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Neurovascular Unit: Basic and Clinical Imaging with Emphasis on Advantages of Ferumoxytol

Physiological and pathological processes that increase or decrease the central nervous system's need for nutrients and oxygen via changes in local blood supply act primarily at the level of the neurovascular unit (NVU). The NVU consists of endothelial cells, associated blood-brain barrier tight junctions, basal lamina, pericytes, and parenchymal cells, including astrocytes, neurons, and interneurons. Knowledge of the NVU is essential for interpretation of central nervous system physiology and pathology as revealed by conventional and advanced imaging techniques. This article reviews current strategies for interrogating the NVU, focusing on vascular permeability, blood volume, and functional imaging, as assessed by ferumoxytol an iron oxide nanoparticle.

KEY WORDS: Blood-brain barrier, Imaging, Neurovascular unit

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The neurovascular unit (NVU) is defined as a complex functional and anatomical structure composed of endothelial cells and their blood-brain barrier (BBB) forming tight junctions, a basal lamina covered with pericytes and smooth muscular and neural cells, including astrocytes, neurons, and interneurons, and an extracellular matrix (Figure 1).¹⁻³ These cells and extracellular components are intimately and reciprocally linked to form a complex multicellular entity that regulates regional cerebral blood flow (CBF) and nutrient delivery.⁴ The NVU is vital for autoregulation of CBF oxygen and nutrient delivery.^{5,6}

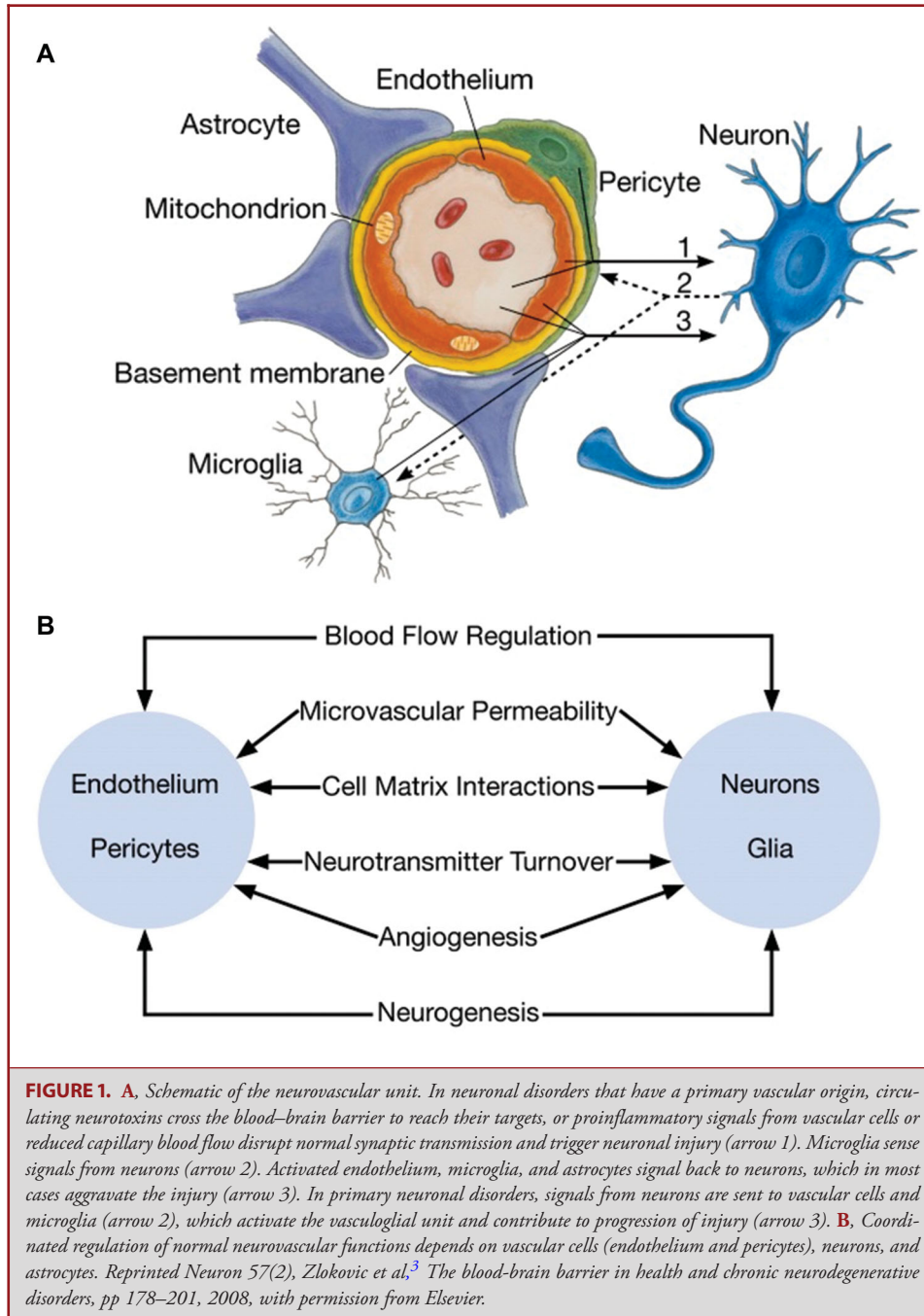
Central nervous system (CNS) capillaries are continuous, nonfenestrated vessels adapted to local demands to deliver nutrients while remaining impervious to harmful compounds.^{7,8} Endothelial cells and support structures are thin compared to those found in other organs. Brain

endothelial tight junctions play an essential role in the integrity of the BBB, securely connecting the endothelial cells together. A combination of cell membrane transporters and endocytosis enables movement of molecules across endothelial cells while maintaining solute gradients. These mechanisms are energy intensive and play important roles in meeting the metabolic demands of endothelial cells.⁹

Understanding the function and structure of the NVU is fundamental to all aspects of normal CNS vascular physiology and pathogenesis of many diseases.^{10,11} To decipher the complexity of the NVU, different imaging techniques have been employed, ranging from basic science laboratory tools such as 2-photon fluorescence microscopy, laser speckle, and intrinsic optical imaging, to translational and clinical imaging modalities such as positron emission tomographic (PET) and MRI. The choice of imaging depends on the spatial and temporal resolution, required to answer the questions being addressed. This review will focus on the use of ferumoxytol, an iron oxide nanoparticle, as an MRI contrast agent, useful for vascular imaging early after injection and as a noninvasive biomarker of inflammatory response in later time points.

Major challenges of imaging the NVU include visualizing the physical relationships between and defining molecular interactions among the individual NVU components, and integrating these findings into understanding the

ABBREVIATIONS: 2-PM, 2-photon microscopy; BBB, blood-brain barrier; CBF, cerebral blood flow; CBV, cerebral blood volume; CNS, central nervous system; CVR, cerebrovascular reactivity; DCE, dynamic contrast enhancement; DSC, dynamic susceptibility contrast; FDA, Food and Drug Administration; GBGA, gadolinium-based contrast agents; NVU, neurovascular unit; PET, positron emission tomographic; rCBV, relative CBV; rs-fMRI, resting state functional MRI; SS-CBV, steady-state CBV



physiological and pathological function of the NVU. Here we summarize some of the research on advances in imaging the NVU that emerged from the Twenty-first Annual Blood-Brain Barrier Consortium Meeting, March 19-21, 2015, in Stevenson, Washington.

EXPERIMENTAL, BASIC, AND TRANSLATIONAL SCIENCE

Significant strides have been made toward understanding how the NVU accomplishes rapid and regional increases in blood

flow and nutrient influx following neuronal activation. Early in Vitro models studied 1 or 2 NVU components such as isolated brain vascular endothelial cells or intact brain capillaries but failed to provide a comprehensive understanding of the complete functional unit.¹² The in Vitro brain slice preparation constitutes a more complete model to study cell-to-cell communications, as it possesses all of the constituents of the NVU with the addition of local circuits that allow for precise stimulation of discrete neuronal and/or astrocytic targets while simultaneously monitoring the activity of vascular cells.⁵ However, because this is an in Vitro technique, dynamic NVU functions may be difficult or impossible to reproduce.

In Vivo techniques provide dynamic observation of NVU function but have their own challenges. In Vivo 2-photon microscopy (2PM) permits visualization of hundreds of micrometers below the cerebral cortex surface. 2PM can dynamically scan cortex and tissues and allows a wide range of physiological and pathophysiological events to be captured at a cellular resolution from virtually the entire cortical vascular bed. 2PM has the potential to provide important new insights into NVU physiology through its capability to capture in Vivo dynamics longitudinally at subcellular resolution.¹³

Dynamic processes such as vascular permeability can be quantified by monitoring extravasation of an intravascular tracer. Recently, this tool has become instrumental in defining basic aspects of the BBB and the NVU microenvironment, providing important groundwork for downstream translational and clinical imaging.¹⁴

Disease-specific molecular remodeling of the NVU may provide useful information on differential diagnosis, disease severity and progression, and response to treatment.¹¹ Detection of molecular NVU changes by noninvasive imaging necessitates development of targeting molecules that are specific markers of NVU pathology.¹⁵ During the last decade, peptides and antibody fragments have expanded the field of molecular imaging agents for indications including brain tumors, neurodegenerative diseases, and neuroinflammation.¹⁶

CLINICAL SCIENCE

Recent tools that allow the study of NVU function in clinical practice include new contrast media, radiotracers, and techniques for postprocessing. Evaluating the NVU in patients via minimally invasive approaches is essential to understanding the pathophysiology and potential treatments of brain disease in Situ. Nearly every clinical imaging modality has been employed to evaluate blood–brain permeability through the brain microvasculature.

Permeability and Perfusion

MRI techniques that utilize gadolinium-based contrast agents (GBCA) are the most commonly employed to assess vascular permeability and NVU structure and function in both animal and human models of disease. Investigators have relied on dynamic contrast enhancement (DCE) in which extravasation of a contrast

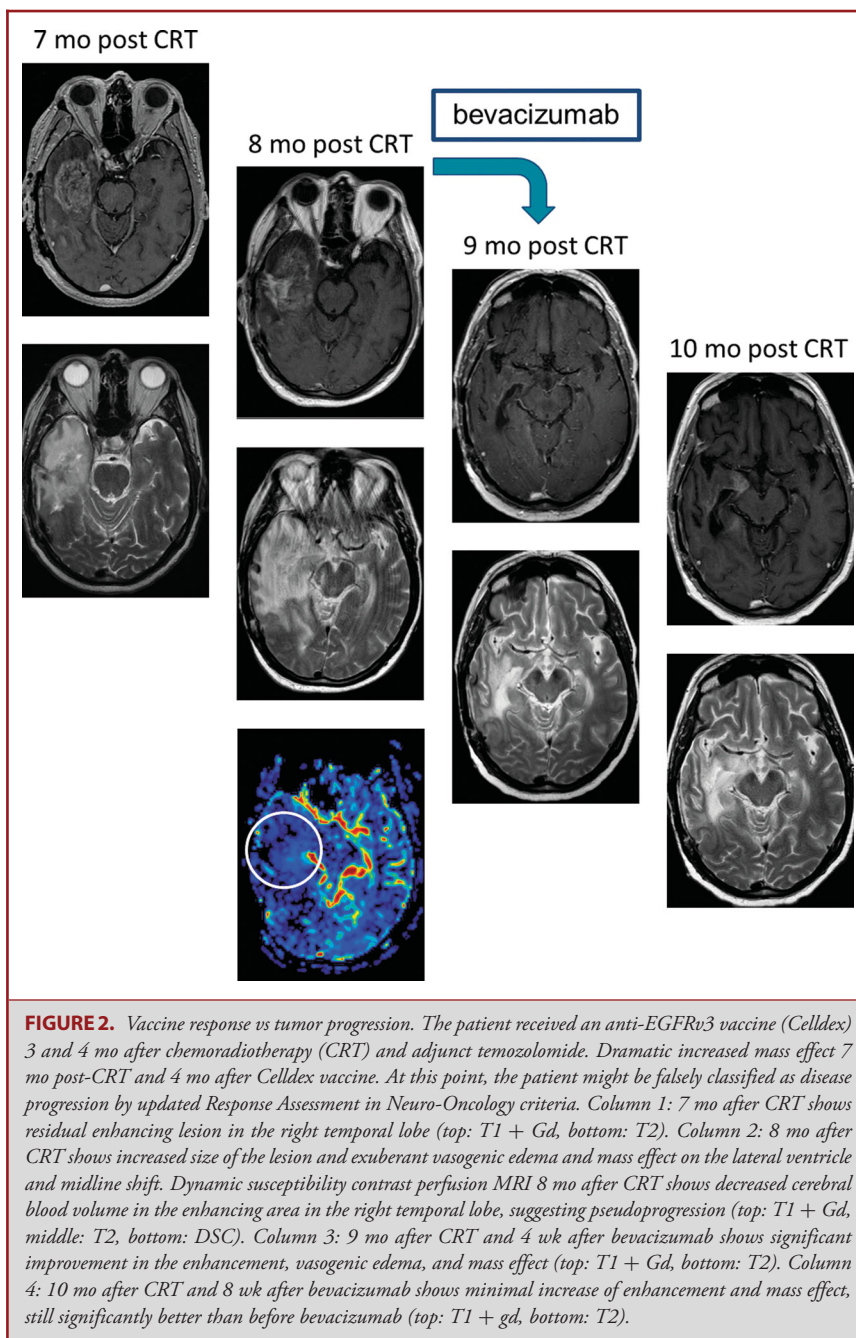
agent into the brain is recorded over several minutes. DCE-MRI is used to quantify cerebral vascular abnormalities in animal models of cerebral focal ischemia,^{17,18} methamphetamine use,¹⁹ and tumors.²⁰ DCE-MRI is also used in humans,²¹ and the effects of focal ischemia,^{22,23} multiple sclerosis,^{24,25} and cerebral cavernous malformations²⁶ on BBB transfer rate (K_{trans}) have been studied. Subtle changes in K_{trans} detected in patients with cognitive impairment correlate with vascular injury biomarkers such as matrix metalloproteinases.²⁷ Compartmental modeling of contrast agent extravasation allows the estimation of V_p (blood plasma volume per unit volume of tissue). Along with K_{trans} , V_p can be used to map pathologic BBB leakage.

Challenges in translating the BBB transfer rate measurement to clinical use include its relatively long acquisition time, limitations of contrast-based imaging such as nonlinear behavior (saturation effect), limitations of compartmental modeling techniques such as simplification of the biology and the requirement of an arterial input function, and lack of a uniform mathematical approach for modeling the effect of contrast agent leakage on imaging parameters. Nonparametric approaches for analyzing DCE-MRI datasets have been proposed and deserve further investigation.²⁸

As opposed to DCE-MRI, dynamic susceptibility contrast (DSC) MRI tracks the first pass of a contrast agent through a given tissue. DSC-MRI measures signal change following injection of a paramagnetic contrast agent, typically GBCA, which results in a reduction in signal intensity as it passes through the capillaries. This signal loss from the first passage of the contrast bolus is proportional to contrast agent concentration in each voxel, allowing estimation of CBF, cerebral blood volume (CBV), and mean transit time. DSC-MRI can characterize hemodynamics for determination of tumor grade, predict clinical response and malignant transformation, distinguish between recurrent tumor and radiation necrosis, and differentiate true early progression from pseudoprogression.^{29,30} Only a small number of high-quality data sets exist, and as there are no standardized, consensus-based methods for performing DSC-MRI, reproducibility remains a substantial hurdle.³¹

Superparamagnetic iron oxide nanoparticles such as ferumoxytol (Feraheme) have been used as MRI contrast agents and provide similar or complementary information compared to GBCA-enhanced MRI. Ferumoxytol demonstrates immediate intravascular enhancement with a long half-life (14 h and 11 min) in the blood pool and progressively increasing accumulation (hours to days/weeks postinjection) within macrophages, bone marrow, liver, spleen, and lymph nodes.³²

Due to its prolonged intravascular half-life, ferumoxytol permits dynamic vascular and perfusion imaging, which may allow differentiation between true tumor progression and pseudoprogression after treatment. Although the appearance of these 2 processes is similar on standard MRI, they have different tumor NVU profiles. Increased contrast enhancement with low relative CBV (rCBV; < 1.75) on perfusion MRI suggests pseudoprogression (ie, inflammation), whereas high rCBV (> 1.75) suggests



tumor progression (ie, hypervascularity within the tumor). Ferumoxytol-based perfusion imaging of brain tumors shows greater reproducibility and consistency than GBCA³³ without the need for variable preloading doses and specialized postprocessing software such as leakage correction. This could improve standardization across institutions for research and ultimately clinical use by allowing accurate and consistent diagnosis of pseudoprogression and treatment-related injuries as well as pseudoresponse

after antiangiogenic therapy.^{34,35} The issue of prospectively differentiating true progression from pseudoprogression is becoming increasingly problematic in interpreting results from CNS vaccine trials (Figure 2). We believe that rCBV should be a part of patient enrollment criteria in experimental studies, with the goal of decreasing the chance of enrolling patients with pseudoprogression, the proportion of which could be as high as 30% to 40% in glioblastoma.³⁶

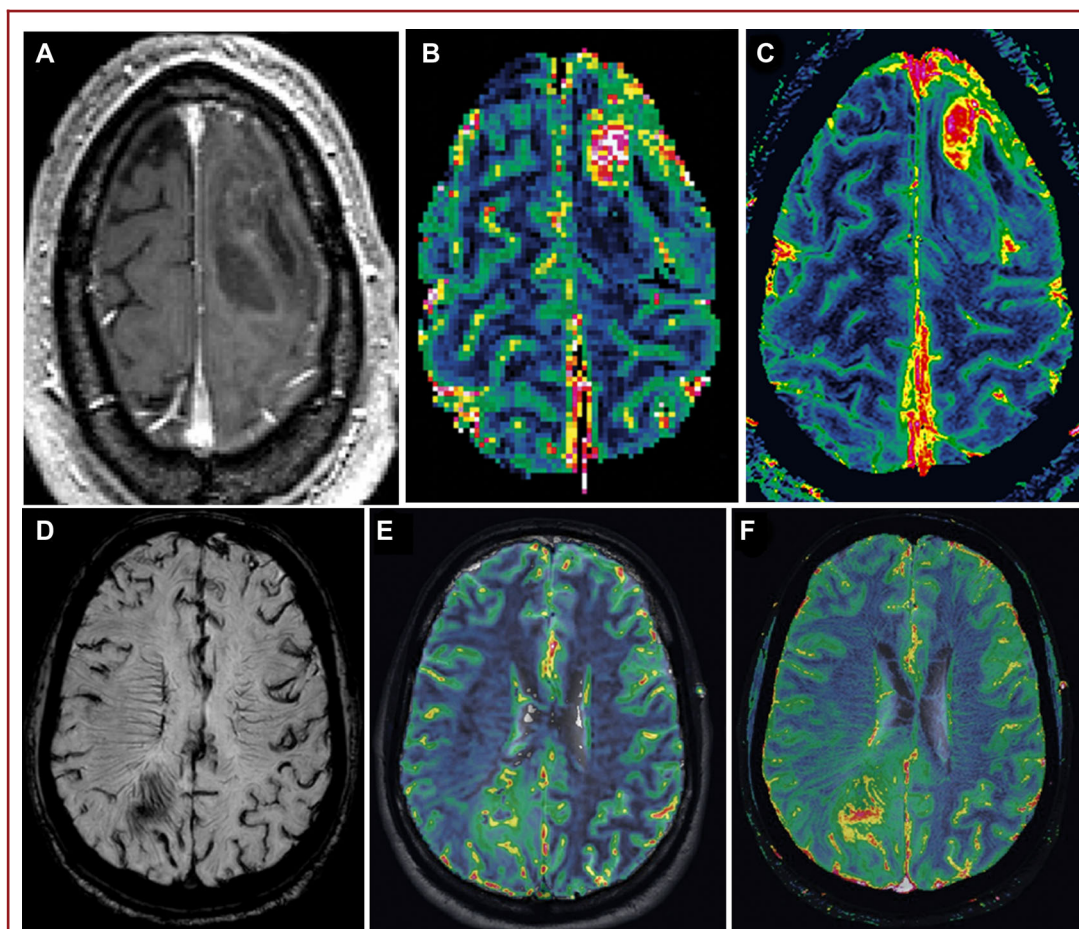


FIGURE 3. **A**, High-resolution steady-state MRI of a patient with GBM for surgical targeting. T1-weighted MRI after administration of gadolinium-based contrast agents shows a heterogeneous lesion in the left frontal lobe with faint enhancement. **B**, Gadolinium-based contrast agent dynamic susceptibility contrast (DSC) perfusion shows increased relative cerebral blood volume (CBV) in the anterior aspect of the lesion. **C**, Ferumoxytol-based high-resolution steady-state map shows the focal area of increased relative CBV, easily differentiated from normal cortex and vessels. Comparison of steady-state cerebral blood volume (SS-CBV) and DSC-CBV maps in a patient with a GBM. **D**, High-resolution SWI minimum intensity projection shows abnormal vascularization in and around the tumor. In corresponding slices, the DSC-CBV **E** and SS-CBV **F** maps show increased areas of CBV in these areas, indicating the ideal target for needle biopsy. Reprinted from *Kidney International*, 92(1), Toth GB, Varallyay CG, Horvath A, Current and potential imaging applications of ferumoxytol for magnetic resonance imaging, pp 47–66, 2017,⁸¹ with permission from Elsevier.

High-resolution, steady-state CBV (SS-CBV) mapping has been tested in brain tumors using ferumoxytol and appears to be superior to dynamic (DSC) perfusion imaging for both tumor targeting and assessment of treatment.^{37,38} Ferumoxytol has been shown to be useful in biopsy guidance in brain tumors by virtue of identifying small areas of high blood volume, which are suggestive of the most malignant portion of the tumor. In primary gliomas, increased blood volume has been associated with higher-grade tumor (pilocytic astrocytoma as an exception); when total resection is contraindicated and a specific target has to be chosen for biopsy, the area with the highest CBV should be identified²⁹ (Figure 3A-C). Ferumoxytol perfusion MRI with DSC and SS-CBV has the potential to show small areas of increased CBV

in the tumor, sometimes in a distinct location from the GBCA enhancement (Figure 3D-F). In patients with suspected CNS lymphoma or other lymphoproliferative disorders that are highly responsive to chemotherapy, diagnostic biopsies are essential, but in many cases the tissue obtained is not diagnostic. Delayed enhancement with ferumoxytol has been shown to increase the sensitivity of brain biopsies in patients with lymphoproliferative disorders due its different mechanism of enhancement compared to GBCA, with increased uptake by local macrophages, abundant in this type of tumor.³⁹ However, this superiority remains to be tested in prospective clinical studies.^{33,37} Table compares the different MRI methods to assess perfusion of the NVU.⁴⁰

TABLE. Comparison of Different MRI Methods to Access Neurovascular Unit Perfusion

	DCE	DSC	SS
Contrast	GBCA ferumoxytol	GBCA ferumoxytol	Ferumoxytol
Model parameters	k^{trans} , v_p , v_e , IAUC	CBV, CBF, MTT	CBV
Spatial resolution	1 mm in plane	2 mm in plane	0.41 mm in plane
Slice thickness	5 mm	5 mm	2.2 mm
Geometric artifact	Low impact	Prone to problems at the skull base	Prone to problems at the skull base
Dynamic	Yes	Yes	No
Total acquisition time	3-5 min	2 min	8 min

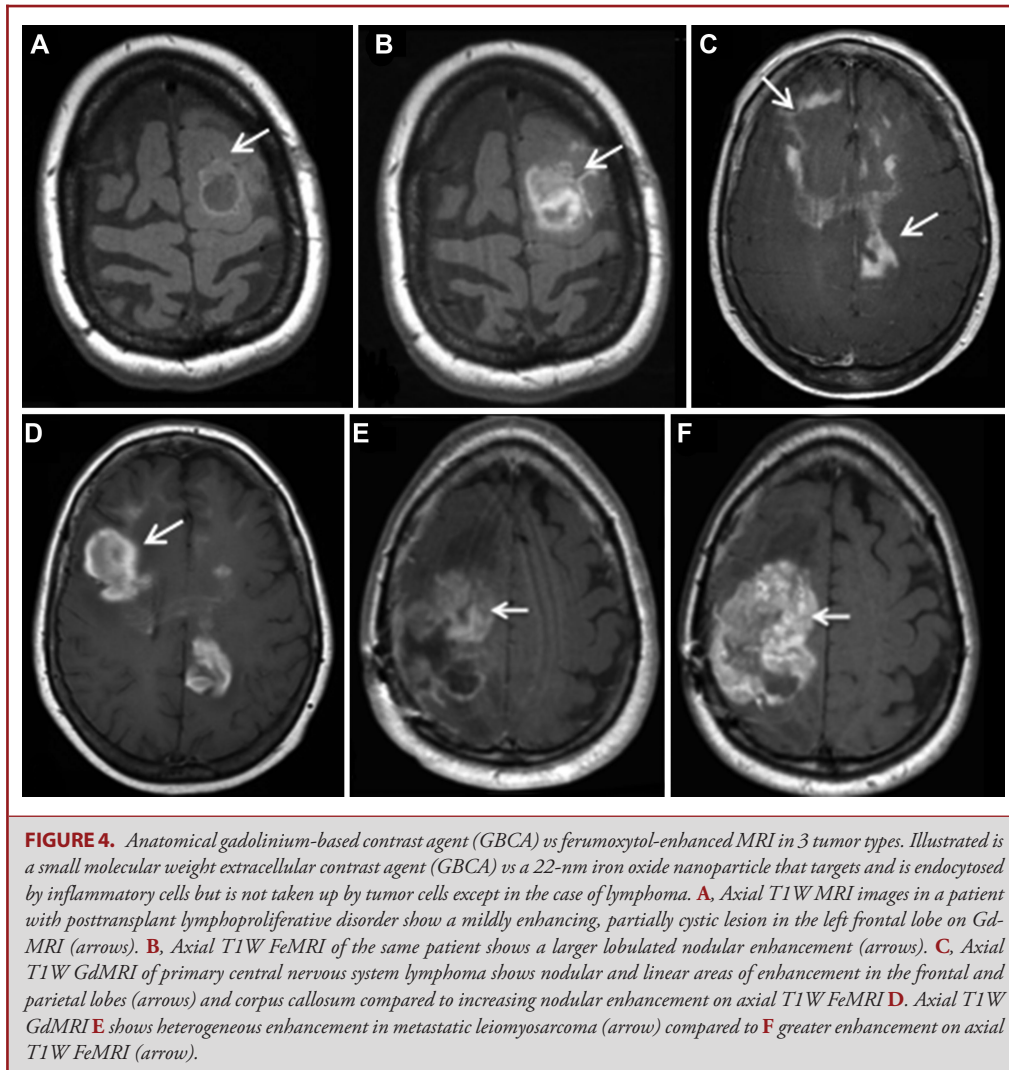
DCE = dynamic contrast-enhanced, DSC = dynamic susceptibility contrast-enhanced, k^{trans} = transfer constant, v_p = fractional volume of the plasma space, v_e = fractional volume of the extravascular-extracellular space, IAUC = initial area under the contrast agent concentration-time curve, CBV = cerebral blood volume, CBF = cerebral blood flow, MTT = mean transit time.

Ferumoxytol is approved by the US Food and Drug Administration (FDA) for treatment of anemia in adult patients with chronic kidney disease.⁴¹ Safety data from investigations in patients with chronic kidney disease have been used as a rationale that ferumoxytol could in principle also be safely used “off label” as an alternative contrast agent for MRI (Figure 4).^{32,34,35,39,42,43} Late ferumoxytol enhancement is useful in the evaluation of primary and secondary CNS neoplasms, lymphoproliferative disorders, demyelination, and vascular diseases (Figure 5).^{32,34,35,39,42,43} Limitations of ferumoxytol as a contrast agent include lack of reimbursement, inadequate long-term safety data, frequent need for multiple imaging sessions, and uncommonly delayed enhancement that may result in misinterpretations. On March 30, 2015, the FDA added a boxed warning for ferumoxytol, highlighting the risk of hypersensitivity reactions, the need for observation post administration, and the need for staff and medications to be available to immediately treat any reactions. The rate of adverse events with ferumoxytol has remained the same since its approval for use as an iron replacement on June 30, 2009 (<http://www.fda.gov/Drugs/DrugSafety/ucm440138.htm>). According to the FDA, ferumoxytol has been administered over 1 million times, and there have been 79 reported cases of serious hypersensitivity, including 18 fatalities. This rate is comparable to that of ionic iodinated contrast media⁴⁴ and 10 times higher than that of GBCA⁴⁵ and nonionic iodinated contrast media.⁴⁴ Extra care is suggested in elderly patients with multiple or serious medical conditions and in patients with multiple drug allergies. A lower injection rate is also suggested, although there are no data available that injection rate has any impact on the frequency or severity of reactions. The safety of GBCA has also received renewed attention in the face of new data showing gadolinium deposition in the basal ganglia and dentate nucleus in a dose-dependent fashion and even rarely after a single GBCA infusion. In principle, this may have clinical relevance in humans,⁴⁶ as delayed neurological toxicity after GBCA has been shown in animal models.^{47,48}

In children, the 3 major techniques for measuring permeability and perfusion, DSC, DCE, and arterial spin labeling, have been used in clinical care and research. Each has demonstrated value in assessment of neovascularity and integrity of the NVU with regard to preoperative histological grading of tumors and in identifying favorable and unfavorable treatment responses.²¹ A dual contrast agent (ferumoxytol and GBCA) single imaging session in children has been tested and is feasible, safe, and apparently useful for assessing tumor perfusion and permeability characteristics in children (Figure 6).⁴⁹

The modeling element remains essential to the conversion of imaging data into quantitative permeability and perfusion parameters. Most current approaches are variations of tracer kinetics with basic assumptions about volume of distribution and compartments.⁵⁰ The most commonly utilized classic graphical approach was described in the 1980s.⁵¹ In 1991, Tofts and Kermode⁵² described their analysis for dynamic gadolinium-based imaging, which is the most commonly used approach for modeling MRI contrast enhancement and is frequently implemented in research and clinical postprocessing packages. Significant variations remain in both scanner vendor and postprocessing vendor implementation, though efforts by the Radiological Society of North America’s Quantitative Imaging Biomarkers Alliance to better standardize these methods are ongoing. In some instances, for example, active lesions of multiple sclerosis, qualitative evaluation of DCE-MRI data may also provide insight into disease mechanisms.⁵³

One recent study⁵⁴ has developed an advanced method using individual arterial input function, improved spatial resolution, improved signal-to-noise ratio, and a new software (“ROCKETSHIP”) to determine simultaneously BBB integrity in different gray and white matter regions in the living human brain. These investigators showed that BBB breakdown during normal aging begins in the hippocampus, a region critical for learning and memory, and that BBB breakdown in the hippocampus worsens with mild cognitive impairment and correlates with injury to BBB-associated pericytes.



DCE-CT has been used for evaluating permeability, mostly in stroke and tumor imaging.⁵⁵⁻⁵⁷ CT perfusion in combination with DCE-MRI quantifies the permeability surface product of the BBB, which measures the leakage rate of solutes in blood through the compromised BBB. BBB permeability has been serially measured using this method in animal models and stroke patients, with implications for prediction of hemorrhagic transformation, prediction in ischemic stroke, primary intracerebral hemorrhage, poststroke reperfusion injury, and long-term cognitive/dementia manifestations.⁵⁸

There is an extensive body of literature describing cerebrovascular reactivity (CVR), an indirect measurement of the coupling between neuron and vasculature, using hypercapnia and acetazolamide. Acetazolamide is a potent dilator of cerebral arterioles and has been used for CVR testing for decades.⁵⁹⁻⁶¹

Alternatively, hypercapnia can also be used as a cerebroarterial dilator, with advantages and disadvantages compared to acetazolamide.⁶² CVR provides information about the hemodynamics and pathophysiology of cerebrovascular diseases based on the principle that applying a vasodilating stimuli to the brain vasculature, while at the same time measuring CBF, will cause a relative increase in CBF due to decreasing impedance of the brain vasculature, directly reflecting the spontaneous tone of the arterioles. Changes in blood flow velocities can be measured using transcranial Doppler^{63,64} or with CT/MRI perfusion maps.^{65,66} CVR has been extensively used in the evaluation of patients with carotid and cerebral vascular disease.^{65,67-69} This has also been studied in less common indications, such as vasospasm after subarachnoid hemorrhage,^{63,70} sleep apnea,⁷¹ and functional evaluation with MRI.⁷²

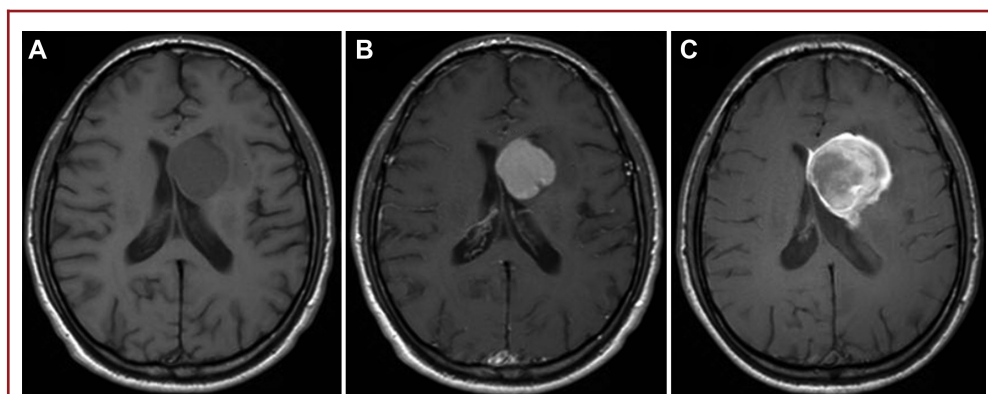


FIGURE 5. **A**, T1-weighted imaging; **B**, T1-weighted imaging post gadolinium-based contrast agent shows a large periventricular enhancing tumor in a 20-yr-old male; **C**, T1-weighted imaging 24 h post-ferumoxytol infusion shows much more extensive and heterogeneous uptake. After biopsy, this lesion was confirmed to represent lymphoma. Differences between ferumoxytol and gadolinium-based contrast agent enhancement are still under investigation, but different molecule size and uptake by macrophages are certainly important factors.

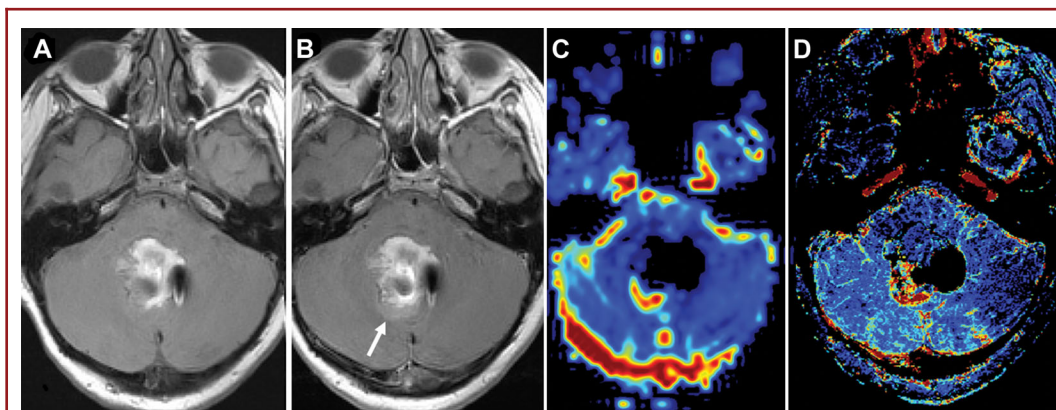


FIGURE 6. **A**, T1-weighted imaging; T2-weighted MRI. Reprinted from Thompson et al,⁴⁹ Springer Science+Business Media, LLC, 2012, with permission of Springer. **B**, Post-gadolinium-based contrast agent shows residual enhancing tumor (arrow) in a 10-yr-old girl with medulloblastoma. **C**, Dynamic susceptibility contrast–cerebral blood volume map using ferumoxytol bolus shows high vascularity of this area. **D**, Improved anatomical delineation of the highly vascular tumor is seen on the steady-state map.

Resting State Functional MRI

Many centers are routinely using functional MRI (fMRI) in the preoperative evaluation of lesions near important regions of the brain, such as motor and language cortex, as well as in the definition of laterality of language areas. The NVC is the basic principle of fMRI, which measures the relative concentration of oxygenated hemoglobin (BOLD) in blood vessels and tissues, indirectly measuring regional brain activity, which may be disrupted within a pathological NVU. When released, certain neurotransmitters, such as glutamate, can promote dilatation of cerebral blood vessels by triggering vasoactive molecules from both neurons and glial cells.⁷³

In a number of neurological conditions, including stroke, glioma, subarachnoid hemorrhage, cortical spreading

depolarizations, brain injury, hypertension, and neurodegenerative diseases such as Alzheimer and Parkinson disease, neuro-glio-vascular coupling may be altered or dysfunctional, altering the relationship between neuronal activity and changes in blood oxygenation.⁷³

While fMRI is used for detection of activity during a task, measurements at rest also provide information concerning the structure and function of the NVU. Resting state (rs)-fMRI also relies on NVC to determine neural activity. Spatially distinct brain regions corresponding to intrinsic brain networks demonstrate synchronous changes in BOLD signal.^{74,75} These networks are reproducible within and across subjects.⁷⁶ Expertise in the dynamics of NVC is critical for accurate interpretation of fMRI in various diseases in which uncoupling may occur, such as in the

vicinity of brain tumors.⁷⁷ In rs-fMRI, a brain region demonstrating aberrant NVC manifests as an abnormal depiction of network topology. rs-fMRI may be more feasible than commonly employed clinical task-related techniques such as breathholding in children, and it may also have value in unresponsive patients.

The possibility of rs-fMRI being unaffected by neurovascular uncoupling due to molecular differences underpinning the BOLD response at rest vs during a task has been raised. Although presence of neurovascular uncoupling is not specifically described in rs-fMRI, the similarity of the NVC between rs-fMRI and task-fMRI has been demonstrated,⁷⁸ indicating that rs-fMRI is affected by neurovascular uncoupling in the presence of pathology. Recently, alternatives to BOLD-based functional imaging have been proposed, including ferumoxytol-enhanced rs-fMRI.^{79,80}

CONCLUSION

Knowledge of the normal function and structure of the NVU is essential for understanding diseases involving the CNS. Imaging the NVU has been the subject of basic science and translational research activities for some time now, and recent and ongoing advances will help to incorporate several of these into clinical practice, where they will undoubtedly influence patient care. CT, MRI, and PET biomarker permeability and perfusion measurements offer noninvasive tools to indirectly measure NVU function in many disease states, and neuroradiologists, familiar with advances in contrast media (such as ferumoxytol), techniques, and postprocessing, will be the first to adopt these techniques.

Disclosures

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