


# Association of Immune-Related Adverse Events with Clinical Benefit in Patients with Advanced Non-Small-Cell Lung Cancer Treated with Nivolumab

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Disclosures of potential conflicts of interest may be found at the end of this article.

**Key Words.** Nivolumab • Immune-related adverse event • Immune checkpoint inhibitor • Non-small-cell lung cancer • Antithyroid antibody

## ABSTRACT

**Background.** Immune-related adverse events (irAEs) are frequently observed with nivolumab monotherapy. This study aimed to evaluate whether the development of irAEs correlates with treatment response in advanced non-small-cell lung cancer (NSCLC).

**Patients and Methods.** We conducted a retrospective study of patients who received nivolumab monotherapy at Sendai Kousei Hospital ( $n = 70$ ). The patients were categorized into two groups based on the incidence of irAEs: those with irAEs (irAE group) or those without (non-irAE group). Treatment efficacy was evaluated in each group. The patients were further categorized into responders and nonresponders, and predictive factors of treatment response were determined.

**Results.** The objective response rate was 57% in the irAE group versus 12% in the non-irAE group. Median progression-free

survival was 12.0 months in the irAE versus 3.6 months in the non-irAE group. The incidence of both irAEs and pre-existing antithyroid antibody was significantly higher in responders than in nonresponders. Multivariate analysis identified incidence of irAEs and pre-existing antithyroid antibody as an independent predictor of treatment response.

**Conclusion.** Objective response rate and progression-free survival were significantly better in the irAE than in the non-irAE group in patients with advanced NSCLC treated with nivolumab monotherapy. The development of irAEs was associated with clinical efficacy, and the presence of pre-existing antithyroid antibody might be correlated with treatment response to nivolumab monotherapy. *The Oncologist* 2018;23:1358–1365

**Implications for Practice:** Immune-related adverse events (irAEs) are frequently observed with nivolumab monotherapy. This study evaluated whether the development of irAEs correlates with treatment response in advanced non-small-cell lung cancer. Results showed that the objective response rate and progression-free survival were significantly better in the patients who developed irAEs than in the patients who did not develop irAEs, and the incidence of irAEs and positivity for antithyroid antibody at pretreatment were independent predictors of treatment response of nivolumab monotherapy. Therefore, the development of irAEs predicts clinical benefit and suggests that cautious management of irAEs can lead to achieving maximum clinical benefit from nivolumab monotherapy.

## INTRODUCTION

Lung cancer is a leading cause of cancer-related death worldwide [1]. It is generally classified into two primary categories, small-cell lung cancer and non-small-cell lung cancer (NSCLC), with NSCLC being the more common form, accounting for approximately 85% of all lung cancers. It is divided into two major histological subtypes, adenocarcinoma and squamous cell carcinoma.

Therapies targeting the programmed cell death protein-1 (PD-1) pathway have profoundly improved outcomes in patients

with a variety of malignancies [2, 3]. PD-1 is an inhibitory coreceptor primarily expressed on the surface of activated T cells that, upon binding to PD-ligand 1 (PD-L1) or PD-ligand 2, modulates T-cell effector function, including proliferation [4], cytokine production [5], and survival [6]. Interruption of PD-1/PD-L1 signaling by monoclonal antibodies can regenerate T-cell-mediated antitumor immunity, producing durable anticancer responses in a subset of patients.

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**Table 1.** Baseline characteristics of the study population (*n* = 70)

Characteristics	Value, <i>n</i> (%)
Age, years, median [range]	68 [36–88]
Sex, male	61 (87)
ECOG PS at time of nivolumab monotherapy <sup>a</sup>	
0	37 (53)
1	32 (46)
2	1 (1)
Smoking status	
Current smoker or ever smoked	62 (89)
Never smoked	8 (11)
Pathological subtype	
Squamous cell carcinoma	27 (39)
Nonsquamous NSCLC	43 (61)
EGFR status in nonsquamous NSCLC	
Wild type	38 (88)
Mutant	5 (12)
Number of prior chemotherapy regimens	
1	38 (54)
2	15 (21)
≥3	17 (25)
Development of irAEs	28 (40)
Development of irAEs within 8 weeks	21 (75)
Onset of irAEs, weeks, median [range]	5.0 [1–39.0]

<sup>a</sup>ECOG PS scores range from 0 to 4, with high numbers indicating high disability.

Abbreviations: ECOG PS, Eastern Cooperative Oncology Group performance status; EGFR, epidermal growth factor receptor; irAE, immune-related adverse event; NSCLC, non-small-cell lung cancer.

In 2015, two international, open-label, randomized phase III studies, CheckMate-017 [7] and CheckMate-057 [8], compared the efficacy of nivolumab, a fully humanized immunoglobulin G4 PD-1 immune checkpoint inhibitor (ICI) antibody, and docetaxel in patients with advanced NSCLC that had progressed during or after platinum-based chemotherapy. The results showed high response rates and more favorable safety profiles for nivolumab. As such, nivolumab became the new second-line treatment of choice for advanced NSCLC. However, T-cell activation can cause immune-related adverse events (irAEs), such as skin reactions, thyroid dysfunction, pneumonitis, and hepatitis, that do not occur with conventional cytotoxic anticancer agents [9], indicating the need for a different approach in managing adverse events from nivolumab. One study evaluated whether the development of irAEs in patients with nonmelanoma (which included 71 patients with NSCLC) treated with anti-PD-1 ICI monotherapy correlated with improved clinical outcomes, and the results indicated that for a subset of patients, in particular those with low-grade irAEs, the development of irAEs was associated with improved response rates, longer duration between therapies, and increased life span [10]. This suggests an association between the development of irAEs, durable response, and clinical benefit. To our knowledge, however, similar findings have yet to be reported in patients with advanced NSCLC. This study aimed to investigate whether the development

**Table 2.** Categorization of irAEs

irAEs	<i>n</i> (%)	Median weeks to onset	Grade of irAEs, <i>n</i> , 1/2/3/4	Response to nivolumab, <i>n</i> , CR/PR/SD/PD
Skin reaction	22 (79)	6.0	11/11/0/0	0/14/7/1
Myositis or peripheral neuropathy	5 (18)	4.0	3/2/0/0	0/4/0/1
Hypothyroidism	6 (21)	7.6	1/5/0/0	0/5/1/0
Hyperthyroidism	1 (4)	2.4	0/1/0/0	0/0/0/1
Pneumonitis	5 (18)	17.4	2/3/0/0	0/4/1/0
Hepatitis	1 (4)	8.0	0/0/1/0	0/0/1/0
Diarrhea	2 (7)	14.3	1/1/0/0	0/1/1/0

Abbreviations: CR, complete response; irAE, immune-related adverse event; PD, progression disease; PR, partial response; SD, stable disease.

of irAEs was associated with clinical benefit in patients with advanced NSCLC treated with nivolumab monotherapy.

## MATERIALS AND METHODS

### Patients

Patients with advanced NSCLC who underwent nivolumab monotherapy (3 mg/kg every 2 weeks) at Sendai Kousei Hospital between January 2016 and February 2017 were enrolled in the study. Treatment was given until disease progression, unacceptable toxicity, or withdrawal of consent. All patients were followed up for survival until death, loss to follow-up, or withdrawal of consent.

### Assessment

Objective tumor response to nivolumab monotherapy was confirmed using computed tomography every 8 weeks and determined in accordance with the Response Evaluation Criteria in Solid Tumors (RECIST) guidelines, version 1.1 [11]. One radiologist and two pulmonary physicians (an attending physician and an investigator) measured objective tumor response. The attending physician and a nurse specialist conducted a physical examination, assessed patients for irAEs every 2 weeks throughout the treatment course, and recorded the results. IrAEs were defined according to previous studies [7, 8, 12–14] as adverse events with a potential immunological basis that require potential intervention with immunosuppressive or endocrine therapy. These include skin reactions, as well as endocrine, gastrointestinal, hepatic, neurological, and pulmonary irAEs. In addition, to reduce bias, we focused only on irAEs that medical professionals could recognize objectively. Infusion reaction was not included among irAEs because infusion reaction can be observed with any monoclonal antibody. Therefore, we do not view infusion reaction as true immune-mediated event. The clinical severity of irAEs was graded based on the Common Terminology Criteria for Adverse Events, version 4.0. Blood samples were drawn at screening to measure immune safety parameters (thyroid-stimulating hormone, free T4 level, free T3 level, antithyroglobulin antibody, antithyroid peroxidase antibody, rheumatoid factor, and antinuclear antibody). Progression-free survival (PFS) was defined as the time from start of treatment to documented disease progression or death

**Table 3.** Characteristics of patients in the irAE and non-irAE groups ( $n = 70$ )

Variables	irAE group <sup>a</sup> ( $n = 28$ )	Non-irAE group <sup>b</sup> ( $n = 42$ )	<i>p</i> value
Sex, male, $n$ (%)	26 (93)	35 (83)	.423 <sup>c</sup>
Age, years, median [range]	68 [36–88]	69 [40–84]	.669 <sup>d</sup>
ECOG PS, 0/1/ $\geq$ 2, $n$	19/9/0	18/23/1	
Pathological subtype, $n$			
Squamous cell carcinoma	10	17	.880 <sup>c</sup>
Nonsquamous NSCLC	18	25	
Smoking, never or former/current, $n$	2/26	6/36	.591 <sup>c</sup>
Past regimens, median [range]	1.9 [1–4]	2.1 [1–9]	.823 <sup>e</sup>
Doses, median [range]	12 [4–36]	7 [2–37]	.001 <sup>e</sup>
Best response, CR/PR/SD/PD, $n$ (%)	0/16/10/2	1/4/13/24	
Objective response rate <sup>f</sup>	16 (57)	5 (12)	<.001 <sup>c</sup>
Disease control rate <sup>g</sup>	26 (93)	18 (43)	<.001 <sup>c</sup>
Lymphocyte, median [range]	16.2 [5.3–34.7]	15.0 [3.5–24.1]	.404 <sup>d</sup>
IgG, median [range]	1,434 [826–2489]	1,370 [765–3165]	.341 <sup>e</sup>
IgA, median [range]	320 [153–1114]	255 [102–514]	.102 <sup>h</sup>
IgM, median [range]	100 [22–251]	88 [31–192]	.573 <sup>e</sup>
RF, median [range]	20 [1.6–165.6]	13 [2.7–57.8]	.222 <sup>h</sup>
ANA positive, $n$ (%)	12 (43)	9 (21)	.099 <sup>c</sup>
Pre-existing antithyroid antibody, $n$ (%) <sup>i</sup>	9 (32)	6 (14)	.137 <sup>c</sup>

<sup>a</sup>IrAE group was defined as patients developing immune-related adverse events during nivolumab monotherapy.

<sup>b</sup>Non-irAE group was defined as patients who did not develop immune-related adverse events during nivolumab monotherapy.

<sup>c</sup>Results calculated with chi squared test.

<sup>d</sup>Results calculated with Student's *t* test.

<sup>e</sup>Results calculated with Mann-Whitney U test.

<sup>f</sup>Objective response rate was defined as the proportion of patients achieving complete response or partial response based on modified Response Evaluation Criteria in Solid Tumors version 1.1.

<sup>g</sup>Disease control rate was defined as the proportion of patients achieving complete response or partial response or stable disease based on the modified Response Evaluation Criteria in Solid Tumors version 1.1.

<sup>h</sup>Results calculated with Welch's *t* test.

<sup>i</sup>A patient was considered to have positive antithyroid antibodies if either antithyroglobulin or antithyroid peroxidase antibody was present at pretreatment.

Abbreviations: ANA, antinuclear antibody; CR, complete response; ECOG PS, Eastern Cooperative Oncology Group performance status; irAE, immune-related adverse event; NSCLC, non-small-cell lung cancer; PD, progressive disease; PR, partial response; RF, rheumatoid factor; SD, stable disease.

due to any cause, and overall survival (OS) was defined as the time from start of treatment to death due to any cause.

The patients were categorized into two groups based on incidence of irAEs: those with irAE (irAE group) or those without (non-irAE group). Both groups were evaluated with respect to objective response rate (ORR), disease control rate (DCR), and PFS. The patients were further classified as responders, that is, those who achieved complete response (CR) or partial response (PR), and nonresponders, that is, those who developed stable disease (SD) or progressive disease (PD). Predictive factors of treatment response were also analyzed.

### Statistical Analysis

Univariate and multivariate logistic regression analyses were used to determine whether patient variables were related to response to nivolumab monotherapy. Categorical variables were tested for significance using the chi-squared test, Student's *t* test, the Mann-Whitney U test, or Welch's *t* test, as appropriate. PFS and OS were estimated using Kaplan-Meier curves with a two-sided log-rank test. The hazard ratio was estimated using the Cox proportional hazards model. The cutoff

date for the survival analysis was June 28, 2017. All *p* values were two sided, with a value of <.05 considered to indicate statistical significance.

All statistical analyses were performed with EZR (Saitama Medical Centre, Jichi Medical University, Saitama, Japan), a graphical user interface for R (R Foundation for Statistical Computing, Vienna, Austria). Specifically, it is a modified version of R Commander designed to add statistical functions frequently used in biostatistics [15].

The study protocol was approved by the institutional review board of Sendai Kousei Hospital (IRB no. 29–30). The need to obtain informed consent was waived because data were analyzed anonymously.

## RESULTS

### Patient Characteristics

A total of 70 patients (61 men [87%] and 9 women [13%]) with advanced NSCLC underwent nivolumab monotherapy during the study period (Table 1). The median patient age was 68 years (range, 36–88), and 69 patients (99%) had an Eastern

Cooperative Oncology Group performance status (ECOG PS) of 0 or 1. A total of 27 patients (39%) and 43 patients (61%) were diagnosed with squamous cell carcinoma and nonsquamous NSCLC, respectively. Of the patients with nonsquamous-cell carcinoma, epidermal growth factor receptor-mutant NSCLC was diagnosed in five (12%). Thirty-eight patients (54%) had undergone one prior chemotherapy regimen, 15 (21%) had undergone two, and 17 (25%) had undergone three or more. None had active autoimmune disease. Twenty-eight patients (40%) developed irAEs, of whom 21 (75%) developed them within 8 weeks. The median onset of irAEs was 5.0 weeks.

Based on the RECIST version 1.1 criteria, CR was observed in 1 patient (1%), PR in 20 (29%), SD in 23 (33%), and PD in 26 (37%). The ORR was 30% (95% confidence interval [CI], 20–42), and the DCR was 63% (95% CI, 51–74).

### Categorization into irAE or Non-irAE Group

Table 2 shows the categories of irAE incidence. Of the 28 patients who developed irAEs, 22 (79%) had skin reaction, 5 (18%) had myositis or peripheral neuropathy, 6 (21%) had hypothyroidism, 1 (4%) had hyperthyroidism, 5 (18%) had pneumonitis, 1 (4%) had hepatitis, and 2 (7%) had diarrhea. Nivolumab monotherapy had to be discontinued in five patients because of pneumonitis. Systemic steroids were used to treat pneumonitis in four patients (14%). However, no irAE-related death occurred during the study.

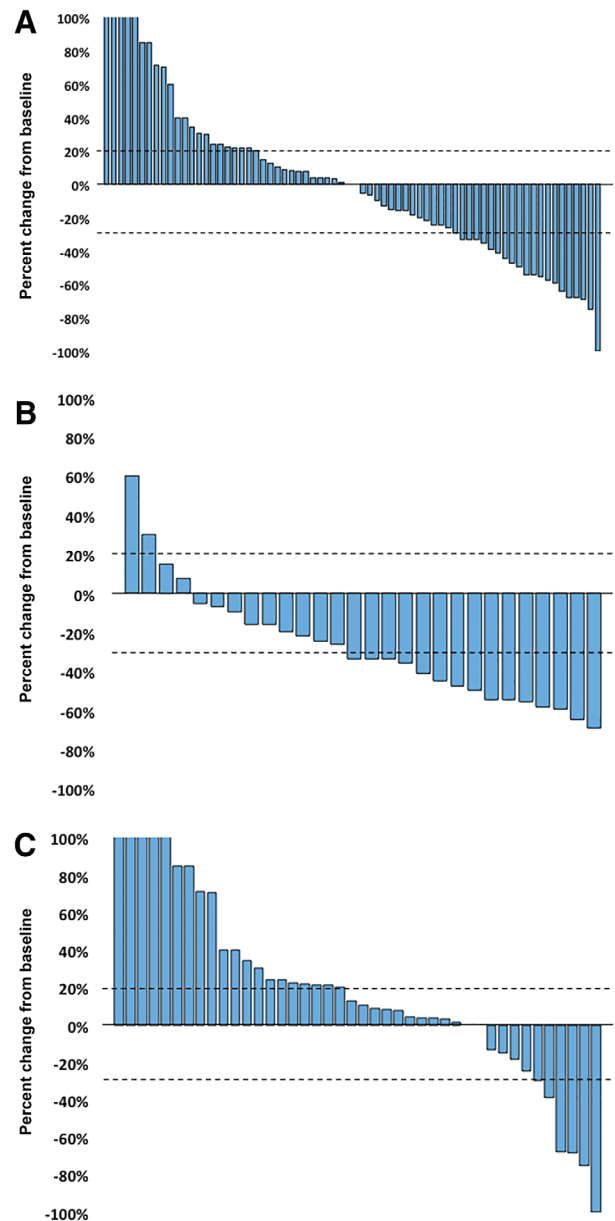
Table 3 shows the comparison of patient characteristics between the irAE and non-irAE groups. No significant difference was observed in terms of sex, age, ECOG PS, frequency of pathological subtype, smoking history, the number of past regimens, or any of the blood parameters investigated between the two groups. In the irAE group, 16 patients (57%) showed PR, 10 (36%) SD, and 2 (7%) PD. None of the patients showed CR. Of the 42 patients who did not develop irAEs, 1 (2%) showed CR, 4 (10%) PR, 13 (31%) SD, and 24 (57%) PD. The ORR was significantly higher in the irAE group than in the non-irAE group (57% vs. 12%, respectively;  $p < .001$ ). The DCR was also significantly higher in the irAE group than in the non-irAE group (93% vs. 43%, respectively;  $p < .001$ ).

The best response to nivolumab monotherapy is shown in Figure 1. The frequency of tumor reduction was significantly higher in the irAE group than in the non-irAE group.

The median PFS was 12.0 months (95% CI, 6.4 to not reached) in the irAE group and 3.6 months (95% CI, 2.3–5.7) in the non-irAE group. The 12-month PFS was 50% (95% CI, 27–70) in the irAE group and 26% (95% CI, 13–41) in the non-irAE group. The hazard ratio for progression disease or death was 0.43 (95% CI, 0.21–0.83;  $p = .013$ ; Fig. 2). PFS was statistically significantly better in the irAE group than in the non-irAE group.

### Categorization as Responder or Nonresponder

Table 4 shows a comparison of patient characteristics between responders and nonresponders. No significant difference was observed in terms of sex, age, ECOG PS, frequency of pathological subtype, smoking history, or the number of past regimens between the two groups. The number of doses was significantly higher in responders than in nonresponders (19 vs. 7, respectively;  $p < .001$ ). The incidence of irAEs was significantly higher in responders than in nonresponders (76% vs. 24%, respectively;  $p < .001$ ). Univariate analysis identified a significantly higher occurrence of irAEs, pre-existing antithyroid antibody,

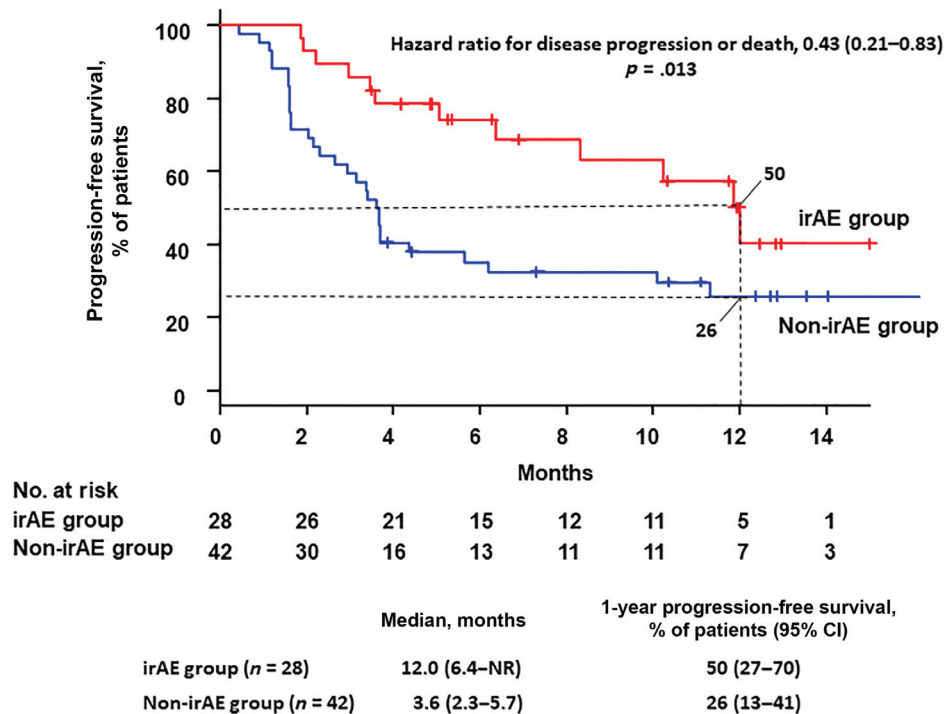


**Figure 1.** Waterfall plot of treatment showing best response in patients treated with nivolumab monotherapy compared with pretreatment baseline. (A): The response in the overall population. (B): The response in the immune-related adverse events (irAE) group. (C): The response in the non-irAE group.

and higher IgG in responders than in nonresponders. Factors identified significantly associated with treatment response to nivolumab monotherapy in the univariate analysis were subjected to multivariate analysis. The results revealed that the development of irAEs (odds ratio [OR], 0.11; 95% CI, 0.03–0.43;  $p < .001$ ) and pre-existing antithyroid antibody (OR, 0.22; 95% CI, 0.06–0.88;  $p = .033$ ) were independent predictors of treatment response (Table 5).

### Categorization as Those with Pre-Existing Antithyroid Antibody and Those Without

Supplemental online Table 1 shows a comparison of patient characteristics between those with pre-existing antithyroid antibody and those without. In our study, 15 patients had



**Figure 2.** Rate of progression-free survival in the study population. Kaplan-Meier curves are shown for progression-free survival. Ticks indicate patients for whom data were censored at the data cutoff point (June 28, 2017). Abbreviations: CI, confidence interval; irAEs, immune-related adverse events; NR, not reached.

pre-existing antithyroid antibody. Of the 15 patients, 6 (40%) had thyroid dysfunction, and the development of thyroid dysfunction was significantly higher in those with pre-existing antithyroid antibody than in those without (40% vs. 2%, respectively;  $p < .001$ ).

## DISCUSSION

This was a single-center, retrospective study of patients with advanced NSCLC treated with nivolumab monotherapy in a clinical setting. The purpose of this study was to investigate whether the development of irAEs was associated with clinical benefit and to search for predictors of treatment response in nivolumab monotherapy.

Predictive markers of effective response to nivolumab monotherapy have been previously proposed. In the CheckMate-057 trial [8], PD-L1 expression was significantly associated with improved OS. Moreover, in the KEYNOTE-010 trial [12], treatment with pembrolizumab—a highly selective IgG4- $\kappa$  humanized monoclonal antibody directed against the human cell surface receptor PD-1—significantly prolonged OS compared with docetaxel treatment in previously treated, PD-L1-positive, patients with advanced NSCLC. Additionally, in the KEYNOTE-024 trial, pembrolizumab was significantly associated with longer OS than platinum-based combination chemotherapy in patients with previously untreated advanced NSCLC and a PD-L1 tumor proportion score of  $\geq 50\%$  [13]. However, some studies did not reveal the same correlation [7, 16]. Therefore, PD-L1 expression alone may not be able to predict response to nivolumab monotherapy. Alternatively, Kobayashi et al. reported that another predictive marker of response to nivolumab in patients with advanced NSCLC may be the treatment response obtained

immediately before nivolumab monotherapy [17]. However, other factors predictive of response to nivolumab monotherapy in patients with NSCLC remain unknown. In our study, the results of multivariate analysis revealed that the development of irAEs and the presence of pre-existing antithyroid antibody were independent predictors of treatment response; therefore, we think that the development of irAEs was associated with clinical efficacy, and the presence of pre-existing antithyroid antibody might be correlated with the treatment response of nivolumab monotherapy. However, the correlation between PD-L1 expression and the development of irAEs remains unclear.

The present study also found that patients who developed irAEs had a better ORR and DCR as well as longer PFS than those who did not, indicating a strong association between the development of irAEs and better treatment efficacy. Several studies have investigated the correlation between the development of irAEs and clinical efficacy of ICIs in various kinds of cancer [10, 14, 18], but few have done so in lung cancer. Among these studies, two recent retrospective analyses of patients with advanced NSCLC who received ICIs found a correlation between the development of irAEs and clinical efficacy of the drug. One of these studies reported that among patients with NSCLC treated with pembrolizumab monotherapy, OS was significantly longer in those who developed immune-related thyroid dysfunction than those who did not [19]. The other study reported a positive correlation between skin irAEs and tumor response in patients with NSCLC treated with nivolumab monotherapy [20]. The present analysis also found a strong correlation between the development of irAEs and clinical efficacy in patients with advanced NSCLC treated with nivolumab monotherapy.

The present findings may provide important insights into the immunobiology of PD-1 and autoimmunity in general.

**Table 4.** Characteristics of patients in the responders and nonresponders groups ( $n = 70$ )

Variables	Responders <sup>a</sup>	Nonresponders <sup>b</sup>	<i>p</i> value
Number	21	49	
Sex, male, <i>n</i> (%)	19 (90)	42 (86)	.876 <sup>c</sup>
Age, years, median [range]	66 [36–87]	69 [40–88]	.328 <sup>d</sup>
ECOG PS, 0/1/≥2, <i>n</i>	13/8/0	24/24/1	
Pathological subtype			
Squamous cell carcinoma, <i>n</i>	10	17	.453 <sup>c</sup>
Nonsquamous NSCLC, <i>n</i>	11	32	
Smoking, never or ex/current, <i>n</i>	0/21	8/41	.119 <sup>c</sup>
Past regimens, median [range]	1.9 [1–4]	2.1 [1–9]	.795 <sup>e</sup>
Doses, median [range]	19 [5–37]	7 [2–9]	<.001 <sup>e</sup>
irAE incidence, <i>n</i> (%)	16 (76)	12 (24)	<.001 <sup>c</sup>
Skin reaction, <i>n</i> (%)	14 (67)	8 (16)	<.001 <sup>c</sup>
Myositis or peripheral neuropathy, <i>n</i> (%)	4 (19)	1 (2)	.048 <sup>c</sup>
Hypothyroidism, <i>n</i> (%)	5 (24)	1 (2)	.012 <sup>c</sup>
Hyperthyroidism, <i>n</i> (%)	0 (0)	1 (2)	1.000 <sup>c</sup>
Pneumonitis, <i>n</i> (%)	4 (19)	1 (2)	.043 <sup>c</sup>
Lymphocyte, <i>n</i> (%)	16.4 [8.7–34.7]	15.1 [3.5–28.1]	.416 <sup>d</sup>
IgG, median [range]	1471 [826–2489]	1198 [765–3165]	.041 <sup>e</sup>
IgA, median [range]	300 [102–535]	273 [117–1114]	.416 <sup>f</sup>
IgM, median [range]	103 [22–251]	89 [28–200]	.390 <sup>e</sup>
RF, median [range]	12 [1.6–57.8]	18 [2.7–165.6]	.244 <sup>f</sup>
ANA positive, <i>n</i> (%)	8 (38)	13 (27)	.495 <sup>c</sup>
Pre-existing antithyroid antibody, <i>n</i> (%) <sup>g</sup>	9 (43)	6 (12)	.011 <sup>c</sup>

<sup>a</sup>Responder was defined as patient achieving complete response or partial response based on modified Response Evaluation Criteria in Solid Tumors version 1.1.

<sup>b</sup>Nonresponder was defined as patient developing stable disease or progression disease based on modified Response Evaluation Criteria in Solid Tumors version 1.1.

<sup>c</sup>Results calculated with chi-squared test.

<sup>d</sup>Results calculated with Student's *t* test.

<sup>e</sup>Results calculated with Mann-Whitney U test.

<sup>f</sup>Results calculated with Welch's *t* test.

<sup>g</sup>A patient was considered to have positive antithyroid antibodies if either antithyroglobulin or antithyroid peroxidase antibody was present at pretreatment.

Abbreviations: ANA, antinuclear antibody; ECOG PS, Eastern Cooperative Oncology Group performance status; irAE, immune-related adverse event; NSCLC, non-small-cell lung cancer; RF, rheumatoid factor.

**Table 5.** Multivariate analysis of predictive factors of response to nivolumab monotherapy ( $n = 70$ )

Variable	Odds ratio	95% CI	<i>p</i> value <sup>a</sup>
Development of irAEs	0.11	0.03–0.43	<.001
Pre-existing antithyroid antibody <sup>b</sup>	0.22	0.06–0.88	.033

<sup>a</sup>Results calculated with logistic regression.

<sup>b</sup>A patient was considered to have positive antithyroid antibodies if either antithyroglobulin or antithyroid peroxidase antibody was present at pretreatment.

Abbreviations: CI, confidence interval; irAE, immune-related adverse event.

Apart from their effect on T-cell functioning, PD-1 and PD-1 inhibitors have also been suggested to play an important role in regulating humoral immune response. One study found high PD-1 expression in activated B cells [21], which has also been reported to be modulated via interaction with both T-cell-independent and -dependent mechanisms [22–24].

Some earlier studies using PD-1 in preclinical models had suggested an antibody mediation of immune-related toxicity [25, 26]; however, this needs to be further investigated in humans. T-cells enhance the treatment effect of PD-1 antibodies that may in turn induce auto-antibodies via B cells, thereby promoting the development of irAEs. Some studies reported that the autoantibodies mediate irAEs [27, 28]. This means that the development of irAEs and positivity for auto-antibody, particularly antithyroid antibody, may correlate with treatment response.

The present analysis revealed median onset times of 6.0 weeks for skin reaction, 4.0 weeks for myositis or peripheral neuropathy, 5.3 weeks for thyroid dysfunction, and 17.4 weeks for pneumonitis. These results are consistent with those observed in previous trials of nivolumab in patients with advanced NSCLC [7, 8, 29]. In the irAE group, 21 patients (75%) developed irAEs within 8 weeks from the start of nivolumab monotherapy. This early onset of irAEs suggests that any association with treatment is unlikely to be due to longer periods of therapy leading to a high risk of toxicity.

In this study, “responder” was defined as patient achieving CR or PR based on RECIST version 1.1. However, in a previous study, “responder” was defined in terms of durable clinical benefit, that is, being alive and without disease progression (CR + PR + SD) at 6 months [30]. Thus, we think that further consideration will be needed to define this term in the context of ICI therapy.

This study had several limitations. First, PD-L1 expression was not routinely assayed, as no diagnostic kits were commercially available in Japan during this study. Therefore, the potential impact of PD-L1 on treatment response or the development of irAEs to nivolumab monotherapy was assumed to be minimal. Second, this was a retrospective, nonrandomized study performed at a single center. Third, the sample size was small. However, to the best of our knowledge, we believe that this is the first study to report that the presence of pre-existing antithyroid antibody might correlate with clinical response to nivolumab monotherapy.

## CONCLUSION

In patients with advanced NSCLC treated with nivolumab monotherapy, ORR, DCR, and PFS were significantly better in the irAE group than in the non-irAE group. The incidences of irAEs and pre-existing antithyroid antibody were identified as independent predictors of treatment response. The development of irAEs and the presence of pre-existing antithyroid antibody might be useful predictors of clinical response to nivolumab in patients with NSCLC. We think that cautious management of irAEs can lead to achieving maximum clinical benefit from nivolumab monotherapy. However, further studies

with large patient samples are needed to validate these findings.

## ACKNOWLEDGMENTS

The authors thank Professor Jeremy Williams of Tokyo Medical University for his review of this manuscript, Associate Professor Masataka Taguri of Yokohama City University School of Medicine for his statistical evaluation, Mayuko Doi, Radiology Department of Sendai Kousei Hospital, Chieko Hattori, chief nurse of Sendai Kousei Hospital and all members of Frontline Immunotherapy team (FIT) in Sendai Kousei Hospital.

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## DISCLOSURES

**Shunichi Sugawara:** Ono Pharmaceutical Co., Ltd., Bristol-Myers Squibb, Merck Sharp & Dohme (H). The other authors indicated no financial relationships.

(C/A) Consulting/advisory relationship; (RF) Research funding; (E) Employment; (ET) Expert testimony; (H) Honoraria received; (OI) Ownership interests; (IP) Intellectual property rights/inventor/patent holder; (SAB) Scientific advisory board

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See <http://www.TheOncologist.com> for supplemental material available online.

**For Further Reading:**

Julia Judd, Matthew Zibelman, Elizabeth Handorf et al. Immune-Related Adverse Events as a Biomarker in Non-Melanoma Patients Treated with Programmed Cell Death 1 Inhibitors. *The Oncologist* 2017;22:1232–1237.

**Implications for Practice:**

This study evaluated whether the development of immune-related adverse events in non-melanoma patients treated with programmed cell death 1 checkpoint inhibitors correlates with improved clinical outcomes. The results indicate that for a subset of patients, in particular those with low-grade immune-related adverse events, immune-related adverse events predicted for an improved response rate and longer time to next therapy or death.