# Impact of sex hormones on immune function and multiple sclerosis development

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#### Summary

Multiple sclerosis (MS) is a chronic inflammatory disease of the central nervous system (CNS) affecting young people and leading to demyelination and neurodegeneration. The disease is clearly more common in women, in whom incidence has been rising. Gender differences include: earlier disease onset and more frequent relapses in women; and faster progression and worse outcomes in men. Hormone-related physiological conditions in women such as puberty, pregnancy, puerperium, and menopause also exert significant influence both on disease prevalence as well as on outcomes. Hormonal and/or genetic factors are therefore believed to be involved in regulating the course of disease. In this review, we discuss clinical evidence for the impact of sex hormones (estrogens, progesterone, prolactin, and testosterone) on MS and attempt to elucidate the hormonal and immunological mechanisms potentially underlying these changes. We also review current knowledge on the relationship between sex hormones and resident CNS cells and provide new insights in the context of MS. Understanding these molecular mechanisms may contribute to the development of new and safer treatments for both men and women.

Keywords: gender; multiple sclerosis; pregnancy; sex hormones.

#### Introduction

Multiple sclerosis (MS) is a chronic inflammatory disease of the central nervous system (CNS) affecting young people and leading to demyelination and neurodegeneration. Although its etiology is not clear, autoimmunity, influenced by environmental and/or genetic factors, is known to play a major role in disease pathogenesis.

Clearly more common in women, prevalence in females has been rising, causing an increased gender bias with a current female to male ratio of  $3 : 1.^1$  Important gender differences in progression and inflammatory activity of disease have been observed. Women experience more frequent relapses in relapsing–remitting (RRMS) forms, whereas men accumulate disability faster, reach disability milestones more rapidly, and show poorer recovery after initial disease relapse.<sup>2</sup>

Hormonal and/or genetic factors are therefore presumably involved in regulating the course of the disease, and sex hormones such as estrogens, progesterone, prolactin, and androgens probably play a role in these complex mechanisms. Indeed, different hormone-related physiological conditions in women, such as puberty, pregnancy,

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puerperium, and menopause, significantly impact the frequency and course of disease.

In this review, we discuss clinical evidence of the impact of hormonal factors in MS and attempt to elucidate the complex hormonal and immunological mechanisms potentially underlying these effects. Understanding these molecular mechanisms may contribute to the development of new and safer treatments for both men and women.

# **Clinical observations**

#### Differences in prevalence and clinical characteristics

Prevalence differences between genders occur in most autoimmune disorders, with women generally more frequently affected than men. In MS, gender prevalence bias may be increasing. In the 1980s, female to male prevalence was approximately  $2:1^3$  but recent reports indicate levels up to  $3:1.^{1,4,5}$  This trend is noted primarily in RRMS forms and has been noted not only in western societies but also in Iran<sup>6</sup> and Latin America.<sup>7</sup> Gender bias seems to be associated with a latitudinal gradient, as more significant increases are observed in northern latitudes, worldwide.<sup>8</sup> Given that changes in MS prevalence have occurred in a short time, they are most likely related to a combination of behavioral and/or environmental factors together with epigenetic mechanisms.

Over the past 50 years, lifestyle changes in women related to smoking habits, use of birth control, diet, obesity, and sunlight exposure could explain incidence differences. Also, women today tend to have fewer children, at an older age. Interestingly, there is evidence to suggest an association between parity and MS risk in women.<sup>9</sup> In one study, greater number of offspring was linked to reduced risk of first clinical demyelinating event; this, in combination with older maternal age at childbirth, may help to explain the current increase in MS prevalence in women.<sup>9</sup>

Both MS symptoms as well as their severity also appear to differ between men and women, with women experiencing more frequent relapses,<sup>10</sup> developing more inflammatory lesions on magnetic resonance imaging (MRI) and presenting earlier onset.<sup>11,12</sup> Men, on the other hand, show faster progression and worse outcomes<sup>13</sup> with more cerebellar involvement, cognition impairment, gray matter atrophy,<sup>14</sup> and T1 lesions.<sup>15</sup> Clinical MS phenotypes have been recently reported to differ with race/ethnicity, for example Hispanics and African Americans are at risk of a more severe early disease course.<sup>16</sup> Nevertheless, most of the sexual dimorphism findings have been replicated in nonwestern societies.<sup>17,18</sup> The main differences found between sexes are summarized in Fig. 1.

Recently, an association was reported between gender identity disorders and MS risk,<sup>19</sup> in which adjusted relative risk of MS in men with gender identity disorders was significantly increased, suggesting the influence of feminizing hormones or low testosterone levels on risk, providing further evidence of the importance of sex hormones in the pathophysiology of the disease. Intriguingly, gender differences like the ones described are not



Figure 1. Main differences in course of multiple sclerosis between women and men.

observed in women with late-onset MS (diagnosed over 50 years of age), suggesting that complex hormonal mechanisms related to menopause or aging might be at play (see section on Menopause).

# Menarche and puberty

Gender bias is not seen in MS before puberty<sup>20</sup> but there is evidence that age at menarche may be related to age of disease onset,<sup>21</sup> further linking sex hormones to MS risk during childhood and adolescence.

Menarche is currently occurring 1–4 months sooner among European women born since 1935 for each decade monitored, probably due to changes in nutrition, hygiene, and general health conditions in western populations.<sup>22</sup>

This finding coincides with an increase in MS incidence and several studies have now shown that early menarche is associated with increased risk of MS and earlier onset of disease.<sup>21,23,24</sup> No differences were found in age of puberty between males with MS and controls. Conversely, age at menarche among women with MS was generally lower than in women not developing the disease, suggesting an inverse association between age at menarche and MS risk. For each year of age that menarche is delayed, MS risk falls 13%.<sup>21</sup>

In addition, puberty affects the course of MS, as relapse rates increase in young girls during the peri-menarcheal period.<sup>25</sup> There also seems to be association between older age at menarche and reduced risk of reaching the EDSS 6 milestone in progressive MS.<sup>26</sup>

It is important to note that higher body weight has been linked to earlier onset of puberty in girls. Hormonal pathways activated during puberty and in obese individuals impact the immune system, with potentially different effects on MS triggers. A role for adiposity-related inflammatory mechanisms is also supported by reports of elevated fat tissue markers in MS such as leptin.<sup>27,28</sup>

#### Pregnancy

#### Effect on MS relapses

Evidence for an effect of pregnancy on MS activity comes from studies reporting 70% decrease in relapse rates during the third trimester compared with pre-pregnancy levels, and increased relapse rates 3–6 months after delivery, to levels almost three times higher than prepregnancy ones.<sup>29</sup>

Normal pregnancy induces physiological changes including elevated cardiac output, increased lipid levels with weight gain, as well as changes in estriol, progesterone, prolactin,  $\alpha$ -fetoprotein, leptin, glucocorticoids, and insulin growth factor. Early during pregnancy, immune tolerance develops in order to protect the fetus against rejection, through regulatory T (Treg) cell

elevation, reduction in T helper cells (Th)1/Th17 activity and increase in Th2 activity. Total number of natural killer (NK) cells falls, although the proportion of CD56<sup>bright</sup> NK cells increases in late pregnancy.<sup>30,31</sup>

In the third trimester and during early postpartum, interleukin-12 (IL-12) production increases threefold and tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ) levels drop 40% compared with late postpartum values. A shift to a Th2 profile with increased IL-10 and decreased CXCR3 expression by CD4<sup>+</sup> and CD8<sup>+</sup> cells occurs, with increased expression of the chemokine receptor CXCR4 and the mRNA IL-10/interferon- $\gamma$  (IFN- $\gamma$ ) ratio.<sup>30</sup> In addition, there is elevation of proteins of the heat-shock family with immunomodulatory capacity, as well as of tolerance-promoting molecules, including HLA-G, CD200, Fas ligand,  $\alpha$ -fetoprotein, and indoleamine 2,3-dioxygenase.<sup>31</sup>

Additional mechanisms that may play a role in MS amelioration during pregnancy include: production of IFN- $\tau$  by human placenta trophoblast cells<sup>32</sup> (structurally similar to IFN- $\beta$ ), and elevation of estrogen and progesterone levels, which can induce changes in the IFN- $\gamma$  promoter region, and in T-bet, FoxP3, programmed cell death protein 1, and cytotoxic T-lymphocyte antigen 4 transcription factors. These hormones also exert profound effects on B cells, increasing expression of different genes such as *cd22*, *shp-1*, *bcl-2*, and *vcam-1*.<sup>33</sup>

Human chorionic gonadotropin (hCG) is a glycoprotein hormone synthesized by syncytiotrophoblast cells immediately after embryonic implantation, inducing profound down-regulation of maternal cellular immunity against trophoblastic paternal antigens. There is increasing evidence indicating that hCG alters dendritic cell activity through up-regulation of indoleamine 2,3-dioxygenase, reducing T-cell activation and cytokine production, as well as stimulating Treg cell recruitment to the fetal-maternal interface. These changes are critical in promoting maternal tolerance, and may also ultimately influence immune responses in pregnant women with MS.34 Furthermore, up to 95% of CD19<sup>+</sup> CD24<sup>hi</sup> CD27<sup>+</sup> regulatory B cells also express the hCG (LH/hCGR) receptor. Addition of human recombinant hCG to isolated CD19<sup>+</sup> B cells in vitro induces strong production of IL-10, a potent anti-inflammatory cytokine.35 Based on these findings, investigators believe that hCG may drive an expansion of IL-10-producing regulatory B cells during normal pregnancy, so controlling undesired immune activation (which would otherwise jeopardize fetal well-being), with the added effect of contributing to control of MS during pregnancy.<sup>36</sup>

Little is known about the effects on MS of microchimerism (bidirectional trafficking of cells between mother and fetus), but it may play a role in disease pathogenesis. Of interest, risk of disability does not increase in women with MS having children with more than one partner, as would be the case if the immune response to paternal genes was harmful.<sup>37</sup>

# Effect of pregnancy on disability

Effects of pregnancy on disability levels in MS remain controversial. Given the beneficial effect of pregnancy on relapse rates and on inflammatory changes, it could afford protection against relapse-related disability. In a cohort of 2466 patients followed for 10 years, more pregnancies were independently associated with lower disability scores.<sup>38</sup>

However, although some studies show that women with MS who have been pregnant have less progression of disability<sup>39</sup> and propose that these effects might even be cumulative since multiparous women seem to have better outcomes,<sup>26</sup> other studies have shown no effect on permanent disability scores.<sup>40–42</sup>

Importantly, such observational studies are prone to selection bias given the fact that rigorous matching of groups at baseline is impossible. For example, young women with severe disease at onset are less likely to become pregnant.

Taken together, currently available data do not provide convincing evidence that there is a significant beneficial effect of pregnancy on long-term disability, although it does seem clear that pregnancy does not increase risk of secondary progression.<sup>43</sup>

Figure 2 summarizes the most important hormonal and immune mechanisms observed during pregnancy.

# Puerperium

During puerperium, a clear increase in relapse rates has been observed.<sup>44</sup> Reasons for increased disease activity postpartum include abrupt drop in estrogen, progesterone, and glucocorticoids levels and rapid normalization of immune function to pre-pregnancy conditions. In fact, after delivery the situation can even be attributed to what has been defined as an immune reconstitution inflammatory syndrome-like phenomenon, secondary to rebound of pro-inflammatory cell types and functions as a consequence of fetal and placental delivery. Concurrently, the rise of hormones involved in breastfeeding mechanisms activated at this time may also play a role in increasing disease activity.

Figure 3 summarizes the most important puerperal changes in hormones and immune mechanisms.

The most reliable clinical predictors of postpartum relapse are: number of relapses during the year before pregnancy, number of relapses during pregnancy, and disability score at pregnancy onset.<sup>40,44</sup> Patients with MS should plan carefully for pregnancy, striking a balance between the desire to become a parent and that of maintaining disease quiescence.

# M. C. Ysrraelit and J. Correale



Figure 2. During pregnancy, influences from the placenta, the mother and the fetus condition placentation, regulating placental growth predisposing regulation of a Th2-like anti-inflammatory immune response. During this period, it is possible to observe a marked increase in the levels of estrogens, progesterone, glucocorticoids, and activated vitamin D coming from both the mother and the placenta. Taken together, these factors decrease maternal cellular immunity, evidenced by decreased number of multiple sclerosis exacerbations during pregnancy, particularly during the third trimester. B, B cells; DHEA, dehydroepiandrosterone; GM-CSF, granulocyte–macrophage colony-stimulating factor; IFN, interferon; LGL, large granular lymphocytes; M-CSF, macrophage colony-stimulating factor; MS, multiple sclerosis; M $\Phi$ , macrophages; NK, NK cells; T, T cells.

#### Breastfeeding

Prolactin (PRL), oxytocin, progesterone, and glucocorticoids all increase during breastfeeding. As will be discussed below, the role of PRL in MS pathogenesis remains unclear. It has been shown to have beneficial effects through protection against excitotoxicity and by enhancing remyelination.<sup>45</sup> By contrast, prolactin levels were found to be higher in patients with MS compared with controls, which seems to promote B-cell autoreactivity.46 Furthermore, hyperprolactinemia may be associated with clinical relapses in MS, especially among patients with hypothalamic lesions and/or optic neuritis.47 Controversy also exists regarding the role of breastfeeding in MS: while some authors suggest that breastfeeding protects against relapses<sup>48-50</sup> and that women who nurse infants longer may be at lower subsequent risk of developing MS;<sup>51</sup> others indicate that lactation does not suppress the disease<sup>52</sup> nor have any effect on relapses.<sup>53</sup> Again, these studies are prone to selection bias because mothers with more active disease before pregnancy are less likely to breastfeed or will do so for shorter periods in order to restart disease-modifying therapies (DMT)

sooner. In fact, a recent meta- analysis showed that women who breastfed were significantly less likely to use DMTs before conception, and had fewer relapses during pregnancy.<sup>54</sup>

Overall, no clear evidence exists that breastfeeding provides sufficient protection from relapses to warrant not restarting DMTs shortly after giving birth, in women with active relapsing disease before pregnancy. Individual patients and their clinicians must weigh their decision to breastfeed on a case-by-case basis.

#### Fertility treatments

There are no clear data to indicate that women experiencing MS suffer impaired fertility.<sup>55</sup> Because general infertility rates are 10–20% for couples in western countries,<sup>56</sup> infertility in women with MS may represent co-occurrence, such that some may seek fertility treatments. Assisted reproductive technology (ART) has generated a rise in the number of live births.<sup>56</sup> This generally involves the use of hormone therapy including: gonadotropinreleasing hormone (GnRH) agonists or antagonists, follicle-stimulating hormone (FSH), luteinizing hormone



Figure 3. After childbirth, the protective influence of the placenta and the fetus is lost, and a pro-inflammatory intrauterine environment prevails, determined mainly by maternal factors. A fall in the levels of estrogen, progesterone, glucocorticoids, and activated vitamin D is observed. Together these factors may condition an increase in the number of exacerbations observed during the postpartum period. PGs, Prostaglandins.

(LH), hCG, and/or progesterone to induce ovulation or to assist implantation.

Several studies have reported an increase in annualized relapse rates after ART use, especially in women treated with GnRH agonists, or when fertilization treatments failed.<sup>57–61</sup> Mechanisms proposed include: cessation of DMT, stressful events associated with infertility, and immunological changes induced by GnRH, as well as augmented immune cell migration across the blood–brain barrier.<sup>60</sup> It would seem prudent to recommend caution when women with MS undergo ART and monitor carefully for intervention as necessary.

#### Oral contraceptives

Because oral contraceptives (OCs) contain estrogens (or estrogen receptor regulators) and progestogens, their influence on MS has been investigated, although most studies did not specify OC combinations or type, suggesting that differences in hormones and dosage are likely.

Regarding risk of developing MS with OC use, some studies failed to find an association,<sup>62,63</sup> whereas others showed short-term reduction in risk of MS.<sup>64</sup> In opposition to these findings, a recent study on OC use in women with MS was associated with slightly increased risk of MS and clinically isolated syndrome (CIS).<sup>65</sup>

Concern over whether OCs could affect disease symptoms, activity and/or progression has also been expressed. In fact, one study reported that OC use was associated with a higher risk of reaching EDSS 6,<sup>26</sup> even though most epidemiological evidence has not found a negative effect on MS and some studies even suggest a positive effect, not only on symptoms<sup>66</sup> but also on MRI activity (when used in combination with IFN- $\beta$ 1a)<sup>67</sup> and on progression of disability.<sup>68</sup>

#### Menopause

Little information is available concerning possible influences of menopause and hormone replacement therapy (HRT) on the course of MS.

Any effects of menopausal hormone changes per se, may be confounded by age-related changes in MS disease activity and co-morbidities. Monitoring of patients who developed MS after the age of 50 suggested similar rates of disease progression for both sexes, with men experiencing more rapid progression if disease onset occurred between 18 and 49 years.<sup>69</sup>

In the past, several studies have reported worsening of MS symptoms at menopause.<sup>70</sup> More recently, however, improvement in MS symptoms and quality of life (QOL) was found in postmenopausal MS patients receiving HRT,<sup>71</sup> although it was not clear whether hormone replacement use improved physical QOL, or reflected the fact that women with better physical QOL were likely to receive age-appropriate medical care, including HRT.

Worsening of MS after menopause suggests that gonadal steroid deprivation occurring at this time may be causally linked to neurodegeneration, as has been observed in a recent study in which ovarian aging, measured according to anti-Müllerian hormone (AMH) levels, was associated with gray matter volume loss as well as with higher degree of disability in women with MS, independent of chronological age and disease duration.<sup>72</sup> Some studies have demonstrated lower serum AMH levels in women with MS of childbearing age,<sup>73</sup> but others only found low AMH levels in patients with higher disease activity.<sup>74</sup>

In summary, MS disability after menopause seems to worsen. Nevertheless, it should be pointed out that most studies on this issue do not include cohorts of men as a comparison group, which would be necessary to distinguish the effects of ageing per se from those linked to loss of reproductive function. Accelerated ovarian aging may represent one of several factors influencing MS.

Effects of the female reproductive cycle on MS are summarized in Fig. 4.

#### Sex hormones

Because of the presence of hormone receptors on immune cells, sex hormones, such as estrogens, progesterone, androgens, and prolactin, can influence different aspects of immune system function, potentially affecting risk, activity, and progression of MS. Sex hormone effects will vary depending not only on their concentration but also on the type of target cell and the receptor subtype expressed on a given cell type. Hence, understanding how gender impacts autoimmunity in general and MS in particular, requires elucidation of complex interactions occurring between sex hormones, sex chromosomes, and immune response genes. In the following section, we will discuss current knowledge and new insights into the relationship of sex hormones and the immune system, as well as with resident CNS cells, in the context of MS.

#### Estrogens

Endogenous estrogens produced in female mammals include estrone (E1),  $17\beta$ -estradiol (E2) and estriol (E3, produced only in pregnancy). E2 is the predominant form in premenopausal women. Estrogen receptors (ERs) exist in two forms: ER $\alpha$  (NR3A1) and ER $\beta$  (NR3A2). These receptors act as ligand-activated transcription



Figure 4. Effects of the female reproductive cycle on multiple sclerosis. Before puberty, multiple sclerosis (MS) prevalence is similar between boys and girls. After menarche, rates in girls are three times higher. During pregnancy, a decrease in relapse rates of approximately 70% is observed in the third trimester. After delivery, relapse rates increase to nearly three times higher than pre-pregnancy levels during the first 3–6 months. Recent evidence suggests worsening of MS symptoms at menopause, and probably increased disease progression.

factors and, therefore, directly regulate a broad range of estrogen-responsive genes present in all cells of the innate and adaptive immune system.<sup>33</sup> The main effects induced by estrogens in the cells of the immune system are summarized in Table 1.

E2 exerts mainly anti-inflammatory effects by inhibiting production and signaling of pro-inflammatory cytokines, such as TNF- $\alpha$ , IL-1 and IL-6, as well as inhibiting NK cell activation, and by inducing expression of anti-inflammatory cytokines such as IL-4, IL-10, favoring a Th2 phenotype<sup>75</sup> and transforming growth factor- $\beta$  (TGF- $\beta$ ) expression, and activating Treg cells.<sup>76</sup>

However, at lower concentrations (equivalent to preovulatory menstrual cycle levels) E2 stimulates TNF- $\alpha$ , IFN- $\gamma$ , IL-1 production, and NK cell activity, probably promoting a pro-inflammatory environment.<sup>77</sup> This may explain observations in which lymphocytes from nonpregnant female mice produced higher concentrations of

Table 1. Main effects regulated by estrogens in immune system cells

Immune cell	Estrogen effect
Neutrophils	<ul> <li>Regulates chemotaxis, infiltration, production of superoxide anion and myeloperoxidase</li> <li>Induction of chemokines (CINC-1, CINC-2β, and CINC-3, monocyte chemoattractant protein-1), and cytokines (TNF-α, IL-6, IL-1β)</li> </ul>
Macrophages	<ul> <li>Regulates chemotaxis and phagocytic activity</li> <li>Induction of cytokines (IL-6, TNF-α, iNOS, and nitric oxide)</li> </ul>
Dendritic cells	<ul> <li>Enhances differentiation of immature DCs into mature functional DCs</li> <li>Regulates the expression of cytokines and chemokines (IL-6, IL-10, CXCL8, and CCL2, TGF-β, IL-23, IL-12)</li> </ul>
Th1 cells	• Enhances IFN-γ expression
Th2 cells	• No effect or stimulatory effect on IL-4 secretion and <i>GATA-3</i> expression
Treg cells	<ul><li>Promotes the expansion of Treg cells</li><li>Up-regulates the expression of FoxP3, PD-1, and CTLA-4</li></ul>
B cells	<ul> <li>Increase expression of genes such as <i>cd22</i>, <i>shp-1</i>, <i>bcl-2</i>, and <i>vcam-1</i></li> <li>Increase plasma cell and autoantibody-producing cell numbers</li> <li>Alter B-cell maturation</li> </ul>

CINC, Cytokine-induced neutrophil chemoattractant; CCL, CC chemokine ligand; CTLA-4, Cytotoxic T-Lymphocyte Antigen 4; CXCL, CXC chemokine ligand; DCs, Dendritic cells; FoxP3, forkhead box P3; IFN, Interferon; IL, Interleukin; iNOS, Inducible nitric oxide synthase; PD-1, Program Cell Death Protein-1; TGF, Tumor growth factor; TNF, Tumor necrosis factor IFN- $\gamma$  in response to antigen stimulation<sup>78</sup> or virus infection,<sup>79</sup> than those from male mice. Enhancement of IFN- $\gamma$ secretion may be involved in the well-known susceptibility of females to autoimmune disease induction in several experimental animal models, including experimental autoimmune encephalomyelitis (EAE)<sup>80</sup> and be the reason behind the greater female prevalence of autoimmune diseases in humans.

As early as 1994, ovariectomy was reported as causing earlier EAE onset.<sup>81</sup> Conversely long-term treatment with por-estrous levels of E2 delayed it, with E3 treatment (to por-estrous levels) postponing disease onset even longer. Five times higher doses of E2, similar to those seen during pregnancy, were required to obtain the same effects as the low E3 dose.<sup>81</sup> Although E3-treated mice had higher serum levels of anti-MBP-IgG1 antibodies, E3 reduced EAE severity, and caused antigen-specific T lymphocytes to produce greater amounts of IL-10.82 Likewise, estrogen administered before immunization delayed the onset of symptoms and reduced disease activity.83 These beneficial effects are principally driven by ERadependent mechanisms resulting in decreased production of autoantigen-specific pro-inflammatory molecules (IFN- $\gamma$ , TNF- $\alpha$ , IL-17, inducible nitric oxide synthase, and monocyte chemoattractant protein 1) and stimulation of the Th2 anti-inflammatory pathway (IL-4, IL-10, and TGF- $\beta$ ) which in turn leads to inhibition of inflammation and demyelination. Treatment with the ER $\beta$  agonist diarylpropionitrile on the other hand, confers clinical protection late during EAE, which is associated with reduced demyelination, preserved axon numbers, and increased functional remyelination.<sup>84</sup> Furthermore,  $ER\beta$ -specific synthetic ligands as well as endogenous sterols other than  $17\beta$ -estradiol regulate ER $\beta$  transrepression activities, potently inhibiting the transcriptional activation of inflammatory response genes in microglia and astrocytes.<sup>85</sup> Recently, ER $\beta$  ligands were found to have neuroprotective effects in EAE by acting on CD11c<sup>+</sup> myeloid dendritic cells and macrophages. In addition, treatment increased mature oligodendrocyte lineage cell numbers, an effect that disappeared once treatment was removed.86

Phase I and phase II clinical trials using E3 as well as other sex hormone combinations have been conducted. One study in 10 women with RRMS treated with oral E3 (8 mg/day for 6 months) in an attempt to recapitulate the beneficial effects of pregnancy, found a significant decrease in delayed-type hypersensitivity responses to tetanus toxoid, a decrease in IFN- $\gamma$  levels of peripheral blood mononuclear cells, and fewer and smaller gadolinium-enhancing lesions on monthly brain MRI, compared with pretreatment baseline conditions. When E3 treatment was discontinued, enhancing lesions increased to pretreatment levels and when E3 treatment was reinstituted, enhancing lesions again decreased significantly.<sup>87,88</sup> This open-label pilot trial provided the basis for a randomized, placebo-controlled, double-blind, phase II trial of E3 combined with glatiramer acetate in women with RRMS. The trial enrolled 164 patients (83 in the E3-treated group and 81 in the placebo group). After 24 months, confirmed relapse rate and time to confirmed relapse were lower in the E3-treated group, in which fatigue also improved significantly.<sup>89</sup> No differences were observed, however, on MRI in numbers of gadolinium-enhancing lesions, T2 lesions, or total brain volume.

Overall, a paradox exists with respect to estrogeninduced immunomodulatory effects in MS. On the one hand, they suppress inflammation in EAE as well as in other autoimmune disease models. On the other hand, they can generate pro-inflammatory effects in chronic autoimmune diseases. Which is the case, will depend on different variables such as: (i) cell types involved during different phases of disease, (ii) target organ and specific microenvironment, (iii) reproductive status of the woman with the condition, (iv) estrogen concentration, and (v) ER expression levels.<sup>75</sup>

#### Progesterone

Progesterone belongs to the progestogen steroid hormone family, named for its pro-gestational effect mediated through the progesterone receptor (PR) which is part of the nuclear hormone receptor superfamily of transcription factors. PRs are widely distributed on immune cells, including: granulocytes, NK cells, dendritic cells, T cells and B cells.

In general, progesterone switches the immune response from pro-inflammatory to anti-inflammatory, favoring Treg cell differentiation and promoting down-regulation of IFN-y production by NK cells, and glucocorticoidmediated thymocyte apoptosis. Progesterone also mediates reduction of nitric oxide production and expression of toll-like receptors by macrophages, and promotes Th2 differentiation in vitro as well as expression of co-stimulatory molecules such as CD80, CD86, and CD40, and MHC II.90,91 In C57BL/6 mice, progesterone therapy causes reduction in the severity of EAE induced by myelin oligodendrocyte glycoprotein (MOG). Whereas estrogens need to be administered before EAE induction, progesterone treatment started as late as 2 weeks after MOG immunization still exerts beneficial effects. Interestingly, progesterone treatment administered to ovariectomized rats before EAE induction worsened brain inflammation and neurological symptoms, indicating the protective effects of progesterone probably require additional gonadal factors. The protection involves suppression of IL-2 and IL-17 as well as release of IL-23 by dendritic cells, a critical inducer of Th17 differentiation in EAE and probably in MS.<sup>92</sup>

Both progesterone and its synthetic analog (Nestorone) promote myelin repair and reduce neuroinflammation in

the cuprizone model in a dose-dependent fashion. Likewise, a progesterone derivative, allopregnanolone, showed neuroprotective effects with an additional influence on neuronal signaling,<sup>93</sup> decreasing axonal damage and restoring myelin gene expression by oligodendrocytes, which protected against injury.<sup>94</sup>

Progesterone is known to increase proliferation and maturation of oligodendrocyte progenitor cells to mature oligodendrocytes, attenuating microglia and astrocyte activation and proliferation.<sup>95</sup> In male rats, early treatment with progesterone after complete spinal cord transection stimulated oligodendrocyte progenitor cell proliferation. Prolonged progesterone treatment promoted myelin protein synthesis, and after spinal cord contusion reduced secondary damage, preserving white matter, and improving motor outcomes.<sup>96,97</sup>

Based on these findings, a multicenter, randomized, double-blind, placebo-controlled clinical trial was performed with the aim of preventing MS relapses postpartum by administering high doses of progestin, in combination with estradiol for endometrial protection. Treatment was given immediately after delivery and continued for 3 months postpartum. Unfortunately, results showed no protective effect of sexual steroids on relapse rate after delivery or on development of new lesions on MRI.<sup>98,99</sup>

#### Androgens

Androgens are steroid hormones commonly associated with the development of masculine characteristics, testis formation, spermatogenesis, growth of muscle mass, and bone maturation. They include testosterone, the majority of which (98%) becomes irreversibly converted to the active metabolite  $5\alpha$ -dihydrotestosterone. The  $5\alpha$ -dihydrotestosterone binds with higher affinity than testosterone to the androgen receptor (AR), which is expressed at different levels in leukocytes, regulating transcription of target genes.<sup>100</sup> When evaluating testosterone effects, it should be kept in mind that some could be mediated via the ER pathway, as testosterone can be converted into E1 or E2 by the enzyme aromatase. Moreover, evidence suggests that testosterone metabolites can also activate ERs directly.<sup>101</sup>

Androgen levels are higher in males, and autoimmune disease incidence is generally lower. For this reason, androgens are thought to play a positive role in the development and function of the innate immune response, inhibiting the adaptive immune system and thus, to some extent, protect against autoimmunity.

Effects of androgens on immune function include a shift from Th1 to Th2 phenotype, based on increased production of IL-5 and IL-10 and decreased pro-inflammatory cytokines including IFN- $\gamma$ , TNF- $\alpha$ , and IL-17. Testosterone also reduces lymphocyte proliferation and

differentiation and may suppress immunoglobulin production. Supra-physiological doses of testosterone may also inhibit cytotoxic NK cell activity.<sup>102,103</sup>

Similar changes were seen after *in vivo* testosterone treatment of SJL mice with EAE. In this strain, endogenous testosterone was protective, an effect linked to androgen-mediated Th2 bias, as suggested by the IFN- $\gamma$ / IL-10 ratio.<sup>104</sup> Likewise, androgen treatment of naive T-cell receptor transgenic T cells resulted in a decrease of the IFN- $\gamma$ /IL-10 ratio, due both to reduction of IFN- $\gamma$  and increase of IL-10.<sup>105</sup>

In the thymus, the autoimmune regulator (Aire) gene enforces T-cell self-tolerance, in part by promoting tissuespecific antigen expression in medullary thymic epithelial cells. In addition to effects on the peripheral immune system, androgens also up-regulate Aire-mediated thymic tolerance, protecting against autoimmunity. Androgens recruit AR to Aire promoter regions, subsequently enhancing Aire transcription. In mice and humans, thymic Aire expression is higher in males than females. In an MS mouse model, androgen administration as well as male gender protected against autoimmunity in an Airedependent manner, indicating control of intrathymic Aire-mediated tolerance mechanisms by androgens, which helped to explain gender-related differences observed in MS.<sup>106</sup>

Several studies describe a possible neuroprotective effect of testosterone, as it can cross the blood–brain barrier and directly influence neuronal cells. It has also been shown that androgens protect spinal cord neurons in culture from glutamate toxicity<sup>107</sup> and can induce neuronal differentiation and increase neuritic outgrowth. Testosterone also protects neuronal cell lines from oxidative stress<sup>108</sup> increasing the expression of neurotrophic factors such as brain-derived neurotrophic factor (BDNF). In male mice with EAE, administration of testosterone reduced microglial activation and restored synaptic excitatory transmission as well as presynaptic and postsynaptic protein levels in the hippocampus.<sup>109</sup>

In line with these findings, testosterone levels measured in men with MS at different ages generally support the idea that reduced testosterone is a risk factor for disease.<sup>103</sup> Based on epidemiological studies, it could be hypothesized that the later age of onset of MS in men, relative to women, may result from the age-related decline in protective testosterone levels.<sup>17</sup> In men with MS, a relative androgen deficiency is noted. Low levels of testosterone were correlated with EDSS severity and were predictive of decreases in cognitive function.<sup>110</sup> In women with MS, testosterone levels may be lower than in controls and correlate negatively with disability and radiologic markers.<sup>111</sup>

In one pilot study, 10 men with MS were treated with 10 g of testosterone gel (100 mg) in a cross-over design

(6-month observation followed by 12 months of treatment). Treatment resulted in significant slowing of brain atrophy measured from monthly MRI, as well as improvement in cognitive testing. However, no significant effects of treatment were observed on gadolinium-enhancing lesions.<sup>112</sup> Testosterone also significantly reduced delayed-type hypersensitivity skin recall responses and induced a shift in peripheral lymphocyte composition, by decreasing CD4<sup>+</sup> T cells and increasing NK cells. In addition, peripheral blood mononuclear cells collected during treatment produced significantly more BDNF, plateletderived growth factor-BB, and TGF- $\beta_1$ . Interleukin-2 production on the other hand was decreased. These findings are consistent with an immunomodulatory as well as a potentially neuroprotective effect of testosterone treatment in MS.113

# Prolactin

As previously mentioned, lack of consensus related to breastfeeding has renewed interest in the effects of PRL on MS.<sup>49,53</sup> Prolactin is secreted predominantly by the anterior pituitary and is regulated by both inhibitory and stimulatory inputs from hypothalamic dopamine and tirotropin-releasing hormones, respectively. Interestingly, PRL is also secreted by extra-pituitary tissues including decidual, mammary, and epithelial cells as well as by monocytes and B and T lymphocytes.<sup>114</sup>

The PRL receptor (PRLR) belongs to the cytokine receptor superfamily, which includes receptors for IL-2, IL-6, granulocyte–macrophage colony-stimulating factor, and leptin. PRLR is found on monocytes, T and B lymphocytes, and other cells of the immune system.<sup>47</sup> In addition to its primary role in mammary gland development and in initiation and maintenance of lactation, PRL has a number of physiological roles including immune system modulation and neuroregeneration.<sup>114–116</sup>

The main effects of PRL on the immune system are summarized in Table 2.

Evidence of an association between hyperprolactinemia and MS is conflicting. In some studies PRL was found to be higher in patients with MS but without a link to disease duration or activity.<sup>117,118</sup> Other studies, however, detected increased PRL levels in MS patients during relapses<sup>119</sup> or found a positive correlation between plasma PRL levels and white matter volume.<sup>120</sup> Elevated PRL levels are found more often in premenopausal women than in men.<sup>121,122</sup> Prolactin elevation in MS patients may be due to non-specific hypothalamic–pituitary–adrenal axis dysregulation, secondary to demyelination or neurodegeneration, or to higher prevalence of, or enhanced sensitivity to, psychosocial stress.<sup>47,121</sup>

In the EAE model, low-dose PRL administered either prophylactically or therapeutically did not exacerbate the course of disease, whereas high doses did so

# M. C. Ysrraelit and J. Correale

Table 2. Prolactin effects on cells of the immune system

Immune cell	Prolactin effect
Macrophages	<ul> <li>Enhances release of reactive oxygen intermediates and pro-inflammatory cytokines such as TNF-α, IL-1β, IFN-γ, and IL-12</li> <li>In very high concentrations enhances secretion of IL-10</li> <li>Stimulates secretion of chemokines like MIP-1 αIP-10, and MCP</li> </ul>
Granulocytes	<ul> <li>Up-regulates inflammation-related genes such as iNOS and IRF-1</li> <li>Activates STAT1 and p38 MAPK intracellular pathways</li> </ul>
Natural killers cells	<ul> <li>Stimulates release of IFN-γ</li> <li>Stimulates cell proliferation and cytotoxic activity</li> </ul>
Dendritic cells	<ul> <li>Low levels enhance expression of MHC class II and of stimulatory molecules CD80/CD86</li> <li>Promotes maturation to functional antigen-pre- senting cells</li> </ul>
B cells	<ul> <li>Stimulates cell proliferation and IgM and IgG secretion</li> <li>Promotes B-cell auto-reactivity through increased JAK2 expression and STAT phosphorylation and up-regulation</li> <li>Decreases B-cell apoptosis by increasing production of BAFF and Bcl-2 expression and decreasing Trp63expression</li> <li>Decreases B-cell receptor-mediated activation threshold</li> <li>Induces CD40 expression</li> </ul>
T cells	<ul> <li>Supports proliferation, survival and acts as an autocrine factor</li> <li>Promotes adhesion to activated endothelial cells by integrins LFA-1 and VLA-4</li> </ul>

BAFF, B-cell activating factor; Bcl-2, B-cell lymphoma 2; iNOS, induced nitric oxide synthase; IP-10, interferon- $\gamma$ -induced protein; IRF-1, interferon regulatory factor-1; LFA-1, lymphocyte function-associated antigen-1; MCP-1, monocyte chemoattractant protein-1; MIP-1 $\alpha$ , macrophage inflammatory protein-1 $\alpha$ ; Trp 63, transformation-related protein 63; VLA-4, very late antigen-4.

significantly.<sup>47</sup> Prolactin suppression using the dopamine agonist bromocriptine on the other hand dampened both humoral and cellular immunity. *In vivo* pretreatment with bromocriptine inhibited *in vitro* lymphocyte proliferative responses of the spleen after stimulation with concanavalin A. Bromocriptine therapy remained protective even when initiated 1 week after initial immunization and was also effective in suppression of late disease.<sup>123</sup>

Therefore, although PRL has been classically considered a detrimental factor in MS, recent reports point to important neuroprotective and remyelinating properties

of the hormone, encouraging a reinterpretation of the influence it may have on MS.45 Indeed, PRL has been shown to mediate proliferation of oligodendrocyte precursor cells during pregnancy and to promote myelin repair,<sup>115</sup> increase expression of functional survival motor neuron protein in a mouse model of spinal muscular atrophy,<sup>124</sup> stimulate precursor cells in the adult mouse hippocampus,<sup>125</sup> and mediate neuroprotection against excitotoxicity via its receptor.<sup>126</sup> Lastly, in a model of retinal degeneration, PRL limited photoreceptor apoptosis, gliosis, and changes in neurotropin expression, preserving ocular photoresponse. Moreover, retinas from PRLR-deficient mice exhibited photoresponse dysfunction and gliosis correlating with decreased levels of retinal basic fibroblast growth factor (bFGF), glial cell-derived neurotrophic factor (GDNF), and BDNF.127

In conclusion, PRL may exert dual and opposing effects in MS, which result from a delicate balance between protection of CNS tissue and stimulation of the immune system. Although several studies have investigated the association between PRL and MS in humans, limitations in design preclude establishing a clear causal relationship.<sup>47</sup> From a therapeutic perspective, great caution must be taken when trying to manipulate PRL levels in MS.

As described above, extensive research has been devoted to the role of sex hormones in MS to explain the increased susceptibility of females over males, but increased rate of disability progression of males over females. However, direct effects of sex chromosomes and indirect effects of sex chromosomes (mediated by sex hormones) on the immune system and the neurodegeneration phenomena observed in MS should also be considered.<sup>128</sup> Development of different mouse core genotypes backcrossed onto the SJL background,129 has permitted the separation of effects of sex chromosomes from effects of sex hormones. Using these models, it has been possible to demonstrate that sex chromosomes have a direct effect in the induction of immune responses in adoptive EAE, which is unlikely to be mediated by different effects of different sex hormones.<sup>129,130</sup> Likewise, the use of bone marrow chimeras has allowed demonstration that sex chromosomes can affect the CNS response to a given immune-mediated injury, resulting in more axonal and neuronal loss and greater demyelination.<sup>131</sup>

# Interaction between hormonal and environmental factors

As mentioned in the Introduction the exact reason for the increased incidence of MS in women is unknown, nevertheless the change occurred too fast to be attributable to genetic causes alone,<sup>4</sup> suggesting environmental factors that are sexually dimorphic are more commonly encountered in one sex than the other could intervene. Several possibilities have been proposed including oral contraceptive use, parity, increased numbers of women smoking, changes in lifestyle or in workforce, and dietary habits.

The gut is the largest immune organ in the body exposed daily to environmental factors that maintains a tolerant state. Recent reports on the influence of gut microbes on gender-based autoimmunity suggest that both bacteria and sex hormones interact directly to regulate disease fate in genetically susceptible individuals. Gender bias in specific pathogen-free mice occurs more often in female mice and can be reversed by transfer of gut microbiota from male mice, suggesting that an interaction between sex hormones and gut microbes may influence autoimmunity by triggering inflammatory or tolerogenic effects.<sup>132</sup>

Estrogens influence the microbiome through mechanisms involving increasing epithelial thickness, mucus secretion, and glycogen levels. Female mice are more resistant to gut injury than males, and inhibition of androgens with flutamide in male mice reduces gut injury. Estrogen-like compounds may also promote proliferation and growth of certain types of bacteria.<sup>133</sup> On the other hand, estrogens are metabolized by microbes secreting  $\beta$ -glucuronidase, an enzyme that deconjugates estrogen, allowing the 'active' metabolite to enter the bloodstream and bind to estrogen receptors.<sup>134</sup>

Treatment with pregnancy levels of estrogen induces changes in the composition and diversity of the gut microbiota, associated with protection against EAE.<sup>135</sup> It was shown that while EAE led to dysbiosis in mice, pre-treatment with estrogen reduced these changes and increased regulatory B-cell and anti-inflammatory macrophage numbers in mesenteric lymph nodes and in the spinal cord.<sup>135</sup>

Vitamin D (D3) is an environmental factor that may be involved in the development of autoimmunity. Sun protection behavior, which has increased in recent decades, has significantly reduced the hours of light exposure in the population at large. Interestingly, D3 has shown greater immunomodulatory effects in women than in men with MS, with the inverse correlation between UV ray exposure and MS risk being several times stronger in women.<sup>136</sup>

Investigations have shown that D3 significantly inhibits EAE in female mice, but not in male mice, and ovariectomy abrogates the protective effect, suggesting a link between female hormones and D3 metabolism.<sup>137</sup> Further, D3 stimulated E2 synthesis, and E2 inhibited D3 breakdown, improving D3 responsiveness. Moreover, both molecules synergistically decreased pathogenic Th1 and Th17 cells and increased Treg cells. D3 and E2 also interact with female D3 receptor (VDR) -expressing CD4<sup>+</sup> T cells to promote peripheral self-tolerance.<sup>138</sup> In addition, in MS patients D3 acts more strongly on female lymphocyte inhibition of T-cell proliferation, reducing the number of IFN- $\gamma$ - and IL-17-producing cells and

increasing the number IL-10-secreting ones. Interestingly, E2 reproduced these effects on self-reactive T cells and macrophages from male subjects, suggesting a functional synergy between 1,25-dihydroxyvitamin D3 and  $17\beta$ estradiol, mediated through ERa.<sup>139</sup> In correlation with these immunomodulatory changes, women with MS had fewer CYP24A1 transcripts encoding the D3-inactivating enzyme, and presented greater binding and internalization of DBP, the serum glycoprotein that reversibly binds and transports vitamin D3 and its metabolites to autoreactive CD4<sup>+</sup> T cells and macrophages.<sup>139</sup> Overall, these findings suggest that females inactivate D3 more slowly, allowing it to accumulate, so triggering more potent anti-inflammatory effects. Of note, the ER antagonist ICI 182780 reversed E2 effects on CYP24A1 transcripts and DBP uptake, demonstrating that the effects were ER-signaling dependent.139

Other environmental candidates have been thought to play a role in autoimmune disease risk, such as infectious agents and chemicals, including compounds commonly found in cosmetics, organic solvents, and pesticides. As exposure to these elements varies between men and women, this might explain some of the gender differences observed in MS prevalence.

Further studies are needed to better understand the cross talk between sex hormones and environmental factors, which could open new avenues of research to identify particular molecules promoting regulation of the immune response.

# **Conclusions and future perspectives**

The observed increase in gender ratio suggests genderspecific associations between environmental and/or geneenvironment interactions, and susceptibility to MS. Hence, some environmental factors such as D3 or the microbiome may in turn affect endogenous sex hormone levels, which then alter hormonal interaction with MS susceptibility genes.

Difficulties in fully replicating the protective effects of pregnancy in MS during clinical trials using sex hormones<sup>89,98,99,140</sup> underscore just how difficult it is to attribute gender effects in MS to a single biological factor. Furthermore, the effect of sex hormones during the aging process has not been well studied, and consequently the impact of both variables on the immune response during the course of MS is severely limited. Future clinical and preclinical studies should consider these variables in detail. Similarly, the development of suitable animal models could provide relevant information. Current clinical observations reflect the complex interplay of genetic, epigenetic, hormonal, and environmental factors present in MS. Increasing use of bioinformatic approaches, in large and clinically well-characterized cohorts, will help to unravel the molecular mechanisms involved and may identify new

pathways for therapies that can be targeted in more sexspecific ways, to halt autoimmune attacks and even promote neuroprotection and repair in the CNS.

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# **Author contributions**

MCY contributed to the conception and design of the manuscript, drafted the original manuscript, designed the figures, and provided important intellectual contributions. JC contributed to the conception and design of the manuscript, drafted the original, designed the figures, revised the draft and provided important intellectual contributions.

#### **Disclosures**

JC is a board member of Merck-Serono Argentina, Novartis Argentina, Genzyme LATAM, Genzyme global, Biogen-Idec LATAM, and Merck-Serono LATAM. He is part of the Steering Committee for the clinical trials of Ofatumumab (Novartis Global). Dr. Correale has received reimbursement for developing educational presentations for Merck-Serono Argentina, Merck-Serono LATAM, Biogen-Idec Argentina, Genzyme Argentina, Novartis Argentina, Novartis LATAM, Novartis Global, and TEVA Argentina as well as professional travel/accommodations stipends. MCY has received reimbursement for developing educational presentations and for travel/accommodations stipends from Merck-Serono Argentina, Biogen-Idec Argentina, Genzyme Argentina, Bayer Inc, Novartis Argentina, and TEVA-Tuteur Argentina.

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## Sex hormones and MS

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# M. C. Ysrraelit and J. Correale

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