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### BRIEF COMMUNICATION

# Evaluation of Genetic Predisposition for MYCN-Amplified Neuroblastoma

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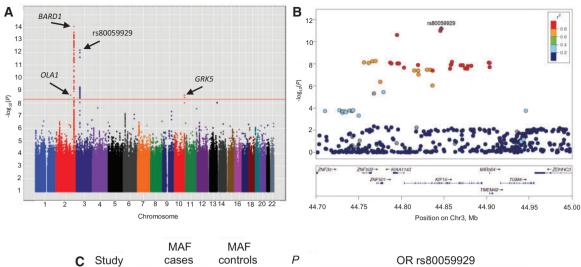
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#### Abstract

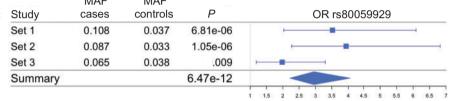
To investigate genetic predispositions for MYCN-amplified neuroblastoma, we performed a meta-analysis of three genome-wide association studies totaling 615 MYCN-amplified high-risk neuroblastoma cases and 1869 MYCN-nonamplified non-high-risk neuroblastoma cases as controls using a fixed-effects model with inverse variance weighting. All statistical tests were two-sided. We identified a novel locus at 3p21.31 indexed by the single nucleotide polymorphism (SNP) rs80059929 (odds ratio [OR] = 2.95, 95% confidence interval [CI] = 2.17 to 4.02,  $P_{meta} = 6.47 \times 10^{-12}$ ) associated with MYCNamplified neuroblastoma, which was replicated in 127 MYCN-amplified cases and 254 non-high-risk controls (OR = 2.30, 95% CI = 1.12 to 4.69,  $P_{replication} = .02$ ). To confirm this signal is exclusive to MYCN-amplified tumors, we performed a second meta-analysis comparing 728 MYCN-nonamplified high-risk patients to identical controls. rs80059929 was not statistically significant in MYCN-nonamplified high-risk patients (OR = 1.24, 95% CI = 0.90 to 1.71,  $P_{meta} = .19$ ). SNP rs80059929 is within intron 16 in the KIF15 gene. Additionally, the previously reported LMO1 neuroblastoma risk locus was statistically significant only in patients with MYCN-nonamplified high-risk tumors (OR = 0.63, 95% CI = 0.53 to 0.75,  $P_{meta} = 1.51 \times 10^{-8}$ ;  $P_{meta} = .95$ ). Our results indicate that common genetic variation predisposes to different neuroblastoma genotypes, including the likelihood of somatic MYCN-amplification.

Neuroblastoma is characterized by a broad spectrum of clinical behavior (1). Children with high-risk disease are treated with high-dose chemotherapy, surgery, autologous stem cell transplant, radiation, and immunotherapy (2). A core high-risk criterion is MYCN oncogene amplification (2), which is present in approximately 20% of all neuroblastoma tumors. Among highrisk patients, half have MYCN-amplification. Multiple studies have demonstrated that the biology of MYCN-amplified and MYCN-nonamplified high-risk neuroblastoma is disparate (3,4). However, the genetic events predisposing patients to MYCNamplified neuroblastoma remain unclear.

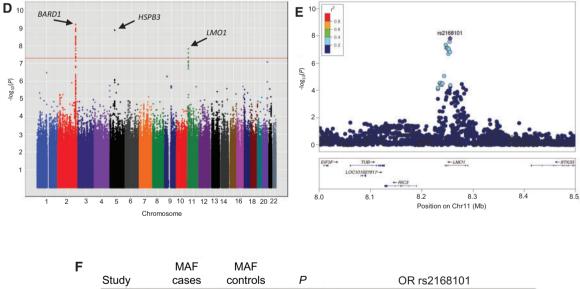
Genome-wide association studies (GWAS) comparing neuroblastoma patients to healthy controls have identified several susceptibility loci associated with clinical risk group (5–12). Many of these susceptibility loci modify oncogenic drivers or have tumor suppressor activity (13–15). Here, we performed a meta-analysis of three GWAS to test the hypothesis that in addition to phenotypic risk group, specific germline susceptibility loci are associated with



### MYCN-amplified cases vs controls



## MYCN-nonamplified cases vs controls



Study	cases	controls	Р	OR rs2168101
Set 1	0.194	0.265	8.03e-05	
Set 2	0.190	0.264	2.62e-04	)
Set 3	0.207	0.254	.04	H
Summary			1.51e-08	

<sup>0.425 0.475 0.525 0.575 0.625 0.675 0.725 0.775 0.825 0.875 0.925 0.9751</sup> 

Figure 1. Specific genomic loci associated with the development of MYCN-amplified high-risk neuroblastoma. A) Manhattan plot showing single nucleotide polymorphisms (SNPs) statistically significantly associated with MYCN-amplified neuroblastoma. B) LocusZoom (22) plot of novel loci identified at 3p21.31 shows that the most strongly associated SNP is located in intron 16 within the KIF15 gene locus. C) Forest plot shows the P value and odds ratio with 95% confidence interval for rs80059929 from each set and meta-analysis. Error bars indicate 95% confidence intervals. All statistical tests were two-sided. D) Manhattan plot showing SNPs statistically significantly associated with MYCN-nonamplified high-risk neuroblastoma. E) LocusZoom of previously identified loci on chromosome 11 shows the SNPs in LMO1 that were not associated with the development of MYCN-amplified disease. F) Forest plot shows the P value and odds ratio with 95% confidence interval for rs2168101 from each set and meta-analysis. Error bars indicate 95% confidence intervals. All statistical tests were two-sided. MAF = minor allele frequency; OR = odds ratio.

				Minor	Minor allele frequencies	lencies	MYCN cas	es, non-F.	MYCN cases, non-HR controls		MYCN-nonam	ıp HR cases,	MYCN-nonamp HR cases, non-HR controls	
Gene	SNP	CHR	pp	Controls, %	MYCN cases, %	MYCN- nonamp HR cases, %	OR (95% CI)	SE	$P_{meta}^{*}$ $I^{2}$	$I^2$	OR (95% CI)	SE	Pmeta*	$I^2$
BARD1 (5')	rs777318†	2	215736845	31.0	42.7	38.6	1.67 (1.46 to 1.91)	0.11	8.51E-14	32.2	1.41 (1.24 to 1.60)	60.0	1.69E-07	64.8
KIF15	rs80059929†	ę	44846722	3.6	8.6	4.6	2.95 (2.17 to 4.02)	0.47	6.47E-12	47.0	1.24 (0.90 to 1.71)	0.2	.19	31.9
0LA1	rs189431406†	2	175113136	1.1	3.1	1.5	5.66 (3.08 to 10.4)	1.76	2.36E-08	21.2	1.41 (0.75 to 2.65)	0.45	.29	0
GRK5	rs2168222†	10	121055101	1.7	4.0	2.4	4.38 (2.61 to 7.36)	1.16	2.37E-08	0	1.77 (1.03 to 3.04)	0.49	.04	0
BARD1 (5')	rs36086595‡	2	215680293	27.1	38.2	35.9	1.68 (1.46 to 1.93)	0.12	3.67E-13	55.0	1.51 (1.33 to 1.73)	0.1	6.18E-10	7.0
HSPB3 (5')	rs78132525‡	S	53717252	3.1	3.7	6.5	1.27 (0.84 to 1.93)	0.27	.25	30.6	2.98 (2.09 to 4.24)	0.54	1.31E-09	0
LM01	rs2168101‡	11	8255408	26.2	26.3	19.6	1.01 (0.85 to 1.19)	0.0	.95	0	0.63 (0.53 to 0.75)	0.13	1.51E-08	0

Table 1. Index SNPs in loci statistically significantly associated with the development of MYCN-amplified or MYCN-nonamplified high-risk neuroblastoma

Fixed-effects model with inverse variance weighting of P values obtained from frequentist additive score test were used to calculate P<sub>meas</sub>. All statistical tests were two-sided, bp = base pair, CHR = chromosome; Cl = confidence

Findex SNPs in loci statistically significantly associated with the development of MYCN-amplified high-risk neuroblastoma. interval; HR = high-risk; OR = odds ratio; SE = standard error; SNP = single nucleotide polymorphism.

thdex SNPs in loci statistically significantly associated with the development of MYCN-nonamplified high-risk neuroblastoma

the development of somatic MYCN-amplification. We then performed a second meta-analysis of MYCN-nonamplified high-risk cases to identify associations differentially associated with MYCNamplified or MYCN-nonamplified high-risk neuroblastoma.

Patients were divided into three sets based on genotype array platform, public availability of data, and use in published studies. Set 1 comprised the 1662 patients from dbGaP project phs000124.v2.p1 (8). Set 2 comprised data from 2242 patients for whom data is not yet publically available, but who were analyzed previously (9). Set 3 was the only set genotyped on the Illumina OmniExpress 770 array and comprised the remaining 1245 patients neither previously published nor publically available. Only patients of self-reported European ancestry were included. All patients had informed consent for the Children's Oncology Group ANBLOOB1 (NCT00904241) after institutional review board approval.

Prior to association testing, we performed genome-wide quality control (QC), principal component analysis (PCA) to confirm ancestry, and imputation using single nucleotide polymorphisms (SNPs) common for cases and controls in each set as previously described (16). We removed nine samples and 86913 SNPs from Set 1, 63 samples and 60 979 SNPs from Set 2, and 45 samples and 94851 SNPs from Set 3. Following imputation, we performed GWAS for each individual set separately for MYCNamplified and MYCN-nonamplified high-risk cases compared with non-high-risk controls in SNPTEST (17) using a frequentist additive score test. The analysis workflow is detailed in Supplementary Figure 1 (available online).

Two meta-analyses were performed on these GWAS results. The first meta-analysis combined association evidence from the three MYCN-amplified high-risk case sets (n = 615) and 1869 nonhigh-risk neuroblastoma controls. The second meta-analysis combined association evidence from the three MYCN-nonamplified high-risk GWAS (n = 728) and the same 1869 non-high-risk controls. The meta-analyses used a fixed-effects model with inverse variance weighting implemented in METAL (18). The METAL output included tests of heterogeneity, the Cochran's test ( $P_{het}$ ), and  $I^2$ of effect sizes within each meta-analysis for each SNP (19). SNPs were excluded based on absence in any of the three input data sets, not being an SNP, or  $I^2$  being greater than 75%.

The replication set comprised 127 MYCN-amplified and 140 MYCN-nonamplified high-risk cases, and 254 non-high-risk neuroblastoma patients as controls, all of European ancestry, genotyped on the OmniExpress platform. Genotyped SNPs were QC-filtered using PLINK (20) for minor allele frequency greater than 0.01, an Hardy–Weinberg equilibrium P value of less than  $1 \times 10^{-4}$ , a missingness rate of less than 0.1, and missing SNP rate of 0.01. Ancestry was inferred by PCA incorporating the 1000 Genomes Project population v. 3 reference panel (21) and stratified using multidimensional scaling analysis in PLINK. Prephasing and imputation were performed as described above. Imputed SNPs with an info score of less than 0.7 were excluded. Association testing was performed in SNPTEST using a frequentist additive score test, with a P value of less than .05 considered statistically significant. All statistical tests were two-sided.

We identified a novel association that surpassed genomewide statistical significance between MYCN-amplified neuroblastoma and a locus at 3p21.31, indexed by the SNP rs80059929 (OR = 2.95, 95% CI = 2.17 to 4.02,  $P_{meta} = 6.47 \times 10^{-12}$ ) (Figure 1A) located in intron 16 within the KIF15 gene locus with a minor allele frequency of 8.6% in cases compared with 3.6% in controls. This discovery was confirmed in an independent replication set (rs80059929 OR = 2.30, 95% CI = 1.12 to 4.69,  $P_{replication} = .02$ ). The locus containing this SNP spans nearly 150 kb (defined by SNPs with  $P_{meta} < 1 \times 10^{-6}$  and  $r^2 \ge 0.6$  with rs80059929) (Figure 1B;

Supplementary Table 1, available online). All three discovery studies and the replication study had the same risk allele for rs80059929 with a P value of less than .05 (Figure 1C). SNPs reaching genome-wide statistical significance were also found in or near BARD1, OLA1, and GRK5 (Table 1). BARD1 was previously identified as a high-risk neuroblastoma susceptibility locus using high-risk cases from Set 1 (6). The associations in OLA1 and GRK5 loci were not statistically significant in the replication set, although the association in OLA1 was suggestive ( $P_{\rm replication} = .11$ ).

A second meta-analysis evaluated the association of 3p21.31 and MYCN-nonamplified high-risk disease. Here, we found no association in the MYCN-nonamplified high-risk cases compared with non-high-risk controls (rs80059929 OR = 1.24, 95% CI = 0.90 to 1.71,  $P_{meta} = .19$ ) (Figure 1D). There was statistically significant heterogeneity between the meta-analyses (P\_{het}~=~1.4  $\times$  10  $^{\text{-4}},~I^2$  =93.1%). In contrast, we found that the previously identified highrisk neuroblastoma susceptibility locus in LMO1 was statistically significantly associated with MYCN-nonamplified high-risk neuroblastoma (rs2168101  $OR\!=\!0.63,~95\%~CI\!=\!0.53$  to 0.75,  $P_{\rm meta}$  =  $1.51\times10^{-8}),$  but not with MYCN-amplified disease (rs2168101 OR = 1.01, 95% CI = 0.85 to 1.19,  $P_{meta}$  = .95;  $P_{het}$  = 7.0  $\times$  10  $^{-5}$  ,  $I^2$  = 93.7%) (Figure 1, D–F, and Table 1; Supplementary Table 2, available online). This association was replicated in 140 MYCN-nonamplified cases and 254 non-high-risk controls (rs2168101 OR=0.61, 95% CI = 0.42 to 0.88,  $P_{\text{replication}} = 2.9 \times 10^{-3}$ ). SNPs reaching genomewide statistical significance were again found in or near BARD1.

Thus, we found that MYCN-amplified high-risk neuroblastoma and MYCN-nonamplified high-risk neuroblastoma have both shared and unique germline genetic architecture. We identified a novel susceptibility locus at 3p21.31 uniquely associated with MYCN-amplified disease. Additionally, neuroblastoma-associated variants previously described in LMO1 were statistically significant only in patients with MYCN-nonamplified high-risk disease.

The causal DNA variant at the 3p21.31 locus was not identified and expression quantitative loci (eQTLs) were not found, which are limitations of our study. Thus, the mechanisms leading to somatic MYCN-amplification remain unclear. Fine-mapping and additional functional studies will be needed to determine how germline DNA variants at 3p21.3 increase predisposition to MYCN-amplified neuroblastoma tumors. Ultimately, these studies may lead to the discovery of new genomic biomarkers and provide insight to the development of novel treatment strategies for this aggressive pediatric disease.

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#### Notes

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