenates from ROCK1^{+/-} or ROCK2^{+/-} were normalized to ROCK1^{+/+} or ROCK2^{+/+}, respectively. WH and Syn protein levels measured by densitometry were averaged to generate mean per genotype. **C** ROCK1^{+/-} WH showed decreased ROCK1 (t-test: **P=0.0024, t=4.369, df=8), elevated

pLIMK levels (t-test: *P=0.0355, t=2.429, df=10), and increased pCofilin levels (t-test: *P=0.0315, t=2.499, df=10). ROCK1^{+/-} Syn fractions showed decreased ROCK1 (t-test: ***P=0.0003, t=5.099, df=11), decreased pLIMK (t-test: *P=0.0265, t=2.600, df=10), reduced pCofilin levels (t-test: ***P=0.0008, t=4.580, df= 11), and decreased pMLC (t-test: *P=0.0130, t=3.089, df=9). F ROCK2^{+/-} WH showed decreased ROCK2 (t-test: ***P=0.0001, t=7.273, df=11), elevated pLIMK (t-test: ***P=0.0004, t=5.000, df=11), and increased pCofilin levels (t-test: *P=0.0136, t=2.988, df=10). ROCK2^{+/-} Syn fractions showed decreased ROCK2 (t-test: **P=0.0068, t=3.261, df=12), decreased pLIMK (t-test: *P=0.0253, t=2.554, df=12), and reduced pCofilin levels (t-test: ***P=0.0067, t=3.334 df=11). Bar graphs plot the mean with standard error of the mean. WH, whole homogenate; Syn, synaptosome fractions; R1+/+, ROCK1^{+/+}; R1+/-, ROCK1^{+/-}; R2+/+, ROCK2^{+/-}