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Unsupervised machine learning of radiomic features for predicting treatment response and overall survival of early stage non-small cell lung cancer patients treated with stereotactic body radiation therapy

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Abstract

Background and purpose: To predict treatment response and survival of NSCLC patients receiving stereotactic body radiation therapy (SBRT), we develop an unsupervised machine learning method for stratifying patients and extracting meta-features simultaneously based on imaging data.

Material and methods: This study was performed based on an ¹⁸F-FDG-PET dataset of 100 consecutive patients who were treated with SBRT for early stage NSCLC. Each patient's tumor was characterized by 722 radiomic features. An unsupervised two-way clustering method was used to identify groups of patients and radiomic features simultaneously. The groups of patients were compared in terms of survival and freedom from nodal failure. Meta-features were computed for building survival models to predict survival and free of nodal failure.

Results: Differences were found between 2 groups of patients when the patients were clustered into 3 groups in terms of both survival ($p = 0.003$) and freedom from nodal failure ($p = 0.038$). Average concordance measures for predicting survival and nodal failure were 0.640 ± 0.029 and 0.664 ± 0.063 respectively, better than those obtained by prediction models built upon clinical variables ($p < 0.04$).

Conclusions: The evaluation results demonstrate that our method allows us to stratify patients and predict survival and freedom from nodal failure with better performance than current alternative methods.

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Conflict of interest

The authors declare that they have no relevant financial interests.

Ethical considerations

This is an IRB approved study which has been carried out in accordance with The Code of Ethics of the World Medical Association (Declaration of Helsinki) for experiments involving humans.

Keywords

Unsupervised machine learning; Radiomics; Non-small cell lung cancer; Stereotactic body radiation therapy

Recent years have witnessed a phenomenal growth of radiomic studies of lung cancer for the prediction of treatment responses, patient stratification, and prognosis based on radiological imaging data [1–4]. Particularly, radiomic features extracted from CT images have demonstrated promising performance for the prediction of overall survival and disease free survival in patients with non-small cell lung cancer (NSCLC) [5–10]. Promising performance of radiomic features for predicting distant metastasis has been demonstrated in lung adenocarcinoma patients [11] and early stage NSCLC patients [12]. Several studies have demonstrated that radiomic features extracted from CT images are associated with tumor recurrence in NSCLC patients after stereotactic body radiation therapy (SBRT) [13], and they are also capable of predicting the pathological response in patients after neoadjuvant chemoradiation [14]. ^{18}F -FDG PET/CT imaging data have also been adopted to predict clinical endpoints, such as overall/disease-free survival [15], local/distant recurrence [16], and distant metastasis [17]. Radiomic features are associated with epidermal growth factor receptor mutation status in lung adenocarcinomas [18], and the biological basis of radiomic features have also been explored in the view of molecular pathways in lung cancer [19]. Moreover, the combination of radiomic features and genetic biomarkers could boost the prediction performance for predicting tumor recurrence in stage I NSCLC patients [20].

Regardless of the differences in these radiomic lung cancer studies in imaging modality and clinical problems, all of them adopt a radiomics framework consisting of feature extraction, feature selection/dimensionality reduction, and prediction modeling [21–25]. It is common in radiomic studies to extract a large number of imaging features in order to increase discriminative power of radiomic features. Feature selection or dimensionality reduction techniques are typically used to alleviate the difficulties with dimensionality (small sample size and large feature dimensionality) and improve the prediction performance [26–28]. Most feature selection techniques are designed in a supervised setting to identify discriminative features by optimizing performance of prediction models based on validation datasets and are, therefore, prone to overfitting training data in small sample size studies. On the other hand, feature dimensionality reduction techniques, such as principal component analysis (PCA) [29], learn a new feature representation to characterize original features in a lower dimension feature space in an unsupervised setting. However, the low-dimension representation is not necessarily informative for building prediction models, as no relevant guidance is utilized in both the feature extraction and feature dimensionality reduction.

To narrow the gap between the supervised feature selection and unsupervised dimensionality reduction procedures, we introduce an unsupervised two-way clustering analysis method [30] for reducing feature dimensionality and learning meta-features by simultaneously identifying sub-clusters of samples and radiomic features [31]. Particularly, the sub-clusters of the features capture covariations among high dimensional features to generate a low-dimension representation, and the sub-clusters of samples facilitate characterization of

samples with different feature patterns and in turn serve as weak supervision that could lead to more informative feature dimensionality reduction for capturing differences of feature patterns between sub-clusters of samples.

Methods and materials

Dataset

This study was performed based on a longitudinal ^{18}F -FDG-PET/CT dataset of 100 consecutive patients who were treated with SBRT for early stage (T1a, T1b, and T2a) NSCLC under approval from the institutional review board. The patients were examined on a PET/CT scanner of Phillips Gemini TF TOF 16 (Phillips Medical Systems, Amsterdam, Netherlands) at the department of Radiology, hospital of University of Pennsylvania. For CT images, slice thickness was 4 mm; matrix size was 512×512 with PixelSpacing of $1.1719 \times 1.1719 \text{ mm}^2$. For PET images, slice thickness was 4 mm; matrix size was 144×144 with PixelSpacing of $4 \times 4 \text{ mm}^2$. The PET data were reconstructed using ordered subset expectation maximization (OSEM) reconstruction and were attenuation-corrected using the CT data.

All patients had a solid component of their NSCLC tumor. Although, all these patients were treated uniformly ($12.5 \text{ Gy} \times 4$ fractions or $10 \text{ Gy} \times 5$ fractions), they had different primary tumor outcomes. More details of the dataset are illustrated in Table 1. We focused on overall survival and freedom from nodal failure as the clinical endpoints in this study, as only a small proportion of the patients had local failure and metastasis.

Prediction modeling with unsupervised learning of radiomic features

Our method consists of 3 steps, as illustrated in Fig. 1. Radiomic features are first extracted from the solid component of each patient's NSCLC tumor, and the features of all patients are formulated as a data matrix $X \in R^{N \times F}$, where N is the number of patients and F is the number of radiomic features of each patient. Then, an unsupervised two-way clustering method is applied to the data matrix to simultaneously clustering the patients and the features into sub-clusters, yielding patient stratification labels and the low-dimension feature representations. Finally, clinical outcome analyses of patients at both group and individual subject levels were performed.

Radiomic feature extraction

For each patient, the solid component of NSCLC tumor was delineated using CaPTk software [32]. Specifically, candidate tumor regions were detected automatically using a random walk based image segmentation method based on its PET and CT images [33–35], the primary solid component was then identified by one experienced radiologist, and finally the segmentation result was further checked visually and modified manually if necessary.

Radiomic features of the tumor were extracted from the standardized uptake value (SUV) map of the PET scan for each patient [36]. In particular, 11 morphologic features were extracted from the tumor region. Moreover, 79 texture features of the tumor region were extracted from the SUV map and their counterparts after wavelet decomposition (8 maps),

respectively, including the first order features, gray level co-occurrence matrix features, gray level size zone matrix features, and gray level run length matrix features, all the features used and their definitions are provided Table S1 in the supplementary material. In total, 722 radiomic features of the tumor were extracted for each subject. As suggested for PET imaging data based analysis [37], we adopted the fixed bin size strategy for the gray-level discretization with the bin size set to 0.2. The mean number of gray levels for all the patients was 31.68, with a standard deviation of 25.11, reflecting the fact that tumors of different patients had varied SUV ranges [37]. The feature extraction was carried out in the 3D space and 26-connected neighborhood was adopted.

Supplementary data associated with this article can be found, in the online version, at <https://doi.org/10.1016/j.radonc.2018.06.025>.

We also used an alternative gray-level discretization scheme (Max-Lloyd algorithm [38]) to extract radiomic features in order to evaluate if the prediction performance is robust to the gray-level discretization schemes (presented in the supplemental material).

Unsupervised two-way clustering

To simultaneously clustering the patients and the radiomic features into sub-clusters, we implemented the unsupervised two-way clustering procedure using a matrix tri-factorization technique [30]. Given the feature matrix $X \in R^{N \times F}$, where N is the number of patients and F is the number of radiomic features, the matrix tri-factorization decomposes X into three low-rank matrices A , S , and Y , by optimizing the approximation error

$$\min_{A, S, Y} \|X - ASY\|_F^2, \text{ s.t. } A \geq 0, S \geq 0, Y \geq 0, A^T A = I, Y Y^T = I,$$

where I is an identify matrix. As illustrated in Fig. 1, the low-rank matrix $A \in R_+^{N \times K_s}$ encodes the membership of K_s sub-clusters of patients, matrix $Y \in R_+^{K_f \times F}$ encodes the membership of K_f subclusters of features, matrix $S \in R_+^{K_s \times K_f}$ encodes scales of different data points as well as interactions between A and Y , and K_s and K_f are two parameters set prior to the unsupervised two-way clustering.

The optimization problem was solved using an alternative optimization strategy [30]. Once the decomposition results were obtained, the low-dimension meta-features $M \in R_+^{N \times K_f}$ were calculated as $M = AS$, which were utilized to build prediction models for predicting clinical outcomes.

Patient stratification and prediction of survival and free of nodal failure

Based on the clustering results of patients and the meta-features obtained from the unsupervised two-way clustering, patient stratification and prediction of overall survival and freedom from nodal failure were carried out.

For assessing patient stratification performance of the two-way clustering method, Kaplan–Meier estimation [39] was adopted to estimate a survival function for each group of patients with respect to survival and freedom from nodal failure, and group differences were examined using Log-rank test [40].

We set the number of sub-clusters regarding patients K_s to 2 and 3 respectively, with an assumption that 2 sub-clusters of patients might stratify patients into groups with low and high risks with respect to mortality and nodal failure, whereas 3 sub-clusters might stratify them into groups with low, medium, and high risks. The number of meta-feature was set to 10, determined using a cross-validation strategy based on its performance for survival prediction. More details are provided in the supplemental material.

For predicting each patient's risk of mortality and nodal failure, the meta-features extracted for each patient were used to build prediction models using 3 different survival modeling techniques, including Cox proportional hazard regression (Cox regression) [30], Cox regression with LASSO (Cox_lasso) [31], and random survival forests (RSF) [32]. Particularly, the Cox regression method is a standard survival modeling technique. Both the Cox regression and Cox_lasso methods are semi-parametric models, but the latter could select informative features during the model training. The RSF method is fully non-parametric, capable of selecting informative features. Different parameter settings for K_s and K_f of the two-way clustering method were adopted to investigate the associations between prediction performance and the parameters. Specifically, K_s was set to 2, 3, and 4 respectively, and K_f varied from 2 to 11 with an increment of 1, which was a reasonable range for the present study with 100 patients [41,42]. All the prediction models were trained and evaluated under the same 3-fold crossvalidation setting, and concordance index (c-index) was adopted to evaluate the prediction performance. The cross-validation procedure was repeated 100 times, and the average performance (mean and standard deviation of c-index) was reported. Prediction models were built using R packages survival, glmnet, and randomForestSRC. The Cox_lasso method's sparsity parameter was determined automatically using a nested 3-fold cross-validation. For the RSF model, 500 decision trees were adopted and the minimum leaf size of the tree was set to 5.

The proposed method was compared with alternative techniques. Particularly, for evaluating the patient stratification performance, our method was compared with K-means clustering for clustering the patients based on 722 radiomic features with the same setting. For evaluating feature dimensionality performance, our method was compared with PCA that was adopted to obtain low-dimension feature representations for building survival prediction models. The prediction performance of PCA features was estimated using the same cross-validation procedure as described above.

To build prediction models with parameters automatically determined based on training data, a nested 5-fold crossvalidation was adopted to determine the parameters by searching parameter combinations ($K_s \in (2, 3, 4)$ and $K_f \in [5, 11]$). For the PCA-based feature extraction, the optimal number of features was identified in [5,11] in the same way. Prediction models were also built upon clinical variables, including age, body mass index (BMI), race, sex, smoking status, T stage, tumor size, forced expiratory volume 1 (FEV1),

FEV1/FVC (forced vital capacity), and histology, for predicting the risk of mortality and nodal failure at the individual subject level. A 3-fold cross-validation was adopted to evaluate all the methods, and the cross-validation was also repeated 100 times, with the mean prediction performance reported.

The prediction models built upon different features were compared in terms of their prediction performance using Wilcoxon signed-rank test [43].

Experimental results

Patient stratification

Fig. 2 shows results of the Kaplan-Meier estimations regarding survival and freedom from nodal failure for different patient groups. When the patients were stratified into 2 groups, the differences between them in terms of survival and freedom from nodal failure were not statistically significant as revealed by Log-rank test, although differences between the Kaplan-Meier plots of the two groups were subjectively visible. When the patients were stratified into 3 groups, the groups with low (green curve) and high (blue curve) risks of mortality were significantly different in their survival ($p = 0.003$), and they were also different in terms of freedom from nodal failure ($p = 0.038$), as shown in Fig. 2 top and bottom right respectively. The group (red curve) in-between had a medium risk in terms of both mortality and nodal failure. Overall, these results demonstrated that the two-way clustering could help stratify patients with different clinical endpoints. The two-way clustering result regarding the original radiomic features is illustrated in Fig. S1 in the supplementary material.

Fig. 3 shows patient stratification results obtained by applying K-means to the original radiomic features. Similar to the results obtained by the two-way clustering method, no significant group difference was found when the patients were stratified into 2 groups. When the patients were clustered into 3 groups, a marginal difference was observed between two groups in terms of survival ($p = 0.041$). However, they were not different in terms of freedom from nodal failure. These results indicate that the K-means clustering did not perform as good as the two-way clustering method for patient stratification in terms of both survival and freedom from nodal failure.

Patient stratification has also been obtained according to their T stage statuses, with 3 subgroups corresponding to T1a, T1b, and T2a. No significant difference was observed between different subgroups, and Kaplan-Meier plots regarding survival and nodal failure are shown in Fig. S2 in the supplemental material.

Prediction of overall survival and freedom from nodal failure

Fig. 4 shows prediction performance obtained by different prediction models. In each plot, the x -axis refers the number of meta-features, and the y -axis refers to the c -index of the prediction models. As shown in the top row of Fig. 4, the prediction models built upon meta-features obtained by two-way clustering outperformed their PCA-based counterparts in terms of survival, especially when the number of patient groups was 3. With respect to nodal

failure as shown in the bottom row of Fig. 4, the Cox_lasso and RSF models built with the proposed meta-features outperformed the corresponding PCA-based models, while the Cox model built upon PCA-based features obtained better performance.

Fig. 5 shows different meta-features' overall prediction performance that was calculated as the sum of the c-index measures of the Cox-regression, Cox-lasso, and RSF prediction models with different numbers of features. The results demonstrated that the proposed meta-features had better prediction performance than PCA features when the number of meta-features was greater than 3, indicating that these proposed meta-features were more informative for the prediction of survival and freedom from nodal failure.

Based on the meta-features extracted by the two-way clustering method, the c-index regarding survival obtained by Cox model, Cox_lasso model, and RSF model were 0.640 ± 0.029 , 0.617 ± 0.034 , and 0.552 ± 0.044 respectively. For nodal failure, the c-index were 0.637 ± 0.049 , 0.607 ± 0.076 , and 0.664 ± 0.063 respectively. Based on the PCA-based meta-features, the c-index regarding survival obtained by Cox model, Cox_lasso model, and RSF model were 0.605 ± 0.075 , 0.541 ± 0.094 , and 0.515 ± 0.062 respectively; for nodal failure the c-index were 0.660 ± 0.081 , 0.592 ± 0.111 , and 0.555 ± 0.071 respectively. Specifically, for predicting survival the best prediction model built upon the proposed meta-features was better than that build upon the PCA-based meta-features ($p < 0.001$); for predicting nodal failure the best prediction model built upon the proposed meta-features was better than that build upon the PCA-based meta-features, but without statistical significance ($p = 0.328$). For the RSF models, the prediction performance was not sensitive to the number of trees and leaf size adopted, prediction performance with different settings are illustrated in Fig. S3 in the supplemental material.

The prediction models built upon clinical variables had numerically worse performance. For survival prediction, c-index values obtained by the Cox model, the Cox_lasso model, and the RSF model were 0.529 ± 0.045 , 0.531 ± 0.059 , and 0.535 ± 0.046 respectively. For nodal failure, c-index values of these models were 0.496 ± 0.063 , 0.433 ± 0.105 , and 0.480 ± 0.065 , respectively. All these prediction models had worse performance than their counterparts build upon the radiomic features ($p < 0.04$).

Prediction models were also built upon combination of clinical variables and radiomic features. For the survival prediction, c-index values obtained by the Cox_lasso, and RSF model were 0.595 ± 0.042 , and 0.581 ± 0.042 , respectively. For the nodal failure, c-index values of these models were 0.551 ± 0.055 , and 0.617 ± 0.057 , respectively. These prediction models had better prediction performance than those build upon clinical variables alone, indicating that radiomic features could provide complementary and discriminative information for the prediction.

Discussion

Radiomic analysis has been a powerful tool to investigate the associations between imaging data and clinical endpoints quantitatively. Due to high-dimensional features extracted from the imaging data, feature selection and feature dimensionality reduction is crucial to obtain

robust and reliable analysis results in radiomic analysis studies, especially in those with a small sample size [21–23]. In this study, we have proposed an unsupervised two-way clustering technique to perform patient stratification and feature dimensionality reduction simultaneously, with the hypothesis that the patient stratification and feature dimensionality reduction will benefit from each other. The experiment results have demonstrated that the proposed method could achieve competitive performance compared with the conventional patient stratification and feature extraction techniques with respect to both patient stratification and prediction of patient survival and freedom from nodal failure. Compared with prediction models built upon clinical measures, the prediction models built upon radiomic features had better prediction performance. Prediction models built upon combination of clinical variables and radiomic features had better performance than those built upon clinical variable alone, but worse than those built upon radiomic features alone. One possible reason is that all the patients in the present study were at early stage (89% at T1 stage and 11% at T2 stage) and therefore the clinical variables could not provide discriminative information for the prediction. As shown in the supplementary material, the tumor volume was significantly correlated with survival ($p < 0.05$) and nodal failure ($p < 0.0005$), the SUVmax measure was not significantly correlated with survival or nodal failure ($p > 0.5$), and prediction models built upon the tumor volume and SUVmax measures had relatively worse prediction performance than those built upon the complex radiomic features. These results further demonstrated that more discriminative information than the tumor volume and SUVmax measures could be extracted from imaging data [44].

Radiomic features have been investigated for the prognosis in NSCLC patients in terms of different clinical endpoints [1–4]. The prognosis is typically formulated as a supervised machine learning problem and feature selection is usually adopted to improve the performance of the prognosis models. Feature selection is usually performed based on the feature's reproducibility and discriminative power [26–28]. The reproducibility is typically calculated based on test-retest datasets, which requires datasets at multiple time points from the same subjects. While the test-retest dataset is not always available, the features with high reproducibility are not necessarily informative for prediction tasks. Features selected according to their discriminative power are typically more informative for prediction; however, it is prone to overfitting the training data, especially in studies with a small sample size. Unsupervised feature dimension reduction techniques, such as PCA, are capable of capturing associations among the original features, they are not optimized for prognosis tasks, as no information relevant to clinical endpoints is used to drive the feature extraction.

Recent studies have demonstrated that clustering patients based on their radiomic signatures could lead to clinical meaningful stratification, such as low/high mortality risk and recurrence risk [45]. This indicates that patient stratification could provide weak supervision for extracting features relevant to clinical endpoints. Inspired by this observation, we developed this unsupervised two-way clustering method to perform the patient stratification and feature dimensionality reduction (meta-feature extraction) simultaneously, with the assumption that patient stratification and meta-feature extraction could benefit from each other. While the patient stratification provides weak supervision to extract features that are potentially informative for predicting clinical endpoints, the features extracted in turn facilitate the patient stratification. The survival analysis results of the NSCLC patients in this

study have demonstrated the advantages of the proposed method compared to conventional current alternatives in which the patient stratification and the meta-feature extraction are typically decoupled. For the patient stratification, the results obtained by the proposed method showed larger differences between different groups with respect to both patient survival and freedom from nodal failure than those obtained by the K-means clustering method, demonstrating benefits brought to the patient stratification by the meta-feature extraction. On the other hand, the prediction performance in terms of both survival and freedom from nodal failure also showed that the features extracted by the two-way clustering were more informative than those obtained by the PCA method across different feature dimensions and prediction model settings, demonstrating benefits of weak supervision from the patient stratification.

In the present study, we found that the patient stratification with 3 clusters showed better performance than that with 2 clusters, as demonstrated by results shown in Fig. 2. On the other hand, the 3-cluster patient stratification also yielded more informative meta-features for building prognosis models as shown in Figs. 4 and 5, indicating that it provides informative guidance for the meta-feature extraction. Increasing the number of clusters of patients to 4 did not lead to better meta-feature as shown in Fig. 4, indicating that a more complex model might capture confounding information that affected the meta-feature extraction adversely.

The quantization (discretization) of SUVs into a set of discrete values has been investigated and discussed in recent studies [37,46]. Particularly, the SUV quantization methods using a fixed number of bins and a fixed bin size in SUV unit have been compared for clinical treatment response assessment [37]. It has been demonstrated that the SUV quantization using a fixed bin size in SUV unit across tumor images could yield textural feature values that are defined on the same SUV scale, allowing for a meaningful comparison of texture between images. A variety of other SUV quantization methods have also been discussed [46]. However, no conclusion has been reached with respect to a standard method.

In the present study, we used both the SUV quantization methods with a fixed number of bins and a fixed bin size in SUV unit. When the SUV quantization method with a fixed bin size of 0.2 SUV unit was used, the mean number of gray levels for all the patients was 31.68, with a standard deviation of 25.11, reflecting the fact that tumors of different patients had varied SUV ranges. For the SUV quantization method with a fixed number of bins, two settings of 32 and 64 bins were adopted (the supplementary material). For the prediction of survival, the SUV quantization method with a fixed bin size in SUV units had led to better performance, while the SUV quantization method with a fixed number of bins had yielded better performance for the prediction of nodal failure. These results suggest that the SUV quantization methods merit further investigation.

Our study has several limitations. First, we did not include CT data in the analysis since tumors in CT scans were not well coregistered with those in PET scans after linear registration due to the respiratory motions [47]. We did not extract radiomic features from CT scan based on our current segmentation results due to relatively low spatial resolution of the CT scans after registration. The main aim of this study was to investigate and validate if

the unsupervised two-way clustering could improve feature representations compared with conventional unsupervised feature dimension reduction methods. Advanced non-rigid multimodal image registration may help accurately register tumors in CT and PET scans, or tumors could be segmented for PET and CT scans separately [9,10]. The potential benefit of multimodal imaging features merits further investigation. Second, although our study included all consecutive patients treated in a very homogenous way, the sample size of our study is modest, and the method has not been validated based on external validation data. We will further validate our method based on data collected at multiple institutions.

In conclusion, we proposed an unsupervised machine learning algorithm for simultaneous patient stratification and feature dimensionality reduction (meta-feature extraction), aiming to achieve robust performance for predicting treatment response and survival based on radiomic features. The evaluation results demonstrated that our method can achieve promising performance for distinguishing patients with different outcomes and extracting meta-features that are predictive for estimating various clinical outcomes. The proposed method is applicable to other cancer studies.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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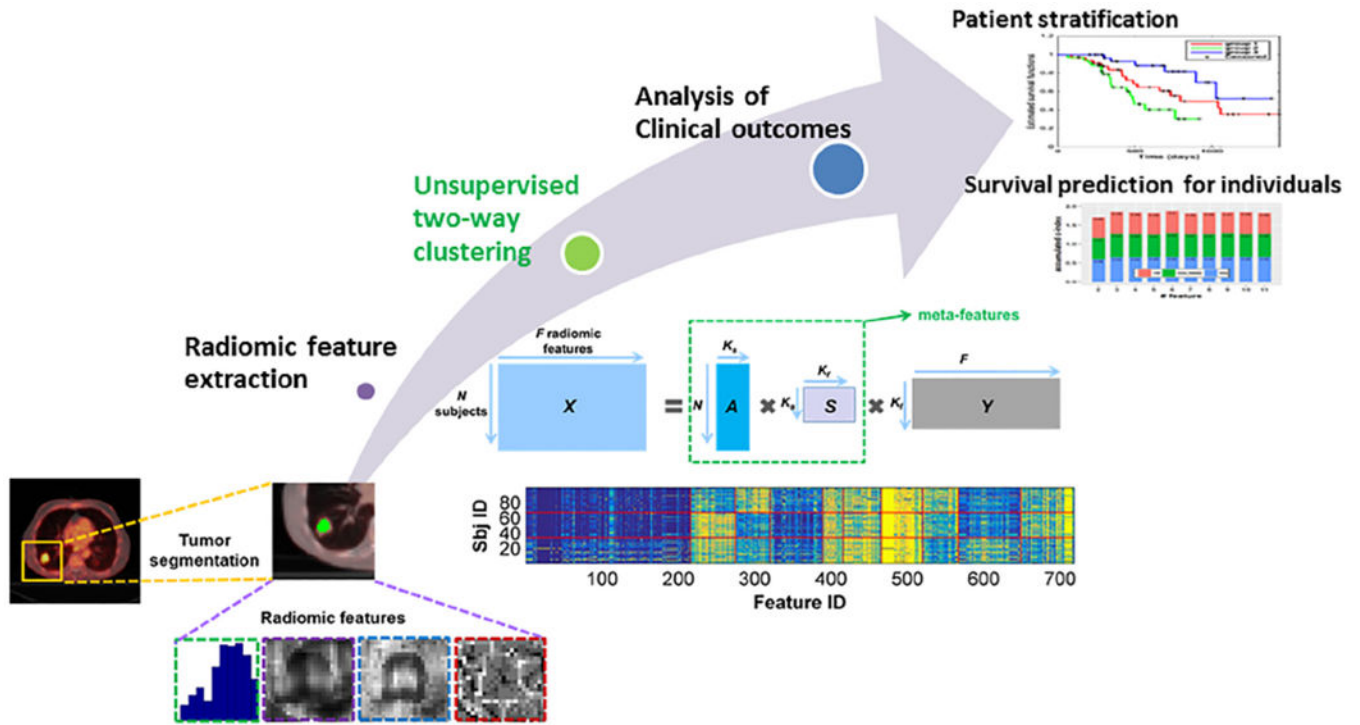


Fig. 1. Prediction modeling with unsupervised learning of radiomic features, including radiomic feature extraction, unsupervised two-way clustering for meta-feature extraction, and clinical outcome analyses of patients at both group and individual subject levels.

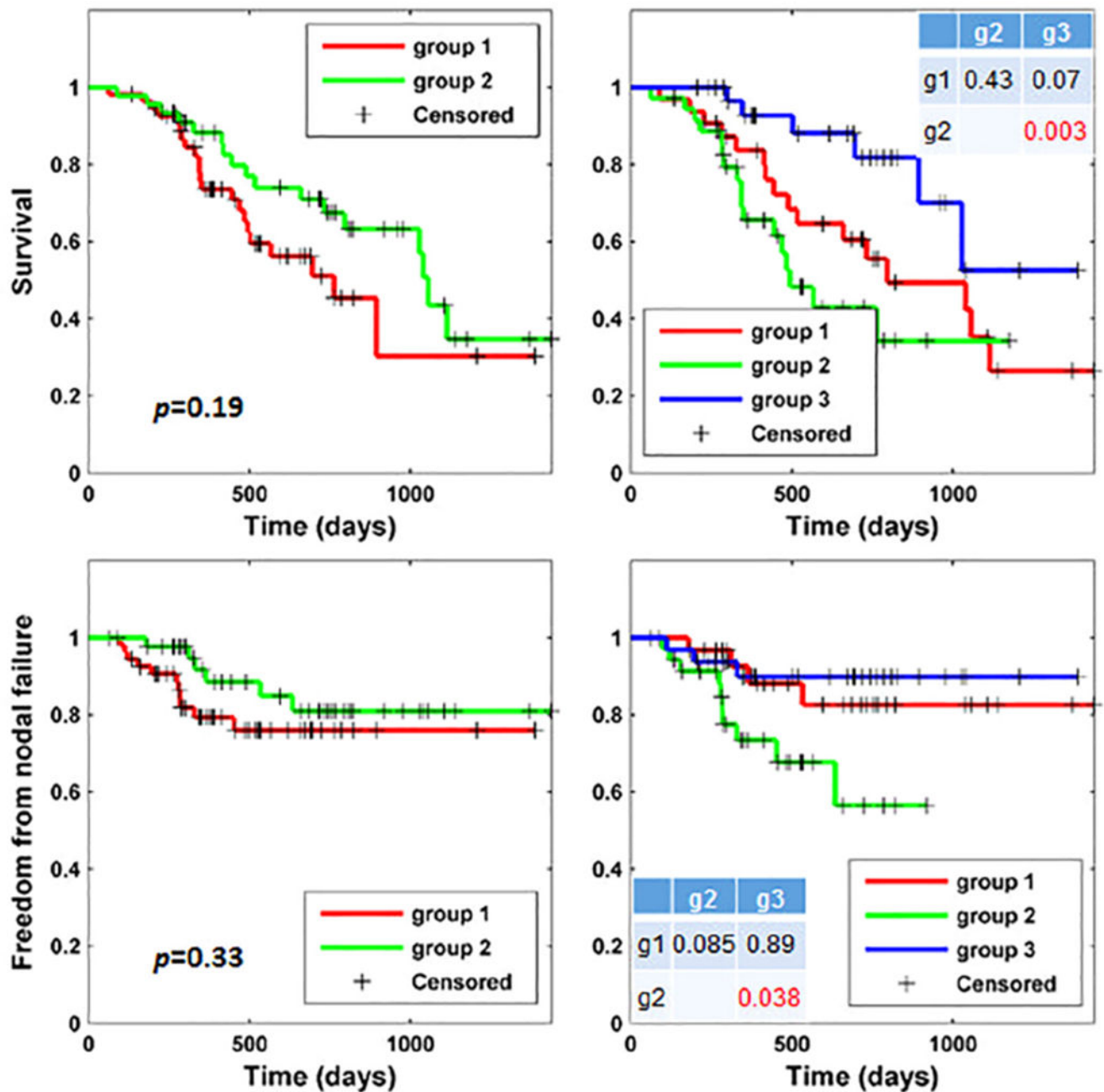


Fig. 2. Kaplan–Meier plots of groups of patients stratified by the unsupervised two-way clustering method regarding overall survival (top) and freedom from nodal failure (bottom) with settings of 2 groups (left) and 3 groups (right). Group differences were examined using Log-rank tests. Tables in the right plots show p values between group 1 (g1), group 2 (g2), and group 3 (g3) with respect to survival (top) and freedom from nodal failure (bottom), respectively.

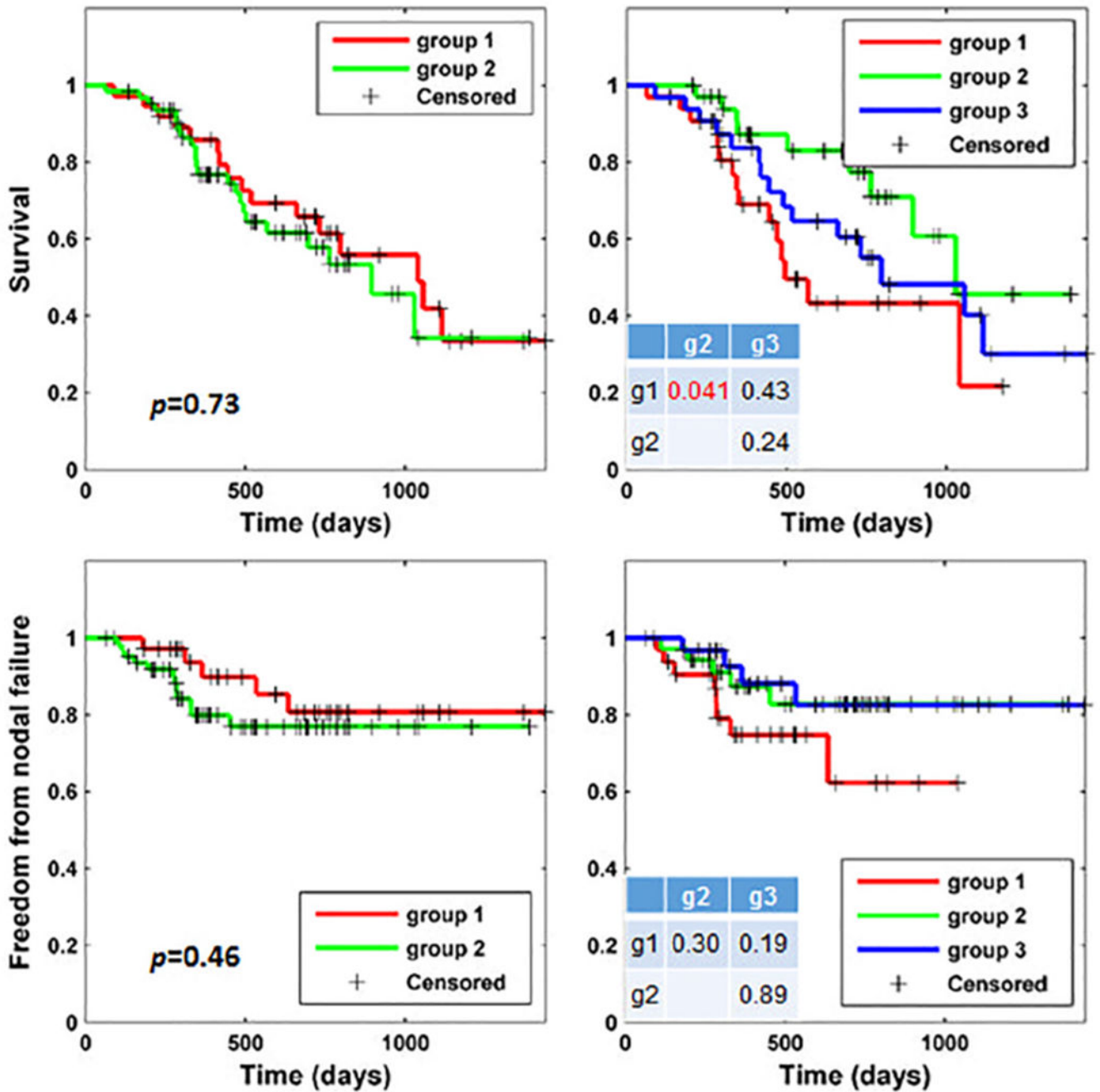


Fig. 3. Kaplan–Meier plots of groups of patients stratified by the K-means clustering method regarding overall survival (top) and freedom from nodal failure (bottom) with settings of 2 groups (left) and 3 groups (right)). Group differences were examined using Log-rank tests. Tables in the right plots show p values between group 1 (g1), group 2 (g2), and group 3 (g3) with respect to survival (top) and freedom from nodal failure (bottom), respectively. No correspondence between the group IDs shown in Figs. 3 and 2.

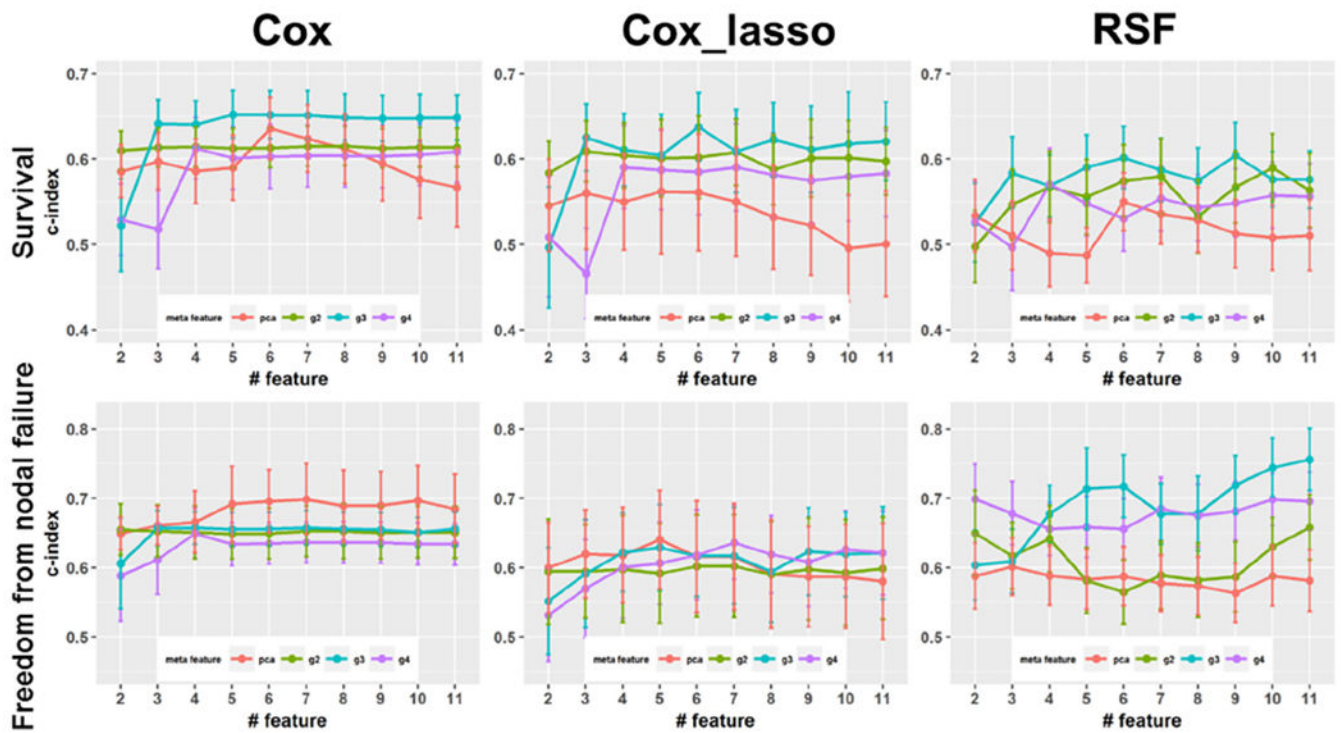


Fig. 4. Performance of prediction models built upon meta-features extracted by the unsupervised two-way clustering and PCA with respect to survival (top row) and freedom from nodal failure (bottom row) using different prediction models. Plots of g2, g3, and g4 are results obtained with the two-way clustering based meta-features with 2, 3, and 4 groups of patients, respectively.

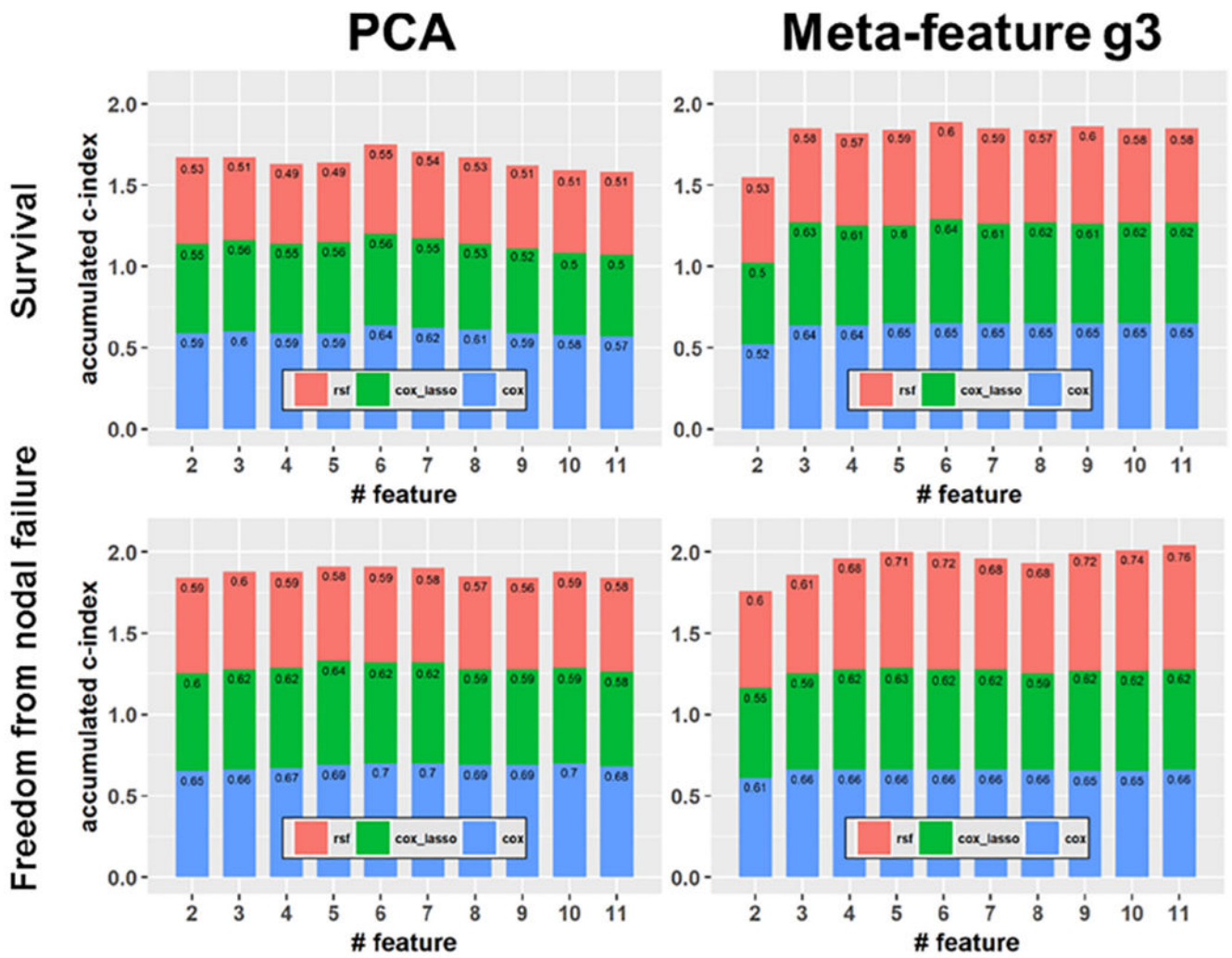


Fig. 5. Overall prediction performance of prediction models built upon the PCA features (left) and the two-way clustering features (right) with respect to survival (top) and freedom from nodal failure (bottom).

Table 1

Characteristics of patients in this study.

Age (years)	70.6 ± 11.8
Sex (M/F)	48%/52%
Current or former smoker	96%
Deceased	39%
Nodal failure	17%
Local failure	7%
Metastasis	9%
T stage (T1a/T1b/T2a)	59%/30%/11%
Histology (adenocarcinoma/squamous cell carcinoma/poorly differentiated/no tissue diagnosis)	22%/29%/9%/40%
Mean tumor size ±SD, cm	1.99 ± 0.87

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