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# Glial responses to implanted electrodes in the brain

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# Abstract

The use of implants that can electrically stimulate or record electrophysiological or neurochemical activity in nervous tissue is rapidly expanding. Despite remarkable results in clinical studies and increasing market approvals, the mechanisms underlying the therapeutic effects of neuroprosthetic and neuromodulation devices, as well as their side effects and reasons for their failure, remain poorly understood. A major assumption has been that the signal-generating neurons are the only important target cells of neural-interface technologies. However, recent evidence indicates that the supporting glial cells remodel the structure and function of neuronal networks and are an effector of stimulation-based therapy. Here, we reframe the traditional view of glia as a passive barrier, and discuss their role as an active determinant of the outcomes of device implantation. We also discuss the implications that this has on the development of bioelectronic medical devices.

There are more connections between neurons in the human brain than there are stars in our galaxy<sup>1</sup>, and there are at least a dozen specific neuronal subtypes in the brain that are recognized as unique on the basis of their distinctive functional and morphological characteristics<sup>2,3</sup>. There is also growing recognition that non-neuronal supporting cells are more diverse and dynamic than previously appreciated, with distinct classes and subclasses

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of glia actively shaping the structure and function of neural circuitry<sup>4</sup>. Although such complexity is a likely requisite for the ability to internalize, integrate and respond to the continuous streams of information that the brain must process, it also makes the effective treatment of neurological disorders especially challenging. In recent years, the development and design of new implantable-device technologies to read-out and write-in electrical and chemical signals to and from the nervous system have created unprecedented opportunities to understand normal brain function and to ameliorate dysfunction resulting from disease or injury.

Although research and clinical applications of implanted electrode arrays continue to experience rapid growth, their usage has outpaced the clear understanding of the mechanisms underlying their benefits, side effects and modes of failure. Originally a precision academic-research tool to measure and modulate neural circuitry at subsecond and submillimetre resolution, implanted electrode arrays have increasingly been used in the clinic to treat an expanding array of medical conditions. Reports in the late 1980s and early 1990s demonstrated compelling preliminary clinical efficacy of deep brain stimulation (DBS) for tremor as a safer alternative to thalamotomy or pallidotomy in medically intractable Parkinson's disease<sup>5</sup>. Although the mechanisms underlying its benefits remain the subject of debate<sup>6</sup>, DBS has since been approved by the US Food and Drug Administration for Parkinson's disease, essential tremor, obsessive compulsive disorder, dystonia and refractory epilepsy<sup>5</sup>. Therapeutic indications currently being pursued in clinical studies are rapidly expanding, and include Alzheimer's disease, depression, Tourette's syndrome, deafness, blindness, and strategies to promote plasticity in cases of severe stroke or tinnitus<sup>6</sup>. Electrophysiological and neurochemical recordings have gained traction as a diagnostic tool, as an enabling technology for brain/machine interfaces in paralysis patients and as biomarkers to inform strategies for closed-loop stimulation devices<sup>7</sup>.

The successful use of chronically implanted neuroprostheses is predicated on the ability to reliably modulate or record signals from surrounding neurons over time (preferably, for many years). This is true for the broad range of clinical and research applications pursued, and for the variety of methods of read-out or write-in of neural activity employed (such as optical or electrical)<sup>8</sup>. However, problems arising from small signal amplitudes and from signal instability plague implanted recording arrays, limiting their long-term function<sup>9–13</sup>. Signal amplitudes typically shift on a daily basis<sup>14</sup>, compromising the likelihood that spike detection crosses the required threshold. This can, in turn, affect apparent firing rates, contributing to the non-stationarity that burdens the use of these signals for prosthetic control<sup>13</sup>. Studies across animal models often report progressive losses in signal detection in the weeks following implantation<sup>14,15</sup>. In recordings taken from human subjects, significant changes in unit amplitudes were observed on an intraday basis<sup>13</sup>. Many of these shifts seem to be related to device micromotion (based on simultaneous effects observed across electrode sites)<sup>13</sup>, but the vast majority were attributed to a physiological origin (85%). Likewise, in applications that stimulate the central nervous system, desensitization can occur following chronic microstimulation, and inexplicably large placebo effects can follow implantation of non-functional devices<sup>16,17</sup>. A variety of factors, both biological and nonbiological, have been proposed to contribute to observations of instability in neural recordings and to the variable thresholds of neurostimulation<sup>10,18</sup>. Among these, suboptimal

biocompatibility and suboptimal integration with surrounding tissue remains a significant limitation to reliably transfer information to and from the brain through implanted electrode arrays.

## Astrocytic responses to device insertion

Historically, neurons have been viewed as the information-processing cells of the central nervous system (CNS), because of their specialized capability to generate transient spikes in membrane potential (so-called action potentials). The presence or absence of these spikes serve as the putative ones and zeros of the neural code, where the detection or stimulation of these signals by implanted electrode arrays is the primary mode of device–neuron communication. However, neurons are outnumbered three-to-one by supporting glial cells in the brain<sup>19</sup>, and recent data has suggested that glia are capable of both transmitting and receiving synaptic signals as well as of producing profound effects on the local neurochemical environment<sup>20</sup>. These observations of complex functional roles belie the simple structural role implied by the origin of the term glia (Greek for 'glue')<sup>21</sup>. The foreign-body response to electrode arrays implanted in the brain is typified by glial encapsulation surrounding the device, where reactive glia ensheath the implant in a layered structure that can measure tens to hundreds of micrometres in thickness (Figs. 1 and 2). Heterogeneous types of glia respond to injury (Box 1), with reactive astrocytes being notable for their effects on the health, function and connectivity of neural networks.

Astrocytes are the most abundant cell in the brain<sup>22</sup> and are so-named for their stellate morphology. They are responsible for regulating neurovascular blood flow, neurotransmitter activity and the composition of the extracellular environment, and provide metabolic support under physiological and pathological conditions<sup>23</sup>. They participate in communication as a third member of the traditional synapse (the 'tripartite synapse'), through the release of gliotransmitters (glutamate, adenosine triphosphate (ATP), D-serine) in response to hundreds of synaptic inputs. Hence, they are responsible for the storage, processing and transfer of synaptic information across neuronal networks in the brain<sup>20</sup>. The diversity of their roles is reflected in the recent identification of distinct subclasses of astrocytes that are characterized by differences in gene expression, function and reactive states during CNS injury<sup>4,22–24</sup>. Gradients of damage-associated cues regulate the expression of extracellular-signalling molecules, of intracellular transducers and of transcription factors that instruct subtype specification<sup>25</sup>. Heterogeneous subtypes range from inflammatory phenotypes, which produce cytokines and chemokines, to phenotypes with an active role in interneuronal signal transmission (such as neurotransmitter release, sensing or re-uptake)<sup>22</sup> and in blood-flow regulation<sup>26</sup>. Therefore, differential responses arising as a consequence of astrocyte reactivity, in addition to their physiological roles in the uninjured brain, need to be considered when evaluating the effects of astrogliosis on therapeutic outcomes and device performance. Brain injury, pathology and electrical stimulation generate considerable modifications to the physiological nature and consequences of glial signalling, with reactive astrogliosis implicated in both neuroprotective and neurodegenerative outcomes<sup>25,27</sup>.

Disruption of the blood–brain barrier (BBB) is inevitable during device implantation<sup>28</sup> (Fig. 2). The influx of blood-serum proteins (including albumin and fibronectin) activate

inflammatory pathways of nearby glial cells, including microglia and astrocytes<sup>26</sup> (Fig. 2a). Microglia become activated, divide and migrate to the implant to release proinflammatory cytokines. This activation of microglia and the loss of ramified processes prevent these cells from undertaking their important 'resting state' activities, such as normal modulation of synapses<sup>26,29</sup>. In turn, the upregulation of proinflammatory cytokines drives nearby neurons towards excitotoxicity and neurodegeneration. Simultaneously, the loss of nearby oligodendrocyte precursor cells (also called NG2-glia) leads to the proliferation, migration and differentiation of distant NG2-glia into astrocytes<sup>30</sup>, increasing the activated astrocyte population (Box 1). Astroglial reactivity around the implant leads to increased expression of connexon-43 (Cx43), an astroglial hemichannel and gap junction known to facilitate the spread of inflammation<sup>31–33</sup>. In turn, inflammation leads to the recruitment of blood-borne monocytes and neutrophils through the intact BBB, and to the formation of multinucleated giant cells<sup>34</sup>. In addition, this inflammation alters the expression level of matrix metalloproteinases, together leading to further breakdown of the BBB and facilitating the influx of blood-serum proteins, red blood cells and leukocytes<sup>26</sup>. BBB disruption also leads to lower oxygen and nutrient delivery, as well as to impaired removal of neurotoxic waste products, including reactive oxygen species generated during the breakdown of red blood cells in the parenchyma<sup>26</sup>. This increase in metabolic, oxidative and osmotic stress further drives inflammation in nearby cells<sup>26</sup>. As expected, there is growing literature pointing to the idea that lasting BBB disruption around electrodes is implicated in long-term signal instability<sup>26,35–37</sup>. Together, this underscores an important role for BBB disruption in attracting and sustaining gliosis following device implantation.

After arrival, astrocytes can act as either effectors or affectors of device function. In the wake of the discovery of DBS and of expanding applications for similar devices, there has been growing interest in the role of glial cells in the effects and side effects of therapeutic stimulation, as well as in the progressive deterioration of the ability of electrodes to stimulate and record effectively $^{38-42}$ . Historically, the evaluation of the glial contribution to performance outcomes has been limited to the formation of an encapsulating scar around implanted electrodes (Fig. 1). For stimulation therapies, this often led to the simplistic view that the encapsulating scar was a passive physical barrier, where one could simply 'turn up the current' to offset any impact of the glial response, until hitting a threshold limit for safe electrical stimulation. For diagnostics and therapies depending on recording electrodes, the impact of the glial response was typically assessed by correlating measured tissue-electrode impedance to the quality of recorded neuronal activity<sup>43</sup>. However, more recent data have associated the chronic glial response to functional changes in neural circuit behaviour and to progressive neurodegeneration within the vicinity of implanted electrodes<sup>27,30,44</sup>, painting a more complicated picture of the glial contribution to the injury response. Likewise, newer data suggest that glia are an effector in stimulation-based therapeutic outcomes<sup>38,45</sup>. Isolating the structure-function relationship between glial reactivity and the remodelling of local neuronal circuits is central to understanding the fundamental mechanisms underlying therapeutic effects and device-failure modes. Here, we consider the influence of astroglia on device function, both as a passive barrier to device-tissue communication and as an active influence on neuronal signalling.

# **Consequences of glial encapsulation**

The barrier nature of gliosis has traditionally been assessed through in vivo measurements of the impedance of the tissue/electrode interface, and modelled using static circuit elements. However, the electrode/tissue interface, especially in the presence of reactive gliosis, cannot be fully defined by these traditional methods.

#### Neurostimulation

In vivo impedance measurements are sensitive to a variety of factors in addition to glial encapsulation, including potential cellular encapsulation of the reference electrode, protein adsorption on electrode sites and the characteristics of the ionic environment at the electrode/electrolyte interface (such as diffusion, resistance to charge transfer and doublelayer capacitance)<sup>46,47</sup>. Likewise, impedance can be especially difficult to interpret for emerging biomaterials with high ratios of electrochemical surface area to geometric surface area, for which the surface topography and chronic glia-surface interaction remain difficult to characterize<sup>48</sup>. Even for simple surfaces, faradaic reactions such as platinum dissolution occur at low levels of stimulation<sup>49</sup>, and increase as a function of increasing stimulation intensity<sup>50</sup>. Charge transfer via faradaic reactions risks damage to both electrodes and neighbouring tissues<sup>51</sup>. Similarly, the extracellular tissue resistance between cells comprising the glial scar, and the combined resistance and capacitance of their cellular membranes, can be altered as a function of stimulation intensity  $^{41,52,53}$ . Given the nonlinear contributions of these elements, the accuracy of the volume of neural-tissue activation of a chronically healed-in electrode predicted by computational models is difficult to verify. Moreover, the impacts of these nonlinear elements in chronic settings on stimulation strategies, such as high-frequency stimulation to induce neural block<sup>54</sup>, on asymmetrical waveforms to inactivate neural tissue close to the electrode<sup>55</sup>, and on thresholding techniques to activate specific neural classes/elements remains unclear<sup>56</sup>.

For stimulation applications, the barrier nature of gliosis is reflected in models of the effective volume of tissue activated, where greater gliosis reduces the number of neurons stimulated<sup>55</sup>. The stimulation paradigm affects the impact of the glial barrier: in constant-voltage stimulation, voltage is controlled and the actual current delivered to tissue varies as the tissue response evolves (increased impedance due to gliosis reduces the stimulation delivered). Although glial encapsulation is known to change in the weeks immediately following implantation, it is generally assumed that reactive gliosis reaches a steady state three to six months post-implantation<sup>42,57</sup>. For constant-voltage stimulation paradigms, the day-to-day changes in impedance caused by consolidation of the glial scar during the first three to six months post-implantation may dramatically alter the effective current reaching neural tissue<sup>39</sup>. As a result, most device manufacturers have moved towards constant-current stimulation paradigms where the charge density delivered by the stimulating electrode does not depend on day-to-day changes in impedance of the tissue/electrode interface<sup>58,59</sup>.

#### Extracellular recordings

The impact of glial encapsulation on the quality of signals recorded in vivo remains illdefined, as studies that investigate histology, impedance and recording quality for the same

system are rare. Nevertheless, a few lines of indirect evidence support the idea that glial encapsulation acts as a barrier to signal detection by implanted electrodes<sup>37,43,60</sup>. Astrogliosis, as identified by increased glial fibrillary acidic protein (GFAP) immunoreactivity, was associated with reduced recording quality of Utah-style arrays implanted in the rat cortex in a study that investigated the relationship between histology and recording quality<sup>37</sup>. Another study found a correlation between increased impedance and the presence of GFAP-positive astrocytes (signal quality was not assessed, however)<sup>61</sup>. An inverse relationship between recording quality and impedance measurements over a chronic time course was also observed, but a direct assessment of histology was not reported<sup>43</sup>. In a study that assessed impedance, recording quality and quantitative histology within the same set of chronically implanted animals<sup>40</sup>, the data revealed a negative correlation between non-neuronal density (NND) and signal quality, and a relatively weaker, positive correlation between NND and 1 kHz impedance (Fig. 3).

These data suggest that glial encapsulation is an underlying cause of both increased impedance measurements and a concomitant reduction in recording quality (in support of a barrier role). However, the relationship between impedance and recording quality is complex, with multiple potential confounders and often inconsistent correlation between metrics<sup>62,63</sup>. For example, interanimal and intraday variability in recorded signal and impedance correlations have been reported, where a 'simple' relationship between impedance and unit activity could not be defined<sup>63</sup>. Loss of insulation integrity is an important factor in determining measured impedance values, and several results underscore the potential contribution of device integrity in determining performance outcomes<sup>63,64</sup>. Furthermore, drug treatments that reduce glial activation and decrease impedance do not necessarily translate to an improvement in recording quality<sup>40</sup>. In addition, modelling data suggest that glial scarring may have a large impact on impedance values but minimal impact on signal amplitude<sup>65</sup>. Interpreting impedance values measured in vivo and their relationship to recorded signal quality and histology is then confounded by the dual influence of mechanical integrity and glial encapsulation on recorded values. Also, the assimilation of reported effects across studies is undermined by inconsistencies in the analysis methods used. Moreover, impedance measurements are an imperfect surrogate for the measurement of action potentials generated by a nearby neuron. Impedance measurements are typically taken at 1 kHz or across a frequency spectrum, and consist of continuous sinusoids in the 5-25 mV range delivered by backend instrumentation. In contrast, extracellular potentials are generated by the movement of ions across a cellular membrane and are caused by the gating of ion channels during an action potential, are not continuous in nature, consist of multiple frequency components and are in the range of tens to hundreds of microvolts, depending on the distance and orientation with respect to the extracellular recording electrode<sup>66,67</sup>. New methods of assessing the barrier effect of gliosis in vivo, in concert with complementary approaches to assess individual glial-encapsulated sites (such as in vivo imaging, controlled perturbations of glial reactivity surrounding sites and improved computational models) will be required to determine the impact on long-term recording quality. Similarly, the view of the glial sheath as a passive barrier needs to be reconciled with an expanding body of evidence for direct action of glia on neuronal health and excitability.

#### **Neurochemical sensing**

In addition to electrically isolating devices from neuronal signals, glial encapsulation may pose a communication barrier between implanted sensors and the local neurochemical environment. Neurochemical sensing has become a commonplace application of implanted electrodes in research studying synaptic transmission<sup>68,69</sup> and is an emerging approach in clinical diagnostics of neurological disease<sup>70</sup>. When coupled with implanted drug-delivery or neuromodulation devices, it can serve as a source of feedback, enabling personalized and smart neuroprosthetic therapies<sup>7,70</sup>, and providing a foundation for future closed-loop applications (for example, low neurotransmitter levels triggering the delivery of electrical or chemical-based therapy). Although the spatiotemporal resolution of these devices is superior to the alternative approach of microdialysis<sup>71</sup>, their lifetime is limited due to factors such as the electrochemical stability of the interface and the reliability of the transduced output measurement over time<sup>71</sup>.

Relatively limited histological examination has been reported for neurochemical sensors<sup>71</sup>, and further studies are necessary to clarify the impact of glia on the function of neurochemical sensing<sup>26</sup>. Given that effective diffusion of the chemical species to the electrode is a rate-limiting factor in the performance of neurochemical sensors<sup>72</sup>, the diffusion barrier posed by astrogliosis<sup>73</sup> could be a key factor limiting the temporal resolution achievable by these devices. Also, although neurons are typically assumed to be both effector and affected cells of neurotransmitter release, an increasing body of evidence demonstrates that glia are capable of neurotransmitter release and uptake<sup>22</sup>. For example, an investigation into the source of glutamate in neurochemical sampling by microelectrode arrays reported only ~40–50% of measured glutamate to be of neuronal origin in the rat prefrontal cortex<sup>74</sup>. Likewise, non-vesicular glial mechanisms accounted for the majority of extracellular glutamate detected in the rat prefrontal cortex using microdialysis<sup>75</sup>. Glia can influence the local neurochemical environment and produce related effects on the excitability of local neurons, affecting the interpretation and quality of data collected from implanted sensors and stimulators.

## Glia as an active modulator of signal transmission

An increasing body of literature demonstrates that reactive glia directly influence the signalgenerating capabilities of local neurons by influencing the excitability of individual cells, the synaptic transmission of signals between them and the broader population activity detected within a network. In this section, we explore the mechanisms of these effects and consider the potential influence on the signals detected or generated by implanted devices.

#### Modulation of neuronal excitability

Neuronal signalling is enabled by the conduction of ionic charge carriers across the cell membrane through specialized transmembrane proteins known as ion channels<sup>76</sup>. The function and expression of ion channels is shaped by a variety of factors, including the ionic composition of the intracellular and extracellular environments as well as events occurring during individual stages of protein synthesis (such as transcription, translation, post-translational modification, assembly with ancillary subunits and alternative splicing). The

glial-neuronal signalling pathways, in which autocrine/paracrine amplification loops for cytokine release are generated following injury, have the potential to affect these processes in several ways, ultimately influencing the excitability of individual neurons.

A downstream influence of glial–neuronal signalling is the efflux of potassium and the accumulation of glutamate in the extracellular environment surrounding neurons. Astrocytes have a primary role in maintaining the homeostasis of the ionic and chemical composition of the extracellular environment; their active clearance of potassium and glutamate from the extracellular space produces a net inhibitory effect on nearby neurons that dampens excitability<sup>20,77</sup>. In a mouse model deficient in astroglial connexins and astroglial coupling, hyperexcitability, synaptic unsilencing and increased synaptic release arose within the local neuronal network<sup>32</sup>. Glial scar tissue bears upregulated expression of connexins<sup>78</sup>, indicating tight astroglial network formation in the wake of the injury response to neural prostheses. By extension, astroglial scar formation may favour enhanced buffering of excitatory accumulation of extracellular potassium and glutamate, ultimately 'quieting' the local neuronal population surrounding a device.

In addition, glia are known to release cytokines in response to injury, which may influence neuronal function through direct impacts to ion-channel expression and physiology. Reactive glia, including astrocytes and microglia, release potentially neurotoxic, inflammatory cytokines following device implantation<sup>79,80</sup>, including interleukins 1 and 6 (IL-1 and IL-6), tumour necrosis factor alpha (TNFa) and monocyte chemoattractant protein 1 (MCP-1)<sup>81</sup>. These events may initiate cell death pathways and impair recording performance<sup>44</sup>, where preventing IL-1B activation showed significant improvement in neuroprosthesis performance<sup>82</sup>. Released cytokines can also result in a change in neuronal function, since alterations in ion-channel expression have been shown to follow exposure to inflammatory cytokines (IL-1 $\beta$ , TNF- $\alpha$ , IL-6) in models of traumatic brain injury<sup>83,84</sup>. Alterations in channel currents may occur on both short- and long-term timescales, where short-term effects are most probably attributed to alterations in gating characteristics or posttranslational modifications to channel proteins, whereas longer-term impacts may be related to changes in channel expression<sup>84</sup>. Acute effects (within 24 hours) tend to favour hyperexcitability, whereas longer-term impacts (days or weeks after exposure or injury) tend to favour loss of excitatory sodium<sup>85,86</sup> and calcium currents<sup>87,88</sup> in the CNS, a trend that has been interpreted as the progressive dampening of the excitability of affected neurons to promote neuroprotection and prevent excitotoxicity<sup>89</sup>. Impaired excitability would limit the detection of neuronal activity by investigational recording devices and elevate the stimulation thresholds required for clinical neuromodulation devices. Relating the underlying inflammatory pathways to performance outcomes of implanted devices will require further efforts, and targeted intervention strategies will be necessary for restoring network-level excitability to maintain long-term function in implanted devices.

#### Modulation of synaptic transmission

Device implantation necessarily disrupts the connectivity of the surrounding network, and can remodel synaptic organization through multiple mechanisms. Gliosis and related changes in the local neurochemical environment can affect synapse formation and function

following injury, influencing signal generation by the interfaced network. The impact on synaptic transmission mirrors that of intrinsic excitability, favouring a shift from hyperexcitability to hypoexcitability over time.

#### Synaptogenesis and silencing

Astrocytes can direct the formation and maintenance of synapses through multiple signalling pathways<sup>90</sup>. However, the influence of reactive glia on the synaptic remodelling surrounding implanted devices is only beginning to be explored. In the surroundings of electrode arrays implanted in rat brains, initially heightened excitatory synaptic transporters precede a chronic elevation in markers of inhibitory transmission<sup>91</sup>. BBB breach due to device insertion may be an initiating signal for these events, on the basis of evidence that astrocyte-induced excitatory synaptogenesis follows injury<sup>92</sup>. Furthermore, heightened glutamatergic transmission subsequently activates astrocytic release of transforming growth factor beta 1 (TGF- $\beta$ 1) to induce inhibitory synaptogenesis<sup>93</sup>; this parallels the observed excitatory-to-inhibitory shift surrounding implanted devices<sup>91</sup>.

An alternative mechanism of injury-induced synaptogenesis is related to a class of matrixassociated glycoproteins, known as thrombospondins (TSPs), produced by reactive astrocytes and microglia94,95 (Fig. 4). Purinergic signalling and mechanical stimulation, which are both relevant in device implantation, increase TSP production<sup>96</sup>. Here, TSP release is responsible for the formation of ultrastructurally normal yet functionally silent synapses<sup>97,98</sup>, which are characterized by altered expression of glutamate receptors. Silent synapses display normal postsynaptic N-methyl-D-aspartate receptor (NMDAR) density but an absence of postsynaptic  $\alpha$ -amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid receptors (AMPARs). Without AMPARs, these excitatory synapses are silent due to magnesium ion blockage of conductive NMDARs, unless they are artificially depolarized to remove the block<sup>97</sup>. Notably, TNF-a release by astrocytes can compensate for long-term silence via AMPAR insertion into all synapses of a given neuron<sup>98,100</sup> (a mechanism of network-level homeostatic plasticity known as synaptic scaling<sup>99</sup>). However, upregulation of connexins, as occurs in the astroglial scar<sup>78</sup>, has been shown to limit AMPAR insertion and to maintain silent synapses through down-scaling mechanisms that prevent excitotoxicity<sup>32</sup>. Therefore, synapses formed near the injury scar may be likely to exhibit depressed activity. However, variability in the functional consequences of reactive signalling is to be expected, especially in the context of a chronic, indwelling implant where surrounding gliosis may be aggravated by chronic inflammation, ongoing micromotion or repetitive stimulation.

Synaptic remodelling is shaped by the local neurochemical environment, which is in turn affected by device implantation. Electrode insertion induces significant increases in neurotransmitters in the extracellular environment (glutamate, ATP and adenosine)<sup>101</sup>, where likely sources include punctured cellular membranes and mechanoactivation of astrocytes and microglia (Fig. 4a)<sup>23</sup>. The resulting gradient serves as a beacon for attracting and reinforcing reactive gliosis<sup>22,23</sup>, and necessarily affects local synaptic plasticity<sup>20</sup>. Therefore, glial-derived changes in the local neurochemical environment are both neuron-affecting and self-sustaining<sup>27,30</sup>. Microglia mobilized by extracellular ATP withdraw their processes to assume an amoeboid morphology and converge on the site of injury to release

cytokines, glutamate and ATP<sup>23</sup>. Adenosine is produced when ATP is rapidly hydrolysed in the synapse<sup>102</sup>, and has been demonstrated to play a key role in the suppression of synaptic activity. Activation of adenosine receptors inhibits presynaptic calcium-dependent release of neurotransmitters<sup>103</sup> and opens postsynaptic K<sup>+</sup> and Cl<sup>-</sup> channels<sup>104,105</sup>. These events collectively hyperpolarize the post-synapse and prevent synaptic transmission (Fig. 4b). A reduction in synaptic activity will affect synaptic strength and plasticity<sup>106</sup>, resulting in further alterations to the local synaptic network. To summarize, glial neurotransmitter release may underlie synaptic-silencing mechanisms as an origin of injury-induced synaptogenesis or of adenosine-mediated suppression of pre- and post-synaptic transmission. Combined with evidence of increased markers of inhibitory synaptic transmission in the chronic setting, device implantation is likely to favour dampened signal transmission in the long term. These plastic silencing mechanisms are likely to be maladaptive for the effective stimulation and recording of neurons near devices. However, they may be adaptive for confining the spread of excitotoxicity and neuronal loss in the wake of implant injury and for reducing the potential for excessive synchrony within the local network.

#### Modulation of network activity

Beyond their influence at the level of a single synapse or neuron, astrocytes coordinate activity across broader cohorts of neurons and the connections between them, resulting in network-level modulation. Interconnected astroglia are able to orchestrate synchrony through the integration of signalling within neuronal circuits and across functional regions of the brain<sup>20</sup>. The stimulated actions of a single astrocyte could dictate functional consequences on an entire network of neurons<sup>20</sup>. Artificial synchronous depolarization of astrocytes using optogenetic stimulation resulted in global suppression of neuronal activity in the subthalamic nucleus<sup>107</sup>, providing direct evidence of network coordination by glia. Computational models support the available empirical evidence, where astrocytes were identified as critical determinants of the level of synchrony between neighbouring neurons in simulated data<sup>108</sup>.

Gap junctions and hemichannels subserve this function through the rapid trafficking of ions, solutes and metabolites along astroglial networks, coordinating efforts across distributed spatial domains and providing a framework for modulating synchrony and plasticity in complete neuronal ensembles<sup>20</sup>. Given evidence for astrocyte coordination of neuronal networks<sup>20</sup> (albeit controversial<sup>109</sup>), reactive gliosis likely impacts not only the generation and transmission of action potentials between single neurons, but also the broader population activity detected and stimulated by electrodes implanted in the brain.

#### Neuronal synchrony

The analysis of complex networks has revealed guiding principles for the emergence of synchrony within a network of oscillators, where both the dynamics of the individual oscillators and the architecture of their connectivity are key determinants of function: homogeneity of the oscillators and high coupling strength tend to favour synchrony<sup>110</sup>. Astroglial glutamate release is able to strengthen excitatory coupling between neurons by acting on pre- and postsynaptic receptors<sup>111,112</sup>, resulting in a robust propagation of

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synchronous activity across networks<sup>113,114</sup>. Moreover, computational modelling has supported glial mechanisms for synchronizing neuronal activity, where simulated presynaptic targeting of glutamate release by astrocytes was sufficient for initiating hypersynchronization and seizure activity<sup>115</sup>. In contrast, computational models have also demonstrated the importance of astrocytes in the desynchronization of neuronal activity, by providing activity-dependent stabilization, as neighbouring neurons are prone to hypersynchrony through their intrinsic excitatory coupling<sup>108</sup>.

This is supported by the observation that astroglial adenosine release desynchronizes network activity<sup>112</sup>. However, these apparently opposing results may be reconciled by considering the reactive state of the astrocyte: astrocytes in an activated, proinflammatory state<sup>4</sup> may lose their ability to desynchronize local neuronal networks. It was suggested that a loss in the ability of astrocytes to desynchronize neuronal firing may underlie abnormalities in the oscillatory activity associated with brain pathology (such as Parkinson's disease, Alzheimer's disease and epilepsy)<sup>116,117</sup>. Therefore, therapeutic effects of stimulation may evoke astrocyte-mediated changes in network synchrony and plasticity that would otherwise occur under physiological conditions<sup>20</sup>. Taken together, this evidence suggests that glia are a central determinant of network-level activity and may be underutilized as a target cell of neuromodulation therapies that interrupt pathological oscillations.

# Glial-activation challenges and design considerations

Glia are increasingly recognized as cells that influence the efficacy of therapy as well as the stability and longevity of device performance. Future device development should consider the potential impacts of design features and material effects on glial physiology.

#### Glia as an effector of clinical devices

The serendipitous discovery of DBS to alleviate the symptoms of Parkinson's disease preceded understanding of the mechanisms of therapy. Subsequently, the role of glia as a cellular target of DBS treatment<sup>118–120</sup> has emerged among several candidate mechanisms. Gliosis is commonly observed in post-mortem brain tissue from DBS patients<sup>121,122</sup> and can be more pronounced when surrounding active devices<sup>123</sup> (Fig. 1). Several DBS models using high-frequency stimulation have suggested that astrocytes are effectors for interrupting pathological oscillations in the thalamus<sup>45</sup> and for attenuating tremor<sup>38</sup>. The release of adenosine or glutamate by high-frequency stimulation<sup>101</sup> can modulate neuronal oscillations from non-synaptic sources  $^{38,45}$ , with corresponding astrocytic Ca<sup>2+</sup>-wave propagation occurring in a frequency- and amplitude-dependent manner<sup>38</sup>. Likewise, neurochemical measurements taken from DBS patients have correlated adenosine release with both tremor arrest<sup>124</sup> and seizure termination<sup>125</sup>. Although still at an early stage, evidence is mounting for glial contributions to clinical device efficacy, spanning from neurochemical mediators on implantation to direct effectors of neuromodulation devices. Even in the absence of stimulation, device implantation results in insertional trauma and in ensuing inflammation that can directly modulate network activity and affect clinical outcomes. This is known as the microthalamotomy effect<sup>101</sup>, where implantation results in a window of therapeutic

efficacy that can last for as long as a year<sup>124</sup>, implying injury-induced plasticity. Astrocytemediated plasticity is a tightly regulated interaction between glutamate, ATP and cytokine signalling<sup>106,126</sup> (Fig. 4), and can lead to either potentiation or depression after injury<sup>23,106,126</sup>. As an example, reactive inflammatory signalling can alter AMPAR/ NMDAR ratios and ion-channel expression/function, and excessive glutamate release can alter the excitatory coupling strength of synaptic networks<sup>20,23,32,106</sup>. In turn, the resulting plasticity (including synaptogenesis and long-term potentiation or depression<sup>90</sup>) shapes long-term network function<sup>90,106</sup>, where potentiation favours hyperactivity (seizure activity) and depression results in network silencing. For this, recent evidence suggests immediate, local upregulation of markers of glutamatergic transmission surrounding devices after insertion, suggesting a potential mechanism of heightened synchrony and activity detected by recording electrodes<sup>91</sup>. However, later upregulation of inhibitory neurotransmission (driven by the release of gamma aminobutyric acid (GABA)) suggests a shift towards network silencing and limited signal detection<sup>91</sup>. This shift from elevated glutamatergic to GABAergic tone around implanted electrodes is likely astrocyte induced. In this regard, heightened glutamatergic transmission has been shown to activate astroglial release of TGFβ1 to induce GABAergic synaptogenesis<sup>93</sup>. Therefore, glial signalling after insertion can directly remodel the structure and function of surrounding circuitry, likely affecting the longterm performance of recording devices and the activation thresholds of stimulating devices. These factors will need to be further explored to uncover their impact on device efficacy.

A growing body of literature supports the important role of glial cells during electrical stimulation. Several models have demonstrated the direct modulation of plasticity, inflammation, neurogenesis and cerebrovascular functions by glial cells following neurostimulation<sup>118,127</sup>. For example, stimulation evokes astrocyte-induced cortical plasticity, as demonstrated in studies using transcranial direct current stimulation (tDCS)<sup>128</sup>. Also, optogenetic depolarization of astrocytes led to the release of glutamate, which directly modulates synaptic plasticity (long-term depression) and motor behaviour<sup>129</sup>. Inflammation is likewise modified by stimulation: tDCS can both incite inflammation in the uninjured brain and modulate it following injury<sup>130</sup>. Implanted-electrode stimulation upregulates inflammatory receptors (toll-like receptors) in microglia<sup>131</sup>, favouring a shift to a proinflammatory state<sup>117</sup>. However, the timing<sup>132</sup> and intensity<sup>133</sup> of stimulation may differentially affect reactivity and inflammation, suggesting a gradient of glial responses<sup>127</sup>. In the context of neurogenesis, neuromodulation is gaining traction as a reparative tool for brain injury and disease<sup>118,134</sup>. Neurostimulation stimulates neural progenitor proliferation<sup>135–137</sup>, directs the migration (galvanotaxis) of neuronal and glial precursors<sup>134,138–140</sup> and promotes their differentiation<sup>136,137,140,141</sup>. Interestingly, tDCSpolarized proinflammatory microglia accompany NG2-precursor migration to promote functional recovery after stroke<sup>130</sup>. This suggests that the modulation of reactivity and inflammation could potentially be harnessed for guiding endogenous repair around active electrodes. Finally, astrocytes are key constituents of the neurovascular unit<sup>142</sup>, where they release neurochemicals to modulate vasodilation or constriction and provide activitydependent metabolic support (as demonstrated with electrical<sup>143,144</sup> and optogenetic<sup>145</sup> stimulation). In turn, evidence points to astrocytes as important DBS effectors for improved cerebral blood flow and metabolism in drug-refractory epilepsy<sup>146</sup>. Taken together, glia

represent important effectors of clinical devices, where their responses to electrical stimulation are gaining utility as targets to modulate regeneration or repair, cerebrovascular function and inflammation.

#### Consequences of higher-density arrays and multiple implants

Monitoring the electrical activity of large numbers of neurons simultaneously with singlecell resolution is an ongoing challenge in neural engineering<sup>147</sup>, and has motivated the design of increasingly high-density electrode arrays with smaller individual electrode site sizes<sup>147</sup>. Furthermore, as neuromodulation strategies become increasingly sophisticated (as exemplified by closed-loop systems), multiple implants within a single patient or research subject are becoming more common<sup>148</sup>. The potential for injuries induced by multiple implants and/or multi-shank devices to exacerbate inflammation and gliosis should be considered as the field moves towards more distributed sampling approaches. Successive brain injuries engage a state known as glial priming: a condition where glia remain in an activated proinflammatory state with upregulated inflammatory markers, heightened sensitivity, resistance to negative feedback mechanisms and a predisposition to releasing excessive amounts of inflammatory factors on subsequent activation<sup>117</sup>. Glial priming can develop over many years following CNS insults, including cortical stab-wound injury<sup>149,150</sup>, as well as in neurodegenerative conditions 151,152 and ageing 153,154. Subsequent (secondary) insults exacerbate glial responses through excessive release of proinflammatory IL-1β, TNF- $\alpha$  and IL-6 (refs<sup>117,149,155</sup>), which can lead to prolonged inflammation and progressive degeneration<sup>151,152</sup>. These cytokines can also elicit hyperexcitability and excitotoxicity under primed conditions<sup>117</sup>, and have been implicated in susceptibility to seizures and epileptogenesis<sup>83,113</sup>, all of which bear implications on side effects not only for experimental models, but also for clinical DBS treatments where patients are inherently predisposed to conditions of pathology, ageing and hyperexcitability before the implantation of devices. The extent of glial priming incurred from pathology (such as Parkinson's disease, Alzheimer's disease, epilepsy and stroke) and ageing will need to be considered before the implantation of devices that will necessarily exacerbate proinflammatory glial priming. Moreover, device-design considerations will need to evaluate the relationship between glial priming and implant-feature sizes, the quantity of sites in high-density arrays, and distributed injuries caused by multiple implants and/or shanks.

#### **Biomaterials and glial activation**

The physicochemical properties of electrode materials directly influence glial gene expression, inflammation and chronic gliosis<sup>156</sup>. Soft, nanoscale and bioactive materials have been incorporated into device design to produce electrode arrays with improved biointegration<sup>157</sup>. The broad strategies are to reduce the mechanical mismatch between device materials and brain tissue, reduce the footprint (and invasiveness) of the array, enhance surface porosity to mitigate immune responses, or create a biomimetic or bioactive coating that conceals the implant from the foreign-body response<sup>157</sup>.

**Improved softness**—Stiff substrates, including silicon, exacerbate the activation of both astrocytes and microglia compared with softer materials<sup>158</sup>. Currently, silicon remains the most common material substrate for intracortical primate studies<sup>62,159</sup> and clinical trials of

brain/machine interfaces<sup>43,160</sup>, whereas DBS leads used in patients are primarily made of polyurethane (Fig. 1). Silicon and polyurethane are substantially stiffer than brain tissue (Young's moduli for silicon, polyurethane and brain tissue are  $\sim 10^2$ ,  $\sim 10^{-1}$  and  $\sim 10^{-5}$  GPa, respectively<sup>156</sup>). Minimizing the mechanical mismatch between the device and neural tissue improves gliosis, inflammation and neuronal preservation<sup>156,161,162</sup>, and next-generation devices incorporate flexible materials designed to more closely mimic the stiffness of brain tissue (Fig. 5). Mechanically adaptive materials (initially stiff materials that become compliant on contact with the physiological environment) significantly reduce glial scarring and inflammation<sup>161,163–165</sup>. Examples are mechanically compliant nanoparticle polymer substrates for stimuli-responsive designs inspired by the sea cucumber dermis<sup>161,163,166–168</sup> (Fig. 5a), and shape-memory polymer substrates with similarly adaptive characteristics and tunable moduli<sup>164,165,169</sup>. Polymer blends of silicones and poly(3,4-ethylenedioxythiophene) are the softest reported materials to record extracellular units, with accompanied reductions in microglial attachment<sup>162</sup>. However, these materials introduce challenges for functional device design and minimally damaging deployment<sup>157</sup>.

Both device architecture and its material composition affect flexibility, since bending stiffness is determined by both the Young's modulus (E) and the dimensions of the material<sup>26,157,170</sup>. Bending stiffness is proportional to  $Et^3$  (where t is the thickness of a rectangular cross-section), meaning that reduced stiffness scales more rapidly with decreased device dimensions than reductions in modulus. Syringe-injectable, flexible mesh electronics have been shown to interpenetrate the brain parenchyma and record along interwoven neuronal networks (for up to one year, with minimal tissue response and sustained recordings)<sup>171,172</sup> (Fig. 5c). And for the smallest chronically implantable extracellular microelectrode so far reported — an electrode with a 15  $\mu$ m<sup>2</sup> cross-sectional area<sup>173</sup> — two-photon imaging surrounding the implant revealed a lack of astrogliosis and minimal disruption of the vasculature. However, reduced stiffness can make softer<sup>156,174</sup> and subcellular devices<sup>48,68,175</sup> difficult to implant, requiring the use of an insertion tool<sup>175,176</sup> or dissolvable shuttle<sup>177,178</sup>. Since new device designs often employ both softer materials and reduced feature sizes, the relative impact of each of these factors on the tissue response can be difficult to interpret. Nonetheless, there is increased interest in the fabrication of electrode arrays with smaller features and softer materials, and potentially concomitant reduced gliosis (Fig. 5).

**Smaller feature sizes**—In addition to enhanced flexibility, reducing device dimensions may diminish gliosis<sup>48,179,180</sup> by presenting an adhesive surface that is too small to allow cellular attachment<sup>181–185</sup>. For brain implants, feature sizes below 10  $\mu$ m lead to reduced gliosis and preserved neuronal density<sup>186</sup>.

Reduced glial responses were observed with parylene-based Michigan-style arrays combined with the use of an open-architecture design  $(4-\mu m$ -wide feature sizes)<sup>49</sup> (Fig. 5b). Open-architecture designs have also improved the integration of implanted planar arrays<sup>179</sup>. Ultrasmall, flexible carbon-fibre electrodes with subcellular features (<10  $\mu$ m in diameter) have emerged as an approach to mitigate tissue response and to improve long-term recordings<sup>48</sup>. Devices are becoming both smaller and increasingly sophisticated. For example, injectable wireless electronics can carry out electrical recordings, optical

stimulation, temperature sensing and photodetection<sup>175</sup>. Also, the immune response can be mitigated by decreasing implant volume and by increasing surface permeability or porosity, facilitating the dispersion of inflammatory cytokines and preventing their accumulation, as has been achieved with porous coatings<sup>187</sup> and web-like mesh electronics<sup>188–190</sup>. Although advancements in material-based strategies to improve the neuron/electrode interface continue, there is a need to pursue basic-science studies to identify guiding biological principles for improved device design.

Surface modification—Electrode surface coatings have also become increasingly sophisticated for reducing the foreign-body response to brain implants<sup>156,191–193</sup>, where materials include hydrogel<sup>191,194</sup>, silk<sup>195,196</sup>, bioactive anti-inflammatory surface molecules<sup>193,197,198</sup> and biodegradable polymer nanoparticles for the controlled delivery of anti-inflammatory therapeutics  $^{68,191,199,200}$ . Coatings are designed to (1) reduce inflammation through drug release, (2) buffer or disperse inflammatory-cytokine accumulation, (3) increase the fractal dimensions of the site for reduced impedance and/or (4) present a biomimetic surface to mask the implant from being recognized as a foreign body. The controlled release of anti-inflammatories from coatings has shown promise in the reduction of glial encapsulation and impedance<sup>199,201-203</sup>, but the impact on neuronal health and recorded signal quality is less clear. In recent years, several strategies to increase the fractal dimensions of electrode sites with 'fuzzy' conductive material coatings have been developed to reduce impedance and improve tissue integration<sup>48,191,192,204,205</sup>. For example, carbon nanotube coatings for metallic-wire electrodes in vivo have led to improved impedance, recording and stimulation in both rats and monkeys<sup>206</sup>. Conductive polymer nanoparticles with hydrogel layers for decorating microfabricated electrode arrays with nano-structured surfaces offer the added advantages of improved charge transfer, greater compliance, reduced impedance and the precise delivery of bioactive species<sup>200,205,207</sup>. Strategies combining conductive polymer coatings and bioactive treatments lead to lower impedance and reduced gliosis<sup>156,191,192</sup>. However, the implementation of 'stealth' coatings, such as those based on neuronal cell-adhesion molecules, can alleviate the foreign-body response by both promoting neural growth and by reducing gliosis (Fig. 2c,d)<sup>162,193,208</sup>.

Effects at the molecular level—The characteristics of a material substrate can influence the signalling pathways associated with reactive glia (Fig. 4). Nanostructured topographical features can increase astroglial ATP release<sup>209</sup>, downregulate GFAP expression<sup>210</sup>, and increase the expression of glutamate transporters and the clearance of extracellular glutamate<sup>211</sup>. Stiffer substrates have been associated with the activation of microglia (amoeboid morphology, upregulation of CD11b) and of astrocytes (hypertrophy, upregulation of GFAP), as well as their proliferation, migration and adhesion<sup>158</sup>. With regard to gene expression, stiffer materials upregulate the molecular determinants of inflammatory signalling (toll-like receptors, IL-1 $\beta$ , TNF $\alpha$ ) in glia<sup>158</sup>. Mediation of these pathways may improve device function; for instance, knock-out of caspase-1, which activates IL-1 $\beta$ , has demonstrated significant improvement to long-term functional recordings<sup>82</sup>. For smaller feature sizes, improvements in gliosis are broadly associated with reduced injury-related inflammation and BBB permeability along with reduced micromotion-related tissue strain<sup>187</sup>. Still, further details on the relationship between the

material characteristics of an electrode and the inflammatory/molecular effects on reactive glia are needed to establish guiding principles to design fully integrated devices (including intervention strategies and their temporal influence). Future research directions will need to incorporate genetic tools to identify precise targets of design features. For instance, it would be useful to locally knockdown or upregulate specific glial pathways (such as receptor expression and transmitter production or release) to determine the consequences of gliotransmission on device performance (including plasticity, network function and neuronal health). Furthermore, advances in biomaterial science are producing new approaches to modify immune responses that could be leveraged to improve the tissue response to brain implants<sup>212–214</sup>. Uncovering the molecular pathways determining the relationship between glial responses and specific electrode features will facilitate targeted approaches to improve device design (Fig. 6).

# Outlook

Although glia have been portrayed as acting as an encapsulating barrier to electrode integration and communication with surrounding neurons, this view does not capture the dynamic role of glia in the functional plasticity of neuronal networks following injury, and the implications of glia for the performance of microelectrode arrays implanted in the brain. A growing body of literature attests to the role of reactive gliosis in the remodelling and reshaping of neural circuitry during healing, yet relatively few reports have linked glial activity to the therapeutic effects of neuromodulation<sup>38,45</sup> or explored the relationship between glial responses and recording quality<sup>62,63</sup>. Bridging this gap is a major opportunity for understanding the function and failure of microelectrode arrays in both research and clinical applications. Four major focus areas deserve further attention (Fig. 7). First, a better understanding of the glial role in shaping neural plasticity near devices (both at the cellular and network level). This is particularly relevant when interpreting results and developing methods to induce plasticity as a repair strategy. Targeted neurostimulation strategies can reorganize neural networks, potentially bypassing and overcoming neuronal damage or enhancing native function<sup>215,216</sup>. In addition, connecting well-described, known mechanisms for the glial influence on neuronal excitability and synaptic transmission to the performance of implants would create new opportunities for improved device design, stimulation protocols and tissue-integration strategies. Second, an in-depth study of glia as the effector of stimulation-based therapy, especially for reconciling the time course of therapeutic effects to potential glial-mediated underlying mechanisms (for instance, the slowly-emerging DBS outcomes that evolve over days and weeks<sup>6</sup>, or the stimulationinduced depression of neuronal excitability<sup>16</sup>). Third, the heterogeneity of glial responses, on the cellular scale (types and subtypes of glia, and their individual roles) and on spatiotemporal scales (the impacts of time post-implantation and the affected region relative to the electrode). Fourth, the development of electrodes for the seamless integration of clinical devices into brain tissue, including the identification of materials that are sufficiently stiff to allow for precise surgical placement and have the necessary balance of mechanical, chemical and electrical properties to reduce the inflammatory response and chronic gliosis (Fig. 6). Performance variability is a broad, ongoing challenge for both recording and stimulation applications in the research and clinical use of implanted electrode arrays, and

understanding the biological underpinnings of inconsistent outcomes will inform the development of improved neuroprosthetic and neuromodulatory devices. As a regulator of the structural and functional remodelling of neuronal networks, glia are emerging as a dynamic, active determinant of device integration and performance.

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#### Box 1

#### Non-neuronal responses to brain injury

Non-neuronal cells in the brain include specialized supportive cells (such as astrocytes, microglia, oligodendrocytes and NG2-glia) and neurovascular cells (such as pericytes and ependymal cells), and structural connective tissue cells (such as meningeal fibroblasts). Of these, the most studied in response to brain implants are microglia and astrocytes, because of their prominent role in the encapsulation of devices. Pericytes and NG2-glia have also gained recent attention because of their suggested reparative potential following injury<sup>222–226</sup>. Also, blood-borne myeloid cells (such as monocytes and leukocytes) can infiltrate on BBB disruption, and their involvements around devices have been investigated and discussed elsewhere<sup>34,36</sup>. The foreign-body response to neural implants has been comprehensively discussed in refs<sup>26,35,156</sup>.

Pericytes, which form the basement membrane of capillaries and regulate blood flow, immediately respond to injury by disrupting the permeability of the BBB and by constricting cerebral blood flow, which can lead to larger volumes of cellular damage<sup>142,227</sup>. It has been proposed that these cells have reparative potential through association with neurogenic niches<sup>225,226</sup>.

NG2-glia were historically recognized as oligodendrocyte precursors found to be inhibitory to axonal outgrowth<sup>228</sup>. More recent evidence of functional synapses between neurons and NG2-glia has reframed this view: NG2-glia are now regarded as a unique class of glia that can actively participate in neural-network formation<sup>222,224</sup>. NG2-glia actively proliferate and arrive at injury sites within 24 hours of the insult, their responses are pronounced around devices at one week, and subsequently return to baseline responses at approximately four weeks following injury<sup>197,224</sup>. These cells can be a source of gliogenesis<sup>222,224,229</sup> and may also have neurogenic potential<sup>222–224–229</sup>.

Microglia are the resident macrophages in the brain responsible for initiating the foreignbody response through the release of excitatory and inflammatory factors. They are the first responders to injury, rapidly adopting an activated, amoeboid morphology, then proliferating, migrating and encapsulating the device<sup>220</sup>.

Astrocytes activated by microglial signalling visibly respond to injury at approximately one week by proliferating, hypertrophying, upregulating intermediate filaments (such as GFAP), encapsulating the device and releasing factors to further promote the foreignbody response. By approximately four to six weeks, they begin to form a dense scar around the device that can last for years<sup>26,65,121</sup>.

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#### Fig. 1.

Traditional electrode arrays incite gliosis. **a**–**f**, Devices (**a**–**c**) are shown above the associated histology images (**d**–**f**). **a**, Michigan-style array<sup>217</sup>. **b**, Utah-style array<sup>218</sup>. **c**, DBS lead<sup>219</sup>. **d**, Rat histology from a Michigan-style multielectrode array (four weeks), with labelled astrocytes (GFAP, green) and microglia (ED1, red)<sup>80</sup>. **e**, Histology from a primate with Utah array implanted, with microglia labelled (IBA1, red)<sup>65</sup>, at 17 weeks. **f**, Human DBS lead implant at ~38 months, with labelled astrocytes (GFAP, magenta; white arrowheads), microglia (IBA1, cyan; white arrows) and all cell nuclei (CyQUANT, yellow)<sup>121</sup>. Scales bars: **a**,**d**,**f**, 100 µm; **b**, 1 mm; **c**, 2 mm; **e**, 28 µm. The asterisks in **d**–**f** indicate injury. ED1, antibody to cluster of differentiation 68; IBA1, ionized calcium binding adaptor molecule 1. Figure reproduced from: **a**, ref.<sup>217</sup>, IEEE; **b**, ref.<sup>218</sup>, Elsevier; **c**, ref.<sup>219</sup>, Oxford Univ. Press; **d**, ref.<sup>80</sup>, Elsevier; **e**, ref.<sup>65</sup>, IOP Publishing; **f**, ref.<sup>121</sup>, Springer.

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#### Fig. 2.

In vivo multiphoton imaging of the glial response to the implantation of a multielectrode array. Astrocytes and oligodendrocytes (sulfarhodamine<sup>99</sup>, false-coloured purple in **a**), neurovasculature (intravascular sulfarhodamine<sup>99</sup>, red in all panels) and microglia (the transgenic line CX3CR1-GFP, green in all panels) are shown. **a**, Microglia display an amoeboid morphology and encapsulate two shanks of a  $4 \times 4$  NeuroNexus array six hours following implantation<sup>220</sup>. **b**, Microglia form a compact scar around two shanks of a  $1 \times 3$  Blackrock array at two months post-implantation. **c**, Microglia activation and lamellipodia ensheathment of an implanted silicon/silicon-oxide microelectrode. **d**, Microglia avoid the

silicon/silicon-oxide microelectrode surface when covalently coated with neurocamouflage protein L1CAM. Scale bars, 100  $\mu$ m. Figure adapted from: **a**, ref.<sup>220</sup>, IOP Publishing; **b**, ref. <sup>34</sup>, Elsevier; **c**,**d**, ref.<sup>208</sup>, Elsevier.

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#### Fig.3.

Evidence for a negative impact of increased gliosis on recording quality. **a**–**d**, Representative images from four animals demonstrate the range of endpoint histological outcomes (from 'good' to 'poor', left to right). The figure has been generated after additional analysis on data collected in a previous study<sup>40</sup>. Neuronal nuclei (NeuN, green) and astrocytes (GFAP, red) surrounding probe tracts are shown, and the associated average neuronal and non-neuronal density data are listed (area binned cell counts, neuronal density (ND) and non-neuronal density (NND), in cells mm<sup>-2</sup>). Recording segments with signal-to-noise-ratio (SNR) values representative of the average value for each animal are depicted (the SNRs calculated from peak-to-peak noise result in lower values than those calculated from root-mean-square noise)<sup>40,221</sup>. Recording quality improved with decreased NND and increased ND/NND (P < 0.05, Spearman's  $\rho$ , n = 6). Impedance increased with increased NND (P < 0.05, Spearman's  $\rho$ , n = 6). Animals in **a** and **c** were drug-treated while **b** and **d** correspond to the controls. Scale bar, 100 µm. Figure adapted from ref.<sup>40</sup>, Elsevier.

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#### Fig.4.

Potential mechanisms of the active modulation of neurotransmission by glia. **a**, Insertional trauma incites reactive gliosis and impacts neuronal function through modifications to the local neurochemical environment. Punctured cellular membranes release ATP into the local extracellular space, whereby activated microglia and astrocytes are recruited to release glutamate, cytokines and ATP. The resulting signalling cascades ultimately reinforce reactive gliosis and impact local neuronal health and function. The dashed box indicates the region of synaptic silencing depicted in **b**. Neuronal excitotoxicity is another potential consequence of reactive signalling. **b**, As injured cells and reactive microglia release excess ATP, activated

astrocytes are able to silence neuronal activity through two synaptic mechanisms. (1) Glutamate and ATP release, which generate a positive-feedback loop; ATP is rapidly hydrolysed to adenosine in the synapse, where adenosine is able to act on presynaptic A1Rs to inhibit Ca<sup>2+</sup> channels and prevent vesicle release (presynaptic silencing), and to act on postsynaptic A1Rs to open K<sup>+</sup> and Cl<sup>-</sup> channels and prevent the generation of action potentials (postsynaptic silencing). (2) TSP production and release, which forms ultrastructurally normal, but functionally silent synapses. These postsynaptic terminals lack AMPARs, which are required to alleviate the Mg<sup>2+</sup> block on NMDARs, therefore preventing effective signal transfer from the presynapse (postsynaptic silencing). A1R, adenosine A1 receptor; P2R, purinergic P2 receptor; GluT, glutamate transporter.

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#### Fig.5.

Next-generation arrays mitigate gliosis. **a–f**, Devices (**a–c**) are shown above the associated histology images (**d–f**). **a**, A mechanically adaptive nanocomposite microelectrode becomes compliant on implantation<sup>163</sup>. **b**, A hollow-architecture parylene-based microelectrode places sites away from the stiff penetrating shaft, along 4-µm-wide lateral support arms<sup>148</sup>. **c**, A syringe-injectable mesh electronics mimics brain parenchyma with sites featured along an interwoven structure<sup>172</sup>. **d**, Astrocytes labelled (GFAP, green) around mechanically compliant probe at eight weeks<sup>161</sup>. **e**, Astrocytes (GFAP, red), microglia (OX42, green), and all cells (Hoechst, blue) labelled around the stiff electrode-penetrating shaft (S) and lateral edge (L) at four weeks<sup>148</sup>. **f**, Astrocytes labelled (GFAP, cyan) around a syringe-injected mesh (blue) at one year<sup>171</sup>. Scale bars: **a**, 500 µm; **b**, **d**, **f**, 100 µm; **c**, 250 µm; **e**, 50 µm. Figure reproduced from: **a**, ref.<sup>163</sup>, IOP Publishing; **b**, erf.<sup>148</sup>, Elsevier; **c**, ref.<sup>172</sup>, American Chemical Society; **d**, ref.<sup>161</sup>, IOP Publishing; **f**, ref.<sup>171</sup>, Nature America Inc.



#### Fig.6.

Opportunities for further enquiry in device design. Future work will need to uncover the effects of electrode properties on the molecular pathways that shape gliosis, including: (1) the degree of softness and corresponding inflammation from mechanoactivation of glia, and the evolution of the effect on gliosis over time (such as mechanical mismatch, micromotion, and the state of glial reactivity and 'priming'); (2) the relationship between feature size and architecture on inciting and priming inflammatory gliosis around the injury, and the evaluation of the long-term consequences (such as hyperexcitability, excitotoxicity and degeneration) on device function; (3) the effects of surface modifications (chemistry and topography) on shaping reactive signalling at the interface (receptor activation and cytokine/ gliotransmitter release) and the corresponding consequences on recording and stimulation performance; (4) targeted approaches to modify immune responses will need to be incorporated to achieve seamless integration, which should be guided by their impact on glial signalling, reactivity and device performance. Traditional devices images reproduced from refs<sup>217–219</sup> (see Fig. 1 for credits). Next-generation devices reproduced from: top and bottom, ref.<sup>162</sup>, RSC; middle, ref.<sup>48</sup>, Macmillan Publishers Ltd.



#### Fig.7.

Opportunities for further biological enquiry. (1) The factors responsible for the 'tipping point' between reactive and non-reactive glial states, and the implications of glial priming on the safety of high-density arrays and of multiple implant strategies. (2) The contribution of hyperexcitability to neuronal loss and recorded signal quality, and the underlying relationship with a primed glial state. (3) Glial-mediated neuronal silencing surrounding implants and the relationship to recorded signals and stimulation thresholds. (4) The relationship between device performance and the time course of glial effects, for insights into the sources of performance variability, plasticity and placebo effects of device insertion, as well as therapeutic effects and side effects in a broad range of device applications.