

REPORT



Antibody pharmacokinetics in rat brain determined using microdialysis

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ABSTRACT

Here, we present the first case-study where microdialysis is used to investigate the pharmacokinetics of antibody in different regions of rat brain. Endogenous IgG was used to understand antibody disposition at steady-state and exogenously administered trastuzumab was used to understand the disposition in a dynamic setting. Microdialysis samples from the striatum (ST), lateral ventricle (LV), and cisterna magna (CM) were collected, along with plasma and brain homogenate, to comprehensively understand brain pharmacokinetics of antibodies. Antibody concentrations in cerebrospinal fluid (CSF) were found to vary based on the site-of-collection, where CM concentrations were several-fold higher than LV. In addition, antibody concentrations in CSF (CM/LV) were found to not accurately represent the concentrations of antibody inside brain parenchyma (e.g., ST). Elimination of CSF from CM was found to be slower than LV, and the entry and exit of antibody from ST was also slower. Pharmacokinetics of exogenously administered antibody revealed that the entry of antibody into LV via the blood-CSF barrier may represent an early pathway for antibody entry into the brain. Plasma concentrations of antibody were 247-667, 104-184, 165-435, and 377-909 fold higher than the antibody concentrations in LV, CM, ST, and brain homogenate. It was found that the measurement of antibody pharmacokinetics in different regions of the brain using microdialysis provides an unprecedented insight into brain disposition of antibody. This insight can help in designing better molecules, dosing regimens, and route of administration, which can in turn improve the efficacy of antibodies for central nervous system disorders.

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Introduction

Many antibodies have been developed to treat central nervous system (CNS) disorders. However, to date there are no US Food and Drug Administration-approved monoclonal antibodies (mAbs) that show efficacy in the brain parenchyma following systemic administration. This lack of clinical success could be attributed to our limited understanding of mAb disposition at the site-of-action within the brain. The brain is a dynamic multi-compartmental organ consisting of brain parenchyma and cerebrospinal fluid (CSF). While the site-of-action for most of the drugs lies within the parenchyma, mAb concentration in the interstitial fluid (ISF) of parenchyma is rarely measured. Instead, the CNS exposure of mAb is often deterbv measuring whole brain homogenate concentrations during preclinical studies, 2-5 and, in clinical studies, CSF collected from lumbar region is often used as a surrogate to measure brain mAb concentrations.⁶⁻⁹ However, these measurements cannot distinguish the pharmacokinetics (PK) of mAb in different regions of the brain, 10-12 and do not provide a direct measurement of drug concentrations at the site of action. As such, there is a need for novel methods that can measure mAb concentrations directly at the site of action and allow one to establish a reliable quantitative relationship between mAb concentrations in plasma and different regions of the brain like ISF, CSF, and whole brain.

Microdialysis (MD) is a well-established technique for measuring small molecule concentrations in brain ISF and CSF with minimal invasion into live animals.¹³ Since multiple MD probes can be implanted in the brain, the disposition of drug within different regions of the brain, such as ST, LV, and CM, can be simultaneously characterized. 14 However, the use of this technique to measure mAb concentrations in brain has been very limited. This is mainly due to the lack of availability of large molecular weight cutoff (MWCO) probes until recently and the need for a complicated push-pull system to perform MD with large pore probes (Figure 1). Several studies have used MD to measure various endogenous peptides and proteins in human brain using probes with MWCO of up to 3000 kDa, 15-19 while others have applied large pore MD in humans to measure brain ISF concentrations of interleukins (ILs).²⁰⁻²² However, to the best of our knowledge, no one has studied the PK of mAbs in the brain using large pore MD probes. In fact, there is only one published study using large pore MD to investigate the PK of mAb in interstitial space of mouse tissues (e.g., liver, skin, kidney, and muscle); however, this study did not investigate the brain.²³ We believe that the use of MD to measure mAb concentrations in different regions of the brain can provide

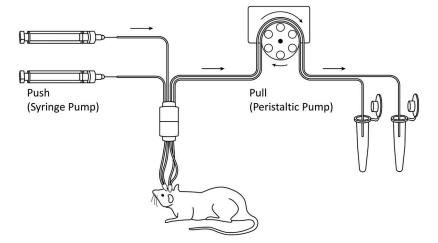


Figure 1. Illustration of the push-pull system used for large pore microdialysis. Two microdialysis probes were implanted into one animal to simultaneously collect microdialysates from two different regions of the brain. The syringe pump (left) pushes the perfusion fluid toward the animal, and the peristaltic pump (right) pulls the perfusion fluid from the animal.

unprecedented insight into the pathways responsible for mAb disposition into the brain and the extent of mAb distribution within different regions of the brain. This insight can in turn help us design better molecules, dosing regimens, and route of administration that can improve the efficacy of mAbs for CNS disorders.

Here, we have presented the application of large pore MD to measure antibody concentrations in rat ISF at ST (ISF_{ST}), CSF within LV (CSF_{LV}), and CSF within CM (CSF_{CM}). In addition, antibody concentrations in rat plasma and brain homogenate were also measured to obtain a comprehensive understanding of antibody PK in rat brain. Trastuzumab, which binds human epidermal growth factor receptor-2 (HER2) and is used clinically to treat brain metastases in HER2-positive breast cancer patients, 6,7,24 was used as a test compound to represent exogenously administered antibody. Trastuzumab has no cross reactivity against rat HER2. The endogenous rat immunoglobulin-G

(rIgG) was used to understand the distribution of antibody in rat brain at steady-state.

Results

Validation of microdialysis probe placement in rat brain

In order to validate the placement of MD probes at the predetermined coordinates for rat ST, LV, and CM (Figure 2), 14 brain cryostat sections were obtained after probe implantation. As shown in Figure 3, the representative coronal brain sections show that when compared with the rat brain atlas the instrumented MD guides reached the targeted regions for all three locations.²⁵ The injected methylene blue stain validates the implantation within the LV location. In addition, the placement within CM is visually evident following rat brain dissection.

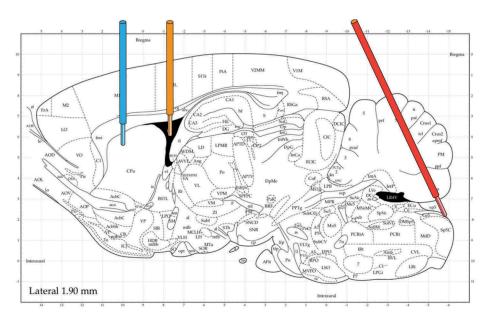


Figure 2. Illustration of the coordinates for microdialysis guides and sampling regions. From left to right: probes were implanted at the striatum (left), the lateral ventricle (middle), and the cisterna magna (right), respectively. (Atlas Source: Paxinos, G. and Watson, C., 2013).

Rat endogenous IgG concentrations in the brain

Table 1 shows the observed rIgG concentrations in plasma, ISF_{ST}, CSF_{LV}, CSF_{CM}, and the brain homogenate. The plasma concentration of rIgG was found to be ~ 1000 -fold higher (P < 0.001) than the whole brain homogenate concentration and ~ 500 -fold higher (P < 0.001) than the ISF_{ST} and CSF_{LV} concentration. The rIgG concentration in the CSF_{CM} was found to be ~ 6 fold-higher (P < 0.01) than the CSF_{LV} concentration. These results suggest that, at steady-state, the brain homogenate concentrations of mAb may be lower than ISF concentrations due to dilution by non-drug containing cellular components. The results also suggest that at steady-state the concentrations of mAb in CSF obtained from LV and CM can be different, where LV concentrations could be similar to ISF concentrations, and CM concentrations can be much more than LV and ISF concentrations.

In order to validate the observed higher concentrations of rIgG in CSF_{CM}, we collected CSF_{CM} samples using an orthogonal method of cisternal puncture. While the cisternal punctures were collected during terminal sampling on anesthetized animals (following terminal cardiac blood collection and rat

brain perfusion) and MD samples were collected from freely moving animals, we hypothesized that the results from these methods can be comparable. As shown in Table 1, we found that, while the CSF $_{\rm CM}$ concentrations obtained following cisternal puncture were still \sim 3-fold higher (P = 0.020) than CSF $_{\rm LV}$ concentrations, these concentrations were \sim 2-fold lower (P = 0.037) than CSF $_{\rm CM}$ concentration obtained using MD.

Pharmacokinetics of trastuzumab in rat plasma and brain

Plasma PK of trastuzumab following intravenous administration of 10 mg/kg dose is shown in Figure 4. The plasma PK (Figure 4) was found to follow the PK of a typical non-target binding mAb in rat, as evident by superimposition of the observed PK profile over the simulated PK profile generated using a platform physiologically-based pharmacokinetic (PBPK) model for mAb in the rat.²⁶ PK of trastuzumab in the ISF_{ST}, CSF_{LV}, CSF_{CM}, and brain homogenate is shown in Figure 5. The initial distribution phase of the PK profile (Figure 5A) indicates that mAb first enters the LV space in

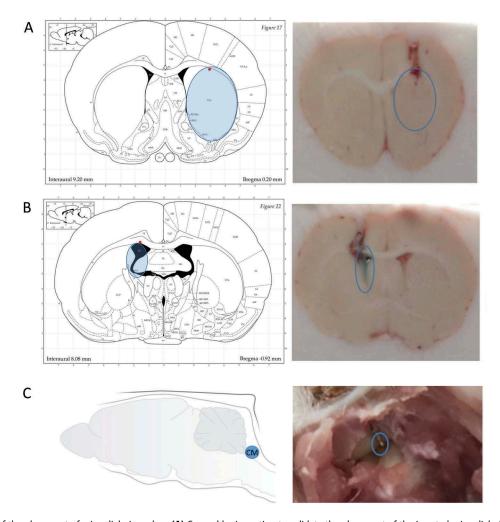


Figure 3. Validation of the placement of microdialysis probes. (A) Coronal brain section to validate the placement of the inserted microdialysis probe in the striatum. (B) Coronal brain section to validate the placement of the inserted microdialysis probe in the lateral ventricle. The dark area in the atlas is the space for the lateral ventricle. Blue stain in the section indicates the injected methylene blue dye via the microdialysis guide. It shows the microdialysis guide has accurately reached the targeted position. (C) The picture taken during initial step of rat brain dissection to expose the cisterna magna and the inserted microdialysis probe. The blue open circles show the position of the inserted microdialysis probes. (Atlas Source: Paxinos, G. and Watson, C., 2013).

Table 1. Rat endogenous IgG concentrations in plasma, ISF, CSF, and the whole brain.

Sample	IgG Concentration μg/ mL (SD)	$\frac{C_{sample}}{C_{plasma}} \cdot 100(\%)$	C_{plasma}/C_{sample}
Rat Plasma	1534 (543)	-	-
ISF _{ST} (Microdialysis)	3.7 (2.3)	0.23	435
CSF _{LV} (Microdialysis)	2.3 (0.7)	0.15	667
CSF _{CM} (Microdialysis)	14.7 (5.8)	0.96	104
CSF _{CM} (Cisternal puncture)	6.8 (3.9)	0.45	222
Whole Brain	1.7 (1.4)	0.11	909

Abbreviations: ISF_{ST} (Microdialysis): interstitial fluid at the striatum measured using microdialysis; CSF_{LV} (Microdialysis): cerebrospinal fluid within the lateral ventricles measured using microdialysis; CSF_{CM} (Microdialysis): cerebrospinal fluid within the cisterna magna measured using microdialysis; CSF_{CM} (Cisternal puncture): cerebrospinal fluid within the cisterna magna collected by cisternal puncture; SD: standard deviation; C_{sample}: sample concentration of IgG; C_{plasma}: plasma concentration of IgG

the brain, as CSF_{LV} concentration at the initial time points (up to 4h) was higher than ISF_{ST} concentration. The mAb showed delayed distribution to the CSF_{CM} compartment, as CSF_{CM} concentration was below the limit-of-quantification for the first hour, but after \sim 4h CSF_{CM} concentration was similar to CSF_{LV} concentration. Brain homogenate concentrations (Figure 5A) were not measured until 4 h because terminal brain samples were not collected until completion of microdialysis. Figure 5B shows the full 30-day PK profiles of trastuzumab, and Table 2 presents the non-compartmental analysis of these PK profiles. The exposure of mAb in brain homogenate was the lowest (Figure 5B), which was consistent with the observation made at steady-state using endogenous rIgG (Table 1). The exposure of mAb in CSF_{LV} was higher

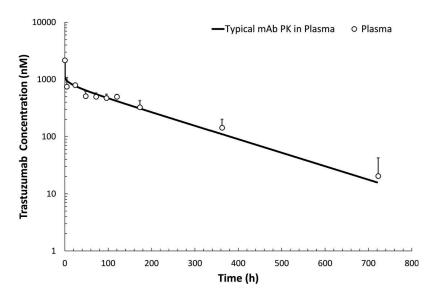


Figure 4. Trastuzumab pharmacokinetic profile in rat plasma following intravenous administration of 10 mg/kg dose. Plasma samples were collected at 0.083, 4.5, 24, 48, 72, 96, 120, 173, 362, and 722 h after intravenous administration. The solid line represents the typical PK of an antibody in rat plasma simulated using the platform physiologically-based pharmacokinetic model for mAbs.

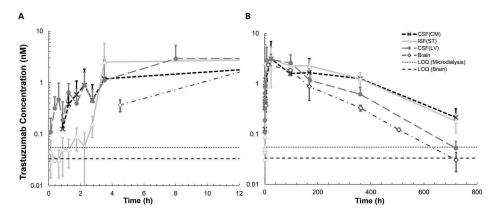


Figure 5. Trastuzumab pharmacokinetic profiles in the striatum, the lateral ventricle, the cisterna magna, and brain homogenate, following intravenous administration of 10 mg/kg dose. (A) The PK of trastuzumab during the initial time points after intravenous administration. Brain microdialysates were collected at 0–15, 15–30, 30–45, 45–60 min, 1–1.5, 1.5–2, 2–2.5, 2.5–3, and 3–4 h after dosing. The y-axis presents the average trastuzumab concentration, and the x-axis presents the mid-time point of each collection period. (B) Trastuzumab PK in rat brain up to 30 days after intravenous administration. Trastuzumab samples were collected at day 0, day 4, day 7, day 15, and day 30. Open triangles represent the interstitial fluid at the striatum; closed circles represent the cerebrospinal fluid within the cisterna magna, and open diamonds represent the brain homogenate. Error bars represent the standard deviation.

Table 2. Non-compartmental analysis of trastuzumab PK profiles.

	Plasma	Brain	ISF _{ST}	CSF_LV	CSF_CM
$AUC_{0 \rightarrow t_{last}} \ (nmole \cdot h \cdot L^{-1})$	168358 (9762 ^a)	451.3 (33.8)	1006 (116)	690.7 (73.1)	875.8 (156)
AUC _{plasma} / AUC _{sample}	1	373	167	244	192
$\frac{AUC_{sample}}{AUC_{plasma}} \cdot 100(\%)$	100	0.27	0.60	0.41	0.52
C_{max} (nmole · L^{-1})	2206 ^b	2.36	3.08	3.04	3.1
$t_{max}(h)$	0	14	24	24	24
$t_{1/2}$ (h)	134	105	148	115	180

a: standard deviation derived by modified Bailer method in WinNolin 7.0

b: extrapolation to the time zero

Abbreviations: ISF_{ST}: interstitial fluid at the striatum; CSF_{LV}: cerebrospinal fluid within the lateral ventricles; CSF_{CM}: cerebrospinal fluid within the cisterna magna; $AUC_{0\rightarrow t_{loar}}$: areas under the concentration vs time curves from time zero to the last time point; C_{max} : the maximum concentration; t_{max} : time to the maximum concentration; $t_{\underline{t}}$: half-life in the terminal phase

than the brain homogenate exposure (Figure 5B, P = 0.0013), which was consistent with the observation made at steadystate using endogenous rIgG (Table 1). In addition, we found that overall exposure of mAb in ISF_{ST} was higher than CSF_{LV} (Figure 5B, P = 0.0325), which was also consistent with the observation made at steady-state using endogenous rIgG (Table 1). Similarly, the overall mean exposure of mAb in CSF_{CM} was found to be higher than CSF_{LV}; however, it was not statistically significant (P = 0.309). The exposure of mAb in CSF_{CM} was similar (rather slightly lower) (P = 0.514) to the exposure of mAb in ISF_{ST} (Figure 5B), which was opposite to what was observed with endogenous rIgG at steady-state (Table 1). In general, the elimination of mAb from LV $(t_{\frac{1}{2}} = 115 \ h)$ was found to be faster than ST $(t_{\frac{1}{2}} = 148 \ h)$ and CM ($t_{1} = 180 h$) (Table 2). The mAb accumulated and eliminated slower from the ST and CM compartments.

Discussion

This investigation was designed to understand the disposition of antibody in different regions of brain (ISF_{ST}, CSF_{LV}, CSF_{CM}, and brain homogenate) using MD. In addition, we aimed to investigate how the blood-brain barrier (BBB) and blood-CSF barrier (BCSFB) restrict the entry of antibody into the brain after systemic administration and at steady-state. Exposure of endogenous rIgG in rat plasma, ISF_{ST}, CSF_{LV}, CSF_{CM}, and brain homogenate was used to understand the disposition of antibody in the brain at steady-state. Interestingly, we found that the concentrations of endogenous rIgG in the CSF_{CM} were much higher than the concentrations in the CSF_{LV} (Table 1). While this may seem unusual, a thorough review of literature reveals that a similar concentration gradient for endogenous proteins in different compartments of CSF has been reported in the clinic.^{27,28} In 1960, Hunter and Smith²⁷ reported that the concentration of endogenous proteins in CSF_{LV},CSF_{CM}, and CSF_{Lumbar} compartments of near-normal patients is 150, 360, and 590 µg/mL, respectively. In addition, in 1978 Weisner and Bernhardt²⁸ reported that the concentration of endogenous IgG in CSF_{LV}, CSF_{CM}, and CSF_{Lumbar} compartments of "non-diseased" persons was 9, 14, and 23 µg/mL, respectively. Based on the physiology of CSF circulation, these results suggest that, as one goes from the formation site of CSF in LV to CM, the endogenous rIgG levels increases. This may be due to additional input of antibody from the third and the fourth ventricle as CSF confluences from LV to CM. On the other hand, enhanced levels of endogenous rIgG in CM may also be due to lower clearance of large molecules from this region compared to other ventricles, as supported by the trastuzumab PK data (Figure 5B). In fact, Iliff et al.²⁹ have reported that following the administration of large molecules into the CM of mice, they showed minimal movement towards ISF space and were retained within the Virchow Robin space (VRS) around the arterioles following glymphatic circulation. Similarly, Ichimura et al. have reported slow and non-directional flow of fluorescently labeled albumin following microinjection into the subarachnoid space of rats.³⁰ Collectively, these observations suggest that the flow of CSF from the later compartments in the circulation system may be slow and non-directional, resulting in longer half-life of antibodies in these compartments.

Our results also suggest that the concentrations of antibody in CSF_{CM} compartment may be higher than the concentrations in ISF_{ST} compartment (Table 1). This is an important finding as it brings into question the validity of using CSF samples from CM as surrogate for measuring antibody concentration at the site-of-action in ISF. However, it would be important to evaluate if this finding remains the same for other animal species. Since there are no other brain microdialysis studies for antibody reported in any other species or disease models, it is difficult to evaluate the translatability of this finding at present. However, Cirrito et al.³¹ have reported similar findings for endogenous amyloid- β (A β) peptide (4.5 kDa) in transgenic mice. Using MD and CM puncture experiments, the authors have shown that the CSF_{CM} concentrations of endogenous $A\beta$ can be ~ 50-fold higher than the ISF_{ST} concentrations. In addition, Yadav et al. have reported that following multiple systemic injections in cynomolgus monkey the CSF_{lumber} concentrations of anti-BACE1 mAb were ~ 10fold higher than the concentration in brain slices.³² However, it is important to note that the data generated using targeted antibodies that bind within the brain may not follow a similar trend. For example, using a targeted anti-LINGO-1 mAb (Li81), Pepinsky et al.4 showed that CSF_{CM} concentrations of systemically administered antibody can be much lower than the brain concentrations, which may be due to the binding and retention of antibody within certain brain regions compared to the CSF.

In our investigation, the concentrations of rIgG in CSF_{LV} and ISF_{ST} compartments were found to be similar. This result is comparable to the findings from Herukka et al.,³³ who performed a clinical MD study using a 100 kDa cut-off

probe to measure $A\beta_{1-42}$ concentrations in CSF_{LV} and ISF_{ST} compartments of 11 patients with suspected idiopathic normal pressure hydrocephalus. Their results showed that the endogenous $A\beta_{1-42}$ concentrations in LV (577 pg/mL) were very similar to the concentrations of endogenous $A\beta_{1-42}$ in the ST (557 pg/mL). This suggests that at steady-state the antibody exposure in CSF_{LV} can be similar to ISF_{ST}.

It is important to note that the translatability of our findings regarding brain disposition of endogenous IgG to other protein molecules, animal species, or disease states remains uncertain. Differences in the intrinsic properties of protein, such as molecular weight, net charge, and ability to interact with Fc-receptors, may alter the diffusion coefficient and disposition of large molecules within the brain.-34,35 Differences in the size of the brain between different species may affect the penetration of antibodies in the brain parenchyma from the CSF region, resulting in steep concentration gradients for endogenous IgG across CSF-brain interface for larger species.³⁵ Such steep concentration gradient for mAb between the cortical layers (i.e., cortex) and the inner core of the brain parenchyma (i.e., putamen and globus pallidus) has been reported in monkeys following long-term intracerebroventricular infusion of mAb. 32 Limited brain penetration of IgG following intrathecal injection has also been reported in mice.³⁶ Differences in the integrity of the BBB and CSF turnover under Alzheimer's disease (AD) and other neurodegenerative disorders can also alter brain disposition of proteins. It has been shown that changes in vascular transports due to AD may lead to reduced AB clearance and increased re-entry of Aβ in the brain, leading to brain accumulation of toxic Aβ species.³⁷ The effect of AD-related pathology on the ratio of CSF to serum protein concentrations remains controversial because both an increase and no change in the ratio of CSF to serum albumin levels has been reported in preclinical and clinical studies.³⁸⁻⁴² As such, more data from MD experiments with various protein therapeutics in different animal and disease models are needed to understand how these factors affect brain PK of proteins.

We also investigated the PK of trastuzumab (a nonbinding mAb) in brain following systemic administration to get more insight into the non-steady-state disposition of antibody in the brain. The PK of trastuzumab in CSF_{LV}, CSF_{CM}, and ISF_{ST}, at early time points provided interesting insight into the absorption phase of antibody in the brain (Figure 5A). We found that the concentration of trastuzumab in LV was higher than ST to begin with, and as the time progressed (at ~ 4h) the ST concentration increased to a level similar to LV. Based on brain anatomy and physiology these results suggest that antibody might be crossing BCSFB and entering LV first, and subsequently either the antibody is traveling from LV to ST or entering to ST directly after crossing BBB, which results in enhanced concentrations of antibody in ST. While until recently the movement of antibody from LV to ST was considered to be negligible, a recent investigation performed on monkeys supports the possibility of this movement.32 We also

observed a transient delay for antibody distribution to the CM compartment, which was not observed for the ST compartment. This observation suggests that the entry of antibody into the ISFST compartment via glymphatic circulation from the subarachnoid space may be highly unlikely.-43,44 However, more mechanistic investigations must be done to validate these hypotheses.

The analysis of full PK profiles of trastuzumab (Figure 5B) reveals that the elimination of antibody from LV compartment is relatively faster than CM and ST compartments. In addition, it was observed that brain homogenate concentrations declined in parallel with LV concentrations. When the relative exposure of trastuzumab in plasma, brain, and different regions of brain (Table 2) was compared to endogenous rIgG exposures (Table 1), we found that, while several trends were similar, the relative exposure ratios were not the same. These differences can stem from many factors, such as the steady-state vs. dynamic nature of the system and rat vs. humanized nature of antibodies. Nonetheless, it was found that CSF concentrations of antibody in CM are on average higher than LV, and ST concentrations are on average higher than LV. While CM concentrations for antibody were higher than ST at steady-state, the exposure of antibody in these two regions was very similar following bolus administration. Brain homogenate concentrations for antibody were always the lowest (Tables 1 and 2), and the observed brain homogenate to plasma concentration ratio of 0.1-0.3% was similar to literature reported values (0.1%-1%). 1-4,34,45 When interpreting the data for brain homogenate concentration of antibody, it is very important to understand that the presence of even a minute amount of residual blood can dramatically affect the observed concentrations. In our study, the PK of trastuzumab in partially perfused brain homogenate (Supplementary Figure 1) shows that the exposure of antibody in brain homogenate can be artificially several fold higher if the perfusion is not complete. As such, there is currently a need for a standardized quantitative marker (e.g., hemoglobin, albumin) that can help evaluate the quality of perfusion and minimize the risk of artifact when measuring brain homogenate concentrations of antibody.

It is also important to note that, while the use of large pore MD can provide very valuable insight into brain disposition of antibodies, successful implementation of this system requires substantial amounts of time, financial resources, and technical skills.46 The MD membranes with large pore size may manifest ultrafiltration (i.e., leaking of microdialysis perfusion fluid from inner side of the probe to the outer side), and the drug molecules may be carried through the membrane via convection, resulting in altered probe recovery. 47 Therefore, it is important to minimize the pressure gradient between inner and outer regions of MD probe, and use an open vent system, like the one implemented in AtmosLM MD probes, to maintain a stable inner pressure in the tubing by constantly equilibrating the fluid pressure to outer atmosphere. In addition, while the push-pull MD system is designed to minimize the ultrafiltration, the flow rate of the peristaltic pump requires calibration and careful adjustment according to the fluid

recovery experiments (described in the methods section) to ensure consistent recovery of the MD probe.

Another important issue that should be considered while performing MD studies with antibodies is the non-specific adsorption of antibody to MD tubing, MD probes, and sample collection tubes. Since the concentration of antibody in the samples tend to be very low (typically less than 25 ng/mL), the non-specific binding to experimental plastics can significantly alter the measured concentrations. In fact, as shown in Supplementary Figure 2, we tested 15 different conditions to find an optimal scenario that provided minimal binding of antibody to experimental plastics. We found that the use of low protein-binding microcentrifuge tubes for sample collection significantly improved in vitro probe recovery. In addition, when 0.15% bovine serum albumin (BSA) was spiked in the microdialysis perfusion fluid, it resulted in saturation of non-specific binding sites on the MD tubing. Following the use of optimal conditions, we observed that the low concentrations of trastuzumab and endogenous rIgG in the samples remained stable at -20°C and 4°C.

For our experiments, we used in vitro probe recovery as a surrogate for the in vivo condition, mainly because measurement of in vivo probe recovery for antibody via retrodialysis is challenging. The first challenge is the development of a very precise analytical method (with very low percent coefficient of variation) that can differentiate 3-5% changes antibody concentrations. The second challenge is the half-life of antibodies in the brain, which will require several weeks of washout period before the animal can be used again for the experiments. Nonetheless, Jadhav et al.²³ reported that in vitro recovery of antibody is similar to its in vivo recovery for the same probes we used in our investigation. We observed that the recovery of antibody for our probes was ~ 3%, and, as shown in Supplementary Table 1, the recovery was not dependent on sample concentrations, which is consistent with the literature reports.²³ In addition, we found that if the flow of the system is not optimized, one can observe as much as 10times increase in the probe recovery (i.e., ~ 30%) due to unidirectional convection (Supplementary Table 1). We also observed that the recovery of our probe went down following single use (Supplementary Table 1), which was consistent with some literature reports. 48 As a result, each probe was only used once in our studies, resulting in significant increase in the cost of the experiments. As such, it may be worth finding an optimal regeneration condition for these probes going forward.

Restoration of BBB integrity after the surgery and before conducting the microdialysis experiments is another key aspect of brain microdialysis technique. There are published studies that use systemic injection of Evan's blue dye after the microdialysis surgery to examining the restoration of BBB integrity for protein permeability. 49-51 These studies use histological evaluations and claim that the integrity of the BBB is restored 30 mins to 16 hours after the surgery. However, several other studies suggest that 2-7 day time period may be a more optimal to allow the recovery of BBB function⁵² and integrity 53-57 after the surgery. Based on these investigations, we allowed 10-day recovery period after the surgery to start our microdialysis experiments. Nonetheless, the integrity

of the BBB in our experimental animals should be verified to create more confidence in the mAb PK data presented here.

It is also very important to note that the low concentrations of antibody in the brain and the low volume of samples available from MD studies require the availability of a very sensitive analytical method for quantifying antibody exposure in the brain. In our investigation, we developed a series of 384-well plate ELISA methods that required much less sample volume (30 μL/well) compared to a typical 96-well plate ELISA (100 μL/well) (Supplementary Tables 2, 3). In addition, each ELISA method had to be optimized to achieve a very low limit of quantification (0.25 ng/mL). Thus, availability of a high throughput and very sensitive analytical method is essential for investigating the PK of antibody in the brain using MD.

In summary, here we presented the application of large pore MD to understand the PK of antibody in different regions of rat brain. We optimized the experimental conditions to assure accuracy of probe placement and concentration measurement. We also developed a sensitive ELISA method to enable accurate quantification of low antibody concentrations in MD samples. Using both endogenous rIgG and exogenously administered antibody, we showed that CSF from different regions of the brain can have different antibody concentrations. In addition, we have shown that the CSF concentrations of antibody may not be the same as the concentrations at the site-of-action (e.g., ISF). Our results indicate that the entry of antibody via BCSFB into the LV space may be an early pathway of entry for the exogenously administered mAbs into the brain. While our investigation provides an unprecedented insight into the disposition of endogenous and externally administered antibody across different regions of the brain using MD, this technique needs to be widely applied to enable comprehensive understanding of the determinants responsible for the disposition of antibody in the brain. With the increased interest in the development of antibody-based therapeutic for CNS disorders, better insight into the disposition of antibody in the brain can provide an opportunity to design better molecules, dosing regimens, and route of administration to achieve clinical success.

Materials and methods

Animals and surgery

The animal protocol was approved by Institutional Animal Care and Use Committee (IACUC) of University at Buffalo. 5-week-old male Sprague Dawley rats (Taconic Biosciences, USA) were housed individually under standard environmental conditions with ad libitum access to food and water before surgery. On the day of surgery, the rats were anesthetized by intraperitoneal administration of 75/10 mg/kg ketamine (Ketaset®)/xylazine (AnaSed® Injection), and placed in a dual-arm stereotaxic frame (Cat. # 51503, Stoelting Co., USA) at a flat head position (i.e., the bregma and the lambda are in the same horizontal plane). After the flat head position was fixed, a gas anesthesia mask with tubing was connected to the animal. The animals were then instrumented with two AtmosLM guide cannulas (PEG-x, Eicom, USA) in the

following three different combinations of ST, LV, and CM: ST + LV, ST + CM, or LV + CM. The coordinates for each position were optimized based on Westerhout et al., 14 and the placement of the cannula was validated using brain sections. For the ST, the coordinate of the microdialysis guide was: 1.0 mm anterior, 3.0 mm lateral, and 3.4 mm ventral relative to bregma. For the LV, the coordinate of the microdialysis guide was: 0.9 mm posterior, 1.6 mm lateral, and 2.9 mm ventral relative to the bregma. For the CM, the coordinate of the microdialysis guide was: 2.51 mm posterior, 2.04 mm lateral, and 8.4 mm ventral, at an angle of 25° from the dorsoventral axis (towards anterior) and 11° lateral from the anteroposterior axis relative to lambda. The ST and LV were instrumented with guide cannula (PEG-8, Eicom, USA) and dummy probes (PED-8, Eicom, USA) whose shaft length is 8 mm, whereas the CM was instrumented with guide cannula (PEG-12, Eicom, USA) and dummy probes (PED-12, Eicom, USA) that have a 12 mm long shaft. Four anchor screws (Cat. # CMA7431021, Harvard Apparatus, USA) were mounted on the surface of the skull, and a head-block tether (Cat. # M115S, Instech Laboratories, USA) as a connector to a multi-channel infusion swivels (Cat. # MCS/5A, Instech Laboratories, USA) was bonded to the skull with glass ionomer cement for permanent head attachment (Cat. # MGIG/ AKIT2, Instech Laboratories, USA). The guide cannula, the anchor screws, and the head-block tether were secured together on the skull with the cement. After the surgery, 5 mg/kg carprofen (Rimadyl*) was given subcutaneously once per day for 48 h, and the animals were allowed to recover for 10 days before in vivo microdialysis experiments. One night prior to the experiment, the dummy probes were replaced by the AtmosLM microdialysis probes (PEP-x-1, 1000 kDa MWCO, membrane material: polyethylene 0.44 mm OD, shaft: 0.58 mm OD/0.5 mm ID, Eicom, USA) (Supplementary Figure 3).

Experimental setup for push-pull microdialysis

The experimental set-up is shown in Figure 1 and Supplementary Figure 3. CMA 402 syringe pump (Cat. # CMA8003100, Harvard Apparatus, USA) and 2.5 mL microsyringe (Cat. # CMA8309021 Harvard Apparatus, USA) were used to perfuse the artificial cerebrospinal fluid (aCSF) (150 mM sodium, 3 mM potassium, 1.4 mM calcium, 0.8 mM magnesium, 155 mM chloride, and 0.15% BSA) as a push pump system. Fluorinated ethylene propylene (FEP) tubing (Cat. # BFEP-T22Q, Instech Laboratories, USA) was used to connect the syringe pump to the AtmosLM microdialysis probe and subsequently to Harvard peristaltic pump P-70 (Cat. # 70-7000, Harvard Apparatus, USA). The peristaltic pump was equipped with 3-Stop PVC tubing (Cat. # 72-0654, Harvard Apparatus, USA). The connections were secured by 0.015 inch silicone tubing connectors (Cat. # MC015/10, Instech Laboratories, USA). Different from the conventional MD, a pull pump was required when using AtmosLM microdialysis probes, because the pushing pressure from the syringe pump needs to be released through an open vent to minimize the ultrafiltration and enhance the recovery of large molecules.

First, the syringe pump was calibrated and the flow rate was set to 1 µL/min. Then, the flow rate of the peristaltic pump was calibrated by measuring the output of the microdialysate. Subsequently, the probe was conditioned with ethanol and flushed with aCSF to remove the air bubbles, and connected to the calibrated push-pull system. Afterwards, the in vitro fluid recovery of the microdialysis probe was measured, as the ratio of the collected microdialysate volume over the perfused volume from syringe pump (Equation 1).⁴⁶

$$invitrofluid recovery = \frac{observed\ microdialysate\ volume}{syringe\ pump\ perfusion\ volume} \\ \cdot 100\% \tag{1}$$

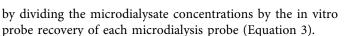
If the fluid recovery was higher than 103%, the flow rate of the peristaltic pump was reduced. If the fluid recovery was lower than 97%, the flow rate of the peristaltic pump was increased. Once the volumes of three consecutive 10-min microdialysis sample collections showed consistent values, the system was considered to be stabilized. The in vitro recovery of antibody was determined using a standard solution of 1000 ng/mL rat serum IgG (Cat. # I4131, Sigma, USA) prepared in aCSF, under the assumption that the recovery of all antibodies are similar. The in vitro recovery for each microdialysis probe was defined as the ratio of the concentration in the dialysate (C_{dial}) over the concentration in the external medium (Cext) (Equation 2).¹²

$$in \ vitro \ recovery = \frac{C_{dial}}{C_{ext}} \tag{2}$$

In vivo pharmacokinetic study of trastuzumab in rats

After 10-day recovery from the surgery, rats (250-350g) were anesthetized with isoflurane and dosed with 10 mg/kg trastuzumab (HERCEPTIN®) intravenously via tail vein injection (Supplementary Figure 3). Blood samples were collected via the submandibular vein at 0.083, 24, 48, 72, and 120 h after intravenous administration. Blood samples in EDTA precoated tubes were centrifuged at 4000 rcf for 10 minutes at 4°C, and plasma was collected and stored at $-20^{\circ}C$ for further analysis. Brain microdialysates of ISF_{ST}, CSF_{LV}, and CSF_{CM} were collected using microdialysis (0-15, 15-30, 30-45, 45-60 min, 1-1.5, 1.5-2, 2-2.5, 2.5-3, 3-4, 96-100, 168-172, 360-364, and 720-724 h after intravenous administration). Each fraction of microdialysate was collected in a 1.5 mL siliconized microcentrifuge tube (Cat. #L250902, Laboratory Products Sales, USA) and was stored at $-20^{\circ}C$ until analysis. After microdialysis, rats were anesthetized by intraperitoneal administration of 75/10 mg/kg ketamine/xylazine. Terminal blood samples were collected using cardiac puncture. Immediately after terminal blood sampling, the whole body was perfused with 30 mL cold 1X phosphate-buffered saline (PBS). Half of the brain was perfused via carotid artery with 2.5 mL cold 1X PBS. After perfusion, terminal CSF was collected using cisternal puncture. The half brain was kept in a 50 mL tube and frozen in liquor nitrogen. The brain tissue was weighed and stored at -80°Cuntil homogenization.

Brain microdialysate concentrations were converted into brain ISF concentrations (C_{ISF}) or CSF concentrations (C_{CSF})



$$C_{ISF}$$
 or $C_{CSF} = \frac{C_{dial}}{probe\ recovery}$ (3)

The observed plasma PK of trastuzumab was compared with a typical PK of antibody in rat simulated using the platform PBPK model for mAb in rat,²⁶ using the software Berkeley Madonna.

Brain cryostat sectioning to validate the placement of the inserted microdialysis probes

After the microdialysis experiment and terminal blood sampling, 2 μL of methylene blue was perfused into the brain compartments via the microdialysis guide cannula. Brain tissue was collected and placed in a small boat containing tissue-freezing medium (Cat. # TFM-5, General Data, USA). The small boat was gradually frozen using liquid nitrogen until the medium was completely hardened. The cube was stored at $-80^{\circ}C$ overnight. Before cryostat section, the frozen brain in the hardened freezing medium was transferred to $-20^{\circ}C$ freezer and kept for 1 h. Brain tissue was then sectioned using Microm HM 525 (Cat. # 956640, Thermo Fisher Scientific, Microm International GmbH, Germany).

Preparation of brain homogenate

The brain tissue was weighed and cut into pieces. The pieces of the rat brain were homogenized with RIPA buffer (Cat. # 89900, Thermo Fisher Scientific, USA) containing 1X Halt ™ protease inhibitor (Cat. # 78430, Thermo Fisher Scientific, USA) using BeadBug microtube homogenizer (Cat. # D1030, Benchmark, 3.0 mm beads, USA). The homogenate was further diluted with the RIPA buffer and was incubated on ice, shaken for 2 h. After incubation, the brain homogenate was centrifuged (15 mins, 4° C, 15000 rcf) to remove cell debris.

ELISA method to quantify human trastuzumab in rat plasma, brain homogenate, and microdialysate samples

Goat anti-human IgG-Fc fragment cross-adsorbed antibody (Cat. # A80-304A, Bethyl Laboratories, USA) was diluted to 5 μg/mL in 20 mM Na2HPO4 (no pH adjustment). Nunc[®] Maxicorp[™] 384well plates (Cat. # 142761, Thermo Fisher, USA) were then coated with 30 µL/well diluted anti-human IgG-Fc antibody and incubated at 4°C overnight. Plates were washed three times with 1X PBS-Tween (0.05% Tween-20 in 1X PBS, no pH adjustment), followed by three washes with distilled water using AquaMax2000 (Cat. # AQUAMAX 2K, Molecular Devices, Sunnyvale, CA). The plates were then blocked with 90 μL/well of ELISA blocking solution (Cat. # E104, Bethyl Laboratories, USA) and incubated at room temperature for 1 h on a plate shaker. The plates were washed to remove ELISA block solution. 30 µL/well of samples and the standards of trastuzumab were loaded in each well. The plates were then incubated for 2 h at room temperature on a plate shaker. After the incubation period was over, the plates were washed. 30 μL/well of the 1.4 ng/μL of goat anti-human IgG-F(ab')₂ cross-adsorbed F(ab')₂ conjugated with alkaline phosphatase (Cat. # A80-249AP, Bethyl Laboratories, USA) in 1X PBS-Tween was added to each well, and the plates were incubated at room temperature for 1 h. The plates were washed and 30 μ L/well of p-nitrophenyl phosphate solution (1 mg/mL in 1x diethanolamine buffer) was added into each well. The change in absorbance was observed with time (dA/dt) at 405 nm for 45 mins using Filter Max F5 microplate analyzer (Cat. # F5, Molecular devices, Sunnyvale, CA).

ELISA method to quantify rat endogenous IgG in rat plasma, brain homogenate, and microdialysate samples

The rat endogenous IgG ELISA assay followed a similar protocol as described above. Briefly, 384-well plates were coated with 0.15 μg/30 μL/well goat anti-rat IgG-Fc antibody (CAT# A110-236A, Bethyl, USA) in 20 mM Na2HPO4. The coated plates were incubated at 4°C overnight. The plates were washed and then blocked with 90 µL/well of ELISA blocking solution. After 1 h incubation at room temperature on a plate shaker, the plates were washed to remove ELISA block solution. 30 µl/mL of samples and the standards of rat serum IgG (Cat. # I4131, Sigma, USA) were loaded into each well. The plates were then incubated for 2 h at room temperature on a plate shaker. The plates were then washed, and 30 µL/well of the 0.2 µg/mL goat anti-Rat IgG F(ab')₂ fragment cross-adsorbed antibody conjugated with alkaline phosphatase (Bethyl, Cat.# A110-240AP) was added to each well in 1X PBS-Tween. After 1 h incubation, the plates were washed, and 60 µL/well of p-nitrophenyl phosphate solution was added to each well. The dA/dt was measured at 405 nm for 30 mins with Filter Max F-5 microplate analyzer.

Data analysis

Areas under the concentration vs time curves ($AUC_{0\rightarrow t\text{-last}}$) for trastuzumab PK in plasma, ISF_{ST}, CSF_{LV}, CSF_{CM}, and brain homogenate were calculated using the linear trapezoidal rule. The standard deviations around mean $AUC_{0\rightarrow t\text{-last}}$ values were calculated using the modified Bailer method⁵⁸ implemented in WinNonlin 7.0 (Phoenix, Pharsight Corporation, Palo Alto, CA). Statistical significance was determined using Student's t-test (two tailed), and the threshold for the significance was set at P value less than 0.05.

Supplemental data for this article can be accessed here.

Abbreviations

Aβ amyloid-β

aCSF artificial cerebrospinal fluid

AD Alzheimer's disease

 $AUC_{0 \rightarrow t\text{-last}}$ areas under the concentration vs time curves from time

the concentration in the external medium

zero to the last time point

BBB blood-brain barrier

BCSFB blood-cerebrospinal fluid barrier

BSA bovine serum albumin

 C_{CSF} the concentration in the cerebrospinal fluid C_{dial} the concentration in the dialysate

C_{ext} the concentration is the cisterna magna

 C_{max} the maximum concentration CNS central nervous system



CSF cerebrospinal fluid

 ${\rm CSF}_{\rm CM}$ cerebrospinal fluid within the cisterna magna ${\rm CSF}_{\rm Lumbar}$ cerebrospinal fluid within the lumbar

CSF_{LV} cerebrospinal fluid within the lateral ventricles

FEP fluorinated ethylene propylene

HER2 human epidermal growth factor receptor-2 IACUC institutional animal care and use committee

ILs Interleukins ISF interstitial fluid

 ${\rm ISF}_{\rm ST}$ interstitial fluid at the striatum

LV the lateral ventricles

Li81 anti-LINGO-1 monoclonal antibody

mAb monoclonal Antibody MD microdialysis

MWCO molecular weight cutoff PK pharmacokinetics

PBPK physiologically-based pharmacokinetic

rIgG rat immunoglobulin-G RT room temperature SD standard deviation ST the striatum

 $t_{\frac{1}{2}}$ Half-life in the terminal phase t_{max} time to the maximum concentration

VRS Virchow Robin space

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